

Development of inhalable cubosome nanoparticles of Nystatin for effective management of Invasive

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Pulmonary Aspergillosis

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ABSTRACT

Background and Aims: Invasive pulmonary aspergillosis (IPA) is an imperative concern in the present era due to its high occurrence and mortality rate in severely immunocompromised patients. The present study was designed to develop, optimize and characterize encapsulated nystatin (NYS) cubosome nanoparticles as an inhalable system, a viable alternative for effective management of IPA.

Methods: A dry lipidic film comprising glycerol monooleate (GMO), Span 83, Poloxamer (P-407) and dispersed NYS was subjected to ultrasound sonication to produce colloidal dispersion of cubosomes. The process and formulation variables were screened using Plackett Burman design and further optimized by Box Behnken design by evaluating its effect on particle size, polydispersity index (PDI), zeta potential and entrapment efficiency.

Results: The optimized NYS cubosomes were nearly spherical with some irregular polyangular symmetry as visualized by transmission electron microscopy (TEM). Further, small angle X-ray scattering (SAXS) affirmed Pn3m cubic mesophasic structure. The optimized nanoparticles had particle size 263.5 nm, zeta potential -14.4 mV, PDI 0.283 and entrapment efficiency 82%. The in-vitro cytotoxicity assay indicated that NYS cubosomes reduced cell cytotoxicity in contrast to pure drug post 48h. In-vitro haemolytic assay denoted lower toxicity of formulation as compared to free drug. In-vitro drug release studies highlighted, slow but continuous release from NYS cubosomes until 48h and showcased Higuchi release kinetics. Likewise, NYS cubosome demonstrated higher antifungal activity compared to drug suspended in phosphate buffer.

Conclusion: Thus, non-invasive feature and contemplated target specificity of nystatin loaded cubosome nanoparticles pave a mode for its prospect as pulmonary delivery to combat IPA.

Keywords: Nystatin, cubosome nanoparticles, aspergillosis, drug design, pulmonary

INTRODUCTION

Lipidic, polymeric and polyelectrolyte complex based nanoparticulate drug delivery lately has been widely studied as potential carriers for delivery of numerous drug candidates such as anticancer (Chishti, Jagwani, Dhamecha, Jalalpure, & Dehghan, 2019), peptide (on Halling Laier et al., 2018; Poddar & Sawant, 2017), antifungals (Furedi et al., 2017), antivirals (Mandal, Prathipati, Belshan, & Destache, 2019) and antibacterials (Carneiro et al., 2019; Rani et

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al., 2018). The prevalence rate of invasive pulmonary aspergillosis (IPA) is high in severely immunocompromised patients suffering from HIV, cancer, critically ill patients, organ transplant patients and in individuals with known history of chronic obstructive pulmonary disease (Szalewski, Hinrichs, Zinniel, & Barletta, 2018). The management of IPA still remains a challenge as most of the antifungal used in its treatment present resistance or pose a high toxicity profiles (Kosmidis & Muldoon, 2017). Nystatin (NYS) a broad

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spectrum is effective against azole resistant and amphotericin B resistant strains of Candida albicans (Offner et al., 2004). Concentration dependent activity of NYS is key-in factor to rapid onset of action for successful treatment. Additionally, NYS is known to showcase long post-antifungal effect, thus justifies the rational for reduction in its frequency of administration (Marin-Quintero et al., 2013; Ryder, 1999). The formulation challenge revealed by NYS as a result of low solubility and low permeability, is the prime reason that makes it complicated to design a controlled release delivery for this drug (Fernandez Campos, Calpena Campmany, Rodriguez Delgado, Lopez Serrano, & Clares Naveros, 2012). Researchers have tried formulating NYS in various delivery systems such as lipid intravenous emulsion (Ryder, 1999), nanoemulsions (Fernandez Campos et al., 2012), micellar gels (Magsood et al., 2015), mucoadhesives for topical use (Sakeer, Al-Zein, Hassan, Desai, & Nokhodchi, 2010), liposomes (Khan, Faisal, & Mohammad, 2006), niosomes (El-Ridy, Abdelbary, Essam, El-Salam, & Kassem, 2011), microparticles (Kim, Son, & Kwon, 2018), pastilles (Silva et al., 2017), pellets and drug particulates system in toothpaste (Pinto Reis, Vasques Roque, Baptista, & Rijo, 2016) to solve drug related problems.

Nano-particulate formulations as new frontiers are being developed to improve treatment outcomes in IPA patients. Cubosomes, a dispersion of the bicontinuous cubic mesophase have contorted lipid bilayer as their basic unit and two extremely tortuous non-intersecting water channels (Esposito et al., 2005). The high lipidic content and large surface area posed by these structures makes them desirable carriers for efficient encapsulation of hydrophilic, hydrophobic, or amphiphilic drug molecules (Shi et al., 2017). Biocompatibility, biodegradability, bioadhesion and prolonged drug release ability interpret cubosomes to be an effective carrier for IPA drug therapy (Madheswaran, Kandasamy, Bose, & Karuppagounder, 2019). Moreover, the nanoparticle size range of these structures facilitates passive targeting to pulmonary route, and leads to deposition of the drug to the most sorted areas of the lower respiratory tract or into the deep regions of lungs (Newman, 2017). In case of pulmonary infection, delivering the drug to the target site of action is beneficial in reducing drug level in the systemic circulation although the desired therapeutic index at the site of infection is maintained (Islam & Ferro, 2016). This route of administration helps to reduce the drug related toxicity in comparison to the parenteral route (Das et al., 2015).

On the basis of these considerations, the research objective was to overcome formulation challenges presented by the drug and in conception of a site-specific delivery system, so as to achieve enhanced effectiveness. The present study was designed to develop, optimize and characterize encapsulated Nystatin cubosome nanoparticles for effective management of IPA, to be targeted through inhalable pulmonary route.

MATERIALS AND METHODS

Nystatin (NYS), Polaxamer 407 (P-407) was a kind gift sample from Glenmark Pharmaceuticals, (Mumbai, India). Dimodan HPM-90 (solid grade GMO) and Cithrol GMO-HP-SO-LK was sorted as a gift sample obtained from Dupont (Gurgaon, India) and Croda, (Mumbai, India) respectively. Span 80 and Span 83

was a generously donated by Cipla Pvt. Ltd., (Mumbai, India). Organic solvents like dimethyl sulfoxide, methanol, dimethyl formaide, chloroform were purchased from Loba chemie, Mumbai whereas dextrose, peptone and agar were procured from Fisher scientific, India. *Aspergillus fumigatus* (NCIM 902) was procured from National chemical laboratory, Pune. Dialysis bag with a molecular weight cut-off (MWCO) of 3,500 Da was purchased from Merck Millipore, India, Pvt. Ltd. (Bengaluru).

