

## UMBRELLA MATRICES AND HIGHER CURVATURES OF A CURVE

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### ABSTRACT

In this paper, using the curvature matrix of a curve-hypersurface pair in the Cayley formula we obtain an umbrella matrix. Furthermore we give a relation between the Darboux matrix of the umbrella motion and the (higher) curvature matrix. In addition, using this umbrella matrix we also obtain an infinitesimal umbrella matrix.

### BASIC CONCEPTS

Let  $M$  be a hypersurface in  $E^n$  and  $\alpha$  be a curve, with the unit tangent vector field  $Y_1$ , which lies on  $M$ . Let the system  $\{Y_1, \dots, Y_{n-1}\}$ , such that

$$Y_i = \bar{D}Y_1 Y_{i-1}, \quad 1 < i \leq n-1$$

be linearly independent, where  $\bar{D}$  is natural connection on  $M$ . Then the orthonormal system  $\{X_1, \dots, X_{n-1}\}$ , which is obtained by Gram-Schmidt process from  $\{Y_1, \dots, Y_{n-1}\}$ , is called the Frenet frame field of the curve  $\alpha$  in  $M$ . If we denote the unit normal vector field to  $M$  by  $X_n$ , then the orthonormal system  $\{X_1, \dots, X_{n-1}, X_n\}$  is called the natural frame field for the curve-hypersurface pair  $(\alpha, M)$  [Hacısalihoğlu 1983].

**DEFINITION 1:** Let  $M$  be a hypersurface in  $E^n$  and  $\alpha$  be a curve on  $M$ . Then the function

$$k_{ig} : I \longrightarrow \mathbb{R},$$

given by

$$k_{ig}(s) = \langle X'_1(s), X_{i+1}(s) \rangle,$$

is called the  $i^{\text{th}}$ ,  $1 \leq i < n-1$ , geodesic curvature function of the curve  $\alpha$  and  $k_{ig}(s)$  is called  $i^{\text{th}}$  geodesic curvature of  $\alpha$  at  $\alpha(s)$  for  $s \in I$ , where  $I \subset \mathbb{R}$  [Guggenheimer (1963)].

**THEOREM 1:** Let  $M$  be a hypersurface in  $E^n$  and  $\alpha$  be a curve on  $M$ . The derivative formulas of the natural frame field  $\{X_1, \dots, X_{n-1}, X_n\}$  are

$$D_{X_1} X_i = X'_i = -k_{(i-1)g} X_{i-1} + k_{ig} X_{i+1} + \Pi(X_1, X_i) X_n,$$

$$D_{X_1} X_n = -\Pi(X_1, X_1) X_1 - \Pi(X_1, X_2) X_2 - \dots - \Pi(X_1, X_{n-1}) X_{n-1}$$

where  $1 \leq i \leq n-1$  and  $k_{0g} = k_{(n-1)g} = 0$  [Guggenheimer (1963)].

In the matrix form, these derivative formulas become

$$\begin{bmatrix} X'_1 \\ X'_2 \\ \cdot \\ \cdot \\ X'_{n-1} \\ X'_n \end{bmatrix} = \begin{bmatrix} 0 & k_{1g} & 0 & \dots & 0 & 0 & \Pi(X_1, X_1) \\ -k_{1g} & 0 & k_{2g} & \dots & 0 & 0 & \Pi(X_1, X_2) \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & 0 & 0 & \dots & -k_{(n-2)g} & 0 & \Pi(X_1, X_{n-1}) \\ -\Pi(X_1, X_1) & \dots & \dots & \dots & -\Pi(X_1, X_{n-1}) & 0 & 0 \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \\ \cdot \\ \cdot \\ X_{n-1} \\ X_n \end{bmatrix}$$

or simply

$$X' = K(X) X .$$

The matrix  $K(X)$  is called the (higher) curvature matrix of the pair  $(\alpha, M)$  [Guggenheimer (1963)] .

Let  $y$  and  $x$  be the position vectors, represented by column matrices, of a point  $P$  in the fixed space  $\Sigma^n$  and the moving space  $E^n$ , respectively. A continuous series of displacements, given by

$$y = Ax + b ,$$

where the orthogonal matrix  $A$  and the translation vector  $b$  are functions of a parameter  $s$  which may be identified with the time, is called a motion.

Now we consider the rotational motion, given by

$$y = Ax .$$

The matrix

$$W = A' A^t$$

is called the angular velocity matrix or the Darboux matrix of the motion [Bottema & Roth (1979)].

DEFINITION 2: The orthogonal matrix A such that

$$AS = S$$

is called an umbrella matrix, where  $S = [1 \ 1 \ \dots \ 1]^t \in \mathbb{R}_1^n$  [Özdamar (1977)].

