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# MODULES FOR WHICH EVERY ENDOMORPHISM HAS A NON-TRIVIAL INVARIANT SUBMODULE

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ABSTRACT. All rings are commutative. Let M be a module. We introduce the property (**P**): Every endomorphism of M has a non-trivial invariant submodule. We determine the structure of all vector spaces having (**P**) over any field and all semisimple modules satisfying (**P**) over any ring. Also, we provide a structure theorem for abelian groups having this property. We conclude the paper by characterizing the class of rings for which every module satisfies (**P**) as that of the rings R for which  $R/\mathfrak{m}$  is an algebraically closed field for every maximal ideal  $\mathfrak{m}$  of R.

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### 1. Introduction

The notion studied in this article has its roots in operator theory. Let  $\mathcal{B}(\mathcal{H})$  be the algebra of all bounded linear operators on a separable infinite dimensional complex Hilbert space  $\mathcal{H}$ . A closed subspace M of  $\mathcal{H}$  is said to be a non-trivial invariant subspace for  $T \in \mathcal{B}(\mathcal{H})$  if  $M \neq 0$ ,  $M \neq \mathcal{H}$  and  $T(M) \subseteq M$ . The invariant subspace problem can be stated as follows:

Does every bounded linear operator  $T \in \mathcal{B}(\mathcal{H})$  have a non-trivial invariant closed subspace?

A number of research papers have been devoted to the study of this conjecture which is still open. Following [1], the research on this problem was initiated by J. von Neumann who proved in the early thirties of the last century that every linear compact operator on a Hilbert space has a non-trivial invariant closed subspace. The proof of this result was never published. Later in 1954, Aronszajn and Smith [1] extended von Neumann's result to the Banach spaces setting. In 1966 [2], Bernstein and Robinson proved that every polynomially compact operator T on a Hilbert space (i.e., P(T) is compact for some nonzero polynomial P) has a nontrivial invariant subspace. In 1973 [5], Lomonosov showed that every bounded linear operator on a complex Banach space which commutes with a nonzero compact operator has a non-trivial invariant closed subspace. Further details about the developments on the above conjecture can be found in [4].

In this paper, we examine this problem from an algebraic point of view by extending it to a module theoretic version. Let R be a commutative ring and let M be an R-module. A submodule N of M is said to be invariant under an R-endomorphism f of M if  $f(N) \subseteq N$ . The module M is said to have property (**P**) if every R-endomorphism f of M has a non-trivial invariant submodule. The focus of our investigations is to explore and study modules satisfying (**P**).

In Section 2, we prove that every infinitely generated semisimple module has (**P**) (Proposition 2.12). It is shown that for a commutative field K, a K-vector space V with  $dim(V) = n \ge 2$  satisfies (**P**) if and only if every monic polynomial  $P(X) \in K[X]$  of degree n is reducible (Theorem 2.6). Also, we determine the structure of abelian groups having (**P**) (Theorem 2.21). Some examples are provided to show that even a semisimple module needs not have (**P**), in general.

In the main result of Section 3, we characterize the class of rings R for which every nonzero finitely generated R-module which is not simple has (**P**). It turns out that this class of rings is precisely that of rings R for which  $R/\mathfrak{m}$  is an algebraically closed field for every maximal ideal  $\mathfrak{m}$  of R (Theorem 3.4).

Throughout this article, all rings are commutative with identity and all modules are unital. Let R be a ring and let M be an R-module. A submodule L of M is called *non-trivial* if  $L \neq 0$  and  $L \neq M$ . We use Rad(M), Soc(M), and  $End_R(M)$ to denote the radical, the socle, and the endomorphism ring of M, respectively. The notation  $N \subseteq M$  means that N is a subset of M and the notation  $N \leq M$ means that N is a submodule of M. By  $\mathbb{Q}$ ,  $\mathbb{Z}$ , and  $\mathbb{N}$  we denote the ring of rational numbers, the ring of integer numbers, and the set of natural numbers, respectively.

### 2. Modules having (P)

**Proposition 2.1.** The following are equivalent for a module M:

- (i) Every endomorphism of M has a non-trivial invariant submodule (i.e., ∀f ∈ End<sub>R</sub>(M), ∃0 ≠ N ≤ M such that N ≠ M and f(N) ⊆ N);
- (ii) Every automorphism of M has a non-trivial invariant submodule.

