

Review Article

THE PATHOGENESIS OF HEPATIC ENCEPHALOPATHY

(Received 23 May, 1997)

C. Yurdayın M.D.*

* Professor, Sub-department of Gastroenterology, Department of Internal Medicine, Faculty of Medicine, Ankara University, Ankara, Turkey.

A careful reader of manuscripts on the pathogenesis of hepatic encephalopathy (HE) will notice that most of them state in their introduction that its pathogenesis is "poorly understood". This may indeed be the case but progress has been made, and this review will try to provide some new developments in the field as well as recapitulate the classic knowledge on the pathogenesis of HE.

The modern pathophysiological concept of HE comes from the landmark paper by Dame Sheila in the 50's (1). Accordingly, under normal conditions 'neuroactive' nitrogenous substances derived from the intestine would be efficiently extracted and metabolized by the liver whereas in liver failure, these substances would tend to bypass the liver, as a consequence of impaired extraction and/or portal-systemic collateral venous channels, and accumulate in peripheral blood plasma (Fig. 1). However, credit must be given to William Shakespeare (1564-1616) who in the "Twelfth Night" quite nicely describes the same concept in the following words by one of the characters in the play: "I am a great eater of beef, and I believe that this harms to my wit."

The classical hypotheses of the pathogenesis of HE are listed below:

1. Ammonia Hypothesis
2. Synergistic Neurotoxin Hypothesis and Serotonin
3. False Neurotransmitter Hypothesis
4. Gamma aminobutyric acid (GABA) Hypothesis
5. A Hypothesis implicating Glutamate

1. Ammonia Hypothesis:

Ammonia very much follows the route which Dame Sheila had suggested (1). It is a gut derived neurotoxin. It is metabolized and detoxified by the liver. In serious liver disease with portacaval shunting it escapes degradation in the liver to urea and glutamine, and accumulates in the systemic

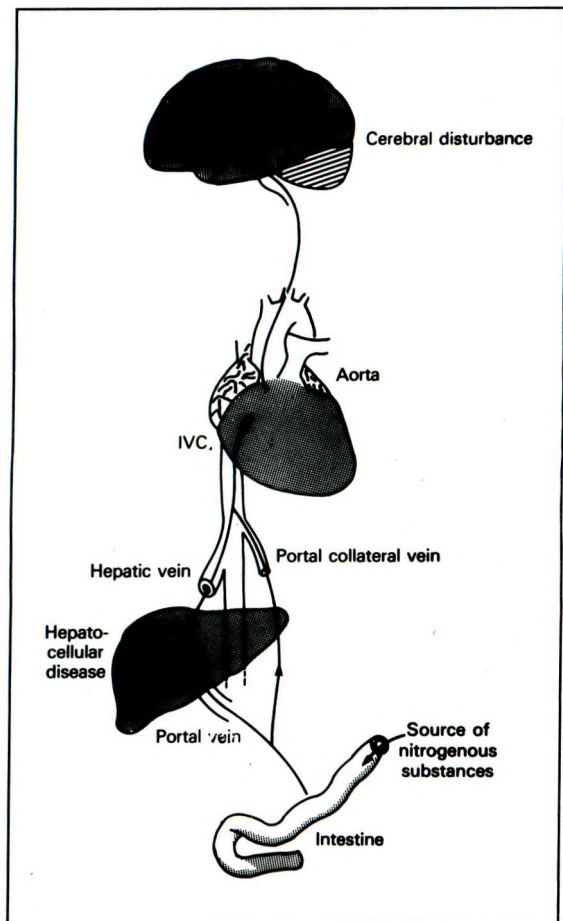


Fig. 1: Diagrammatic representation of the traditional concept of the development of hepatic encephalopathy in a patient with advanced liver disease. Under normal physiological conditions nitrogenous substances arising from the gut reach the liver through the portal venous system and are detoxified. In a patient with serious liver disease nitrogenous substances may be inefficiently extracted by the liver. In addition, these substances may bypass the liver through portosystemic collaterals. Consequently, toxic nitrogenous substances accumulate in the systemic circulation and may enter the central nervous system where they may modulate brain function.

circulation. As a non-polar substance, it readily crosses the blood-brain barrier and enters the central nervous system.

