



# On third Hankel determinants for subclasses of analytic functions and close-to-convex harmonic mappings

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## Abstract

In this paper, we obtain the upper bounds to the third Hankel determinants for convex functions of order  $\alpha$  and bounded turning functions of order  $\alpha$ . Furthermore, several relevant results on a new subclass of close-to-convex harmonic mappings are obtained. Connections of the results presented here to those that can be found in the literature are also discussed.

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## 1. Introduction

Let  $\mathcal{A}$  be the class of functions *analytic* in the unit disk  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$  of the form

$$f(z) = z + \sum_{k=2}^{\infty} a_k z^k. \quad (1.1)$$

We denote by  $\mathcal{S}$  the subclass of  $\mathcal{A}$  consisting of univalent functions.

A function  $f \in \mathcal{A}$  is said to be starlike of order  $\alpha$  ( $0 \leq \alpha < 1$ ), if it satisfies the following condition:

$$\Re\left(\frac{zf'(z)}{f(z)}\right) > \alpha \quad (z \in \mathbb{D}).$$

We denote by  $\mathcal{S}^*(\alpha)$  the class of starlike functions of order  $\alpha$ .

Denote by  $\mathcal{K}(\alpha)$  the class of functions  $f \in \mathcal{A}$  such that

$$\Re\left(1 + \frac{zf''(z)}{f'(z)}\right) > \alpha \quad (-1/2 \leq \alpha < 1; z \in \mathbb{D}).$$

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In particular, functions in  $\mathcal{K}(-1/2)$  are known to be close-to-convex but are not necessarily starlike in  $\mathbb{D}$ . For  $0 \leq \alpha < 1$ , functions in  $\mathcal{K}(\alpha)$  are known to be convex of order  $\alpha$  in  $\mathbb{D}$ .

A function  $f \in \mathcal{A}$  is said to be in the class  $\mathcal{R}(\alpha)$ , consisting of functions whose derivative have a positive real part of  $\alpha$  ( $0 \leq \alpha < 1$ ), if it satisfies the following condition:

$$\Re(f'(z)) > \alpha \quad (z \in \mathbb{D}).$$

Choosing  $\alpha = 0$ , we denote the  $\mathcal{S} := \mathcal{S}^*(0)$ ,  $\mathcal{K} := \mathcal{K}(0)$  and  $\mathcal{R} := \mathcal{R}(0)$ , the classes of starlike, convex and bounded turning functions, respectively.

Let  $\mathcal{H}$  denote the class of all *complex-valued harmonic mappings*  $f$  in  $\mathbb{D}$  normalized by the condition  $f(0) = f_z(0) - 1 = 0$ . It is well-known that such functions can be written as  $f = h + \bar{g}$ , where  $h$  and  $g$  are analytic functions in  $\mathbb{D}$ . We call  $h$  the analytic part and  $g$  the co-analytic part of  $f$ , respectively. Let  $\mathcal{S}_H$  be the subclass of  $\mathcal{H}$  consisting of univalent and sense-preserving mappings. Such mappings can be written in the form

$$f(z) = h(z) + \overline{g(z)} = z + \sum_{k=2}^{\infty} a_k z^k + \sum_{k=1}^{\infty} \overline{b_k z^k} \quad (|b_1| < 1; z \in \mathbb{D}). \tag{1.2}$$

Harmonic mapping  $f$  is called locally univalent and sense-preserving in  $\mathbb{D}$  if and only if  $|h'(z)| > |g'(z)|$  holds for  $z \in \mathbb{D}$ . Observe that  $\mathcal{S}_H$  reduces to  $\mathcal{S}$ , the class of normalized univalent analytic functions, if the co-analytic part  $g$  vanishes. The family of all functions  $f \in \mathcal{S}_H$  with the additional property that  $f_{\bar{z}}(0) = 0$  is denoted by  $\mathcal{S}_H^0$ . For further information about planar harmonic mappings, see e.g. [10, 13, 33].

Recall that a function  $f \in \mathcal{H}$  is close-to-convex in  $\mathbb{D}$  if it is univalent and the range  $f(\mathbb{D})$  is a close-to-convex domain, i.e., the complement of  $f(\mathbb{D})$  can be written as the union of nonintersecting half-lines. A normalized analytic function  $f$  in  $\mathbb{D}$  is close-to-convex in  $\mathbb{D}$  if there exists a convex analytic function in  $\mathbb{D}$ , not necessarily normalized,  $\phi$  such that  $\Re(f'(z)/\phi'(z)) > 0$ . In particular, if  $\phi(z) = z$ , then for any  $f \in \mathcal{A}$ ,  $\Re(f'(z)) > 0$  implies  $f$  is close-to-convex in  $\mathbb{D}$ , see [37]. We refer to [6, 20, 29, 34, 35] for discussion and basic results on close-to-convex harmonic mappings.

