



updated to minimize the error between the correct and estimated values of the system variables [24].

A hidden or output unit in the ANN operates as follows :

$$y_j = f(\sum_i w_{ji} x_i + b_j) \quad (1)$$

where

$y_j$ : transformed output by the  $j$ th hidden or output node,

$f$ : activation function,

$w_{ji}$ : the synaptic weight from the  $i$ th node to  $j$ th node,

$x_i$ : input node,

$b_j$ : bias at  $j$ th node

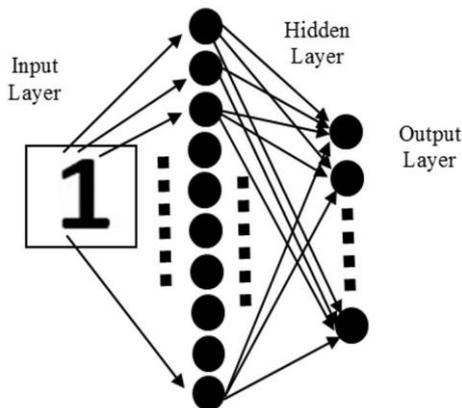


Figure 2. ANN structure used in this study

### 3. Proposed Method

#### 3.1. Image Recognition

In this section, the steps for image recognition as image segmentation, system training and numerical array constitution are explained. The resolution of input SUDOKU image is  $532 \times 474$  pixels.

*Image segmentation:* The aim of this step is dividing a one-piece grid image into 81 cells (sub-images). The cells have the possibility of containing 1-9 numbers or they can be empty. Sub-images are transformed to binary images, then, edge detection process is applied to determine whether there is an object or not. If any, the numerical value of the object is recognized by ANN.

*System training:* In the ANN, there are 3135 inputs representing  $55 \times 57$  pixels in every image of the training set, 9 outputs (1-9 numbers) and a hidden layer with 10 neurons. The input image is transformed to a binary image, then, the matrices for numbers are transformed to a column vector form. The 9 column

vectors are collected in an input array. As output value, a  $9 \times 9$  identity matrix is constituted. The input values are used as 70%, 15% and 15% for training, validation and test, respectively. The error value is chosen as  $10^{-7}$ . The 9 output neurons produce outputs which must be 0 or 1. The value of 1 in the column represents the desired number.

*Numerical array constitution:* The sub-images in the study are transformed to a  $9 \times 9$  numerical array via the principles below:

- if there is a number in the sub-image, this determined number value is placed to the corresponding index in the array,
- if any number cannot be determined in the sub-image, the corresponding value in the array is 0, otherwise -1 value is placed to the array.

#### 3.2. Puzzle Solving

The algorithm must firstly decide if the current element of the array is 0 or not. Since 0 value means it is an empty cell in the SUDOKU and the appropriate value must be replaced to corresponding element, a candidate vector as ([1 2 3 4 5 6 7 8 9]) is constituted. If the element is not 0, a [X 0 0 0 0 0 0 0 0] vector is constituted, where X is the numerical value of the element. The whole algorithm is depicted in Fig. 3.

The explanation of the proposed algorithm is given below:

$A[i][j]$ : The matrix which has all the recognized numbers in the SUDOKU grid.

Temp  $A[i][j][ ]$ : The temporary array which has vectors as [1 2 3 4 5 6 7 8 9] or [X 0 0 0 0 0 0 0 0] instead of each element in  $A[i][j]$  according to being 0 or not.

CANDIDATE: The [1 2 3 4 5 6 7 8 9] vector which consists of all the possible choices.

STEP 1 : For all  $i$  and  $j$ , check whether  $A[i][j]$  is 0 or not.

If so,

Temp  $A[i][j][ ] = [1 2 3 4 5 6 7 8 9]$  (it means, the appropriate value of the position will be searched from this row)

Otherwise

Temp  $A[i][j][ ] = [[A[i][j]] 0 0 0 0 0 0 0 0]$  (it means the appropriate value is settled to the position)

STEP 2: Eliminate the known values in the  $i$ th row of Temp A from the CANDIDATE vector.

STEP 3: Eliminate the known values in the  $j$ th column Temp A from the CANDIDATE vector.

STEP 4: Divide Temp A array into  $9 \times 3 \times 3$  sub-arrays.

STEP 5: Eliminate the known values in all  $3 \times 3$  sub-arrays in Temp A from CANDIDATE vector.

STEP 6: Put the  $3 \times 3$  sub-arrays together as Temp  $A[i][j][ ]$  array again.

STEP 7: If any Temp  $A[i][j][ ]$  element from CANDIDATE vector  $\neq 0$  GOTO Step 1

Else it means the puzzle is solved properly.

