

## SURFACES OF PARALLEL MEAN CURVATURE IN FOUR DIMENSIONAL MANIFOLDS OF CONSTANT CURVATURE

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### ABSTRACT

In this paper we classify the codimension two immersions in constantly curved 4 - manifolds with parallel mean curvature by reproving the Proposition 1 in [1] in the case of  $K \equiv 0$ .

### PRELIMINARIES

Let  $i: M^2 \rightarrow \bar{M}^4(c)$  be an isometric immersion of a 2-dimensional Riemannian manifold  $M^2$  in a 4-dimensional Riemannian manifold  $\bar{M}^4(c)$  of constant sectional curvature  $c$  and let  $X$  and  $Y$  be two tangent vector fields on  $M^2$ ; i.e., two members of  $\Gamma(TM^2)$ , the space of smooth sections of  $TM^2$ . If  $\langle, \rangle$  denotes the metric tensor on  $T\bar{M}^4$  than that of  $TM^2$  is given by

$$\langle i_*X, i_*Y \rangle = g(X, Y) \quad (1.1)$$

For all local formulas and computations we may consider  $i$  as an imbedding thus identify  $M^2$  with  $i(M^2)$  and  $TM^2$  with  $i_*(TM^2) \subset T\bar{M}^4$ , deleting reference to  $i$  and its induced maps wherever possible. As a result, for  $X, Y \in T(M^2)_p$  we write  $\langle X, Y \rangle$  for  $g(X, Y)$ , which we can do via the identification. We consider  $T\bar{M}^4$  restricted to the base space  $M^2$ . Let  $[\ ]^T$  denote projection in  $T\bar{M}^4$  onto  $TM^2$ . Then the normal bundle  $NM^2$  is the bundle whose fibre at  $p$  is

$$N(M^2)_p = \{x \in T(\bar{M}^4)_p \mid [x]^T = 0\} \quad (1.2)$$

which is the orthogonal complement (with respect to  $\langle, \rangle$ ) of  $T(M^2)_p$  in  $T(\bar{M}^4)_p$ .  $NM^2$  has an induced metric, the restriction of  $\langle, \rangle$  to  $NM^2$ . We let  $[\ ]^N$  denote projection onto  $NM^2$ . Let  $\nabla$  and  $\bar{\nabla}$  be the Riemannian connections of  $M^2$  and  $\bar{M}^4$  respectively.  $\nabla$  related to  $\bar{\nabla}$  by

$$[\bar{\nabla}_X Y]^T = \nabla_X Y \quad (1.3)$$

Then the second fundamental form of the immersion is given by

$$\bar{\nabla}_X Y = \nabla_X Y + B(X, Y) \quad (1.4)$$

and is a section of  $\Gamma(TM^2 \otimes TM^2, NM^2)$ , the tensor bundle over  $M^2$  whose fibre at  $p$  is the space of bilinear maps from  $T(M^2)_p \times T(M^2)_p$  to  $N(M^2)_p$ .

$$B(X, Y) = [\bar{\nabla}_X Y]^N \quad (1.5)$$

is a normal vector field on  $M^2$  and is symmetric on  $X$  and  $Y$ . Let  $N \in \Gamma(TM^2)$ , we write

$$\bar{\nabla}_X N = A(N, X) + D_X N \quad (1.6)$$

where  $A(N, X)$  and  $D_X N$  denote the tangential and normal components of  $\bar{\nabla}_X N$ .  $A$  is a section of  $\Gamma(NM^2 \otimes TM^2, TM^2)$  defined by

$$\langle A(N, X), Y \rangle = -\langle B(X, Y), N \rangle \quad (1.7)$$

and  $D$  is the Riemannian connection on  $NM^2$  induced by the immersion defined by

$$D_X N = [\bar{\nabla}_X N]^N \quad (1.8)$$

$D$  is easily seen to be compatible with the metric of  $NM^2$ . A normal vector field  $N$  on  $M^2$  is said to be parallel in the normal bundle if  $D_X N = 0$  for all tangent vector fields  $X$ . The mean curvature vector  $H$  is the section of  $NM^2$  defined by

$$H = \frac{1}{2} \text{trace } B \quad (1.9)$$

The surface  $M^2$  in  $\bar{M}^4(c)$  is said to be minimal if  $H = 0$  identically. If the mean curvature vector  $H$  and the second fundamental form  $B$  satisfy

$$\langle B(X, Y), H \rangle = \lambda \langle X, Y \rangle$$

for all tangent vector fields  $X, Y$  at  $p$  with the same  $\lambda$  then  $M^2$  is said to be pseudo-umbilical at  $p$ . If  $M^2$  is pseudo-umbilical at every point of  $M^2$ , then  $M^2$  is called a pseudo-umbilical surface of  $\bar{M}^4(c)$ . The curvatures associated with  $\nabla$ ,  $\bar{\nabla}$  and  $D$  are denoted  $R$ ,  $\bar{R}$  and  $\tilde{R}$  respectively.

