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## A Comprehensive Study on Physical Properties of Antiperovskite GeNCa<sub>3</sub>

Ahmet İyigör<sup>\*1</sup> and Selgin Al<sup>2</sup>

#### Abstract

A comprehensive study has been carried out in order to reveal physical properties of antiperovskite compound GeNCa<sub>3</sub> in the cubic structure. This study presents extensive properties including mechanical, electronic, vibrational and thermodynamical by means of generalised gradient approximation approach within the density functional theory. The equilibrium lattice constant and bulk modulus of the compound are obtained via energy-volume data. The mechanical stability evaluation is conducted based on Born's criteria using elastic constants. Subsequently, electronic band structures and partial and total densities of states are computed for antiperovskite GeNCa<sub>3</sub>. The electronic band structure of the compound reveals a metallic character with highest contribution to the conductivity Ge 4p and Ca 3d states. Moreover, phonon distribution curve is obtained by employing the linear response technique. The results indicate a dynamically stable compound. Finally, thermodynamic properties such as entropy and specific heat value and the results are presented.

Keywords: first principle calculations, antiperovkites, phonon, elastic constants.

#### **1. INTRODUCTION**

Perovskite materials can be used in various areas such as semiconductors, fuel cells, batteries, sensors, membrane reactors, hydrogen production and so on [1, 2]. These types of materials have a formula of  $ABX_3$ where A and B are cations, X is an anion and have unique chemical and structural properties. Moreover, they can be synthesised with a wide range of elements where large (ionic radius) cations sit in the A site and small cations sit on the B site.

The positions of cations and anions interchange in the case of antiperovskite materials. Other than that, the crystal structures of both types of materials are similar. They also have remarkable thermoelectric properties and broad band gaps which make them attractive for the research and technology purposes. Antiperovskite materials can extract waste heat and turn it into electricity making them interesting for any industrial application [3].

Because of those fascinating properties, antiperovskite materials have been taking great interest from many researchers [4-15]. The phase transitions of a couple of calcium nitrides ((MNCa<sub>3</sub> with M = P, As, Sb, Bi, Ge, Sn, Pb) were studied by Chern et al. [6] and seen a phase transition from cubic to orthorhombic for PNCa<sub>3</sub> and AsNCa3 due to small radius of P and As. A first principle computation was done by Moakafi et al. [8] for SbNCa<sub>3</sub> and BiNCa<sub>3</sub> cubic antiperovskite compounds and reported that these compounds are semi-conductors. The metallic nature of XNCa3 (X=Ge, Sn and Pb) compounds were established by Haddadi et al. [15] via ab initio calculations. The physical properties of TINCa<sub>3</sub> [16] and AuNCa<sub>3</sub> [17] and both compounds showed metallic behavior. In addition, AsNMg3 and SbNMg3 antiperovskites were studied experimentally and noted as ionic semiconductors [9, 18, 19]. The electronic

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investigations of MNSr<sub>3</sub> and MNBa<sub>3</sub> (M=Sb, Bi) antiperovskite compounds revealed a semi-conductor behavior [20]. The electronic and optical properties of GeNCa<sub>3</sub> were studied by Igbal *et al.* [21]. Moreover, structural and elastic properties of GeNCa<sub>3</sub> were computed using density functional theory by Haddadi *et al.* [2].

The studies of calcium nitrides depict that these kinds of materials take great interest by the scientists due to their significant properties. Moreover, the properties such as magnetic, thermoelectric and optic are strongly dependent on their electronic states. Also, phonon modes are extremely important to determine the dynamical stability of these antiperovskites. Thus, a full investigation including phonon density of states is crucial. Motivated by those reasons, this study presents a detailed investigation of cubic antiperovskite GeNCa<sub>3</sub> compound's electronic, magnetic, structural and dynamic properties in the frame of density functional theory.

