## A REVIEW OF TURBO CODING AND DECODING

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#### **ABSTRACT**

Turbo coding and decoding have opened a new era in the field of channel coding. In this paper, we present a review of turbo coding and decoding with all the derivations in detail. Also elaborated in the paper is the mostly used decoding algorithm, BCJR (Bahl, Cocke, Jelinek and Raviv) algorithm (also known as Maximum A Posteriori algorithm -MAP algorithm).

**KEYWORDS:** Turbo coding / decoding, channel coding, BCJR algorithm, MAP algorithm.

#### 1. INTRODUCTION

Error control coding, or channel coding, is an essential part of a communication system. By adding redundancy to the message to be transmitted in a systematic manner, it is possible to detect and correct errors, which unavoidably occur during the transmission through the communication channel.

Shannon's 1948 paper entitled "A Mathematical Theory of Communication" [3] has been considered as the birth of a new field, "error control coding". In that paper Shannon defined the concept of "channel capacity". He then showed that there exist error control codes that can yield arbitrarily low errors at the receiver output, so long as the transmission rate through the channel is less than the channel capacity. Although he showed the existence of such codes, he did not specify how to construct them. Therefore, that part has been remained unanswered to the researchers that followed in the coming decades.

Even though many efficient coding and decoding schemes have been developed following Shannon, we have not been able to approach to the channel capacity limit within just a few tenths of a dB until the invention of the state-of-the-

art turbo codes in 1993. In other words, turbo codes have closed the significant gap between the coding gains so far achieved using the conventional coding and decoding schemes, and the Shannon's channel capacity limit.

### 2. TURBO ENCODER

A turbo encoder consists of a parallel concatenation of two (or more) systematic codes. Each of the encoders/decoders is called as "component encoders/decoders". If there are two component encoders in the turbo encoder, it is referred to as a "two dimensional turbo code".

Originally, turbo codes were developed with Recursive Systematic Convolutional (RSC) encoders [1], [2]. In this paper, we review turbo codes with convolutional encoders/decoders as component encoders/decoders. Our purpose is to present turbo codes and their innovative decoding scheme along with its decoding algorithm under the light of recent progresses in a unified manner. Please note that we stick with the notation used in the papers of Berrou et al. ([1], [2]) in which the turbo codes were originally introduced.

It is pointed out in [1] that the bit error rate (BER) of classical Non Systematic Convolutional (NSC) code is lower than that of a classical Systematic code with the same code memory at large signal-to-noise ratios (SNR). However, at low SNR's it is in general the other way around. They also introduced in [1] that Recursive Systematic Convolutional (RSC) codes can be better than the best NSC code at any SNR for high code rates. Now, we will briefly decribe RSC.

## 2.1 Recursive Systematic Convolutional Codes

Before we present the RSC, let us first review classical Non Systematic convolutional encoder. Consider a binary rate R=1/2 convolutional encoder with constraint length K and memory v=K-1. The input to the encoder at time k is a bit  $d_k$  and the corresponding codeword  $C_k$  is the binary couple  $(X_k, Y_k)$  with

$$X_k = \sum_{i=0}^{K-1} g_{1i} d_{k-i} \mod 2 \quad g_{1i} = 0,1$$
 (1.a)

$$Y_k = \sum_{i=0}^{K-1} g_{2i} d_{k-i} \mod 2 \quad g_{2i} = 0,1$$
 (1.b)

here  $G_1$ :  $\{g_{1i}\}$ ,  $G_2$ :  $\{g_{2i}\}$  are the two code generators, generally expressed in octal form.

NSC defined by code generators  $G_1$ =37,  $G_2$ =21, with memory  $\nu$ =4 is given in Figure 1.

RSC code obtained from the NSC using a feedback loop is given in Figure 2. Notice that one of the two outputs (in this case  $X_k$ ) is set equal to the information

bit  $d_k$ , therefore making the code systematic. Also notice that for an RSC code, the shift register (memory) input is no longer the information bit  $d_k$  but is a new variable  $a_k$ . This, of course, is due to the feedback in the encoder structure shown in Figure 2. If  $X_k = d_k$ , then the output  $Y_k$  is equal to (2) by substituting  $a_k$  for  $d_k$ .  $a_k$  is recursively calculated as

$$a_k = d_k + \sum_{i=1}^{K-1} \gamma_i a_{k-i} \mod 2$$
 (2)

where  $\gamma_i$  is respectively equal to  $g_{1i}$  if  $X_k = d_k$  and to  $g_{2i}$  if  $Y_k = d_k$ .

