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Review Article

# Depression and Heart Failure: A Narrative Review of Their Complex Relationship and Clinical Implications

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### ABSTRACT

Depression is a prevalent and debilitating comorbidity that affects heart failure (HF) patients worldwide, with significant social and economic impacts. The multifaceted, bidirectional relationship between depression and HF involves shared pathophysiological mechanisms such as hypercoagulability, inflammation, and neurohormonal and autonomic dysregulation. Furthermore, behavioural factors such as smoking, physical inactivity, and medication non-adherence exacerbate the association between depression and HF. These complex pathways not only contribute to the development of heart failure in depressed people but also increase depressive symptoms in heart failure patients, creating a vicious cycle that affects overall well-being. Treatment of depression in heart failure patients requires an integrated approach, including non-pharmacological and pharmaceutical interventions. Despite the low efficacy of existing antidepressant medicines, there is a need for novel treatment techniques, and current research studies provide optimism for improving the overall prognosis and management of depression in this susceptible population. This review focuses on providing comprehensive care strategies that address both physical and mental health requirements as necessary, along with the importance of diagnosis and treatment of depression impacting heart failure to improve the overall prognosis and quality of life.

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**Keywords:** Depression, Heart Failure, Comorbidity, Quality Of life INTRODUCTION

Depression is a leading global mental illness, affecting 280 million people worldwide. It affects 21% of women and 11%–13% of men in the United States, resulting in 15 million physician office visits and 12.5% of emergency

department visits, costing over \$200 billion annually.<sup>1-4</sup> Depression is a complex and multifactorial mood disorder, involving genetic, socioeconomic, environmental, and lifestyle factors with dysregulation of homeostatic



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systems. According to The American Psychiatric Association's Diagnostic and Statistical Manual of Mental Disorders, fifth edition (DSM-5) criteria, depression commonly features persistent sadness, emptiness, irritability, and somatic and cognitive changes that impair functioning.<sup>5,6</sup> In addition to cognitive impairment and overall decline in somatic function, depression poses a significant risk factor for other major medical conditions like stroke, autoimmune disease, diabetes, and cardiovascular disease, particularly heart failure (HF).1 HF has been associated with a mortality rate of 15% within the first year and 53% within five years of diagnosis.<sup>7-8</sup> In addition to traditional risk factors for HF, such as hypertension, diabetes, smoking, obesity and past myocardial infarction, non-traditional risk factors such as anxiety, depression, low level of education, high psychosocial stress, and low-grade inflammation may also contribute to the development of HF.9-11 Depression is common in HF patients as their condition worsens, with prevalence rates of 11% in New York Heart Association (NYHA) class I patients and 42% in NYHA class IV patients. 12 It acts as both a risk factor for developing heart failure (HF) and a common comorbidity in HF patients.

A meta-analysis of 28 studies revealed that depressed individuals have a 46% higher risk of cardiovascular disease, and 20-30% of HF patients experience depression, creating a vicious cycle.<sup>13</sup> The Nord-Trøndelag Health Study (HUNT 2) revealed that severe depressive symptoms were linked with diastolic dysfunction and left ventricular hypertrophy, which significantly increased the risk of HF with an adjusted hazard ratio of 1.41. This highlights depression as an independent risk factor for HF, particularly in the elderly population, women, and those with isolated systolic hypertension or coronary heart disease. 14 Studies have also shown that patients with HF and comorbid depression have increased emergency department visits and are 4.1 times more likely to be hospitalized compared to those without depression.<sup>15</sup> Emotional impairment during hospitalization is often seen as a normal response to illness, making depression a challenging diagnosis in patients hospitalized with cardiovascular diseases.<sup>16</sup> The American Heart Association (AHA) advises a two-step depression screening for heart disease patients, starting with the PHQ-2 and using the Patient Health Questionnaire (PHQ-9) for those who screen positive, reflecting the long-recognized link between emotions and heart health noted as early as 1937 by Malzberg. 17,18 In this narrative, we review the strong link between HF and comorbid depression, as well as how the psychological aspects of cardiovascular patients are often overlooked, leading to inadequate treatment and gaps in comprehensive care. This oversight can exacerbate patient outcomes and negatively impact overall health.<sup>14</sup>

