

Inverse Spectral Problems for Second Order Difference Equations with

Generalized Function Potentials by aid of Parseval Formula

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Abstract

In the present study we are investigated inverse spectral problems for spectral analysis and two spectra of matrix J by using equality which is equivalance Parseval formula. The matrix Jis $N \times N$ tridiagonal almost-symmetric matrix. The mean of almost-symmetric is the entries above and below the main diagonal are the same except the entries a_M and c_M .

Keywords: Parseval formula; Spectral analysis; Two spectra.

Parseval Formülü yardımıyla Genelleşmiş Fonksiyon Katsayılı İkinci Mertebeden Fark Denklemleri için Ters Spektral Problemler

Öz

Bu çalışmada, Parseval formülü ile eşdeğer olan eşitlik kullanılarak J matrisinin spektral analizine göre ve iki spektrumuna göre ters spektral problemleri incelenmiştir. J, $N \times N$ tipinde hemen hemen simetrik üçköşegensel matristir. Hemen hemen simetriklik, a_M ve c_M elemanları dışında matrisin köşegeninin altında ve üstündeki elemanları eşit olmasıdır.

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Anahtar Kelimeler: Parseval formülü; Spektral analiz; İki spektrum.

1. Introduction

Consider the second order difference equation

$$a_{n-1}y_{n-1} + b_n y_n + a_n y_{n+1} = \lambda \rho_n y_n, \quad a_{-1} = c_{N-1} = 1, \ n \in \{0, 1, \dots, N-1\},$$
(1)

with the boundary conditions

$$y_{-1} = y_N = 0,$$
 (2)

where $y = \{y_n\}_{n=0}^{N-1}$ is column vector which is solution of the second order difference equation, ρ_n is constant

$$\rho_n = \begin{cases} 1, & 0 \le n \le M \\ \alpha, & M < n \le N - 1 \end{cases}, & \alpha \in \mathbb{R}^+ - \{1\}, \\ (3)$$

and a_n , $b_n \in \mathbb{R}$, $a_n > 0$ are coefficients of Eqn. (1),

$$c_{n} = a_{n} / \alpha, \quad n \in \{M, M+1, ..., N-2\}, \\ d_{n} = b_{n} / \alpha, \quad n \in \{M+1, M+2, ..., N-1\}.$$
(4)

Now, we can write the Eqn. (1) by definition of ρ_n

$$\begin{cases} a_{n-1}y_{n-1} + b_n y_n + a_n y_{n+1} = \lambda y_n, & n \in \{0, 1, ..., M\}, \\ c_{n-1}y_{n-1} + d_n y_n + c_n y_{n+1} = \lambda y_n, & n \in \{M + 1, M + 2, ..., N - 1\}. \end{cases}$$

J is $N \times N$ tridiagonal almost-symmetric matrix and the entries of J are the coefficients of Eqn. (1).

So, the eigenvalue problem $Jy = \lambda y$ is equivalent problem (1)-(3) which is discrete form Sturm-Liouville problem with discontinuous coefficients

$$\frac{d}{dx}\left[p(x)\frac{d}{dx}y(x)\right] + q(x)y(x) = \lambda\rho(x)y(x), \quad x \in [a,b],$$

$$y(a) = y(b) = 0,$$
(5)

where $\rho(x)$ is a piecewise function

$$\rho(x) = \begin{cases} 1, & a \le x \le c \\ \alpha^2, & c < x \le b \end{cases}, \quad \alpha^2 \neq 1.$$

H. Hochstadt made significant contributions to the development of the inverse problem for second order difference equations. He studied inverse problem for Jacobi matrices in [1-4]. Later, G. Guseinov has pioneered for inverse problem of infinite symmetric tridiagonal matrices. He considered different kinds of inverse spectral problems for second order difference equation; such as the inverse spectral problems of spectral analysis for infinite Jacobi matrices in [5], the inverse spectral problems for the infinite non-self adjoint Jacobi matrices from generalized spectral function in [6, 7], and the inverse spectral problems for same matrices from spectral data and two spectra in [8-12]. The inverse spectral problem for discrete form of Sturm-Liouville problem with continuous coefficients has been studied in [13] and the inverse spectral problem with spectral problem for Sturm-Liouville operator with discontinuous coefficients which is the same problem for Sturm-Liouville operator with discontinuous coefficients which is the same problem given by (5) are investigated by E. Akhmedova and H.

