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# *n*-ABSORBING MONOMIAL IDEALS IN POLYNOMIAL RINGS

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ABSTRACT. In a commutative ring R with unity, given an ideal I of R, Anderson and Badawi in 2011 introduced the invariant  $\omega(I)$ , which is the minimal integer n for which I is an n-absorbing ideal of R. In the specific case that  $R = k[x_1, \ldots, x_n]$  is a polynomial ring over a field k in n variables  $x_1, \ldots, x_n$ , we calculate  $\omega(I)$  for certain monomial ideals I of R.

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# 1. Introduction

Throughout this paper, we set  $\mathbb{N} := \{1, 2, \ldots\}$ ,  $\mathbb{N}_0 := \{0, 1, 2, \ldots\}$ , and R will denote a commutative ring with unity. Given a nonzero ideal I of R,  $\operatorname{Ass}(R/I)$  will denote the set of associated primes of I in R. The primary notion we are interested in this paper is the following:

**Definition 1.** Let  $n \in \mathbb{N}$ , R a commutative ring with unity, and I an ideal of R. I is said to be an *n*-absorbing ideal of a ring R if for any  $x_1, \ldots, x_{n+1} \in R$  such that  $x_1 \cdots x_{n+1} \in I$ , there are n of the  $x_i$ 's whose product is in I. I is said to be a strongly *n*-absorbing ideal of a ring R if for any ideals  $I_1, \ldots, I_{n+1}$  of R such that  $I_1 \cdots I_{n+1} \subseteq I$ , there are n of the  $I_i$ 's whose product is in I.

(Strongly) 2-absorbing ideals were initially defined and investigated by Badawi in [3] as a generalization of prime ideals, which are precisely the proper 1-absorbing ideals. In 2011, Anderson and Badawi together generalized this further to the notion of a (strongly) *n*-absorbing ideal for any  $n \in \mathbb{N}$  defined above in [1]. For an ideal I in a ring R, we let  $\omega(I)$  denote the minimal integer  $n \in \mathbb{N}$  such that I is *n*-absorbing. In a general ring, I may not be *n*-absorbing for any  $n \in \mathbb{N}$ , in which case we set  $\omega(I) = \infty$ . Similarly, we can define the invariant  $\omega^{\bullet}(I)$  to be the smallest integer  $n \in \mathbb{N}$  for which an ideal I is strongly *n*-absorbing, and set  $\omega^{\bullet}(I) = \infty$  if no such integer exists. We set  $\omega(R) = \omega^{\bullet}(R) = 0$ . It is easy to see that  $\omega(I) \leq \omega^{\bullet}(I)$  holds for each ideal I of R. In fact, Anderson and Badawi in Conjecture 1 of [1, page 1669] postulate that  $\omega(I) = \omega^{\bullet}(I)$  holds for any ideal I in an arbitrary ring R; that is, they conjecture that the notion of an n-absorbing ideal and strongly *n*-absorbing ideal coincide. As of this writing, this problem remains open. However, it is known that the conjecture holds true for any  $n \in \mathbb{N}$  if R is a Prüfer domain, i.e., an integral domain such that the set of ideals of  $R_M$  is totally ordered under set inclusion for each maximal ideal M of R ([1, Corollary 6.9]), or if R is a commutative algebra over an infinite field ([7]), and for any ring R if n = 2([3, Theorem 2.13]). The interested reader may refer to the survey article [4, Section 5] for further information on strongly n-absorbing ideals. And erson and Badawi made two more conjectures in [1] which were investigated by several researchers, and affirmative answers were given, either partial or complete. For example, the second Anderson-Badawi conjecture states that given an ideal I of a ring R and an indeterminate X,  $\omega_{R[X]}(I[X]) = \omega_R(I)$  ([1, page 1661]). That is, for each  $n \in \mathbb{N}$ , I is an n-absorbing ideal of R if and only if I[X] is an n-absorbing ideal of R[X]. This conjecture originates from the well-known result that I is a prime ideal (i.e., 1-absorbing ideal) of R if and only if I[X] is a prime ideal of R[X]. And erson and Badawi themselves proved this conjecture for an arbitrary commutative ring when n = 2 ([1, Theorem 4.15]), and Nasehpour proves that the second conjecture holds for every  $n \in \mathbb{N}$  when R belongs to certain classes of rings, including the class of Prüfer domains ([15]). In [11] Laradji independently proved that the second conjecture holds when R is an arithmetical ring, i.e., when the set of ideals of  $R_M$ is totally ordered under set inclusion for each maximal ideal M of R.