For a harmonic mapping  $f = h + \bar{g}$  in  $\mathbb{D}$ , a basic result in [28] (see also [27]) shows that if at least one of the analytic functions  $h$  and  $g$  is convex, then  $f$  is univalent whenever it is locally univalent in  $\mathbb{D}$ . It is natural to study the univalence of  $f = h + \bar{g}$  in  $\mathbb{D}$  if it is locally univalent and sense-preserving, and analytic function  $h$  is univalent and close-to-convex. Motivated by this idea, we next consider the following subclass of  $\mathcal{H}$ .

**Definition 1.1.** For  $\alpha \in \mathbb{R}$  with  $-1/2 \leq \alpha < 1$ , let  $\mathcal{M}(\alpha)$  denote the class of harmonic mapping  $f = h + \bar{g}$  in  $\mathbb{D}$  of the form (1.2), with  $h'(0) \neq 0$ , which satisfy

$$\Re\left(1 + \frac{zh''(z)}{h'(z)}\right) > \alpha \quad \text{and} \quad g'(z) = zh'(z) \quad (z \in \mathbb{D}).$$

By making use of the similar arguments to those in the proof of [7, Theorem 1], one can easily obtain the close-to-convexity of the class  $\mathcal{M}(\alpha)$ . For special values of  $\alpha$ , many authors have studied the class of close-to-convex harmonic mappings, see e.g. [5, 9, 28, 29, 38].

Pommerenke (see [31, 32]) defined the Hankel determinant  $H_{q,n}(f)$  as

$$H_{q,n}(f) = \begin{vmatrix} a_n & a_{n+1} & \cdots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \cdots & a_{n+q} \\ \vdots & \vdots & \vdots & \vdots \\ a_{n+q-1} & a_{n+q} & \cdots & a_{n+2(q-1)} \end{vmatrix} \quad (q, n \in \mathbb{N}).$$

Problems involving Hankel determinants  $H_{q,n}(f)$  in geometric function theory originate from the work of, e.g., Hadamard, Polya and Edrei (see [11, 14]), who used them in study of singularities of meromorphic functions. For example, they can be used in showing that a function of bounded characteristic in  $\mathbb{D}$ , i.e., a function which is a ratio of two bounded

analytic functions with its Laurent series around the origin having integral coefficients, is rational [8]. Pommerenke [31] proved that the Hankel determinants of univalent functions satisfy the inequality  $|H_{q,n}(f)| < Kn^{-(\frac{1}{2}+\beta)q+\frac{3}{2}}$ , where  $\beta > 1/4000$  and  $K$  depends only on  $q$ . Furthermore, Hayman [17] has proved a stronger result for areally mean univalent functions, i.e., the estimate  $H_{2,n}(f) < An^{1/2}$ , where  $A$  is an absolute constant.

We note that  $H_{2,1}(f)$  is the well-known *Fekete-Szegő functional*, see [15, 21, 22]. The sharp upper bounds on  $H_{2,2}(f)$  were obtained by the authors of articles [3, 18, 19, 23] for various classes of functions.

By the definition,  $H_{3,1}(f)$  is given by

$$H_{3,1}(f) = \begin{vmatrix} a_1 & a_2 & a_3 \\ a_2 & a_3 & a_4 \\ a_3 & a_4 & a_5 \end{vmatrix}.$$

Note that for  $f \in \mathcal{A}$ ,  $a_1 = 1$  so that

$$H_{3,1}(f) = -a_2^2 a_5 + 2a_2 a_3 a_4 - a_3^3 + a_3 a_5 - a_4^2.$$

Obviously, the case of the upper bounds on  $H_{3,1}(f)$  is much more difficult than the cases of  $H_{2,1}(f)$  and  $H_{2,2}(f)$ . In 2010, Babalola [2] has studied the  $\max |H_{3,1}(f)|$  for the classes of convex and bounded turning functions.

