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# **Compactness of Matrix Operators on the** Banach Space $\ell_p(T)$

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Abstract: In this study, by using the Hausdorff measure of non-compactness, we obtain the necessary and sufficient conditions for certain matrix operators on the spaces  $\ell_p(T)$  and  $\ell_{\infty}(T)$  to be compact, where  $1 \leq p < \infty$ .

Keywords: Compact operators, Hausdorff measure of non-compactness, Sequence spaces.

#### 1 Introduction

By  $\omega$ , we denote the space of all real sequences. Any subset of  $\omega$  is called a sequence space. Let  $\Psi, \ell_{\infty}, c$  and  $c_0$  denote the sets of all finite, bounded, convergent and null sequences, respectively and  $\ell_p = \{u = (u_n) \in \omega : \sum_n |u_n|^p < \infty\}$  for  $1 \le p < \infty$ . Throughout the study, we assume that  $p, q \ge 1$  and  $\frac{1}{p} + \frac{1}{q} = 1$ .

A B-space is a complete normed space. A topological sequence space in which all coordinate functionals  $\pi_k$ ,  $\pi_k(u) = u_k$ , are continuous is called a K-space. A BK-space is defined as a K-space which is also a B-space, that is, a BK-space is a Banach space with continuous coordinates. A BK-space  $\lambda \supset \psi$  is said to have AK if every sequence  $u = (u_k) \in \lambda$  has a unique representation  $u = \sum_k u_k e^{(k)}$ , where  $e^{(k)}$  is the sequence whose only non-zero term is 1 in the nth place for each  $k \in \mathbb{N}$ . For example, the space  $\ell_p$   $(1 \le p < \infty)$  is a BK-space with the norm  $||u||_p = (\sum_k |u_k|^p)^{1/p}$  and  $c_0$  and  $\ell_\infty$  is a BK-space with the norm  $||u||_\infty = \sup_k |u_k|$ . Also, the BK-spaces  $c_0$  and  $\ell_p$  have AK but cand  $\ell_{\infty}$  do not have AK.

The  $\beta$ -dual of a sequence space  $\lambda$  is defined by

$$\lambda^{\beta} = \{ z = (z_k) \in \omega : zu = (z_k u_k) \in cs \text{ for all } u = (u_k) \in \lambda \}.$$

Let  $\mathcal{A}$  be the sequence of  $n^{\text{th}}$  row of an infinite matrix  $\mathcal{A} = (\mathfrak{a}_{nk})$  with real numbers  $\mathfrak{a}_{nk}$  for each  $n \in \mathbb{N}$ . For a sequence  $u = (u_k) \in \omega$ , the A-transform of u is the sequence  $Au = (A_n(u))$ , where

$$\mathcal{A}_n(u) = \sum_{n=0}^{\infty} \mathfrak{a}_{nk} u_k$$

provided that the series is convergent for each  $n \in \mathbb{N}$ .

 $(\lambda, \mu)$  stands for the class of all infinite matrices from a sequence space  $\lambda$  into another sequence space  $\mu$ . Hence,  $\mathcal{A} \in (\lambda, \mu)$  if and only if  $\mathcal{A}_n \in \lambda^\beta$  for all  $n \in \mathbb{N}$ .

Let  $\lambda$  be a normed space and  $S_{\lambda}$  be the unit sphere in  $\lambda$ . For a BK-space  $\lambda \supset \psi$  and  $z = (z_k) \in \omega$ , we use the notation

$$\|z\|_{\lambda}^* = \sup_{u \in S_{\lambda}} \left| \sum_k z_k u_k \right|$$

under the assumption that the supremum is finite. In this case observe that  $z \in \lambda^{\beta}$ .

**Lemma 1.** [1, Theorem 1.29]  $\ell_1^{\beta} = \ell_{\infty}$ ,  $\ell_p^{\beta} = \ell_q$  and  $\ell_{\infty}^{\beta} = \ell_1$ , where  $1 . If <math>\lambda \in \{\ell_1, \ell_p, \ell_{\infty}\}$ , then  $||z||_{\lambda}^* = ||z||_{\lambda^{\beta}}$  holds for all  $z \in \lambda^{\beta}$ , where  $||.||_{\lambda^{\beta}}$  is the natural norm on  $\lambda^{\beta}$ .