Ishikawa Fishbone diagram and risk assessment by failure mode and effects analysis (FMEA)

Risk assessment for the material attributes and process variables was carried out after analyzing the available literature, in order to estimate its effect on critical quality attributes (CQAs) viz., particle size (prediction of inhalation through nasopulmonary route and its absorption potential in lungs), drug loading and entrapment efficiency (to predict available drug for absorption, release kinetics of drug). Ishikawa or Fishbone presentation is a cause and effect type of diagram that was established in order to find all the possible variables that could have an impact on CQAs of the drug product i.e. NYS loaded cubosomes shown on the primary arrow (central horizontal arrow i.e. the studied effect is "the fish head"). The secondary arrows (vertical slanting arrows i.e. the potential causes and subcauses define the "fish bone structure") represent the process and formulation variables e.g. materials, people, cubosome formation process and instrument. The tertiary arrows represent the factors in-return impacting these process and formulation variables e.g. sonication, lyophilizer, surfactant, organic solvent, drug etc. Thus, the use of the Ishikawa diagram helps illustrate, identify and analyze all the factors connected to the main aim of the study. The factors marked in the red text have been studied in the present work. The quality target product profile (QTPP) was identified as dosage form, its shape, its type, strength, route of administration.

Plackett Burman experimental design

Based on preliminary trial results and literature survey potential factors which could impact the critical attributes of the final product was identified. Screening of process variables and formulation components was essentially studied using Plackett Burman design (Table 1) to understand its effect on critical qual-

Table 1. Factors and their levels in Plackett Burman Experimental design.

Factors	Levels		
ractors	Low	High	
X ₁ : NYS concentration	1.23%	2.23%	
X ₂ : Type of GMO	HPM-90	HP-SO-LK	
X ₃ : Type of high HLB surfactant	Span 80	Span 83	
X ₄ : Type of low HLB surfactant	P 188	P 407	
X ₅ : Concentration of high HLB surfactant (mg)	150	300	
X ₆ : Concentration of low HLB surfactant (mg)	100	200	
X ₇ : Sonication time (min)	15	30	
X ₈ : pulse rate	3/7 sec	9/18 sec	

ity attributes (CQAs). Accordingly, the study was undertaken for 8 factors at 2 levels and 12 experimental runs were conducted.

NYS-loaded cubosomes were prepared through a modified coarse method (Ali, Noguchi, Iwao, Oka, & Itai, 2016; Bei, Marszalek, & Youan, 2009). Briefly, for each batch, different concentration of GMO (600 mg), Poloxamer and Span as in Table 2 was placed in a 100 ml round bottom flask. Further chloroform (5 ml) was added to aid complete solubilization of lipids and surfactant so as to establish a one-phase system. Subsequently, a second phase comprising of Nystatin dissolved in DMSO (0.5-1ml), was added to the above lipidic surfactant system. Chloroform was allowed to evaporate in a rotatory evaporator (IKA RV 10 Digital V) under reduced pressure at 60±2 rpm and a temperature of $60\pm2^{\circ}$ C, leading to the formation of a thin film at the bottom of the flask. A volume of 50 ml of PBS buffer saline (pH=7.4) was added to the dry lipidic drug film to form coarse dispersions by the aid of a probe sonicator (Ali et al., 2016) (Sonics Vibra cell VCX 500) at 25°C, 70 % amplitude with varying the sonication time and pulse rate as indicated in Table 2. The resulting cubosome colloidal dispersions were microcentrifuge (Remi motors, RM 12-C) at 12000 rpm for 30 mins with repeated washings to remove the unentrapped drug, and were stored in a vial at R.T (25°C) until further evaluation.

The implications of the model and factor coefficients were analyzed by undertaking multi-linear regression analysis and analysis of variance. Experimental checks were taken in triplicate. The dependent variables (CQAs) were identified as average particle size (Y_1) , zeta potential (Y_2) , entrapment efficiency (Y_3) and polydispersity index (Y_4) and in-vitro antifungal activity (Y_5) .

Optimization of NYS cubosomes using Box Beknhen (BB) design

After studying Plackett Burman design for 8 factors at 2 levels, the cubosomes were optimized for process and formulation variables using Box Beknhen design. Factors that were fixed are as follows GMO grade was selected as HP-SO-LK, low HLB surfactant was preferred to be Span 83 whereas high HLB surfactant was chosen to be poloxamer 407, the pulse rate was set to be 3/7sec. The concentration of drug and GMO was fixed to 1.23% w/w and 600 mg. The factors such as the concentration

of high HLB surfactant, low HLB surfactant and probe sonication time were rigidly studied at 3 levels in BB design (Table 3) for further optimization of NYS cubosomes. The randomized experimental designed batches were formulated (Table 4) as per the above-mentioned procedure and statistically analyzed by Design expert*7 software.

Table 3. Factors and their levels set in Box Behnken Experimental design.

Factors	Levels			
ractors	-	0	+	
X ₁ : concentration of Low HLB surfactant	150	225	300	
X ₂ : concentration of High HLB surfactant	50	100	150	
X ₃ : sonication time	10	15	20	

Table 4. Box Behnken Design of Experiment.

Formulation	X ₁ (mg)	X ₂ (mg)	X ₃ (mins)
B1	225	100	15
B2	225	150	10
В3	225	50	10
B4	150	100	20
B5	225	100	15
B6	225	50	20
В7	225	100	15
B8	150	150	15
В9	225	150	20
B10	300	150	15
B11	150	150	15
B12	300	100	20
B13	225	100	15
B14	225	100	15
B15	300	100	10
B16	300	50	15
B17	150	100	10

Table 2. Plackett Burman Design of Experiment.

