Evaluation of the effect values of risk factors by clustering method in patients who died due to COVID-19 disease

Salih Metin1, Suna Eraybar2, Hüseyin Aygün3, İlker Ercan4, Canan Demir5

1Department of Family Medicine, Bursa Provincial Health Directorate Public Hospitals Services Presidency, Bursa, Turkey; 2Department of Emergency, University of Health Sciences Turkey, Bursa City Hospital, Bursa, Turkey; 3Department of Emergency, University of Health Sciences Turkey, Bursa Yüksek İhtisas Training and Research Hospital, Bursa, Turkey; 4Department of Biostatistics, Uludağ University School of Medicine, Bursa, Turkey; 5Department of Infectious Diseases, University of Health Sciences Turkey, Bursa City Hospital, Bursa, Turkey

ABSTRACT

Objectives: The aim of this study is to determine the factors that may be associated with mortality in patients who died due to COVID-19 and to determine the effect sizes of the factors that make a statistically significant difference.

Methods: The patients who died due to COVID-19 between 01.03.2020 and 01.03.2021 in Bursa province were evaluated retrospectively. In addition to demographic information such as age, gender, nationality, existing chronic diseases of the patients, COVID-PCR test results, length of hospital stay, intensive care unit follow up times, intubation application times were recorded. The effect size of the variables on mortality were evaluated.

Results: Total of 3,510 deaths due to COVID-19 were evaluated. Of these, 2107 (60%) were male and 1403 (40%) were female. Three thousand three hundred and seventy-four (96.12%) patients are 50 years or older. In both sexes, the highest number of deaths were in the age range of 70-79. The most common comorbidities were hypertension (HT) (n = 1,182; 34.16%) and diabetes mellitus (DM) (n = 776; 22.43%). HT and DM had a strong effect value between the groups (p < 0.001 and p < 0.001, phi effect values: 0.661 and 0.681, respectively). Although there was a statistically significant difference for the age variable, it had an insignificant effect value (p = 0.008, δ = 0.074).

Conclusions: Risk factors frequently reported for COVID-19 deaths but there are no studies showing the true effect values. In this study, HT and DM had a strong effect separately, gender and coronary artery disease (CAD) variables were moderate, chronic obstructive pulmonary disease (COPD), lung cancer and other chronic disease variables had weak effect values, age and non-lung cancers had insignificant effect.

Keywords: Chronic disease, COVID-19, COVID-19 related deaths, effect value, risk factors

Coronavirus disease 2019 (COVID-19), a severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection, was first reported in December 2019 in Wuhan, Hubei Province, China, and has spread rapidly since then [1]. A global pandemic was declared after its uncontrolled spread around the world. After the rapid spread of COVID-19 infection globally, more than 505 million confirmed cumulative...
cases of coronavirus disease (COVID-19) were diagnosed and more than 6 million people died worldwide [2]. Turkey was one of the countries most affected by COVID-19; Starting with the first case in Turkey on March 11, 2020, and at the end of the last 25 months, as of April 2022, over 14.7 million cumulative confirmed cases were detected and 97,666 deaths occurred [3].

Hospitalization and death rates for COVID-19 patients differ between populations and studies. Mortality rates vary between countries between 1% and 10% [4]. A systematic study conducted in China found the mortality rate to be 3-4% [5]. According to reports from Italy and the United Kingdom, death rates range from 10% to 20% [6, 7]. The death rate in Turkey has been estimated to be around 1% [3].

Fever, cough, respiratory difficulty, muscle soreness, and exhaustion are the most common symptoms of COVID-19 infection [8]. Although the majority of COVID-19 patients recover in a short time, it can lead to fatal results in some patients [9].