By  $\mathcal{B}(\lambda, \mu)$ , we denote the set of all bounded (continuous) linear operators from  $\lambda$  to  $\mu$ .

**Lemma 2.** [1, Theorem 1.23 (a)] Let  $\lambda$  and  $\mu$  be BK-spaces. Then, for every  $\mathcal{A} \in (\lambda, \mu)$ , there exists a linear operator  $L_{\mathcal{A}} \in \mathcal{B}(\lambda, \mu)$  such that  $L_{\mathcal{A}}(u) = \mathcal{A}u$  for all  $u \in \lambda$ .

**Lemma 3.** [1] Let  $\lambda \supset \psi$  be a BK-space and  $\mu \in \{c_0, c, \ell_\infty\}$ . If  $\mathcal{A} \in (\lambda, \mu)$ , then

$$\|L_{\mathcal{A}}\| = \|\mathcal{A}\|_{(\lambda,\mu)} = \sup_{n} \|\mathcal{A}_{n}\|_{\lambda}^{*} < \infty.$$

The Hausdorff measure of noncompactness of a bounded set Q in a metric space  $\lambda$  is defined by

$$\chi(Q) = \inf\{\varepsilon > 0 : Q \subset \bigcup_{i=1}^{n} B(x_i, r_i), x_i \in \lambda, r_i < \varepsilon, n \in \mathbb{N}\},\$$

where  $B(x_i, r_i)$  is the open ball centered at  $x_i$  and radius  $\varepsilon$  for each i = 1, 2, ..., n.

The following theorem is useful to compute the Hausdorff measure of non-compactness in  $\ell_p$  for  $1 \le p < \infty$ .

**Theorem 1.** [2] Let Q be a bounded subset in  $\ell_p$  for  $1 \le p < \infty$  and  $P_r : \ell_p \to \ell_p$  be the operator defined by  $P_r(u) = 0$  $(u_0, u_1, u_2, ..., u_r, 0, 0, ...)$  for all  $u = (u_k) \in \ell_p$  and each  $r \in \mathbb{N}$ . Then, we have

$$\chi(Q) = \lim_{r} \left( \sup_{u \in Q} \| (I - P_r)(u) \|_{\ell_p} \right),$$

where I is the identity operator on  $\ell_p$ .

Let  $\lambda$  and  $\mu$  be Banach spaces. Then, a linear operator  $L: \lambda \to \mu$  is is said to be compact if the domain of L is all of  $\lambda$  and L(Q) is a totally bounded subset of  $\mu$  for every bounded subset Q in  $\lambda$ . Equivalently, we say that L is compact if its domain is all of  $\lambda$  and for every bounded sequence  $u = (u_n)$  in  $\lambda$ , the sequence  $(L(u_n))$  has a convergent subsequence in  $\mu$ .

The idea of compact operators between Banach spaces is closely related to the Hausdorff measure of non-compactness. For  $L \in \mathcal{B}(\lambda, \mu)$ , the Hausdorff measure of non-compactness of L denoted by  $||L||_{\chi}$  is given by

$$||L||_{\chi} = \chi(L(S_{\lambda}))$$

and we have

#### L is compact if and only if $||L||_{\chi} = 0$ .

Several authors have studied compact operators on the sequence spaces and given very important results related to the Hausdorff measure of non-compactness of a linear operator. For example [3]-[9].

The main purpose of this study is to obtain necessary and sufficient conditions for some matrix operators to be compact. For this purpose, we use the Banach spaces  $\ell_p(T)$  and  $\ell_{\infty}(T)$  introduced in [10] as

$$\ell_p(T) = \left\{ u = (u_n) \in \omega : \sum_n \left| t_n u_n - \frac{1}{t_n} u_{n-1} \right|^p < \infty \right\} \quad (1 \le p < \infty)$$

and

$$\ell_{\infty}(T) = \left\{ u = (u_n) \in \omega : \sup_{n} \left| t_n u_n - \frac{1}{t_n} u_{n-1} \right| < \infty \right\}.$$