In [2], authors showed that variable  $a_k$  exhibits the same statistical property with  $d_k$ . As a result of this, the trellis structure is identical for the RSC code and the NSC code and these two codes have the same free distance  $d_f$ . However, as they pointed out in [1], the two ouput sequences  $\{X_k\}$  and  $\{Y_k\}$  do not correspond to the same input sequence  $\{d_k\}$  for RSC and NSC codes. That is the main difference between the two codes.

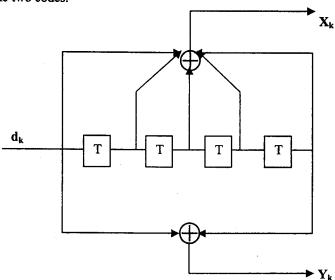


Figure 1. Classical Non Systematic Convolutional Code

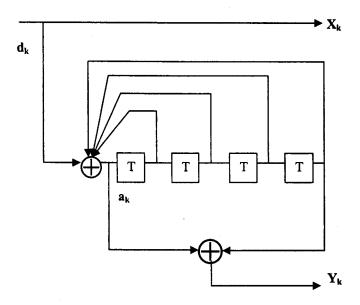


Figure 2. Recursive Systematic Convolutional Code (rate 1/2)

#### 2.2 Parallel Concatenation of RSC Codes

In Figure 3, a rate 1/3 turbo encoder is shown. Data  $(d_1,d_N)$  is encoded with blocks. Block size is determined by the size of the interleaver. Original data stream is encoded by the first encoder and produces a parity bit sequence  $(Y_{11},Y_{1N})$ . The interleaver shuffles original data stream and then this scrambled version of the data stream is encoded by the second encoder producing the second parity bit sequence  $(Y_{21},Y_{2N})$ . Interleaving may be according to a certain rule, or it may be totally random. Several different interleavers have been studied in the literature. According to the results summarized in [4], there is not a significant difference in BER (bit error rate) performance obtained by random interleavers and by the other interleavers. An important consideration, however, is the size of the interleaver. Usually, the bigger is the interleaver size; the better is the performance of turbo decoder. As we will clarify later when we explain the turbo decoder in detail, each

component decoder in the turbo decoder performs a decoding of the same bit  $d_k$ , but according to a different sequence due to the interleaving, and thereby providing a relevant piece of information about the accuracy of the decoding made by them to each other in an iterative scheme to improve the decision made by each decoders. Therefore, a bigger block size (or interleaver size) may yield a better separation between the position of a bit in the original data stream and the position of the same bit in the scrambled version, by making the parity bits produced by each encoder "less correlated" when received for decoding. This may be perceived as a "diversity" effect.

Another issue related to turbo encoder is "code puncturing". Parity bits of the both component encoders may be multiplexed with the information bit and transmitted. This yields a rate 1/3 turbo code. Alternatively, parity bits of the component encoders may be multiplexed with the information bit according to a pre-defined pattern. This is called as "code puncturing" and the resultant code is called a "punctured code". For instance,  $(X_k, Y_{lk})$  is transmitted at one signaling interval, and  $(X_{k+1}, Y_{2(k+1)})$  is transmitted in the next interval. Therefore, for each information bit, only one parity bit is transmitted, and that results in a rate 1/2 turbo code. Since the parity bit of one component decoder is deleted at each signaling interval, corresponding decoder uses "zero" for that interval during decoding. A detailed discussion on code puncturing may be found in [4].

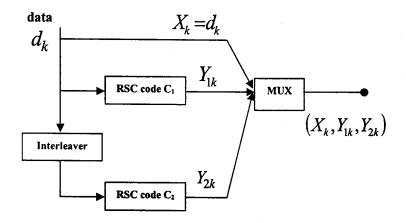


Figure 3. Rate 1/3 turbo encoder

### 3. TURBO DECODER

To facilitate the following detailed explanations of the underlying concepts of turbo decoder and the iterative decoding performed by it, we first present the turbo decoder in its original form, which has been introduced in [2], in Figure 4. At this moment, we briefly outline the operation of the turbo decoder. Then, we will elaborate those concepts throughout the rest of this section.