**Proof.** (i)  $\Rightarrow$  (ii) This is immediate.

(ii)  $\Rightarrow$  (i) Let f be a nonzero endomorphism of M which is not an automorphism. Then  $Kerf \neq 0$  or  $Imf \neq M$ . Note that  $Kerf \neq M$  and  $Imf \neq 0$ . If  $Kerf \neq 0$ , then Kerf is a non-trivial invariant submodule under f. If  $Imf \neq M$ , then Imfis a non-trivial invariant submodule under f.

**Definition 2.2.** A module M is said to have property (**P**) if it satisfies any of the equivalent two conditions in Proposition 2.1.

Recall that a submodule N of a module M is called *fully invariant* if  $f(N) \subseteq N$  for every endomorphism f of M. It is well known that for any module M, Soc(M) and Rad(M) are fully invariant submodules of M. In [6], the authors studied *duo* modules (i.e., modules in which every submodule is fully invariant). It is clear that every nonzero duo module which is not simple satisfies (**P**). So for every commutative ring R which is not a field, the R-module R has (**P**).

**Example 2.3.** (i) It is clear that every module having a non-trivial fully invariant submodule has (**P**). In particular, every module M with non-trivial radical or non-trivial socle has (**P**).

(ii) Let M be an artinian module which is not semisimple. Then  $Soc(M) \neq M$ . Moreover, it is well known that  $Soc(M) \neq 0$ . Hence M has (**P**).

To explore modules having  $(\mathbf{P})$ , it is natural to begin by investigating vector spaces over a field and semisimple modules.

**Proposition 2.4.** Let K be a field. Every infinite-dimensional K-vector space has (**P**).

**Proof.** Let V be a K-vector space of infinite dimension and let T be an automorphism of V. Suppose that the only invariant subspaces of V under T are 0 and V. Let  $0 \neq u \in V$  and consider the nonzero subspace W of V generated by the family  $\{T^k(u), k \geq 1\}$ . Clearly,  $T(W) \subseteq W$ . Therefore W = V and hence  $u \in W$ . So there exists  $p \geq 1$  such that  $u = \alpha_1 T(u) + \alpha_2 T^2(u) + \cdots + \alpha_p T^p(u)$ , where  $\alpha_1, \alpha_2, \ldots, \alpha_p \in K$  and  $\alpha_p \neq 0$ . It follows that  $T^p(u)$  belongs to the nonzero subspace L of V generated by the family  $\{u, T(u), \ldots, T^{p-1}(u)\}$ . This implies that  $T(L) \subseteq L$ . Note that L is a finitely generated subspace of V. Thus  $L \neq V$ . This is a contradiction. Consequently, V contains a non-trivial subspace which is invariant under T.

Next, we characterize finite-dimensional vector spaces having  $(\mathbf{P})$ . We begin with the following well known remark which is included for completeness.

**Remark 2.5.** Let K be a field and let  $P(X) = X^n + a_{n-1}X^{n-1} + \cdots + a_1X + a_0 \in K[X]$  be a polynomial of degree  $n \ge 2$ . The companion matrix of the polynomial P(X) is the  $n \times n$  matrix

$$M = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & 1 & 0 \\ 0 & \cdots & \cdots & 0 & 1 \\ -a_0 & -a_1 & \cdots & \cdots & -a_{n-1} \end{bmatrix} \in M_n(K).$$

Let T be an endomorphism of  $K^n$  such that M is the matrix of T with respect to the standard basis. It is easy to check that the characteristic polynomial of T is  $(-1)^n P(X)$ .

**Theorem 2.6.** Let K be a field and let  $n \ge 2$  be an integer. Then the following statements are equivalent:

- (i) The K-vector space  $U = K^n$  has (**P**);
- (ii) Every monic polynomial  $P(X) \in K[X]$  of degree n is reducible.