#### 2. METHOD

This study adopted first principle calculations in order to obtain extensive properties of GeNCa<sub>3</sub> [22, 23] within the Quantum-Espresso software package [24]. Perdew-Burke-Ernzerhof, generalised gradient approximation ( PBE-GGA) was employed for the exchange correlation potential [25, 26]. A 70 Ry kinetic cut off energy was used to expand electronic wave functions in plane-wave basis. A 700 Ry cut off energy was employed for electronic charge density. The Brillouin zone was presented by a 10x10x10 k-points. A Methfessel-Paxton [27] smearing parameter with a width of  $\sigma = 0.02$  Ry was used for the integration up to the Fermi surface in order to obtain a smooth density of states. The lattice dynamic calculations were carried out within the framework of the DFPT [28, 29]. A 4x4x4 q-point mesh was utilised to collect the phonon frequencies to get ten dynamic matrices. Fourier deconvolution was employed to this mesh to reassess these dynamical matrices at arbitrary wave vectors.

Elastic constants of compounds can be used to predict mechanical stability. The stiffness of compounds against externally applied strain can be also estimated using elastic constants. Moreover, it allows to estimate other features such as strength and melting temperature [30]. It is also possible to collect elastic constants from the slopes of the acoustic modes in the full phonon spectra [31, 32]. Small waves in the acoustic phonons correspond to sound velocities which are correlated to  $C_{11}$ ,  $C_{12}$  and  $C_{44}$  as described in references [4, 33]. Subsequently, computation of elastic constants the

relevant polycrystalline features such as Bulk modulus (*B*), Shear modulus (*G*), Young's modulus (*E*), anisotropy factor (*A*) and Poisson's ratio ( $\sigma$ ) can be collected using the following standard relationships [34]:

$$B = \frac{C_{11} + 2C_{12}}{3} \tag{1}$$

$$G = \frac{G_{\nu} + G_R}{2}, G_{\nu} = \frac{C_{11} - C_{12} + 3C_{44}}{5}, G_R = \frac{5(C_{11} - C_{12})C_{44}}{3(C_{11} - C_{12}) + 4C_{44}} (2)$$
$$E = \frac{9BG}{3B + G}$$
(3)

$$A = \frac{2C_{44}}{(C_{11} - C_{12})} \tag{4}$$

$$\sigma = \frac{1}{2} \left( 1 - \frac{E}{3B} \right) \tag{5}$$

#### **3. RESULTS AND DISCUSSION**

#### 3.1. Structural and Elastic Properties

The crystal structure of GeNCa<sub>3</sub> antiperovskite compound is displayed in Figure 1 where Ge atoms sit at (0,0,0) positions, N atoms sit at (1/2, 1/2, 1/2) whilst Ca atoms sit (0,1/2,1/2) positions. The total energy is computed based on the unit cell. The obtained total energy is then matched to Murnaghan's equation of state [35] to collect lattice constants (*a*) in the equilibrium and bulk modulus (B). The obtained lattice constants, bulk modulus and elastic constants of the compounds are presented in Table 1. As it is clearly seen from Table 1 that the computed lattice constant and bulk modulus of the antiperovskite GeNCa<sub>3</sub> in line with the existing data.

Elastic constants of a solid are knows as the quantitative numbers that can provide valuable data on mechanical and dynamical behaviour of a solid [34]. The elastic constants in this study are computed using strain-stress method at the optimised lattice. A cubic structure has three elastic constants which are defined as follows;  $C_{11}$ ,  $C_{12}$  and  $C_{44}$ . The general Born criteria [36] for mechanical stability of a cubic structure is given as;

$$(C_{11}+2C_{12})>0, C_{44}>0, C_{11}>0 \text{ and } C_{11}-C_{12}>0$$
 (6)



Figure 1. The calculated electronic structure of  $GeNCa_3$  in the cubic structure.

The Born stability criteria also brings a restriction for the bulk modulus of the compound. The bulk modulus of a cubic structure should take a value between  $C_{11}$  and  $C_{12}$  as follows [37];

$$C_{11} > B > C_{12} \tag{7}$$

As can be seen from Table 1 that both conditions given in equations 6 and 7 are fulfilled for GeNCa<sub>3</sub>. Table 1 demonstrates that GeNCa<sub>3</sub> fulfils the mechanical stability condition, indicating that the studied antiperovskite GeNCa<sub>3</sub> is mechanically stable.