Huseynov in [15, 16], respectively. Bala et al. are studied inverse spectral problem for almost symmetric tridiagonal matrices from generalized spectral function in [17] and they examined inverse spectral problems for same matrices from spectral data and two spectra in [18]. Finite dimensional inverse problems are investigated by H. Huseynov in [19].

Also, Parseval equality of discrete Sturm-Liouville equation with periodic generalized function potentials is studied by Manafov et al. in [20]. At the same time a new approach for higher-order difference equations and eigenvalue problems is examined by Bas and Ozarslan in [21].

The goal of this article is to study inverse spectral problems of the problem (1)-(3) for spectral analysis and two spectra by using Parseval formula.

2. Direct Problem for Spectral Analysis

The matrix J has N number eigenvalues $\lambda_1, \lambda_2, ..., \lambda_N$ and N number eigenvectors $v_1, v_2, ..., v_N$, which form an orthonormalized basis. Assume that the eigenvalues are real. We bring to mind the algorithm of structure for the matrix J eigenvalues and eigenvectors.

Let $P_n(\lambda)$ be a solution of Eqn. (1)

$$a_{n-1}P_{n-1}(\lambda) + b_n P_n(\lambda) + a_n P_{n+1}(\lambda) = \lambda \rho_n P_n(\lambda), \quad n \in \{0, 1, \dots, N-1\},$$
(6)

with initial conditions

$$P_{-1}(\lambda) = 0, \quad P_0(\lambda) = 1, \tag{7}$$

and the degree of polynomial $P_n(\lambda)$ is n.

Lemma 1. The following equality holds:

$$\det(J - \lambda I) = (-1)^{N} a_{0}a_{1}...a_{M}c_{M+1}...c_{N-1}P_{N}(\lambda)$$

Proof. See [17].

According to Lemma 1, the roots of the equation $P_n(\lambda)$ are equal the eigenvalues of J, and eigenvectors corresponding eigenvalues λ_k , $k = \overline{1, N}$ will be

$$\Re(\lambda_k) = (P_0(\lambda_k), P_1(\lambda_k), ..., P_{N-1}(\lambda_k))^T.$$

Assuming that
$$v_k = \frac{\Re(\lambda_k)}{\sqrt{\beta_k}}$$
, where $\beta_k = \sum_{j=0}^{N-1} P_j^2(\lambda_k)$. Thus, we have the complete

orthonormalized system of eigenvectors of the matrix J. The numbers β_k are called normalized numbers of the problem (1)-(3).

Lemma 2. Eigenvalues of matrix J are different.

Proof. Because of eigenvalues λ_k , k = 1, 2, ..., N are the roots of polynomial $P_N(\lambda)$, we must show that $P'_N(\lambda_k) \neq 0$. Firstly, take the derivative equation Eqn. (6) by λ , we have

$$a_{n-1}P_{n-1}'(\lambda) + b_n P_n'(\lambda) + a_n P_{n+1}'(\lambda) = \lambda \rho_n P_n'(\lambda) + \rho_n P_n(\lambda).$$
(8)

Now, if the Eqn. (8) is multiplied by $P_n(\lambda)$ and the Eqn. (6) is multiplied by $P'_n(\lambda)$, the second result is substracted from the first, for $n \in \{0, 1, ..., N-1\}$ we obtain

$$a_{n-1}\left(P_{n-1}'(\lambda)P_n(\lambda)-P_n'(\lambda)P_{n-1}(\lambda)\right)-a_n\left(P_n'(\lambda)P_{n+1}(\lambda)-P_{n+1}'(\lambda)P_n(\lambda)\right)=\rho_n P_n^2(\lambda).$$
(9)

For $\lambda = \lambda_k$ and summing *n* from 0 to N-1, pay attention to Eqn. (7) and $P_N(\lambda_k) = 0$ we have

$$a_{N-1}P_{N}'(\lambda_{k})P_{N-1}(\lambda_{k}) = \sum_{j=0}^{M} P_{j}^{2}(\lambda) + \sum_{j=M+1}^{N-1} \alpha P_{j}^{2}(\lambda).$$
(10)

As a result, $P'_N(\lambda_k) \neq 0$.

