

ON THE ROTATION MATRICES IN THE SEMI-EUCLIDEAN SPACE

BAHADDIN BUKCU

ABSTRACT. Chong and Andrews studied on the rotations matrix in $E^3[2]$. In this study, Cayley formula in E_1^3 is obtained from a semi skew-symmetric matrix, generalizing Andrews' result with some changes. Moreover, some results about Cayley formula are given.

1. INTRODUCTION

In E_1^3 , let $R(s, \theta)$ denote a right handed Lorentzian rotation about a unit space-like vector s through an angle θ . Note that a left Lorentzian rotation can be expressed as a right handed Lorentzian rotation about $-s$ and that

$$R^{-1}(s, \theta) = R(-s, \theta).$$

If $r \rightarrow r'$ is under a rotation, we may write

$$R(s, \theta)r = r' = Ar.$$

where A is a real 3×3 semi-orthogonal matrix which will be proved to be proper semi-orthogonal (i.e., $A^{-1} = \epsilon A^T \epsilon$, $\det A = 1$ and $\epsilon = \text{dia}(-1, 1, 1)[4]$). Besides, it will also be proved that every real 3×3 proper semi-orthogonal matrix represents a Lorentzian rotation.

The Lorentzian or Minkowski 3-space E_1^3 is the Euclidean 3-space provided with the Lorentzian inner product

$$\langle \vec{x}, \vec{y} \rangle := -x_1y_1 + x_2y_2 + x_3y_3$$

and Lorentzian vector product

$$\vec{x} \wedge \vec{y} := (x_3y_2 - x_2y_3, x_3y_1 - x_1y_3, x_1y_2 - x_2y_1)$$

where $\vec{x} = (x_1, x_2, x_3)$ and $\vec{y} = (y_1, y_2, y_3)$.

Received by the editors Dec 16, 2005, Accepted: March. 02, 2006.

1991 *Mathematics Subject Classification.* 51B20, 53B30, 53B50.

Key words and phrases. Cayley formula, semi-orthogonal matrix, semi-rotation matrix, time-like vector.

An arbitrary vector $\vec{x} = (x_1, x_2, x_3)$ in E_1^3 can have one of three Lorentzian causal characters: it is spacelike if $\langle \vec{x}, \vec{x} \rangle > 0$ or $\vec{x} = 0$, timelike if $\langle \vec{x}, \vec{x} \rangle < 0$ and null (light-like) if $\langle \vec{x}, \vec{x} \rangle = 0$. Recall that the pseudo-norm of an arbitrary vector $\vec{x} \in E_1^3$ is given by $\|\vec{x}\| = \sqrt{|\langle \vec{x}, \vec{x} \rangle|}$.

Let us take the plane through O perpendicular to s as the Lorentz plane for timelike vector ξ . We shall use ξ to denote a vector in E_1^3 and allow operations on it by matrices such as A , as well. For each unit vector s , we define the associated semi skew-symmetric matrix

$$S = \begin{bmatrix} 0 & c & -b \\ c & 0 & -a \\ -b & a & 0 \end{bmatrix} \leftrightarrow s = (a, b, c)$$

($S^T = -\epsilon S \epsilon$, matrix $\epsilon = \text{dia}(-1, 1, 1)$ [4]) so that s and S determine each other uniquely [1].

Also observe that $Sr = s \wedge r$, $S\vec{s} = 0$ and $s \wedge \xi = S\xi$. Here notation " \wedge " represents vectorial product in Lorentzian E_1^3 and $s \wedge \xi$ is perpendicular to both s and ξ [1].

Lemma 1.1. *Let λ be an eigenvalue of a matrix A such that*

$$Au = \lambda u, u \neq 0.$$

Then

$$A^2u = \lambda Au = \lambda^2u$$

and

$$A^3u = \lambda^3u, \text{ etc.}$$

It follows that if f is a polynomial function, $f(A)u = f(\lambda)u$, i.e., $f(\lambda)$ is an eigenvalue of $f(A)$ [3].

Lemma 1.2. *Let $S_{3 \times 3}$ be a real skew-symmetric matrix. Then,*

a) If $S \leftrightarrow \vec{s}$ is timelike vector, the eigenvalues of matrix S all lie on the imaginary axis [1].

b) If $S \leftrightarrow \vec{s}$ is spacelike vector, the eigenvalues of matrix S all lie on the real axis [1].