Table 1: The obtained equilibrium lattice constant a	(Å), bulk modulus <i>B</i> (GP)	a) and elastic constants of	GeNCa <sub>3</sub> .
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Material	Ref.	a(Å)	В	<i>C</i> <sub>11</sub>	<i>C</i> <sub>12</sub>	<i>C</i> 44
GeNCa3	This work	4.807	56.324	109.437	29.768	40.956
	PBE-GGA [21]	4.825	53.578	-	-	-
	PBE-GGA [2]	4.787	58	102	36	43

Table 2: The computed bulk modulus B (GPa), shear modulus G (GPa), B/G ratio, Young's modulus E (GPa), Anisotropy factor A (GPa), Poisson's ratio  $\sigma$ , Cauchy Pressure (C<sub>12</sub> – C<sub>44</sub>) of GeNCa<sub>3</sub>.

Material	Ref.	B	G	B/G	E	A	σ	$C_{12} - C_{44}$
GeNCa <sub>3</sub>	This work	56.324	40.504	1.390	98.016	1.028	0.209	-11.188
	PBE-GGA [2]	58	66	0.8787	143	1.30	-	-7

Based on the calculation in this study given in Table 2 that GeNCa<sub>3</sub> shows a resistance towards a volume change under pressure due to the fact that the value of bulk modulus (*B*) is higher than the value of shear modulus (*G*) which contradicts Haddadi *et al.*'s values. Their reported bulk modulus is lower than shear modulus which maybe owing to using a different code.

Moreover, B/G ratio is computed in order to evaluate brittleness and ductility of GeNCa<sub>3</sub>. This feature is extremely important for high temperature strength. Based on the Pugh criteria [38], if this ratio is higher than 1.75, the solid is ductile if not it is brittle. According to the ratio given in Table 2, GeNCa<sub>3</sub> is brittle. This result is in well accordance with Haddadi *et al.*[2]. In addition, the bonding characteristics of GeNCa<sub>3</sub> is examined via Poisson's ratio and Cauchy pressure. It is accepted that if the Poisson's ratio is about 0.1, the solid shows covalent bonding characteristics, if it is about 0.25, it demonstrates ionic bonding characteristics [38, 39]. For the values between 0.25 and 0.5, the central forces dominate [40]. As shown in Table 2 that the inter atomic forces of GeNCa<sub>3</sub> seem to be ionic. Cauchy pressure  $(C_P=C_{12}-C_{44})$  can be used to predict angular atomic bonding characteristics of metals and compounds. On the assumption that Cauchy pressure is more positive, the solid exhibits more metallic characteristics, otherwise the solid shows angular character in the bonding [38, 41, 42].Thus, it can be said that GeNCa<sub>3</sub> is brittle with angular bonding at zero pressure. The Young modulus and elastic anisotropy are also important physical parameters. As Young modulus of a solid increases it gets much stiffer [43]. If it is around unity (A=1), the solid is isotropic. The value of higher or lower than unity indicates anisotropy. Table 2 exhibits that GeNCa<sub>3</sub> is nearly isotropic owing to A~1.

#### **3.2. Electronic and Phonon Properties**

Electronic band structure and density of states were calculated along the high symmetry directions in the Brillouin zone in order to evaluate the electronic properties of GeNCa<sub>3</sub>. Figure 2 and 3 illustrates the electronic band structure and the total and partial density of states of GeNCa<sub>3</sub>. The antiperovskite GeNCa<sub>3</sub> has metallic character since there is no band gap at the Fermi energy level.

Figure 3 demonstrates the total and partial density of states of GeNCa<sub>3</sub> which provides information about orbital interactions. As can be seen from the figure that Ge 4p states contribute to the band above Fermi level whilst N 2p states contribute below Fermi level.



Figure 2. The calculated electronic structure of  $GeNCa_3$  in the cubic structure.