According to Lemma 2, we can assume that $\lambda_1 < \lambda_2 < ... < \lambda_N$. The following Lemma is about Parseval equality.

Lemma 3. The expansion formula which is equivalent Parceval equality, can be written as below:

$$\sum_{j=1}^{N} \frac{\eta}{\beta_j} P_m(\lambda_j) P_n(\lambda_j) = \delta_{mn}, \quad m, n = \overline{0, N-1},$$
(11)

where η is defined by

$$\eta = \begin{cases} 1, & m \text{ or } n \le M \\ \alpha, & m \text{ or } n > M \end{cases}, \tag{12}$$

and $\delta_{\rm mn}$ is the Kronecker delta.

For n = m = 0 in the Eqn. (11) and from conditions (7) we obtain following equality

$$\sum_{j=1}^{N} \frac{1}{\beta_j} = 1.$$
(13)

Thus, we get eigenvalues $\{\lambda_k\}_{k=1}^N$ and eigenvectors v_j , j = 1, 2, ..., N corresponding $\{\lambda_k\}_{k=1}^N$. So, we can say that the direct spectral problem of spectral analysis is solved.

Now let's try to answer the following question:

If we know eigenvalues $\{\lambda_k\}_{k=1}^N$ and eigenvectors $\{v_k\}_{k=1}^N$ of matrix J, is it possible to reconstruct the matrix J by using the following formula

$$Ju = \sum_{k=1}^{N} \lambda_{k} (u, v_{k}) v_{k}, \quad u \in l_{2} (0, N-1),$$

where $(u, v) = \sum_{j=0}^{N-1} u_j \overline{v}_j$ scalar product.

It is clear that eigenvalues of J is not sufficient for reconstruct matrix J. On account of this we must have some more information about eigenvectors.

Definition 4. The collection of quantitites $\{\lambda_k, \beta_k\}$ are called spectral data for the matrix *J*.

Additional we will need the presentation of entries of the matrix J by the polynomial $P_n(\lambda)$. For $\lambda = \lambda_j$ the Eqn. (6) is multiplied by $\frac{\eta}{\beta_j} P_m(\lambda_j)$, then summing by j from 1 to N

and using Lemma 3, we have

$$a_{n} = \sum_{j=1}^{N} \frac{\eta^{2} \lambda_{j}}{\beta_{j}} P_{n}(\lambda_{j}) P_{n+1}(\lambda_{j}), \quad n = \overline{0, N-2} - M,$$
$$b_{n} = \sum_{j=1}^{N} \frac{\eta^{2} \lambda_{j}}{\beta_{j}} P_{n}^{2}(\lambda_{j}), \quad n = \overline{0, N-1},$$

where η is defined by (12). It is clear that $\rho_n = \eta = \alpha$ for *m* or n > M. Then, we can write these equalities as below:

$$a_n = \sum_{j=1}^N \frac{\lambda_j}{\beta_j} P_n(\lambda_j) P_{n+1}(\lambda_j), \quad n = \overline{0, M-1},$$
(14)

$$a_{M} = \sum_{j=1}^{N} \frac{\alpha \lambda_{j}}{\beta_{j}} P_{M}\left(\lambda_{j}\right) P_{M+1}\left(\lambda_{j}\right), \quad c_{M} = \sum_{j=1}^{N} \frac{\lambda_{j}}{\beta_{j}} P_{M}\left(\lambda_{j}\right) P_{M+1}\left(\lambda_{j}\right), \quad (15)$$

$$c_n = \sum_{j=1}^N \frac{\alpha \lambda_j}{\beta_j} P_n(\lambda_j) P_{n+1}(\lambda_j), \quad n = \overline{M+1, N-2},$$
(16)

$$b_n = \sum_{j=1}^N \frac{\lambda_j}{\beta_j} P_n^2(\lambda_j), \quad n = \overline{0, M},$$
(17)

$$d_n = \sum_{j=1}^{N} \frac{\alpha \lambda_j}{\beta_j} P_n^2(\lambda_j), \quad n = \overline{M+1, N-1}.$$
(18)