Formulation	X ₁ (%)	X ₂	X ₃ (%)	X ₄	X ₅ (mg)	X ₆ (mg)	X ₇ (min)	X ₈ (rpm)
D1	1.23	HPM-90	Span 80	P-188	150	100	15	3/7
D2	1.23	HP-SO-LK	Span 83	P-188	300	200	30	3/7
D3	2.23	HPM-90	Span 83	P-407	300	100	15	3/7
D4	1.23	HP-SO-LK	Span 83	P-407	150	100	15	9/18
D5	1.23	HPM-90	Span 83	P-188	300	200	15	9/18
D6	2.23	HPM-90	Span 80	P-188	300	100	30	9/18
D7	2.23	HPM-90	Span 83	P-407	150	200	30	9/18
D8	1.23	HP-SO-LK	Span 80	P-407	300	100	30	9/18
D9	2.23	HP-SO-LK	Span 80	P-188	150	200	15	9/18
D10	1.23	HPM-90	Span 80	P-407	150	200	30	3/7
D11	2.23	HP-SO-LK	Span 83	P-188	150	100	30	3/7
D12	2.23	HP-SO-LK	Span 80	P-407	300	200	15	3/7

Characterization

Particle size, zeta potential and poly dispersibility index

The mean size and size distribution of colloidal particles is measured using Malvern Zetasizer Nano Series (Malvern Instruments, Malvern, India). Prior to the examination, samples were diluted with purified water to a scattering intensity of about 150–300 kcps and the dispersant viscosity was set to 0.8872 cP at 25°C. The size (z-average), polydispersity index (PDI) and zeta potential was determined in triplicate.

Polarized light microscopy (PLM)

PLM (Carl Zeiss, Jena, Germany) imaging was performed so as to ascertain the gross morphology of the formed nanoparticle at 25°C i.e. cubosome or hexosome.

Encapsulation efficiency

Samples from each batch were centrifuged using microcentrifuge (Remi motors, RM 12-LC) at 12,000 rpm for 30 min. Aliquots from the supernatant of each batch were diluted using 5% Triton-X solution in PBS (pH 7.4) to disrupt any lipidic fragment (Rizwan et al., 2011). The entrapped drug amount was calculated after analysis of the drug concentration in the diluted supernatant using UV spectrophotometer (UV 1800, Shimadzu Japan) at max 306 nm.

EE was calculated using the following equation:

$$EE\% = \frac{C \text{ (total drug concentration)} - C \text{ (free drug)}}{C \text{ (total drug concentration)}} \times 100 \text{ (Eq. 1)}$$

In-vitro antifungal activity

The in-vitro antifungal activity of the optimized colloidal dispersion was evaluated against Aspergillus fumigatus (NCIM 902). Inoculum suspensions of Aspergillus fumigatus were prepared from fresh, mature (3-5 day old) cultures grown on Sabouraud dextrose agar slants. The colonies were covered with 5 ml of distilled sterile water. Tween 20 (1%) was added to facilitate the preparation of Aspergillus inocula. The inocula were achieved by carefully rubbing the colonies with a sterile loop; the isolates were then shaken vigorously for 15 s with a vortex mixer and then transferred to a sterile tube. The optical density of the suspensions was measured by UV spectrophotometer (Shimadzu UV-1600) was set as 0.13 at 530 nm (Petrikkou et al., 2001). Agar well diffusion method was used to evaluate antifungal activity, 1 ml of microbial inoculum was seeded into SDA medium and poured into petri plates, a sterile cork borer of diameter 6 mm were punched aseptically to create a well and colloidal dispersion (0.1 ml) is added into the well. The plates were incubated for 48 hrs, 27°C (Aspergillus fumigatus).

In-vitro drug release

The *in-vitro* release profiles of NYS-loaded cubosomes were evaluated using a membrane dialysis method (Yang et al., 2012). Optimized cubosome formulation (2 ml) was dispersed in 1 ml of release medium (phosphate buffer pH 7.4) consisting of 0.25% w/v of sodium lauryl sulphate. The resulting dispersion was added to the dialysis bag (MWCO 3,500 Da) and sealed. The dialysis bag was immersed in beaker containing

10 ml of release medium placed over a magnetic stirrer (Remi Equipment, Mumbai, India), maintained at 37°C and set at 100 rpm. At specified time intervals of 1, 2, 4, 6, 8, 12 and 24 h on the first day followed by 24 h interval for the subsequent day's samples were withdrawn and replaced with same volume of release medium. NYS content in the release medium was assayed using the UV spectroscopy method as used in encapsulation efficiency determination.

Cytotoxicity assays

The MTT (3-(4,5-dimethylthiazolyl-2-yl)-2,5-diphenyl-tetrazoliumbromide) colorimetric assay was employed to assess the cytotoxicity of NYS and optimized NYS cubosome formulation on A549 cell lines (Adhikari, 2017; Lestner et al., 2010), Cells were seeded in 96-wellplates at a density of 1x10⁵ cells/well suspended in 100 µl of Dulbecco's modified Eagle's medium (DMEM, HiMedia, Nashik, India). Following incubation for 24 h, the medium was aspirated from all wells and replaced with 100 µl/well culture medium with NYS or NYS cubosome formulation (3.125, 6.125, 12.25, 25, 50,100,150, 200 µg/ml) and incubated for further 24 h. Cell viability was found by adding 200 µl of a 1.25 mg/ml MTT (Invitrogen, USA) to all well, trail by 4 h of incubation. Post incubation, MTT and medium were aspirated; formazan product was solubilized in 200 µl DMSO. Multi-detection microplate reader (Biohit BP 800, Helsinki, Finland) was used to measure absorbance at 570 nm. IC50 values were determined for both NYS and optimized NYS cubosomes.

In-vitro hemolysis assay

Fresh sheep red blood cells (SRBC) obtained from local slaughter house were suspended in sterile saline 5% v/v and washed twice with saline by centrifugation at 1,800g for 10 min (Chuealee, Aramwit, Noipha, & Srichana, 2011). 0.5 ml of serially diluted NYS or optimized NYS cubosomes having concentrations in the range of 10-500 µg/ml were suspended in 4.5 ml of the washed SRBC having a final hematocrit of 1%. Subsequent to incubation (37°C for 1 h), the tube was centrifuged (1,800g, 10 min), the unlysed cells were removed and hemolysis in the supernatant was estimated by measuring absorbance at 540 nm. No lysis was observed in sterile saline used as negative control whereas 100% lysis was seen in positive control (1% Triton X-100). HC $_{50}$ value was found for both NYS and optimized formulation.

Hemolysis % =
$$\frac{\text{(OD of sample - OD of negative control)}}{\text{(OD of positive control - OD of negative control)}} \times 100 \text{ (Eq. 2)}$$

Small angle X-ray scattering (SAXS)

The SAXS measurements were carried out using Xeuss 2 (Xenocs SAS, France), which consisted of an Eiger R 1M as the detector, GeniX3D Cu 30 Watts Cu tube with 50 KV 0.6 mA current, 1.54 Å as the radiation wavelength, and the scattering angle ranged as 0.2° to 2.8°. The measurements were taken in a vacuum (25±0.1°C). The diffraction patterns acquired were converted to plots of intensity vs. q-value, which facilitated the identifications of the peak point, and its conversion to Miller Indices to recognize the phase structure using SAXS processing software [Scatter developed by S. Förster (University of Hamburg), and L. Apostol (DUBBLE/ESRF)].