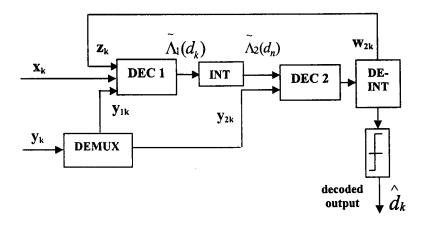


Figure 4. Turbo decoder

For the first iteration of the turbo decoder,  $z_k$ 's are initialized to zero. Received bits  $x_k$ 's and  $y_k$ 's are demultiplexed to get the appropriate parity bits for the component decoders. Parity bits are provided to the corresponding decoders according to the puncturing pattern used in the turbo encoder. If the turbo code is unpunctured, then at each time index k  $y_{1k}$  and  $y_{2k}$  are provided to Decoder1 and Decoder2 respectively. If punctured turbo code is used and if one of the parity bits is deleted at every other time index k during the encoding process, then at that time index k the deleted parity bit is considered as "zero" for the corresponding decoder. For instance, if  $y_{1k}$  was deleted at time k during the encoding, then it is taken as "zero" for Decoder1, while  $y_{2k}$  is being used by Decoder2 at time k.

Decoder1 uses  $x_k$  and  $y_{1k}$  and produces a "soft" estimate of the decoded bit  $d_k$ . A relevant piece of information is extracted from this soft estimate (which is called as "extrinsic information") and passed on to Decoder2 after proper interleaving (same interleaver which is used in encoder). Decoder2 uses this extrinsic information along with  $x_k$  and  $y_{2k}$ , in turn yielding a better estimate of bit  $d_k$ . Then the extrinsic information produced by Decoder2 is passed on to Decoder1 for the next iteration to improve the estimates on all  $d_k$ 's in the block. This iterative

process continues until a satisfactory BER is achieved. Usually, less than 20 iterations yield a BER of 10<sup>-5</sup>, i.e. one bit in error out of onehundredthousand.

After a predetermined number of iterations is reached, soft output of Decoder2 is passed on to a hard limiter (with a threshold set to zero) to obtain the estimates on the information bits.

# 4. MODIFIED BCJR ALGORITHM

As we mentioned before, BCJR algorithm minimizes bit error probability in decoding linear block and convolutional codes, and yields the APP (a posteriori probability) for each decoded bit. In its original version given in [6], BCJR algorithm was shown to be directly applicable to decoding of convolutional codes. For decoding of RSC codes BCJR algorithm has to be modified in order to take into account the recursive nature of the encoder and that modified version of BCJR algorithm was given in [1] and [2]. However, we must emphasize that those modifications only stem from the fact that the encoder is recursive, and therefore have no significant effect on the essence of the algorithm, i.e. "modified BCJR algorithm" is also "optimal" in the sense that it minimizes the bit error probability as well. Since the modified version is in essence the same as the original algorithm in terms of the calculations of the APP probabilities and the operation of the algorithm, and since it is this modified version that is used in turbo decoders, we will directly present it without first introducing the original BCJR algorithm.

Let us consider an RSC code with constraint length K, memory v=K-1 (as given in Figure 2). At time k the encoder state  $S_k$  is respresented by a K-tuple:

$$S_k=(a_k, a_{k-1}, \ldots, a_{k-K+2})$$
 (3)

Let us also consider that the information bit sequence  $\{d_k\}$  consists of N independent bits  $d_k$  taking values zero and one with equal probability and that the encoder initial state  $S_0$  and final state  $S_N$  are both equal to zero, i.e.

$$S_0 = S_N = (0,0,\ldots,0) = 0$$
 (4)

Let us also suppose that BPSK modulation is used, i.e. bit one is mapped to +1, and bit zero is mapped to -1. Note that here we assume that the average transmitted signal energy per information bit is normalized to one, i.e.  $E_b = 1$ .

The encoder output sequence is denoted by  $C_1^N = \{C_1, \dots, C_k, \dots, C_N\}$  where  $C_k = (X_k, Y_k)$  is the input to a discrete Gaussian memoryless channel (DMC)

whose output is the sequence  $R_1^N = \{R_1, \dots, R_k, \dots, R_N\}$  where  $R_k = (x_k, y_k)$  is defined by

$$x_k = X_k + i_k$$

$$y_k = Y_k + q_k$$
(5)

where  $i_k$  and  $q_k$  are two independent noises with the same variance  $\sigma^2$ . As it can be seen from Figure 4, there is another variable,  $z_k$ , which is also fed to the decoder along with the received bits. As we will explain in detail later,  $z_k$  is the "extrinsic information" provided by the other component decoder to improve LLR (Logarithm of Likelihood Ratio) associated with each decoded bit  $d_k$  produced by the current decoder. In [1] and [2],  $z_k$  is approximated by another Gaussian variable with a variance  $\sigma_z^2 \neq \sigma^2$ , and it is weakly correlated with  $x_k$  and  $y_k$ . Note that in the following, we drop the sub-subscript, and instead of  $y_{1k}$  and  $y_{2k}$  we use  $y_k$  for simplicity. However, it should be kept in mind that  $y_k$  corresponds either  $y_{1k}$  or  $y_{2k}$  depending on which component decoder is under consideration.

