

## NORMAL SUBGROUPS OF THE HECKE GROUP $H(\sqrt{2})^*$

I.N. CANGÜL

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### 1. INTRODUCTION

Hecke groups  $H(\lambda)$  are the discrete subgroups of  $PSL(2, \mathbf{R})$  (the group of orientation preserving isometries of the upper half plane  $U$ ) generated by two linear fractional transformations

$$R(z) = -1/z \text{ and } T(z) = z + \lambda$$

where  $\lambda \in \mathbf{R}$ ,  $\lambda \geq 2$  or  $\lambda = \lambda_q = 2\cos(\pi/q)$ ,  $q \in \mathbf{N}$ ,  $q \geq 3$ . These values of  $\lambda$  are the only ones that give discrete groups, by a theorem of E. Hecke. We are going to be interested in the latter case  $\lambda = \lambda_q$ . The element  $S = RT$  is then elliptic of order  $q$ .

It is well-known that  $H(\lambda_q)$  is the free product of two cyclic groups of orders 2 and  $q$ , i.e.

$$H(\lambda_q) \cong C_2 * C_q$$

so that the signature of  $H(\lambda_q)$  is  $(0; 2, q, \infty)$ .

Most important and worked Hecke group is the modular group  $\Gamma = H(\lambda_3) = H(1)$ . Its underlying field is  $\mathbf{Q}$ , i.e. all coefficients are rational integers.

Next two important Hecke groups are those for  $q = 4$  and 6. In these cases  $\lambda_q = \sqrt{2}$  and  $\sqrt{3}$ , therefore underlying fields are quadratic extensions of  $\mathbf{Q}$  by  $\sqrt{2}$  and  $\sqrt{3}$ , respectively. Here we only discuss the case  $q = 4$  ( $q = 6$  is similar).

$H(\lambda_4)$  is the only Hecke group, apart from  $\Gamma$  and  $H(\lambda_\sigma)$ , whose elements are completely known. Indeed it consists of the set of all matrices of the following two types:

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$$(i) \quad \begin{bmatrix} a & b\sqrt{2} \\ c\sqrt{2} & d \end{bmatrix} \quad ; \quad ad-2bc = 1,$$

$$(ii) \quad \begin{bmatrix} a\sqrt{2} & b \\ c & d\sqrt{2} \end{bmatrix} \quad ; \quad 2ad-bc = 1.$$

Those of type (i) are called even while the others are called odd.

The set of all even elements form a normal subgroup,  $H_e(\sqrt{2})$ , of index 2 in  $H(\sqrt{2})$ , called the even subgroup. It is the free product of the infinite cyclic group  $Z$  with a finite cyclic group of order 2. Indeed, being odd elements,  $R$  and  $S$  both go to 2-cycles under the homomorphism

$$H(\sqrt{2}) \longrightarrow H(\sqrt{2}) / H_e(\sqrt{2}) \cong C_2,$$

i.e.

$$R \longrightarrow (1 \ 2)$$

$$S \longrightarrow (1 \ 2)$$

$$T \longrightarrow (1) (2),$$

so by a theorem of D. Singerman [Si], the signature of  $H_e(\sqrt{2})$  is  $(0; 2, \infty, \infty)$ . If we choose  $I, R$  as a Schreier transversal for  $H(\sqrt{2})/H_e(\sqrt{2})$  then by the Reidemeister-Schreier method,  $H_e(\sqrt{2})$  has the parabolic generators  $T$  and  $U = SR$  with their product  $TU$  being the elliptic generator of order 2.

$H_e(\sqrt{2})$  is quite important amongs the normal subgroups of  $H(\sqrt{2})$ . It is one of the three normal subgroups with cyclic quotient  $C_2$ , and contains infinitely many normal subgroups of  $H(\sqrt{2})$ .

$H(\sqrt{2})$  and  $H(\sqrt{3})$  are the only Hecke groups commensurable with the modular group  $\Gamma$ . Although a conjugate of  $H(\sqrt{2})$  and  $\Gamma$  have a common subgroup, no common normal subgroup in both of them exists. To see this let us suppose there exists a normal subgroup  $N$  in  $\Gamma$  and  $H(\sqrt{2})^M$  where  $H(\sqrt{2})^M$  denotes the conjugation by  $M$ . Let  $\eta(N)$  be the normalizer of  $N$  in  $\text{PSL}(2, \mathbb{R})$ . Now  $H(\sqrt{2})^M$  contains the element  $S^M$  of order 4 and  $S^M \in \Gamma$ . But  $\eta(N)$  contains  $\Gamma$  and  $S^M$ . As  $\eta(N)$  is also Fuchsian, this contradicts maximality of  $\Gamma$  (see [1]).