Differential scanning calorimeter (DSC)

The physical state of NYS encapsulated in cubic nanoparticles was characterized by DSC thermal analyzer (DSC 60 plus, Shimadzu, Japan). Approximately 5–10 mg of samples including pure NYS, P-407, GMO and optimized NYS cubosomes were placed in a standard aluminum pan and an empty hermetic pan was used as a reference. After purging with pure nitrogen at a flow rate of 10 mL/min, the samples were analyzed at a heating rate of 10°C/min from 40°C to 300°C.

Fourier transformer infrared spectroscopy (FTIR)

The physical state of NYS encapsulated in cubic nanoparticles was also characterized by FTIR (IRAffinity-1S, Shimadzu, Japan). Approximately 10-20 mg of samples were compressed to prepare circular KBr disc of GMO, P-407, Span 83, NYS, optimized cubosome formulation were screened in the range of 400 to 4000 cm⁻¹.

X-ray diffractometer

XRD experiments were carried out on a PW3040/60 X'pert PRO (PANalytical, Netherlands). Cu X-ray tube operating at 45 kV and 40 mA produced incident X-radiation. Diffraction images were obtained on an X'Pert data collector. Samples such as NYS and optimized NYS cubosome formulation were analysed at 25°C over the 2g range 2-80° with a step size of 0.05° (60 s).

Field emission gun transmission electron microscope (FEG TEM)

The samples were prepared by negatively staining in 1% (w/v) phospotungstic acid for 3–5 min. A 5-µl droplet of the cubo-

some suspension was placed onto a 300 mesh carbon coated copper grid, and was allowed to settle for 3–5 min. Next, the excess fluid was removed by absorbent paper. Thereafter visualized on a FEI Model Tecnai G2, F30 300KV (FEI-USA) and snapped on a Gatan axis-mount 2k×2k digital camera.

RESULTS AND DISSCUSSION

Risk estimation: Ishikawa diagram

The fishborne diagram was used to estimate the potential cause and effect correlation of process and formulation variable for development of inhalable cubosomes. CQAs such as particle size, PDI, zeta potential and entrapment efficiency were identified to critically effect the QTPPs (Figure 1). Prior knowledge gained through literature review and preliminary studies enabled to identify eight potential factors for evaluating experimental design as shown in Table 1.

Screening by Plackett Burman design

Plackett Burman design was applied for screening the most significant process and formulation factors to elucidate its effect on achieving the QTPP. The outline of the response on the dependent variable is shown in Table 4. The statistical evaluation with context to independent variable and its effect on the selected response is indicated in Table 5.

Particle size a very critical factor in design of inhalable nanoparticles, was seen to be affected by independent variables namely concentration of drug (X_1) , type of GMO (X_2) , type of high HLB surfactant (X_n) , concentration of low HLB surfactant (X_n)

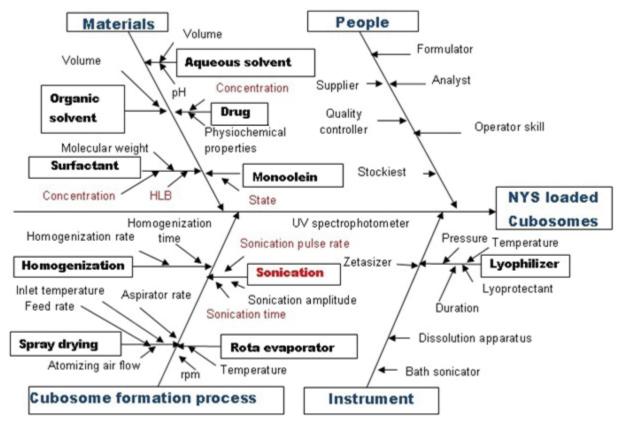


Figure 1. Ishikawa diagram representing process and formulation variables that may affect the CQAs of Cubosomes. The studied effect is "the fish head" and the potential causes and sub-causes define the "fish bone structure".

Table 5. Outline of the response on the dependent variable obtained using Plackett Burman Design.

Formulation	Y ₁ : Average particle size (nm)	Y ₂ : Polydispersity index (PDI)	Y ₃ : Zeta potential (mV)	Y ₄ : Drug Entrapmen (%)
D1	1638	0.376	-13.4	82
D2	505.5	0.568	-25.4	84
D3	2588	1	-32	76
D4	253	0.614	-28.6	86
D5	2687	1	-18.6	79
D6	3147	1	-17.6	77
D7	1328	0.376	-27.9	76
D8	347.7	0.446	-27.3	83
D9	878.6	0.833	-30.9	78
D10	1601	0.866	-27.3	74
D11	1069	0.697	-14.1	76
D12	817	0.62	-33.5	82

concentration of high HLB surfactant (X_c) , sonication time (X_7) and pulse rate (X_8) . In a way X_2 , X_4 , X_6 and X_7 had a negative effect and decreased particle, while X₁, X₅, X₈ effected particle size positively by increasing particle size. Moreover literature reports have previously identified poloxamer to be 'gold standard surfactants as a stabilizer' for reducing particle size and for better stability profiling (Rizwan & Boyd, 2015). We found that incorporation of higher grade of poloxamer (P-407) significantly reduced particle size. It was noted that, solid grade of GMO increased particle size in contrast to liquid grade of GMO. Although with increasing low HLB surfactant concentration particle size increased as it favored more structural binding with lipidic GMO (Dong, Chang, Qian, Tong, & Zhou, 2016). Increase in sonication time lead to decrease in particle size and is credited to the high energy regions of cavitations and compression that grounds coarse dispersion into nanosize, the same has been reported elsewhere (Sugita, Ambarsari, & Lidiniyah, 2015).

PDI of the colloidal dispersion was chosen to be CQA in order to obtain knowledge regarding the homogeneity of the particle size. The type of GMO (X_2), type of high HLB surfactant (X_4) and sonication time (X_7) produced a decrease in Y_2 (PDI), whilst there is an increase in PDI observed with increase in concentration of drug (X_1), and concentration of low HLB surfactant (X_5).

Zeta potential (Y_3) of the system was also identified as CQA, to indicate physical stability of nanoparticle suspensions. $Y_{3,}$ notably depended on type of GMO (X_2) , type of high HLB surfactant (X_4) and concentration of high HLB surfactant (X_6) had a desirable effect whereas and sonication time (X_7) had a negative effect.