What is the effect of ammonia at the neuronal level? Ammonia impairs postsynaptic neural inhibition (2). At the inhibitory postsynaptic neuron, normally gamma aminobutyric acid (GABA) would lead to the opening of the chloride channel (Fig. 2). Since there is a gradient between extracellular and intracellular Cl^- , the extracellular Cl^- concentration being higher, Cl^- influx into the postsynaptic neuron occurs, intracellular negative charges increase and hyperpolarisation is produced, the basis of GABAergic inhibitory neurotransmission. When GABA's action on the postsynaptic receptor is terminated chloride channels close. Excess Cl^- in the postsynaptic neuron is removed towards the extracellular space through a transport system. Ammonia inactivates the Cl^- extrusion. As a consequence, the opening of Cl^- channels by GABA no longer causes an influx of Cl^- into the neuron hence impairing postsynaptic neural inhibition (2).

Ammonia also affects excitatory neurotransmission by blocking the conduction of action potentials into the presynaptic terminals. However, excitatory neurotransmission may only be affected by ammonia intoxication when central nervous system tissue levels of ammonia exceed those necessary to affect postsynaptic inhibition (2).

What makes the ammonia hypothesis attractive? Ammonia, as mentioned above, accumulates in liver failure and its uptake by the brain is increased. It causes encephalopathy (3). Therapies leading to reduced intestinal absorption of ammonia ameliorate HE (3). Ammonia also contributes to the accumulation in the brain of other substances implicated in the pathogenesis of HE such as tryptophan (4) and aromatic amino acids (5).

However, ammonia is unlikely to be the only answer for a couple of reasons, some of which are listed below. Plasma ammonia levels correlate poorly with the stages of HE (6). Experimental hyperammonemia is characterized by a preconvulsive state and coma