### **PATHOPHYSIOLOGY**

Depression and HF often coexist and have a bidirectional relationship, with many pathophysiological processes such as hypercoagulability, inflammation, neurohormonal dysregulation, autonomic dysfunction, and behavioural factors.<sup>14,19</sup> Patients with coronary heart disease (CHD) who have depression have increased platelet activation, causing thrombogenesis by interacting with the vascular endothelium and coagulation factors.<sup>20</sup> People with depression are at increased risk of blood clots because their platelets become more active. This happens due to altered serotonin levels, which promote platelet activation and, thus, promote clot formation.<sup>21</sup> These changes may be the mediating factors behind the increased risk of ischemic events in these individuals, potentially contributing to the development of heart failure.22

Individuals with depression often have reduced parasympathetic nerve activity, leading to lower vagal nerve stimulation. This can interfere with the body's ability to regulate immune responses through the cholinergic anti-inflammatory system (CAIS). As a result, the body produces more inflammation-related proteins, such as C-reactive protein (CRP) and cytokines like interleukin-1-beta, tumour necrosis factor-alpha, and interleukin-6.<sup>23-25</sup> Increased levels of these inflammatory markers can lead to chronic inflammation, which may cause changes in the heart's structure and weaken the heart muscle, eventually leading to HF.<sup>19</sup>

The association between depression and outcomes in HF is impacted by neuro-hormonal and autonomic dysregulation.<sup>22</sup> Individuals with depression often have an overactive stress response. This occurs secondary to hyperreactivity of the hypothalamic-pituitary-adrenal axis. As a result, stress hormones like cortisol and catecholamine (epinephrine and norepinephrine) rise in the bloodstream. Cortisol increases blood clotting by releasing factor VIII and von Willebrand factor, while catecholamines reduce the body's ability to break down clots, promoting hypercoagulability. Also, these elevated catecholamine levels in depression impair the heart's overall responsiveness to adrenergic stimuli. This diminished sensitivity contributes to myocardial remodelling, cardiac damage, and an increased risk of arrhythmias, which may eventually precipitate the

development of heart failure (HF).<sup>24</sup> Changes in the body's autonomic stress response in depression are closely linked to heart rate variability (HRV), which reflects the heart's ability to adapt to different conditions. A reduced HRV has been positively correlated with depression severity.<sup>25</sup>

Furthermore, various behavioural factors play a role in the association between depression and HF. Highrisk behaviours in depressed individuals, including smoking, physical inactivity, poor diet, inadequate stress management, and noncompliance with cardiac rehabilitation programs after a CHD, can increase the risk of HF in patients who are depressed.<sup>26</sup> Individuals with heart failure who take antidepressants are more likely to discontinue their prescription drugs on time (BW3) and are less likely to obtain heart failure medical care that meets guidelines, resulting in worsening cardiac symptoms.<sup>27</sup> Marital status, also a significant predictor of depression in HF patients, is linked with social isolation, exacerbating depressive symptoms and contributing to poorer outcomes (BW4).14 This suggests that depressionrelated behavioural changes may be connected to poor cardiac outcomes and the progression of coronary artery disease (CAD) in individuals with and without preexisting cardiovascular disease (CVD), eventually leading to HF.<sup>22</sup>(Figure 1)

### **DISCUSSION**

In the United States, HF affects approximately 1.9%

to 2.6% of adults, while major depressive disorder (MDD) has a lifetime prevalence of 20.6%. Given the high prevalence of both conditions, their interplay presents a pressing public health concern.<sup>28,29</sup> The bidirectional relationship between HF and MDD is well-established. Evidence suggests that MDD serves both as a contributing factor to HF development and as a frequent comorbidity following an HF diagnosis.<sup>28</sup> Given the significant burden of both conditions, addressing this comorbidity is crucial for improving patient outcomes and quality of life. Further research is needed to explore effective interventions and strategies to manage both HF and MDD simultaneously.