The severity and mortality of the COVID-19 disease have been attributed to a variety of demographic variables and co-morbidities. Advanced age is the factor most closely associated with COVID-19 mortality [10, 11]. Other factors linked to mortality include the presence of comorbidities such as diabetes mellitus (DM), cardiovascular and chronic respiratory system diseases, hypertension (HT), obesity, kidney and liver disease, cancer, chronic obstructive pulmonary disease (COPD), asthma [12-15]. In a retrospective study of 828 confirmed cases of COVID-19 from open-access individual-level data worldwide, male, older age, hypertension, diabetes, and USA patients identified as independent risk factors for death among COVID-19 patients [16]. It is stated that neurological and chronic respiratory system diseases are also associated with COVID-19 death [17]. In several studies patients with comorbidities; has been shown that there is a relationship between the severity of the disease and the occurrence of death in those with obesity and also smoking history [18-22].

The aim of this study is to determine the factors that may be associated with mortality in patients who died due to COVID-19 and to determine the effect sizes of the factors that make a statistically significant difference.

METHODS

In this study, the patients who died due to COVID-19, which occurred between 01.03.2020 and 01.03.2021 in Bursa province, were evaluated retrospectively. Study data includes data from a total of 14 hospitals, including 2 training and research hospitals, two central state hospitals and 12 district state hospitals across Bursa. A total of 3,510 deaths were examined and 139 (3.96%) of these patients were foreign nationals. During the planning phase of the study, the Ministry of Health COVID-19 scientific studies permission and the approval of the ethics committee in our hospital were obtained (2019- KAEK-140). The medical records of patients were evaluated retrospectively from the patient files registered in the public health management system and hospital information management system. Patients with at least one positive COVID-PCR sample between March 2020 and March 2021 were considered as COVID positive.

Demographic information of patients like gender, age, nationality, existing chronic diseases of the patients, COVID-PCR test results of the patients, length of hospital stay, intensive care follow-up times, intubation application times and hospitals where they were followed were recorded. Presence of chronic disease of the patients were classified as HT, DM, COPD, malignancy (lung malignant neoplasm, extrapulmonary malignancy) and other chronic diseases. The patients' existing chronic conditions were obtained from hospital information management systems and reported using International Classification (ICD 10) codes.

Fig. 1. Hierarchical cluster analysis dendrogram.
both continuous and nominal type variables. Hierarchical clustering methods were designed as part of multivariate statistical analysis to generate homogeneous groups of cases or entities called clusters. The Gower similarity coefficient was used to calculate the similarities between the deaths caused by COVID-19. Clusters were combined with the full linkage method and a dendrogram was created showing the clustering of cases according to their similarity levels (Fig. 1). Clustan Graphics V.8.00 software was used for cluster analysis. Clusters (Cluster-1 and Cluster-2) were statistically tested to identify variables that were influential in clustering.

The conformity of the variables to the normal distribution was examined with the Shapiro Wilk test and the Kolmogorov-Smirnov test. Because the data did not show normal distribution, Mann Whitney U test was used for comparisons between the two groups. Descriptive statistics are given as median (minimum-maximum) because the data are not normally distributed and nonparametric tests are used. Pearson Chi Square test was used to compare categorical variables between groups. Categorical variables were expressed as n (%). Because the sample size was too large and the p-value was statistically significant, the effect size was calculated. In the case of non-parametric Cliff’s Delta effect size and Phi effect size for categorical data are given. Statistical analyzes were made with SPSS v22.0 program and R v3.6.3 program "effsize" package. In statistical analyzes, \( \alpha = 0.05 \) was taken as the level of significance. In the interpretation of Cliff’s Delta effect size values; \( \delta < 0.147 \) unimportant, \( \delta < 0.330 \) small, \( \delta < 0.474 \) medium, \( \delta \geq 0.474 \) intervals are taken into account. The intervals specified in Table 1 were taken into account in the interpretation of the Cliff’s Delta effect size and Phi effect size values [23].

### RESULTS

Total of 3,510 deaths due to COVID-19 were evaluated in this study. When the historical and monthly distributions of deaths were examined, it was seen that the highest values were reached in November with 32.99% (n = 1,158) cases, followed by December with 29.66% (n = 1,041) cases. The lowest mortality rates were recorded in March 2020, at 0.14% (n = 5), and in June of 1.08% (n = 38).