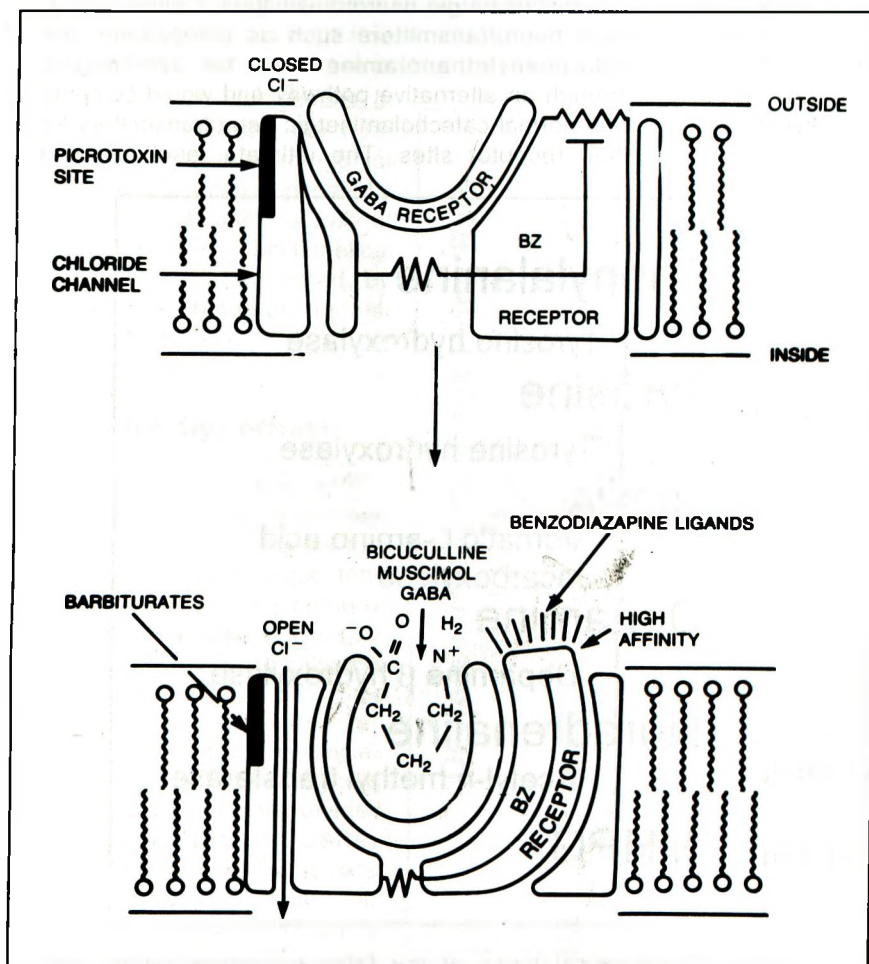


Fig.2:

Diagrammatic representation of the GABA_A / benzodiazepine receptor/chloride ionophore complex of a postsynaptic neuron. Receptors are depicted for GABA, picrotoxin (the barbiturate binding site) and benzodiazepines. (A) The receptor complex in the unactivated state with the Cl^- channel closed. (B) The receptor complex in the activated state with the Cl^- channel open. Activation is induced by GABA or GABA agonists binding to GABA receptors or barbiturates or benzodiazepine agonists interacting respectively with their specific receptors in the presence of GABA with resultant opening of the chloride channel. Cl^- moves from the synaptic cleft across the cell membrane to the cytoplasm and leads to transformation of the cell membrane from a depolarized state to a hyperpolarized state with resultant mediation of inhibitory neurotransmission (From Paul SM, et al: *Biol Psychiatr* 1981; 16:213-229).

occurs only after seizure activity. Seizures are common in the congenital hyperammonemia syndromes but are unusual in HE (6).

Very recent data suggest that ammonia at concentrations that have minimal effects on neuronal resting potentials or polarization *in vivo* and that commonly occur in patients with HE, contributes to the manifestations of HE by directly potentiating inhibitory GABAergic neurotransmission and synergistically augmenting the actions of endogenous benzodiazepine receptor agonists (7-9).

2. Synergistic Neurotoxin Hypothesis

This hypothesis implicates methionine derived mercaptans, such as methanethiol and dimethyl disulfide, phenolic compounds and fatty acids in conjunction with ammonia in the pathogenesis of HE. Mercaptans are derived from methionine by enteric bacterial metabolism and phenolic compounds arise through the catabolism of aromatic amino acids such as tyrosine and phenylalanine. It was postulated that the combination of these substances at subencephalopathic levels could induce coma as a consequence of their synergistic effects on the central nervous system. For a detailed description of this hypothesis the reader is referred to a review on this subject by a leading proponent of this hypothesis (10).

More recent studies have questioned the role of mercaptans using more refined methodology (11, 12). Visual evoked potential changes obtained with synergistic neurotoxins were also found to be not consistent with those obtained in HE (13, 14).

3. False Neurotransmitter Hypothesis and Serotonin

This hypothesis is based on the accumulation during HE of aromatic amino acids in the brain. In liver failure, the ratio of branched chain amino acids (BCAA) to those of aromatic amino acids (AAA) decreases. BCAA's and AAA's compete for a common transport carrier at the blood brain barrier. The decreased BCAA/AAA ratio together with the increased efflux of glutamine from the brain as a result of increased cerebral ammonia metabolism are thought to be responsible for the accumulation of AAA's such as phenylalanine, tyrosine and tryptophan (5). Tyrosine at high concentrations may inhibit tyrosine hydroxylase, the key enzyme for the synthesis of dopamine and noradrenaline (Fig. 3) with resultant decrease of the synthesis of catecholaminergic neurotransmitters. Consequently, false neurotransmitters such as octopamine and beta-phenylethanolamine may be synthesized through an alternative pathway and would compete with normal catecholaminergic neurotransmitters for their receptor sites. The ultimate result of such