For example,  $\tilde{R}$  is given by

$$\tilde{R}(X, Y)N = D_X D_Y N - D_Y D_X N - D[X, Y]N \quad (1.11)$$

$\tilde{R}$ , like  $\bar{R}$  and  $R$  is skew-symmetric on each of  $NM^2$ , bilinear in  $X$  and  $Y$ . Also, as is obvious,  $\tilde{R}(X, Y)_p$  depends only on  $X_p$  and  $Y_p$ . A local

orthonormal frame of  $T\bar{M}^4$  (resp.,  $TM^2$ ) we mean four (resp., two) sections  $e_i$  of  $T\bar{M}^4$  (resp.,  $TM^2$ ) defined on an open set  $\bar{U}$  (resp.,  $U$ ) such that  $\langle e_i, e_j \rangle = \delta_{ij}$ . For an immersed manifold  $M^2 \rightarrow \bar{M}^4$ , we often consider the frame as defined on  $\bar{U}|M^2$ . It will be convenient to choose frame of  $T\bar{M}^4$  that have the property that  $\{e_1, e_2\}$  are sections of  $TM^2 \subset T\bar{M}^4$ , and  $\{e_3, e_4\}$  are sections of  $NM^2$ . Such a frame is called an adapted

orthonormal frame. Given a basis of coordinate vectors  $\left\{ \frac{\partial}{\partial u_1}, \frac{\partial}{\partial u_2} \right\}$  of  $TM^2$ , a completion to a basis of  $T\bar{M}^4$  is a choice of two orthonormal sections of  $\{e_\alpha\}_{\alpha=3}^4$  of  $NM^2$ .  $\{e_\alpha\}_{\alpha=3}^4$  is a frame of the normal bundle  $NM^2$ . We will call  $\left\{ \frac{\partial}{\partial u_1}, \frac{\partial}{\partial u_2}, e_3, e_4 \right\}$  an adapted coordinate frame of  $T\bar{M}^4$ .

For a unit normal section  $e_\alpha$  of  $NM^2$  and a frame  $\{e_i\}_{i=1}^2$  of  $TM^2$

$$L^{\alpha}_{ij} = \langle B(e_i, e_j), e_\alpha \rangle \tag{1.12}$$

is the second fundamental form matrix, in the  $e_\alpha$  direction, expressed in terms of frame  $\{e_i\}_{i=1}^2$  of  $TM^2$ . Similarly for a coordinate basis

$$\left\{ \frac{\partial}{\partial u_i} \right\}_{i=1}^2$$

$$L^{\alpha}_{ij} = \langle B \left( \frac{\partial}{\partial u_i}, \frac{\partial}{\partial u_j} \right), e_\alpha \rangle \tag{1.13}$$

A normal (or adapted) frame for an immersion  $M^2 \rightarrow \bar{M}^4(c)$  is said to be an Otsuki frame if  $e_3 = H / \|H\|$  where  $H$  is the mean curvature vector of the immersion.

### SURFACES WITH PARALLEL MEAN CURVATURE VECTOR FIELD

The immersion  $M^2 \rightarrow \bar{M}^4(c)$  has parallel mean curvature vector field  $H$  if  $H$  is parallel in the normal bundle. Sometimes this condition will be stated by saying merely that  $H$  is parallel. The following lemma is based on the fact that in the case of codimension two, the existence of one parallel vector field implies the existence of another. (Hoffman [2]).

**Lemma 1.** Let  $M^2 \rightarrow \bar{M}^4(c)$  be an isometric immersion. If  $H \neq 0$  parallel then the curvature of the normal connection is zero.

**Proof:** Since  $H \neq 0$  parallel  $\|H\|$  is a nonzero constant. Let  $\{e_\alpha\}_{\alpha=3}^4$  be the Otsuki frame of the normal bundle, that is  $e_3 = H/\|H\|$ ,  $\langle e_3, e_3 \rangle = \langle e_4, e_4 \rangle = 1$ ,  $\langle e_3, e_4 \rangle = 0$ . If  $X \in \Gamma(TM^2)$  then

$$\begin{aligned} 0 &= X \langle e_3, e_4 \rangle \\ &= \langle D_X e_3, e_4 \rangle + \langle e_3, D_X e_4 \rangle \\ &= \langle e_3, D_X e_4 \rangle, \text{ since } e_3 \text{ is parallel.} \end{aligned}$$

But,  $2 \langle D_X e_4, e_4 \rangle = 0$  which implies  $D_X e_4 = 0$ , i.e.,  $e_4$  is parallel.

