# ON THE SUBCLASSES $U_m$ IN MAHLER'S CLASSIFICATION OF THE TRANSCENDENTAL NUMBERS \*)

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In this paper integral and rational combinations with algebraic coefficients of a strong Liouville number are studied and shown that they belong to the Mahler subclass  $U_m$ , where m is the degree of the algebraic number field determined by these coefficients. Thus a new proof is obtained for the fact which was first proved by LEVEQUE in 1953, that no Mahler subclass  $U_m(m=1, 2,...)$  is empty. In the case of integral combinations an analogous result for Ilensel's field of p- adic numbers is given.

## CHAPTER I

Mahler's classification. We shall be concerned with polynomials  $P(x) = a_n x^n + a_{n-1} x^{n-1} + ... + a_0$ ,  $a_0 \neq 0$ , with rational integer coefficients. The height H(P) of P is defined by  $H(P) = \max (|a_n|, |a_{n-1}|, ..., |a_0|)$ .

Given an arbitrary complex number  $\xi$ , for any real number  $H\geqslant 1$  and a natural number n Mahler puts

$$egin{aligned} w_n(H,~\xi) &= \min_{egin{aligned} \deg P \leqslant n \ H(P) \leqslant H \ P(\xi) 
eq 0 \end{aligned}} |P(\xi)|~. \end{aligned}$$

As  $H \ge 1$ , one may take P(x) = 1, and hence we have  $0 < w_n(H, \xi) \le 1$ . If either n or H increases,  $w_n(H, \xi)$  will not increase. Next, MAHLER puts

<sup>\*)</sup> This paper is an English translation of the substance of a doctoral dissertation accepted by the Faculty of Science of the University of Istanbul in September 1978. I am grateful to Prof. Dr. Orhan Ş. İÇEN for his valuable help and encouragement in all stages of this work.

$$w_{n}(\xi) = \limsup_{H \to \infty} \left( --\log w_{n}(H, \xi) / \log H \right)$$

and

$$w(\xi) = \limsup_{n \to \infty} \frac{w_n(\xi)}{n}$$
.

By what we have said above,  $w_n(\xi)$  as a function of n is nondecreasing. One has always  $0 \le w_n(\xi) \le +\infty$  and  $0 \le w(\xi) \le +\infty$ .

If  $w_n(\xi) = +\infty$  for some integer n, let  $\mu(\xi)$  he the smallest such integer; if  $w_n(\xi) < +\infty$  for every n, put  $\mu(\xi) = \infty$ .

MAHLER calls the number  $\xi$  an

A - number if 
$$w(\xi) = 0$$
,  $\mu(\xi) = \infty$ ,

S - number if 
$$0 < w(\xi) < \infty$$
,  $\mu(\xi) = \infty$ ,

$$T$$
 - number if  $w(\xi) = \infty, \quad \mu(\xi) = \infty,$ 

$$U$$
 - number if  $w(\xi) = \infty, \quad \mu(\xi) < \infty$ 

(See MAHLER [8]). A - numbers are identical with algebraic numbers, whereas the transcendental numbers are distributed into the three classes S, T, U. Let  $\xi$  be a U- number such that  $\mu(\xi) = m$  and let  $U_m$  denote the set of all such numbers. It is obvious that for every natural m, the class  $U_m$  is a subclass of U and  $U = \bigcup_{m=1}^{\infty} U_m$ . Moreover we have  $U_m \cap U_n = \phi$  if  $m \neq n$ . (For the subclasses  $U_m$  see LEYEQUE [6]).

We shall now collect some lemmas which will be used in chapters I and II. Those which are taken from elsewhere will be given without proof, but with reference to their sources.

Lemma 1. Let  $\alpha$  be an algebraic number of degree s and let P(x) be an arbitrary polynomial of degree n with integral coefficients. If  $P(\alpha) \neq 0$ , then the relation

$$|P(\alpha)| \geqslant \frac{1}{\left[(n+1)H\right]^{s-1}\left[(s+1)h\right]^n}$$

holds, where H is the height of P(x) and h is the height of the minimal polynomial of the algebraic number  $\alpha$ , respectively. (R. GÜTING [3], Th. 5).

Lemma 2. Let  $z_1$ ,  $z_2$  be two complex numbers and P(x) be a polynomial with arbitrary complex coefficients. Then there is a complex number  $\eta$  with  $0 \le |\eta| \le 1$  and a complex number  $\sigma$  on the segment  $\overline{z_1} \, \overline{z_2}$  such that  $P(z_1) \longrightarrow P(z_2) = \eta(z_1 \longrightarrow z_2) \, P'(\sigma)$ , where P'(x) denotes the derivative of P(x). (See BIEBERBACH [1], p. 116).

Lemma 3. Let  $\alpha_1, ..., \alpha_k (k \ge 1)$  be algebraic numbers which belong to an algebraic number field K of degree g, and let  $F(y, x_1, ..., x_k)$  be a polynomial with rational integral coefficients and with degree at least one in y. If  $\eta$  is an algebraic number such that  $F(\eta, \alpha_1, ..., \alpha_k) = 0$ , then the degree of  $\eta \le dg$ , and

$$h_{\eta} \leqslant 3^{2dg + (l_1 + \dots + l_k)g} \cdot H^g \cdot h_{a_1}^{l_1 g} \cdots h_{a_k}^{l_k g},$$

where  $h_{\eta}$  is the height of  $\eta$ , H is the maximum of the absolute values of the coefficients of F,  $l_i(i=1,...,k)$  is the degree of F in  $x_i(i=1,...,k)$ , d is the degree of F in y, and  $h_{a_i}$  is the height of  $\alpha_i(i=1,...,k)$ . (See O. §. İÇEN [4]).

Lemma 4. Let  $\alpha_1$ ,  $\alpha_2$  be two algebraic numbers with different minimal polynomials. Then we have

$$|\alpha_1 - \alpha_2| \geqslant \frac{1}{2^{\max{(n_1, n_2)} - 1} \left[ (n_1 + 1) \, h_1 \right]^{n_2} \left[ (n_2 + 1) \, h_2 \right]^{n_1}} \,,$$

where  $n_1$ ,  $n_2$  are the degrees and  $h_1$ ,  $h_2$  the heights of  $\alpha_1$ ,  $\alpha_2$  respectively. (See GÜTING [1], Th. 7).

Lemma 5. Let  $\alpha_0,...,\alpha_k$ ;  $\beta_0,...,\beta_l(k \ge 0, l \ge 0, \max(k, l) > 0,$   $\alpha_k \ne 0, \beta_l = 1$ ) be algebraic numbers with  $[Q(\alpha_0,...,\alpha_k,\beta_0,...,\beta_l):Q] = m$ .

<sup>1)</sup> Here Q denotes as usual the field of rational numbers.

If the polynomials  $C(x) = \alpha_0 + ... + \alpha_k x^k$ ,  $D(x) = \beta_0 + ... + \beta_1 x^l$  are relatively prime, then for  $x \in Q$  the algebraic number  $\theta_x = \frac{C(x)}{D(x)}$  is a primitive element of the field  $Q(\alpha_0, ..., \alpha_k, \beta_0, ..., \beta_l)$  except for only finitely many values of x.

Proof. Let  $\alpha_i^{(v)}$ ,  $\beta_j^{(v)}$  (v=1,...,m) be the field conjugates of  $\alpha_i$ ,  $\beta_j$  respectively. Take as usual  $\alpha_i^{(1)} = \alpha_i$ ,  $\beta_j^{(1)} = \beta_j$   $(i=0,...,k \; ; j=0,...,l)$  and put  $K = Q(\alpha_0,...,\alpha_k,\beta_0,...,\beta_l)$ . From the outset we exclude the values of x which satisfy C(x) = 0 or D(x) = 0, if any, which constitute a finite set.

Now we have two cases according as m = 1 or m > 1:

- a) Let m=1. Then the algebraic numbers  $\alpha_i (i=0,...,k)$ ,  $\beta_j (j=0,...,l)$  are rational numbers and the lemma is obvious.
- b) Let m > 1. If  $\theta_{x_0}$  is not a primitive element of the field K, then there is a field conjugate  $\theta_{x_0}^{(r)}$  with  $v_0 \neq 1$ , for which the relation

$$\theta_{x_0} = \theta_{x_0}^{(v_0)}$$

holds.

From (1) we obtain

(2) 
$$C(x_0) D^{(\nu_0)}(x_0) = C^{(\nu_0)}(x_0) D(x_0)$$
, where we have put 
$$C^{(\nu)}(x) = \alpha_0^{(\nu)} + \dots + \alpha_l^{(\nu)} x^l, \quad D^{(\nu)}(x) = \beta_0^{(\nu)} + \dots + \beta_l^{(\nu)} x^l.$$

If (1) and consequently (2) were true for infinitely many values  $x_0$  of x, we would have identically

$$C(x) \ D^{(\nu_o)}(x) = C^{(\nu_o)}(x) \ D(x).$$

As C(x) is relatively prime to D(x), it must divide  $C^{(\nu_0)}(x)$ . But as  $C^{(\nu_0)}(x)$  is of the same degree as C(x), there must exist a complex constant  $\lambda \neq 0$  such that  $C^{(\nu_0)}(x) = \lambda C(x)$ . This with (3) would give  $D^{(\nu_0)}(x) = \lambda D(x)$ .

But we have for the leading coefficients of D(x) and  $D^{(v_0)}(x)$ ,  $\beta_l = 1$  and  $\beta_l^{(v_0)} = 1$  respectively, so the comparison of the leading coefficients on both sides of  $D^{(v_0)}(x) = \lambda D(x)$  would give  $\lambda = 1$ , and consequently  $C(x) = C^{(v_0)}(x)$ ,  $D(x) = D^{(v_0)}(x)$ , whence we would obtain

(4) 
$$\begin{cases} \alpha_{i} = \alpha_{i}^{(r_{0})} & (i = 0, ... k) \\ \beta_{j} = \beta_{j}^{(r_{0})} & (j = 0, ... l). \end{cases}$$

But this would lead us to a contradiction as follows:

As m > 1,  $Q(\alpha_0, ..., \alpha_k, \beta_0, ..., \beta_l)$  is a proper extension of Q, so there exists a primitive element  $\zeta$  of this extension of degree m > 1 over Q. We have

(5) 
$$\zeta = R(\alpha_0, \ldots, \alpha_k, \beta_0, \ldots, \beta_l)$$

and

(6) 
$$\begin{cases} \alpha_i = S_i(\zeta) & (i = 0,..., k), \\ \beta_i = T_i(\zeta) & (j = 0,..., l), \end{cases}$$

where R, S, T denote rational functions of their arguments with coefficients from Q. If the conjugates of  $\zeta$  are denoted by  $\zeta^{(v)}(v=1,...,m)$ , with  $\zeta^{(1)}=\zeta$ , which are all different, then the field conjugates of  $\alpha_i$ ,  $\beta_i$  are

(7) 
$$\begin{cases} \alpha_i^{(\nu)} = S_i(\zeta^{(\nu)}) & (i = 0, \dots k), \\ \beta_j^{(\nu)} = T_j(\zeta^{(\nu)}) & (j = 0, \dots l) \end{cases}$$

respectively, which satisfy

(8) 
$$\zeta^{(\nu)} = R(\alpha_0^{(\nu)}, ..., \alpha_k^{(\nu)}, \beta_0^{(\nu)}, ..., \beta_l^{(\nu)}) \qquad (\nu = 1, 2.... m).$$

Now (4), (5), (8) would give us

$$\zeta = \zeta^{(v_0)},$$

which would contradict that  $\zeta$  is a primitive element.

Definition. Let  $\xi$  be a Liouville number with convergents  $\frac{h_n}{k_n}$  (n=0,1,...) in its regular continued fraction expansion and let  $|k_n|\xi - h_n| := k_n^{-s_n}$ . We shall say that  $\xi$  is strong or weak according as  $\lim_{n\to\infty} \inf s_n$  is infinite or finite. (LE VEQUE [1]).