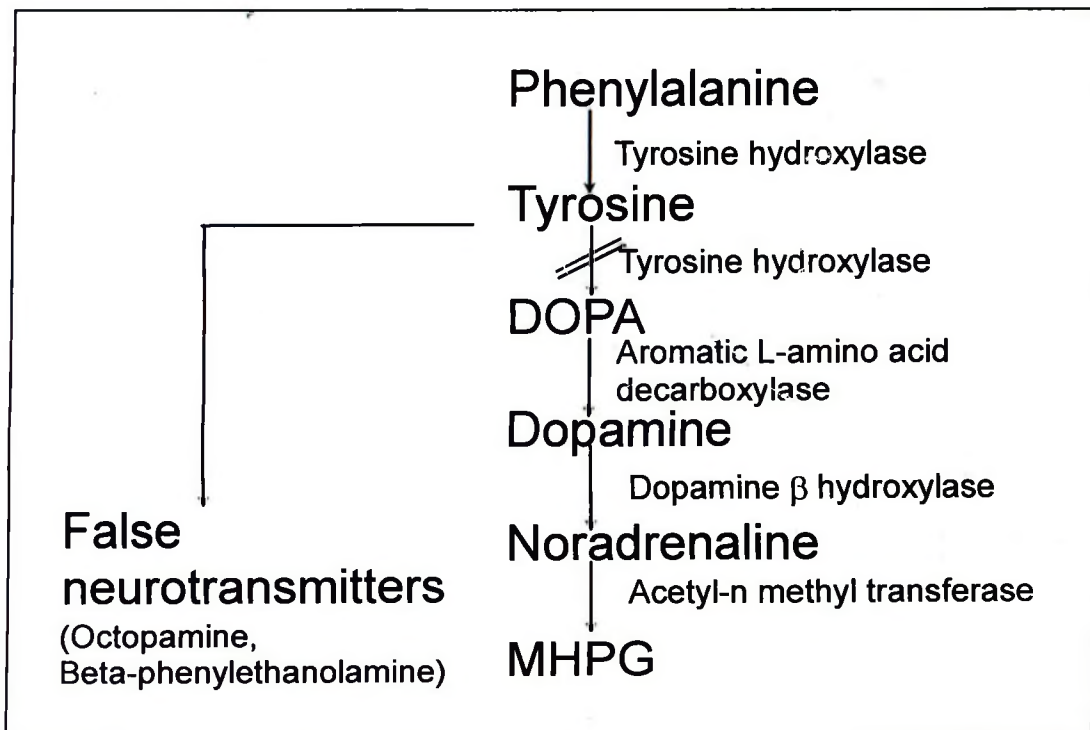


Fig.3: Schematic representation of the biochemical basis of the false neurotransmitter hypothesis MHPG (3- Methoxy - 4- Hydroxi Phenyl/Glycol)

changes was postulated to be decreased noradrenergic and dopaminergic neurotransmission contributing to the neural inhibition in HE (15).

Although theoretically an attractive hypothesis it remains unproven. For example, intraventricular administration of octopamine caused no obvious change in consciousness (16). Furthermore, therapies of HE based on the false neurotransmitter hypothesis such as the use of branched chain amino acids (17), L-dopa and bromocriptine (18-20) have not convincingly shown a beneficial effect.

One of the best studies neurotransmitters in the pathogenesis of HE is serotonin. It is synthesized from the aromatic amino acids tryptophan in two steps. It has been consistently shown that HE is associated with increased brain levels of the serotonin metabolite 5-hydroxyindolacetic acid (5-HIAA) and normal or slightly increased serotonin concentrations (21). 5-Hydroxytryptophan is also increased suggesting increased serotonin turnover in HE (22). However, enhanced serotonin metabolism may be without changes in serotonin release into the synaptic cleft (23, 24). Furthermore, in studies using *in vivo* brain dialysis methodology, serotonin levels in extracellular space were found not to be increased (25). A simple approach to circumvent these problems could be the use of serotonin antagonists. In a preliminary study, the non-selective serotonin antagonist methysergide dose dependently increased ambulatory activity of rats with HE (26). This beneficial effect may be mediated by serotonin_{1A} receptor agonist ligand activity (27).