**Proof.** (i)  $\Rightarrow$  (ii) Assume that U has (**P**). Let P(X) be a monic polynomial in K[X] of degree n. By the preceding remark, there exists a nonzero endomorphism f of U such that the characteristic polynomial of f is  $(-1)^n P(X)$ . Then U contains a non-trivial subspace V such that  $f(V) \subseteq V$ . Set  $h = \dim(V)$ . Note that  $1 \leq h \leq n-1$ . Moreover, there exists a subspace W of V such that  $U = V \oplus W$  and  $\dim(W) = n - h$ . Let  $\mathcal{B}_1 = \{e_1, e_2, \ldots, e_h\}$  be a basis for V and let g be the restriction of f to V. We denote by  $A_1$  the matrix of g with respect to the basis  $\mathcal{B}_1$ . Let  $\{e_{h+1}, \ldots, e_n\}$  be a basis for W. Then  $\mathcal{B}_2 = \{e_1, e_2, \ldots, e_n\}$  is a basis for U. It is easily seen that the matrix A of f with respect to the basis  $\mathcal{B}$  has the form

$$A = \left[ \begin{array}{cc} A_1 & A_2 \\ 0 & A_3 \end{array} \right].$$

Let  $P_A(X)$  and  $P_{A_i}(X)$   $(i \in \{1,3\})$  be the characteristic polynomials of the matrices A and  $A_i$   $(i \in \{1,3\})$ , respectively. Then  $P_A(X) = P_{A_1}(X)P_{A_3}(X)$ . It follows that  $(-1)^n P(X) = P_{A_1}(X)P_{A_3}(X)$ . That is,  $P(X) = ((-1)^n P_{A_1}(X))P_{A_3}(X)$ . Note that  $deg(P_{A_i}(X)) \ge 1$  for each  $i \in \{1,3\}$ .

(ii)  $\Rightarrow$  (i) Let *T* be an automorphism of *U* and let P(X) be the characteristic polynomial of *T*. We denote by  $A = (\alpha_{ij})_{1 \le i,j \le n}$  the matrix of *T* with respect to the standard basis. By (ii), there exists a monic irreducible polynomial Q(X)which divides P(X) such that  $q = deg(Q(X)) \neq n$ . It is well known that *K* has an extension field L (which is isomorphic to  $K[X]/\langle Q(X)\rangle$ ) such that [L:K] = q and Q(X) has a root  $\lambda$  in L. Let  $\{\sigma_1, \sigma_2, \ldots, \sigma_q\}$  be a basis of the K-vector space L. For all i, j in  $\{1, \ldots, q\}$ , there exist  $\gamma_{ij}^t \in K$   $(1 \le t \le q)$  such that  $\sigma_i \sigma_j = \sum_{t=1}^q \gamma_{ij}^t \sigma_t$ . Also, there exist  $\lambda_i \in K$   $(1 \le i \le q)$  such that  $\lambda = \sum_{i=1}^q \lambda_i \sigma_i$ . Since  $\lambda$  is a root of  $\begin{bmatrix} b_1 \end{bmatrix}$ 

P(X) in L, there exists  $0 \neq v = \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix} \in V = L^n$  such that  $Av = \lambda v$ . Note that

for every  $i \in \{1, ..., n\}$ , there exist  $\beta_{is} \in K$   $(1 \le s \le q)$  such that  $b_i = \sum_{s=1}^q \beta_{is} \sigma_s$ . Fix  $l \in \{1, ..., n\}$ . We have  $\sum_{j=1}^n \alpha_{lj} b_j = \lambda b_l$ . Hence,

$$\sum_{j=1}^{n} \alpha_{lj} (\sum_{s=1}^{q} \beta_{js} \sigma_s) = \lambda \sum_{j=1}^{q} \beta_{lj} \sigma_j.$$

That is,

$$\sum_{s=1}^{q} (\sum_{j=1}^{n} \alpha_{lj} \beta_{js}) \sigma_s = \sum_{i=1}^{q} \sum_{j=1}^{q} \lambda_i \beta_{lj} (\sigma_i \sigma_j).$$

Therefore,

$$\sum_{s=1}^{q} (\sum_{j=1}^{n} \alpha_{lj} \beta_{js}) \sigma_s = \sum_{i=1}^{q} \sum_{j=1}^{q} \lambda_i \beta_{lj} (\sum_{s=1}^{q} \gamma_{ij}^s \sigma_s).$$

 ${\rm i.e.},$ 

$$\sum_{s=1}^{q} (\sum_{j=1}^{n} \alpha_{lj} \beta_{js}) \sigma_s = \sum_{s=1}^{q} (\sum_{j=1}^{q} (\sum_{i=1}^{q} \lambda_i \gamma_{ij}^s) \beta_{lj}) \sigma_s.$$

It follows that

$$\sum_{j=1}^{n} \alpha_{lj} \beta_{js} = \sum_{j=1}^{q} \left( \sum_{i=1}^{q} \lambda_i \gamma_{ij}^s \right) \beta_{lj} \text{ for every } s \in \{1, \dots, q\}.$$

For every j, s in  $\{1, \ldots, q\}$ , set  $u_j = \begin{bmatrix} \beta_{1j} \\ \vdots \\ \beta_{nj} \end{bmatrix} \in U = K^n$  and  $\varepsilon_{js} = \sum_{i=1}^q \lambda_i \gamma_{ij}^s \in K$ .