3. Inverse Problem of Spectral Analysis

The inverse problem of spectral analysis is reconstruct matrix J by using the collection quantities $\{\lambda_k, \beta_k\}$.

Theorem 5. Let an arbitrary collection $\{\lambda_k, \beta_k\}$ of matrix is J. In order for this collection to be spectral data for some matrix which have form J, it is necessary and sufficient that the following conditions are satisfied:

(i)
$$\lambda_k \neq \lambda_j$$
, (ii) $\sum_{j=1}^N \frac{1}{\beta_j} = 1$, (iii) $a_n > 0$, $n = \overline{0, N-2}$.

Lemma 6. Let λ_k , $k = \overline{1, N}$ are distinct real numbers and for the positive numbers β_k , $k = \overline{1, N}$ be given that $\sum_{j=1}^{N} \frac{1}{\beta_j} = 1$. Then there exists unique polynomials $P_k(\lambda)$, $k = \overline{0, N-1}$ with deg $P_j(\lambda) = j$ and positive leading coefficients satisfying the conditions (11).

Now, we will give another method for a kind of approach to the solution of the inverse spectral problem which is called the Gelphand-Levitan-Marchenko method.

Let $R_n(\lambda)$ be a solution of the Eqn. (1) satisfying the conditions

$$R_{-1}(\lambda) = 0, \quad R_0(\lambda) = 1,$$

in the case $a_n \equiv 1$, $b_n \equiv 0$.

Recall that $P_n(\lambda)$ is a polynomial of degree *n*, so it can be expressed as

$$P_n(\lambda) = \gamma_n\left(R_n(\lambda) + \sum_{k=0}^{n-1} \chi_{n,k} R_k(\lambda)\right), \quad n \in \{0, 1, \dots, M, \dots, N\},$$
(19)

where γ_n and $\chi_{n,k}$ are constants. There is a connection between coefficients a_n, b_n, c_n, d_n and $\gamma_n, \chi_{n,k}$.

Then we can write the equalities

$$a_{n} = \frac{\gamma_{n}}{\gamma_{n+1}} \quad (0 \le n \le M), \quad \gamma_{0} = 1,$$

$$c_{n} = \frac{\gamma_{n}}{\gamma_{n+1}} \quad (M < n \le N - 2), \quad c_{M} = \frac{\gamma_{M}}{\alpha \gamma_{M+1}},$$
(20)

$$b_{n} = \chi_{n,n-1} - \chi_{n+1,n} \qquad (0 \le n \le M), \qquad \chi_{0,-1} = 0, d_{n} = \chi_{n,n-1} - \chi_{n+1,n} \qquad (M < n \le N - 1).$$
(21)

Now, we can write from Eqn. (19)

$$\sum_{j=1}^{N} \frac{\eta}{\beta_{j}} P_{n}(\lambda_{j}) R_{m}(\lambda_{j}) = \gamma_{n} \left[G_{nm} + \sum_{k=0}^{n-1} \chi_{n,k} G_{km} \right],$$
(22)

where η is defined by (12) and

$$G_{nm} = \sum_{j=1}^{N} \frac{\eta}{\beta_j} R_n(\lambda_j) R_m(\lambda_j).$$
⁽²³⁾

Since the expansion

$$R_{j}\left(\lambda\right) = \sum_{k=0}^{j} w_{k}^{(j)} P_{k}\left(\lambda\right)$$

holds, then from Eqn. (11) we have

$$\sum_{j=1}^{N} \frac{1}{\beta_{j}} P_{n}(\lambda_{j}) R_{m}(\lambda_{j}) = \frac{1}{\eta \gamma_{n}} \delta_{nm}, \ n \ge 0, \ s = \overline{0, n}.$$