**Theorem 1.2.** *Let  $h \in \mathcal{K}$  and  $g \in \mathcal{R}$ , respectively. Then*

$$|H_{3,1}(h)| \leq \frac{32 + 33\sqrt{3}}{72\sqrt{3}} \approx 0.714 \quad \text{and} \quad |H_{3,1}(g)| \leq \frac{2736\sqrt{3} + 675\sqrt{5}}{4860\sqrt{3}} \approx 0.742.$$

In 2017, Zaprawa [40] proved that

**Theorem 1.3.** *Let  $h \in \mathcal{K}$  and  $g \in \mathcal{R}$ , respectively. Then*

$$|H_{3,1}(h)| \leq \frac{49}{540} \approx 0.0907, \quad \text{and} \quad |H_{3,1}(g)| \leq \frac{41}{60} \approx 0.683.$$

Recently, Orhan and Zaprawa [30] proved that

**Theorem 1.4.** *Let  $h \in \mathcal{K}(\alpha)$ . Then*

$$|H_{3,1}(h)| \leq \begin{cases} \frac{1}{540}(1 - \alpha)^2(49 - 102\alpha + 40\alpha^2 - 8\alpha^3), & -1/2 \leq \alpha \leq 0, \\ \frac{1}{540}(1 - \alpha)^2(49 - 16\alpha), & 0 \leq \alpha < 1. \end{cases}$$

Raza and Malik [36] have obtained the upper bound on  $|H_{3,1}(f)|$  for a class of analytic functions that is related to the lemniscate of Bernoulli. Also, Bansal *et al.* [4] obtained the following results.

**Theorem 1.5.** *Let  $h \in \mathcal{K}(-1/2)$  and  $g \in \mathcal{R}$ , respectively. Then*

$$|H_{3,1}(h)| \leq \frac{180 + 69\sqrt{15}}{32\sqrt{15}} \approx 3.609, \quad |H_{3,1}(g)| \leq \frac{439}{540} \approx 0.813.$$

For the class  $\mathcal{R}(\alpha)$ , Vamshee Krishna *et al.* [39] proved that

**Theorem 1.6.** *Let  $g \in \mathcal{R}(\alpha)$  with  $\alpha \in [0, 1/4]$ . Then*

$$|H_{3,1}(g)| \leq \frac{(1 - \alpha)^2}{3} \left[ \frac{8(1 - \alpha)}{9} + \frac{1}{4} \left( \frac{5 - 4\alpha}{3} \right)^{\frac{3}{2}} + \frac{4}{5} \right].$$

In the present investigation, our goal is to discuss the upper bounds to the third Hankel determinants for the subclasses of univalent functions:  $\mathcal{K}(\alpha)$  and  $\mathcal{R}(\alpha)$ . Furthermore, we develop similar results on the Hankel determinants  $|H_{3,1}(h)|$  and  $|H_{3,1}(g)|$  in the context of the close-to-convex harmonic mappings  $f = h + \bar{g} \in \mathcal{M}(\alpha)$ .

### 2. Preliminary results

Denote by  $\mathcal{P}$  the class of Carathéodory functions  $p$  normalized by

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n \quad \text{and} \quad \Re(p(z)) > 0 \quad (z \in \mathbb{D}). \tag{2.1}$$

Following results are the well known for functions belonging to the class  $\mathcal{P}$ .

**Lemma 2.1** ([12]). *If  $p \in \mathcal{P}$  is of the form (2.1), then*

$$|p_n| \leq 2 \quad (n \in \mathbb{N}). \tag{2.2}$$

The inequality (2.2) is sharp and the equality holds for the function

$$\phi(z) = \frac{1+z}{1-z} = 1 + 2 \sum_{n=1}^{\infty} z^n.$$

**Lemma 2.2** ([26]). *If  $p \in \mathcal{P}$  is of the form (2.1), then holds the sharp estimate*

$$|p_n - p_k p_{n-k}| \leq 2 \quad (n, k \in \mathbb{N}, n > k). \tag{2.3}$$

**Lemma 2.3** ([16]). *If  $p \in \mathcal{P}$  is of the form (2.1), then holds the sharp estimate*

$$|p_n - \mu p_k p_{n-k}| \leq 2 \quad (n, k \in \mathbb{N}, n > k; 0 \leq \mu \leq 1). \tag{2.4}$$

**Lemma 2.4** ([24, 25]). *If  $p \in \mathcal{P}$  is of the form (2.1), then there exist  $x, z$  such that  $|x| \leq 1$  and  $|z| \leq 1$ ,*

$$2p_2 = p_1^2 + (4 - p_1^2)x, \tag{2.5}$$

and

$$4p_3 = p_1^3 + 2p_1(4 - p_1^2)x - p_1(4 - p_1^2)x^2 + 2(4 - p_1^2)(1 - |x|^2)z. \tag{2.6}$$