When the gender distribution of our study population is examined, 2,107 (60%) were male and 1,403 (40%) were female (male/female ratio 1.5). Three thousand three hundred and seventy-four (96.12%) patients are 50 years or older (Fig. 2). In both sexes, the highest number of deaths were in the age range of 70-79. In this age group, 34.08% of men (n = 718) and 33.14% of female (n = 465) died due to COVID-19. In the age range of 60-69 years for males (n = 613; 29.09%) and 80-89 years for female (n = 349; 24.88%) was the second highest number of deaths were recorded. Fig. 3 shows death distributions by age and gender.

The most common comorbidities were HT (n = 1,182; 34.16%) and DM (n = 776; 22.43%). Cancer was present in 130 patients, with 48 (1.39%) having lung cancer and 82 (2.43%) having extra-pulmonary cancer. Five hundred and sixteen (14.91%) patients did not have any concomitant chronic disease. The distributions of chronic diseases accompanying the patients are given in Fig. 4.

When Cluster-1 and Cluster-2 groups are compared, a statistically significant difference was found in terms of age and time to death after COVID-19 diagnosis (\( p < 0.008 \) and \( p < 0.001 \), respectively). The effect size was found to be insignificant between the two groups according to Cliff’s Delta. There was no statistically significant difference between the groups.

| Table 1. Interpretation of Cliff’s delta effect size and Phi effect size |
|------------------|------------------|
| Cliffs delta effect size | Interpretation |
| \( \delta < 0.147 \) | Negligible |
| \( \delta < 0.330 \) | Small |
| \( \delta < 0.474 \) | Medium |
| \( \delta \geq 0.474 \) | Large |
| Phi effect size | |
| 0.0-0.1 | Negligible association |
| 0.1-0.2 | Weak association |
| 0.2-0.4 | Moderate association |
| 0.4-0.6 | Relatively strong association |
| 0.6-0.8 | Strong association |
| 0.8-1.0 | Very strong association |

Interpretation of Cliff’s delta effect size and Phi effect size
in terms of intubation time \( (p = 0.144) \) (Table 2). When Cluster-1 and Cluster-2 groups were compared, there was a statistically significant difference in terms of gender, HT, DM, CAD, COPD, lung cancer, extrapulmonary cancer and other chronic disease variables \( (p < 0.001, p < 0.001, p < 0.001, p < 0.001, p < 0.001, p = 0.006 \text{ and } p < 0.001, \text{ respectively}) \). When the effect sizes were evaluated, insignificant correlation between the two groups in terms of extrapulmonary cancer. Weak correlation between the two groups in terms of COPD, lung cancer and other chronic disease; moderate correlation between the two

<table>
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<th>Table 2. Comparisons of Cluster-1 and Cluster-2 groups</th>
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<td>Cluster-1 (n = 854)</td>
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<tr>
<td><strong>Age (years)</strong></td>
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<tr>
<td><strong>Intubation time (time from hospitalization to intubation) (hours)</strong></td>
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<tr>
<td><strong>Death time (time from hospitalization to death) (days)</strong></td>
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Data are given as mean (minimum-maximum)
groups in terms of gender and CAD; A strong correlation was observed between the two groups in terms of HT and DM (Table 3). The rate of Gender-1 observation was higher in the Cluster-1 group than in the Cluster-2 group ($p < 0.001$) (Table 3). The incidence of HT, DM, CAD, respiratory and other chronic diseases was found to be lower in the Cluster-1 group than in the Cluster-2 group ($p < 0.001$, $p < 0.001$, $p < 0.001$, and $p < 0.001$, respectively). Lung cancer and extrapulmonary cancer observed rates were higher in the Cluster-1 group than in the Cluster-2 group ($p < 0.001$ and $p = 0.006$, respectively) (Table 3).

**DISCUSSION**

During the pandemic process, many studies have been conducted investigating the mortality and morbidity relationships of patients due to COVID-19 [9-11]. Many studies have found that severe COVID-19 disease and variables linked to death, such as HT, DM, Obesity, COPD, CAD, advanced age, and other chronic diseases, are statistically significant. However, the literature on the actual effect values could not be reached. In this study, we examined the effect values in COVID-19 patients who died, in addition to the statistical significance of these variables. HT and DM had a significant effect value between groups, whereas gender and CAD variables had a medium effect value, COPD, lung cancer, and other chronic illness variables had weak effect values, and age and non-lung cancer variables had no effect value.

