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Asymptotic behavior of associated primes of certain ext modules

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Abstract

Let R be a commutative Noetherian ring, I an ideal of R and M a finitely generated R-module. It is shown that, whenever I is principal, then for each integer i the set of associated prime ideals $Ass_RExt_R^i(R/I^n, M), n = 1, 2, \ldots$, becomes independent of n, for large n.

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1. Introduction

Let R denote a commutative Noetherian ring (with identity), I an ideal of R, and M a finitely generated R-module. In [7] L.J. Ratliff, Jr., conjectured about the asymptotic behaviour of $\operatorname{Ass}_R R/I^n$ when R is a Noetherian domain. Subsequently, M. Brodmann [1] showed that $\operatorname{Ass}_R M/I^n M$ is ultimately constant for large n. In [6], Melkersson and Schenzel asked whether the sets $\operatorname{Ass}_R \operatorname{Ext}_R^i(R/I^n, M)$ become stable for sufficiently large n. The aim of this paper is to show that, for all $i \geq 0$, the sets of prime ideals $\operatorname{Ass}_R \operatorname{Ext}_R^i(R/I^n, M)$, $n = 1, 2, \ldots$, becomes independent of n, for large n, whenever I is principal, which is an affirmative answer to the above question in the case I is principal. Also, it is shown that, if I is generated by an R-regular sequence and $\operatorname{Ext}_R^i(R/I, M)$ is Artinian, then the set $\bigcup_{n=1}^{\infty} \operatorname{Ass}_R \operatorname{Ext}_R^{i+1}(R/I^n, M)$ is finite.

For any *R*-module *L*, the set $\{\mathfrak{p} \in \operatorname{Ass}_R L | \dim R/\mathfrak{p} = \dim L\}$ is denoted by $\operatorname{Assh}_R L$.

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2. The Results

2.1. Lemma. Let R be a Noetherian ring, I an ideal of R and M a finitely generated R-module. Then the sequence $\operatorname{Ass}_R\operatorname{Ext}^1_R(R/I^n, M)$ becomes eventually constant, for large n.

Proof. See [4, Corollary 2.3].

2.2. Lemma. Let x be an element of the Noetherian ring R. Let M and N be two finitely generated R-modules such that $pd(N) = t < \infty$. Then for each $i \ge t + 2$ and for all large k,

$$\operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i}(N/x^{k}N,M) = \operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i-1}(N/\Gamma_{Rx}(N),M),$$

and so the sets $Ass_R Ext_R^i(N/x^k N, M)$ are eventually constant.

Proof. Suppose that $i \ge t+2$. As, N is finitely generated, it follows that there is an integer n such that

$$\Gamma_{Rx}(N) := \bigcup_{i=0}^{\infty} (0:_M Rx^i) = (0:_N x^n) = (0:_N x^{n+1}) = \cdots$$

Now we claim that for any $k \ge n$,

$$\operatorname{Ext}_{R}^{i}(N/x^{k}N, M) \cong \operatorname{Ext}_{R}^{i-1}(N/\Gamma_{Rx}(N), M).$$

To do this, as $(0:_N x^k) = \Gamma_{Rx}(N)$, it follows that $x^k N \cong N/\Gamma_{Rx}(N)$. Therefore for all $j \ge 0$ we have

$$\operatorname{Ext}_{R}^{j}(x^{k}N, M) \cong \operatorname{Ext}_{R}^{j}(N/\Gamma_{Rx}(N), M),$$

for all $k \ge n$. Now the exact sequence

$$0 \longrightarrow x^k N \longrightarrow N \longrightarrow N/x^k N \longrightarrow 0,$$

implies that

$$\operatorname{Ext}_{R}^{i}(N/x^{k}N,M) \cong \operatorname{Ext}_{R}^{i-1}(x^{k}N,M) \cong \operatorname{Ext}_{R}^{i-1}(N/\Gamma_{Rx}(N),M)$$

(Note that pd(N) = t and $i \ge t + 2$.) Hence we have

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$$\operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i}(N/x^{k}N, M) = \operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i-1}(N/\Gamma_{Rx}(N), M),$$

for all $k \ge n$, as required.

2.3. Theorem. Let R be a Noetherian ring and let x be an element of R. Let M be a finitely generated R-module and i a non-negative integer. Then the sequence

$$\operatorname{Ass}_R\operatorname{Ext}^i_R(R/Rx^k, M)$$

of associated primes is ultimately constant for large k, and if $i \ge 2$, then

$$\operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i}(R/Rx^{k}, M) = \operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i-1}(R/\Gamma_{Rx}(R), M),$$

for all large k.