Encapsulation efficiency was assigned to be CQA, since it is a paramount dependent variable that governs the delivery system, it manipulates the effectiveness of the nanoparticle to target site by increasing drug loading. Input factors such as concentration of drug (X_1) , concentration of high HLB surfac-

tant (X_c) and sonication time (X_7) exhibited negative effects and increasing these input parameters lead to decline in drug entrapment efficiency, on the other hand factors such as type of GMO (X_2), concentration of low HLB surfactant (X_5), showcased a positive effect and improved encapsulation efficiency. Our findings are very well in concurrence with earlier reported outcomes, as the concentration of low HLB lipidic surfactant increases the payload also increases owing to addition of more solubilizing groups with expansion of the core radius (Hao et al., 2011). Increase in drug concentration lead to decrease in entrapment efficiency and could be as a result of insufficient quantity of lipidic polymers needed to effectively encapsulate the drug (Pawar, Gholap, Kuchekar, Bothiraja, & Mali, 2015). Electrostatic repulsion in between surfactant and drug could be the possible reason for decline in EE% with increase in concentration of high HLB surfactant. Plausible cause of decrease in EE% with increase in sonication time is as a result of reduction in particle size during sonication cycle leading to loss of drug (Sugita et al., 2015). Thus, it becomes very essential to either fix certain independent variables or to optimize them to achieve the desired goal.

Optimization using Box Beknkhn design

Optimization of cubosomes was undertaken by fixing some of the independent variables. The concentration of drug was fixed to 1.23% w/w since our goal was to obtain particle size in the range 200-400 nm and maximize % EE. Pulse rate was defined at 3/7 sec to achieve particle size in the desired range. Liquid grade of GMO, P-407 displayed better response for studied dependent variables, hence were fixed as type of GMO and type of high HLB surfactant respectively for BB design. Type of low HLB surfactant had insignificant effect on the selected responses, thus Span 83 was chosen. Input factors such as X_5 , X_6 and X_7 were critically studied at three levels to optimize cubosomes, Table 6 depicts the observed responses. The coefficient of correlation and p values is presented in Table 7.

Table 6. Statistical Evaluation of the observed responses -Plackett Burman Design.

Response	Y ₁ : Partic	le size	Y ₂ : P	DI	Y ₃ : Zeta p	otential	Y ₄ : Entrapme	nt efficiency
Source	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value
α_0	1404.98	0.0003	0.6997	0.0179	-24.72	0.0181	79.42	0.0046
X_1	232.95	0.0003	0.0547	0.0330	-1.28	0.0507	-1.92	0.0019
X_2	-759.85	<0.0001	-0.0700	0.0205	-1.92	0.0237	2.08	0.0016
X_3	е	е	е	е	е	е	е	е
X_4	-249.20	0.0003	-0.0460	0.0457	-4.72	0.0040	е	е
X_5	277.05	0.0002	0.0727	0.0191	-1.02	0.0773	0.7500	0.0121
X_6	-102.13	0.0006	е	е	-2.55	0.0136	-0.5833	0.0198
X_7	-71.95	0.0009	-0.0408	0.0570	1.45	0.0404	-1.08	0.0059
X_8	35.23	0.0018	0.0118	0.3653	-0.4333	0.2860	0.3167	0.0507

 $^{\alpha}\alpha_0$ - constant; e-not modeled by software; X_1 -concentration of drug; X_2 -type of GMO, X_3 -type of high HLB surfactant; X_4 -type of low HLB surfactant; X_5 -concentration of low HLB

Table 7. Outline of the response on the dependent variable obtained using Box Behnken Design	١.
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Table 7. Cataline of the response on the aspendent far able of table as a far							
Formulation	Y ₁ : Particle size (nm)	Y ₂ : Zeta potential (mV)	Y ₃ : Polydispersity	Y ₄ : Drug entrapment (%)			
B1	197.7	-10	0.459	76			
B2	209.5	-18.5	0.360	78			
B3	263.5	-14.4	0.283	82			
B4	383	-26.02	0.149	86			
B5	191.7	-9.98	0.387	78			
В6	338.7	-27.5	0.222	88			
B7	188.6	-8.81	0.525	76			
B8	175.7	-6.33	0.410	81			
В9	233.5	-18.1	0.154	87			
B10	171.8	-4.77	0.217	86			
B11	229.8	-14	0.245	88			
B12	310	-31.3	0.320	90			
B13	188.6	-8.34	0.568	77			
B14	185.7	-8.43	0.462	78			
B15	320.4	-29.2	0.317	90			
B16	420.8	-27.3	0.362	92			
B17	169.3	-4.11	0.333	70			

 R^2 value for particle size (Y_1) , zeta potential (Y_2) , polydispersibility index (Y_3) , entrapment efficiency (Y_4) is as 0.9457, 0.8714, 0.8775 and 0.9432 respectively. The p values for all the model responses (Table 8) was less than 0.05 indicating that the concerning responses can be forecasted with exactness using the mathematical model.

The factors that impacted particle size significantly were concentration of low HLB surfactant (X_1), concentration of high HLB surfactant (X_2), sonication time (X_3). Interaction of X_1X_2 and X_1X_3 , quadratic effect of X_1^2 and X_3^2 significantly affected particle size. Increase in the concentration of low HLB surfactant

and sonication time caused increase in particle size whereas increasing concentration of high HLB surfactant decreased particle size. Increase in the sonication time and concentration of low HLB surfactant exhibited synergistic action by promoting ionic interaction between drug and Span 83 leading to agglomerates of large particle size (Poddar & Sawant, 2017). The polynomial equation of the second order to estimate mean particle size is as obtained:

 $Y = 190.46 + 33.15X_1 - 57.79X_2 + 37.81X_3 - 48.72X_1X_2 56.03X_1X_3 - 12.80X_2X_3 + 46.72X_1^2 + 12.34X_2^2 + 58.50X_3^2 \quad \text{(Eq.3)}$

Table 8. Statistical Evaluation of the observed responses – Box Behnken Design.