4. GABA hypothesis

The GABA hypothesis of the pathogenesis of HE, developed in the early 80's, suggests that an increased GABAergic tone contributes to at least some of the manifestations of HE (28-30). The GABA/benzodiazepine receptor (BZR)/chloride ionophore complex is an oligomeric glycoprotein complex that has been pharmacologically and biochemically subdivided into three components: GABA_A receptors, central BZRs and chloride ionophores. These units are allosterically linked to form a "supramolecular" complex (Fig. 2). Binding of GABA to the

GABA_A receptor increases neuronal membrane permeability to Cl⁻ by opening the Cl⁻ ionophore. Cl⁻ entering the neuron cause membrane hyperpolarization. This prevents neuronal membrane depolarization in response to other synaptic events and hence causes neural inhibition. This phenomenon is the basis of GABAergic inhibitory neurotransmission. BZR agonists (e.g. diazepam) increase the frequency of GABA gated Cl⁻ channel openings.

Theoretically, an increased GABAergic tone could be due to non-humoral factors such as changes in the status of the chloride ionophore or the GABA/BZR or to humoral factors (30). Available evidence is against an involvement of non-humoral factors but humoral factors could play a role. Two types of humoral factors, GABA receptor and/or BZR agonists, may be involved (29,31, 32).

There is *in vitro* and *in vivo* evidence supporting the GABA hypothesis. For example, Basile et al (33) have shown that the spontaneous activity of Purkinje neurons from rabbits with HE was 3-5 times more sensitive to depression by the GABA mimetic muscimol and the benzodiazepine agonist flunitrazepam than neurons from control animals (Fig. 4). The visual evoked response changes in animal

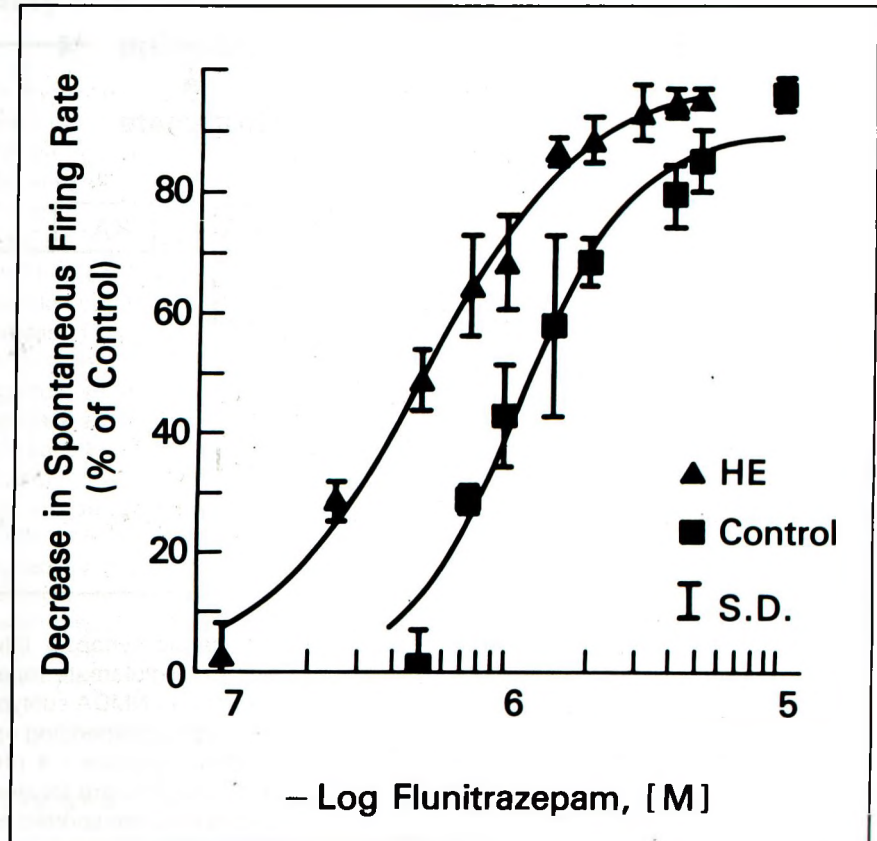


Fig. 4: Hypersensitivity of Purkinje neurons from rabbits with HE compared to normal rabbits to depression by flunitrazepam

models of HE are similar to those associated with encephalopathies induced by drugs which increase GABAergic tone (34). BZR ligands are increased in animal models of HE and human HE and correlate with the stages of HE (35, 36). Another support for the GABA hypothesis comes from human studies with the BZR antagonist where flumazenil was partly beneficial (37-39).

