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Establishing of soil loss tolerance limit and sediment yield in Zagros fold-thrust belt: a case study in the Kanarwe river basin, Iraq-Iran

Fahmy O. MOHAMMED^a, Ahmed I. MOHAMED^{b*}, Ibrahim H. GART^c and Diary A. Al-MANMI^d

^a Department of Earth Sciences and Petroleum, University of Sulaimani, Sulaymaniyah, Iraq

^b Department of English, Basic Education College, Tikrit University, Tikrit, Iraq

^c Ministry of Education, General Directorate of Education of Kirkuk, Kirkuk, Iraq

^d Technical College of Engineering, Sulaimani Polytechnic University, Sulaymaniyah, Iraq

Research Article

Keywords:

RUSLE, Sediment Delivery, Zagros Thrust Zone, CN-SCS Curve, Dam Lifespan.

ABSTRACT

Soil degradation is a significant cause of topsoil loss; in most mountainous region watersheds, it leads to decreased agriculture productivity and reservoir storage. This study targeted calculating and mapping soil loss and sediment yield in the Lesser Zab watershed located between Iraq and Iran. The Revised Universal Soil Loss Equation (RUSLE) model was used to calculate the soil loss. A Digital Elevation Model of 30 m, a Digital Soil map (1:500000), rainfall, and land cover were used to derive parameters. The soil loss rates are 58.1 and 0.1 $\text{tha}^{-1}\text{yr}^{-1}$. The total annual soil loss is 1037289 tonnes, of these 404512, covering 75% of Kanarwe river basin land. Most of these affected lands are in the eastern and middle part, which is below the FAOs standard allowable for tolerable soil erosion. Still, the rest covers 25 % of the basin in the west with a total annual soil loss equal to 632777 tonnes, above FAOs standard. The maximum and minimum sediment yield is 29 and 0.1 $\text{tha}^{-1}\text{yr}^{-1}$, respectively. Based on the statistical correlation coefficient, the most effective RUSLE parameters on sediment yield from high to low are topography 0.48, soil erodibility 0.38, and crop management 0.38.

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1. Introduction

Soil erosion is a geomorphic process that occurs naturally; however, humans' soil utilization and climate change lead to increased land degradation (Pennock, 2019; Yang et al., 2003). Soil loss particles are turned into sediments and delivered to the river by an erosion agent (Chuenchum et al., 2020), and erosion processes pose severe threats to agricultural production, dam lifespan, and the earth's landform (Balabathina et al., 2020; Lee et al., 2014). Man-made activities cause ten times faster soil loss than natural

agents such as rainfall or coastal waves (Pimentel and Burgess, 2013). Sometimes, trapped sediment yield by a natural or artificial agent leads to bank erosion and decreased riparian vegetation (Anthony et al., 2015).

The RUSLE equations were first developed on a small scale in the United States of America but later were used and calibrated in many countries (Alewell et al., 2019; Ezzaouini et al., 2020). New studies suggest that integrating the Revised Universal Soil Loss Equation (RUSLE) with satellite image data is more effective for calculating amounts of soil loss

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*Corresponding author: Ahmed MOHAMED, ahmed.moh@tu.edu.iq

and mapping its distribution (Kebede et al., 2021; Somasiri et al., 2021).

The soil loss and sediment yield recently threatened water quality and quantities in Iraq and Iran due to poor management of soil loss (Zare et al., 2017; Band et al., 2022; Ostovari et al., 2022; Othman et al., 2022; Salar, 2022). The unmanaged soil loss from the upstream country (Iran) and downstream sediment delivery decreased dams' storage capacity and water resource life structures in the lesser Zab watershed within Iraq (Bhattarai and Dutta, 2007; Omeed H. Al-Kakey et al., 2023).

Many studies try calculating Iraq's sediment yield and soil loss, but the results are inaccurate. Hassan et al. (2016) studied sediment deposited types with the Dokan dam reservoir (DDR), located downstream of the Lesser Zab watershed, without considering the amount of Soil erosion risk and its effect on ecosystem management. Ezz-Aldeen et al. (2018) studied watershed sediment of DDR using the soil and water assessment model (SWAT) to estimate sediment delivery ratio-based Empirical Equation (Lumped model). However, this model is only applicable to small watersheds, not a watershed like DDR. Othman et al. (2022) conclude that the sediment yield is more than the soil loss, which is scientifically wrong. The soil erosion risk in Iran is also the same as in Iraq and many studies conclude that the risk of soil erosion has increased in the last decade (Sadeghi, 2017; Zare et al., 2017; Hosseinalizadeh et al., 2020).

Therefore, this study aims to answer four key research issues; How much soil eroded annually from the Lesser Zab watershed located between Iraq and Iran? Are the soil erosion processes uniformly over the basin or abnormally distributed over the Lesser Zab watershed? Is the potential for soil loss greater than the FAO's soil erosion tolerance limit? Which parameters of RUSLE are more significant on soil loss? This study's findings will serve as a benchmark for future dam site selection and ecosystem management in Iraq.

2. Research Methods

2.1. Study Area and Data Source

The Kanarwe River basin is part of the lesser Zab transboundary watershed within the Zagros

Mountain Range (Figure 1). The basin outlet is 20 km away from northeast Sulaymaniyah city with a catchment area of 1541 km² and extended about 71 km in length (Mohammad, 2023). The Mediterranean climate's hot summers and wet winters deliver more than 800 mm of rain and have an average temperature of 20°C per year (Abbas et al., 2017; Shubbar et al., 2017; Mohammed et al., 2021;). Several data sources to estimate soil erosion based on the RUSLE. Field survey and ground truth collection by a Global Position System (GPS) include soil sampling and lithology description. A (30*30 m) DEM from the Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER; GDEM) dataset is used to derive slope length- steepness factor value. The geological map of study area (Figure 2a) was correlated with soil maps to measure accurate soil depth about geological units (Al-Qayim et al., 2018; Ma'ala, 2007). Soil map derived from the Harmonized Soil World Database (HWSO) (Figure 2b), with a scale of 1:500000 (Buringh, 1960; Nachtergaele et al., 2008) Mean annual rainfall data (1990–2015) from the four-gauge stations (Dokan, Chwarta, Mawat, and Penjween) obtained from Sulaymaniyah metrological Directorate was used to estimate the annual mean rainfall at Kanarwe river basin (Mohammed et al., 2023) (Figure 3).

The Global Land Use and Landcover (LULC) map was obtained from the Global Land Cover Facility (www.landinfo.com) for determining soil erodibility, cover management, and SCS-CN number.

3. Data Analysis

3.1. Soil Loss Estimation and Sediment Yield

The soil loss was calculated using the RUSLE, an empirical model developed by Renard in (1997) to estimate soil loss. The RUSLE model Equation 1 is expressed as:

$$S_{loss} = R_E * K_s * LS_T * C_V * P_E \quad (1)$$

Where S_{loss} is the mean annual soil loss due to water erosion [in tons per hectare per year (t ha⁻¹ year⁻¹)], R_E is the rainfall and runoff erosivity factor (in MJ-mm ha⁻¹ h⁻¹ year⁻¹), K_s is the soil erodibility factor (in tone ha⁻¹ MJ⁻¹ mm⁻¹); L is the slope length