Here we are going to discuss some normal subgroups of  $H(\sqrt{2})$ . They seem to be more numerous than normal subgroups of  $\Gamma$ . Our main concern will be genus 0 and genus 1 subgroups, congruence subgroups and some relations with the regular maps.

Being a free product of two cyclic groups of orders 2 and 4, by the Kurosh subgroup theorem,  $H(\sqrt{2})$  has two kinds of subgroups those which are free and those with torsion (being free product of  $C_2$ 's,  $C_4$ 's and  $Z$ 's).

## 2. NORMAL SUBGROUPS OF GENUS 0 IN $H(\sqrt{2})$

Let  $N$  be such a subgroup.  $H(\sqrt{2})/N$  is a group of automorphisms of  $U/N \cong \text{Sphere } (U = U \cup Q \cup \{\infty\})$ , so it must be isomorphic to a finite subgroup of  $SO(3)$ , which is going to be a finite triangle group. These are known as  $A_5 \cong (2, 3, 5)$ ,  $S_4 \cong (2, 3, 4)$ ,  $A_4 \cong (2, 3, 3)$ ,  $D_n \cong (2, 2, n)$  and  $C_n \cong (1, n, n)$ .

Let's first map  $H(\sqrt{2})$  onto a cyclic group  $C_n$ . Since  $S$  must go to  $n$ -cycles,  $n$  must divide 4. Therefore  $n = 1, 2$  or  $4$ . Here  $N$  has the signature  $(0; 2^{(n)}, 4/n, \infty)$  and therefore is isomorphic to the free product of  $C_{4/n}$  and  $n$   $C_2$ 's. It shall be denoted by  $Y_n(\sqrt{2})$ .

Secondly, by mapping onto the dihedral group  $D_n \cong (2, n, 2)$  we similarly obtain a subgroup with signature  $(0; 4/n^{(2)}, \infty^{(n)})$  where  $n|4$ . We'll denote this one by  $S_n(\sqrt{2})$ . Note that  $S_1(\sqrt{2})$  and  $S_2(\sqrt{2})$  contain elements of finite order while  $S_2(\sqrt{2})$  is free of rank 3.

Thirdly, if we map onto  $S_4 \cong (2, 4, 3)$ , we obtain a normal subgroup with signature  $(0; \infty^{(8)})$  denoted by  $T(\sqrt{2})$ . It is isomorphic to a free group of rank 7.

We have already got 7 normal subgroups of genus 0. Apart from these, there is an infinite family of such subgroups, obtained by mapping onto  $D_n \cong (2, 2, n)$ ,  $n \in \mathbb{N}$ . The obtained subgroup has signature  $(0; 2^{(n)}, \infty, \infty)$  and will be denoted by  $W_n(\sqrt{2})$ . Each of these contains infinitely many others of the same kind since  $W_n(\sqrt{2}) \supset W_{nk}(\sqrt{2})$ ,  $k \in \mathbb{N}$ . Note that  $W_1(\sqrt{2}) = H_e(\sqrt{2})$  and also that  $W_2(\sqrt{2}) = S_2(\sqrt{2})$ .

**Theorem 1.** All normal subgroups of genus 0 in  $H(\sqrt{2})$  are  $H(\sqrt{2})$ ,  $Y_2(\sqrt{2})$ ,  $Y_4(\sqrt{2})$ ,  $S_1(\sqrt{2})$ ,  $S_4(\sqrt{2})$ ,  $T(\sqrt{2})$  and  $W_n(\sqrt{2})$  for  $n \in \mathbb{N}$ .

Therefore unlike odd  $q$  case (particularly modular group), we have infinitely many normal subgroups of genus 0.

## 3. FREE NORMAL SUBGROUPS OF $H(\sqrt{2})$

We first have

**Lemma 1.** The only normal subgroups of  $H(\sqrt{2})$  containing elements of finite order are  $H(\sqrt{2})$ ,  $Y_2(\sqrt{2})$ ,  $Y_4(\sqrt{2})$ ,  $S_1(\sqrt{2})$  and  $W_n(\sqrt{2})$ ,  $n \in \mathbb{N}$ .

Note that unlike odd  $q$  case (particularly modular group),  $H(\sqrt{2})$  has infinitely many normal subgroups with elements of finite order. As a result we have.

**Corollary 1.** Let  $N$  be a normal subgroup of positive genus in  $H(\sqrt{2})$ . Then  $N$  is torsion-free.

Corollary 1 does not have a converse, i.e. there are free normal subgroups of  $H(\sqrt{2})$  with genus 0.