### 3. Bounds of Hankel determinants for analytic functions

In this section, we assume that

$$h(z) = z + \sum_{k=2}^{\infty} a_k z^k \in \mathcal{K}(\alpha) \quad \text{and} \quad g(z) = z + \sum_{k=2}^{\infty} c_k z^k \in \mathcal{R}(\alpha).$$

**Theorem 3.1.** *Let  $g \in \mathcal{R}(\alpha)$  with  $0 \leq \alpha < 1$ . Then*

$$|H_{3,1}(g)| \leq \frac{1}{60}(1 - \alpha)^2(36 - 20\alpha + 5|1 - 4\alpha|). \tag{3.1}$$

**Proof.** Let  $g \in \mathcal{R}(\alpha)$  and

$$p(z) = \frac{1}{1 - \alpha}(g'(z) - \alpha) = 1 + \sum_{k=1}^{\infty} p_k z^k \in \mathcal{P} \quad (0 \leq \alpha < 1; z \in \mathbb{D}).$$

then

$$(k + 1)c_{k+1} = (1 - \alpha)p_k \quad (k \in \mathbb{N}). \tag{3.2}$$

Putting it into the definition of  $H_{3,1}(g)$ , we have

$$\begin{aligned} H_{3,1}(g) &= \frac{1}{2160}(1 - \alpha)^2 \left\{ (1 - \alpha) [-108p_1^2 p_4 + 180p_1 p_2 p_3 - 80p_2^3] + 144p_2 p_4 - 135p_3^2 \right\} \\ &= \frac{1}{2160}(1 - \alpha)^2 \left\{ 108(1 - \alpha)p_4(p_2 - p_1^2) + 80(1 - \alpha)p_2(p_4 - p_2^2) \right. \\ &\quad \left. - 135p_3(p_3 - p_1 p_2) - 45(1 - 4\alpha)p_2(p_4 - p_1 p_3) + (1 + 8\alpha)p_2 p_4 \right\}. \end{aligned}$$

By using Lemma 2.1 and Lemma 2.3 and triangle inequality, we obtain the estimate (3.1) of  $H_{3,1}(g)$ . This completes the proof. □

**Remark 3.2.** By setting  $\alpha = 0$  and  $\alpha = 1/4$  in Theorem 3.1, respectively, the bounds of  $H_{3,1}(g)$  in (3.1) improved the results of the Theorem 1.5 and Theorem 1.6.

In 1960, Lawrence Zalcman posed a conjecture that the coefficients of  $\mathcal{S}$  satisfy the sharp inequality

$$|a_n^2 - a_{2n-1}| \leq (n - 1)^2 \quad (n \in \mathbb{N}),$$

with equality only for the Koebe function  $k(z) = z/(1 - z)^2$  and its rotations. We call  $J_n(f) = a_n^2 - a_{2n-1}$  the Zalcman functional for  $f \in \mathcal{S}$ .

We observe that  $H_{3,1}(f)$  ( $f \in \mathcal{A}$ ) can be written in the form

$$H_{3,1}(f) = a_3(a_2a_4 - a_3^2) + a_4(a_2a_3 - a_4) - a_5J_2(f),$$

and equivalently,

$$H_{3,1}(f) = a_3J_3(f) + a_4(2a_2a_3 - a_4) - a_5a_2^2.$$

An analogous calculation can be applied to the Zalcman functional  $J_n(f)$  for the classes of starlike, convex and bounded turning functions of order  $\alpha$ .

**Theorem 3.3.** *The following estimates hold for analytic functions:*

- (1) *If  $f \in \mathcal{S}^*(\alpha)$  ( $0 \leq \alpha < 1$ ), then  $|J_3(f)| \leq \frac{1}{2}(1 - \alpha)(8 - 7\alpha)$ .*
- (2) *If  $h \in \mathcal{K}(\alpha)$  ( $-1/2 \leq \alpha < 1$ ), then  $|J_3(h)| \leq \frac{1}{360}(1 - \alpha)(127 - 109\alpha)$ .*
- (3) *If  $g \in \mathcal{R}(\alpha)$  ( $0 \leq \alpha < 1$ ), then  $|J_n(g)| \leq \frac{2}{2n-1}(1 - \alpha) \quad (n \geq 2)$ .*