A 2022 meta-analysis of prospective cohorts found that patients with MDD had a 23% higher risk of developing HF (pooled HR: 1.23; 95% CI: 1.08-1.41), identifying MDD as a significant risk factor.<sup>30</sup> Another meta-analysis examining psychological health and cardiovascular disease further linked MDD to increased HF incidence and mortality, highlighting its negative impact on survival rates.<sup>31</sup> Furthermore, a retrospective cohort study of veterans found that individuals diagnosed with MDD were more likely to develop HF than those without MDD (HR: 1.11; 95% CI: 1.04-1.18).32 However, while these findings are compelling, many of the included studies rely on observational designs, which limit the ability to establish causal relations. Additional factors warrant further evaluation, such as residual confounding and potential biases in patient selection.

Conversely, other analyses had the goal of determining

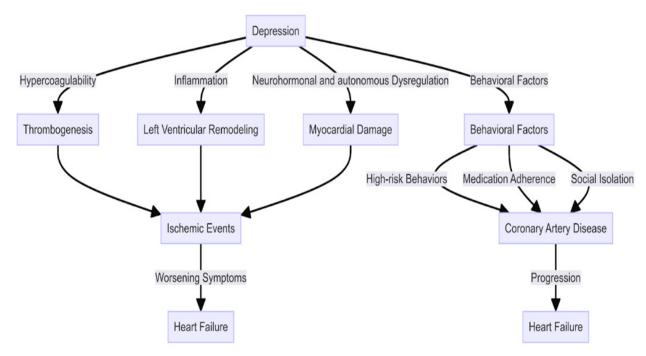


Figure 1. Flowchart on the pathophysiological association between depression and heart failure.

if there was a relationship present in patients with a diagnosis of HF and the development of MDD in these patients. One such cross-sectional study found that 22% of patients with congestive HF for more than six months developed MDD. This study also highlighted predictors of depression in HF patients, including higher NYHA classifications, previous acute coronary syndrome, lack of social support, and a sedentary lifestyle.33 While the study highlights key factors linked to depression in HF patients, its cross-sectional design and certain limitations make it difficult to generalize the findings. Also, a review from 2020 found that 30% of patients with a diagnosis of HF concurrently experienced clinical depression, and an even higher number of these patients had experienced some degree of depressive symptoms.<sup>14</sup> Interestingly, socioeconomic status also plays a significant role. In a systematic review from 2022, patients with heart failure were identified and pooled according to the concurrent diagnosis of depression and the socioeconomic status of the country in which they resided. It was found that, in almost half of the patients with HF, those who resided in low and middle-income countries were more likely to have a diagnosis of MDD. This suggests that economic disparities may substantially influence the psychological burden of HF.<sup>34</sup> These findings highlight the multifaceted nature of depression in HF, influenced by biological, psychological, and social determinants. Future research should prioritize prospective cohort studies to clarify causality and explore interventions targeting both socioeconomic disparities and modifiable risk factors (e.g., social support and physical activity).

In addition to the previously described connection between HF and MDD, there have also been studies that have described the negative impacts associated with these diagnoses when they are found together. One such study performed in 2001 found that patients diagnosed with both MDD and HF had nearly twice the risk of in-hospital mortality. Additionally, these patients faced a higher likelihood of hospital readmission within one year.<sup>35</sup> Further research on prognosis in HF patients with depression revealed a significant correlation with poor outcomes, including increased all-cause mortality (HR 1.31, p<0.001) and higher hospital readmission rates (HR: 1.16, p<0.001).<sup>36</sup>