Proof. The result follows from Lemmas 2.1 and 2.2.

2.4. Proposition. Let R be a Noetherian ring and let M, N be tow finitely generated R-modules. Let x be an N-regular element of R. Then, for any given integer $j \ge 0$, the set

$$\bigcup_{n=1}^{\infty} \operatorname{Ass}_{R} \operatorname{Ext}_{R}^{j}(N/x^{n}N, M),$$

of associated prime ideals, is finite.

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$$\operatorname{Ass}_R\operatorname{Hom}_R(N/x^nN,M) = \operatorname{Ass}_R\operatorname{Hom}_R(N,\operatorname{Hom}_R(R/Rx,M))$$

and so

$$\bigcup_{n=1}^{\infty} \operatorname{Ass}_{R} \operatorname{Ext}_{R}^{0}(N/x^{n}N, M)$$

is a finite set. Suppose then that $j \ge 1$, and we use the exact sequence

$$0 \longrightarrow N \xrightarrow{x^n} N \longrightarrow N/x^n N \longrightarrow 0,$$

to obtain the exact sequence

$$\cdots \longrightarrow \operatorname{Ext}_{R}^{j-1}(N,M) \xrightarrow{x^{n}} \operatorname{Ext}_{R}^{j-1}(N,M) \longrightarrow \operatorname{Ext}_{R}^{j}(N/x^{n}N,M)$$
$$\longrightarrow \operatorname{Ext}_{R}^{j}(N,M) \xrightarrow{x^{n}} \operatorname{Ext}_{R}^{j}(N,M) \longrightarrow \cdots.$$

Hence we have the following exact sequence,

$$0 \to \operatorname{Ext}_{R}^{j-1}(N,M)/x^{n}\operatorname{Ext}_{R}^{j-1}(N,M) \to \operatorname{Ext}_{R}^{j}(N/x^{n}N,M) \to (0:_{\operatorname{Ext}_{R}^{j}(N,M)}x^{n}) \to 0.$$

Consequently, it follows from Brodmann's result (see [1]) that the set

$$\bigcup_{n=1}^{\infty} \operatorname{Ass}_R \operatorname{Ext}_R^j(N/x^n N, M)$$

is finite.

2.5. Lemma. Let R be a Noetherian ring and let M be an R-module. Let N be an Artinian submodule of M. Then

$$\operatorname{Ass}_R M/N \setminus \operatorname{Supp} N = \operatorname{Ass}_R M \setminus \operatorname{Supp} N.$$

Proof. As N is an Artinian R-module, it follows that the set $\text{Supp}N \subseteq \text{Max}R$ is finite. Let $\text{Supp}N = \{\mathfrak{m}_1, \ldots, \mathfrak{m}_n\}$ and $J := \mathfrak{m}_1 \cdots \mathfrak{m}_n$. Then we have

$$\operatorname{Ass}_R M \setminus \operatorname{Supp} N = \operatorname{Ass}_R M / \Gamma_J(M) = \operatorname{Ass}_R M / N \setminus \operatorname{Supp} N,$$

as required.

Following we let $H_I^j(M)$ denote the j^{th} local cohomology module of M with respect to an ideal I of a Noetherian ring R (cf. [2] and [3]).

2.6. Theorem. Let R be a Noetherian ring and let I be an ideal of R which is generated by an R-regular sequence. Let M be a finitely generated R-module and let i be a non-negative integer such that the R-module $\operatorname{Ext}^{i}_{R}(R/I, M)$ is Artinian. Then the set

$$\bigcup_{n=1}^{\infty} \operatorname{Ass}_{R} \operatorname{Ext}_{R}^{i+1}(R/I^{n}, M),$$

is finite. In particular, the set $Ass_R H_I^{i+1}(M)$ is finite.