(For any Liouville number we have of course  $\limsup_{n\to\infty} s_n = +\infty$ ).

Theorem I. Let  $\alpha_0, ..., \alpha_k (k \ge 1, \alpha_k \ne 0)$  be algebraic numbers such that  $[Q(\alpha_0, ..., \alpha_k): Q] = m$ , and let  $C(x) = \alpha_0 + \alpha_1 x + ... + \alpha_k x^k$ . If  $\xi$  is a strong Liouville number, then the number  $C(\xi) = \gamma$  belongs to  $U_m$ .

Proof. Let the convergents of the regular continued fraction expansion of the Liouville number  $\xi$  be  $\frac{P_n}{q_n}$  (n=0,1,...). Since the Liouville number  $\xi$  is strong, for the sequence  $\omega(n)=\omega_n$  defined by  $\left|\xi-\frac{P_n}{q_n}\right|=q_n^{-\omega(n)}(n=0,1,...)$  we have  $\lim_{n\to\infty} \inf \omega_n=+\infty$ . Then we have

(10) 
$$\xi = \frac{p_n}{q_n} + \varepsilon_n q_n^{-\omega(n)} (\varepsilon_n = \pm 1, \quad n = 0,1,...).$$

Now we apply Lemma 2 with  $P(z)=C(z), z_1=\xi, z_2=\frac{p_n}{q_n}$  (n=0,1,...), and we get

(11) 
$$C(\xi) - C\left(\frac{P_n}{q_n}\right) = \eta_1\left(\xi - \frac{P_n}{q_n}\right)C'(\theta_n) \qquad (n = 0,1,...),$$

where  $\eta_1$  is a complex number with  $0\leqslant |\eta_1|\leqslant 1$  and  $\theta_n$  is a real number in the interval  $\xi \cdots \frac{p_n}{q_n}$ . Since  $\lim_{n\to\infty} \frac{p_n}{q_n}=\xi$ , there is a natural number  $N_0$  such that

(12) 
$$\left| \frac{p_n}{q_n} \right| < 2 \left| \xi \right|, \quad 0 \leqslant \left| \theta_n \right| < 2 \left| \xi \right| \text{ for every } n > N_0.$$

Using this, we obtain

(13) 
$$|C'(\theta_n)| < k^2 \cdot \max_{i=0}^k (|\alpha_i|) \cdot \max [1, (2|\xi|)^k] = c_1 (n > N_0),$$

where  $c_i > 0$  is independent of n. (1)

For  $n > N_0$  let  $P_n(x)$  denote the minimal polynomial of the algebraic number  $C\left(\frac{p_n}{q_n}\right)$ , and let  $H(P_n)$  be the height of  $P_n(x)$ .

Applying Lemma 2 with  $P(z)=P_n(z),\ z_1=C(\xi),\ z_2=C\Big(\frac{P_n}{q_n}\Big)\ (n>N_0)$  we have

(14) 
$$P_{n}(\gamma) - P_{n}\left(C\left(\frac{P_{n}}{q_{n}}\right)\right) = \eta_{2}\left(\gamma - C\left(\frac{P_{n}}{q_{n}}\right)\right)P_{n}'(\widetilde{\theta_{n}}) \qquad (n > N_{0}),$$

where  $\eta_2$  is a complex number with  $0 \leqslant |\eta_2| \leqslant 1$  and  $\widetilde{\theta}_n$  is a point on the segment  $\gamma \cdot C\left(\frac{p_n}{q_n}\right)$ . Hence there exists a real number t with  $0 \leqslant t \leqslant 1$ , such that

(15) 
$$\widetilde{\theta}_n = (1-t) \gamma + t C\left(\frac{p_n}{q_n}\right) \qquad (n > N_0).$$

On the other hand we have by (12)

$$\left| C\left(\frac{p_n}{q_n}\right) \right| \leq (k+1) \max_{i=0}^{k} (|\alpha_i|) \cdot \max[1, (2|\xi|)^k] = c_2 \quad (c_2 > 0),$$

and using this in the relation (15) we obtain

(16) 
$$|\widetilde{\theta}_n| \leq |\gamma| + c_2 = c_3 \qquad (n > N_0), \quad (c_3 > 0).$$

Here and in the sequel  $c_1$ ,  $c_2$ ,... will denote positive real numbers independent of n.

Now we know that [K:Q] = m, hence analogously to (13) we see that

(17) 
$$|P'_n(\widetilde{\theta}_n)| \leq m^2 \cdot \max(1, c_3^m) \cdot H(P_n) \qquad (n > N_0).$$

It follows from the definition of the polynomial  $P_n(x)$  that  $P_n\left(C\left(\frac{p_n}{q_n}\right)\right)=0$ . Hence using this in (14) and combining the relations (10), (11), (13) and (17), we obtain

$$|P_n(\gamma)| \leqslant c_1 \cdot m^2 \cdot \max(1, c_3^m) \cdot H(P_1) \cdot q_n^{-\omega(n)} \qquad (n > N_0),$$

and so putting  $c_4 = c_1 \cdot m^2 \cdot \max(1, c_3^m)$ :

$$(18) 0 < |P_n(\gamma)| \leqslant c_{\mathbf{A}} \cdot q_n^{-\omega(n)} \cdot H(P_n) (n > N_0).$$

 $(P_n(\gamma) = P_n(C(\xi))$  is not zero, since  $\xi$  is a transcendental number.)

Now, we shall give an upper bound for the height of  $P_n(x)$ . Put

(19) 
$$\gamma_n = C\left(\frac{p_n}{q}\right) \qquad (n > N_0),$$

or what is the same thing

$$\gamma_n q_n^k - \alpha_0 q_n^k - \alpha_1 p_n q_n^{k-1} - \dots - \alpha_k p_n^k = 0.$$

We see from (15) that, the value of the polynomial

$$F(y, x_0, x_1, \dots x_k) = q_n^k y - q_n^k x_0 - p_n q_n^{k-1} x_1 - \dots - p_n^k x_k$$

is zero for  $y = \gamma_n$ ,  $x_i = \alpha_i (i = 0,...,k)$ .

Therefore we can use Lemma 3 with d = 1,  $l_i = 1$  (i = 0,...,k), g = m,

$$H \leq \max [1, (2 | \xi |)^k] q_n^k$$

and we obtain

$$H(P_n) \leqslant \, 3^{(k+3)\,m} \cdot \big\{ \max \, \big[ 1, \, (2 \, \mid \, \xi \, \mid \,)^k \, \big] \big\}^m \cdot q_n^{k \cdot m} \cdot h_{a_b}^m \, \ldots \, h_{a_k}^m \, ,$$

or putting  $c_5 = 3^{(k+3)\,m} \cdot \{ \max \left[ 1, (2 \mid \xi \mid)^k \right] \}^m \cdot h_{a_0}^m \dots h_{a_k}^m,$ 

$$(20) H(P_n) \leqslant c_5 \cdot q_n^{km} (n > N_0).$$

Since  $c_5$  is independent of n, there is a natural number  $N_1$  for which the relation

$$(21) H(P_n) < q_n^{km+1}$$

holds for  $n > \max(N_0, N_1)$ .

Finally, combining the relations (18) and (21) we have

$$|P_{n}(\gamma)| \leqslant \frac{c_{4} H(P_{n})}{q_{n}^{\omega(n)}} \leqslant \frac{c_{4}}{\left(H(P_{n})\right)^{\frac{\omega(n)}{km+1}-1}} \qquad (n > \max(N_{0}, N_{1})).$$

As  $\gamma$  was taken as a Liouville number we have  $\limsup_{\substack{n\to\infty\\n_j\to\infty}}\omega(n)=+\infty$ , so that we can choose a subsequence  $\omega(n_j)$  with  $\lim_{\substack{n_j\to\infty\\j\to\infty}}\omega(n_j)=+\infty$ . (22) will give for this subsequence

(23) 
$$0 < |P_{n_j}(\gamma)| \le \frac{c_4}{H(P_{n_j})^{\frac{\omega(n_j)}{km+1}-1}} \qquad (n_j > \max(N_0, N_1)).$$

Now the sequence of heights  $\{H(P_{n_j})\}$  must contain a subsequence  $\{H(P_{n_j})\}$  tending to  $+\infty$ . For otherwise  $\{H(P_{n_j})\}$  would be bounded from above and as the degrees of the polynomials  $P_{n_j}(x)$  are also bounded  $(\leq m)$ , the sequence of polynomials  $\{P_{n_j}(x)\}$  would contain only a finite number of different polynomials, therefore it would have at least one identical subsequence. Let this be denoted with  $\{P_{n_j}(x)\}$ , where  $P_{n_j}(x) = \widetilde{P}(x)$  say, for all l.

But we had  $P_{n_{j_l}}\left(C\left(rac{P_{n_{j_l}}}{q_{n_{j_l}}}
ight)\right)=0$  for all l, which would give us  $\widetilde{P}\left(C\left(rac{P_{n_{j_l}}}{q_{n_l}}
ight)\right)=0 \qquad \qquad (l=1,2,...).$ 

By letting  $l \to \infty$  we obtain  $\widetilde{P}(C(\xi)) = 0$ , which would mean that  $\xi$  is algebraic, in contradiction to its being a Liouville number. Thus we obtain

$$(24) 0 < |P_{n_{j_{k}}}(\gamma)| \leqslant \frac{c_{4}}{\left(H(P_{n_{j_{k}}})\right)^{\frac{\omega(n_{j_{k}})}{km+1}-1}} (n_{n_{j_{k}}} > \max(N_{0}, N_{1})),$$

with  $\lim_{k\to\infty} H(P_{n_{j_k}}) = +\infty$  and  $\lim_{k\to\infty} \omega(n_{j_k}) = +\infty$ . Since the degree of  $P_{n_{j_k}}(x) \leqslant m$ , the relation (24) shows that

$$(*)$$
  $\mu(\gamma) \leqslant m$ .

We shall complete the proof by showing the opposite inequality  $\mu(\gamma) \geqslant m$ , and for this we shall distinguish two cases according as m=1 or m>1.

I — In the case m=1, by definition of  $\mu(\gamma)$  we have  $\mu(\gamma) \ge 1$ , so together with  $(\times)$  for m=1, we obtain  $\mu(\gamma)=1$ .

II — Suppose that m>1. Let P(x) be a polynomial of degree l  $(0 < l \le m-1)$  with integral coefficients, and let H(P) denote the height of P(x). Analogously to (14), by Lemma 2 we have

(25) 
$$P(\gamma) - P(\gamma_n) = \eta_3(\gamma - \gamma_n) P'(\widetilde{\theta}_n), \qquad (n > \max(N_0, N_1)),$$

where  $\eta_3$  and  $\overset{\sim}{\theta_n}$   $(n>\max{(N_0\,,\,N_1)})$  are complex numbers such that

$$0\leqslant |\eta_3|\leqslant 1, \quad |\widetilde{\widetilde{\theta}_n}|\leqslant c_3 \qquad \qquad (n>\max{(N_0,\ N_1)}).$$

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Hence we can write

$$(26) |P'(\widetilde{\theta_n})| \leq m^2 \cdot \max(1, c_3^m) \cdot H(P), n > \max(N_0, N_1),$$

and using this and (11) in (25), we obtain

(27) 
$$|P(\gamma)| \geqslant |P(\gamma_n)| - c_4 q_n^{-\omega(n)} \cdot H(P), \quad (n > \max(N_0, N_1)).$$

On the other hand, by Lemma 5, there is an integer  $N_2$  such that, if  $n > N_2$  then the degree of the algebraic number  $\gamma_n$  is equal to m. Since l < m, we can use Lemma 1 with

$$\alpha = \gamma_n (n > \max(N_0, N_1, N_2)), s = m, n = l, h = H(P_n)$$

and we get

(28) 
$$|P(\gamma_n)| \ge \frac{1}{(l+1)^{m-1} (m+1)^l H(P)^{m-1} H(P_n)^l}.$$

Using (20) in (28) and putting  $(m + 1)^{1-m} m^{1-m} c_5^{1-m} = c_6$  we have

(29) 
$$|P(\gamma_n)| \geqslant \frac{c_6}{H(P)^{m-1} q_n^{km(m-1)}} (n > \max(N_0, N_1, N_2)),$$

and combining the relations (27) and (29)

(30) 
$$|P(\gamma)| \ge \frac{c_6}{H(P)^{m-1} q_n^{km(m-1)}} - \frac{c_4 H(P)}{q_n^{\omega(n)}}.$$

It follows from well known properties of continued fractions that if  $\left| \xi - \frac{P_n}{q_n} \right| = q_n^{-\omega(n)}$ , then

(31) 
$$q_n^{\omega(n)} \geqslant q_{n+1} > q_n^{\omega(n)-2} \qquad (n > N_3),$$

where  $N_3$  is a suitable natural number.