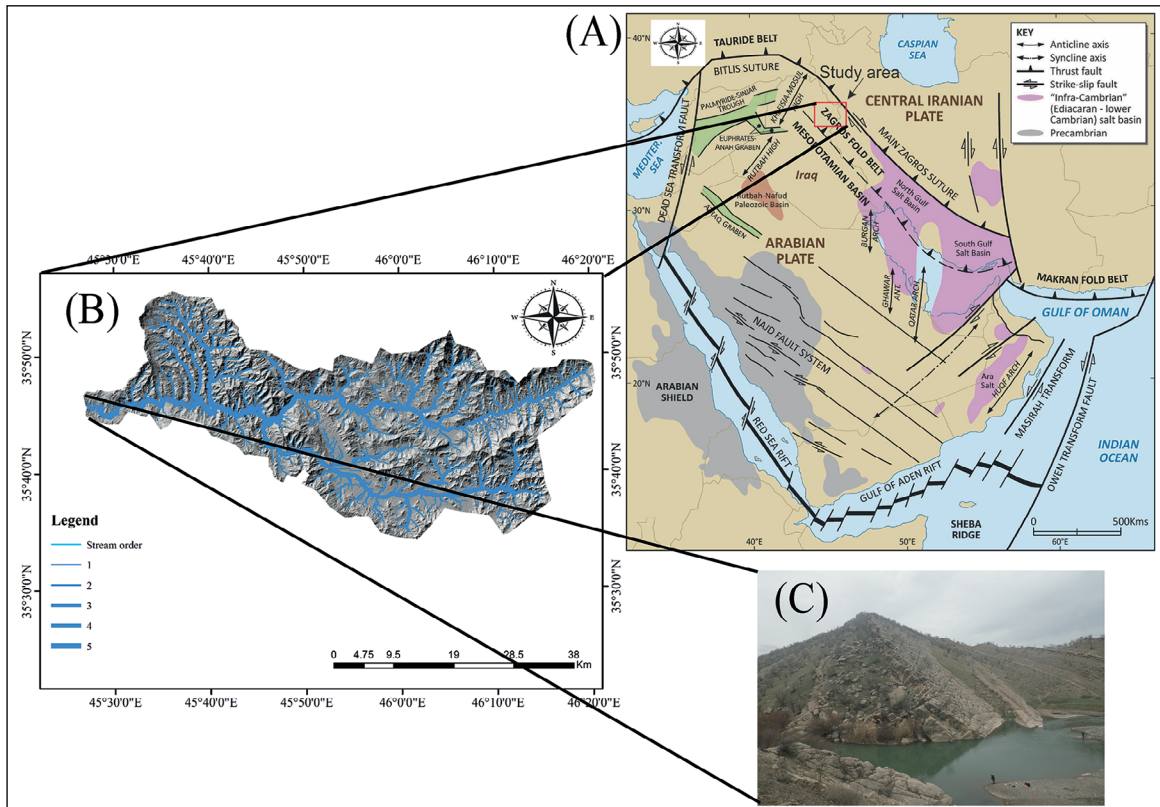


Figure 1- a) Regional tectonic setting Zagros mountains (English et al., 2015), b) Stream network of Siwail River that flows toward basin outlet (Dam site, East west), c) Proposed dam site.

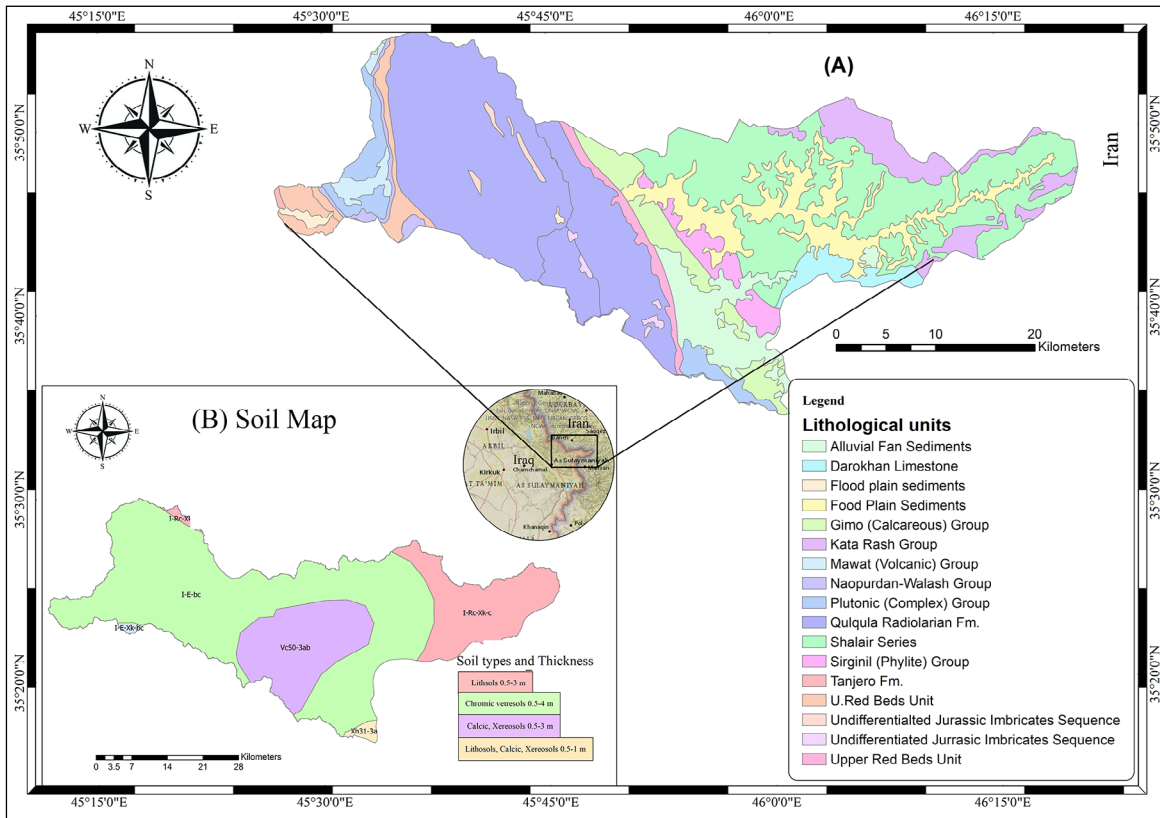


Figure 2- a) Show the geological map after (Ma'ala, 2007; Al-Qayim et al., 2018), b) Soil map of the study area after (Buringh, 1960).

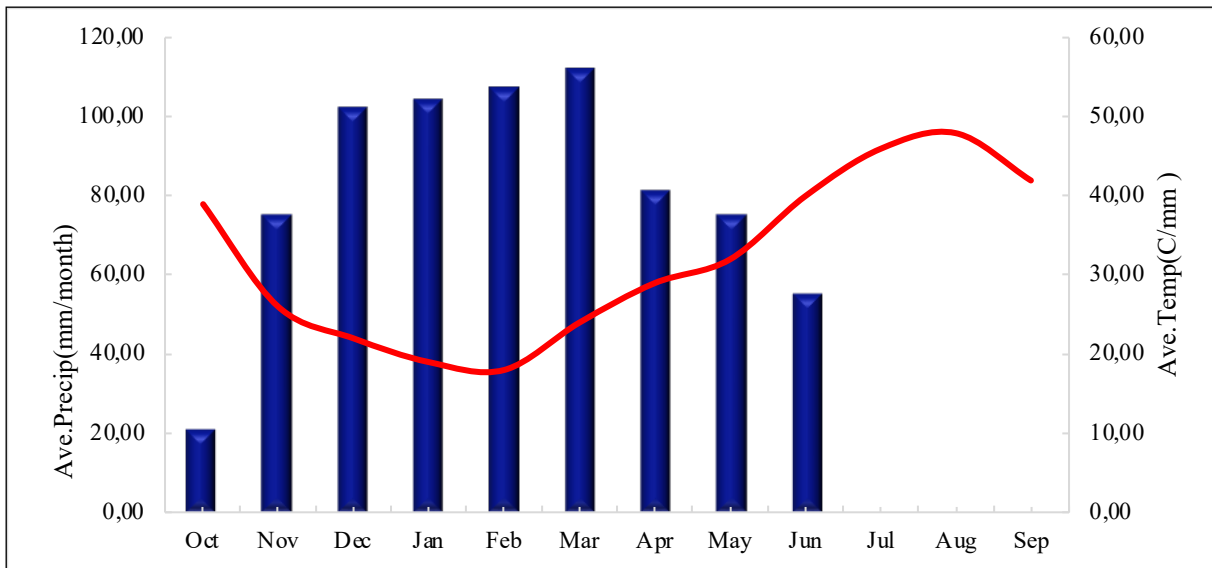


Figure 3- Mean monthly rainfall (blue bars) and temperature (red line).

factor (dimensionless), S is the slope steepness factor (dimensionless); C is the cover and management practice factor (dimensionless), and P_E is the erosion support practice (dimensionless). The sediment delivery ratio (SDR; $t \cdot ha^{-1} \cdot yr^{-1}$) was calculated based on Equation 2.

$$SDR = 1.366 \times 10^{-11} * (DA) - 0.0998 * (RL) + 0.3629 * (SCS-CN) + 5.444 \quad (2)$$

Where DA is the drainage area in km^2 , RL is a relief-length ratio in $m \cdot km^{-1}$, and $SCS-CN$ is the soil conservation service curve number. Finally, the sediment delivery ratio was used with Soil loss rate Equation 3 to calculate the sediment yield (Sy ; $t \cdot ha^{-1} \cdot yr^{-1}$).

$$Sy = SDR * Ri \quad (3)$$

Where Ri is soil erosion for each grid cell.