Response	Y ₁ particle s	Y ₁ particle size (nm)		Y ₂ zeta potential (mV)		DI	Y ₄ Entrapmer	nt efficiency
Source	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value
β_0	190.46	0.0012	-9.11	0.0091	0.48	0.0145	77.40	0.0015
X_1	33.15	0.0124	4.44	0.0125	0.0098	0.6716	4.25	0.0011
X_2	-57.79	0.0006	-5.26	0.0261	0.0011	0.9608	-2.00	0.0407
X_3	37.81	0.0066	4.59	0.0228	-0.058	0.0333	4.00	0.0015
X_1X_2	-48.72	0.0104	3.71	0.1401	-0.078	0.0422	0.0000	1
X_1X_3	-56.03	0.0052	4.95	0.0620	0.047	0.1800	-4.00	0.0094
X_2X_3	-12.80	0.3919	3.37	0.1744	-0.031	0.3507	1.0000	0.4052
X_1^2	46.72	0.0112	3.51	0.1508	-0.076	0.0418	4.55	0.0044
X_2^2	12.34	0.3967	-0.48	0.8325	-0.096	0.0162	4.55	0.0044
X_3^2	58.50	0.0037	10.04	0.0025	-0.12	0.0046	2.05	0.1048

 ${}^{8}B_{0}$ -constant; X_{1} -concentration of low HLB surfactant; X_{2} -concentration of high HLB surfactant; X_{3} -sonication time

Table 9. The observed and predicted values of the optimized NYS cubosome formulation with respect to desirability

Response	Observed	Predicted
Particle size (nm)	263.5	247.99
Zeta potential (mV)	-14.4	-14
PDI	0.283	0.278
Entrapment efficiency (%)	82	81.81

Zeta potential (Y_2) of the colloidal dispersion dependent on individual factors X_1, X_2, X_3 in addition to quadratic effect of X_3^2 and had a significant on Y_2 . The increase in concentration of P-407 and increase in sonication time affected zeta potential positively thus increased its magnitude while increase in concentration of Span 83 had negative impact on zeta potential by decreasing its magnitude. Similar outcomes have been portrayed previously (Sugita et al., 2015). The polynomial equation of the second order to estimate zeta potential is as obtained:

$$Y = -9.11 + 4.44X_1 - 5.26X_2 - 4.59X_3 + 3.71X_1X_2 + 4.95X_2X_3 + 3.37X_2X_3 + 3.51X_1^2 - 0.48X_2^2 - 10.04$$
 (Eq.4)

PDI (Y_3) was significantly affected by sonication time (X_3), the interaction of X_1X_2 and the quadratic effect of individual inputs X_1^2 , X_2^2 and X_3^2 . Increasing the sonication time lead to decline in PDI values, similar findings of sonication time effecting PDI has been reported (Pawar et al., 2015). The polynomial equation of the second order to estimate PDI is as obtained:

$$Y = 0.48 + 0.0098X_1 + 0.0011X_2 - 0.058X_3 - 0.078X_1X_2 + 0.047X_1$$
$$X_3 - 0.031X_2X_3 - 0.076X_1^2 - 0.096X_2^2 - 0.12X_3^2 \quad \text{(Eq.5)}$$

Entrapment efficiency (%EE) was influenced by X_1 , X_2 , X_3 , X_1X_3 interaction, quadratic effect of X_1^2 and X_2^2 , these terms showed significant effect. In case of % EE concentration of low HLB surfactant was critical variable and as the concentration increased

entrapment also increased, similar findings have been reported (Gabr, Mortada, & Sallam, 2017). However, concentration of Span 83 should be optimal so that the size of the nanoparticles is also beneath the defined dimensions. The polynomial equation of the second order to estimate % EE is as obtained:

$$Y = 77.40 + 4.25X_1 - 2X_2 + 4X_3 - 4X_1X_2 + 0XX_1X_2 + 1X_2X_3 + 4.55X_1^2 + 4.55X_2^2 + 2.05X_3^2$$
 (Eq.6)

To carefully estimate the effect of various factors on selected responses, contour plots depicting the effect of two independent variables simultaneously (interactions) on the third variable (dependant variable) are presented in Figure 2.

The desirability function was obtained to acquire the optimized formulation. The optimum formulation was set on goal of achieving particle size less than 350 nm, entrapment efficiency greater than 75%, PDI less than 0.3 and zeta potential of upper limit -14 mV. Accordingly, cubosome nanoparticle with the projected levels of formulation factors was prepared to bear out the validity of the optimization method. Table 8 displays the optimum and predicted response of formulated optimized cubosome and Figure 3 is the representation of the overlaid contour plots.

Polarised light microscopy (PLM)

Crossed PLM image are presented in Figure 4. The PLM studies were undertaken for finding out whether the particles formed were cubosomes or hexosomes. The image appeared completely black under the cross polarizers indicating the presence of cubic phase, thus confirming formation of Cubosomes (Gabr et al., 2017).

In-vitro antifungal activity

In-vitro antifungal activity was estimated to confirm the efficacy of drug loaded cubosome against fungi of the genus Aspergillus fumigatus, the causative agent for IPA in immunocompromised patients. NYS solution and NYS suspension were considered as reference standards. The zone of inhibi-

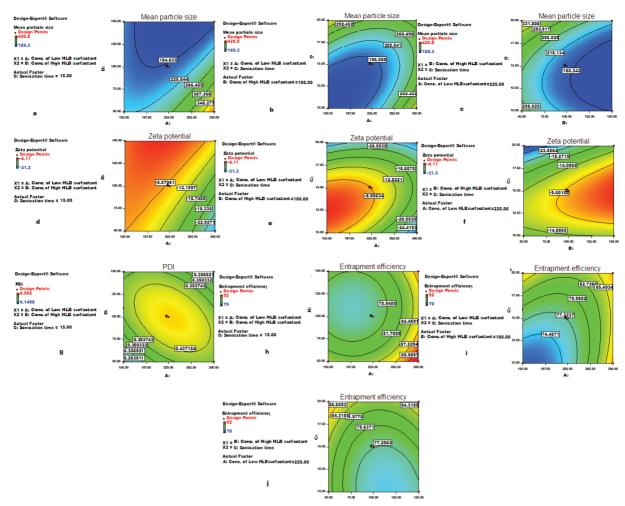


Figure 2. Contour plots presenting impact of (a) Concentration of low HLB surfactant and concentration of high HLB surfactant on mean particle size, (b) Concentration of low HLB surfactant and sonication time on mean particle size (c) Concentration of high HLB and sonication time on mean particle size (d) Concentration of low HLB surfactant and concentration of high HLB surfactant on zeta potential (e) Concentration of low HLB surfactant and sonication time on zeta potential (g) Concentration of low HLB surfactant and concentration of high HLB surfactant on PDI(h) Concentration of low HLB surfactant and concentration of high HLB surfactant and sonication time on entrapment efficiency (j) Concentration of high HLB surfactant and sonication time on entrapment efficiency.

tion of NYS from optimized cubosome (B3) was compared with NYS suspended in phosphate buffer and nystatin solution (Figure 5). It was notably seen that optimized NYS cubosomes displayed significantly higher zone of inhibition in comparison to NYS suspended in phosphate buffer (p<0.05), whereas with pure drug solution no significant difference was found (p>0.05).