What are the problems with the GABA hypothesis? First, evidence for increased BZR ligand levels have not been found in all studies (40, 41). Brain levels of benzodiazepines may not be sufficient to account for manifestations of HE. Origin of BZR ligands is still not known although indirect evidence for the synthesis of BZR ligands in the brain from BZR ligand precursors has been provided (42). Finally, despite intensive research efforts most of the BZR ligands are still unknown.

5. A HYPOTHESIS IMPLICATING GLUTAMATE:

There is a recent renewed interest to the potential role of the glutamatergic neurotransmitter system in the pathogenesis of HE. Glutamate is the principle excitatory neurotransmitter. It is synthesized in the presynaptic neuron from glutamate, stored in presynaptic vesicles and then released into the synaptic cleft (Fig. 5). Glutamate may then act on postsynaptic receptors or reuptake into the perineuronal astrocyte occurs. Here glutamate is transformed to glutamine. Hence, glutamate serves as a metabolic precursor as well as a neurotransmitter. While glutamate present in the neuronal compartment can be biologically active, glutamate in the glial compartment is not. Based on neurochemical studies in which decreased glutamate levels were found, it was suggested that an

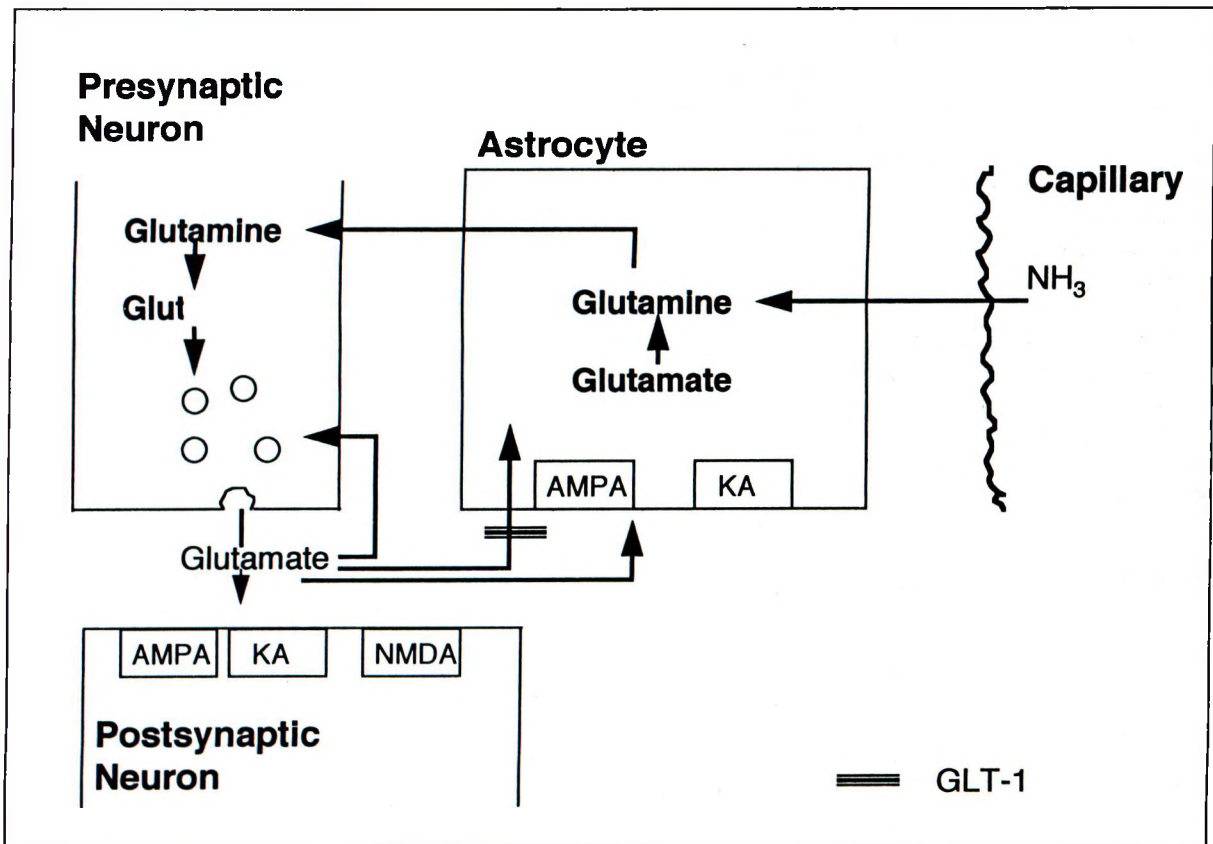


Fig. 5: Diagrammatic illustration of the glutamatergic synapse. Glutamate released from the presynaptic neuron binds to postsynaptic or astrocytic glutamate receptors. Glutamate receptors are subdivided into N-Methyl-D-Aspartate (NMDA) or non-NMDA subtypes according to their affinity for NMDA. The non-NMDA receptors are further classified depending upon their affinities to kainate and AMPA. (Alpha - amino - 3 hydroxy - 5 methylisoxazole - 4 propionic acid) While NMDA receptors are located only neuronally, non-NMDA receptors are located on neuronal as well as on astrocytic membranes. Glutamate in the synaptic pool is transported to the presynaptic neuron or astrocyte by specific transporters of which the astrocytic glutamate transporter GLT-1 is well characterized (see text).