On the other hand, by assuming that  $\xi$  is a strong Liouville number, there is a natural number  $N_4$  such that

(32) 
$$\omega(n) > km(m-1)[(km+1)(m-1)+2]+m+1 \qquad (n>N_a).$$

Now suppose that the polynomial P(x) satisfies the condition

(33) 
$$H(P) > \max\left(q_{\nu_0}, \frac{2c_4}{c_6}\right),$$

where  $v_0$  is a fixed index satisfying  $v_0 > \max (N_0, N_1, N_2, N_3, N_4)$ . It is clear that, there exists a natural number  $v \ge v_0$  for every polynomial P(x) which satisfies (33), such that

$$q_{\nu} \leqslant H(P) < q_{\nu+1}.$$

From (31) and (32) we see that the inequality

$$q_{\scriptscriptstyle \nu} < q_{\scriptscriptstyle \nu+1}^{\frac{1}{(km+1)\;(m-1)+2}} \text{ holds for } \; \nu > \max{(N_0\;,\;N_1\;,\;N_2\;,\;N_3\;,\;N_4)}.$$

Hence we can consider two cases in (34) as follows:

(35) 
$$\begin{cases} 1) & q_{\nu} \leqslant H(P) < q_{\nu+1}^{(km+1)(m-1)+2}, \\ \\ 2) & q_{\nu+1}^{(km+1)(m-1)+2} \leqslant H(P) < q_{\nu+1}. \end{cases}$$

1) Suppose that the first relation in (35) holds. If we write the relations (30) and (31) with n replaced by v we get by using (35) 1):

$$|P(\gamma)| \geqslant \frac{c_6}{H(P)^{(km+1)(m-1)}} - \frac{c_4}{H(P)^{(km+1)(m-1)+1}},$$

and using (33):

(36) 
$$|P(\gamma)| \geqslant \frac{c_6/2}{H(P)^{(km+1)(m-1)}} .$$

 $\gamma$  . The CAMPACAMAR and the second

2) If the second relation in (35) holds, writing (30) with n replaced by v + 1, from (35) 2) we obtain

$$(37) |P(\gamma)| \geqslant \frac{c_6}{H(P)^{km(m-1)((km+1)(m-1)+2)+m-1}} - \frac{c_4}{H(P)^{\omega(\nu+1)-1}},$$

and so by using first (35) and then (33):

(38) 
$$|P(\gamma)| \geqslant \frac{c_6/2}{H(P)^{km(m-1)\lfloor (km+1)(m-1)+2\rfloor+m-2}} .$$

As the exponent of H(P) on the right hand side of (38) is greater than that of (36), (38) is verified for all polynomials P(x) of degree at most m-1 and of height greater than max  $\left(q_{y_0}, \frac{2c_4}{c_6}\right)$ . This shows us that  $\mu(\gamma) \geqslant m$ .

This, together with the relation  $\mu(\gamma) \leqslant m$  gives us  $\mu(\gamma) = m$  also in case m > 1.

Note. It follows from the proof of Th. I that, if  $\xi$  is a Liouville number which satisfies the condition

(39) 
$$\lim_{n \to \infty} \inf w(n) > km(m-1) \left[ (km+1) (m-1) + 2 \right] + m + 1,$$

then the conclusion of Th. I is still true.

Special case. Let  $\alpha$  be an algebraic number of degree m. If  $\xi$  is a Liouville number which satisfies the condition (39), then the numbers  $\alpha + \xi$  and  $\alpha \xi$  belong to  $U_m$ .

P. ERDÖS [1] proved that, for every real number r, there exist Liouville numbers  $\xi_i(i=1,2,3,4)$  such that

(40) 
$$r = \xi_1 + \xi_2, \quad r = \xi_3 \cdot \xi_4.$$

If r is a real algebraic number of degree m (m > 1) we have the following

Corollary 1. Let  $\alpha$  be a real algebraic number of degree m (m>1), and let  $\xi_i (i=1,2,3,4)$  be Liouville numbers which satisfy the relations  $\alpha=\xi_1+\xi_2$ ,  $\alpha=\xi_3\cdot\xi_4$ . Then

(41) 
$$\lim_{n \to \infty} \inf_{\omega} \omega(n)_{\xi_i} \leq m^4 - m^3 + m^2 + 1 \qquad (i = 1, 2, 3, 4).$$

Proof. Suppose that  $\alpha = \xi_1 + \xi_2$  and  $\liminf_{n \to \infty} \omega(n)_{\xi_1} > m^4 - m^3 + m^2 + 1$ . If we take  $\gamma = \alpha - \xi_1$  in Th. I, we see from (39) for k = 1 that

$$\mu(\gamma) = \mu(\alpha - \xi_1) = \mu(\xi_2) \geqslant 2.$$

But this is impossible, since  $\mu(\xi_2) = 1$ .

Similarly, taking  $\gamma = \frac{1}{\alpha} \xi_3$  in Th. I, we obtain

$$\mu(\gamma) = \mu\left(\frac{1}{\alpha} \ \xi_3\right) = \mu(\xi_4^{-1}) \geqslant 2,$$

which is impossible since  $\mu(\xi_4^{-1}) = 1$ , by a well known property of Liouville numbers.

Hence we have  $\lim_{n\to\infty}\inf \omega(n)_{\xi_i}\leqslant m^4-m^3+m^2+1$  for i=1,2,3,4.

Corollary 2. Let  $\xi$  be a Liouville number such that  $\liminf_{n\to\infty} \omega(n)_{\xi} > 2m(m-1)\left[(2m+1)(m-1)+2\right]+m+1$ . Then, for every natural number k, there are numbers  $\gamma_i(i=1,2,3,4)$  which belong to  $U_k$  such that

**Proof.** Let  $\alpha$  be a real algebraic number of degree k. We see from Th. I and the property of  $\xi$  that, the numbers

$$\gamma_1 = \alpha + \frac{\xi}{2}$$
,  $\gamma_2 = -\alpha + \frac{\xi}{2}$ ,  $\gamma_3 = \alpha \xi^2$ ,  $\gamma_4 = \frac{1}{\alpha \xi}$ 

belong to  $U_k$  and we have  $\xi=\gamma_1+\gamma_2\,,\;\xi=\gamma_3\cdot\gamma_4\,.$ 

Theorem II. Let  $\alpha_l(i=0,\ldots,k),\ \beta_j(j=0,\ldots,l)$   $(k\geqslant 0,\ l\geqslant 0,\ \max\ (k,\ l)>0,\ \alpha_k\neq 0,\ \beta_l=1)$  be algebraic numbers, so that  $[Q(\alpha_0,\ldots,\alpha_k,\ \beta_0,\ldots,\beta_l):Q]=m,$  and let the polynomials  $C(x)=\alpha_0+\alpha_1\ x+\ldots+\alpha_k\ x^k,\ D(x)=\beta_0+\beta_1\ x+\ldots+\beta_l\ x^l$  be relatively prime. If  $\xi$  is a strong Liouville number, then the number  $\gamma=\frac{C(\xi)}{D(\xi)}$  belongs to  $U_m$ .

**Proof.** Let the convergents to the regular continued fraction expansion of the strong Liouville number  $\xi$  be  $\frac{p_n}{q_n}$  (n=0,1,...). Put

$$\left| \xi - \frac{P_n}{q_n} \right| = q_n^{-\omega(n)}.$$

Using Lemma 2, we have

(44) 
$$\begin{cases} C(\xi) - C\left(\frac{P_n}{q_n}\right) = \eta_4\left(\xi - \frac{P_n}{q_n}\right) \cdot C'(\delta_n) \\ D(\xi) - D\left(\frac{P_n}{q_n}\right) = \eta_5\left(\xi - \frac{P_n}{q_n}\right) \cdot D'(\widetilde{\delta_n}), \end{cases}$$

where  $\eta_4$  and  $\eta_5$  are complex numbers with  $0\leqslant |\eta_4|$ ,  $|\eta_5|\leqslant 1$  and  $\delta_n$ ,  $\delta_n$  are real numbers which lie in the interval  $\xi\cdots\frac{p_n}{q_n}\cdot \mathrm{Since}\lim_{n\to\infty}\frac{p_n}{q_n}=\xi$ , and  $D(\xi)\neq 0$ , there is a natural number  $N_4$  such that, for  $n>N_4$  the relations

$$\left\{ \left| \frac{P_n}{q_n} \right| < 2 \left| \xi \right|; \quad \left| \delta_n \right|, \left| \widetilde{\delta_n} \right| < 2 \left| \xi \right|; \quad \left| C \left( \frac{P_n}{q_n} \right) \right| < c_7 \right.$$

$$\left\{ \left| C'(\delta_n) \right| < c_8; \quad \left| D'(\widetilde{\delta_n}) \right| < c_9, \frac{1}{2} \left| D(\xi) \right| < \left| D \left( \frac{P_n}{q_n} \right) \right| < c_{10} \right.$$

hold, where  $c_7$ ,  $c_8$ ,  $c_9$ ,  $c_{10}$  are positive constants with respect to n.

Now, put  $y_n = \frac{C\left(\frac{P_n}{q_n}\right)}{D\left(\frac{P_n}{q_n}\right)}$ , and let  $P_n(x)$  denote the minimal polynomial

of the number  $\gamma_n$   $(n > N_4)$  and let  $H(P_n)$  denote the height of  $P_n(x)$ .

Using Lemma 2 with  $P(z) = P_n(z)$ ;  $z_1 = \gamma$ ,  $z_2 = \gamma_n$   $(n > N_4)$  we have

$$(46) P_n(\gamma) - P_n(\gamma_n) = \eta_6(\gamma - \gamma_n) P_n'(\widetilde{\delta_n}) (n > N_4),$$

where  $\widetilde{\delta_n}$   $(n > N_4)$  is a point on the segment  $\overline{\gamma}$ ,

Hence there is a real number t with  $0 \le t \le 1$ , such that

Using (45) we get

$$|\widetilde{\widetilde{\delta}_n}| \leqslant \left| \frac{C(\xi)}{D(\xi)} \right| + \frac{2 c_7}{|D(\xi)|} = c_{11} \qquad (n > N_4).$$

Let  $Q(\alpha_0,...,\alpha_k,\beta_0,...,\beta_l)=K$ . Since [K:Q]=m, the degree of  $P_n(x)$  is  $\leq m$ . Using this and (48) we obtain

$$(49) |P'_n(\widetilde{\delta}_n)| \leqslant m^2 \cdot \max(1, c_{11}^m) \cdot H(P_n) = c_{12} H(P_n) (n > N_4).$$

On the other hand, we see from (43), (44) and (45) that

(50) 
$$|\gamma - \gamma_n| = \left| \frac{C(\xi)}{D(\xi)} - \frac{C\left(\frac{P_n}{q_n}\right)}{D\left(\frac{P_n}{q_n}\right)} \right| \leqslant c_{13} q_n^{-\omega(n)},$$

with a suitable positive constant  $c_{13}$ .