Proof. For $n \geq 0$, the exact sequence

$$0 \longrightarrow I^n / I^{n+1} \longrightarrow R / I^{n+1} \longrightarrow R / I^n \to 0$$

induces the exact sequence

$$\operatorname{Ext}_{R}^{i}(I^{n}/I^{n+1},M) \to \operatorname{Ext}_{R}^{i+1}(R/I^{n},M) \to \operatorname{Ext}_{R}^{i+1}(R/I^{n+1},M) \to \operatorname{Ext}_{R}^{i+1}(I^{n}/I^{n+1},M).$$

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Since I is generated by an R-regular sequence, by [5, page 125] I^n/I^{n+1} is a finitely generated free R/I-module, and so the sets

$$\operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(I^n/I^{n+1},M) = \operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I,M), \text{ and }$$

 $\operatorname{SuppExt}_{R}^{i}(I^{n}/I^{n+1}, M) = \operatorname{SuppExt}_{R}^{i}(R/I, M)$

are finite, (note that the *R*-module $\operatorname{Ext}_R^i(R/I, M)$ is Artinian). Therefore in view of the above exact sequence and Lemma 2.5, the set

$$\operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I^{n+1}, M) \setminus \operatorname{SuppExt}_R^i(R/I, M)$$

is a subset of

$$(\operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I^n, M) \setminus \operatorname{SuppExt}_R^i(R/I, M)) \cup \operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I, M),$$

and so the set $\bigcup_{n=1}^{\infty} Ass_R Ext_R^{i+1}(R/I^n, M)$ is finite, as required. The second assertion follows from the fact that

$$\operatorname{Ass}_{R}H_{I}^{i+1}(M) \subseteq \bigcup_{n=1}^{\infty} \operatorname{Ass}_{R}\operatorname{Ext}_{R}^{i+1}(R/I^{n}, M).$$

2.7. Corollary. Let R be a Noetherian ring and let I be an ideal of R which is generated by an R-regular sequence. Let M be a finitely generated R-module and let i be a non-negative integer such that $\operatorname{Ext}_{R}^{i}(R/I, M) = 0$. Then the sequence

$$\operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I^k, M)$$

of associated primes is increasing and ultimately constant for all large k.

Proof. Since I^k/I^{k+1} is a free R/I-module, it follows that $\operatorname{Ext}_R^i(I^k/I^{k+1}, M) = 0$, for all $k \geq 1$. Hence the exact sequence

$$0 \longrightarrow \operatorname{Ext}_{R}^{i+1}(R/I^{k}, M) \longrightarrow \operatorname{Ext}_{R}^{i+1}(R/I^{k+1}, M) \longrightarrow \operatorname{Ext}_{R}^{i+1}(I^{k}/I^{k+1}, M),$$

implies that

$$\operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I^k, M) \subseteq \operatorname{Ass}_R\operatorname{Ext}_R^{i+1}(R/I^{k+1}, M).$$

Now the result follows from Theorem 2.6.

2.8. Lemma. Let (R, \mathfrak{m}) be a Noetherian local ring of depth d. Let M be a finitely generated R-module and N an Artinan submodule of M. Then for all $i \leq d-1$,

$$\operatorname{Ext}_{R}^{i}(M,R) \cong \operatorname{Ext}_{R}^{i}(M/N,R)$$

Proof. The exact sequence

$$0 \longrightarrow N \longrightarrow M \longrightarrow M/N \longrightarrow 0$$

induces the exact sequence

$$\operatorname{Ext}^{i-1}_R(N,R) \longrightarrow \operatorname{Ext}^i_R(M/N,R) \longrightarrow \operatorname{Ext}^i_R(M,R) \longrightarrow \operatorname{Ext}^i_R(N,R).$$

As N has finite length and depth R = d, it follows that

$$\operatorname{Ext}_{R}^{i}(N,R) = 0 = \operatorname{Ext}_{R}^{i-1}(N,R).$$

Hence the result follows.

2.9. Lemma. Let (R, \mathfrak{m}) be a local Cohen-Macaulay ring of dimension d and I an ideal of R. Then for any $\mathfrak{p} \in \operatorname{Ass}_R \operatorname{Ext}_R^{\operatorname{grade} I}(R/I, R)$,

height
$$\mathfrak{p} = \operatorname{grade} I$$
.

Proof. Let grade I = t. The assertion is clear when t = 0. Now suppose that, $t \ge 1$. There exists an *R*-regular sequence $x_1, \ldots, x_t \in I$. As

$$\operatorname{Ext}_{R}^{\operatorname{grade} I}(R/I, R) \cong \operatorname{Hom}_{R/(x_{1}, \dots, x_{t})}(R/I, R/(x_{1}, \dots, x_{t})),$$

and $R/(x_1, \ldots, x_t)$ is a Cohen-Macaulay ring it follows that

$$\operatorname{Ass}_R\operatorname{Ext}_R^{\operatorname{grade} I}(R/I, R) \subseteq \operatorname{Assh}_R R/(x_1, \dots, x_t),$$

that implies for any $\mathfrak{p} \in \operatorname{Ass}_R \operatorname{Ext}_R^{\operatorname{grade} I}(R/I, R)$,

height
$$\mathfrak{p} = \operatorname{grade} I$$
,

as required.