A trivial verification shows that  $Au_s = \sum_{j=1}^q \varepsilon_{js} u_j$  for all  $s \in \{1, \ldots, q\}$ . This implies that the K-subspace  $H = \langle u_1, u_2, \ldots, u_q \rangle$  of U generated by  $\{u_1, u_2, \ldots, u_q\}$  is invariant under T. This completes the proof.

To visualize the proof of the previous theorem, we provide the following example.

**Example 2.7.** Let  $\mathbb{R}$  denote the field of real numbers. Consider the  $\mathbb{R}$ -vector space  $U = \mathbb{R}^4$  and the polynomial  $P(X) = (X^2 + 1)^2 \in \mathbb{R}[X]$ . Then the companion

matrix of P(X) is

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & -2 & 0 \end{bmatrix}.$$

It is clear that P(X) est divisible by  $Q(X) = X^2 + 1$ . Moreover, it is well known that the field  $\mathbb{C}$  of complex numbers is an extension field of  $\mathbb{R}$  such that  $[\mathbb{C} : \mathbb{R}] =$ 2 = deg(Q(X)). So, if we regard A as a matrix over  $\mathbb{C}$  then A has two complex eigenvalues, namely i and -i, and  $v = \begin{bmatrix} i \\ -1 \\ -i \\ -i \end{bmatrix}$  is an eigenvector of A corresponding

to the eigenvalue *i*. With the notation of the proof of Theorem 2.6, the coefficients  $\beta_{ij}$  have the values  $\beta_{11} = 0$ ,  $\beta_{21} = -1$ ,  $\beta_{31} = 0$ ,  $\beta_{41} = 1$ ,  $\beta_{12} = 1$ ,  $\beta_{22} = 0$ ,  $\beta_{32} = -1$  and  $\beta_{42} = 0$  so that the vectors  $v_1 = \begin{bmatrix} 0 \\ -1 \\ 0 \\ 1 \end{bmatrix}$  and  $v_2 = \begin{bmatrix} 1 \\ 0 \\ -1 \\ 0 \end{bmatrix}$  generate

a subspace of U that is invariant under A.

**Corollary 2.8.** Let K be a finite field and let  $n \ge 2$  be an integer. Then the K-vector space  $K^n$  never has (**P**).

**Proof.** Since K is finite, there exists an irreducible polynomial  $Q(X) \in K[X]$  with deg(Q(X)) = n (see [3, Corollary 2.11]). From Theorem 2.6, we deduce that the K-vector space  $K^n$  does not have (**P**).

**Proposition 2.9.** Let K be a field and let n be an integer with  $n \ge 2$ . Then the following are equivalent:

- (i) Every K-vector space of dimension  $t \ (2 \le t \le n)$  has (**P**);
- (ii) Every monic polynomial P(X) ∈ K[X] of degree t (2 ≤ t ≤ n) has a root in the field K.

**Proof.** (i)  $\Rightarrow$  (ii) Let  $t_1$  be an integer with  $2 \leq t_1 \leq n$ . Let  $P_1(X) = X^{t_1} + a_{t_1-1}X^{t_1-1} + \cdots + a_1X + a_0 \in K[X]$  be a monic polynomial of degree  $t_1$ . Using Remark 2.5, there exists an endomorphism  $T_1$  of  $K^{t_1}$  such that the characteristic polynomial of  $T_1$  is  $(-1)^{t_1}P_1(X)$ . By Theorem 2.6,  $P_1(X) = P_2(X)Q_2(X)$  where  $P_2(X), Q_2(X) \in K[X]$  with  $1 \leq t_2 = deg(P_2(X)) < t_1$ . Repeating this procedure,

we show that  $P_1(X) = P(X)Q(X)$  where  $P(X), Q(X) \in K[X]$  and deg(P(X)) = 1. This shows that  $P_1(X)$  has a root in K.