**Proof.** Let  $h \in \mathcal{K}(\alpha)$  and

$$p(z) = \frac{1}{1 - \alpha} \left( 1 + \frac{zh''(z)}{h'(z)} - \alpha \right) \quad (-1/2 \leq \alpha < 1; z \in \mathbb{D}),$$

then, we have

$$p(z) = 1 + p_1z + p_2z^2 + \dots \quad \text{and} \quad \Re(p(z)) > 0 \quad (z \in \mathbb{D}).$$

By elementary calculations, we obtain

$$n(n - 1)a_n = (1 - \alpha) \sum_{k=1}^{n-1} ka_k p_{n-k} \quad (n \geq 2). \tag{3.3}$$

It follows from (3.3) that

$$\begin{cases} a_2 = \frac{1}{2}(1 - \alpha)p_1, \\ a_3 = \frac{1}{6}(1 - \alpha)[(1 - \alpha)p_1^2 + p_2], \\ a_4 = \frac{1}{24}(1 - \alpha)[(1 - \alpha)^2p_1^3 + 3(1 - \alpha)p_1p_2 + 2p_3], \\ a_5 = \frac{1}{120}(1 - \alpha)[(1 - \alpha)^3p_1^4 + 6(1 - \alpha)^2p_1^2p_2 + 8(1 - \alpha)p_1p_3 + 3(1 - \alpha)p_2^2 + 6p_4]. \end{cases} \tag{3.4}$$

From (3.4), we have

$$\begin{aligned} J_3(h) &= \frac{1}{360}(1 - \alpha) \left\{ -7(1 - \alpha)^3p_1^4 - 2(1 - \alpha)^2p_1^2p_2 - (1 - \alpha)p_2^2 + 24(1 - \alpha)p_1p_3 + 18p_4 \right\} \\ &= \frac{1}{360}(1 - \alpha) \left\{ -\frac{63}{4}(1 - \alpha)[p_2 - \frac{2}{3}(1 - \alpha)p_1^2]^2 + 24(1 - \alpha)p_1[p_3 - \frac{2}{3}(1 - \alpha)p_1p_2] \right. \\ &\quad \left. + \frac{21}{2}(1 - \alpha)p_2[p_2 - \frac{2}{3}(1 - \alpha)p_1^2] + \frac{17}{4}(1 - \alpha)p_2^2 + 18p_4 \right\}. \end{aligned}$$

By using Lemma 2.1 and Lemma 2.3, we obtain the bound for the Zalcman functional  $J_3(h)$ .

For  $f \in \mathcal{S}^*(\alpha)$ , combining the Alexander relation  $b_k(f) = ka_k(h)$  ( $k \in \mathbb{N}$ ) and (3.4), yields

$$\begin{aligned} J_3(f) &= \frac{1}{24}(1-\alpha) \left\{ -5(1-\alpha)^3 p_1^4 - 6(1-\alpha)^2 p_1^2 p_2 - 3(1-\alpha) p_2^2 + 8(1-\alpha) p_1 p_3 + 6p_4 \right\} \\ &= \frac{1}{24}(1-\alpha) \left\{ -5(1-\alpha) [p_2 - (1-\alpha) p_1^2]^2 + 8(1-\alpha) p_1 [p_3 - (1-\alpha) p_1 p_2] \right. \\ &\quad \left. + 8(1-\alpha) p_2 [p_2 - (1-\alpha) p_1^2] + 6[p_4 - (1-\alpha) p_2^2] \right\}. \end{aligned}$$

Again, by using Lemma 2.1 and Lemma 2.3, we obtain the bound for the Zalcman functional  $J_3(f)$ .

For  $g \in \mathcal{R}(\alpha)$ , according to the formula (3.2), we have

$$\begin{aligned} J_n(g) &= \frac{1}{n^2}(1-\alpha)^2 p_{n-1}^2 - \frac{1}{2n-1}(1-\alpha) p_{2n-2} \\ &= -\frac{1}{2n-1}(1-\alpha) \left[ p_{2n-2} - \frac{2n-1}{n^2}(1-\alpha) p_{n-1}^2 \right]. \end{aligned}$$

In view of

$$0 < \frac{2n-1}{n^2}(1-\alpha) < 1 \quad (0 \leq \alpha < 1; n \geq 2),$$

and, by Lemma 2.3, we have the desired bound of the Zalcman functional  $J_n(g)$ . This completes the proof.  $\square$