DEFINITION 3: Let A be an umbrella matrix. The motion generated by the transformation

$$\begin{bmatrix} y \\ 1 \end{bmatrix} = \begin{bmatrix} A & C \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ 1 \end{bmatrix}$$

or

$$y = Ax + C$$

is called an umbrella motion in  $E^n$ , where  $x, y \in \mathbb{R}_1^n$  and  $C \in \mathbb{R}_1^n$  is the displacement vector of the origin [Hacısalihoğlu (1977)] .

Let  $B = [b_{ij}]$  be any  $n \times n$  matrix and  $\epsilon$  be an infinitesimal quantity of the first order. Then the matrix

$$A = I_n + \epsilon B$$

is called an infinitesimal matrix, where by  $I_n$ , we denote the  $n \times n$  unit matrix.

### UMBRELLA MATRICES AND HIGHER CURVATURES OF A CURVE

In this section we assume that the directions  $X_2, X_3, \dots, X_{n-2}$  of the natural frame field  $X = \{X_1, \dots, X_n\}$  are conjugate directions with the tangent direction  $X_1$  for a curve  $\alpha$  which is different from the line of curvature on a hypersurface  $M$  in  $E^n$ . Then the higher curvature matrix can be written in the form

$$\mathbf{K}(\mathbf{X}) = \begin{bmatrix} 0 & k_{1g} & 0 & \dots & 0 & \Pi(\mathbf{X}_1, \mathbf{X}_1) \\ -k_{1g} & 0 & k_{2g} & \dots & 0 & 0 \\ 0 & -k_{2g} & 0 & \dots & 0 & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & 0 & 0 & \dots & 0 & \Pi(\mathbf{X}_1, \mathbf{X}_{n-1}) \\ -\Pi(\mathbf{X}_1, \mathbf{X}_1) & 0 & 0 & \dots & -\Pi(\mathbf{X}_1, \mathbf{X}_{n-1}) & 0 \end{bmatrix} \quad (1)$$

since

$$\Pi(\mathbf{X}_1, \mathbf{X}_2) = \Pi(\mathbf{X}_1, \mathbf{X}_3) = \dots = \Pi(\mathbf{X}_1, \mathbf{X}_{n-2}) = 0.$$

Let us write

$$k_{ig} = b_{i+2} \quad (1 \leq i \leq n-2)$$

$$\Pi(\mathbf{X}_1, \mathbf{X}_{n-1}) = b_1$$

$$-\Pi(\mathbf{X}_1, \mathbf{X}_1) = b_2.$$

Thus the equality (1), with respect to the elements  $b_j$  ( $1 \leq j \leq n$ ), takes the form

$$\mathbf{K}(\mathbf{X}) = \begin{bmatrix} 0 & b_3 & 0 & 0 & \dots & 0 & 0 & -b_2 \\ -b_3 & 0 & b_4 & 0 & \dots & 0 & 0 & 0 \\ 0 & -b_4 & 0 & b_5 & \dots & 0 & 0 & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & 0 & 0 & 0 & \dots & 0 & b_n & 0 \\ 0 & 0 & 0 & 0 & \dots & -b_n & 0 & b_1 \\ b_2 & 0 & 0 & 0 & \dots & 0 & -b_1 & 0 \end{bmatrix} \quad (2)$$

Let  $A$  be any  $n \times n$  orthogonal matrix one of the characteristic values of which is not  $-1$ . Then  $A$  can always be expressed as

$$A = (I_n - B)^{-1} (I_n + B), \tag{3}$$

where  $B$  is as  $n \times n$  skew matrix. The formula (3) is known as the Cayley formula. Now taking  $K(X)$  instead of  $B$  in this formula we obtain the following theorem.

**THEOREM 1:** For  $n \geq 3$ , if  $b_1 = b_2 = \dots = b_n = c$  then the orthogonal matrix

$$A = (I_n - K(X))^{-1} (I_n + K(X)) \tag{4}$$

one of the characteristic values of which is not  $-1$ , is an umbrella matrix.

**PROOF:** In order to prove the theorem it suffices to show the equality

$$A S = S,$$

where  $S = [1 \ 1 \ \dots \ 1]^t \in \mathbb{R}_1^n$ .

$$(I_n + K(X)) S = S + K(X) S$$

$$\begin{aligned}
 &= \begin{bmatrix} 1 \\ 1 \\ \cdot \\ \cdot \\ \cdot \\ 1 \\ 1 \end{bmatrix} + c \begin{bmatrix} 0 & 1 & 0 & \dots & 0 & 0 & -1 \\ -1 & 0 & 1 & \dots & 0 & 0 & 0 \\ \cdot & \cdot & \cdot & & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & & \cdot & \cdot & \cdot \\ 0 & 0 & 0 & \dots & -1 & 0 & 1 \\ 1 & 0 & 0 & \dots & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ \cdot \\ \cdot \\ \cdot \\ 1 \\ 1 \end{bmatrix} \\
 &= \begin{bmatrix} 1 \\ 1 \\ \cdot \\ \cdot \\ \cdot \\ 1 \\ 1 \\ 1 \end{bmatrix} + c \begin{bmatrix} 1 - 1 \\ -1 + 1 \\ \cdot \\ \cdot \\ \cdot \\ -1 + 1 \\ 1 - 1 \end{bmatrix} \\
 &= S.
 \end{aligned}$$

This means that  $S$  is in the kernel of  $K(X)$ . Thus we have

$$(I_n - K(X)) S = S$$

from which we get

$$S = (I_n - K(X))^{-1} S .$$

Then

$$\begin{aligned} AS &= (I_n - K(X))^{-1} (I_n + K(X)) S \\ &= (I_n - K(X))^{-1} S \\ &= S , \end{aligned}$$

which completes the proof.