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Since  $P_{n}(x) = 0$ , using (49) and (50) in (46) and putting  $c_{12} \cdot c_{13} = c_{14}$  we get

(51) 
$$|P_n(y)| \leq c_{14} q_n^{-\omega(n)} H(P_n)$$
  $(n > N_4)$ 

Now, we shall give an upper bound for the height  $h_{\gamma_n}=H(P_n)$  of  $\gamma_n$   $(n>N_4).$  By the definition of  $\gamma_n$  we have

$$\gamma_n \left[ \beta_0 + \beta_1 \left( \frac{P_n}{q_n} \right) + \ldots + \beta_l \left( \frac{P_n}{q_n} \right)^l \right] = \alpha_0 + \alpha_1 \left( \frac{P_n}{q_n} \right) + \ldots + \alpha_k \left( \frac{P_n}{q_n} \right)^k$$

so that after multiplying both sides by  $q_n^{\max(k, l)}$ :

(52) 
$$\gamma_n(B_0 \beta_0 + B_1 \beta_1 + ... + B_l \beta_l) - (A_0 \alpha_0 + A_1 \alpha_1 + ... + A_k \alpha_k) = 0,$$

where  $A_i(i=0,...,k)$  and  $B_j(j=0,...,l)$  are rational integers with

(53) 
$$|A_i|, |B_j| \le (\max(1, 2|\xi|))^{\max(k, l)} \cdot q_n^{\max(k, l)} = c_{15} q_n^{\max(k, l)}$$
  
 $(i = 0, ..., k; j = 0, ..., l; n > N_5),$ 

since 
$$\left|\frac{P_n}{q_n}\right| < 2 |\xi|$$
 for  $n > N_4$ .

According to this, we can use Lemma 3 with

$$g = m, d = 1, l_i = 1 \ (i = 0, 1, ..., k + l + 1), H \le c_{15} \cdot q_n^{\max(k, l)},$$

and we obtain

(54) 
$$H(P_n) \leqslant 3^{(k+l+4)m} \cdot q_n^{\max(k,l) \cdot m} \cdot c_{15}^m \prod_{i=0}^k (h_{a_i})^m \cdot \prod_{j=0}^l (h_{\beta_j})^m$$

or, by putting  $3^{(k+l+4)m} \cdot c_{15}^m \prod_{i=0}^k (h_{a_i})^m \prod_{j=0}^l (h_{\beta_j})^m = c_{16}$ :

(55) 
$$H(P_n) \leqslant c_{16} q_n^{m \cdot \max(k, l)} \qquad (n > N_4).$$

It can be seen easily that, the positive constant  $c_{16}$  is not dependent on  $q_n$ ; hence there is a natural number  $N_5$  such that  $q_n > c_{16}$  for  $n > N_5$ . Using this, (55) gives

$$(56) H(P_n) < q_n^{m \cdot \max(k, l) + 1}$$

for  $n > \max(N_4, N_5)$ . Using this in (51) we get

$$(57) |P_n(\gamma)| \leqslant c_{14} \frac{H(P_n)}{q_n^{\omega(n)}} \leqslant \frac{c_{14}}{H(P_n)^{m \cdot \max(k, l) + 1} - 1} (n > \max(N_4, N_5)).$$

In the same way as in the proof of the first part of Theorem I, it can be shown that we can extract from  $\{P_n(x)\}$  a subsequence  $\{P_{n,j_k}(x)\}$  such that

(58) 
$$0 < |P_{n_{j_k}}(\gamma)| \leqslant \frac{c_{14}}{\frac{\omega(n_{j_k})}{\prod_{k} \max(k, l) + 1} - 1}}$$

with 
$$\lim_{k\to\infty} H(P_{n_{j_k}}) = +\infty$$
 and  $\lim_{k\to\infty} \omega(n_{j_k}) = +\infty$ .

Since the degree of  $P_{n_{j_k}}(x) \leq m$ , the relation (58) shows that

$$(\divideontimes) \qquad \qquad \mu(\gamma) \leqslant m.$$

To complete the proof it suffices to show that we have  $\mu(\gamma) \ge m$ . For this we shall distinguish two cases according as m = 1 or m > 1:

Case 1. If m=1, from the definition of  $\mu(\gamma)$  we have  $\mu(\gamma) \ge 1$  and from above  $\mu(\gamma) \le 1$ , so that we get  $\mu(\gamma) = 1$ .

Case 2. Let m > 1 and let P(x) be a polynomial of degree f  $(0 \le f \le m-1)$  with integral coefficients and let H(P) denote as usual the height of P(x). If we use Lemma 2, we obtain as in the proof of the corresponding part of Theorem I:

(59) 
$$\begin{cases} P(\gamma) - P(\gamma_n) = \eta_7(\gamma - \gamma_n) \cdot P'(\sigma_n) \\ |P'(\sigma_n)| \leqslant c_{12} H(P), |\gamma - \gamma_n| \leqslant c_{13} q_n^{-\omega(n)} \end{cases}$$
  $(n > N_4),$ 

and consequently

On the other hand, by Lemma 5, there is a natural number  $N_6$ , so that the degree of the algebraic number  $\gamma_n$  is equal to m, for  $n > N_6$ . Since f < m, we have  $P(\gamma_n) \neq 0$  for  $n > N_6$ , so we may apply Lemma 1 with  $\alpha = \gamma_n (n > \max (N_4, N_6))$ , s = m, n = f, and we obtain

(61) 
$$|P(\gamma_n)| \ge \frac{1}{(m+1)^f (f+1)^{m-1} H(P)^{m-1} h_{\gamma_n}^f} \quad (n > \max(N_4, N_6)).$$

Using the relation  $f \le m-1$  and putting  $m^{1-m} (m+1)^{1-m} \cdot c_{16}^{1-m} = c_{17}$ , we obtain from (55) and (61):

(62) 
$$|P(\gamma_n)| \geqslant \frac{c_{17}}{H(P)^{m-1} q_n^{m(m-1) \cdot \max(k, l)}} (n > \max(N_4, N_6)).$$

(Note that  $h_{\gamma_n} = H(P_n)$ ).

On the other hand, since  $P(\gamma_n) \neq 0$  for  $n > \max(N_4, N_6)$ , we obtain from (60) and (62) that

(63) 
$$|P(\gamma)| \ge \frac{c_{17}}{H(P)^{m-1} q_n^{m(m-1) \max(k, l)}} - \frac{c_{14} H(P)}{q_n^{\omega(n)}} \quad (n > \max(N_4, N_6)).$$

Now, as  $\xi$  is taken as a strong Liouville number, there exists a natural number  $N_7$ , so that the relation

(64) 
$$w(n) > m(m-1) \max (k, l) [m(m-1) \max (k, l) + m + 1] + m + 1$$
  
holds for  $n > N_7$ .

Suppose that the polynomial P(x) satisfies the condition

(65) 
$$H(P) > \max\left(q_{\nu_0}, \frac{2c_{14}}{c_{17}}\right), \quad \nu_0 > \max(N_4, N_6, N_7).$$

It is clear that, for every polynomial P(x) with (65), there exists a natural number  $v \ge v_0$  such that

$$q_{\nu} \leqslant H(P) < q_{\nu+1}.$$

Finally, by combining the relations (64) and (31) we obtain the inequality  $q_{\nu} < q_{\nu+1}^{\frac{1}{m(m-1)\max{(k,\,l)+m+1}}}.$ 

Hence, we can consider two cases in (66) as follows:

(67) 
$$\begin{cases} a) & q_{\nu} \leqslant H(P) < q_{\nu+1}^{\frac{1}{m(m-1)\max(k,l)+m+1}}, \\ b) & q_{\nu+1}^{\frac{1}{m(m-1)\max(k,l)+m+1}} \leqslant H(P) < q_{\nu+1}. \end{cases}$$

I — Suppose that the first relation in (67) holds. Writing the relation (63) with n replaced by  $\nu$  and using (67) a) and (64) we get

(68) 
$$|P(\gamma)| \geqslant \frac{c_{17}/2}{H(P)^{m(m-1)\max(k,l)+m-1}}$$
 (H(P) Targe).

II — Suppose that the second relation in (67) holds. Writing (63) with n replaced by  $\nu + 1$  and using (67) b) and (64) we obtain

(69) 
$$|P(\gamma)| \geqslant \frac{c_{1\gamma}/2}{H(P)^{m(m-1)\max(k,l)\lfloor m(m-1)\max(k,l)+m+1\rfloor+m-1}}.$$

Since the degree of the polynomial P(x) can be any natural number f less than m, the relations (68) and (69) show that in any case

$$(X \times Y)$$
  $\mu(y) \geqslant m$ .

From (\*) and (\*) we get  $\mu(\gamma) = m$  and this completes the proof.

Note. If we take in Theorem II instead of the strong Liouville number  $\xi$ , a Liouville number which satisfies the condition (64), then the Theorem II remains true.

Now, we shall give a related theorem to Th. I, which is of easier application.

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Theorem III. Let  $\alpha_0, ..., \alpha_k \ (k \ge 1, \ \alpha_k \ne 0)$  be algebraic numbers and let  $[Q(\alpha_0, ..., \alpha_k): Q] = m$ , and let  $\xi$  be an irrational number which admits a rational approximation sequence  $\left\{\frac{a_i}{b_i}\right\}$   $(a_i, b_i \in \mathbb{Z}, b_i > 1 \text{ for } i > i_0$ , with a suitable  $i_0$ ) satisfying the conditions

$$\lim_{i\to\infty}\,\frac{\log\,b_{i+1}}{\log\,b_i}=+\,\infty\,,$$

2) 
$$\limsup_{i \to \infty} \frac{\log b_{i+1}}{\log \left| \xi - \frac{a_i}{b_i} \right|} < + \infty.$$

Then  $\xi$  is a Liouville number and  $\gamma = \alpha_0 + ... + \alpha_k \xi^k \in U_m$ .

**Proof.** From 1) we have immediately  $\lim_{i\to\infty}b_i=+\infty$ , and from 1) and 2) we obtain by division

(70) 
$$\lim_{i \to \infty} \left( \log \left| \xi - \frac{a_i}{b_i} \right|^{-1} / \log b_i \right) = + \infty,$$

which immediately shows that  $\xi$  is a Liouville number with  $\lim_{i\to\infty}\frac{a_i}{b_i}=\xi.$ 

In order to prove the second, main assertion of the theorem we shall show first that  $\mu(\gamma) \leq m$ .

If we set

(71) 
$$\left| \xi - \frac{a_i}{b_i} \right| = b_i^{-\omega_i},$$

we have by (70)

(72) 
$$\lim_{i\to\infty}\omega_i=+\infty.$$

Now, let  $P_i(x)$  denote the minimal polynomial of the algebraic number

$$\gamma_i = \alpha_0 + \alpha_1 \frac{a_i}{b_i} + ... + \alpha_k \left(\frac{a_i}{b_i}\right)^k$$
  $(i = 1,2,...).$ 

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By a similar reasoning to that given in the corresponding section ((14)-(22)) of the proof of Theorem I we obtain

(73) 
$$|P_{i}(\gamma)| \leqslant \frac{c_{18}}{\omega_{i}} \qquad \text{for } i > i_{1},$$

$$H(P_{i})^{\overline{km+1}-1}$$

where  $i_1$  is a suitable natural number,  $c_{18}$  is a positive constant which depends only on k, m,  $\alpha_0$ ,...,  $\alpha_k$ ,  $\xi$  but not on i and  $H(P_i)$  denotes the height of  $P_i(x)$ .