Spatial analysis techniques and satellite images were used to simulate the RUSLE model, sediment delivery ratio, and sediment production., as shown in Figure 4.

3.2. Rainfall Erosivity (R_E)

Rainfall erosivity is the erosive power of participation kinetic energy: the greater the intensity and rainstorm duration, the higher the erosion potential (Gholami et al., 2021). The R_E factor is generally

calculated from mean annual rainfall data using storm energy over a 30-min duration expressed by $R = EI_{30}$ Equation 4.

$$R = \frac{1}{n} \sum_{j=1}^n \left[\sum_{k=1}^m (E)(I_{30})^k \right] \quad (4)$$

Where n is the total number of years where data was collected, K is the total number of rainfall storms up to m , j is several years up to n , I_{30} is the maximum 30 min intensity ($mm \cdot hr^{-1}$), E_j is the total kinetic energy ($MJ \cdot ha^{-1}$) of a k storm in m year (Renard and Freimund, 1994) Developed (Equation 5).

$$R_E = 0.04830 * P^{1.61} \quad P \text{ is less than } 850 \text{ mm} \quad (5)$$

where R = Rainfall Erosivity, P = Mean annual rainfall in mm.

For calculation (R_E), four stations' long-term mean annual rainfall (1990 to 2015) was obtained from the Sulaymaniyah Meteorological Directorate Table 1. For creating an erosivity map, the inverse distance weighted (IDW) approach is used to estimate the unknown cell value of erosivity for the whole watershed.

3.3. Soil Erodibility (K_s)

The soil erodibility factor (K_s) assesses how vulnerable soil types are to particles being separated and carried away by rainfall and runoff. (Wischmeier

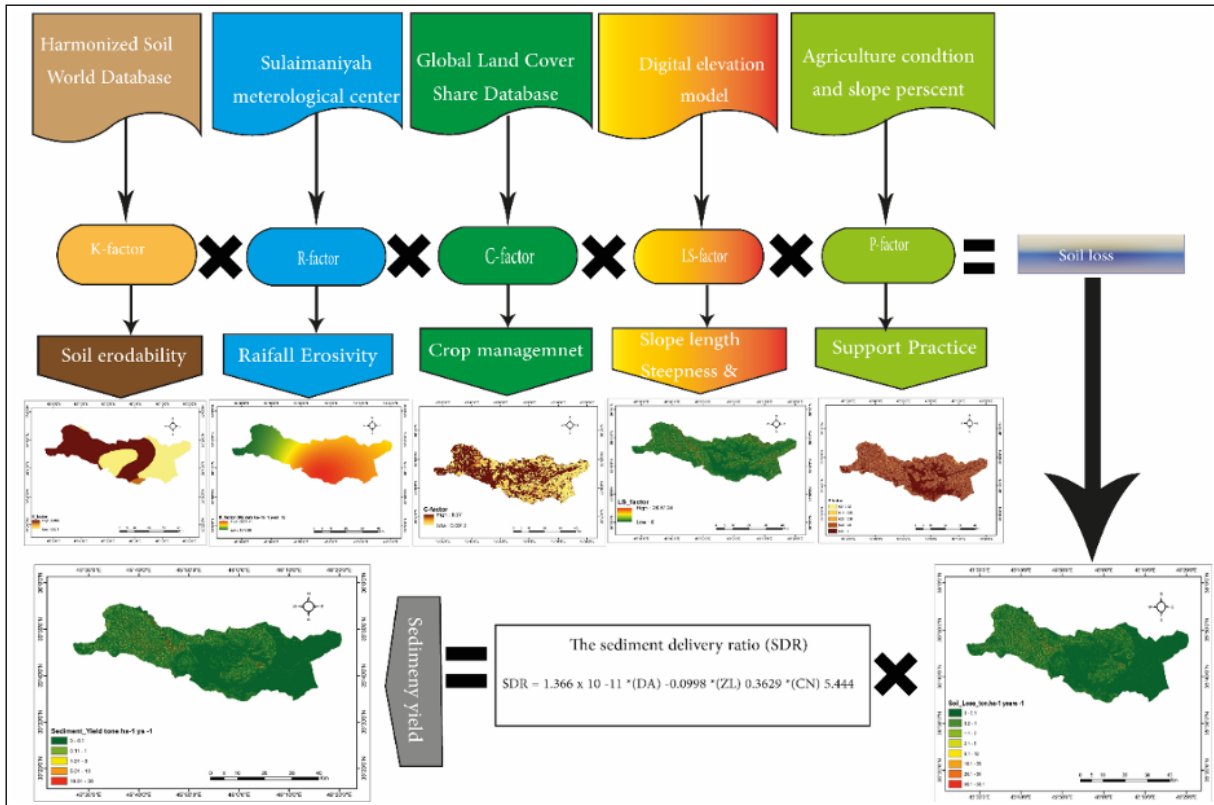


Figure 4- Conceptual modelling of estimation of RUSLE parameters.

Table 1- Rain gauge stations, source (Sulaymaniyah Directorate of Meteorology and Seismology).

No.	Gauging station	Location		Altitude(m)	Mean annual rainfall
		Longitude Latitude			
1	Penjween	45.945478	35.627854	1280	950
2	Chwarta-Mawat	45.409533	35.902678	1160	700
3	Dokan	44.958625	35.952052	540	640
4	Sulaymaniyah	45.435350	35.566579	880	650

and Smith, 1978). The soil types in the study area are classified into four classes-based FAO soil maps. The K_s value for each soil class is calculated based on soil types, composition, and texture Table 2.

3.4. Topography (LS_T)

The topographic factors, such as slope length (L), represent the length between the starting and end of

the inter-rill erosion process, and slope steepness (S) depends on steepness only. The LS factor is crucial in water movement (volume and velocity) in streams, considerably inducing soil erosion and Sediment transporting capacity (Gashaw et al., 2017). The LS factor in the RUSLE was estimated using spatial analysis techniques based on Equation 6 and Equation 7 (Simms et al., 2003).

Table 2- KS value for Soil types based on (Buringh, 1960).

Soil classification	Soil Texture	Ks
Lithosols	Clay and Loam.	0.001
Chromic vertosols	Loam to clay with variable gravel	0.065
Calcic xerosols	Clay marl gravel	0.065
Lithosols, Calcic, xerosols	Silty clay gravel	0.0041

$$LST = [A/22.13]^{0.6} * [\sin B/0.0869]^{1.3} \quad (6)$$

where A is the upslope contributing area factor, and B is the slope angle. Incorporating the DEM into GIS accurately determined the slope gradient S and length L. This study computed the LS_T -value based on a modified Equation 7 suggested by (Simms et al., 2003; Gelagay and Minale, 2016; Chuenchum et al., 2020) because upslope contributing and basin geometry is more influenced than slope length and steepness topsoil loss.

$$LS_T = \text{Pow} \left(\frac{\text{Flow accumulation} * (\text{DEM resolution})}{22.13} \right)^{0.6} * \text{Pow} \left(\frac{\sin(\text{DEM slope}) * 0.01745}{0.0869} \right)^{1.3} \quad (7)$$

Where Pow (power) is a function in the ArcGIS Spatial Analyst (Ashiagbor et al. 2013), the flow accumulation number of cells contributes to downward flow.

3.5. Cropping Types (C_p)

The cover management factor is the soil loss ratio soil loss rate and land cover types (Wischmeier and Smith, 1978). Benavidez et al. (2018) prefer using previous research papers on land use/land cover to estimate the C_p factor. This strategy was performed for the estimation C_p factor Table 3.