2. QUADRATIC REPRESENTATIONS

Theorem 2.1. *In E_1^3 , let $R(\vec{s}, \theta)$ denote a right handed Lorentzian rotation about a unit spacelike vector s through an angle θ . Then,*

$$R(\vec{s}, \theta) = Ar,$$

where $A = S^0 + S(\sinh \theta) - S^2(1 - \cosh \theta) = f(s)$ say; also A is a proper semi-orthogonal matrix.

Proof. An arbitrary vector in E_1^3 is expressible as $\vec{r} = k\vec{s} + \vec{\xi}$, $k \in IR$. Let s be a spacelike axis (vector) and ξ be a timelike vector. Let us find the semi-orthogonal matrix to be obtained by rotating the vector ξ which is perpendicular to the s axis through an angle θ .

(i) Let r be in E_1^2 . At the Lorentz plane, if ξ rotates about a unit spacelike vector s through an angle θ , we get

$$R(s, \theta)\xi = (\cosh \theta)\xi + \sinh \theta(s \wedge \xi)$$

$$R(s, \theta)\xi = (\cosh \theta)\xi + \sinh \theta(S\xi)$$

$$R(s, \theta)\xi = (\cosh \theta)\xi + \sinh \theta(Sr).$$

(ii) Let r be in E_1^3 .

$$\begin{aligned} s \wedge (s \wedge \xi) &= (s, s)\xi - \langle s, \xi \rangle s \\ &= 1 \cdot \xi - 0 \cdot s \\ &= \xi \end{aligned} \tag{2.1}$$

or

$$S^2\xi = \xi.$$

$r = ks + \xi$, (r is the starting position vector)

$$s \wedge \xi = S\xi$$

$$s \wedge r = Sr$$

$$s \wedge r = S(ks + \xi)$$

$$s \wedge r = k(Ss) + S\xi$$

$$s \wedge r = S\xi.$$

(2.2)

In the Lorentz space, if ξ rotates about a unit spacelike vector s through an angle θ , by using (2.1) and (2.2) we get

$$\begin{aligned} R(s, \theta)r &= R(s, \theta)(ks + \xi) \\ &= R(s, \theta)(ks) + R(s, \theta)\xi \\ &= ks + R(s, \theta)\xi \\ &= (r - \xi) + [(\cosh \theta)\xi + \sinh \theta(Sr)] \\ &= r - (1 - \cosh \theta)\xi + \sinh \theta(Sr) \\ &= r - (1 - \cosh \theta)S^2\xi + \sinh \theta(Sr) \\ &= r - (1 - \cosh \theta)S^2r + \sinh \theta(Sr) \\ &= [I - (1 - \cosh \theta)S^2 + (\sinh \theta)S] r \\ &= [S^0 + (\sinh \theta)S^1 - (1 - \cosh \theta)S^2] r \\ &= Ar \end{aligned}$$

as required and

$$A = S^0 + (\sinh \theta)S^1 - (1 - \cosh \theta)S^2 = f(S).$$

It remains to show that A is proper semi-orthogonal (i.e., $A^{-1} = \epsilon A^T \epsilon$ and $\det A = +1$)

$$\begin{aligned}
A^T &= S^0 + (\sinh \theta)S^T - (1 - \cosh \theta)(S^2)^T \\
\epsilon A^T \epsilon &= \epsilon [S^0 + (\sinh \theta)S^T - (1 - \cosh \theta)(S^2)^T] \epsilon \\
&= \epsilon S^0 \epsilon + \epsilon (\sinh \theta)S^T \epsilon - \epsilon (1 - \cosh \theta)(S^2)^T \epsilon \\
&= \epsilon^2 S^0 + (\sinh \theta)\epsilon S^T \epsilon - (1 - \cosh \theta)\epsilon (S^T S^T) \epsilon \\
&= S^0 - (\sinh \theta)S - (1 - \cosh \theta)\epsilon S^T I_3 S^T \epsilon \\
&= S^0 - (\sinh \theta)S - (1 - \cosh \theta)\epsilon S^T \epsilon^2 S^T \epsilon \\
&= S^0 - (\sinh \theta)S - (1 - \cosh \theta)(\epsilon S^T \epsilon)(\epsilon S^T \epsilon) \\
&= S^0 - (\sinh \theta)S - (1 - \cosh \theta)(-S)(-S) \\
&= S^0 - (\sinh \theta)S - (1 - \cosh \theta)S^2 \\
&= f(-S),
\end{aligned}$$

$$A^{-1} = f(-S).$$

Hence,

$$A^{-1} = f(-S) = R(-s, \theta) = R^{-1}(s, \theta).$$

Therefore, A is semi-orthogonal. (This also follows from the invariance of $\|\mathbf{r}\|$).