Recall that for an ideal I in a ring R, the Noether exponent of I, denoted by e(I), is the minimal integer  $\mu \in \mathbb{N}$  such that  $(\sqrt{I})^{\mu} \subseteq I$ . If such an integer does not exist, we set  $e(I) = \infty$ . We also set e(R) = 0. In a Noetherian ring, since  $\sqrt{I}$  is finitely generated for any ideal I,  $e(I) < \infty$ . Anderson and Badawi in [1] establish a connection between  $\omega^{\bullet}(I)$  and Noether exponents:

**Theorem 1.1.** [1, Remark 2.2, Theorem 5.3, Section 6, Paragraph 2 on page 1669] Let  $I_1, \ldots, I_r$  be ideals of a ring R. Then  $\omega(I_1 \cap \cdots \cap I_r) \leq \omega(I_1) + \cdots + \omega(I_r)$ and  $\omega^{\bullet}(I_1 \cap \cdots \cap I_r) \leq \omega^{\bullet}(I_1) + \cdots + \omega^{\bullet}(I_r)$ . In particular, let I be an ideal in a Noetherian ring R. If  $I = Q_1 \cap \cdots \cap Q_n$ , where the  $Q_i$  are primary ideals, then  $\omega(I) \leq \omega^{\bullet}(I) \leq \sum_{i=1}^{n} e(Q_i)$ . Thus every ideal in a Noetherian ring is n-absorbing for some  $n \in \mathbb{N}$ .

On the other hand, the third Anderson-Badawi conjecture claims that for each  $n \in \mathbb{N}$  and an *n*-absorbing ideal I of a ring R,  $(\sqrt{I})^n \subseteq I$  ([1, Conjecture 2, page

1669]). This conjecture was proved for n = 2 by Badawi ([3]), for n = 3 by Laradji ([11]), for n = 3, 4, 5 by Sihem and Sana ([17]), and for arbitrary n and R by the authors ([6]) and Donadze ([8]), independently. We summarize this as the following theorem in terms of  $\omega(I)$  and e(I), along with the result concerning primary ideals ([1, Theorem 6.3(c), Theorem 6.6]).

**Theorem 1.2.** Given an ideal I of a ring R,  $e(I) \leq \omega(I)$ . If Q is a primary ideal of R, then  $\omega(Q) = \omega^{\bullet}(Q) = e(Q)$ .

This raises the question then if for an arbitrary ideal I whether  $\omega(I)$  can be described purely in terms of Noether exponents or possibly other well-known ringtheoretic invariants. This has been investigated to some extent by others in at least one case. Namely, Moghimi and Naghani [13, Theorem 2.21(1)] show that in a discrete valuation ring R,  $\omega(I)$  is precisely the length of the R-module R/I.

In this spirit, we attempt to give in this paper a description of  $\omega(I)$  in terms of other ring-theoretic invariants in the special case that I is a monomial ideal of a polynomial ring over a field. In some cases, our arguments are general enough to also give the same results for  $\omega^{\bullet}(I)$ , and thus as a side-effect we can show that in some cases the notions of a *n*-absorbing ideal and a strongly *n*-absorbing ideal coincide as Anderson and Badawi conjecture.

The present paper is divided into two parts. In Section 2, we review some definitions and facts concerning *n*-absorbing ideals and monomial ideals. Using these, we calculate  $\omega(I)$  for primary monomial ideals by computing Noether exponents and the standard primary decomposition of monomial ideals. These results lead to the study of how  $\omega(I)$  can be explicitly computed from the generating set of I when Iis a monomial ideal of  $R = k[x_1, \ldots, x_n]$  with  $n \leq 3$  in the following section.