"The logarithm of likelihood ratio (LLR)" associated with each decoded bit  $d_k$  is defined as:

$$\Lambda(d_k) = \ln \frac{\Pr\{d_k = 1 \mid observation\}}{\Pr\{d_k = -1 \mid observation\}}$$
(6)

$$\Lambda(d_k) = \ln \frac{\Pr\{d_k = 1 \mid R_1^N\}}{\Pr\{d_k = -1 \mid R_1^N\}}$$
 (7)

Note that  $\Pr\{d_k=i \mid \text{observation}\}$ , i=-1,+1, is the a posteriori probability (APP) of the bit  $d_k$ . This APP can be derived from the joint probability  $\lambda_k^i(m)$  defined by:

$$\lambda_k^i(m) = \Pr\{d_k = i, S_k = m \mid R_1^N\}$$
 (8)

Using this, the APP of a decoded bit  $d_k$  can be written as:

$$\Pr\{d_k = i \mid \mathbf{R}_1^N\} = \sum_m \lambda_k^i(m), \qquad i = -1, +1.$$
 (9)

Then, from (7) and (9) the LLR  $\Lambda(d_k)$  associated with each bit can be written as:

$$\Lambda(d_k) = \ln \frac{\sum_{m} \lambda_k^1(m)}{\sum_{m} \lambda_k^{-1}(m)}$$
(10)

If a hard decision is to be performed (or the decision at the end of an iterative process, as will be explained later),  $\Lambda(d_k)$  can be compared to a threshold equal to zero to make a decision about the transmitted bit  $d_k$ :

$$\hat{d}_k = +1 \quad \text{if} \quad \Lambda(d_k) \ge 0$$

$$\hat{d}_k = -1 \quad \text{if} \quad \Lambda(d_k) < 0$$
(11)

It is possible to rewrite the LLR  $\Lambda(d_k)$  as follows:

$$\Lambda(d_k) = \ln \frac{\sum_{m} \sum_{m'} \Pr\{d_k = +1, S_k = m, S_{k-1} = m', R_1^{k-1}, R_k, R_{k+1}^N\}}{\sum_{m} \sum_{m'} \Pr\{d_k = -1, S_k = m, S_{k-1} = m', R_1^{k-1}, R_k, R_{k+1}^N\}}$$
(12)

Let us first prove that the joint probabilities which appeared both in the numerator and denominator of LLR expression given above can be written as the product of some probability functions which can be recursively calculated as will be shown later.

$$\Pr\{d_{k} = i, S_{k} = m, S_{k-1} = m', R_{1}^{N}\} = \Pr\{d_{k} = i, S_{k} = m, S_{k-1} = m', R_{1}^{k-1}, R_{k}, R_{k+1}^{N}\} \\
= \Pr\{R_{k+1}^{N} | d_{k} = i, S_{k} = m, S_{k-1} = m', R_{1}^{k-1}, R_{k}\} \\
\Pr\{d_{k} = i, S_{k} = m, S_{k-1} = m', R_{1}^{k-1}, R_{k}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m', R_{1}^{k-1}\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m'\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \Pr\{R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m'\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \Pr\{R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m'\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \Pr\{R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m'\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m\} \Pr\{d_{k} = i, S_{k} = m, R_{k} | S_{k-1} = m'\} \Pr\{S_{k-1} = m', R_{1}^{k-1}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m, S_{k+1} = m', R_{k+1}^{N}\} \\
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= \Pr\{R_{k+1}^{N} | S_{k} = m, S_{k+1}^{N}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m, S_{k+1}^{N}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m, S_{k+1}^{N}\} \\
= \Pr\{R_{k+1}^{N} | S_{k} = m,$$

In the above derivation, we used the Bayes' rule successively, and took into account the fact that events after time k are not influenced by observation  $R_1^k$  and bit  $d_k$  if

the encoder state at time k,  $S_k$ , is known. This is due to the Markov property of the source. In the last step,  $\Pr\{R_1^{k-1}\}$  is a constant and can be ignored because it will also appear in the denominator of LLR equation, and therefore will cancel out.