Now we may give a relation between the Darboux matrix of the umbrella motion and the higher curvature matrix by the following theorem.

**THEOREM 2:** Let  $W(A)$  be the Darboux matrix of the umbrella motion, where  $A$  is given by (4), and  $K(X)$  be the higher curvature matrix. Then

$$W(A) = \frac{2c'}{c} (I_n - K(X))^{-1} K(X) (I_n + K(X))^{-1} , \quad (5)$$

where  $c = c(s)$ .

**PROOF:** Differentiating (II.4), with respect to  $s$ , we have

$$\begin{aligned} A' [(I_n - K(X))^{-1}]' (I_n + K(X)) + (I_n - K(X))^{-1} (I_n + K(X))' \\ = - (I_n - K(X))^{-1} (I_n - K(X))' (I_n - K(X))^{-1} (I_n + K(X)) + \\ (I_n - K(X))^{-1} K'(X) \\ = (I_n - K(X))^{-1} K'(X) (I_n - K(X))^{-1} (I_n + K(X)) + \\ (I_n - K(X))^{-1} K'(X) \\ = (I_n - K(X))^{-1} K'(X) [(I_n - K(X))^{-1} (I_n + K(X)) + I_n] . \end{aligned}$$

In the last expression if we write

$$I_n = (I_n - K(X))^{-1} (I_n - K(X))$$

then we also have

$$A' = 2 (I_n - K(X))^{-1} K'(X) (I_n - K(X))^{-1} .$$

From

$$K(X) = cU \quad (U \text{ is constant matrix}) ,$$

$$K'(X) = \frac{c'}{c} K(X)$$

it follows that

$$A' = \frac{2c'}{c} (I_n - K(X))^{-1} K(X) (I_n - K(X))^{-1}.$$

Thus from  $W(A) = A' A^t$  we obtain

$$\begin{aligned} W(A) &= \frac{2c'}{c} (I_n - K(X))^{-1} K(X) (I_n - K(X))^{-1} (I_n + K(X))^{-1} (I_n - K(X)) \\ &= \frac{2c'}{c} (I_n - K(X))^{-1} K(X) [(I_n + K(X)) (I_n - K(X))]^{-1} (I_n - K(X)) \\ &= \frac{2c'}{c} (I_n - K(X))^{-1} K(X) [(I_n - K(X)) (I_n + K(X))]^{-1} (I_n - K(X)) \\ &= \frac{2c'}{c} (I_n - K(X))^{-1} K(X) (I_n + K(X))^{-1} (I_n - K(X))^{-1} (I_n - K(X)) \\ &= \frac{2c'}{c} (I_n - K(X))^{-1} K(X) (I_n + K(X))^{-1}. \end{aligned}$$

**THEOREM 3:** Let  $W(A)$  be the Darboux matrix of the umbrella motion, where  $A$  is given by (4). Then the matrix  $I_n + W(A) ds$  is also an (infinitesimal) umbrella matrix.

**PROOF:** We can write

$$Y + Y' ds = AX + C + (A'X + C') ds$$

for an infinitesimal motion in  $E^n$ . Thus since

$$X = A^t (Y - C)$$

we have

$$Y + Y' ds = (I_n + A'A^t ds) (Y - C) + C + C' ds$$

or by  $W(A) = A'A^t$

$$(Y' - C') ds = (I_n + W(A) ds) (Y - C) - (Y - C).$$

Moreover since

$$(I_n + W(A) ds) - I_n = W(A) ds$$

the matrix  $I_n + W(A) ds$  is an infinitesimal orthogonal matrix. Thus for  $S = [1 \ 1 \ \dots \ 1]^t \in \mathbb{R}_1^n$  we get

$$\begin{aligned} (I_n + W(A)ds) S &= S + W(A)ds S \\ &= S + \frac{2c'}{c} (I_n - K(X))^{-1}K(X) (I_n + K(X))^{-1} S \\ &= S + \frac{2c'}{c} (I_n - K(X))^{-1}K(X) S. \end{aligned}$$

In the last expression we can write

$$K(X) S = 0$$

since  $S$  is in the kernel of  $K(X)$ , and so we obtain

$$(I_n + W(A)ds) S = S$$

which means that the matrix  $I_n + W(A)ds$  is an (infinitesimal) umbrella matrix.

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