From (73) we obtain using the fact that  $\xi$  is a Liouville number-again an in Theorem I, (22) - (24), - that

(74) 
$$0 < |P_{i_{j_{k}}}(\gamma)| \leqslant \frac{c_{18}}{\overset{\omega_{i_{j_{k}}}}{j_{k}}},$$
 
$$H(P_{i_{j_{k}}})^{\frac{j_{k}}{km+1}-1}$$

with  $\lim_{k\to\infty} H(P_{i_{j_k}})=+\infty$  and  $\lim_{k\to\infty} \omega_{i_{j_k}}=+\infty$ . The relation (73) shows that

$$(\times) \qquad \qquad \mu(\gamma) \leqslant m.$$

If m=1, we get from (\*) immediately  $\mu(\gamma)=1$ , as we have always  $\mu(\gamma)\geqslant 1$ .

Next, assume m > 1. In this case we shall show that

$$(\times \times)$$
  $\mu(\gamma) \geqslant m$ ,

which together with (\*) will conclude the proof of the theorem.

Now we can show as in Theorem I ((11) - (20)) that there exist positive constants  $c_{19}$  and  $c_{20}$  which depend only on  $\alpha_j$  (j = 0, ..., k), k, m,  $\xi$ , and a natural number  $i_2$  such that the relations

$$\left|\frac{a_i}{b_i}\right| < 2 |\xi| \qquad (i > i_2).$$

(77) 
$$H(P_i) \leq c_{20} b_i^{km} \qquad (i > i_2),$$

hold.

Let P(x) be an arbitrary polynomial of degree  $f(0 \le f \le m-1)$  with rational integral coefficients and let H(P) denote the height of P(x). Then, we have by Lemma 2

(78) 
$$P(\gamma) - P(\gamma_i) = \eta_s(\gamma - \gamma_i). P'(\rho_i) \qquad (i > i_2),$$

where  $\eta_8$  is a complex number with  $0 \le |\eta_8| \le 1$  and  $\rho_i$  is a point on the segment  $\overline{\gamma \gamma_i}$ .

As in the proof of Theorem I ((16) - (17)), there is a positive constant  $c_{21}$  depending only on  $\alpha_i(j=0,...,k)$ , k, m,  $\xi$  such that

(79) 
$$|P'(\rho_i)| < c_{21} H(P) \qquad (i > i_2).$$

Combining the relations (76), (78) and (79), and putting  $c_{19} \cdot c_{21} = c_{22}$  we obtain

(80) 
$$|P(\gamma)| \geqslant |P(\gamma_i)| - c_{22} \cdot \left| \xi - \frac{a_i}{b_i} \right| \cdot H(P) \qquad (i > i_2).$$

Let

(81) 
$$\lambda = \limsup_{i \to \infty} \frac{\log b_{i+1}}{\log \left| \zeta - \frac{a_i}{b_i} \right|^{-1}}.$$

According to the condition 2) of the Theorem,  $\lambda$  is a finite number, which is obviously non-negative.

Let t be a fixed natural number satisfying the inequality

$$(82) t > \lambda.$$

Then

$$(83) t \geqslant 1,$$

and by condition 3) we have for sufficiently large i, say for  $i > i_3$ :

(84) 
$$\frac{\log |b_{i+1}|}{\log \left| |\xi - \frac{a_i}{b_i}| \right|} < t,$$

which is equivalent to

$$\left| \xi - \frac{a_i}{b_i} \right| < \frac{1}{\frac{1}{b_{i+1}^{i}}} \qquad (i > i_3).$$

(80) and (85) together give us now:

(86) 
$$|P(\gamma)| > |P(\gamma_i)| - \frac{c_{22} H(P)}{\frac{1}{b_{i+1}^t}} \qquad (i > \max(i_2, i_3)).$$

On the other hand by Lemma 5, there exists a natural number  $i_4$ , such that for  $i > i_4$ ,  $\gamma_i$  is exactly of degree m. As the degree f of P(x) is at most m-1, we have  $P(\gamma_i) \neq 0$  for  $i > i_4$ . Hence by Lemma 1 we have

(87) 
$$|P(\gamma_i)| \ge \frac{1}{(f+1)^{m-1} (m+1)^f H(P)^{m-1} H(P_i)^f} (i > i_4).$$

Using  $f \leq m-1$  this gives

(86), (88) and (77) give together

where we have put  $m^{1-m} (m+1)^{1-m} \cdot c_{20}^{1-m} = c_{23}$ .

According to the condition 1) of the Theorem we can find an index  $i_5$ , such that the following inequality holds:

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(90) 
$$\log b'_{+1} / \log b_i > \mu$$
  $(i > i_5),$ 

with  $\mu = km(m-1)[km(m-1) + m + 1]t^2 + (m+1)t$ .

Finally, suppose that the polynomial P(x) satisfies the further condition

(91) 
$$H(P) > \max \left( b_{\max(i_2, i_3, i_4, i_5)}, \frac{2 c_{22}}{c_{23}} \right) = H_0.$$

From (90) and (83) we get  $b_{i+1} > b_i (i > i_5)$ , and it is clear that, for every such polynomial there is a natural number  $j \ge \max(i_2, i_3, i_4, i_5)$ , such that

$$(92) b_j \leqslant H(P) < b_{j+1}.$$

As in the proofs of the two previous theorems we distinguish two cases as follows:

(93) 
$$\begin{cases} a) & b_{j} \leqslant H(P) < b_{j+1}^{\frac{1}{t \lceil km(m-1) + m + 1 \rceil}} \\ b) & b_{j+1}^{\frac{1}{t \lceil km(m-1) + m + 1 \rceil}} \leqslant H(P) < b_{j+1}. \end{cases}$$

1 — Suppose that the inequality (93) a) holds. Writing (89) with i replaced by j and using (93) a) and (91) we obtain

(94) 
$$|P(\gamma)| > \frac{c_{23}/2}{H(P)^{km(m-1)+m-1}}.$$

2 — If the inequality (93) b) holds, we get first by writing (89) with i replaced by j+1

(95) 
$$|P(\gamma)| > \frac{c_{23}}{H(P)^{m-1}} \frac{c_{23}}{b_{j+1}^{km(m-1)}} - \frac{c_{22}}{b_{j+2}^{\frac{1}{4}}}.$$

Using the first half of (93) b), (95) becomes

(96) 
$$|P(\gamma)| > \frac{c_{23}}{H(P)^{\iota [km(m-1)+m+1]+m-1}} - \frac{c_{22} H(P)}{b_{j+2}^{\iota}} .$$

Now, (90) with i = j + 1 gives

(97) 
$$b_{j+2}^{\frac{1}{t}} > b_{j+1}^{t \lceil km(m-1) + m + 1 \rceil + m + 1}.$$

Using the second half of (93) h) this gives

(98) 
$$b_{j+2}^{\frac{1}{t}} > H(P)^{t [km(m-1)+m+1]+m+1}.$$

Putting (98) in (96) and using (91) gives us at last

(99) 
$$|P(\gamma)| > -\frac{c_{23}/2}{H(P)^{t [km(m-1)+m+1]+m-1}} .$$

As the right hand side of (99) is less than that of (94), we have in both cases (93) a) and (93) b):

$$|P(\gamma)| > \frac{c_{23}/2}{H(P)^{t \lceil km(m-1) + m + 1 \rceil + m - 1}}$$

for any polynomial P(x) whose degree < m and whose height  $> H_0$ .

Therefore  $\mu(\xi) \geqslant m$ , which concludes the proof of the theorem.

Note. As an example to the Liouville number in Theorem III we can take the number

$$\xi = \frac{1}{2^{0!}} + \frac{1}{2^{1!}} + \frac{1}{2^{2!}} + \dots + \frac{1}{2^{n!}} + \dots.$$

In fact, if we put

$$\frac{a_i}{b_i} = \frac{1}{2^{0!}} + \frac{1}{2^{1!}} + \dots + \frac{1}{2^{i!}} \qquad (i = 0, 1, \dots),$$

we have

$$b_i = 2^{i!}, \left| \xi - \frac{a_i}{b_i} \right| < \frac{2}{2^{(i+1)!}}$$
 (i = 0,1,...).

These relations give us

$$\begin{split} \frac{\log b_{i+1}}{\log b_i} &= i+1 \;, \\ \frac{\log b_{i+1}}{\log \left| \; \xi - \frac{a_i}{b_i} \; \right|^{-1}} &< \frac{(i+1)!}{(i+1)!-1} \;, \end{split}$$

which show immediately that the conditions 1) and 2) of the Theorem III are satisfied.

## CHAPTER II

In this chapter, we shall show directly, i.e. without using the fact  $U_m^* = U_m(m = 1, 2, ...)$ , that the classes  $U_m^*(m = 1, 2, ...)$ , in the classification of Koksma are not empty.

Koksma's classification. Let  $\xi$  he a complex number. Suppose that  $\alpha$  is an algebraic number of degree n and P(x) is the irreducible polynomial of  $\alpha$ , normalized such that its coefficients are relatively prime and its first coefficient is positive. One then defines the height  $H(\alpha)$  of  $\alpha$  by  $H(\alpha) = H(P)$ .

Now put

$$w_n^*(H, \xi) = \min_{\substack{deg \ \alpha \leqslant n \\ H(a) \leqslant n \\ \alpha \neq \xi}} |\xi - \alpha|$$

and next put

$$w_n^*(\xi) = \limsup_{H \to \infty} \frac{-\log (H \, w_n^*(H, \xi))}{\log H},$$

$$w^*(\xi) = \limsup_{n \to \infty} \frac{w_n^*(\xi)}{n}.$$

 $w_n^*(H, \xi)$  is a nonincreasing function of H and the functions  $w_n^*(\xi)$  and  $w^*(\xi)$  satisfy the respective inequalities  $0 \le w_n^*(\xi) \le \infty$ ,

 $0 \le w^*(\xi) \le \infty$ . Let  $\mu^*(\xi)$  be the smallest number n with  $w_n^*(\xi) = \infty$ , if such integers exist, otherwise put  $\mu^*(\xi) = \infty$ .

Call  $\xi$  an

$$A^*$$
 — number if  $w^*(\xi) = 0$  ,  $\mu^*(\xi) = \infty$ ,  $S^*$  — number if  $0 < w^*(\xi) < \infty$ ,  $\mu^*(\xi) = \infty$ ,  $T^*$  — number if  $w^*(\xi) = \infty$ ,  $\mu^*(\xi) = \infty$ ,  $u^*(\xi) < \infty$ .  $u^*(\xi) = \infty$ ,  $u^*(\xi) < \infty$ .

(See KOKSMA [1]). By the definition of  $U^*$ , the set  $U_m^* = \{\xi \in U^* \mid \mu(\xi) = m\}$  is a subclass of  $U^*$  and  $U_m^* \cap U_n^* = \phi$ , if  $m \neq n$ . Hence we have the partition  $U^* = \bigcup_{m=1}^{\infty} U_m^*$ .

Theorem. Let  $\alpha_0, ..., \alpha_k$ ,  $\beta_0, ..., \beta_l (k \ge 0, l \ge 0, \max (k, l) > 0, \beta_l = 1)$  be algebraic numbers with  $[Q(\alpha_0, ..., \alpha_k, \beta_0, ..., \beta_l) : Q] = m$  and let  $\xi$  be a strong Liouville number. If the polynomials  $C(x) = \alpha_0 + ... + \alpha_k x^k$ ,  $D(x) = \beta_0 + ... + \beta_l x^l$  are relatively prime, then  $\gamma = \frac{C(\xi)}{D(\xi)}$  belongs to  $U_m^*$ .

**Proof.** Let the convergents of the regular continued fraction expansion of  $\xi$  be  $\frac{a_n}{b_n}$  (n=1,2,...). Put

(1) 
$$\left| \xi - \frac{a_n}{b_n} \right| = b_n^{-\omega(n)}.$$

It is clear that the equation D(x)=0 has only a finite number of solutions in Q, that is, there exist a natural number  $N_0$ , such that if  $n>N_0$ , then  $D\left(\frac{a_n}{b_n}\right)\neq 0$ .