**Theorem 2.** Let  $N$  be a non-trivial normal subgroup of  $H(\sqrt{2})$  different from  $H(\sqrt{2})$ ,  $Y_2(\sqrt{2})$ ,  $Y_4(\sqrt{2})$ ,  $S_2(\sqrt{2})$  and  $W_n(\sqrt{2})$ ,  $n \in \mathbb{N}$ . Then  $N$  is free.

It is well-known that a free normal subgroup  $N$  of  $H(\sqrt{2})$  will have rank  $r = 2g + t - 1$ , where  $t$  is the parabolic class number of  $N$ . Also if  $[H(\sqrt{2}) : N] = \mu$ , then  $4 \mid \mu$  as  $R$  goes to  $\mu/2$  2-cycles and  $S$  goes to  $\mu/4$  4-cycles. By the Riemann-Hurwitz formula the genus  $g$  of  $N$  is

$$g = 1 + \mu \frac{n-4}{8n}.$$

Therefore for  $g \neq 1$ ,  $H(\sqrt{2})$  can only have finitely many normal free subgroups of genus  $g$ . For  $g = 1$ , using regular maps of type  $\{4, 4\}$ , we shall prove that  $H(\sqrt{2})$  has infinitely many such subgroups, as the last equation suggests.

#### 4. NORMAL SUBGROUPS OF GENUS 1 IN $H(\sqrt{2})$

Rosenberger and Kern-Isberner have discussed these subgroups in [6]. Here we consider them briefly using their connection with the regular maps.

Let  $N$  be a normal subgroup of genus 1 in  $H(\sqrt{2})$ . We know that  $N$  is free of rank  $r = t + 1$ , of level 4 and therefore of index  $\mu$  divisible by 4, in  $H(\sqrt{2})$ . It is shown that each normal subgroup corresponds to a regular map the same genus (see [5]). As  $N$  has genus 1, the corresponding regular map  $M$  must be of type  $\{4, 4\}$  since  $S^4 = I$ . These are classified as  $\{4, 4\}_{r;s}$ ;  $r, s \in \mathbb{N} \cup \{0\}$ .  $M$  has  $t$  vertices,  $2t$  edges

and  $t$  faces where  $t = r^2 + s^2$ . Each  $\{4, 4\}_{r,s}$  will give us a normal subgroup  $N$  with index  $\mu = 4(r^2 + s^2)$  in  $H(\sqrt{2})$ , since  $|\text{Aut } M| = 4(r^2 + s^2)$ . Hence we have

**Theorem 3.**  $H(\sqrt{2})$  has infinitely many normal subgroups of genus 1.

Now  $\mu = 4(r^2 + s^2) = 4t$  implying that  $t = r^2 + s^2$ .

Let  $\mu$  be given (equivalently  $t$  be given). We want to find the number  $N_4(\mu)$  of normal subgroups of  $H(\sqrt{2})$  with  $g = 1$  and index  $\mu$ .

The number of solutions of  $t = r^2 + s^2$  is always divisible by 4. This is because all the pairs  $(r, s)$ ,  $(-r, -s)$ ,  $(-r, s)$  and  $(r, -s)$  give the same  $t$ . Therefore we have

**Theorem 4.** The number of normal subgroups of genus 1 with a given index  $\mu = 4t$  in  $H(\sqrt{2})$  is

$$N_4(\mu) = 1/4 \cdot \{(r, s) \in \mathbb{Z}^2 \mid r^2 + s^2 = t\}.$$

Rosenberger & Kern-Isberner proved this result using the multiplicativity of  $N_4(\mu)$ . The first few values of  $N_4(\mu)$  are as follows:

$\mu$	4	8	12	16	20	24	28	32	36	40
$N_4(\mu)$	1	1	0	1	2	0	0	1	1	2

### 5. NORMAL SUBGROUPS OF GENUS $g \geq 2$ AND REGULAR MAPS

We have already seen that for each  $g \geq 2$ ,  $H(\sqrt{2})$  has only finitely many normal subgroups with genus  $g$ . Therefore corresponding regular maps will also be finitely many. Those with genus  $2 \leq g \leq 7$  are given in [2], [3] and [4].

Note that since  $q = 4$ , the only non-degenerate regular maps, we can have, are those of type  $\{2, n\}$  or  $\{4, n\}$ . The former ones will correspond to  $W_n(\sqrt{2})$  and having  $g = 0$ , will be regular  $n$ -gons on the sphere. Here we shall be interested in the latter type. Hence all regular maps will have type  $\{4, 4\}$ . We will denote the corresponding normal subgroup by  $[4, n]$ . Here  $n$  is the level of the subgroup.