In-vitro drug release

Release profile was investigated to assess the successful development and release of the encapsulated drug from cubosome nanoparticles. The study aimed to demonstrate the developed formulations superiority over drug suspension and drug solution. It was observed that complete drug release was achieved within 3 hours from NYS solution. The faster release of drug from pure solution is due to the fact that the drug particles are in molecular state. The release rate of NYS from optimized cubosome (B3) was further compared with NYS suspended in phosphate buffer (NYS suspension)

(Figure 6). Release profile points out to NYS release to be significantly higher from cubosome formulations compared to NYS suspension after 96 h. Faster drug release from cubosome as compared to suspension can be attributed to the fact that the drug was in the solubilized state and moreover molecularly dispersed in amorphous form (Kassem, Mohsen, Samir Ahmed, & Mohamed Essam, 2016; Prajapati, Jain, Jain, Sahu, & Kohli, 2014). Although, in case of cubosome formulation a biphasic release pattern was seen, an initial burst release is due to adsorbed drug placed at or just below the surface of the nanoparticles followed by subsequent slower drug release rate up to 48 hrs. The results corroborate to earlier finding (Shi et al., 2017). The release kinetics from cubosome was studied using PCP DISSO V3 and was found to follow Higuchi release pattern (R²=0.941). Hence the release from these cubosome structures is controlled by the microstructure formed, number of water channels and the location of the drug moiety (Prajapati et al., 2014; Shi et al., 2017).

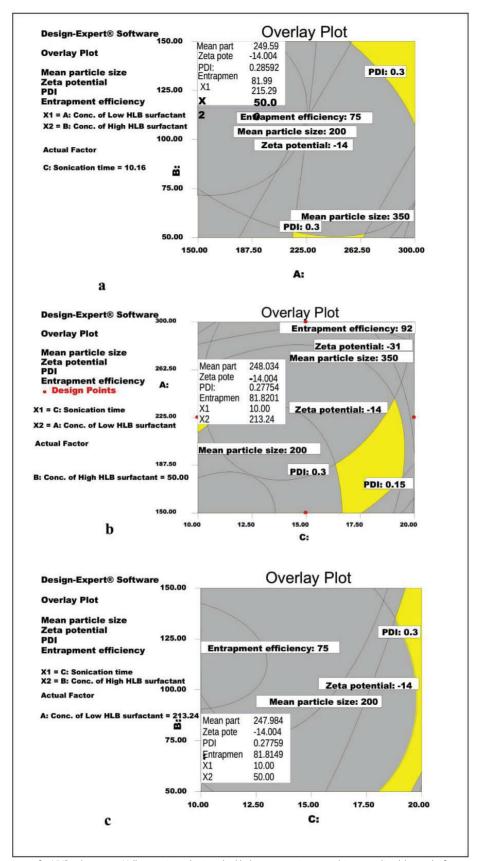


Figure 3. Design space for NYS cubosomes. Yellow regions depicts the likely permutations to achieve predictable results for average nanoparticle size (200–350 nm), zeta potential (<-14), encapsulation efficiency (>75%) and polydispersity index (0.15–0.3). (a) Overlay plot of concentration of low HLB surfactant and concentration of high HLB surfactant, (b) overlay plot of concentration of low HLB surfactant and sonication time (c) overlay plot of concentration of high HLB surfactant and sonication time.

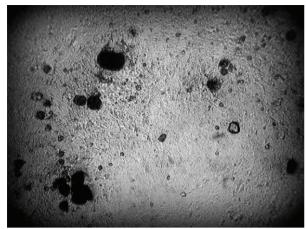


Figure 4. Polarised light microscopy image of optimized NYS cubosomes under cross polarizers.

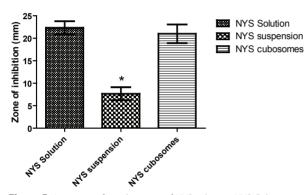


Figure 5. *In-vitro* antifungal activity of NYS solution, NYS Cubosomes and NYS suspension against *Aspergillus fumigatus*.

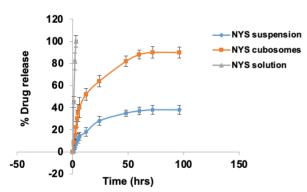


Figure 6. *In-vitro* drug release profile of NYS Cubosomes, NYS suspension and NYS solution.

Cytotoxicity assays

Cytotoxicity assay of NYS and optimized NYS cubosomes (B3) performed on A549 cell line after 72 hours of treatment is represented in Figure 7. The cytotoxicity is expected to be maximum in solution since the drug is in its molecular state. The % cell viability on A549 cell line at concentration 100 μ g/ml for these samples was found to be 22% and 70% respectively. IC₅₀ values were calculated to be 60.178 μ g/ml and 151.35 μ g/ml respectively. Thus the cubosome formulation exhibits reduced cell cytotoxicity as compared to pure drug solution, similar

findings for nanocomposites have been reported elsewhere (Hussein-Al-Ali et al., 2014).

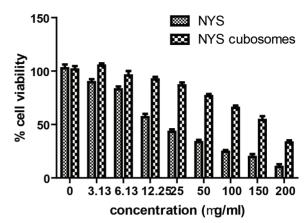


Figure 7. Cytotoxicity assay of NYS and NYS Cubosomes on A549 cell lines

In-vitro haemolytic assay

To determine the toxic potential of the formulated cubosomes, *in-vitro* haemolytic assay was performed on various concentrations of both NYS and optimized formulation (B3). Figure 8 indicates that the HC_{50} for NYS cubosome was 400 μ g/ml, while for NYS it was seen at 40 μ g/ml, similar findings have been reported (Kim et al., 2017). Thus, the results signify lower toxicity of the formulation as compared to NYS. This is observed as nystatin is well binded to the lipidic surfactant in the cubical structure, thus low release of free form is accessible to form dimer and induce haemolysis.

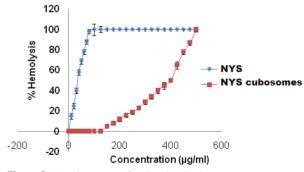


Figure 8. Hemolytic assay of NYS and NYS cubosomes.