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Now we put

(2) 
$$\gamma_n = \frac{C\left(\frac{a_n}{b_n}\right)}{D\left(\frac{a_n}{b_n}\right)} \qquad (n > N_0).$$

By the definition of the algebraic number  $\gamma_n$ , the value of the polynomial

(3) 
$$F_{n}(y, x_{0}, \dots x_{k}, \dots, x_{k+l+1}) = b_{n}^{\max(k, l)} y(x_{k+1} + \left(\frac{a_{n}}{b_{n}}\right) x_{k+2} + \dots + \left(\frac{a_{n}}{b_{n}}\right)^{l} x_{k+l+1} - b_{n}^{\max(k, l)} \cdot \left(x_{0} + \frac{a_{n}}{b_{n}} x_{1} + \dots + \left(\frac{a_{n}}{b_{n}}\right)^{k} x_{k}\right)$$

is zero for  $y=\gamma_n$  ,  $x_i=\alpha_i (i=0,...,k)$ ,  $x_{k+j+1}=\beta_j (j=0,...,l)$ .

On the other hand, since  $\lim_{n\to\infty}\frac{a_n}{b_n}=\xi,\ \xi\neq 0$ , there is a natural number  $N_1$ , such that if  $n>N_1$  then  $|a_n|<2$   $|\xi|$   $|b_n$ .

Hence we have

(4) 
$$H_n \leqslant (\max(1, c_1))^{\max(k, l)} \cdot b_n^{\max(k, l)} \qquad (n > \max(N_0, N_1)),$$

where  $c_1 = 2|\xi|$  and  $H_n$  is the maximum of the absolute values of the coefficients of  $F_n(y, x_0, ..., x_{k+l+1})$ .

Now, by Lemma 3 in Chapter I and by (4) we obtain

(5) 
$$H_{\gamma_n} \leqslant c_2 b_n^{\max(k,l),m} \text{ for } n > \max(N_0, N_1),$$

where  $c_2$  is a positive constant, which depends on  $\xi$ , m, k, l,  $\alpha_0$ ,...,  $\alpha_k$ ,  $\beta_0$ ,...,  $\beta_l$ , but not on  $H_{\gamma_n}$ .

As  $b_n \to +\infty$  for  $n\to\infty$ , we have  $c_2\leqslant b_n$  for  $n>N_2$ , and we obtain from (5):

$$H_{\gamma_n} \leqslant b_n^{m, \max(k, l) + 1}.$$

Next, by using Lemma 2 in Chapter I, we get

(7) 
$$\begin{cases} C(\xi) = C\left(\frac{a_n}{b_n}\right) + \left(\xi - \frac{a_n}{b_n}\right) \cdot t_1(n), & |t_1(n)| < c_3, \\ D(\xi) = D\left(\frac{a_n}{b_n}\right) + \left(\xi - \frac{a_n}{b_n}\right) \cdot t_2(n), & |t_2(n)| < c_4, \end{cases}$$

where  $c_3$  and  $c_4$  are positive constants. Hence from (1) and (7) we have

(8) 
$$|\gamma - \gamma_n| \leq \frac{\left| D\left(\frac{a_n}{b_n}\right) \right| \cdot |t_1(n)| + \left| C\left(\frac{a_n}{b_n}\right) \right| \cdot |t_2(n)|}{|D(\xi)| \cdot \left| D\left(\frac{a_n}{b_n}\right) \right|} \cdot b_n^{-\omega(n)}.$$

Since  $\lim_{n\to\infty}\frac{a_n}{b_n}=\xi$ , there is a natural number  $N_3$  and a positive constant  $c_5$ , so that the relations

(9) 
$$\left| D\left(\frac{a_n}{b_n}\right) \right|, \left| C\left(\frac{a_n}{b_n}\right) \right| < c_5, \left| D\left(\frac{a_n}{b_n}\right) \right| > \frac{1}{2} |D(\xi)| > 0$$

hold for  $n > N_3$ . Combining the relations (8) and (9) we obtain

where  $c_6$  is again a positive constant. ( $\gamma = \gamma_n$  is impossible, as this would entail that  $\xi$  is algebraic.)

As  $\limsup_{n\to\infty}\omega(n)=+\infty$ , we can choose a subsequence  $\{\omega(n_j)\}$ , such that  $\lim_{j\to\infty}\omega(n_j)=+\infty$ . As  $b_{n_j}$  tend to  $+\infty$  with  $j\to\infty$ , (10) with  $n=n_j$  (j=1,2,...) gives us, that  $\{\gamma_{n_j}\}$  has an infinite number of different terms (otherwise  $b_{n_j}^{-\omega(n_j)}$  would have a positive lower bound). If we put  $H_{\gamma_n}=H(\gamma_n)$ , the sequence  $\{H(\gamma_{n_j})\}$  has a subsequence  $\{H(\gamma_{n_j})\}$  tending to  $+\infty$ 

(otherwise the sequence  $\{\gamma_{n_j}\}$  as consisting of algebraic numbers of bounded height and bounded degree would contain only a finite number of different terms).

Finally putting (6) in (10) we get for  $\{\gamma_{n_{j_k}}\}$ 

(11) 
$$0 < |\gamma - \gamma_{n_{j_k}}| \leqslant \frac{c_6}{\frac{\omega(n_{j_k})}{m \cdot \max(k, l) + 1}} \quad \text{for} \quad n_{j_k} > \bar{N}.$$

(11) gives us  $\mu^*(\gamma) \leq m$ . To prove the opposite inequalty  $\mu^*(\gamma) \geq m$  we distinguish two cases as follows:

I — If m = 1, then  $\mu^*(\gamma) \leq 1$  and as always  $\mu^*(\gamma) \geq 1$ , so  $\mu^*(\gamma) = 1$ . Hence in this case the proof is complete.

II — Suppose that m>1. Let  $\beta$  be an algebraic number of degree  $s(1\leqslant s\leqslant m-1)$  and let  $H(\beta)$  be the height of  $\beta$ . By Lemma 5 in Chapler I, there exists a natural number  $N_4$ , such that the degree of the algebraic number  $\gamma_n$  is equal to m, if  $n>N_4$ . On the other hand, since  $s\leqslant m-1$ , the minimal polynomial of  $\beta$  is different from the minimal polynomial of  $\gamma_n(n>N_4)$ . Hence we may use Lemma 4 in Chapter I with (5), and we obtain

$$(12) \quad |\gamma_n - \beta| \geqslant \frac{1}{2^{m-1} m^m (m+1)^{m-1} (\max(1, c_2))^{m-1} H(\beta)^m b_n^{m(m-1) \max(k, l)}}$$

and putting  $2^{1-m} m^{-m} (m+1)^{1-m} (\max (I, c_2))^{1-m} = c_7$  we have

(13) 
$$|\gamma_n - \beta| \ge \frac{c_7}{H(\beta)^m b_n^{m(m-1) \max(k, l)}} \quad (n > \max(N_0, N_1, N_2, N_3, N_4)).$$

Next, using the inequality  $|\gamma - \beta| = |(\gamma_n - \beta) + (\gamma - \gamma_n)| \ge |\gamma_n - \beta| - |\gamma - \gamma_n|$ , and (10), (13) we obtain

(14) 
$$|\gamma - \beta| \geqslant \frac{c_7}{H(\beta)^m b_n^{m(m-1) \max(k, l)}} - \frac{c_6}{b_n^{\omega(n)}} .$$

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Now, since  $\xi$  is strong, then there is a natural number  $N_5$  such that the inequality

(15) 
$$\omega(n) > m(m-1) \max(k, l) [m(m-1) \max(k, l) + m + 1] + m + 1$$

holds for  $n>N_{\rm S}$  . Finally, suppose that the algebraic number  $\beta$  satisfies the condition

(16) 
$$H(\beta) > \max \left( b_{\max(N_0, N_1, N_2, N_3, N_4, N_5)}, \frac{2 c_6}{c_7} \right) = H_0.$$

It is clear that, for every  $H(\beta)$  with (16), there exists a natural number j, such that

$$(17) b_j \leqslant H(\beta) < b_{j+1}.$$

On the other hand, since  $b_{j+1}^{\frac{1}{m(m-1)\max{(k,l)+m+1}}} \geqslant b_j$ , we can consider two cases in (17) as follows:

(18) 
$$\begin{cases} a) & b_{j} \leqslant H(\beta) < b_{j+1}^{\frac{1}{m(m-1)\max{(k, l)+m+1}}} \\ b) & b_{j+1}^{\frac{1}{m(m-1)\max{(k, l)+m+1}}} \leqslant H(\beta) < b_{j+1}. \end{cases}$$

I — Suppose that (18) a) holds. Then writing (14) with n replaced by j and using (15), (16) and (18) a), we obtain

(19) 
$$|\gamma - \beta| \ge \frac{c_7}{H(\beta)^{m(m-1)\max(k, l) + m}} - \frac{c_6}{H(\beta)^{m(m-1)\max(k, l) + m + 1}}$$
$$\ge \frac{c_7/2}{H(\beta)^{m(m-1)\max(k, l) + m}}$$

for  $H(\beta) > H_0$ .

II — If (18) b) holds, writing (14) with n replaced by j+1 and using (15), (16) and (18) b) we have

(20) 
$$|\gamma - \beta| \ge \frac{c_{7}/2}{H(\beta)^{m(m-1)\max(k,l)\lceil m(m-1)\max(k,l) + m + 1\rceil + m}}$$

for  $H(\beta) > H_0$ .

Hence the relations (19) and (20) show that  $\mu^*(\gamma) \ge m$ . But we had  $\mu^*(\gamma) \le m$ , therefore  $\mu^*(\gamma) = m$ , and the proof is completed.

# CHAPTER HI

In this chapter, we shall show that the classes  $U_m(m=1,2,...)$  for the Hensel's field  $Q_p$  of p adic numbers are not empty.

Mahler's classification in  $Q_p$ . Let P(x) be a polynomial with integral coefficients and H(P) be the height of P(x).

Suppose that m and A are two natural number and  $\alpha \in Q_p$ .

Then Mahler puts

$$\omega_m(\alpha \mid A) = \min_{\substack{deg \ P \leqslant m \\ H(P) \leqslant A \\ P(\alpha) \neq 0}} (\mid P(\alpha) \mid_p).$$

It is clear that  $0 \leqslant \omega_m(\alpha \mid A) \leqslant 1$ , since, if P(x) = 1, then  $|P(\alpha)|_p = 1$ .

Next Mahler puts

$$\omega_m(\alpha) = \limsup_{A \to \infty} \frac{-\log \omega_m(\alpha \mid A)}{\log A}$$

and

$$\omega(\alpha) = \limsup_{m \to \infty} \frac{\omega_m(\alpha)}{m}$$

By what we said above,  $\omega_m(\alpha)$  as a function of m is nondecreasing. One has,  $0 \le \omega_m(\alpha) \le \infty$  and  $0 \le \omega(\alpha) \le \infty$ .

If  $\omega_m(\alpha) = \infty$  for some integer m, let  $\mu(\alpha)$  be the smallest such integer; if  $\omega_m(\alpha) < \infty$  for every m, put  $\mu(\alpha) = \infty$ .

Mahler calls the number α an

$$A$$
 — number if  $\omega(\alpha) = 0$  ,  $\mu(\alpha) = \infty$  ,  $S$  — number if  $0 < \omega(\alpha) < \infty$  ,  $\mu(\alpha) = \infty$  ,  $U$  — number if  $\omega(\alpha) = \infty$  ,  $\mu(\alpha) = \infty$  ,  $\mu(\alpha) < \infty$  ,  $\mu(\alpha) < \infty$ 

(K. MAIILER [²]). By the definition of U, the set  $U_m = \{\alpha \in U \mid \mu(\alpha) = m\}$  is a subset of U and we have  $U = \bigcup_{m=1}^{\infty} U_m$ .