Considering the Eqn. (22) we get

$$G_{nm} + \sum_{k=0}^{n-1} \chi_{n,k} G_{km} = 0, \quad m = \overline{0, n-1}, \quad n \ge 1,$$
 (24)

$$G_{nn} + \sum_{k=0}^{n-1} \chi_{n,k} G_{kn} = \frac{1}{\eta \gamma_n^2}, \quad n = \overline{0, N-1}.$$
 (25)

Eqn. (24) is important for the solution of inverse spectral problem. Firstly, G_{nm} are determined by using Eqn. (23) and then quantities $\chi_{n,k}$, $k = \overline{0, n-1}$ are found from system of Eqn. (24). Thus we can find unknowns γ_n with aid of $\chi_{n,k}$ from Eqn. (25).

Lemma 7. For any fixed n the system of Eqn. (24) is identically solvable.

Proof. It is clear that

$$v_n = \left(\frac{R_n(\lambda_1)}{\sqrt{\beta_1}}, \frac{R_n(\lambda_2)}{\sqrt{\beta_2}}, \dots, \frac{R_n(\lambda_N)}{\sqrt{\beta_N}}\right), \quad n = \overline{0, N-1},$$

are linear independent and from the Eqn. (23), we have

$$G_{ns} = (v_n, v_s).$$

The basic determinant of the system (24)

$$\det \left\{ G_{ij} \right\}_{i,j=0}^{n-1} = \det \left\{ \left(v_i, v_j \right) \right\}_{i,j=0}^{n-1}.$$
(26)

Lemma 8. Let G_{nm} , $m = \overline{0, n-1}$ be a solution of the system (24). Then

$$G_{nm} + \sum_{k=0}^{n-1} \chi_{n,k} G_{km} > 0, \quad n = \overline{0, N-1}.$$

Proof. See [19].

Therefore we can determine the entries of the matrix J from the formulas Eqn. (20) and Eqn. (21).

4. Inverse Problem for Two Spectra

Consider the boundary value problem

$$a_{n-1}y_{n-1} + b_n y_n + a_n y_{n+1} = \lambda \rho_n y_n, \quad n = 1, N-1,$$
(27)

with the boundary conditions

$$y_0 = y_N = 0,$$
 (28)

where ρ_n is defined in (3). Now, the matrix of coefficients of Eqn. (27) is denoted by J_1 which has the same form with matrix J. If we delete the first row and the first column of the matrix Jthen we have $N-1 \times N-1$ tridiagonal matrix J_1 which has N-1 number eigenvalues μ_k , $k = \overline{1, N-1}$. Assume that eigenvalues of matrix J_1 are distinct and real. Thus we can write

 $\mu_1 < \mu_2 < \dots < \mu_{N-1}$

The solution of Eqn. (27) is denoted by $\{Q_n(\lambda)\}$ provided that $Q_0(\lambda) = 0$, $Q_1(\lambda) = 1$. It is clear that the eigenvalues μ_j , $j = \overline{1, N-1}$ are zeros of the polynomial $Q_N(\lambda)$. While we determine entries of J, we will use eigenvalues of matrices J and J_1 . Now we will give an important lemma for the inverse spectral problem according to the two spectra.

Lemma 9. The eigenvalues of matrices J and J_1 alternate, i.e.

$$\lambda_1 < \mu_1 < \lambda_2 < \mu_2 < ... < \lambda_{N-1} < \mu_{N-1} < \lambda_N$$

Proof. See [19].