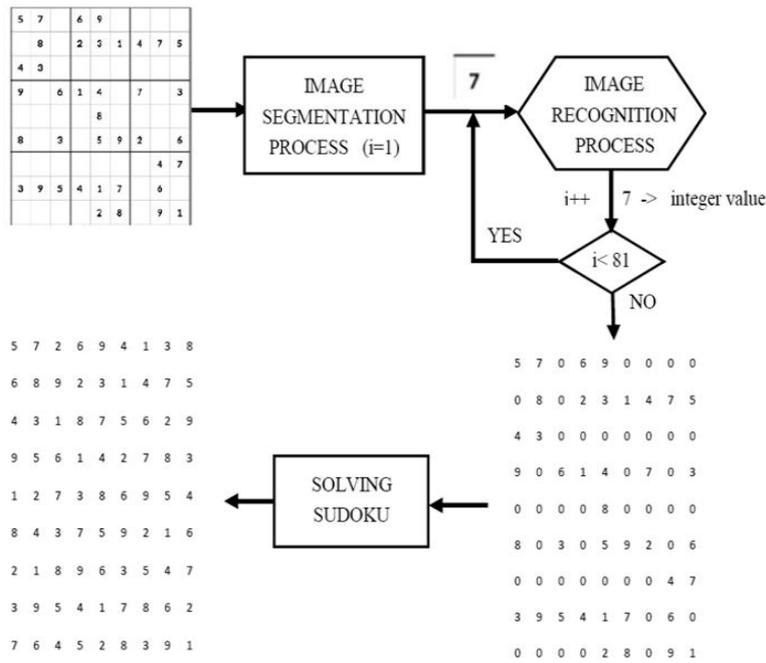


Figure 3. The flowchart of the proposed algorithm

### 3.3. Experimental Results

The captured  $9 \times 9$  SUDOKU image of  $32 \times 474$  pixels is given in Fig. 4. By the segmentation of that image, 81 sub-images (with or without a number) are obtained. Example sub-images obtained from this process are given in Fig. 5. Next step includes the transformations between RGB form and binary form of the image. Additionally, edge detection and number recognition are also implemented in this step. For instance, the recognition steps of number 5 are depicted in Fig 6.

The number recognition step of this study in the Fig. 6 can be also called training procedure. We use the number set for the training process which is shown in Fig. 7. The fonts of the number set in SUDOKU image and in ANN training procedure differ from each other for the purpose of providing the independency of fonts. An example of ANN training results is shown in Fig. 8. As it can be seen easily from the figure, the system reached the desired error value in 1613<sup>th</sup> iteration.

The recognition results of numbers 1, 2 and 5 are shown in Fig. 9 as an example. In each column, the row whose numerical value is closest to 1 represents the desired number. Then, the recognized numbers constitute an array as explained in Section 3.1 and this array is given in Fig.10. As explained in Section 3.2, the puzzle solving algorithm is implemented to the array. The temporary array (Temp A in the algorithm) is constituted as in Fig. 11.

5	7		6	9				
	8		2	3	1	4	7	5
4	3							
9		6	1	4		7		3
				8				
8		3		5	9	2		6
							4	7
3	9	5	4	1	7		6	
				2	8		9	1

Figure 4. The SUDOKU image of  $532 \times 474$  pixels



Figure 5. An example of sub-images obtained by using segmentation process

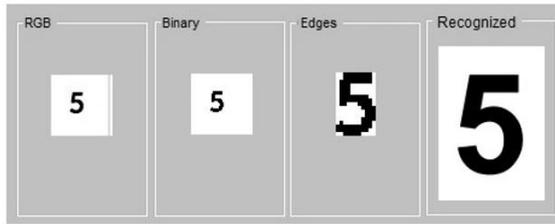


Figure 6. Image processing steps of number 5

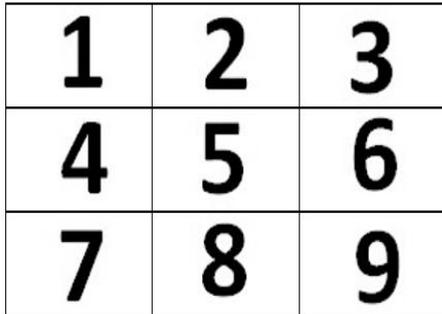


Figure 7. The font of ANN training number set

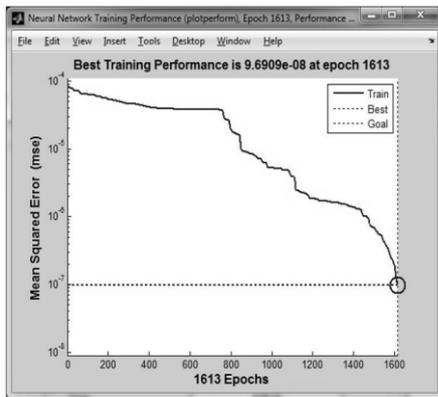


Figure 8. An example of ANN training result

500000000	700000000	123456789	600000000	900000000	123456789	123456789	123456789	123456789
123456789	800000000	123456789	200000000	300000000	100000000	400000000	700000000	500000000
400000000	300000000	123456789	123456789	123456789	123456789	123456789	123456789	123456789
900000000	123456789	600000000	100000000	400000000	123456789	700000000	123456789	300000000
123456789	123456789	123456789	123456789	800000000	123456789	123456789	123456789	123456789
800000000	123456789	300000000	123456789	500000000	900000000	200000000	123456789	600000000
123456789	123456789	123456789	123456789	123456789	123456789	123456789	400000000	700000000
300000000	900000000	500000000	400000000	100000000	700000000	123456789	600000000	123456789
123456789	123456789	123456789	123456789	200000000	800000000	123456789	900000000	100000000