Here, the difference matrix matrix  $T = (t_{nk})$  is defined by

$$t_{nk} = \begin{cases} t_n & , \quad k = n \\ -\frac{1}{t_n} & , \quad k = n - 1 \\ 0 & , \quad k > n \text{ or } 0 \le k < n - 1, \end{cases}$$

where  $t_n > 0$  for all  $n \in \mathbb{N}$  and  $t = (t_n) \in c \setminus c_0$ . Note that we use the sequence  $v = (v_n)$  for the *T*-transform of a sequence  $u = (u_n)$ , that is,

$$v_n = T_n(u) = \begin{cases} t_0 u_0 &, n = 0\\ t_n u_n - \frac{1}{t_n} u_{n-1} &, n \ge 1 \end{cases} \quad (n \in \mathbb{N}).$$

#### 2 Compact Operators on the Spaces $\ell_p(T)$ and $\ell_{\infty}(T)$

For a sequence  $a = (a_k) \in \omega$ , we define a sequence  $\tilde{a} = (\tilde{a}_k)$  as  $\tilde{a}_k = \sum_{j=k}^{\infty} t_k \prod_{i=k}^{j} \frac{1}{t_i^2} a_j$  for all  $k \in \mathbb{N}$ . We need the following results in the sequel.

**Lemma 4.** Let  $a = (a_k) \in (\ell_p(T))^{\beta}$ , where  $1 \le p \le \infty$ . Then  $\tilde{a} = (\tilde{a}_k) \in \ell_q$  and

$$\sum_{k} a_k u_k = \sum_{k} \tilde{a}_k v_k \tag{1}$$

for all  $u = (u_k) \in \ell_p(T)$ .

Lemma 5. The following statements hold.

 $\begin{aligned} &(a) \|a\|_{\ell_{1}(T)}^{*} = \sup_{k} |\tilde{a}_{k}| < \infty \text{ for all } a = (a_{k}) \in (\ell_{1}(T))^{\beta}. \\ &(b) \|a\|_{\ell_{p}(T)}^{*} = \left(\sum_{k} |\tilde{a}_{k}|^{q}\right)^{1/q} < \infty \text{ for all } a = (a_{k}) \in (\ell_{p}(T))^{\beta}, \text{ where } 1 \le p \le \infty. \\ &(c) \|a\|_{\ell_{\infty}(T)}^{*} = \sum_{k} |\tilde{a}_{k}| < \infty \text{ for all } a = (a_{k}) \in (\ell_{\infty}(T))^{\beta}. \end{aligned}$ 

*Proof:* We only prove part (a) and the others can be proved analogously. Choose  $a = (a_k) \in (\ell_1(T))^{\beta}$ . Then, by Lemma 4, we have  $\tilde{a} = (\tilde{a}_k) \in \ell_{\infty}$  and (1) holds. Since  $\|u\|_{\ell_1(T)} = \|v\|_{\ell_1}$  holds, we obtain that  $u \in S_{\ell_1(T)}$  if and only if  $v \in S_{\ell_1}$ . Hence, we deduce that  $\|a\|_{\ell_1(T)}^* = \sup_{u \in S_{\ell_1(T)}} |\sum_k a_k u_k| = \sup_{v \in S_{\ell_1}} |\sum_k \tilde{a}_k v_k| = \|\tilde{a}\|_{\ell_1}^*$ . From Lemma 1, it follows that  $\|a\|_{\ell_1(T)}^* = \|\tilde{a}\|_{\ell_1}^* = \|\tilde{a}\|_{\ell_{\infty}} = \sup_k |\tilde{a}_k|$ .  $\Box$ 

Throughout this section, we use the matrix  $\tilde{A} = (\tilde{a}_{nk})$  defined by an infinite matrix  $A = (a_{nk})$  via

$$\tilde{\mathfrak{a}}_{nk} = \sum_{j=k}^{\infty} t_k \prod_{i=k}^{j} \frac{1}{t_i^2} \mathfrak{a}_{nj}$$

for all  $n,k\in\mathbb{N}$  under the assumption that the series is convergent.