(ii)  $\Rightarrow$  (i) Let V be a nonzero K-vector space with  $2 \leq \dim(V) = t \leq n$  and let T be an automorphism of V. By hypothesis, the characteristic polynomial  $P_T(X)$  of T has a root  $\lambda$  in K. Therefore there exists a nonzero  $u \in V$  such that  $T(u) = \lambda u$ . Let W = Ku be the subspace of V generated by  $\{u\}$ . It is clear that  $T(W) \subseteq W$ . Note that  $W \neq 0$  and  $W \neq V$ . So V has (**P**).

Next, we determine semisimple modules satisfying (**P**). Recall that a module M is called *homogeneous semisimple* if it is generated by a single simple module; that is, M is a direct sum of simple modules which are isomorphic to each other.

**Example 2.10.** Consider the semisimple  $\mathbb{Z}$ -module  $M = (\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}) \oplus \mathbb{Z}/2\mathbb{Z}$ . Note that  $Hom_{\mathbb{Z}}(\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/2\mathbb{Z}) = 0$ . Then  $N = (\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}) \oplus 0$  is a fully invariant submodule of M by [6, Lemma 1.9]. It follows that M has (**P**). In the same manner we can see that every semisimple module which is not homogeneous has (**P**).

**Proposition 2.11.** Let I be an ideal of a commutative ring R and let M be an R/I-module. Then the R-module  $_{R}M$  has (**P**) if and only if the R/I-module  $_{R/I}M$  has (**P**).

**Proof.** Let M be a nonsimple R/I-module. Then M is an R-module and the lattices of R-submodules and R/I-submodules of M coincide. Moreover, any group endomorphism of M is an R-endomorphism of M if and only if it is an R/I-endomorphism of M. The result follows.

**Proposition 2.12.** Every infinitely generated semisimple module has (**P**).

**Proof.** Let M be an infinitely generated semisimple module. By Example 2.10, there is no loss of generality in assuming that M is homogeneous semisimple. Therefore  $M \cong (R/\mathfrak{m})^{(\Lambda)}$  for some maximal ideal  $\mathfrak{m}$  of R and an infinite index set  $\Lambda$ . Then M can be viewed as an  $R/\mathfrak{m}$ -module. By Proposition 2.4, the  $R/\mathfrak{m}$ -module M has (**P**). Thus  $_RM$  satisfies (**P**) by Proposition 2.11.

In the following proposition, we characterize finitely generated homogeneous semisimple modules which have  $(\mathbf{P})$ .

**Proposition 2.13.** Let M be a homogeneous semisimple R-module such that  $M \cong (R/\mathfrak{m})^n$  for some maximal ideal  $\mathfrak{m}$  of R and some positive integer  $n \ge 2$ . Let  $K = R/\mathfrak{m}$ . Then the following are equivalent:

- (i) M has (**P**) as an R-module;
- (ii) M has (**P**) as a K-module;
- (iii) Every monic polynomial  $P(X) \in K[X]$  of degree n is reducible.

**Proof.** This follows from Theorem 2.6 and Proposition 2.11.

The next corollary follows easily from Proposition 2.13.

**Corollary 2.14.** Let a module  $M = S_1 \oplus S_2$  be a direct sum of two simple submodules  $S_1$  and  $S_2$  such that  $S_1 \cong S_2 \cong R/\mathfrak{m}$  for some maximal ideal  $\mathfrak{m}$  of R. Then the following are equivalent:

- (i) M has (**P**);
- (ii) Every monic polynomial P(X) ∈ K[X] of degree 2 has a root in the field K = R/m.

A direct summand of a module having  $(\mathbf{P})$  may not have  $(\mathbf{P})$ , in general, as shown below.

**Example 2.15.** Consider the  $\mathbb{Z}$ -modules  $M_1 = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ ,  $M_2 = \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ and  $M = M_1 \oplus M_2$ .

(i) Let  $K_2 = \mathbb{Z}/2\mathbb{Z}$  and let the polynomial  $P_1(X) = X^2 - X + 1 \in K_2[X]$ . It is clear that  $P_1(X)$  does not have a root in  $K_2$ . Thus  $M_1$  does not have (**P**) by Corollary 2.14.

(ii) Consider the polynomial  $P_2(X) = X^2 + X + 2 \in K_3[X]$ , where  $K_3 = \mathbb{Z}/3\mathbb{Z}$ . It is easy to check that  $P_2(X)$  does not have a root in  $K_3$ . From Corollary 2.14, it follows that the module  $M_2$  does not have (**P**).