The second part is Section 4, where we define and investigate  $\omega$ -linear monomial ideals, i.e., monomial ideals I such that  $\omega(I^m) = m\omega(I)$  for each  $m \in \mathbb{N}$ . We give a characterization theorem for primary  $\omega$ -linear monomial ideals, and in particular show that integrally closed monomial ideals in R = k[x, y] are w-linear, as well as the edge ideal of a cycle.

## 2. Some background

As a prerequisite of the main section of this paper, we briefly review some of the basic material excerpted from [10] regarding monomial ideals, and show that  $\omega(I)$  can be directly calculated from the generators of I when I is a primary monomial ideal.

Let k be a field and  $R = k[x_1, \ldots, x_n]$  be the polynomial ring with n variables over k. An element of R of the form  $x_1^{a_1} \cdots x_n^{a_n}$  with  $a_i \in \mathbb{N}_0$  is called a *monomial*, and an ideal of R generated by monomials is called a *monomial ideal*. The degree of  $f = x_1^{a_1} \cdots x_n^{a_n}$ , denoted by  $\deg(f)$ , is defined to be  $a_1 + \cdots + a_n$ . G(I) will denote the set of monomials in I which are minimal with respect to divisibility. Any element of R can be written uniquely as a k-linear combination of monomials; that is, given  $f \in R$ , we may write  $f = \sum a_u u$  where the sum is taken over the monomial ideals of R and  $a_u \in k$  for each monomial u. Then the support of f, denoted by  $\operatorname{supp}(f)$ , is the set of monomials u such that  $a_u \neq 0$ . An ideal I of a ring R is *irreducible* if there are no ideals  $I_1, I_2$  of R such that  $I = I_1 \cap I_2$  and  $I \subsetneq I_1, I \subsetneq I_2$ . We denote by  $\mathfrak{m}$  the unique maximal homogeneous ideal of R.

**Lemma 2.1.** [10, Chapter 1] Let  $R = k[x_1, \ldots, x_n]$  and I a monomial ideal of R generated by monomials  $u_1, \ldots, u_r$  of R. Then the following hold:

- (i) Given a monomial  $f \in I$ , there exists  $i \in \{1, \ldots, r\}$  so  $u_i | f$ .
- (ii) G(I) is the unique minimal set of monomial generators of I.
- (iii) I can be written as a finite intersection of ideals of the form (x<sup>d<sub>1</sub></sup><sub>i<sub>1</sub></sub>,...,x<sup>d<sub>m</sub></sup><sub>i<sub>m</sub></sub>). An irredundant presentation of this form is unique (I = Q<sub>1</sub> ∩ ··· ∩ Q<sub>r</sub> is irredundant if none of the ideals Q<sub>i</sub> can be omitted).
- (iv) I is irreducible if and only if I is of the form  $(x_{i_1}^{d_1}, \ldots, x_{i_m}^{d_m})$ . Moreover, every irreducible monomial ideal of the form  $(x_{i_1}^{d_1}, \ldots, x_{i_m}^{d_m})$  is  $(x_{i_1}, \ldots, x_{i_m})$ -primary.
- (v) If J is another monomial ideal of R, then

$$I \cap J = (\{lcm(u, v) \mid u \in G(I), v \in G(J)\}).$$

In particular, if a and b are coprime monomials of R and I is a monomial ideal of R, then  $(ab, I) = (a, I) \cap (b, I)$ .

(vi) An ideal I' of R is monomial if and only if for each  $f \in I'$ ,  $supp(f) \subseteq I'$ .

By Lemma 2.1(iv), the irredundant unique decomposition of Lemma 2.1(iii) is also a primary decomposition of I, which is known as the *standard decomposition* of I (see [10, P. 12]). We will also need the following characterization of primary monomial ideals:

**Lemma 2.2.** [9, Exercise 3.6] Let  $R = k[x_1, \ldots, x_n]$  and  $P = (x_{i_1}, \ldots, x_{i_r})$  a monomial prime ideal of R. Then given a P-primary monomial ideal Q, G(Q)consists of monomials of the ring  $k[x_{i_1}, \ldots, x_{i_r}]$  and there exists  $a_1, \ldots, a_r \in \mathbb{N}$  so  $\{x_{i_1}^{a_1}, \ldots, x_{i_r}^{a_r}\} \subseteq G(Q)$ . Conversely, every monomial ideal of this form is a *P*-primary ideal.