**Lemma 6.** Let  $\lambda$  be a sequence space. If  $\mathcal{A} \in (\ell_p(T), \lambda)$ , then  $\tilde{\mathcal{A}} \in (\ell_p, \lambda)$  and  $\mathcal{A}u = \tilde{\mathcal{A}}v$  for all  $u \in \ell_p(T)$ , where  $1 \le p \le \infty$ . **Lemma 7.** If  $\mathcal{A} \in (\ell_1(T), \ell_p)$ , then we have

$$\|L_{\mathcal{A}}\| = \|\mathcal{A}\|_{(\ell_1(T),\ell_p)} = \sup_k \left(\sum_n |\tilde{\mathfrak{a}}_{nk}|^p\right)^{1/p} < \infty,$$

where  $1 \leq p \leq \infty$ .

**Lemma 8.** [11, Theorem 3.7] Let  $\lambda \supset \psi$  be a BK-space. Then, the following statements hold. (a)  $\mathcal{A} \in (\lambda, \ell_{\infty})$ , then  $0 \leq \|L_{\mathcal{A}}\|_{\chi} \leq \limsup_{n} \|\mathcal{A}_{n}\|_{\lambda}^{*}$ . (b)  $\mathcal{A} \in (\lambda, c_{0})$ , then  $\|\mathcal{A}_{S}\|_{\chi} \leq \limsup_{n} \|\mathcal{A}_{n}\|_{\lambda}^{*}$ . (c) If  $\lambda$  has AK or  $\lambda = \ell_{\infty}$  and  $\mathcal{A} \in (\lambda, c)$ , then

$$\frac{1}{2}\limsup_{n} \|\mathcal{A}_{n} - \alpha\|_{\lambda}^{*} \leq \|L_{\mathcal{A}}\|_{\chi} \leq \limsup_{n} \|\mathcal{A}_{n} - \alpha\|_{\lambda}^{*}$$

where  $\alpha = (\alpha_k)$  and  $\alpha_k = \lim_n \mathfrak{a}_{nk}$  for all  $k \in \mathbb{N}$ .

### Theorem 2.

1. For  $\mathcal{A} \in (\ell_1(T), \ell_\infty)$ ,

$$0 \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk}| \right)$$

holds.

2. *For*  $A \in (\ell_1(T), c)$ ,

$$\frac{1}{2}\limsup_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}| \right) \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}| \right)$$

holds. 3. For  $\mathcal{A} \in (\ell_1(T), c_0)$ ,

$$\|L_{\mathcal{A}}\|_{\chi} = \limsup_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk}| \right)$$

holds. 4. For  $\mathcal{A} \in (\ell_1(T), \ell_1)$ ,

$$||L_{\mathcal{A}}||_{\chi} = \lim_{m} \left( \sup_{k} \sum_{n=m}^{\infty} |\tilde{\mathfrak{a}}_{nk}| \right)$$

holds.

### **Corollary 1.**

1.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_1(T), \ell_{\infty})$  if

$$\lim_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk}| \right) = 0.$$

2.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_1(T), c)$ , if and only if

$$\lim_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}| \right) = 0.$$

3.  $L_A$  is compact for  $A \in (\ell_1(T), c_0)$  if and only if

$$\lim_{n} \left( \sup_{k} |\tilde{\mathfrak{a}}_{nk}| \right) = 0$$

4.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_1(T), \ell_1)$  if and only if

$$\lim_{m} \left( \sup_{k} \sum_{n=m}^{\infty} |\tilde{\mathfrak{a}}_{nk}| \right) = 0.$$

**Lemma 9.** Let  $\lambda \supset \psi$  be a BK-space. If  $A \in (\lambda, \ell_1)$ , then

$$\lim_{r} \left( \sup_{N \in \mathcal{K}_{r}} \left\| \sum_{n \in N} \mathcal{A}_{n} \right\|_{\lambda}^{*} \right) \leq \|L_{\mathcal{A}}\|_{\chi} \leq 4 \lim_{r} \left( \sup_{N \in \mathcal{K}_{r}} \left\| \sum_{n \in N} \mathcal{A}_{n} \right\|_{\lambda}^{*} \right)$$

and  $L_{\mathcal{A}}$  is compact if and only if  $\lim_{r} \left( \sup_{N \in \mathcal{K}_{r}} \|\sum_{n \in N} \mathcal{A}_{n}\|_{\lambda}^{*} \right) = 0$ , where  $\mathcal{K}_{r}$  is the subcollection of  $\mathcal{K}$  consisting of subsets of  $\mathbb{N}$  with elements that are greater than r.