imbalance between excitatory and inhibitory neurotransmission may be responsible for the neural inhibition of HE (43). However, those measurements were not able to differentiate between the biologically active and inactive glutamate.

Recent studies using *in vivo* brain dialysis may provide more insight. Using this methodology increased extracellular glutamate levels were found in experimental ischemic liver failure (44-46). These increased levels may be secondary to reduction in gene expression of the recently cloned and sequenced astrocytic glutamate transporter GLT-1 (47). Hence, increased glutamatergic neurotransmission may contribute to the pathogenesis of HE in acute liver failure. How this can be reconciled with the neural inhibition of HE accompanying cirrhosis is unanswered.

NEWER HYPOTHESES OF HEPATIC ENCEPHALOPATHY

1. Hepatic Encephalopathy and the Opioid System
2. Hepatic Encephalopathy and Melatonin
3. Hepatic Encephalopathy and Manganese
4. Hepatic Encephalopathy and Osmolytes

1. Hepatic Encephalopathy and the Opioid System:

Patients with liver cirrhosis are hypersensitive to neuroinhibitory effects of morphine (48). Increased levels of opioid receptor ligands have been reported in acute and chronic liver disease (49, 50). Opioid peptides interact with a wide variety of neurotransmitters (51) most of which are implicated in the pathogenesis of HE (52). This background information justifies to study a possible contribution of the opioid system to the manifestations of HE. Recent studies support such a contribution. Indeed, in a rat model of HE, changes of opioid ligands, especially β -endorphin in the hypothalamo-pituitary-adrenal axis and met-enkephalin in the striatum, have been shown to occur in HE (53). The latter is associated with down regulation of the density of delta opioid receptors, and may contribute to the motor inactivity of HE. This suggestion is supported by the opioid receptor antagonist naloxone-induced ameliorations of HE in this rat model of fulminant hepatic failure (53). Elevated met-enkephalin levels have also been observed in plasma and cerebrospinal fluid of patients with HE (54).