(iii) From Example 2.10, we conclude that the module M has (**P**). Also, note that both  $M_1^{(\mathbb{N})}$  and  $M_2^{(\mathbb{N})}$  have (**P**) by Proposition 2.12.

The next result is a direct consequence of Corollary 2.8 and Proposition 2.13.

**Corollary 2.16.** Let  $\mathfrak{m}$  be a maximal ideal of a commutative ring R such that  $R/\mathfrak{m}$  is a finite field (for instance, R can be the ring of integers  $\mathbb{Z}$  and  $\mathfrak{m} = p\mathbb{Z}$  for some prime number p). Then for any positive integer  $n \ge 2$ , the R-module  $M = (R/\mathfrak{m})^n$  does not have ( $\mathbf{P}$ ).

The next proposition provides more examples of modules having  $(\mathbf{P})$  over a commutative ring.

**Proposition 2.17.** Let R be a commutative ring. Let M be an R-module which is not semisimple such that  $Rad(M) \neq M$ . Then M has a nonzero proper submodule N that is fully invariant in M. In particular, M has (**P**).

**Proof.** Let  $\Omega$  denote the set of all maximal ideals of R. It is well known that  $Rad(M) = \bigcap_{\mathfrak{m} \in \Omega} M\mathfrak{m}$ . Note that  $M\mathfrak{m} \neq 0$  for every  $\mathfrak{m} \in \Omega$ , since otherwise M will be semisimple. In addition, since  $Rad(M) \neq M$ , there exists a maximal ideal  $\mathfrak{m}_0$  of R such that  $M\mathfrak{m}_0 \neq M$ . Take  $N = M\mathfrak{m}_0$ . It is easily seen that N is fully invariant in M.

Using Example 2.10 and Proposition 2.17, we get the following result.

**Corollary 2.18.** Let M be a nonzero finitely generated module. If M is not homogeneous semisimple, then M has (**P**).

Next, we determine all abelian groups which have  $(\mathbf{P})$ . Let  $\mathbb{Q}$  denote the field of rational numbers.

**Proposition 2.19.** Every direct sum of copies of the  $\mathbb{Z}$ -module  $\mathbb{Q}$  has (**P**).

**Proof. Case 1:** Assume that  $M = \mathbb{Q}^{(I)}$  where I is an infinite index set. Notice that M has a structure of a  $\mathbb{Q}$ -module defined by the following operation: given  $x \in M, r \in \mathbb{Z}$  and  $0 \neq s \in \mathbb{Z}$ , we put (r/s)x = rx' with x' is the unique element of M which satisfies x = sx'. Note that x' exists and is unique because M is a divisible torsion-free  $\mathbb{Z}$ -module. It is easily seen that  $End_{\mathbb{Z}}(M) = End_{\mathbb{Q}}(M)$ . Also, it is clear that every  $\mathbb{Q}$ -submodule of M is a  $\mathbb{Z}$ -submodule of M. Applying Proposition 2.4, it follows that M has  $(\mathbf{P})$  as a  $\mathbb{Q}$ -module and hence also as a  $\mathbb{Z}$ -module.

**Case 2:** Assume that  $M = M_1 \oplus M_2$  such that  $M_i = \mathbb{Q}$  for each i = 1, 2. It is well known that for any  $\mathbb{Z}$ -endomorphism  $\varphi$  of  $\mathbb{Q}$ , there exists a nonzero  $q \in \mathbb{Q}$  such that  $\varphi(x) = qx$  for all  $x \in \mathbb{Q}$ . Now let f be a nonzero  $\mathbb{Z}$ -endomorphism of M. So there exist integers  $a_1, a_2, c_1$  and  $c_2$  and nonzero integers  $b_1, b_2, d_1$  and  $d_2$  such that for every  $(x_1, x_2) \in \mathbb{Q}^2$ , we have  $f((x_1, x_2)) = ((a_1/b_1)x_1 + (c_1/d_1)x_2, (a_2/b_2)x_1 + (c_2/d_2)x_2)$ . Let p be a prime integer which does not divide  $b_1d_1b_2d_2$ . Consider the non-trivial  $\mathbb{Z}$ -submodule  $L = \{m/n \mid p \text{ does not divide } n\}$  of  $\mathbb{Q}$ . Set  $N = N_1 \oplus N_2$ such that  $N_i = L$  for each i = 1, 2. Then N is a non-trivial submodule of M that is invariant under f. This shows that M has (**P**). In the same manner we can see that every finite direct sum of copies of  $\mathbb{Q}$  satisfies (**P**).