Additionally, we can find the normalized numbers β_k by aid of two spectrums $\lambda_1, \lambda_2, ..., \lambda_N$ and $\mu_1, \mu_2, ..., \mu_{N-1}$ of the matrices J and J_1 respectively. Assume that

$$f_n(\lambda) = Q_n(\lambda) + m(\lambda)P_n(\lambda), \qquad (29)$$

and require that $f_N(\lambda) = 0$. $m(\lambda)$ is a meromorphic function,

$$m(\lambda) = -\frac{Q_N(\lambda)}{P_N(\lambda)},\tag{30}$$

its poles and zeros coincide with the eigenvalues of the problem (1)-(2) and (27)-(28), respectively. We see that the function $f_n(\lambda)$ satisfies the equation

$$a_{n-1}f_{n-1}(\lambda) + b_n f_n(\lambda) + a_n f_{n+1}(\lambda) = \lambda \rho_n f_n(\lambda).$$
(31)

Now, if the equality Eqn. (31) is multiplied by $P_n(\lambda_k)$ and the Eqn. (6) (for $\lambda = \lambda_k$) is multiplied by $f_n(\lambda)$ then the second result is substracted from the first and sum by n, we obtain:

$$(\lambda - \lambda_k) \sum_{n=1}^{N-1} \rho_n f_n(\lambda) P_n(\lambda_k) = \sum_{n=1}^{N-1} \begin{cases} a_{n-1}(f_{n-1}(\lambda) P_n(\lambda_k) - P_{n-1}(\lambda_k) f_n(\lambda)) \\ -a_n(f_n(\lambda) P_{n+1}(\lambda_k) - P_n(\lambda_k) f_{n+1}(\lambda)) \end{cases}$$

or

$$(\lambda - \lambda_k) \sum_{n=1}^{N-1} \rho_n f_n(\lambda) P_n(\lambda_k) = -a_0,$$

and then for $\lambda \to \lambda_k$, we have

$$\beta_{k} = \frac{a_{0}P_{N}'(\lambda_{k})}{Q_{N}(\lambda_{k})}.$$

On the other hand from Lemma 1, we get

$$\det(J - \lambda I) = (-1)^{N} a_{0}a_{1}...a_{M}c_{M+1}...c_{N-1}P_{N}(\lambda),$$

$$\det(J_{1} - \lambda I) = (-1)^{N-1} a_{1}...a_{M}c_{M+1}...c_{N-1}Q_{N}(\lambda)$$

and from these equalities we can find

$$P_{N}(\lambda) = \frac{(\lambda - \lambda_{1})...(\lambda - \lambda_{N})}{a_{0}a_{1}...a_{M}c_{M+1}...c_{N-1}}, \qquad Q_{N}(\lambda) = \frac{(\lambda - \mu_{1})...(\lambda - \mu_{N-1})}{a_{1}...a_{M}c_{M+1}...c_{N-1}}.$$

As a result

$$\beta_{k} = \frac{\prod_{j=1}^{N} \left(\lambda_{k} - \lambda_{j}\right)}{\prod_{j=1}^{N-1} \left(\lambda_{k} - \mu_{j}\right)}.$$
(32)

Theorem 10. Let the collections $\{\lambda_k\}_{k=1}^N$, $\{\mu_k\}_{k=1}^{N-1}$ to be real numbers. These collections are spectrums of the $N \times N$ and $N-1 \times N-1$ tridiagonal almost-symmetric matrices J and J_1 , respectively, it is necessary and sufficient that they are alternate as below:

$$\lambda_1 < \mu_1 < \lambda_2 < \mu_2 < \ldots < \lambda_{N-1} < \mu_{N-1} < \lambda_N.$$

5. Conclusion

While solving the inverse spectral problem from two spectra, firstly we determine the normalized numbers β_k of the matrix J by using the eigenvalues $\{\lambda_k\}_{k=1}^N$, $\{\mu_k\}_{k=1}^{N-1}$ of the matrices J and J_1 , respectively. Thus, we reduce the problem from two spectra to spectral analysis, and then we determine the values G_{nm} , $\chi_{n,k}$ and γ_n from the formulas (23)-(25) by aid of the eigenvalues λ_k and the normalized numbers β_k of the matrix J.

Consequently, the entries a_n, b_n, c_n and d_n are found from the Eqn. (20) and Eqn. (21).

Thus, the matrix J is reconstructed by using Parseval formula.

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