Small angle X-ray scattering

Scattering profile for the optimized formulation is presented in Figure 9. SAXS studies was undertaken to identify the cubic mesophasic structure, measure lattice parameters and also to confirm particle size of the formed nanoparticles. The scattering peaks ratios were indicative of Pn3m bicontinuous cubic phase ($\sqrt{2}:\sqrt{3}:\sqrt{4}$) (Deshpande & Singh, 2017). The lattice parameter was found to be 78.88 nm and the particle size was 245.77nm.

Differential scanning calorimeter

DSC thermograms of NYS, GMO, P-407 and NYS cubosomes are presented in Figure 10. The thermogram of NYS exhibits

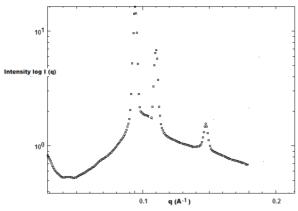


Figure 9. SAX scattering profile of optimized NYS Cubosomes.

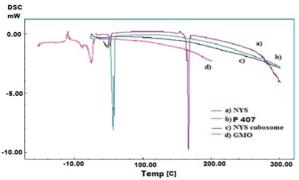


Figure 10. DSC thermogram of a) NYS b) P-407 c) NYS cubosome d) GMO.

a sharp endothermic melting peak at 166°C which is in conformity to literature reports (Michel, 1977). Nevertheless, NYS melting peak completely vanished in thermogram of NYS cubosomes. Thus, this justifies the incorporation of drug in the cubosomes to be a non-crystalline form.

FTIR spectroscopy

FTIR studies were undertaken to examine any interaction between NYS and excipients in the optimized cubosome formulation. Figure 11 illustrates the FTIR spectra of these samples. The spectra of NYS (Michel, 1977) distinctly shows a NH stretching peak at 3012 cm⁻¹, OH stretching at 3351cm⁻¹, peak at 1701 cm⁻¹ corresponds to unstrained lactone group, carboxylate ion

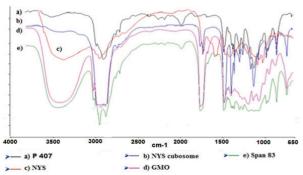


Figure 11. FTIR spectra of a) P-407 b) NYS cubosome c) NYS d) GMO e) Span 83.

at 1574 cm⁻¹, CH₃ deformation (asym) and CH₂ deformation at 1448 cm⁻¹, CH₃ deformation (sym) at 1399 cm⁻¹, C-OH stretching at 1069 cm⁻¹ and CH deformation (out of plane) in -CH=CH-(trans) at 946 cm⁻¹. The IR spectra of GMO demonstrated OH stretching peak at 3400.80 cm⁻¹. The CH₂ stretching and ester bond was definite by peaks at 2960 cm⁻¹ and 1740 cm⁻¹ respectively. IR spectra of P-407 highlighted peaks at 2970.65, 1343.17, and 1061.21 cm⁻¹ related to C-H aliphatic stretch, in plane O-H bond, and C-O stretch, respectively. Span 83 spectra showed characteristic peaks at 1630 cm⁻¹, 3430 cm⁻¹, are assigned OH group. It is be noted, peaks at 1720 cm⁻¹, 1562 cm⁻¹, 1357 cm⁻¹ are attributed to the C=O, C-H bending in CH₃ and CH₂. FTIR spectra for the drug loaded cubosome proves retention of characteristic functional groups, thus explicatory of no signs of interaction and assuring encapsulation of drug moiety in the nanostructure.

X-ray diffraction

XRD pattern of pure drug and optimized formulation is depicted in Figure 12. Typical sharp peaks for free NYS 2θ values 4.33° , 13.95° , 15.60° , 16.72° and 21.51° (Michel, 1977) affirm to its crystalline nature. Though these peaks were not observed in the diffractogram of NYS cubosome formulation, thereby confirm to amorphous form of drug. DSC findings are in agreement to the above results and are suggestive of the drug encapsulated in the cubical nanostructure. However, the diffraction spectra of the optimized formulation did show some peaks at 2θ values 18.5° and 22.37° , which may correspond to mannitol, the same has been reported (Sugita et al., 2015).

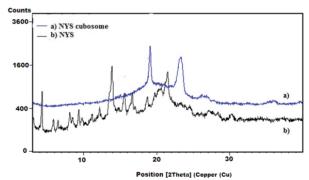


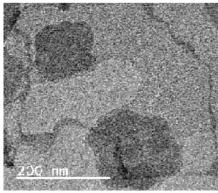
Figure 12. X-ray diffractogram of a) NYS cubosome b) NYS.

FEG Transmission electron microscopy

The structure of cubosomes is validated by both SAXS and TEM analysis. Figure 13 images denote outer shape and inner microstructure of the cubosomes. Outer virtually spherical structure with some irregular polyangular symmetry and extensive internal water channels clearly explains Pn3m mesophasic structure as interpreted through SAXS data and reported elsewhere (Shi et al., 2017). Particle size obtained by TEM (200 nm) is in good agreement to the zetasizer findings.

CONCLUSIONS

Nystatin loaded cubosome nanoparticles were formulated by dispersing the dry drug loaded lipidic film in phosphate buffer pH 7.4 by the aid of ultrasound probe sonicator. The effects of



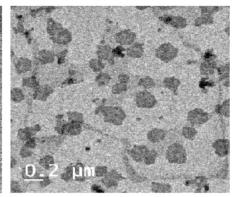


Figure 13. TEM images of optimized NYS cubosomes.

formulation and process parameters on CQAs of cubosome was screened and optimized with the help of experimental designs Placket–Burman followed by Box–Behnken. Design space was constructed following optimization of formulation. PLM, TEM images and SAXS profiles confirmed the formation of cubosome nanoparticles. XRD spectra, FTIR spectra and DSC thermogram indicated successful encapsulation of the drug. The *in-vitro* cell toxicity and *in-vitro* hemolytic assay revealed lower toxicity of NYS cubosomes as compared to free drug.

Although the *in-vitro* release profiles and *in-vitro* antifungal studies point out sustained effect, the conceptualization of target specificity can be assured only by evaluating the *in-vivo* deposition and fate of NYS-cubosomes in lungs which is essential for pulmonary administration of drugs. Thus, non-invasive feature and contemplated target specificity of nystatin loaded cubosome nanoparticles pave a way for its prospect as pulmonary delivery to combat IPA.

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Kazi and Dehghan. Development of inhalable cubosome nanoparticles of Nystatin for effective management of Invasive Pulmonary Aspergillosis

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