As given in [2], let us introduce some probability functions defined by

$$\alpha_k(m) = \Pr\{S_k = m \mid R_1^k\}$$
 (14a)

$$\beta_k(m) = \frac{\Pr\{R_{k+1}^N \mid S_k = m\}}{\Pr\{R_{k+1}^N \mid R_1^k\}}$$
(14b)

$$\gamma_i(R_k, m', m) = \Pr\{d_k = i, S_k = m, R_k \mid S_{k-1} = m'\}$$
 (14c)

Using these definitions we can rewrite LLR,  $\Lambda(d_k)$ , as:

$$\Lambda(d_k) = \ln \frac{\sum_{m} \sum_{m'} \gamma_1(R_k, m', m) \alpha_{k-1}(m') \beta_k(m)}{\sum_{m} \sum_{m'} \gamma_{-1}(R_k, m', m) \alpha_{k-1}(m') \beta_k(m)}$$
(15)

It has been given in [2] that  $\alpha_k(m)$  and  $\beta_k(m)$  can be recursively calculated as:

$$\alpha_{k}(m) = \frac{\sum_{m'} \sum_{i=-1}^{+1} \gamma_{i}(R_{k}, m', m) \alpha_{k-1}(m')}{\sum_{m} \sum_{m'} \sum_{i=-1}^{+1} \gamma_{i}(R_{k}, m', m) \alpha_{k-1}(m')}$$
(16)

$$\beta_{k}(m) = \frac{\sum_{m'} \sum_{i=-1}^{+1} \gamma_{i}(R_{k+1}, m, m') \beta_{k+1}(m')}{\sum_{m} \sum_{m'} \sum_{i=-1}^{+1} \gamma_{i}(R_{k+1}, m, m') \alpha_{k}(m)}$$
(17)

Definition of  $\alpha_k(m)$  given in [2] is slightly different than the one given in [1].

Now we can give the expression to evaluate  $\gamma_i(R_k, m', m)$  which can be determined from transition probabilities of the discrete Gaussian memoryless

channel and transition probabilities of the encoder trellis. In [2],  $\gamma_i(R_k, m', m)$  is written as the product of three probabilities:

$$\gamma_{i}(R_{k}, m', m) = p(R_{k} \mid d_{k} = i, S_{k-1} = m', S_{k} = m) 
.q(d_{k} = i \mid S_{k-1} = m', S_{k} = m) 
.\pi(S_{k} = m \mid S_{k-1} = m')$$
(18)

where p(.|.) is the transition probability of the discrete Gaussian memoryless channel. Conditionally to  $(d_k = i, S_{k-1} = m', S_k = m)$ ,  $x_k$  and  $y_k$   $(R_k = (x_k, y_k, z_k))$  are

two uncorrelated Gaussian variables and they are weakly correlated with  $\mathbf{z}_k$  (due to interleaving), so we can write:

$$p(R_k \mid d_k = i, S_{k-1} = m', S_k = m) = p(x_k \mid d_k = i, S_{k-1} = m', S_k = m)$$

$$p(y_k \mid d_k = i, S_{k-1} = m', S_k = m)$$

$$p(z_k \mid d_k = i, S_{k-1} = m', S_k = m)$$

$$(19)$$

Since the convolutional encoder is a deterministic  $q(d_k = i | S_{k-1} = m', S_k = m)$  is either 0 or 1, and can be determined from the encoder trellis.  $\pi(S_k = m \mid S_{k-1} = m')$  are the transition state probabilities of the trellis, and are defined by the encoder input statistic. Generally, it is assumed that both input symbols are equally likely, i.e.  $Pr\{d_k=1\}=Pr\{d_k=-1\}=1/2$  and as a result of this assumption  $\pi(S_k = m \mid S_{k-1} = m') = 1/2$  since there are two possible transitions from each state. However, as we will explain shortly, in one of the two approaches  $\pi(S_k = m \mid S_{k-1} = m')$  are considered as "a priori probabilities" and updated by each decoder using the "extrinsic information" provided by the other decoder in an iterative decoding scheme. Before we delve into those issues, let us summarize the Modified BCJR algorithm.

## 4.1. Summary of the Modified BCJR Algorithm

Step 1) Initialization of  $\alpha_k(m)$  and  $\beta_k(m)$  (from (4))

$$\alpha_0(0) = 1$$

$$\alpha_0(m) = 0, \quad \forall m \neq 0$$
(20a)

$$\beta_N(0) = 1,$$
  

$$\beta_N(m) = 0, \quad \forall m \neq 0$$
(20b)

Step 2) For each observation  $R_k$ ,  $\alpha_k(m)$  is computed using (16) recursively and  $\gamma_i(R_k, m', m)$  are computed using (18).