### 6. PRINCIPAL CONGRUENCE SUBGROUPS OF $H(\sqrt{2})$

An important class of normal subgroups in  $H(\sqrt{2})$  are the principal congruence subgroups. For modular group  $\Gamma$ , these are the groups

$$\Gamma(n) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma : \begin{bmatrix} a & b \\ c & d \end{bmatrix} \equiv \mp \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \pmod{n} \right\}.$$

Let now  $p$  be prime. The principal congruence subgroup  $\Gamma_p(\sqrt{2})$  of level  $p$  is defined by

$$\left\{ M = \begin{bmatrix} a & b\sqrt{2} \\ c\sqrt{2} & d \end{bmatrix} \in H(\sqrt{2}) : M \equiv \mp I \pmod{p} \right\}.$$

Note that by the definition

$$\Gamma_p(\sqrt{2}) \triangleleft H_e(\sqrt{2}).$$

If 2 is a square mod  $p$  (i.e.  $p \equiv \pm 1 \pmod{8}$ ), then  $\sqrt{2} \in \text{GF}(p)$ . Otherwise  $\sqrt{2}$  lies in  $\text{GF}(p^2)$  (quadratic extension of  $\text{GF}(p)$ ). Then we have finite groups  $H_p(\sqrt{2}) \leq \text{PSL}(2, p)$  or  $\text{PSL}(2, p^2)$ , and a homomorphism

$$\theta: H(\sqrt{2}) \longrightarrow H_p(\sqrt{2}).$$

Let  $K_p(\sqrt{2}) = \text{Ker } \theta$ . Obviously

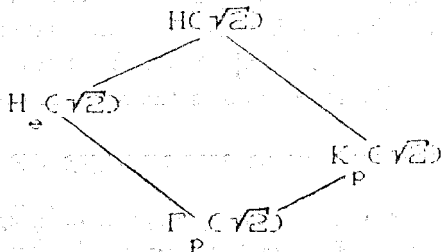
$$\Gamma_p(\sqrt{2}) \trianglelefteq K_p(\sqrt{2}).$$

It is not always the case that  $K_p(\sqrt{2}) = \Gamma_p(\sqrt{2})$ , e.g. if  $p = 7$ , then 2 is a square modulo 7. We know that  $\Gamma_7(\sqrt{2}) \trianglelefteq H_e(\sqrt{2})$ . Now the odd element

$$M = \begin{bmatrix} 5\sqrt{2} & 7 \\ 7 & 5\sqrt{2} \end{bmatrix} \in K_7(\sqrt{2})$$

as  $\sqrt{2} = 3$  in  $\text{GF}(7)$ , and therefore  $K_7(\sqrt{2})$  contains an element which is not in  $\Gamma_7(\sqrt{2})$ . That is, the two congruence subgroups do not coincide for  $p = 7$ . Since  $M \equiv I \pmod{7}$ ,  $\Gamma_7(\sqrt{2})$  is a normal subgroup of  $K_7(\sqrt{2})$  with index 2.

In general if 2 is a square mod  $p$ , we have



By [7], we find

$$H(\sqrt{2})/K_p(\sqrt{2}) \cong \text{PSL}(2, p)$$

and therefore

$$H(\sqrt{2})/\Gamma_p(\sqrt{2}) \cong C_2 \times \text{PSL}(2, p).$$

If 2 is not a square mod  $p$ , then  $K_p(\sqrt{2}) = \Gamma_p(\sqrt{2})$  and we have

$$\begin{array}{c} H(\sqrt{2}) \\ | \\ \text{He}(\sqrt{2}) \\ | \\ K_p(\sqrt{2}) = \Gamma_p(\sqrt{2}). \end{array}$$

Therefore by [7],

$$H(\sqrt{2})/\Gamma_p(\sqrt{2}) \cong \text{PGL}(2, p).$$

**Theorem 5.**  $H(\sqrt{2})/K_p(\sqrt{2}) \cong \begin{cases} \text{PSL}(2, p) & \text{if } p \equiv \pm 1 \pmod{8}, \\ \text{PGL}(2, p) & \text{if } p \equiv \pm 3 \pmod{8}, \\ C_2 & \text{if } p = 2, \end{cases}$

$$H(\sqrt{2})/\Gamma_p(\sqrt{2}) \cong \begin{cases} C_2 \times \text{PSL}(2, p) & \text{if } p \equiv \pm 1 \pmod{8}, \\ \text{PGL}(2, p) & \text{if } p \equiv \pm 3 \pmod{8}, \\ D_4 & \text{if } p = 2. \end{cases}$$

Therefore if  $p$  is an odd prime, then both congruence subgroups are free, while for  $p = 2$ ,  $K_2(\sqrt{2}) = H_e(\sqrt{2})$  and  $\Gamma_2(\sqrt{2}) = W_4(\sqrt{2})$ .

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