**Remark 2.20.** Consider the  $\mathbb{Z}$ -module  $M = \mathbb{Q}^2$ . Let  $P(X) = X^2 - 2 \in \mathbb{Q}[X]$ . It is clear that P(X) does not have a root in  $\mathbb{Q}$ . By Corollary 2.14, M considered as a  $\mathbb{Q}$ -module does not have (**P**). On the other hand, M viewed as a  $\mathbb{Z}$ -module satisfies (**P**) by Proposition 2.19.

**Theorem 2.21.** The following are equivalent for a  $\mathbb{Z}$ -module M:

- (i) M has (**P**);
- (ii) M satisfies any one of the following conditions:
  - (a) M is not semisimple, or
  - (b) *M* is a semisimple module which is infinitely generated or not homogeneous.

**Proof.** (i)  $\Rightarrow$  (ii) From Example 2.10, Proposition 2.12 and Corollary 2.16, it follows that a semisimple  $\mathbb{Z}$ -module has (**P**) if and only if it is infinitely generated or not homogeneous. Now assume that M is not semisimple.

**Case 1:**  $Rad(M) \neq M$ . In this case M has (**P**) by Proposition 2.17.

**Case 2:** Rad(M) = M and  $Soc(M) \neq 0$ . Since M is not semisimple, we have  $Soc(M) \neq M$ . Hence Soc(M) is a non-trivial fully invariant submodule of M. This clearly implies that M has (**P**).

**Case 3:** Rad(M) = M and Soc(M) = 0. In this case M is a divisible torsion-free  $\mathbb{Z}$ -module. Hence M is isomorphic to a direct sum of copies of  $\mathbb{Q}$ . Therefore M has (**P**) by Proposition 2.19.

# 3. Rings whose modules satisfy (P)

The aim of this section is to characterize the class of rings R over which every nonzero finitely generated R-module M which is not simple satisfies (**P**). Let R be a commutative ring and consider the following properties:

 $(\mathbf{P_1})$ : Every nonzero finitely generated *R*-module *M* which is not simple satisfies  $(\mathbf{P})$ .

(**P**<sub>2</sub>): Every nonsimple *R*-module *M* with  $Rad(M) \neq M$  satisfies (**P**).

 $(\mathbf{P_3})$ : Every nonzero *R*-module *M* which is not simple satisfies  $(\mathbf{P})$ .

Recall that a field K is called an *algebraically closed field* if any polynomial in K[X] of degree  $n \ge 1$  has at least one root in K.

**Proposition 3.1.** Let K be a field. Then the following are equivalent:

- (i) K satisfies  $(\mathbf{P_1})$ ;
- (ii) K satisfies  $(\mathbf{P_2})$ ;
- (iii) K satisfies  $(\mathbf{P_3})$ ;
- (iv) K is algebraically closed.

**Proof.** (iii)  $\Rightarrow$  (ii)  $\Rightarrow$  (i) are immediate.

(i)  $\Rightarrow$  (iv) This follows from Proposition 2.9.

(iv)  $\Rightarrow$  (iii) Let V be a K-vector space with  $dim(V) \geq 2$ . If V is of infinite dimension, then V has (**P**) by Proposition 2.4. If V is finite-dimensional, then V has (**P**) by Theorem 2.6. Therefore K satisfies (**P**<sub>3</sub>).

**Proposition 3.2.** Let R be a commutative ring. If R satisfies  $(\mathbf{P_1})$  (resp.,  $(\mathbf{P_2})$  or  $(\mathbf{P_3})$ ), then R/I satisfies  $(\mathbf{P_1})$  (resp.,  $(\mathbf{P_2})$  or  $(\mathbf{P_3})$ ) for every ideal I of R.

**Proof.** This follows from Proposition 2.11.

Combining Propositions 3.1 and 3.2, we obtain the following corollary.

**Corollary 3.3.** Let R be a commutative ring. If R satisfies  $(\mathbf{P_1})$ , then the field  $R/\mathfrak{m}$  is algebraically closed for every maximal ideal  $\mathfrak{m}$  of R.

We call a ring  $R \mathfrak{m}$ -algebraically closed if  $R/\mathfrak{m}$  is an algebraically closed field for all maximal ideals  $\mathfrak{m}$  of R.