**Proof.** Let  $f \in G(Q)$ . If  $f \notin k[x_{i_1}, \ldots, x_{i_r}]$ , then  $x_j | f$  for some  $x_j \notin P$  and  $g = \frac{f}{x_j} \in Q$  since Q is a P-primary ideal, but this contradicts the minimality of G(Q). Hence  $f \in k[x_{i_1}, \ldots, x_{i_r}]$ . On the other hand, given  $j \in \{1, \ldots, r\}$  there exists  $a_j \in \mathbb{N}$  so  $x_{i_j}^{a_j} \in G(Q)$ , since  $\sqrt{Q} = P$ .

To prove the converse, let Q be a monomial ideal such that G(Q) consists of monomials of the ring  $k[x_{i_1}, \ldots, x_{i_r}]$  and there exists  $a_1, \ldots, a_r \in \mathbb{N}$  so  $\{x_{i_1}^{a_1}, \ldots, x_{i_r}^{a_r}\} \subseteq G(Q)$ . Then  $\sqrt{Q} = P$  by [10, Proposition 1.2.4]. On the other hand, if  $P_1 \in \operatorname{Ass}(R/Q) \setminus \{P\}$ , then  $P_1 = Q$ : f for some monomial f of R ([10, Corollary 1.3.10]). Now choose  $d \in \{1, \ldots, n\}$  so  $x_d \in P_1 \setminus P$ . Then  $x_d f \in Q$ , and  $f \in Q$  by Lemma 2.1(i). But then  $P_1 = R$ , a contradiction. Hence  $\operatorname{Ass}(R/Q) = \{P\}$  and Q is a P-primary monomial ideal.  $\Box$ 

**Corollary 2.3.** Let P be a prime monomial ideal and I, J be P-primary monomial ideals of R. Then both  $I \cap J$  and IJ are P-primary monomial ideals. Moreover, I: J is a P-primary monomial ideal provided  $J \not\subset I$ .

**Proof.** This is an immediate consequence of Lemma 2.1(v) and Lemma 2.2.  $\Box$ 

We can now calculate  $\omega(I)$ , where I is an irreducible monomial ideal.

**Lemma 2.4.** Let  $R = k[x_1, \ldots, x_n]$  denote a polynomial ring over a field k. Let  $I = (x_{i_1}^{d_1}, \ldots, x_{i_m}^{d_m})$ , where  $d_1, \ldots, d_n \in \mathbb{N}$  and  $1 \leq i_1 < i_2 < \cdots < i_m \leq n$ . Then  $\omega(I) = \omega^{\bullet}(I) = e(I) = d_1 + \cdots + d_m - m + 1$ .

**Proof.** Since I is an  $(x_{i_1}, x_{i_2}, \ldots, x_{i_m})$ -primary ideal by Lemma 2.2, the first two equalities follow from Theorem 1.2. Thus it suffices to show that e(I) = r, where  $r = d_1 + \cdots + d_m - m + 1$ . We have  $\sqrt{I} = (x_{i_1}, \ldots, x_{i_m})$ . For  $N \in \mathbb{N}, (\sqrt{I})^N \subseteq I$  if and only if for every  $c_1, \ldots, c_m \in \mathbb{N}_0$  with  $c_1 + \cdots + c_m = N$ , we have  $x_{i_1}^{c_1} \cdots x_{i_m}^{c_m} \in I$ . By Lemma 2.1(i), the latter happens precisely when  $c_i \geq d_i$  for some  $1 \leq i \leq m$ . Thus e(I) = r.

Next, we produce a way to calculate  $\omega(I)$  when I is a monomial primary ideal not necessarily generated by pure powers.