Another topic of research for this population of patients is regarding the treatment strategies and associated outcomes. In a systematic review of 27 studies of patients with HF and MDD, treatment strategies were evaluated to identify effectiveness in addressing the underlying MDD in these patients. Through interventions of

pharmacotherapy, psychotherapy, exercise, education, and non-pharmacological interventions, it was found that psychotherapy and cognitive behavioural therapy (CBT) led to significant clinical improvement. This conclusion may offer insight into the treatment of patients with HF and concomitant MDD.<sup>15</sup> In the same vein, the Heart Failure - A Controlled Investigating Outcomes of Exercise Training (HF-ACTION) trial performed a similar study regarding the treatment of MDD in patients with HF. This trial found that exercise was significantly associated with a reduction in depressive symptoms at 3 and 12 months, <sup>37,38</sup>, which is important to this review as it identifies further positive interventions to clinically address these patients. However, the study's small sample size, limited number of women, and short followup period may limit the generalizability of the results and make it difficult to assess the long-term effects of the exercise intervention on mental and physical health. Additionally, the retrospective design of the secondary analysis, reliance on non-randomized exercise volumes, and issues with unplanned crossover and noncompliance among participants further complicate the results, reducing the strength of the conclusions that can be drawn.

Another concept important to the patient with HF and MDD is the negative effects that these two diagnoses can have on one another, which in turn can exacerbate either condition. For instance, untreated MDD in a patient with HF can lead to a worsened ability to care for oneself, and this, in turn, may result in increased morbidity and mortality.<sup>39</sup> Moreover, a systematic review explored the connection between the severity of MDD symptoms in HF patients and their adherence to their treatment regimen. It was found in this study that worsening depression symptoms significantly hinder medication adherence and lifestyle changes in HF patients, which are crucial for managing the condition and may lead to poor prognosis and increased morbidity.<sup>40</sup> On the other hand, a randomized controlled trial in 2021 discovered that patients with HF and MDD who increased their levels of self-care had an improvement in depressive symptoms.41

## **FUTURE DIRECTIONS AND LIMITATIONS**

While the current literature offers valuable insights into the HF-MDD relationship, key gaps remain. Many studies rely on observational data, limiting the ability to establish causation. Future research should prioritise well-designed randomized controlled trials to assess intervention efficacy. Standardizing diagnostic criteria

Table 1. Studies on depression and heart failure: study designs, parameters studied, and conclusions.

Study	Study design	Parameter studied	Outcomes
Cao <sup>30</sup>	Meta-analysis of 6 prospective cohort studies with 4,727 HF events among 131,282 participants	Depression is a risk factor for developing heart failure.	Participants with depression had a 23% higher risk of developing HF compared to those without depression.
Krittanawong <sup>31</sup>	Meta-analysis of 26 studies with 1,957,621 participants	Association of HF and depression	Depression was associated with an increased risk of developing congestive HF (HR: 1.04; 95% CI: 1.00-1.09) and congestive HF mortality (HR: 3.20; 95% CI: 1.29-7.94).
Lee <sup>36</sup>	Systematic review and meta-analysis of 39 studies	Relationship between comorbidity and health outcomes in patients with HF.	HF with depression had negative prognostic outcomes: all-cause mortality (HR: 1.31; 95% CI: 1.18-1.45), all-cause readmission (HR: 1.16; 95% CI: 1.09-1.23), HF-related readmission (HR: 1.13; 95% CI: 1.05-1.23), and non-HF-related readmission (HR: 1.17; 95% CI: 1.07-1.27).
Jiang <sup>35</sup>	Logistic regression analyses	Relationship of depression to increased risk of mortality and rehospitalization in patients with congestive HF.	Major depression was associated with increased mortality in patients with HF at 3 months (odds ratio: 2.5 vs no depression; p=0.08) and at 1 year (odds ratio: 2.23; p=0.04) and readmission at 3 months (odds ratio: 1.90; p=0.04) and at 1 year (odds ratio: 3.07; p=0.005)
Sherwood <sup>42</sup>	Cox proportional hazards regression analyses	Relationship of depression to death or hospitalization in patients with HF.	Patients with depression (BDI score ≥10) were associated with a HR of 1.56 (95% CI: 1.07-2.29) for the combined endpoint of death or cardiovascular hospitalization.
Ying <sup>44</sup>	Bibliometric	Overview of studies on HF comorbid with depression from 2002 to 2021	Research progress, hotspots, and trends in the field of HF with depression were illustrated
Ishak <sup>15</sup>	Analysis of 4846 papers published from January 2002 to December 2021	To identify the tools used to measure depression in HF and assess the impact of various treatment interventions on depression in HF.	BDI, PHQ, and HADS were more commonly used than clinician-rated questionnaires. Psychotherapy demonstrated the most significant impact, leading to notable reductions in BDI II and HAM-D scores, followed by collaborative care and education.
Peng <sup>43</sup>	Systematic Review and Meta-analysis	Efficacy of CBT to alleviate depression in patients with HF.	CBT can substantially decrease depression scale (Std. MD = -0.27; 95% CI: -0.47 to -0.06; p=0.01) but has no substantial influence on the quality of life, self-care scores, and 6-minute walk test distance
Poletti <sup>40</sup>	Systematic review and Meta-analysis	The association between depressive symptoms and medication adherence in HF patients	HF patients with depression or depressive symptoms are less likely to adhere to their prescribed medication regimen compared to non-depressed patients.
Johansson <sup>41</sup>	Systematic Review	The impact of internet-based CBT and depressive symptoms on self-care behaviour in patients with heart failure	No significant differences were found in self- care between the patients on the internet- based CBT and those in the online discussion group at the three- and nine-week follow-up.
Zambrano <sup>45</sup>	Secondary analysis of RCT	Psychiatric and psychological interventions for depression in patients with heart disease	SSRIs like sertraline did not show any significant reduction in depression scores in patients with HF.
Sbolli <sup>14</sup>	Narrative Review	The relationship between depression and heart failure, and the role of SSRIs in the treatment of depression in patients with HF.	Pooled analysis of SADHART-CHF and MOOD-HF trials showed that SSRI treatment had a neutral effect on mortality (risk ratio 1.25, 95% CI 0.78–2.0) and cardiovascular death (risk ratio 1.38, 95% CI 0.77–2.45)