**Theorem 3.4.** The following conditions are equivalent for a commutative ring R:

- (i) R satisfies  $(\mathbf{P_1})$ ;
- (ii) R satisfies  $(\mathbf{P_2})$ ;
- (iii) R is an m-algebraically closed ring.

**Proof.** (ii)  $\Rightarrow$  (i) This is clear.

(i)  $\Rightarrow$  (iii) This follows from Corollary 3.3.

(iii)  $\Rightarrow$  (ii) Using Example 2.10 and Proposition 2.17, we only need to show that every semisimple homogeneous *R*-module which is not simple satisfies (**P**). Let *M* be a nonzero semisimple homogeneous *R*-module such that *M* is not simple. Note that  $M \cong (R/\mathfrak{m})^{(\Lambda)}$  for some maximal ideal  $\mathfrak{m}$  of *R* and some index set  $\Lambda$ . Hence *M* can be considered as an *R*/ $\mathfrak{m}$ -module. Since *R*/ $\mathfrak{m}$  is algebraically closed, it follows that the *R*/ $\mathfrak{m}$ -module  $_{R/\mathfrak{m}}M$  satisfies (**P**) by Proposition 3.1. Therefore the *R*-module  $_{R}M$  satisfies (**P**) by Proposition 2.11. This proves the theorem.  $\Box$ 

**Remark 3.5.** It is well known that a finite field could not be algebraically closed. From Theorem 3.4, it follows that a finite ring could not satisfy  $(\mathbf{P_1})$ .

Next, we exhibit some examples of rings satisfying properties  $(\mathbf{P_1})$  and  $(\mathbf{P_2})$ .

**Example 3.6.** (i) Let  $K_1, K_2, \ldots, K_n$  be algebraically closed fields. Applying Theorem 3.4, we see that the ring  $R = K_1 \times K_2 \times \cdots \times K_n$  satisfies (**P**<sub>2</sub>).

(ii) Let K be an algebraically closed field and let  $R = K[X_1, \ldots, X_n]$ . It is well known (see Hilbert's Nullstellensatz) that the maximal ideals of the ring R are the ideals  $(X_1 - a_1, X_2 - a_2, \ldots, X_n - a_n)$ , where  $a_1, a_2, \ldots, a_n \in K$ . Moreover, for any  $a_1, a_2, \ldots, a_n \in K$ ,  $(X_1 - a_1, X_2 - a_2, \ldots, X_n - a_n)$  is the kernel of the epimorphism

 $\varphi: R \to K$  defined by  $f \mapsto f(a_1, a_2, \dots, a_n)$ .

Thus  $R/(X_1 - a_1, X_2 - a_2, ..., X_n - a_n)$  is isomorphic to K. This implies that  $R/(X_1 - a_1, X_2 - a_2, ..., X_n - a_n)$  is an algebraically closed field. Hence R satisfies (**P**<sub>2</sub>) by Theorem 3.4. Note that the ring R has infinitely many maximal ideals.

(iii) Let K be a field and let R be a subring of K. Recall that R is called a valuation ring of K if, for any  $0 \neq x \in K$ , either  $x \in R$  or  $x^{-1} \in R$ . Note that every valuation ring of K is a local ring.

Now assume that K is an algebraically closed field and let R be a valuation ring of K. It is well known that the residue field of R is also algebraically closed. From Theorem 3.4, we see that the ring R satisfies  $(\mathbf{P}_2)$ .

**Proposition 3.7.** A finite product  $R = \prod_{i=1}^{n} R_i$   $(n \ge 2)$  of rings satisfies  $(\mathbf{P_2})$  if and only if so is each  $R_i$   $(1 \le i \le n)$ .

**Proof.** There is no loss of generality in assuming that n = 2. The necessity follows from Proposition 3.2. Conversely, let  $\mathfrak{m}$  be a maximal ideal of R. Then  $\mathfrak{m} = \mathfrak{m}_1 \times R_2$ or  $\mathfrak{m} = R_1 \times \mathfrak{m}_2$ , where  $\mathfrak{m}_i$  ( $i \in \{1, 2\}$ ) is a maximal ideal of  $R_i$ . Hence  $R/\mathfrak{m} \cong R_1/\mathfrak{m}_1$ (as fields) or  $R/\mathfrak{m} \cong R_2/\mathfrak{m}_2$  (as fields). Using Theorem 3.4 twice, we conclude that  $R/\mathfrak{m}$  is an algebraically closed field and hence the ring R satisfies ( $\mathbf{P}_2$ ).

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