3.6. Support Soil Resistance Method (P_s)

P_s values range from zero to one, with zero indicating an ideal human-made structure for preventing erosion and one indicating no erosion resistance (Renard and Freimund, 1994). Such

resistance structures include contour plowing, strip cropping, and terracing, controlled slope. (Shin, 1999) assigned P_s -value for five slope percentage categories based on slope percentage Table 4.

Table 4- The P factor value (Shin, 1999).

Slope (%)	Land use	P factor
9–12	Non-Agriculture	0.6
13–16	Non-Agriculture	0.7
17–20	Non-Agriculture	0.8
21–25	Non-Agriculture	0.9
>25	Non-Agriculture	0.95

4. Results and Discussion

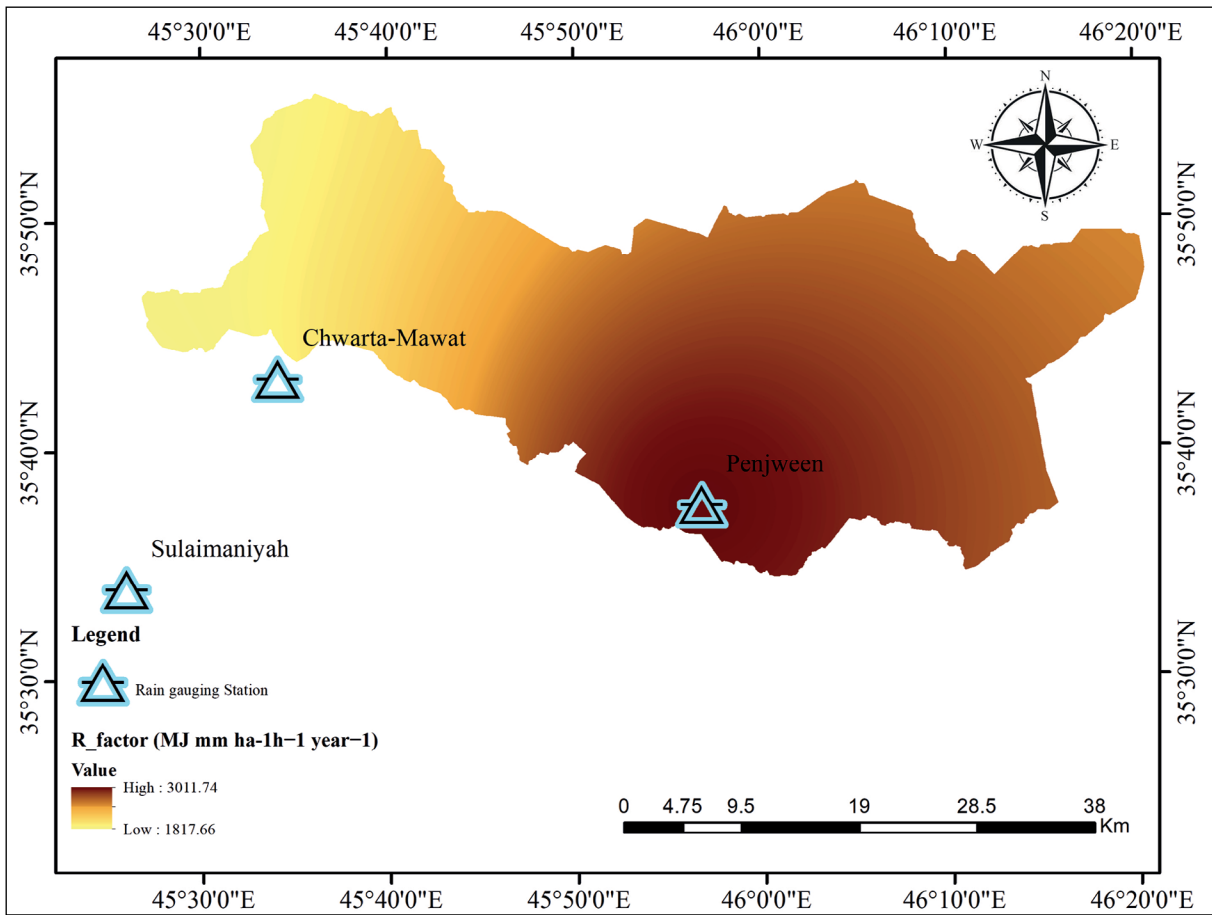
Three different software, including ArcGIS, WMS11.1 (watershed modelling surface), and RStudio Pro V1.1717-3 software, were used to compute the average yearly (soil loss, Sediment yield, and Tolerable Soil loss erosion) as follows.

4.1. R_E Factor

The rainfall in the Kanarwe River basin ranges from 700 mm to 950 mm Table 5. Penjween is located within the watershed, while Chwarta-Mawat station is close to the basin's outlet; thus, these two stations significantly weigh R_E factor estimation. A high R_E -value (3011) is presented east, characterized by stormy weather and heavy intense rainfall. The western parts have a low R_E -value (1817), characterized by low terrain with less rainfall Figure 5.

Table 3- C_p Factor Values for LUC types.

Land cover	C	Source
Rainfed croplands	0.07	(Yang et al., 2003)
Mosaic cropland	0.37	(Tiwarly et al., 2014)
Mosaic vegetation	0.25	(Yang et al., 2003)
Closed (>40%) needle-leaved evergreen	0.0015	(Chadli, 2016)
Closed to open (>15%) mixed broadleaved and needle	0.1	(Chen et al., 2019)
Mosaic forest or shrubland	0.01	(Tiwarly et al., 2014)
Mosaic grassland	0.2	(Tiwarly et al., 2014)
Closed to open (>15%) (broadleaved or needle-leaved, evergreen, or deciduous)	0.0012	(Chadli, 2016)
Sparse (<15%) vegetation	0.01	(Yang et al., 2003)
Bare areas	0.35	(Yang et al., 2003)

Figure 5- R_e factor map.Table 5- R_e Factor Value.

Stations	Rainfall (mm)	RE [$MJ\ mm\ h^{-1}ha^{-1}year^{-1}$]
Penjween	950	3011.74
Chwarta-Mawat	700	1838.88

4.2. K_s Factor

The K_s factor was computed based on four soil types: Lithosols, Chromic Vertosols, Calcic xerosols, and Calcic Xerosols (Figure 5). The K values are estimated and compared to previous research on soil types in the northeastern part of Iraq (David, 1988; Hussein et al., 2007; Othman et al., 2021; Zakerinejad and Maerker, 2015). Accordingly, high K_s values (0.065) are presented for chromic and clastic xerosols in the west part and low values of K_s (0.01, 0.04) in the east region Figure 6a and Figure 6b. This substantial variation in K_s value is due to cropping out different rock types in the Kanarwe River basin.

4.3. LS_r Factor

The maximum value of LS_r is (26.6) when slope length and flow accumulation are high due to high altitude mountain and dense stream order in the watershed. The LS_r values become lower closer to the river (flat areas) of the Siwil River in the lower regions Figure 7.

4.4. P_s Factor

The Kanarwe river basin was classified into five classes of Slope percentage (slope %) Figure 8a, and then the P_s -value was assigned for each type of slope percent Figure 8b based on Table 4. Most of the lower P_s -value is given to the central part, which is flatter and contains flood plains. The West of the Kanarwe River basin shows a high P_s -value due to the topographic condition.