**Remark 3.4.** By setting  $\alpha = -1/2$  for the class  $\mathcal{K}(\alpha)$  in Theorem 3.3, we obtain the known results [1, Theorem 2.3]. Furthermore, using the similar argument in Theorem 3.3, we may obtain the bounds of the Zalcman functional  $J_2(f)$  and  $J_2(h)$ : If  $f \in \mathcal{S}^*(\alpha)$  ( $0 \leq \alpha < 1$ ), then  $J_2(f) \leq 1 - \alpha$ . If  $h \in \mathcal{K}(\alpha)$  ( $-1/2 \leq \alpha < 1$ ), then  $J_2(h) \leq \frac{1}{3}(1 - \alpha)$ .

#### 4. Bounds of Hankel determinants for $\mathcal{M}(\alpha)$

In this section, we obtain upper bounds for the Hankel determinants  $|H_{3,1}(h)|$  and  $|H_{3,1}(g)|$  of close-to-convex harmonic mappings  $f = h + \bar{g} \in \mathcal{M}(\alpha)$ .

**Theorem 4.1.** *Let  $f = h + \bar{g} \in \mathcal{M}(\alpha)$  be of the form (1.2). Then*

$$|H_{3,1}(h)| \leq \frac{1}{540}(1-\alpha)^2(37-4\alpha), \quad (-1/2 \leq \alpha < 1) \quad (4.1)$$

and

$$|H_{3,1}(g)| \leq \begin{cases} \frac{1}{30}(1-\alpha), & -1/2 \leq \alpha \leq 0, \\ \frac{1}{30}(1-\alpha)(1+2\alpha), & 0 < \alpha < 1. \end{cases}$$

**Proof.** Let  $f = h + \bar{g} \in \mathcal{M}(\alpha)$ . By using the above values of  $a_2$ ,  $a_3$ ,  $a_4$  and  $a_5$  from (3.4), and by a routine computation, we obtain

$$\begin{aligned} H_{3,1}(h) &= \frac{1}{8640}(1-\alpha)^2 \left\{ -(1-\alpha)^4 p_1^6 + 6(1-\alpha)^3 p_1^4 p_2 + 12(1-\alpha)^2 p_1^3 p_3 - 21(1-\alpha)^2 p_1^2 p_2^2 \right. \\ &\quad \left. - 36(1-\alpha) p_1^2 p_4 + 36(1-\alpha) p_1 p_2 p_3 - 4(1-\alpha) p_2^3 + 72p_2 p_4 - 60p_3^2 \right\}. \end{aligned} \quad (4.2)$$

From (4.2), we give the decomposition for functional  $H_{3,1}(h)$  as follows

$$\begin{aligned}
 H_{3,1}(h) = & \frac{1}{8640}(1-\alpha)^2 \left\{ 8(1-\alpha) \left[ p_2 - \frac{1}{2}(1-\alpha)p_1^2 \right]^3 - 60 \left[ p_3 - \frac{1}{2}(1-\alpha)p_1p_2 \right]^2 \right. \\
 & + 48 \left[ p_2 - \frac{1}{2}(1-\alpha)p_1^2 \right] \left[ p_4 - \frac{1}{2}(1-\alpha)p_1p_3 \right] \\
 & \left. + 24 \left[ p_2 - \frac{1}{2}(1-\alpha)p_1^2 \right] \left[ p_4 - \frac{1}{2}(1-\alpha)p_2^2 \right] \right\}.
 \end{aligned}$$

We note that

$$0 \leq \frac{1}{2}(1-\alpha) \leq 1 \quad \text{for} \quad -\frac{1}{2} \leq \alpha < 1,$$

by triangle inequality and Lemmas 2.1-2.3, we can obtain the estimate of  $H_{3,1}(h)$ .

By the power series representations of  $h$  and  $g$  for  $f = h + \bar{g} \in \mathcal{M}(\alpha)$ , we see that

$$b_1 = 0, \quad (k+1)b_{k+1} = ka_k \quad \text{for} \quad k \geq 1,$$

which yields

$$\begin{cases} b_2 = \frac{1}{2}a_1 = \frac{1}{2}, \\ b_3 = \frac{2}{3}a_2 = \frac{1}{3}(1-\alpha)p_1, \\ b_4 = \frac{3}{4}a_3 = \frac{1}{8}(1-\alpha)[(1-\alpha)p_1^2 + p_2], \\ b_5 = \frac{4}{5}a_4 = \frac{1}{30}(1-\alpha)[(1-\alpha)^2p_1^3 + 3(1-\alpha)p_1p_2 + 2p_3]. \end{cases}$$