Step 3) When whole sequence  $R_1^N$  is received,  $\beta_k(m)$  are computed using (17) recursively.

Step 4) LLR associated with each decoded bit dk is computed using (15).

#### 5. EXTRINSIC INFORMATION

In this section, we present one of the key concepts of turbo decoding, the so-called "extrinsic information". It has been shown in [1] and [2] that the LLR,  $\Lambda(d_k)$ , associated with each decoded bit  $d_k$  can be written as the summation of the LLR of the  $d_k$  at the decoder input and extrinsic information generated by the decoder. Let us remember the definition of LLR given in (15) and also remember that  $R_k = (x_k, y_k, z_k)$  where  $x_k$  is the received noisy information bit,  $y_k$  is the received noisy parity bit and  $z_k$  is the extrinsic information provided by the other component decoder.

As given in (19),  $\gamma_i(x_k, y_k, z_k, m', m)$  can be written as the product of three conditional probabilities. Also, since the encoder is systematic  $(X_k = d_k)$ , the transition probabilities  $p(x_k \mid d_k = i, S_k = m, S_{k-1} = m')$  and  $p(z_k \mid d_k = i, S_k = m, S_{k-1} = m')$  are independent of the state transitions. Therefore  $\Lambda(d_k)$  can be written as:

$$\Lambda(d_{k}) = \ln \frac{p(x_{k} | d_{k} = 1)}{p(x_{k} | d_{k} = -1)} + \ln \frac{p(z_{k} | d_{k} = 1)}{p(z_{k} | d_{k} = -1)} + \ln \frac{\sum_{m} \sum_{m'} \gamma_{1}(y_{k}, m', m) \alpha_{k-1}(m') \beta_{k}(m)}{\sum_{m} \sum_{m'} \gamma_{-1}(y_{k}, m', m) \alpha_{k-1}(m') \beta_{k}(m)}$$
(21)

Variables  $x_k$  are Gaussian with mean 1 and -1 and variance  $\sigma^2$ , conditionally to  $d_k = 1$  and  $d_k = -1$  respectively. Hence,  $p(x_k \mid d_k = i)$  can be written as:

$$p(x_k \mid d_k = i) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left[\frac{-(x_k - i)^2}{2\sigma^2}\right]$$
 (22)

Therefore, we can write the first term in (21) as:

$$\ln \frac{p(x_k \mid d_k = 1)}{p(x_k \mid d_k = -1)} = \ln p(x_k \mid d_k = 1) - \ln p(x_k \mid d_k = -1)$$

$$\ln \frac{p(x_k \mid d_k = 1)}{p(x_k \mid d_k = -1)} = \ln \{ \frac{1}{\sqrt{2\pi\sigma^2}} \exp[\frac{-(x_k - 1)^2}{2\sigma^2}] \} - \ln \frac{1}{\sqrt{2\pi\sigma^2}} \exp[\frac{-(x_k + 1)^2}{2\sigma^2}] \}$$

$$\ln \frac{p(x_k \mid d_k = 1)}{p(x_k \mid d_k = -1)} = \ln(\frac{1}{\sqrt{2\pi\sigma^2}}) + \left[\frac{-(x_k - 1)^2}{2\sigma^2}\right] - \ln(\frac{1}{\sqrt{2\pi\sigma^2}}) - \left[\frac{-(x_k + 1)^2}{2\sigma^2}\right]$$

$$\ln \frac{p(x_k \mid d_k = 1)}{p(x_k \mid d_k = -1)} = \frac{-x_k^2 + 2x_k - 1 + x_k^2 + 2x_k + 1}{2\sigma^2}$$

$$= \frac{2}{\sigma^2} x_k$$
(23)

In [1] and [2], it has been shown that  $z_k$  can be approximated by another Gaussian variable with variance  $\sigma_z^2$  and as has been mentioned this Gaussian assumption, even if it is not satisfied for the first few iterations, becomes a good approximation as the number of the iterations increases. With this approach, variance  $\sigma_z^2$  and the mean value of this Gaussian variable has to be estimated at each iteration as explained in [1] and [2]. From (21) and (23), we can finally write  $\Lambda(d_k)$  as:

$$\Lambda(d_k) = \frac{2}{\sigma^2} x_k + \frac{2}{\sigma_z^2} z_k + w_k \tag{24}$$