In the meta-analysis examining on the intensity of underlying diseases in hospitalized 76993 patients for COVID-19, HT, cardiovascular diseases, DM, smoking, COPD, malignancy and chronic kidney disease were detected respectively [24]. The most common comorbidities in COVID-19 patients, according to various studies, are HT, DM, and CAD, with HT being the most common. However, no research has been carried to establish that HT and DM have an independent effect on mortality [25, 26]. In this study, it was determined that HT and DM have a strong effect on deaths related to COVID-19 and pose a statistically significant risk in deaths caused by COVID-19.

In their meta-analysis, Nakanishi et al. [27] they

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<td><strong>Cluster-1</strong></td>
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<tr>
<td><strong>Gender, n (%)</strong> Male</td>
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<tr>
<td><strong>Female</strong></td>
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<tr>
<td><strong>HT (available), n (%)</strong></td>
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<td><strong>DM (available), n (%)</strong></td>
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<td><strong>CAD (available), n (%)</strong></td>
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<td><strong>COPD (available), n (%)</strong></td>
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<td><strong>Lung cancer (available), n (%)</strong></td>
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<td><strong>Extrapulmonary cancer (available), n (%)</strong></td>
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<td><strong>Other chronic diseases (available), n (%)</strong></td>
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**Note: HT = Hypertension, DM = Diabetes Mellitus, CAD = Coronary artery disease, COPD = Chronic obstructive pulmonary disease**
reported the effect of age-related severity and mortality of the main genetic risk factor for COVID-19. They stated that the risk of mortality increased in those carrying the RS10490770 allele gene on the chromosome 3 locus. They also stated that the effect size is more common than other similar clinical risk factors and is associated with an increased risk of morbidity and mortality, which is more pronounced among individuals aged 60 years and younger [27]. In this study, we found that although the age variable was statistically significant in deaths due to COVID-19, the effect value was insignificant. Therefore, we think that the age variable can be reported as a mortality risk due to strong risk factors such as risky allele, HT, and DM.

**Limitations**

The main limitation of this study was that when evaluating chronic diseases in our patient population, data on drug use, treatment compliance and disease duration could not be recorded. The other limitation is that treatment differences or additional treatments were not taken into account, since the treatments received by our patients were applied in accordance with standard treatment algorithms.

**CONCLUSION**

It's critical to understand the disease's epidemiology in order to predict what to expect in the event of a worldwide pandemic. Knowing who is at risk of morbidity and mortality can help with patient management. Risk factors frequently reported for COVID-19 deaths in the literature are HT, DM, CAD, COPD, advanced age, and cancer. Although these variables are statistically significant in the studies, there are no studies showing the true effect values on mortality from COVID-19. In this study, in which 3,510 COVID-19-related deaths were examined, HT and DM had a strong effect separately, gender and CAD variables were moderate, COPD, lung cancer and other chronic disease variables had weak effect values, age and non-lung cancers had insignificant effect.

Understanding the epidemiology of the disease is essential to assist the effort to manage the 2019 coronavirus pandemic and to determine what to look out for in a global new outbreak. We need to know which individuals are at high risk of SARS-CoV-2 infection, as well as the morbidity and mortality risks if infected. The number of articles describing these aspects is increasing, almost similar to the epidemic, revealing the uncertainty about this disease.

**Author’s Contribution**

Study Conception: SM; Study Design: SM, HA; Supervision: SE; Funding: CD; Materials: HA, İE; Data Collection and/or Processing: HA, CD; Statistical Analysis and/or Data Interpretation: SM, İE; Literature Review: SE, CD; Manuscript Preparation: SM and Critical Review: SE, İE.

**Ethical disclosure**

Ethical committee approval was obtained from Bursa City Hospital Ethical committee during the study planning phase. An informed consent form has been signed by the parents of the cases involved.

**Availability of data and materials**

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Conflict of interest**

The authors disclosed no conflict of interest during the preparation or publication of this manuscript.

**Financing**

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**REFERENCES**