Then, by using (2.5) and (2.6) in Lemma 2.4, we obtain that for some  $x$  and  $z$  such that  $|x| \leq 1$  and  $|z| \leq 1$ ,

$$\begin{aligned}
 H_{3,1}(g) &= 2b_2b_3b_4 - b_3^3 - b_2^2b_5 = b_3b_4 - b_3^3 - \frac{1}{4}b_5 \\
 &= \frac{1}{2160}(1-\alpha) \left\{ (-8\alpha^2 - 2\alpha + 1)p_1^3 + 9(4 - p_1^2)[p_1(x^2 - 2\alpha x) - 2(1 - |x|^2)z] \right\}.
 \end{aligned}$$

By Lemma 2.1, we may assume that  $|p_1| = c \in [0, 2]$ . By applying the triangle inequality in above relation with  $\mu = |x|$ , we obtain

$$|H_{3,1}(g)| \leq \frac{1}{2160}(1-\alpha) \left\{ |8\alpha^2 + 2\alpha - 1|c^3 + 9(4 - c^2)[(c - 2)\mu^2 + 2\alpha c\mu + 2] \right\} =: Q(c, \mu).$$

Let

$$\varphi(\mu) = (c - 2)\mu^2 + 2\alpha c\mu + 2, \quad (0 \leq c \leq 2, 0 \leq \mu \leq 1).$$

If  $\alpha \in [-1/2, 0]$  and  $c \in [0, 2]$ , then  $\varphi(\mu)$  is a non-increasing function, so  $\varphi(\mu) \leq \varphi(0) = 2$ . If  $\alpha \in (0, 1)$  and  $c \in [0, 2]$ ,  $\mu \in [0, 1]$ , then it is clear that  $2\alpha(2 - c\mu) + (2 - c)\mu^2 \geq 0$ . Consequently,

$$(c - 2)\mu^2 + 2\alpha c\mu + 2 \leq 4\alpha + 2.$$

Thus, we have

$$\varphi(\mu) \leq T(\alpha) := \begin{cases} 2, & -1/2 \leq \alpha \leq 0, \\ 4\alpha + 2, & 0 < \alpha < 1. \end{cases}$$

Furthermore, we have

$$|H_{3,1}(g)| \leq Q(c, \mu) \leq \frac{1}{2160}(1-\alpha) \left\{ |8\alpha^2 + 2\alpha - 1|c^3 + 9(4 - c^2)T(\alpha) \right\}.$$

Let

$$\chi(c) = |8\alpha^2 + 2\alpha - 1|c^3 + 9(4 - c^2)T(\alpha), \quad (0 \leq c \leq 2).$$

If  $\alpha \in [-1/2, 0]$ , then

$$\chi(c) = |8\alpha^2 + 2\alpha - 1|c^3 - 18c^2 + 72 \quad (0 \leq c \leq 2).$$

We note that

$$|8\alpha^2 + 2\alpha - 1| = (1 + 2\alpha)(1 - 4\alpha) \in [0, 9/8], \quad (-1/2 \leq \alpha \leq 0).$$

There are critical points of  $\chi(c)$ : 0 and  $c_1 = 12/(1 - 2\alpha - 8\alpha^2)$  which is greater than or equal to  $32/3$ . Consequently,  $\chi(c)$  is decreasing for  $c \in [0, 2]$ , so  $\chi(c) \leq \chi(0) = 72$ . Thus, we obtain the following bound

$$|H_{3,1}(g)| \leq \frac{1}{30}(1 - \alpha), \quad (-1/2 \leq \alpha \leq 0).$$

If  $\alpha \in (0, 1)$ , then

$$\chi(c) = |8\alpha^2 + 2\alpha - 1|c^3 - 18(1 + 2\alpha)c^2 + 72(1 + 2\alpha) \quad (0 \leq c \leq 2).$$

We note that

$$|8\alpha^2 + 2\alpha - 1| = (1 + 2\alpha) \cdot |1 - 4\alpha| \in [0, 9], \quad (0 < \alpha < 1).$$

There are critical points of  $\chi(c)$ : 0 and  $c_2 = 12/|1 - 4\alpha|$  which is greater than 4. Consequently, for  $\alpha \in (0, 1)$  and  $c \in [0, 2]$ , we get

$$\chi(c) \leq \max \left\{ \chi(0), \chi(2) \right\} = \max \left\{ 72(1 + 2\alpha), 8|8\alpha^2 + 2\alpha - 1| \right\} = 72(1 + 2\alpha).$$