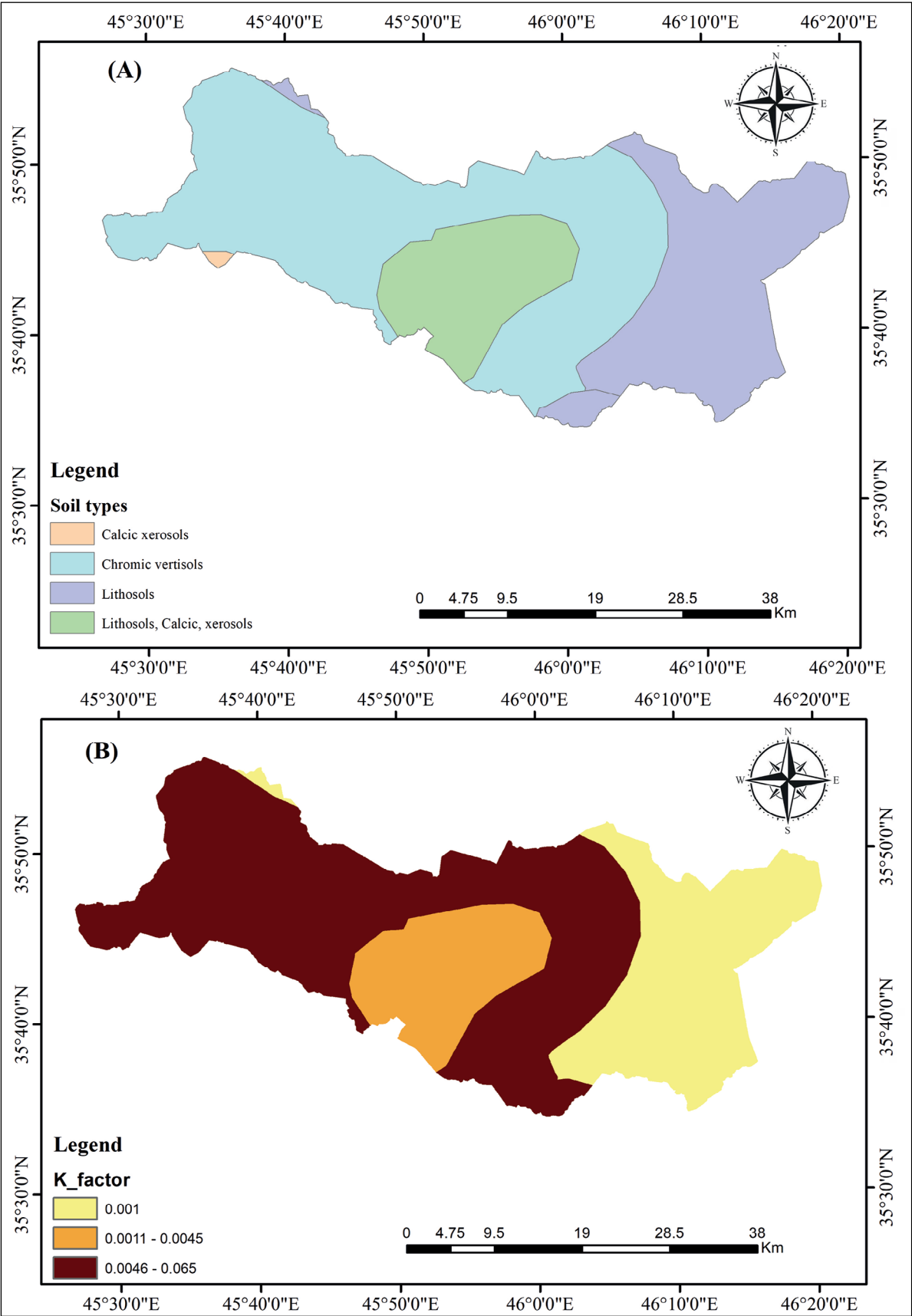
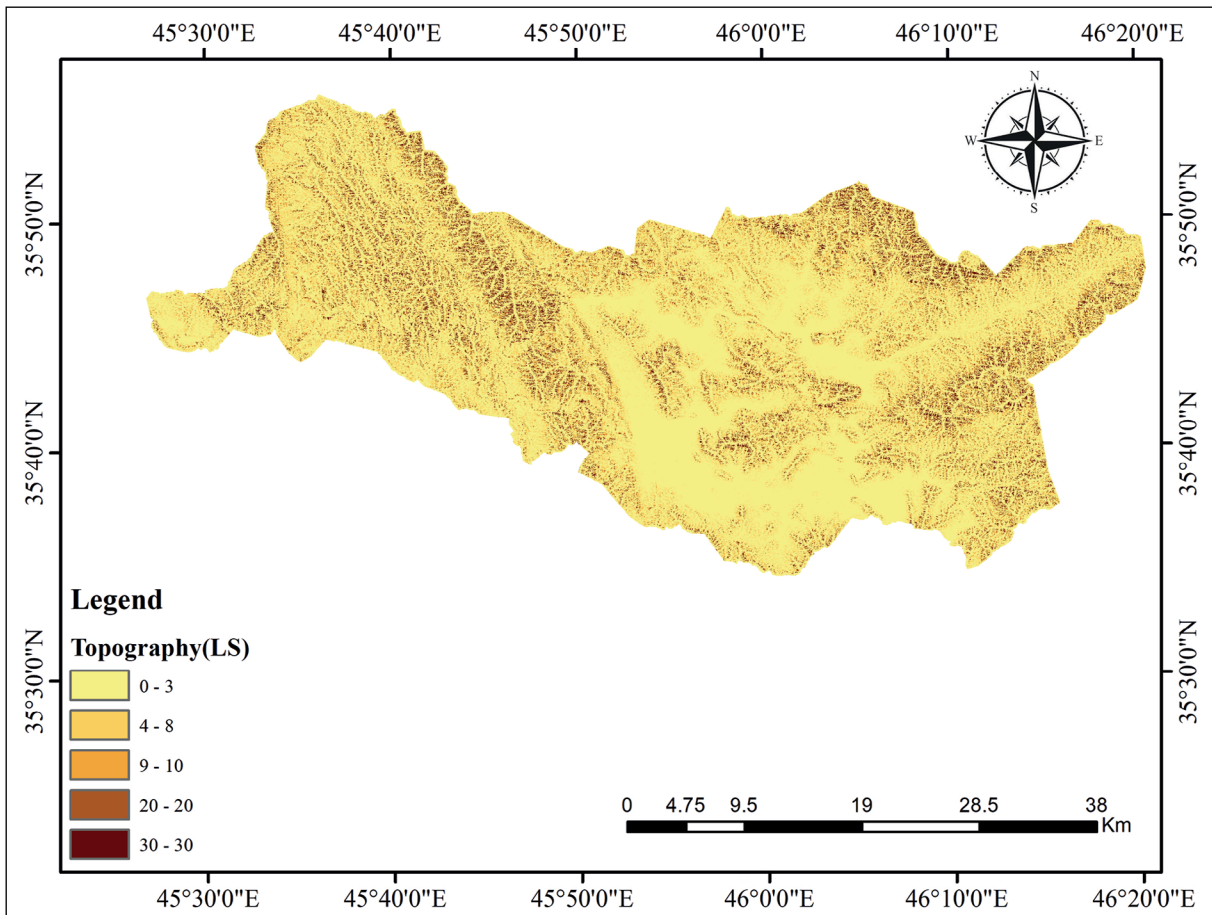


Figure 6- a) Soil map, b) K_s factor map.

Figure 7- LS_r factor map.

The mainland cover/use was (cropland, bare soil, and forests) Figure 9a. The C_v value ranges from (0.0012 to 0.37) and is assigned to land cover types of the Kanarwe river basin Figure 9b. Lower C_v factor values (0.0012) were observed in the eastern and south-eastern parts due to the present forests, especially oak trees. The higher C_v factor values (0.37) can be seen in the western parts, this area covers mostly weathered soils and recent deposits; hence it is more prone to water erosion.

4.5. Soil Loss and Tolerance

The final map of the soil loss was calculated using Equation 2 by integrating RUSLE layers (Parameters) to produce a grid map Figure 10. The soil loss rate expands from 0 in the east part (unweathered hard igneous) to $58.1 \text{ t ha}^{-1} \text{ yr}^{-1}$ in the central and west parts of the high weathered, sedimentary rock. The soil loss map was reclassified into five soil-lowering

risk zones Table 6, using Gelagay and Minale, 2016 classification.

The calculation means annual soil loss is useless scientifically without the calculation of soil erosion risk classes (Pennock, 2019), so soil erosion risk was calculated and reclassified. The total annual soil loss is $1037289 \text{ t ha}^{-1} \text{ yr}^{-1}$; 404512, which covers 75% of the Kanarwe river basin, is below soil erosion risk (3.9 mm yr^{-1}) (Pennock, 2019). The FAO's (2019) tolerable soil loss rate is $4.2\text{--}7.2 \text{ t ha}^{-1} \text{ yr}^{-1}$ (Montgomery, 2007; Verheijen et al., 2009).