2.10. Theorem. Let (R, \mathfrak{m}) be a local Cohen-Macaulay ring of dimension $d \geq 3$. Let I be an ideal of R such that $1 \leq \operatorname{grade} I \leq d-2$. Then

depth
$$\operatorname{Ext}_{R}^{\operatorname{grade} I}(R/I, R) \geq 2,$$

and if grade $I \leq d-3$ then the equality holds if and only if $\mathfrak{m} \in \operatorname{Ass}_R \operatorname{Ext}_R^{1+\operatorname{grade} I}(R/I, R)$.

Proof. Set t := grade I. Let $\Gamma_{\mathfrak{m}}(R/I) := J/I$ for some ideal J of R with $I \subseteq J$. Then it is easy to see that $\mathfrak{m} \notin \operatorname{Ass}_R R/J$ and $\dim R/I = \dim R/J$. Hence as R is a Cohen-Macaulay ring, it follows that grade I = grade J. Moreover, since J/I has finite length, it follows from Lemma 2.8 that

$$\operatorname{Ext}_{R}^{t}(R/I,R) \cong \operatorname{Ext}_{R}^{t}(R/J,R)$$
 and $\operatorname{Ext}_{R}^{t+1}(R/I,R) \cong \operatorname{Ext}_{R}^{t+1}(R/J,R).$

Therefore, we may and do replace I with J in the following. Since $\mathfrak{m} \notin \operatorname{Ass}_R R/J$, it follows that there exists an element $x \in R$ such that x is R/J-regular sequence. Then, as $\dim R/(J+Rx) = \dim R/J - 1$ and R is a Cohen-Macaulay ring, it follows that

$$\operatorname{grade}\left(J+Rx\right) = \operatorname{grade}J+1$$

Now the exact sequence

$$0 \to R/J \xrightarrow{x} R/J \to R/J + Rx \to 0$$

induces the exact sequence

$$0 \to \operatorname{Ext}_{R}^{t}(R/J, R) \xrightarrow{x} \operatorname{Ext}_{R}^{t}(R/J, R) \to \operatorname{Ext}_{R}^{t+1}(R/J + Rx, R).$$

Hence

$$\operatorname{Ass}_R\operatorname{Ext}_R^t(R/J,R)/x\operatorname{Ext}_R^t(R/J,R) \subseteq \operatorname{Ass}_R\operatorname{Ext}_R^{t+1}(R/J+Rx,R)$$

and since $1 + \text{grade } J \leq d - 1$, it follows from Lemma 2.9 that

$$\mathfrak{m} \not\in \mathrm{Ass}_R \mathrm{Ext}_R^{t+1}(R/J+Rx,R).$$

Now, it easily follows that

depth
$$\operatorname{Ext}_{R}^{t}(R/J, R) \geq 2.$$

Now, let grade $J \leq d - 3$. Then we have the following exact sequence,

$$0 \to \operatorname{Ext}_{R}^{t}(R/J, R)/x \operatorname{Ext}_{R}^{t}(R/J, R) \to \operatorname{Ext}_{R}^{t+1}(R/J + Rx, R) \to (0:_{\operatorname{Ext}_{R}^{t+1}(R/J, R)} x) \to 0.$$

Since grade (J + Rx) = t + 1 and $t + 1 \leq d - 2$, it follows from the first part that depth $\operatorname{Ext}_{R}^{t+1}(R/J + Rx, R) \geq 2$. Therefore it follows from the exact sequence

$$0 \to \operatorname{Hom}_{R}(R/\mathfrak{m}, (0:_{\operatorname{Ext}_{R}^{t+1}(R/J,R)} x)) \to \operatorname{Ext}_{R}^{1}(R/\mathfrak{m}, \operatorname{Ext}_{R}^{t}(R/J,R)/x\operatorname{Ext}_{R}^{t}(R/J,R)) \to 0$$

that depth $\operatorname{Ext}_{R}^{t}(R/J, R) = 2$ if and only if $\operatorname{Hom}_{R}(R/\mathfrak{m}, (0:_{\operatorname{Ext}_{R}^{t+1}(R/J,R)} x)) \neq 0$. Consequently depth $\operatorname{Ext}_{R}^{t}(R/J, R) = 2$ if and only if $\mathfrak{m} \in \operatorname{Ass}_{R}\operatorname{Ext}_{R}^{t+1}(R/J, R)$, as required. \Box

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