It is clear that,  $U_1$  is not empty; for example the p-adic number  $\sum_{n=1}^{\infty} p^{n!}$  belongs to  $U_1$ . Now, to prove that  $U_m$  is not empty, we shall use following lemmas:

Lemma 1. Let  $P(x) = a_0 + a_1 x + ... + a_{m_0} x^{m_0}$  be a polynomial of degree  $m_0$  with integral coefficients and  $\alpha$  be a p-adic algebraic number of degree M with  $P(\alpha) \neq 0$ . Then the relation

$$|P(\alpha)|_{p} \geqslant \frac{p^{(M-1)t}}{(M+m_{0})! H(P)^{M} H(\alpha)^{m_{0}}}$$

holds, where  $|\alpha|_p = p^{-h}$ ,  $t = \min(0, h)$ , and H(P),  $H(\alpha)$  are the height of P(x) and the height of the minimal polynomial of the algebraic number  $\alpha$  respectively (K. MAHLER [2], P. 179 - 181).

Lemma 2. Let  $\alpha_0, \ldots, \alpha_k, \beta_0, \ldots, \beta_l$   $(k \ge 0, l \ge 0, \max(k, l) \ge 1, \alpha_k \ne 0, \beta_l = 1)$  be algebraic number in  $Q_p$ . If the polynomials  $C(x) = \alpha_0 + \alpha_1 x + \ldots + \alpha_k x^k, D(x) = \beta_0 + \beta_1 x + \ldots + \beta_l x^l$  are relatively prime, then for  $x \in Q_p$  the p-adic number  $\frac{C(x)}{D(x)}$  is a primitive element

of the field  $Q(\alpha_0,...,\alpha_k,\beta_0,...,\beta_l)=K$  except for only a finite number of values of x.

Lemma 3. Let  $\alpha_1,...,\alpha_k$   $(k \ge 1)$  be algebraic numbers in  $Q_p$  with  $[Q(\alpha_1,...,\alpha_k):Q]=g$  and let  $F(y,x_1,...,x_k)$  be a polynomial with integral coefficients, whose degree in y is at least one. If  $\eta$  is an algebraic number such that  $F(\eta,\alpha_1,...,\alpha_k)=0$ , then the degree of  $\eta \le dg$  and

$$h_{\eta} \leqslant 3^{2 dg + (l_1 + \dots l_k) g} \cdot H^g h_{a_1}^{l_1 g} \cdots h_{a_k}^{l_k g},$$

where  $h_{\eta}$  is the height of  $\eta$ ,  $h_{\alpha_i}$  is the height of  $\alpha_i (i=1,...,k)$ , H is the maximum of the absolute values of the coefficients of F,  $l_i$  is the degree of F in  $x_i (i=1,...,k)$ , and d is the degree of F in y.

The proof is the same as in the Lemma 3 in Chapter I.

Theorem I. Let  $\alpha_0,...,\alpha_k$ ,  $\beta_0,...,\beta_l$   $(k \ge 0, l \ge 0, \max(k, l) > 0,$   $\alpha_k \ne 0, \beta_l = 1)$  be algebraic numbers in  $Q_p$  with  $[Q(\alpha_0,...,\alpha_k,\beta_0,...,\beta_l):Q] = m,$  and  $\xi \in Q_p$  be a p-adic number, whose canonical form is  $\xi = a_0 p^{u_0} + a_1 p^{u_1} + ... + a_n p^{u_n} + ... \quad (0 < a_n < p, a_n \in \mathbb{Z} \quad (n = 0,1,...),$  where  $u_0 \ge 0$ ,  $\lim_{n \to \infty} \frac{u_{n+1}}{u_n} = \infty$ .

If the polynomials  $C(x) = \alpha_0 + \alpha_1 x + ... + \alpha_k x^k$ ,  $D(x) = \beta_0 + \beta_1 x + ... + \beta_l x^l$  are relatively prime, then the p-adic number  $\gamma = \frac{C(\xi)}{D(\xi)}$  belongs to  $U_m$ .

Proof. Let us put

(1) 
$$\xi_n = a_0 p^{u_0} + a_1 p^{u_1} + \dots + a_n p^{u_n}, \ \rho_n = a_{n+1} p^{u_{n+1}} + \dots \ (n = 0,1,\dots)$$

By approximating  $\xi$  with  $\xi_n$  and taking into account the condition  $\lim_{n\to\infty}\frac{u_{n+1}}{u_n}=+\infty\,, \text{ we see easily that } \xi\in U_1\,.$ 

We have

(2) 
$$\xi = \xi_n + \rho_n \qquad (n = 0, 1, ...),$$

and so

(3) 
$$\begin{cases} C(\xi) = C(\xi_n) + \rho_n \left[ \alpha_1 + \alpha_2 (2 \xi_n + \rho_n) + \dots + \alpha_k (k \xi_n^{k-1} + \dots + \rho_n^{k-1}) \right] \\ D(\xi) = D(\xi_n) + \rho_n \left[ \beta_1 + \beta_2 (2 \xi_n + \rho_n) + \dots + \beta_l (l \xi_n^{l-1} + \dots + \rho_n^{l-1}) \right]. \end{cases}$$

Next put

$$\begin{cases} \alpha_1 + \alpha_2(2 \, \xi_n + \rho_n) + \ldots + \alpha_k(k \, \xi_n^{k-1} + \ldots + \rho_n^{k-1}) = \widetilde{\delta_n} \\ \beta_1 + \beta_2(2 \, \xi_n + \rho_n) + \ldots + \beta_l(l \, \xi_n^{l-1} + \ldots + \rho_n^{l-1}) = \widetilde{\widetilde{\delta_n}} \end{cases} (n = 0, 1, \ldots).$$

It is clear that the equation D(x)=0 has only finitely many solutions in Q, hence there exists a natural number  $N_0$ , such that  $D(\xi_n)\neq 0$  for every  $n>N_0$ . Hence by the definition of  $\gamma$  and by (3) we obtain

(5) 
$$\gamma = \frac{C(\xi)}{D(\xi)} = \frac{C(\xi_n)}{D(\xi_n)} + \rho_n \frac{D(\xi_n) \widetilde{\delta_n} - C(\xi_n) \widetilde{\delta_n}}{D(\xi_n)} \qquad (n > N_0),$$

and so putting

$$\gamma_n = rac{C(\xi_n)}{D(\xi_n)} , \ \ \sigma_n = rac{D(\xi_n) \stackrel{\sim}{\delta_n} - C(\xi_n) \stackrel{\sim}{\widetilde{\delta_n}}}{D(\xi_n) \ D(\xi)} \ \ (n > N_0),$$

we have

$$\gamma = \gamma_n + \rho_n \, \sigma_n \qquad (n > N_0).$$

Let 
$$|\alpha_i|_p = p^{-h_i} (i = 0, 1, \dots k), |\beta_i|_p = p^{-e_j} (j = 0, 1, \dots l),$$
  
 $t_0 = \min(0, h_0, \dots h_k), t_1 = \min(0, e_0, e_1, \dots e_l), t_2 = \max(0, e_0, \dots e_l).$ 

Now, since  $u_0 \geqslant 0$ ,  $\xi$  and  $\xi_n$  are p-adic integers. Hence, by definitions of the p-adic numbers  $\overbrace{\delta_n}$ ,  $\overbrace{\delta_n}$ ,  $\gamma_n$ ,  $\sigma_n$   $(n > N_0)$ , we see that

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(7) 
$$\begin{cases} |\widetilde{\delta_n}|_p \leqslant p^{-t_0}, & |\widetilde{\widetilde{\delta_n}}|_p \leqslant p^{-t_1} \\ |\gamma_n|_p \leqslant p^{t_2-t_0}, & |\sigma_n|_p \leqslant p^{2t_2-t_0-t_1} \end{cases}$$
  $(n > N_0).$ 

Now, let

(8) 
$$P_n(x) = b_0^{(n)} + b_1^{(n)} x + \dots + b_f^{(n)} x^f \qquad (f \leqslant m, n > N_0)$$

be the minimal polynomial of  $\gamma_n(n > N_0)$  and  $H(P_n)$  be the height of  $P_n(x)$ . We see from (6) that

$$(9) P_n(\gamma) = P_n(\gamma_n + \rho_n \sigma_n) (n > N_0),$$

and so

(10) 
$$P_n(\gamma) = P_n(\gamma_n) + \rho_n \left[ b_1^{(n)} \sigma_n + \dots + b_f^{(n)} (f \gamma_n^{f-1} \sigma_n + \dots + \rho_n^{f-1} \sigma_n^f) \right]$$

or, putting  $b_1^{(n)}\sigma_n+\ldots+b_f^{(n)}(f\gamma_n^{f-1}\sigma_n+\ldots+\rho_n^{f-1}\sigma_n^f)=\overset{\sim}{\sigma}_n$ , we have

(11) 
$$P_n(\gamma) = P_n(\gamma_n) + \rho_n \widetilde{\sigma}_n \qquad (n > N_0).$$

But we have  $P_n(\gamma_n) = 0$ , hence using this and (1), (11) and (7) we obtain that

(12) 
$$|P_n(\gamma)|_p \leqslant \frac{p^{m(2t_2-t_0-t_1)}}{p^{u_{n+1}}} = \frac{c_1}{p^{u_{n+1}}} \qquad (n > N_0).$$

It is clear that  $c_1$  is a positive constant.

Now, we shall give an upper bound for  $H(P_n)$   $(n > N_0)$ . Since  $\gamma_n(\beta_0 + \beta_1 \ \xi_n + \ldots + \beta_l \ \xi_n^l) - (\alpha_0 + \alpha_1 \ \xi_n + \ldots + \alpha_k \ \xi_n^k) = 0$ , the value of the function

$$F(y, x_0, ..., x_{k+l+1}) = y(x_{k+1} + \xi_n x_{k+2} + ... + \xi_n^l x_{k+l+1})$$

$$- x_0 - \xi_n x_1 - ... - \xi_n^k x_k$$

is zero for  $y = \gamma_n (n > N_0)$ ,  $x_i = \alpha_i (i = 0,...,k)$ ,  $x_{k+j+1} = \beta_j (j = 0,...,l)$  and the maximum of the absolute values of the coefficients of  $F(y, x_0, ..., x_{k+l+1})$  is at most  $p^{2 \max(k, l) \cdot u_n} (n > N_0)$ .

Using this in Lemma 3, we have

(13) 
$$h_{\gamma_n} = H(P_n) \leqslant 3^{(k+l+4)m} \cdot p^{2m \max(k, l)u_n} \cdot h_{\alpha_0}^m \cdots h_{\alpha_k}^m \cdot h_{\beta_0}^m \cdots h_{\beta_l}^m,$$

or putting  $c_2 = 3^{(k+l+4)m} \cdot h_{a_0}^m \cdots h_{a_k}^m \cdot h_{\beta_0}^m \cdots h_{\beta_1}^m$ ,

(14) 
$$H(P_n) \leqslant c_2 \cdot p^{2 \max(k, l) u_n} \qquad (n > N_0).$$

Here, since  $c_2$  is a constant and  $u_n \to \infty$  for  $n \to \infty$ , there exists a natural number  $N_1$ , such that

Hence from the relations (12) and (15) we obtain that

$$(16) \quad |P(\gamma_n)|_p \leqslant \frac{c_1}{p^{\frac{u}{n+1}}} \leqslant \frac{c_1}{\left(H(P_n)\right)^{\frac{u}{12\max(k,l).m+1}u_n}} \quad (n > \max(N_0, N_1)).$$

Let us put  $\frac{u_{n+1}}{u_n} = s_n$ , so that (16) can be written as

$$|P_n(\gamma)|_p \leqslant \frac{c_1}{H(P_n)^{\frac{s}{2\max{(k,l)\,m+1}}}},$$

where  $s_n \to \infty$ .

By a reasoning exactly similar to that used in the proof of Theorem I of Chapter I (from (22) to (24)), we conclude from (17) that  $\mu(\gamma) \leq m$ .