where

$$w_{k} = \ln \frac{\sum_{m} \sum_{m'} \gamma_{1}(y_{k}, m', m) \alpha_{k-1}(m') \beta_{k}(m)}{\sum_{m} \sum_{m'} \gamma_{-1}(y_{k}, m', m) \alpha_{k-1}(m') \beta_{k}(m)}$$
(25)

is defined as the "extrinsic information" in [1] and [2]. Note that  $w_k$  is a function of the parity bit  $y_k$ , or the redundant information introduced by the corresponding component encoder. Therefore, it does not depend on the decoder input  $x_k$  and the extrinsic information  $z_k$  provided by the other component decoder in the feedback scheme. As it has been pointed out in [1] and [2], the extrinsic information has

proven to be a useful piece of information which is used by the other decoder to perform a new decoding for a better estimate of the information bit  $d_k$ . This iterative procedure is repeated until a desired BER (bit error rate) is achieved. For a punctured turbo code of rate R=1/2 encoder, with memory v=4 and code generators  $G_1=37$ ,  $G_2=21$ , a BER of  $10^{-5}$  is achieved by 18 iterations in [1] and [2].

We should emphasize that  $z_k$  must not be used by the next decoder, because it was produced by it in the previous iteration. Therefore, next decoder must use the extrinsic information  $w_k$  in addition to the scaled systematic information bit  $\frac{2}{\sigma^2}x_k$ .

## 5.1 Extrinsic Information Used to Update the A Priori Probabilities

In this section, we describe a more efficient use of extrinsic information. Colavolpe et al. in a paper entitled as "Extrinsic Information in Iterative Decoding: A Unified View" [5] compared the two different approaches regarding the use of the extrinsic information. First approach is based on the use of extrinsic information as another Gaussian variable, as we explained in a previous section. Their work showed that the other approach, which we will explain in detail in the following, while outperforming the Gaussian approximation approach in terms of BER, does not require estimation of the mean value and the variance of  $z_k$  at each iteration, when  $z_k$  is considered as a Gaussian random variable.

In this approach extrinsic information is used to update the "a priori probabilities" of the input information bits, namely  $\Pr\{d_k=1\}$  and  $\Pr\{d_k=-1\}$ . Earlier we mentioned that input bits +1 and -1 are assumed to be equally likely, i.e.  $\Pr\{d_k=1\}=\Pr\{d_k=-1\}=1/2$  and as a result of this assumption  $\pi(S_k=m/S_{k-1}=m')=1/2$  since there are two possible transitions from each state. However, extrinsic information  $z_k$  provided by the other component decoder can be used to update this "a priori probabilities" as follows.

If 
$$q(d_k = 1 | S_k = m, S_{k-1} = m') = 1$$
, then
$$\Pr\{d_k = 1\} = \pi(S_k = m | S_{k-1} = m')$$

$$\Pr\{d_k = -1\} = 1 - \pi(S_k = m | S_{k-1} = m')$$
(26)

If 
$$q(d_k = -1 \mid S_k = m, S_{k-1} = m') = 1$$
, then
$$\Pr\{d_k = 1\} = 1 - \pi(S_k = m \mid S_{k-1} = m')$$

$$\Pr\{d_k = -1\} = \pi(S_k = m \mid S_{k-1} = m')$$
(27)

When it is used as a priori information  $z_k$  can be written as:

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$$z_k = \ln \frac{\Pr\{d_k = 1\}}{\Pr\{d_k = -1\}}$$
 (28)

Let us suppose that  $q(d_k = 1 | S_k = m, S_{k-1} = m') = 1$ , and then we can write  $z_k$  as:

$$z_k = \ln \frac{\Pr\{d_k = 1\}}{\Pr\{d_k = -1\}} = \ln \frac{\pi(S_k = m \mid S_{k-1} = m')}{1 - \pi(S_k = m \mid S_{k-1} = m')}$$
(29)

$$\exp(z_k) = \frac{\pi(S_k = m \mid S_{k-1} = m')}{1 - \pi(S_k = m \mid S_{k-1} = m')}$$
(30)

Similarly, we can also find  $\exp(z_k)$  when  $q(d_k = -1 | S_k = m, S_{k-1} = m') = 1$ .