Most of this low tolerable soil risk erosion is in the eastern and central parts due to the crop out of the un-weathered, hard rock of igneous rock and thin soil depth. The moderate to severe soil risk classes, which cover 25 % of the Kanarwe river basin, have an annual loss equal to 632777, above FAOs tolerable soil rate. This moderate to severe tolerable soil rate of

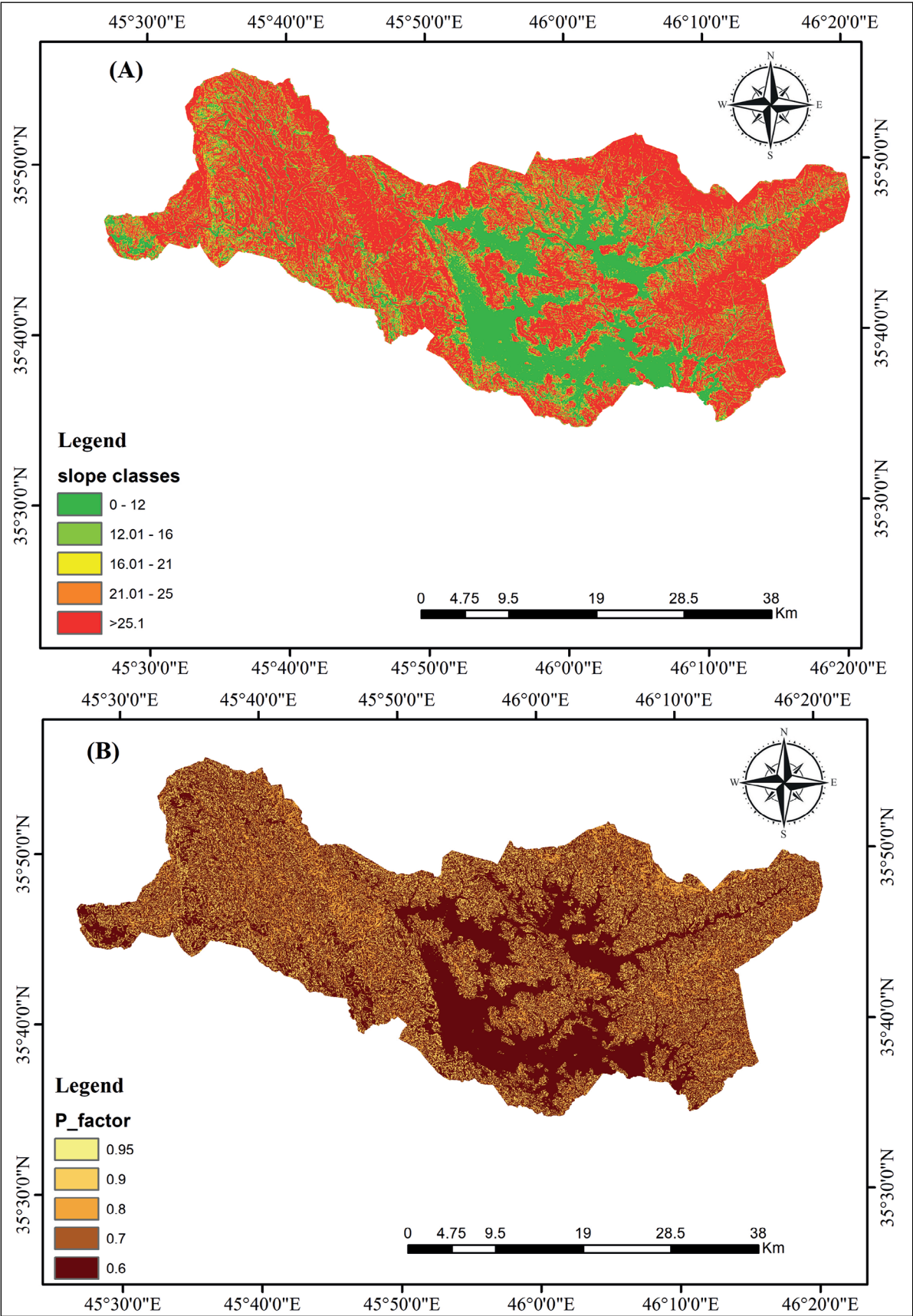


Figure 8- a) Slope class map, b) P factor map.

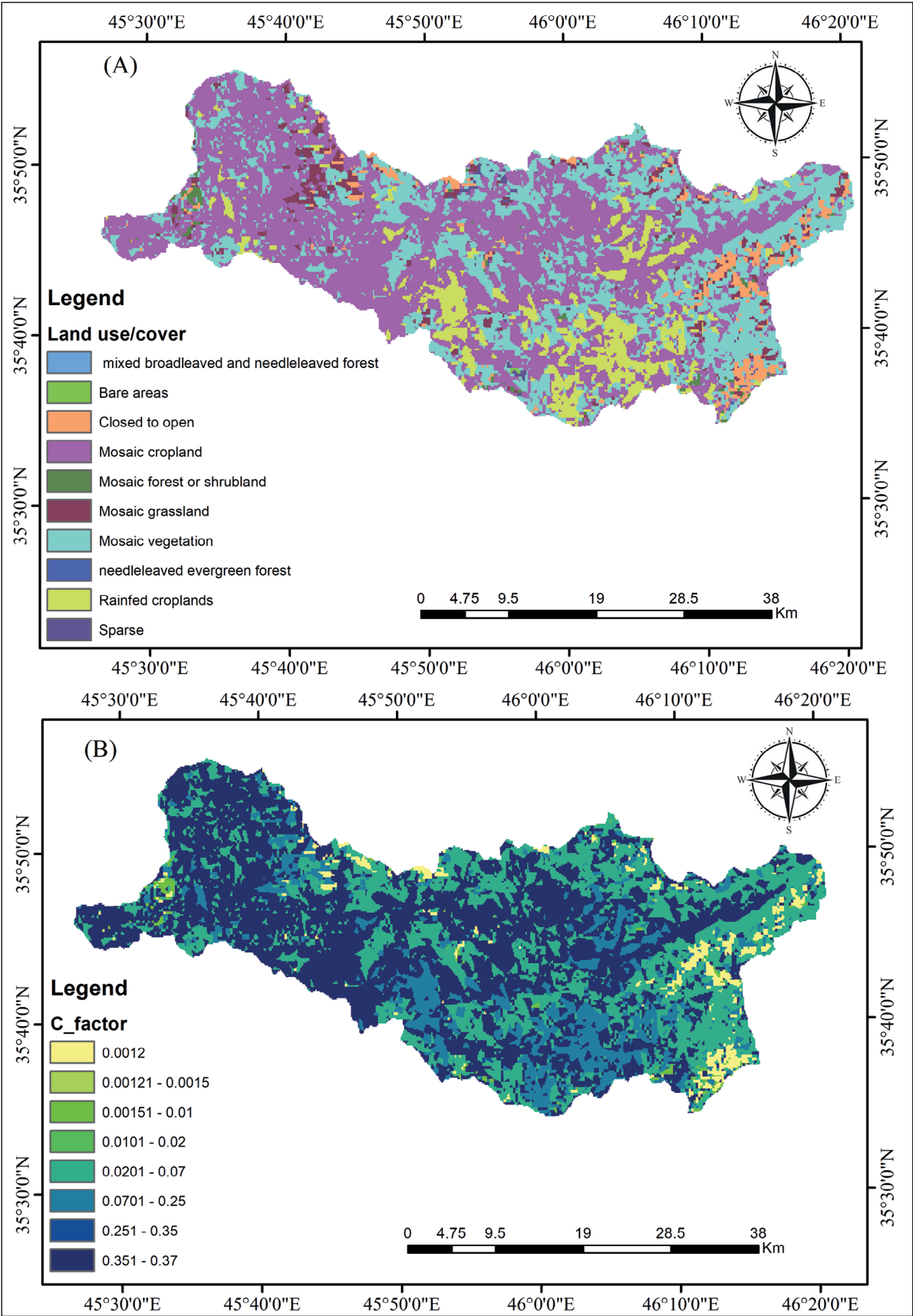


Figure 9- a) Land cover map, b) C_v factor map.

Table 6- Soil Loss class, covered area, and soil risk class.