Let  $Y \in \Gamma(TM^2)$ . For  $\alpha = 3, 4$

$$\begin{aligned} \tilde{R}(X, Y)e_\alpha &= D_X D_Y e_\alpha - D_Y D_X e_\alpha - D_{[X, Y]} e_\alpha \\ &= 0, \text{ since } e_\alpha \text{ is parallel.} \end{aligned}$$

Hence,  $\tilde{R} = 0$  identically.

As a corollary of this we have

**Corollary 1.** Let  $M^2 \rightarrow \bar{M}^4(c)$  be an isometric immersion. If  $H \neq 0$  parallel then the second fundamental forms are simultaneously diagonalizable.

**Proof:** The proof follows from the following fact (Hoffman [2])

$$\tilde{R} = 0 \text{ at } p \Leftrightarrow A_3 A_4 = A_4 A_3 \text{ at } p$$

which is precisely the condition for simultaneous diagonalization, where  $A_\alpha = A(e_\alpha, -)$ ,  $\alpha = 3, 4$ .  $\tilde{R} = 0$  identically by Lemma 1.

**Proposition 1.** Let  $M^2 \rightarrow \bar{M}^4(c)$  be an isometric immersion given locally in conformal coordinates  $(u_1, u_2)$  with conformal parameter

$$E, \text{ i.e., } \left\langle \frac{\partial}{\partial u_1}, \frac{\partial}{\partial u_2} \right\rangle = E \delta_{ij} \text{ and } ds^2 = E (du_1^2 + du_2^2).$$

If  $H \neq 0$  parallel and  $\{e_\alpha\}_{\alpha=3}^4$  is the Otsuki frame of the normal bundle then

$$\varphi_3 = \frac{L^3_{11} - L^3_{22}}{2} - i L^3_{12} \quad (2.1)$$

and

$$\varphi_4 = \frac{L^4_{11} - L^4_{22}}{2} - i L^4_{12} \tag{2.2}$$

are real analytic functions of  $z = u_1 + iu_2$ , where  $L^{\alpha}_{ij} = \langle B \left( \frac{\partial}{\partial u_i}, \frac{\partial}{\partial u_j} \right), e_{\alpha} \rangle$ ,  $\alpha = 3, 4$ . Furthermore, either  $\varphi_3 \equiv 0$  or  $\varphi_4 = \mathcal{K} \varphi_3$  where  $\mathcal{K}$  is a real constant.

**Proof:** Hoffman [2].

In Theorem 1 and Theorem 2,  $\bar{M}^4(c)$  will be assumed to be simply connected and complete.

**Theorem 1.** Let  $M^2 \rightarrow \bar{M}^4(c)$ ,  $c > 0$ , be an isometric immersion. If  $H \neq 0$  parallel and  $K \equiv 0$  then  $M^2$  lies in an affine 3-plane  $\pi^3$  in  $E^4$  (in the case  $c = 0$ ) or in a great or small 3-sphere (in the case  $c > 0$ )

**Proof:** First assume  $c = 0$  and therefore  $M^2 \rightarrow E^4$ . The proof will follow from the following lemma: (Hoffman [2]).

**Lemma 2.** Suppose  $M^2 \rightarrow E^{2+k}$  has an  $r$ -dimensional distribution  $\mathcal{P}$  in  $NM^2$  such that

- (a) The range of  $B$  is in  $\mathcal{P}$ .
- (b) If  $V$  is a smooth section of  $\mathcal{P}$  then  $D_X V \in \mathcal{P}$  for all  $V \in \Gamma(TM^2)$ .

Then  $M^2$  lies in a  $(2 + r)$ -plane  $\pi^{2+r}$  in  $E^{2+k}$ .