Also the eigenvalues of the $S \leftrightarrow s$ (spacelike) is $0, 1, -1$ so from Lemma 1.2 we see that the eigenvalues of $f(S)$, i.e., of A , are i.e., $f(0), f(1), f(-1)$, i.e.,

$$f(0) = 1 + (\sinh \theta).0 - (1 - \cosh \theta).0^2 = 1$$

$$f(1) = 1 + (\sinh \theta).1 - (1 - \cosh \theta).1^2 = e^\theta$$

$$f(-1) = 1 + (\sinh \theta).(-1) - (1 - \cosh \theta).(-1)^2 = e^{-\theta}.$$

The product of these gives $\det A = +1$ i.e., $\det A = 1.e^\theta e^{-\theta} = 1$. Hence A is a proper semi-orthogonal matrix. \square

3. CAYLEY MAPPING

Theorem 3.1. *If T is a real semi skew-symmetric 3×3 matrix then the matrix*

$$A = (I - T)^{-1}(I + T)$$

represents a Lorentzian rotation and is a proper semi-orthogonal (This is called the Cayley mapping of T).

For $T = \begin{bmatrix} 0 & c & -b \\ c & 0 & -a \\ -b & a & 0 \end{bmatrix} \leftrightarrow$ spacelike vector $t = (a, b, c)$, we shall show that

A represents the rotation $R(\hat{t}, \theta)$, and t represents spacelike vector, where $\|t\| = \sqrt{|-a^2 + b^2 + c^2|}$ and $\hat{t} = \frac{t}{\|t\|}$.

Proof. The characteristic equation of T is $\lambda^3 - t^2\lambda = 0$, and so from the Cayley-Hamilton theorem, $T^3 = t^2T$. Since t is a spacelike vector, $\det(I - T)$ is always different from zero. Now $(I - T)^{-1}$ is expressible in the form of $(I - T)^{-1} = I + aT + bT^2$ if and only if

$$(I - T)^{-1}(I - T) = I + (a - bt^2 - 1)T + (b - a)T^2,$$

i.e., if and only if $a - bt^2 = 1$ and $a - b = 0$, i.e., $a = b = \frac{1}{1-t^2}$. So

$$(I - T)^{-1} = I + \frac{1}{1-t^2}T + \frac{1}{1-t^2}T^2$$

and

$$A = (I - T)^{-1}(I + T).$$

Then we get

$$\begin{aligned} A &= \left(I + \frac{1}{1-t^2}T + \frac{1}{1-t^2}T^2 \right) (I + T) \\ &= I + \frac{2}{1-t^2}T + \frac{2}{1-t^2}T^2 \end{aligned}$$

Let $\hat{T} = \frac{T}{t}$, then

$$A = I + \left(\frac{2t}{1-t^2} \right) \hat{T} + \left(\frac{2t^2}{1-t^2} \right) \hat{T}^2$$

$$\begin{aligned} A &= I + (\sinh \theta) \hat{T} - (1 - \cosh \theta) \hat{T}^2 \\ &= f(\hat{T}). \end{aligned}$$

□

It follows from Theorem 2.1 that A represents $R(\vec{s}, \theta)$, and is proper semi-orthogonal. The case $\theta = 0$ corresponds to the $R = I$ but $T \neq 0$ since $\|\hat{t}\| = 1$.