HR: hazard ratio, CI: confidence interval, BDI: Beck depression inventory, CBT: cognitive behavioural therapy, SSRIs: selective serotonin reuptake inhibitors, HF: heart failure.

for depression in HF patients would enhance consistency across studies. Additionally, examining socioeconomic factors and disparities in mental health care access could provide a more comprehensive understanding of this complex interaction.

Given the significant burden of HF and MDD, a multidisciplinary approach incorporating cardiology, psychiatry, and behavioural health strategies is essential. Early screening for depression in HF patients, combined with targeted interventions, may improve both mental health and cardiovascular outcomes. This approach enhances quality of life and reduces healthcare utilization.

# **CONCLUSIONS**

Depression and heart failure often coexist. Numerous studies suggest that it has a significant impact on the prognosis of heart failure, leading to higher rates of morbidity and mortality. Despite the substantial association between heart failure and depression, these are often under-recognized and under-treated. Several pathways, such as inflammation, neurohormonal activation, autonomic dysfunction, and behavioural

variables, mediate the bidirectional association between depression and heart failure. These complex pathways not only contribute to the development of heart failure in depressed people, but they also increase depressive symptoms in heart failure patients, creating an endless cycle that affects overall well-being. Treatment of depression in heart failure requires an integrated including non-pharmacological approach, pharmaceutical interventions. Traditional antidepressant medications have proven to be little successful in this population, which highlights the need for new approaches to treatments; while psychotherapy and cognitive behavioural therapy show potential in treating depressed symptoms, there are still obstacles in the way of their broader acceptance. Ongoing research into new therapies like N-methyl-D-aspartate receptor antagonists, repeated transcranial magnetic stimulation, and omega-3 supplements offers hope for improving depression management and overall prognosis in this vulnerable population. Also, collaboration between cardiologists, psychiatrists, and healthcare professionals is essential to bridge the gap between depression and cardiovascular care by integrating routine depression screening into heart failure management protocols. Recognizing and addressing the complicated relationship between depression and heart failure is vital for offering effective treatment, while focusing on mental health alongside cardiovascular health to enhance patient wellbeing, quality of life, and prognosis.

## Conflict of Interest

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