Now we return to the proof of the Theorem 1. Let  $\{e_{\alpha}\}_{\alpha=3}^4$  be the Otsuki frame of the normal bundle. Since  $K \equiv 0$ ,  $M^2$  is isometric to the plane and we may choose conformal coordinates locally on  $M^2$  with  $E = 1$ . Hence  $(\lambda^{\alpha}_{ij}) = (L^{\alpha}_{ij})$  for  $\alpha = 3, 4$ . Proposition 1 and Corollary 1 give  $(\lambda^3_{ij})$  and  $(\lambda^4_{ij})$  as constant diagonalized matrices. Namely, if  $\varphi_3 \neq 0$  then by Proposition 1,  $\varphi_4 = \mathcal{K} \varphi_3$  for a real constant  $\mathcal{K}$ . From the equation,

$$E^2 = (\|H\|^2 - (K-c)) = |\varphi_3|^2 + |\varphi_4|^2$$

((3.1) in [2]) we obtain that  $|\varphi_3|$  is constant. Therefore  $\varphi_3$  is constant and after a possible rotation of coordinates it may be assumed to be real. If  $\varphi_3 = \gamma$  then  $\lambda^3_{11} = \|H\| + \gamma$  and  $\lambda^3_{22} = \|H\| - \gamma$  since  $\lambda^3_{11} + \lambda^3_{22} = 2 \|H\|$  and since  $\lambda^3_{12} = \lambda^3_{21} = 0$  by Corollary 1. Similarly;  $\lambda^4_{11} = \mathcal{K}\gamma$  and  $\lambda^4_{22} = -\mathcal{K}\gamma$  since  $\lambda^4_{11} + \lambda^4_{22} = 0$  and  $\lambda^4_{12} = \lambda^4_{21} = 0$  by Corollary 1. All the real constants  $\gamma, \mathcal{K}$  and  $\|H\|$  are related to each other as  $\gamma^2 = \|H\|^2/1 + \mathcal{K}^2$ .

If  $\mathcal{K} = 0$  then define,

$$\mathcal{D} = \{e \in NM^2 \mid \langle e, e_4 \rangle = 0\} \quad (2.3)$$

$\mathcal{D}$  is a 1 - dimensional distribution in  $NM^2$ . Since,  $0 = \lambda^4_{ij} = \langle B(e_i, e_j), e_4 \rangle$ , the range of  $B$  is in  $\mathcal{D}$ . If  $V$  is a smooth section of  $\mathcal{D}$ ; for all  $p$  in  $M^2$ ,  $V_p$  is in  $\mathcal{D}_p$ . Hence  $\langle V_p, e_4 \rangle = 0$  with

$$0 = \langle D_X V_p, e_4 \rangle + \langle V_p, D_X e_4 \rangle = \langle D_X V_p, e_4 \rangle \quad (2.4)$$

which implies  $D_X V$  is in  $\mathcal{D}$ .

If  $\mathcal{K} \neq 0$  then we may put  $\mathcal{K} = -\tan \alpha$  then  $\tilde{\lambda}_{ij} = \lambda I$  with  $\tilde{e} = (\sin \alpha)e_3 + (\cos \alpha)e_4$  and  $\lambda = \sin \alpha \|H\|$ .

Hence  $\tilde{\varphi}_3 \equiv 0$ .

All that remains to complete the proof of this theorem is to study for the case  $c > 0$ . We do that by reducing the case to the euclidian case  $c = 0$ . For  $c > 0$  we take as a model for  $\tilde{M}^4(c)$  the hypersurface

$$S^4(1/\sqrt{c}) = \left\{ x \in E^5 \mid \|x\|^2 = \frac{1}{c} \right\} \subset E^5 \quad (2.5)$$

Let  $\{e_\alpha\}_{\alpha=3}^4$  be the Otsuki frame of the normal bundle. By Proposition 1 and Corollary 1, the second fundamental forms in the  $e_3$  and  $e_4$  directions are constant diagonalized matrices. If we let  $e_5$  be the normal to the sphere in  $E^5$ , then the second fundamental form of the composed immersion  $M^2 \rightarrow S^4(1/\sqrt{c}) \rightarrow E^5$  in the  $e_5$  direction is also a constant diagonalized matrix. Hence we can find real constants  $\lambda, \mu, \nu$  such that,

$$\lambda(\lambda^3_{ij}) + \mu(\lambda^4_{ij}) + \nu(5_{ij}) = 0 \wedge \lambda^2 + \mu^2 + \nu^2 = 1$$

The unit normal vector field  $\lambda e_3 + \mu e_4 + \nu e_5 = \tilde{e}$  is parallel since  $e_3, e_4$  and  $e_5$  are parallel. Let

$$\mathcal{D} = \{e \in NM^2 \mid \|e\| = 1 \wedge \langle e, \tilde{e} \rangle = 0\} \quad (2.6)$$

$\mathcal{D}$  is a 2 - dimensional distribution which contains the image of second fundamental form of the immersion  $M^2 \rightarrow E^5$ . It satisfies condition (b) of Lemma 2 since  $\tilde{e}$  is parallel. Therefore Lemma 2 applies  $M^2 \rightarrow \pi^4$  where  $\pi^4$  is some affine plane. If  $\pi^4$  passes through the origin,  $M^2 \rightarrow S^4(1/\sqrt{c}) \subset \pi^4$  lies in a great 3 - sphere and in a small 3 - sphere otherwise.