Soil loses	Soil erosion risk	Area (ha)	Percent of total area	Annual soil Loss(ton)	Percent of total soil loss
0-7.0	Low	115575	74.4	404512	38.99
7.1-15	Moderate	22498.6	14.6	235200	22.67
15.1-25	High	10170.6	6.6	203412	19.6
25.1-45	Very high	4160.7	2.7	145624	14.03
45-60	Sever	924.6	0.6	48541	4.67

the western and central part is spatially situated in the narrower, steep slope close to the outlet Figure 10.

4.6. The Sediment Delivery Ratio (SDR)

The SDR 0.5022 tons is calculated based on Equation 2 and Table 7. The drainage area and relief-

length ratio are related to the time and rate of peak flow and the runoff. The SCS-CN parameter is more sensitive to the basin's soil types and land cover and calculates daily surface runoff (USDA, 1986; Mishra et al., 2006; Kayet et al., 2018). the CN values for each land-use class are calculated and then listed in the supplementary material Table 8 and converted in Grid format Figure 11.

Table 7- Drainage Basin parameters.

Drainage area (DA)	1543 Km ²	ArcGIS 18.1
Relief-length ratio (RL)	3.122	WMS 11.1

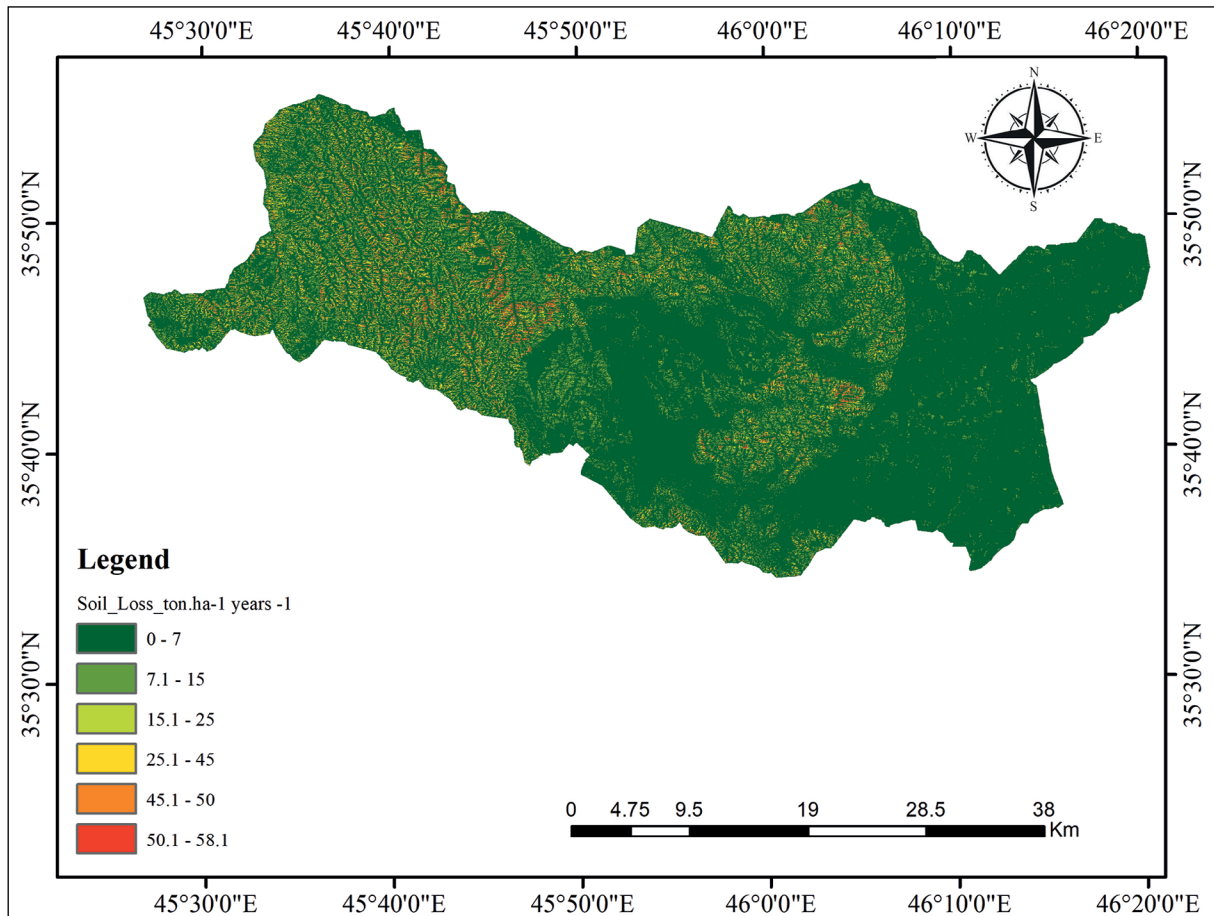
Figure 10- S_{loss} map.

Table 8- Runoff Curve Number values for each class of landcover types.

HSG (Harmonized Soil Group)	Land Use Description	CN code	Area (km ²)	Product (CN x A)
D	Sparse (<15%) vegetation	94	481.359	45247.74
D	Closed to open (>15%) (broadleaved or needleless)	77	21.111	1625.541
D	Rainfed croplands	84	72.466	6087.131
D	Mosaic vegetation (grassland/shrubland/forest)	79	114.837	9072.155
D	Mosaic forest or shrubland (50-70%) / grassland	77	22.009	1694.713
D	Mosaic cropland (50-70%) / vegetation (grassland	84	123.072	10338.06
D	Bare areas	98	13.176	1291.21
D	Mosaic grassland (50-70%) / forest or shrubland	77	16.919	1302.738
D	Closed (>40%) broadleaved deciduous forest (>5)	79	1.946	153.765
D	Closed (>40%) needle-leaved evergreen forest (>)	79	1.797	141.937
B	Sparse (<15%) vegetation	86	181.614	15618.79
B	Mosaic vegetation (grassland/shrubland/forest)	60	120.227	7213.646
B	Mosaic grassland (50-70%) / forest or shrubland	56	39.826	2230.271
B	Mosaic cropland (50-70%) / vegetation (grassland)	69	105.105	7252.274
B	Rainfed croplands	69	155.562	10733.78
B	Closed to open (>15%) (broadleaved or needleless)	56	22.758	1274.441
B	Mosaic forest or shrubland (50-70%) / grassland	56	37.131	2079.351
B	Bare areas	98	12.277	1203.173
D	Water bodies	0	0.15	0
B	Closed (>40%) broadleaved deciduous forest (>5)	60	5.689	341.368
CN	(Weighted) = Total Product \ Total Area	80.6		