GeNCa<sub>3</sub> antiperovskite compound has 15 phonon modes; twelve of them is optical and three of them is acoustical. The phonon modes of GeNCa<sub>3</sub> are positive as shown in Figure 4. The phonon modes are degenerate along the  $\Gamma$ -X and R- $\Gamma$  high symmetry directions and reduce 10 phonon modes. Along the M-R high symmetry directions the phonon modes also reduce to 11 due to symmetry. Ge atoms vibrate in the acoustic region (transverse and longitudinal acoustical) since it has larger mass than other atoms. In the mid frequency region, the optical modes are due to vibrations of Ca atoms (9 modes) and the phonon modes in the high frequency region are due to N atoms vibrations (3 modes). It can be predicted that this compound has low thermal conductivity since lower frequency optical modes and acoustic modes cut each other [44]. The gap between Ca optical phonon modes and N optical phonon modes is 0.233 THz. This gap is formed because of the mass difference between Ca and N atoms. The optical phonon frequencies at the zone centre are; 3.350 THz, 4.439 THz, 7.518 THz and 10.445 THz. The phonon modes indicate that GeNCa<sub>3</sub> is dynamically stable. Unfortunately, there is no available data to compare phonon modes of GeNCa<sub>3</sub> in literature. Phonon dispersion curves and phonon density of states are obtained for the first time in this study and added to the literature.



Figure 3. The calculated total and partial density of states of GeNCa<sub>3</sub> in the cubic structure.



Figure 4. The phonon dispersion curves of  $GeNCa_3$  in the cubic structure.

#### 3.3. Thermodynamic Properties

The thermodynamic properties are collected within the quasi-harmonic approximation for different

temperatures from the energy-volume relation. The entropy change of GeNCa<sub>3</sub> with temperature is calculated and presented in Figure 5. As Figure 5 clearly exhibits that the entropy of GeNCa<sub>3</sub> increases with temperature, meaning that free energy of GeNCa<sub>3</sub> decreases.



Figure 5. Entropy (S) change versus temperature of GeNCa<sub>3</sub> in the cubic structure.

The variations of thermal expansion coefficients at a constant volume and pressure versus temperature are displayed in Figure 6 and 7, respectively. As it is clearly seen from the figures that thermal coefficients of GeNCa<sub>3</sub> rapidly increase up to 300 K. After this point, it increases gradually and becomes flat. At higher temperatures, both specific heat capacities reach the Dulong-Petit limit.



Figure 6. The temperature dependence of the specific heat capacities at constant pressure  $(C_p)$  of GeNCa<sub>3</sub> in the cubic structure.



Figure 7. The temperature dependence of the specific heat capacities at constant volume  $(C_V)$  of GeNCa<sub>3</sub> in the cubic structure.

#### **4. CONCLUSION**

The antiperovskite compound GeNCa<sub>3</sub>'s physical properties are examined using first principle calculations. Various constants such as lattice constant, bulk modulus, elastic constants and shear modulus are collected. The evaluation of lattice constants in terms of mechanical stability shows that GeNCa<sub>3</sub> is mechanically stable since it fulfils the wellknown mechanical stability criteria. The analysis of bonding characteristics suggests that GeNCa<sub>3</sub> antiperovskite compound has dominant ionic bonding. According to B/G ratio and Pugh's criteria, the antiperovskite GeNCa<sub>3</sub> is a brittle material. The electronic band structure of GeNCa<sub>3</sub> illustrates that GeNCa<sub>3</sub> is metallic in nature. The biggest contributions to the conductivity are due to Ge 4p and Ca 3d states. The dynamical stability of the compounds has also examined by computing the phonon modes. The positive frequency of phonon modes suggest that GeNCa<sub>3</sub> is dynamically stable. Thermodynamic properties such heat capacities and entropy have also calculated and evaluated. The heat capacities of GeNCa<sub>3</sub> at a constant volume and pressure reach the Dulog-Petit limit at around 500 K. This work is undertaken a systematic investigation to reveal all properties of GeNCa3 antiperovskite compound including phonon and presented new data for future investigations and applications.

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