**Theorem 3.** Let 1 .

1. For  $\mathcal{A} \in (\ell_p(T), \ell_\infty)$ ,

$$0 \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \left(\sum_{k} |\tilde{\mathfrak{a}}_{nk}|^{q}\right)^{1/q}$$

holds. 2. For  $\mathcal{A} \in (\ell_p(T), c)$ ,

$$\frac{1}{2}\limsup_{n} \left(\sum_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}|^{q}\right)^{1/q} \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \left(\sum_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}|^{q}\right)^{1/q}$$

holds.

3. *For*  $A \in (\ell_p(T), c_0)$ ,

$$||L_{\mathcal{A}}||_{\chi} = \limsup_{n} \left( \sum_{k} |\tilde{\mathfrak{a}}_{nk}|^{q} \right)^{1/q}$$

holds. 4. For  $\mathcal{A} \in (\ell_p(T), \ell_1)$ ,

$$\lim_{m} \|\mathcal{A}\|_{(\ell_{p}(T),\ell_{1})}^{(m)} \leq \|L_{\mathcal{A}}\|_{\chi} \leq 4 \lim_{m} \|\mathcal{A}\|_{(\ell_{p}(T),\ell_{1})}^{(m)}$$

holds, where  $\|\mathcal{A}\|_{(\ell_p(T),\ell_1)}^{(m)} = \sup_{N \in \mathcal{K}_m} \left( \sum_k |\sum_{n \in N} \tilde{\mathfrak{a}}_{nk}|^q \right)^{1/q}$ .

**Corollary 2.** Let 1 .

1.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_p(T), \ell_{\infty})$  if

$$\lim_{n} \left( \sum_{k} |\tilde{\mathfrak{a}}_{nk}|^{q} \right)^{1/q} = 0.$$

2.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_p(T), c)$  if and only if

$$\lim_{n} \left( \sum_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}|^{q} \right)^{1/q} = 0.$$

3.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_p(T), c_0)$  if and only if

$$\lim_{n} \left( \sum_{k} |\tilde{\mathfrak{a}}_{nk}|^{q} \right)^{1/q} = 0.$$

4.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_p(T), \ell_1)$  if and only if

$$\lim_{m} \|\mathcal{A}\|_{(\ell_p(T),\ell_1)}^{(m)} = 0,$$

where  $\|\mathcal{A}\|_{(\ell_p(T),\ell_1)}^{(m)} = \sup_{N \in \mathcal{K}_m} \left( \sum_k |\sum_{n \in N} \tilde{\mathfrak{a}}_{nk}|^q \right)^{1/q}$ .

## Theorem 4.

1. For 
$$\mathcal{A} \in (\ell_{\infty}(T), \ell_{\infty})$$

$$0 \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \sum_{k} |\tilde{\mathfrak{a}}_{nk}|$$

holds.

2. For  $\mathcal{A} \in (\ell_{\infty}(T), c)$ ,

$$\frac{1}{2}\limsup_{n} \sum_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}| \le \|L_{\mathcal{A}}\|_{\chi} \le \limsup_{n} \sum_{k} |\tilde{\mathfrak{a}}_{nk} - \tilde{\alpha}_{k}|$$

holds. 3. For  $\mathcal{A} \in (\ell_{\infty}(T), c_0)$ ,

$$\|L_{\mathcal{A}}\|_{\chi} = \limsup_{n} \sum_{k} |\tilde{\mathfrak{a}}_{nk}|$$

holds.

### **Corollary 3.**

1.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_{\infty}(T), \ell_{\infty})$  if

$$\lim_{n}\sum_{k}|\tilde{\mathfrak{a}}_{nk}|=0$$

2.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_{\infty}(T), c)$ , if and only if

$$\lim_{n}\sum_{k}|\tilde{\mathfrak{a}}_{nk}-\tilde{\alpha}_{k}|=0.$$

3.  $L_{\mathcal{A}}$  is compact for  $\mathcal{A} \in (\ell_{\infty}(T), c_0)$  if and only if

$$\lim_n \sum_k |\tilde{\mathfrak{a}}_{nk}| = 0$$

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