**Remark:** Here, we are reducing the codimension of an immersion  $M^2 \rightarrow \tilde{M}^4(c)$  which is not pseudo-umbilical. It is known that pseudo-

umbilical immersions with parallel nonzero mean curvature lie minimally in hyperspheres and flat minimal surfaces in 3-spheres must be pieces of a Clifford torus.

### HOFFMAN SURFACES

On an analytic function  $\varphi \not\equiv 0$  of  $z = u^2 + i u_1$ , defined in a neighborhood of the origin in the  $(u_1, u_2)$  - plane, and constants  $\alpha, \beta$  with  $\alpha > 0$  Hoffman proved that, up to euclidian motions and isothermal coordinates  $E(u_1, u_2)$ , locally there exist one and only one surface in  $\bar{M}^4(c)$ , denoted by  $M^4(\varphi, \alpha, \beta)$ , with parallel mean curvature vector  $H$  such that  $\alpha = \|H\|$  and  $\varphi = \varphi_3, \beta\varphi = \varphi_4$  where  $\varphi_3$  and  $\varphi_4$  are given in Proposition 1. These surfaces are easy to check that they are contained in either in an affine 3 - space or in a great or small 3 - sphere of  $\bar{M}^4(c)$  and they are neither minimal surfaces in  $\bar{M}^4(c)$  nor minimal surfaces of hyperspheres of  $\bar{M}^4(c)$ .

**Theorem 2. (CLASSIFICATION),** Let  $M^2 \rightarrow \bar{M}^4(c), c > 0$ , be an isometric immersion with parallel mean curvature vector field  $H$ . Then  $M^2$  is one of the following surfaces:

- (a) Minimal surfaces of  $\bar{M}^4(c)$ ,
- (b) Minimal surfaces of hyperspheres of  $\bar{M}^4(c)$ ,
- (c) Surfaces in an affine 3 - space or in a great or small 3 - sphere of  $\bar{M}^4(c)$  and locally given by Hoffman surfaces.

**Proof.** Since,  $H$  is parallel,  $\|H\|$  is constant. If  $\|H\| = 0$  then  $M^2$  lies minimally in  $\bar{M}^4(c)$ . If  $\|H\| \neq 0$  and  $M^2$  does not lie minimally in a hypersphere of  $\bar{M}^4(c)$  then by Proposition 1 in [1]  $M^2$  is contained in an affine 3 - space or in a great or small 3 - sphere of  $\bar{M}^4(c)$ . If  $M^2$  is contained in an affine 3 - space or in great or small 3 - sphere of  $\bar{M}^4(c)$  with parallel mean curvature vector  $H$  which is neither a minimal surface of  $\bar{M}^4(c)$  nor a minimal surface of a hypersphere of  $\bar{M}^4(c)$  then by Proposition 1 we see that there exist a triple  $(\Phi, \alpha, \beta)$  in which  $\Phi \not\equiv 0$  is an analytic quadratic differential given by  $\Phi = \varphi_3 dz^2, \alpha = \langle H, H \rangle^{1/2}$  and  $\beta$  is real constant given by  $\varphi_4 = \beta \varphi_3$ . Let  $p$  be a point in  $M^2$  and  $U$  be a coordinate neighborhood of  $P$  with isothermal coordinates  $(u_1, u_2)$ . We may construct a Hoffman surface  $M(\varphi, \alpha, \beta)$  in  $\bar{M}^4(c)$  with  $\varphi, \alpha, \beta$  satisfying the properties in the introduction of this section. Since locally, this Hoffman surface is unique up to euclidian motions of  $E^4$ , the surface  $M^2$  around  $p$  must coincide with the Hoffman surface  $M(\varphi, \alpha, \beta)$ .

**Remark:** If  $M^2$  lies minimally in some hypersphere then its mean curvature vector must be the same as that of hypersphere. Therefore, it must be pseudo - umbilical at each point. But this implies  $\varphi_3 = \varphi = 0$ .

#### REFERENCES

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