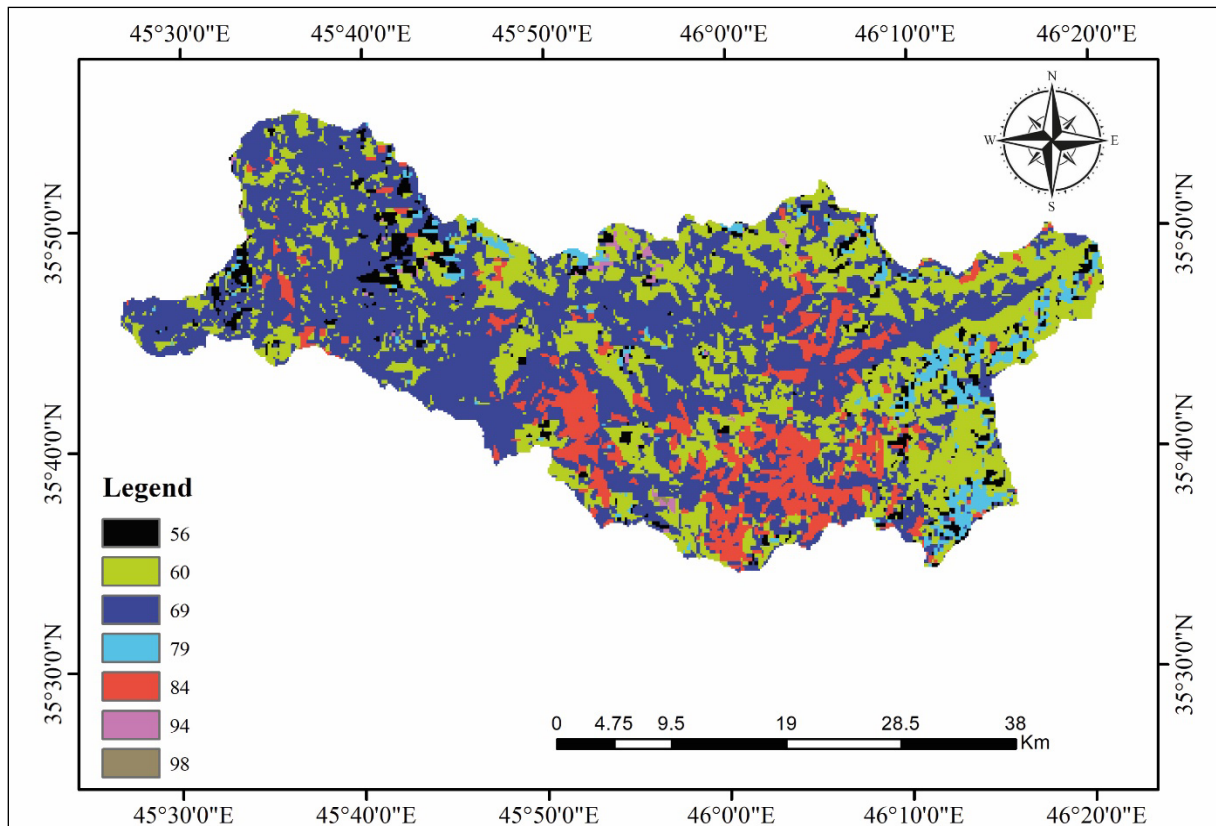


Figure 11- Curve number map.

4.7. The Sediment Yield (SY)

The sediment yield rate is calculated based on Equation 3. It is between 0 to 29.06 1 t. ha⁻¹ yr⁻¹ and the mean value is 0.321 Figure 12. The (Sy) becomes higher in the western part compared to the central areas and becomes lower in the east due to geological conditions dominated by hard un-weathered igneous rocks, the obtained results were well matched with the international soil erosion map of the world Global soil degradation map (Source: UNEP, International Soil Reference and Information Centre) and reveals soil degradation states in Iraq and Iran.

5. Correlation Matrix Between RUSLE Variables and Soil Loss

The correlation coefficient analysis among RUSLE parameters shows that the LS_f , K_s , C_v , and

P_s factors showed a linear relationship with soil loss and sediment yield. In contrast, the R-factor showed an inverse relationship between sediment yield and soil loss Figure 13. The topography (LS_f) was more robust, influencing soil loss and sediment yield. A negative R_E value reveals nature and climate conditions in the Kanarwe river basin. (Mohamadi and Kavian, 2015) Conclude that during periods of intense rainfall, the relation between the soil loss-rainfall fitted with nonlinear functions, because it's the beginning rainstorm until 30 minutes there no soil erosion later with prolonged rainfall the soil saturation crosses the limit of plasticity so as a result the weather layers start eroded the erosion decreases when this weathered layer is gone.

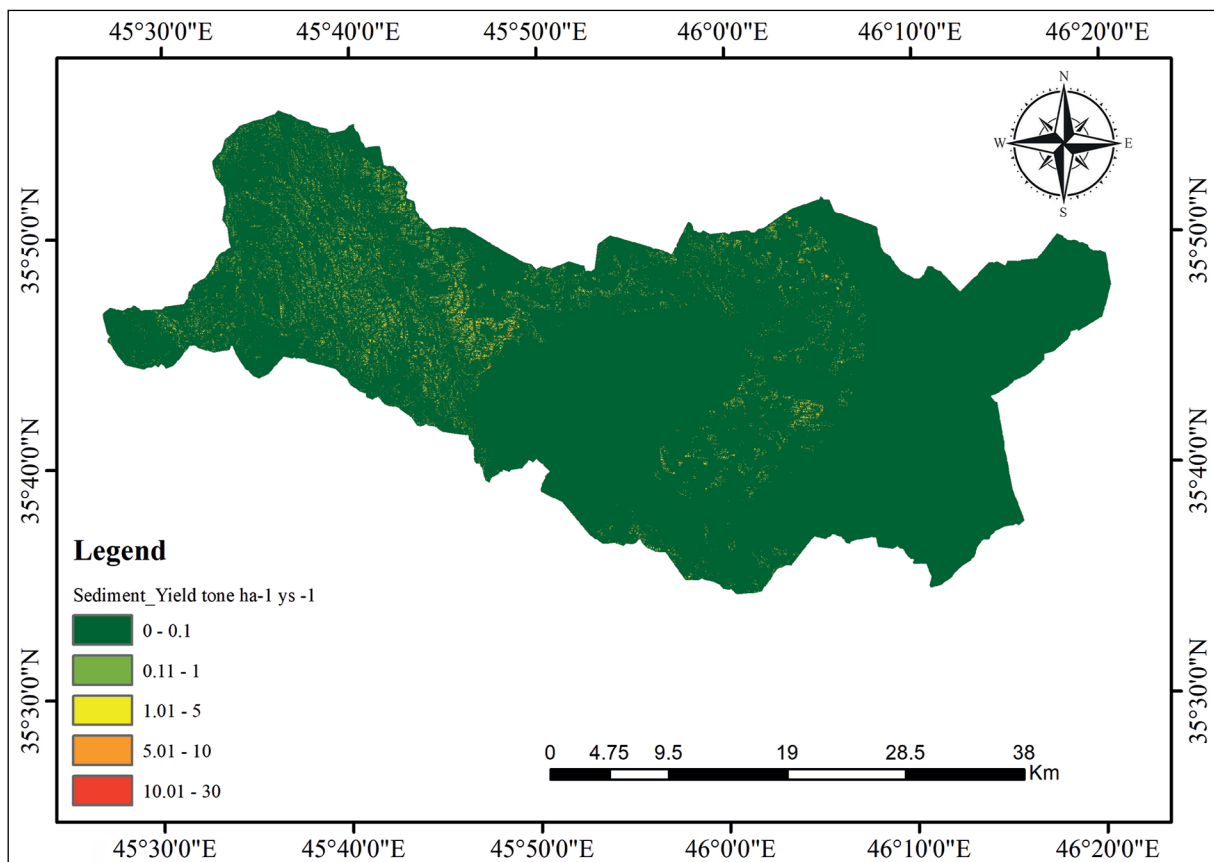


Figure 12- Sediment yield map.

	K factor	C factor	P factor	LS factor	Sediment yield	Soil loss
R factor	-0.47	-0.2	-0.1	-0.19	-0.29	-0.29
K factor		0.22	-0.03	-0.03	0.38	0.38
C factor			-0.04	-0.13	0.36	0.36
P factor				0.29	0.11	0.11
LS factor					0.46	0.46
Sediment yield						1

1 0.8 0.6 0.4 0.2 0 -0.2 -0.4 -0.6 -0.8 -1

Direct relation No relation Inverse relation

Figure 13- The correlation coefficient between RUSLE parameters.

6. Conclusion

Calculating soil loss, sediment yield, and soil erosion risk in a significant watershed like the Kanarwe river basin in Iraq by the RUSLE equation is very important. It guarantees the construction of any infrastructure, such as dams, railroads, urbanization, food production, and agriculture in the present and future. This study's findings include a spatially distributed mean annual soil loss, sediment yield, and tolerable soil loss in the Kanarwe river basin. The annual soil loss rate is extended from 0 to $58 \text{ t ha}^{-1} \text{ yr}^{-1}$, while the sediment yield is between sediment 0 to $30 \text{ t ha}^{-1} \text{ yr}^{-1}$. About 25 % of the watershed is moderate to severe, above FAOs tolerable soil loss rate, and 75% is below FAOs tolerable soil loss, this result reveals that the soil condition is degradation condition in Iraq and Iran. However, the main factors controlling the soil loss are topography, soil erodibility, and crop factors instead of rainfall erosivity R factor due to the geological condition Kanarwe river basin. The statistical analysis reveals that topography flysch rocks denudation makes the soil in ZSZ more vulnerable to weathering and erosion but less by anthropogenic factors. Finally, this study recommends the construction of sand dams in narrow gorges and artificial plantations at steep slopes upstream to control soil erosion risk effectively. The estimation of gully erosion is recommended because the RUSLE does not consider it.

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