Theorem 3.2. *If $A_{3 \times 3}$ is a real proper semi-orthogonal matrix and $T = (A - I)(A + I)^{-1}$ then T is semi skew-symmetric and its Cayley mapping is A .*

Proof. From the definition of T we have

$$\begin{aligned} (A + I)T &= (A + I)(A - I)(A + I)^{-1} \\ &= (A - I)(A + I)(A + I)^{-1} \\ &= A - I \end{aligned}$$

Hence

$$T^T(A^T + I) = (A^T - I)$$

and

$$\begin{aligned}
 T^T(A^T + I)(\epsilon A\epsilon) &= (A^T - I)(\epsilon A\epsilon) \\
 T^T(A^T\epsilon A\epsilon + \epsilon A\epsilon) &= A^T(\epsilon A\epsilon) - \epsilon A\epsilon \\
 T^T(\epsilon\epsilon A^T\epsilon A\epsilon + \epsilon A\epsilon) &= \epsilon\epsilon A^T(\epsilon A\epsilon) - \epsilon A\epsilon \\
 T^T[\epsilon(\epsilon A^T\epsilon)A\epsilon + \epsilon A\epsilon] &= \epsilon(\epsilon A^T\epsilon)A\epsilon - \epsilon A\epsilon \\
 T^T(\epsilon A^{-1}A\epsilon + \epsilon A\epsilon) &= \epsilon A^{-1}A\epsilon - \epsilon A\epsilon \\
 T^T(\epsilon I\epsilon + \epsilon A\epsilon) &= \epsilon I\epsilon - \epsilon A\epsilon \\
 T^T(I + \epsilon A\epsilon) &= -\epsilon A\epsilon.
 \end{aligned}$$

Since A is proper semi-orthogonal and $(I + A)$ is regular, there exists $(I + \epsilon A\epsilon)^{-1}$ where

$$\begin{aligned}
 \det[I + \epsilon A\epsilon]^{-1} &= \det[\epsilon\epsilon + \epsilon A\epsilon]^{-1} \\
 &= \det[\epsilon(I + A)\epsilon]^{-1} \\
 &= \det(I + A)^{-1}.
 \end{aligned}$$

So

$$\begin{aligned}
 T^T(I + \epsilon A\epsilon)(I + \epsilon A\epsilon)^{-1} &= (I - \epsilon A\epsilon)(I + \epsilon A\epsilon)^{-1} \\
 T^T &= (I - \epsilon A\epsilon)(I + \epsilon A\epsilon)^{-1} \\
 &= (\epsilon\epsilon - \epsilon A\epsilon)(I + \epsilon A\epsilon)^{-1} \\
 &= \epsilon(I - A)\epsilon\epsilon^{-1}(I + A)^{-1}\epsilon \\
 &= \epsilon(I - A)I(I + A)^{-1}\epsilon \\
 &= -\epsilon[(A - I)(A + I)^{-1}]\epsilon \\
 &= -\epsilon T\epsilon,
 \end{aligned}$$

that is T is semi skew-symmetric. Also from the definition

$$\begin{aligned}
 T(A + I) &= (A - I)(A + I)^{-1}(A + I) \\
 T(A + I) &= A - I \\
 TA + T &= A - I \\
 (I - T)A &= I + T \\
 A &= (I - T)^{-1}(I + T)
 \end{aligned}$$

and this is the Cayley mapping of T . □

Corollary 1. a) $A - A^{-1} = (2 \sinh \theta)S$

b) $\text{Trace}A = 1 + 2\cosh\theta$ (which is the sum of the eigenvalues of A)

ÖZET: Chong ve Andrews E^3 ' de dönme matrisleri üzerine çalışmışlardır[2]. Bu çalışmada, E_1^3 de Cayley formülü, Andrews' un sonuçlarında bazı değişiklikler yapılarak genelleştirilip, yarı- antisimetrik bir

matristen elde ediliyor. Ayrıca Cayley formülü üzerine bazı sonuçlar veriliyor.

REFERENCES

- [1] Bükçü, B., Cayley Formula and its Applications in E_1^3 , Ankara University Graduate School and The Natural Science, Ph.D.Thesis, (2003).
- [2] Chong, F. & Andrews, R.J., Rotation Matrices, Australian Mathematical Society Gazette, Volume 26, Number 3 (2000),108-119.
- [3] Gantmacher, F.R.,The Theory of Matrices, 1959, First Edition, Vol.1, New York: Chealsea.
- [4] O'Neill, B., Semi-Riemannian Geometry, 1983, New York: Academic Press.

Current address: Department of Mathematics, Faculty of Science and Arts, Gaziosmanpaşa University, 60200-Tokat, TURKEY

E-mail address: anyone@science.ankara.edu.tr

URL: bbukcu@gop.edu.tr