Finally, we can obtain  $\pi(S_k = m \mid S_{k-1} = m')$  as:

$$\pi(S_k = m \mid S_{k-1} = m') = \begin{cases} \frac{\exp(z_k)}{1 + \exp(z_k)} & \text{if } q(d_k = 1 \mid S_k = m, S_{k-1} = m') = 1\\ \frac{1}{1 + \exp(z_k)} & \text{if } q(d_k = -1 \mid S_k = m, S_{k-1} = m') = 1 \end{cases}$$
(31)

This can be written as:

$$\pi(S_{k} = m \mid S_{k-1} = m') = \begin{cases} \frac{\exp(z_{k} / 2)}{1 + \exp(z_{k})} \exp(z_{k} / 2) & \text{if } q(d_{k} = 1 \mid S_{k} = m, S_{k-1} = m') = 1 \\ \frac{\exp(z_{k} / 2)}{1 + \exp(z_{k})} \exp(-z_{k} / 2) & \text{if } q(d_{k} = -1 \mid S_{k} = m, S_{k-1} = m') = 1 \end{cases}$$

$$\pi(S_{k} = m \mid S_{k-1} = m') = \frac{\exp(z_{k} / 2)}{1 + \exp(z_{k})} \exp(d_{k}z_{k} / 2)$$
(32)

In this case, LLR associated with each decoded bit  $d_k$ ,  $\Lambda(d_k)$ , is expressed as:

$$\Lambda(d_k) = z_k + \ln \frac{\sum_{m} \sum_{m'} \gamma_1(x_k, y_k, m', m) \alpha_{k-1}(m') \beta_k(m)}{\sum_{m} \sum_{m'} \gamma_{-1}(x_k, y_k, m', m) \alpha_{k-1}(m') \beta_k(m)}$$
(33)

We should keep in mind that  $z_k$  must not be used by the next decoder since it was produced by it in the previous iteration.

# **6. CALCULATION OF** $\gamma_i(R_k, m', m)$

Now, we have everything ready to calculate the  $\gamma_i(R_k, m', m)$ . We should emphasize that now  $R_k = (x_k, y_k)$ , because  $z_k$  is used to update the a priori probabilities as explained above. From (18) and (19) we can write  $\gamma_i(R_k, m', m)$  as:

$$\gamma_{i}(R_{k}, m', m) = p(x_{k} \mid d_{k} = i) 
.p(y_{k} \mid d_{k} = i, S_{k} = m, S_{k-1} = m') 
.q(d_{k} = i \mid S_{k} = m, S_{k-1} = m') 
.\pi(S_{k} = m \mid S_{k-1} = m')$$
(34)

Using (22) and (32):

$$\gamma_{i}(R_{k}, m', m) = \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp\left[\frac{-(x_{k} - i)^{2}}{2\sigma^{2}}\right]$$

$$\cdot \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp\left[\frac{-(y_{k} - Y_{k})^{2}}{2\sigma^{2}}\right]$$

$$\cdot q(d_{k} = i \mid S_{k-1} = m', S_{k} = m)$$

$$\cdot \frac{\exp(z_{k} / 2)}{1 + \exp(z_{k})} \exp(iz_{k} / 2)$$
(35)

$$\gamma_{i}(R_{k}, m', m) = \frac{1}{2\pi\sigma^{2}} \frac{\exp(z_{k}/2)}{1 + \exp(z_{k})} \exp(iz_{k}/2)$$

$$.q(d_{k} = i \mid S_{k} = m, S_{k-1} = m')$$

$$.\exp\left\{\frac{-1}{2\sigma^{2}} \left[ (x_{k} - i)^{2} + (y_{k} - Y_{k})^{2} \right] \right\}$$
(36)

where  $Y_k$  is the parity bit generated by the encoder when  $d_k = i$ ,  $S_{k-1} = m'$ ,  $S_k = m$ , and it is known from the encoder trellis, being either -1 or +1. And as we mentioned

earlier,  $q(d_k = i | S_{k-1} = m', S_k = m)$  is either 0 or 1, and can also be determined from the encoder trellis.

#### 7. CONCLUSIONS

In this paper, we presented a thorough review of turbo codes and their iterative decoding technique, so-called turbo decoding, and the underlying concepts. Also, we elaborated on the BCJR (Bahl algorithm), the most widely used algorithm in turbo decoding.

### ÖZET

Turbo kodlama ve kod çözme, kanal kodlama alanında yeni bir dönem açmıştır. Bu makalede, tüm bağıntıları ayrıntılı bir şekilde türetilerek turbo kodlama ve kod çözmenin bir incelemesi yapılmıştır. Ayrıca, kod çözmede en yaygın kullanılan BCJR (Bahl, Cocke, Jelinek and Raviv) algoritması (aynı zamanda maksimum a posteriori algoritması – MAP algoritması olarak da bilinen) da ayrıntılı olarak verilmiştir.

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