2. Hepatic Encephalopathy and Melatonin:

Frequent complaints of patients with cirrhosis and subclinical hepatic encephalopathy are sleep

disturbances, i.e., alterations of the sleep/wake cycle or the inability to sleep during the night. The timing of sleep is a circadian function. This circadian function is regulated by a circadian pacemaker located in the suprachiasmatic nucleus of the anterior hypothalamus, the "biological clock" (55). The hormone melatonin secreted from the pineal gland is considered to be the output signal of the "biological clock" (56). It was suggested that the sleep disturbances of cirrhotics may be secondary to an abnormality in the circadian clock.

A marked alteration of the rhythm of plasma melatonin was found in patients with cirrhosis and subclinical hepatic encephalopathy (57) supporting the hypothesis that an alteration of the circadian rhythmicity is responsible for the alteration of the sleep/wake cycle seen in cirrhosis. Neurochemical changes initiating HE may be responsible for the alterations of the circadian rhythm or alternatively a decreased hepatic clearance of melatonin with resultant high endogenous melatonin levels may by itself alter the circadian system (57). Recent data suggest the latter (58, 59). Furthermore, sleep disturbances may be a consequence of the anxiety and depression of chronic disease and not related to changes in the circadian rhythm (60).

3. Hepatic Encephalopathy and Manganese:

Hyperintense globus pallidi on T1-weighted magnetic resonance imaging is a reproducible finding observed in the majority of cirrhotic patients (Fig. 6) (61-66).



Fig.6: Representative nuclear magnetic resonance image of a patient with decompensated cirrhosis showing hyperintense signals in the globus pallidi

Substantial evidence suggests that manganese deposition is the cause of pallidal MRI signal hyperintensity (67, 68). Manganese is absorbed from the gut into the portal vein and is eliminated by the liver via biliary excretion (69). This explains why manganese accumulates in liver disease. Manganese toxicity has been studied in miners exposed to manganese dust and experimentally in primates and is known to cause extrapyramidal symptoms and basal ganglia lesions (70, 71). Intensity of pallidal signal hyperintensity was correlated with severity of liver disease, grade of encephalopathy, and plasma ammonia level. These studies revealed inconsistent results (63-65). However, a significant correlation was found between pallidal signal hyperintensity and the degree of portosystemic shunting (61) and the extrapyramidal symptoms (66). Hence, manganese may be responsible for the extrapyramidal symptoms of HE. Furthermore, since manganese intoxication in nonhuman primates results in Alzheimer type II astrocytosis (72) similar to what is observed in human HE manganese may contribute to the astrocytic changes of HE.

4. Hepatic Encephalopathy and Osmolytes

Osmolytes are osmotically active substances. If a cell is exposed to a hyperosmotic medium, osmolytes accumulate in the cell to preserve cell volume homeostasis (73). Myo-inositol and glutamine are important osmolytes in astrocytes. In recent years, proton magnetic resonance spectroscopy has been widely used for semiquantitative assessment of brain metabolites. An almost universal finding was a decrease of myo-inositol and an increase in glutamine signal in patients with HE (74). The increase in glutamine, most likely due to intraastrocytic accumulation of glutamine as a result of hyperammonemia, may have been compensated with the decrease of myo-inositol. It has been suggested that changes in the hydration state, especially cell swelling may contribute to the pathophysiology of HE, through effects of astrocyte swelling on glial nerve communications (74). Interestingly, in patients with fulminant hepatic failure the glutamine increase was not found to be associated with a compensatory myo-inositol decrease (75) which may suggest that organic osmolytes may contribute to the brain edema of acute liver failure. It has to be remembered that these studies do not cover all osmolytes in the body. In addition, the duration of the anisosmotic condition also plays a role in the accumulation of osmolytes (76).

CONCLUSION

The precise mechanism(s) of the pathogenesis of hepatic encephalopathy is still not known. Among the many factors suggested to contribute to the manifestations of HE ammonia and GABA are probably the most important ones. Hence the possible cooperative action of these two key factors to the neurobiology of HE is an interesting new venue which has to be further investigated. Nevertheless, there is enough reason to believe that other neurotransmitters such as serotonin, glutamate, opioid peptides and other substances may contribute to the broad spectrum symptomatology of HE, and that HE is a syndrome of multifactorial pathogenesis.

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