Thus, we obtain the following bound

$$|H_{3,1}(g)| \leq \frac{1}{30}(1 - \alpha)(1 + 2\alpha), \quad (0 < \alpha < 1).$$

This completes the proof. □

**Remark 4.2.** By setting  $\alpha = 0$  and  $\alpha = -1/2$  in Theorem 4.1, respectively, we have

$$|H_{3,1}(h)|_{\alpha=0} \leq \frac{37}{540} \approx 0.0685, \quad |H_{3,1}(h)|_{\alpha=-1/2} \leq \frac{13}{80} = 0.1625,$$

and they are much better than Theorem 1.2, Theorem 1.3 and Theorem 1.5.

Furthermore, we note that

$$37 - 4\alpha \leq 49 - 102\alpha + 40\alpha^2 - 8\alpha^3 \quad \text{for} \quad -1/2 \leq \alpha \leq 0,$$

and

$$37 - 4\alpha \leq 49 - 16\alpha \quad \text{for} \quad 0 \leq \alpha < 1,$$

the bounds of  $H_{3,1}(h)$  in (4.1) improved the result of the Theorem 1.4.

**Remark 4.3.** For  $H_{3,1}(g)$  in Theorem 4.1, if we apply the method in Theorem 3.1, then

$$\begin{aligned} H_{3,1}(g) &= 2b_2b_3b_4 - b_3^3 - b_2^2b_5 = b_3b_4 - b_3^3 - \frac{1}{4}b_5 \\ &= \frac{1}{540}(1 - \alpha) \left\{ -2(1 - \alpha)^2p_1^3 - 9[p_3 - (1 - \alpha)p_1p_2] \right\} \\ &= \frac{1}{540}(1 - \alpha) \left\{ 3(1 - \alpha)p_1 \left[ p_2 - \frac{2}{3}(1 - \alpha)p_1^2 \right] - 9 \left[ p_3 - \frac{2}{3}(1 - \alpha)p_1p_2 \right] \right\}. \end{aligned}$$

By using Lemmas 2.1 and 2.3, we have

$$|H_{3,1}(g)| \leq \frac{1}{90}(1 - \alpha)(5 - 2\alpha).$$

Obviously,

$$\begin{aligned} \frac{1}{90}(1 - \alpha)(5 - 2\alpha) &> \frac{1}{30}(1 - \alpha) \quad \text{for} \quad -1/2 \leq \alpha \leq 0, \\ \frac{1}{90}(1 - \alpha)(5 - 2\alpha) &\geq \frac{1}{30}(1 - \alpha)(2\alpha + 1) \quad \text{for} \quad 0 < \alpha \leq 1/4, \end{aligned}$$

and

$$\frac{1}{90}(1 - \alpha)(5 - 2\alpha) < \frac{1}{30}(1 - \alpha)(2\alpha + 1) \quad \text{for} \quad 1/4 < \alpha < 1.$$



Hence, we can get the better upper bounds for  $H_{3,1}(g)$  in Corollary 4.4.

**Corollary 4.4.** *Let  $f = h + \bar{g} \in \mathcal{M}(\alpha)$  be of the form (1.2). Then*

$$|H_{3,1}(g)| \leq \begin{cases} \frac{1}{30}(1-\alpha), & -1/2 \leq \alpha \leq 0, \\ \frac{1}{30}(1-\alpha)(2\alpha+1), & 0 < \alpha \leq 1/4, \\ \frac{1}{90}(1-\alpha)(5-2\alpha), & 1/4 < \alpha < 1. \end{cases}$$

**Corollary 4.5.** *Let  $f = h + \bar{g} \in \mathcal{M}(-1/2)$  be of the form (1.2). Then*

$$|H_{3,1}(h)| \leq \frac{13}{80} = 0.1625, \quad |H_{3,1}(g)| \leq \frac{1}{20} = 0.05.$$

**Remark 4.6.** From the upper bounds of  $H_{3,1}(h)$  and  $H_{3,1}(g)$  in Corollary 4.5, we note that the former is much larger than the latter, this implies that the analytic part  $h$  accounts for absolute advantage than the co-analytic part  $g$  for the harmonic mappings  $f = h + \bar{g} \in \mathcal{M}(\alpha)$ .

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