To complete the proof we have now to prove the opposite inequality  $\mu(\gamma) \ge m$ . To this end we distinguish two cases according as m = 1 or m > 1:

1 — Let m=1. Then we have  $\mu(\gamma)=1$  as in the proof of Theorem I, Chapter I and the proof is complete for this case.

2 — Suppose that m > 1. Let  $P(x) = A_0 + A_1 x + ... + A_s x^s$   $(A_s \neq 0, s \leq m-1)$  be a polynomial with integral coefficients and H(P) be the height of P(x). As in (10), we have by (6)

(18) 
$$P(\gamma) = P(\gamma_n) + \rho_n [A_1 \sigma_n + ... + A_s (s \gamma_n^{s-1} \sigma_n + ... + \rho_n^{s-1} \sigma_n^s)],$$

or putting

$$A_1 \sigma_n + \ldots + A_s (s \gamma_n^{s-1} \sigma_n + \ldots + \rho_n^{s-1} \sigma_n^s) = \widetilde{\sigma}_n,$$

we obtain that

(19) 
$$P(\gamma) = P(\gamma_n) + \rho_n \overset{\approx}{\sigma_n} \qquad (n > N_0),$$

and we see from the definition of  $\widetilde{\sigma_n}$  and (7) that

(20) 
$$|\overset{\approx}{\sigma_n}|_p \leqslant p^{m(2t_2-t_0-t_1)} \qquad (n>N_0).$$

On the other hand, by Lemma 2 there exists a natural number  $N_2$ , such that if  $n > N_2$ , then the degree of  $\gamma_n$  is equal to m. Thus  $P(\gamma_n) \neq 0$  for  $n > N_2$ , and we may use Lemma 1 with  $|\gamma_n|_p = p^{t_2 - t_0}$ ,  $M = m, m_0 = s$ , and we obtain

(21) 
$$|P(\gamma_n)|_p \geqslant \frac{p^{-s(i_2-i_0)}}{(m+s)! H(P)^m H(P_n)^s} \qquad (n > \max N_0, N_2),$$

and so using (14) in (21) and putting  $c_3 = \frac{p^{-m(t_2-t_0)}}{(2m-1)! \ c_2^{m-1}}$  we have

(22) 
$$|P(\gamma_n)|_p \geqslant \frac{c_3}{H(P)^m p^{\frac{2m(m-1)\max(k,l)u_n}{n}}} (n > \max(N_0, N_2)).$$

Now by the assumption  $\lim_{n\to\infty}\frac{u_{n+1}}{u_n}=+\infty$ , there exists a natural number  $N_3$ , such that if  $n>N_3$ , then the relation

(23) 
$$\frac{u_{n+1}}{u_n} > 2m(m-1)\max(k,l)\left[2m(m-1)\max(k,l) + m+1\right] + m+1$$

holds. Next suppose that, H(P) satisfies the condition

(24) 
$$H(P) > \max \left( p^{u_{\max(N_0, N_2, N_3)}}, \frac{c_1}{c_3} \right) = H_0.$$

For every H(P) with (24) there exists a natural number j, such that

(25) 
$$p^{u_j} \leq H(P) < p^{u_{j+1}}.$$

Now, from (23), we have two cases in (25) as follows:

(26) 
$$\begin{cases} a) & p^{u_j} \leqslant H(P) < p^{\frac{u_{j+1}}{2m(m-1)\max{(k,l)+m+1}}}, \\ b) & p^{\frac{u_{j+1}}{2m(m-1)\max{(k,l)+m+1}}} \leqslant H(P) < p^{u_{j+1}}. \end{cases}$$

I — If the case (26) a) holds, writing (1), (20), (22) with n replaced by j, we obtain

(27) 
$$|P(\gamma_j)|_p \geqslant \frac{c_3}{H(P)^{2m(m-1)\max(k,l)+m}},$$

(28) 
$$|\rho_{j} \overset{\approx}{\sigma_{j}}|_{p} \leq \frac{c_{1}}{p^{u_{j+1}}} \leq \frac{c_{1}}{H(P)^{2m(m-1)\max(l_{i}, l_{j}+m+1)}} .$$

Next, writing (16) and (19) with n replaced by j and combining the relations (23), (25), (27), (28) and (as a consequence of (27) and (28))

(29) 
$$|P(\gamma)|_{p} = \max \left(|P(\gamma_{j})|_{p}, |\rho_{j} \sigma_{j}|_{p}\right) = |P(\gamma_{j})|_{p}$$

we see that

(30) 
$$|P(\gamma)|_p \geqslant \frac{c_3}{H(P)^{2m(m-1)\max(k, l)+m}}$$
 for  $H(P) > H_0$ .

II — Suppose that (26) b) holds. If we write (1), (20), (22) with n replaced by j + 1, then we have

(31) 
$$|P(\gamma_{j+1})|_{p} \geq \frac{c_{3}}{H(P)^{2m(m-1)\max(k,l)[2m(m-1)\max(k,l)+m+1]+m}},$$

(32) 
$$|\rho_{j+1} \overset{\approx}{\sigma_{j+1}}|_{p} \leq \frac{c_{3}}{H(P)^{2m(m-1)\max(k,l)\{2m(m-1)\max(k,l)+m+1\}+m+1}} .$$

But it follows from (31) and (32) that

$$|P(y)|_{p} = \max \left( |P(y_{j+1})|_{p}, |\rho_{j+1} \overset{\approx}{\sigma_{j+1}}|_{p} \right) = |P(y_{j+1})|_{p},$$

and so we obtain

(33) 
$$|P(\gamma)|_{p} \ge \frac{c_{3}}{H(P)^{2m(m-1)\max(k,l)} [2m(m-1)\max(k,l)+m+1]+m}.$$

The relations (30) and (33) show that, if P(x) is a polynomial of degree  $f(f \le m-1)$  with integral coefficients and H(P) is sufficiently large, then

$$|P(\gamma)|_p \geqslant c_3 \cdot H(P)^{-2m(m-1)\max(k,\,l)\{2m(m-1)\max(k,\,l)+m+1\}-m} \; .$$

By the definition of  $\mu(\gamma)$ , (34) gives  $\mu(\gamma) \ge m$  and thus we have  $\mu(\gamma) = m$ , and the proof is completed for m > 1.

Special case. Let  $\alpha$  be a p-adic algebraic number of degree m, and  $\xi$  be a p-adic number verifying the conditions of Theorem I. Then  $\alpha + \xi$ ,  $\alpha \cdot \xi \in U_m$ .

It can be easily seen from the proof of Theorem I, that it is sufficient to suppose  $\limsup_{n\to\infty}\frac{u_{n+1}}{u_n}=+\infty$  and the condition (23), instead of the stronger assumption  $\lim_{n\to\infty}\frac{u_{n+1}}{u_n}=+\infty$ . Hence we have the following:

Corollary. If the p-adic number  $\xi$  in Theorem I has the canonical from  $\xi = a_0 p^{u_0} + a_1 p^{u_1} + ... + a_n p^{u_n} + ..., u_0 \ge 0$  and such that  $\limsup_{n \to \infty} \frac{u_{n+1}}{u_n} = +\infty$ 

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and  $\liminf_{n\to\infty} \frac{u_{n+1}}{u_n} > 2m(m-1) \max(k, l) [2m(m-1) \max(k, l) + m] + m + 1,$ then Theorem I holds also in this more general case.

Theorem II. Let  $\alpha_0,...,\alpha_k (k \geqslant 1, \alpha_k \neq 0)$  be p-adic algebraic numbers in  $Q_p$  with  $[Q(\alpha_0,...,\alpha_k):Q]=m$ , and  $\xi$  be a p-adic number in the canonical from

$$\xi = a_0 p^{u_0} + a_1 p^{u_1} + ... + a_v p^{u_v} + ...$$

$$(u_0 \ge 0, u_{v+1} > u_v, a_v \in \mathbb{N}, 0 < a_v \le p - 1 \quad (v = 0,1,...)).$$

Further suppose that the sequence  $\{u_{v}\}$  has a subsequence  $\{u_{v}_{n}\}$  verifying the conditions

$$1) \quad \lim_{n\to\infty} \frac{u_{\nu_{n+1}}}{u_{\nu_n}} = +\infty,$$

$$2) \qquad \limsup_{n\to\infty} \frac{u_{\nu_{n+1}}}{u_{\nu_{n}+1}} < +\infty.$$

Then the p-adic number  $\gamma = \alpha_0 + \alpha_1 \xi + ... + \alpha_k \xi^k$  belongs to the p-adic  $U_m$  class.

We approximate  $\xi$  by  $\xi_{\nu_n} = a_0 p^{u_0} + \ldots + a_{\nu_n} p^{u_{\nu_n}}$ . From 1) and 2) we see easily that  $\xi$  is a p-adic  $U_1$  (Liouville) number. The proof, which we shall omit, can be conducted by using a combination of the arguments used in the proofs of the Theorem I above (adapted to the special case D(x) = 1) and the Theorem III of Chapter I.

We conclude with some examples:

1) As an example for a p-adic number  $\xi$  verifying the conditions of Theorem I above we can take

$$\xi_1 = 1 + p^{1!} + p^{2!} + \ldots + p^{n!} + \ldots,$$

which can be seen at once.

2) As an example for a number  $\xi$  of Theorem II above we can take

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$$\begin{split} \boldsymbol{\xi_2} &= 1 \, + p^{1!} + (p^{2!} + p^{2!+1} \, + p^{2!+2}) + \dots \\ &\quad + (p^{n!} + p^{n!+1} + \dots + p^{n!+n}) + \dots \end{split}$$

For  $\xi_2$ , if we define

$$u_{\nu_0} = 0$$
,  $u_{\nu_1} = 1!$ ,  $u_{\nu_n} = n! + n$   $(n \ge 2)$ ,

we see that  $u_{r_{n}+1} = (n+1)!$ , and consequently

$$\lim_{n\to\infty}\frac{u_{\nu_{n+1}}}{u_{\nu_{n}}}=\lim_{n\to\infty}\frac{(n+1)!+(n+1)}{n!+n}=+\infty,$$

$$\limsup_{n \to \infty} \frac{u_{n+1}}{u_{n+1}} = \lim_{n \to \infty} \frac{(n+1)! + (n+1)}{(n+1)!} = 1,$$

so that all the conditions on  $\xi$  are verified.

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## ÖZET

Bu çalışmada kuvvetli bir Liouville sayısının cebirsel katsayılı tam ve rasyonel kombinezonları incelenerek bunların Mahler'in  $U_m$  alt sınıfına ait oldukları gösterilmektedir (Burada m, bu katsayıların belirttiği cebirsel sayı cisminin derecesini göstermektedir). Böylece  $U_m (m=1,\ 2,...)$  Mahler alt sınıflarının hiçbirinin boş olmadığına dair ilk önce 1953 de Leveque tarafından elde edilen sonucun yeni bir ispatı bulunmuş olmaktadır. Tam kombinezonlar halinde, Hensel'in p-adik sayılar cisminde yukarıkine benzer bir sonuç elde edilmektedir.

KARADENIZ TEKNIK ÜNIVERSITESI TEMEL BİLİMLER FAKÜLTESI MATEMATIK BÖLÜMÜ TRABZON, TÜRKİYE (Manuscript received October 16, 1978, revised February 14, 1980)

## Corrections to the foregoing paper

Please make the following corrections in the references quoted in the text:

page	line	wrong	right
41	2 and 20	Güting [1]	GÜTING [ <sup>3</sup> ]
44	7	<b>LevΒ</b> QυΕ [ <sup>1</sup> ]	Leveque [6]
51	18	P. Erdös [¹]	P. Erdös [ <sup>2</sup> ]
66	8	Koksma [1]	Koksma [5]
72	8 and 19	K. Mahler [2]	K. Mahler [9]