Figure 11. Temporary array

In the next step, the rows of temporary array are checked and the known numbers are eliminated since they cannot be a candidate for the solution. The new version of the temporary array is given below (Fig. 12). The elimination process is

x =	x =	x =
0.9555	0.0004	0.2927
0.0000	0.9827	0.0000
0.0000	0.0026	0.0000
0.0031	0.0019	0.1344
0.0366	0.0000	0.7936
0.0000	0.0000	0.0000
0.0037	0.0007	0.0014
0.0000	0.0007	0.0000
0.0000	0.0000	0.0000

Figure 9. The recognition results of numbers 1, 2 and 5

5	7	0	6	9	0	0	0	0
0	8	0	2	3	1	4	7	5
4	3	0	0	0	0	0	0	0
9	0	6	1	4	0	7	0	3
0	0	0	0	8	0	0	0	0
8	0	3	0	5	9	2	0	6
0	0	0	0	0	0	0	4	7
3	9	5	4	1	7	0	6	0
0	0	0	0	2	8	0	9	1

Figure 10. The numerical array

implemented to columns similar to rows. Fig. 13 shows the new version of the temporary array. The elimination process is implemented to 3 x 3 sub-arrays similar to rows and columns. In Fig. 14, the final version of the temporary array

is shown. These elimination steps must be repeated until each CANDIDATE vector has a single value. The final solution of the puzzle in this study is shown in the Fig. 15.

#### 4. Conclusion and Future Work

In this study, we have implemented a hybrid SUDOKU puzzle solving algorithm for the purpose of recognizing the numbers in a SUDOKU image and finding the solution of the puzzle. Our study differs from similar studies in the literature via the reasons below:

- it considers both number images and empty square images in the same way,
- it transforms all images (numbers and empty cells) to a numerical array.

We have also observed that the resolution of the image, the noise in the image and font of the texts have an important effect on the performance of the proposed algorithm. We should mention here that our current paper is an extensively improved version of [25].

In future work, the proposed algorithm may be improved by using new images and image recognition methods. Also, it is known that some computer games such as Tetris and SOKOBAN are used for real-world fitting problems. Therefore, we think that there is a possibility for using SUDOKU for the same purpose. This study constitutes the first step of our thought and this algorithm may be improved for real-world fitting problems.

5	7	12348	6	9	12348	12348	12348	12348
69	8	69	2	3	1	4	7	5
4	3	1256789	1256789	1256789	1256789	1256789	1256789	1256789
9	258	6	1	4	258	7	258	3
12345679	12345679	12345679	12345679	8	12345679	12345679	12345679	12345679
8	147	3	147	5	9	2	147	6
1235689	1235689	1235689	1235689	1235689	1235689	1235689	4	7
3	9	5	4	1	7	28	6	28
34567	34567	34567	34567	2	8	34567	9	1

Figure 12. The new version of the temporary array after row elimination

7	1248	6	9	234	13	1238	248
8	9	2	3	1	4	7	5
3	12789	5789	67	256	1569	1258	289
25	6	1	4	25	7	258	3
12456	12479	3579	8	23456	13569	1235	249
14	3	7	5	9	2	1	6
1256	1289	3589	6	2356	13569	4	7
9	5	4	1	7	8	6	28
456	47	357	2	8	356	9	1

Figure 13. The new form of the temporary array after column elimination

5	7	12	6	9	4	13	1238	28
6	8	9	2	3	1	4	7	5
4	3	129	578	7	5	169	1258	289
9	25	6	1	4	2	7	58	3
127	1245	1247	37	8	236	59	15	49
8	14	3	7	5	9	2	1	6
126	126	128	359	6	356	35	4	7
3	9	5	4	1	7	8	6	28
67	46	47	35	2	8	35	9	1

**Figure 14.** The final version of the temporary array after  $3 \times 3$  sub-array elimination

5	7	2	6	9	4	1	3	8
6	8	9	2	3	1	4	7	5
4	3	1	8	7	5	6	2	9
9	5	6	1	4	2	7	8	3
1	2	7	3	8	6	9	5	4
8	4	3	7	5	9	2	1	6
2	1	8	9	6	3	5	4	7
3	9	5	4	1	7	8	6	2
7	6	4	5	2	8	3	9	1

**Figure 15.** The final solution

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