**Lemma 2.5.** Let I be an ideal of a ring R. Suppose there is  $P \in \text{Spec}(R)$  such that  $I = J_1 \cap \cdots \cap J_r$ , where  $J_i$  are ideals of R with  $\sqrt{J_i} = P$  for each  $i \in \{1, \ldots, r\}$ . Then  $e(I) = \max_{1 \le i \le r} \{e(J_i)\}$ .

**Proof.** Note that  $\sqrt{I} = \sqrt{J_1} \cap \cdots \cap \sqrt{J_r} = P$ . Thus given  $\mu \in \mathbb{N}$ ,  $(\sqrt{I})^{\mu} \subseteq I$  if and only if  $(\sqrt{J_i})^{\mu} \subseteq J_i$  for each  $i \in \{1, \ldots, r\}$ , from which the conclusion of the lemma follows.

**Corollary 2.6.** Let  $R = k[x_1, ..., x_n]$  denote a polynomial ring over a field k. If Q is a monomial primary ideal of R and  $Q = \bigcap_{i=1}^r Q_i$  is its standard decomposition, then

$$\omega(Q) = \omega^{\bullet}(Q) = \max_{1 \le i \le r} \{ e(Q_i) \}.$$

**Example 2.7.** Let R = k[x, y, z] with a field k and  $I = (x^4, y^3, z^2, xy, y^2z)$ . Then repeatedly applying Lemma 2.1(v), we obtain the standard decomposition  $I = (x, y^2, z^2) \cap (x^4, y, z^2) \cap (x, y^3, z)$ . Thus by Lemma 2.4 and Corollary 2.6,

 $\omega(I) = \omega^{\bullet}(I) = \max\{1 + 2 + 2 - 3 + 1, 4 + 1 + 2 - 3 + 1, 1 + 3 + 1 - 3 + 1\} = 5.$ 

3. When I is a monomial ideal of  $R = k[x_1, \ldots, x_n]$  with  $n \leq 3$ 

In this section we show that when I is a monomial ideal of  $R = k[x_1, \ldots, x_n]$  with  $n \leq 3$ , then  $\omega(I)$  can be explicitly calculated from G(I). We first prove a theorem analogous to [2, Theorem 2.5]. Note that by  $a_1 \cdots \hat{a_i} \cdots a_n$  we mean  $\prod_{1 \leq j \leq n, j \neq i} a_j$ .

**Lemma 3.1.** Let R be a UFD and p an irreducible element of R. Then given  $n \in \mathbb{N}$ , I is an n-absorbing ideal of R if and only if pI is an (n+1)-absorbing ideal of R. In particular,  $\omega(pI) = \omega(I) + 1$ .

**Proof.** Suppose that I is *n*-absorbing. Let  $f_1, \ldots, f_{n+2} \in R$  and  $f_1 \cdots f_{n+2} \in pI$ . Then since p is irreducible,  $p \mid f_i$  for some i. Without loss of generality, suppose that  $p \mid f_1$ . Then  $f_1/p \in R$ , and so  $(f_1/p)f_2 \cdots f_{n+2} \in I$ . Since I is *n*-absorbing, and hence (n+1)-absorbing as well, we have that either  $(f_1/p)f_2 \cdots \widehat{f_i} \cdots f_{n+2} \in I$  for some  $i \in \{2, \ldots, n+2\}$ , in which case  $f_1f_2 \cdots \widehat{f_i} \cdots f_{n+2} \in pI$  and we are done, or  $f_2 \cdots \widehat{f_{n+2}} \in I$ . This is a product of length n + 1, so that since I is *n*-absorbing, for some j with  $2 \leq j \leq n+2$ , we have  $f_2 \cdots \widehat{f_j} \cdots f_{n+2} \in I$ . Thus  $pf_2 \cdots \widehat{f_j} \cdots f_{n+2} \in pI$ , and so  $f_1f_2 \cdots \widehat{f_j} \cdots f_{n+2} \in pI$ . This shows that pI is then (n + 1)-absorbing, and  $\omega(pI) \leq \omega(I) + 1$ .

To show the converse, suppose that pI is an (n+1)-absorbing ideal. If I is not an n-absorbing ideal, then there exists  $f_1, \ldots, f_{n+1} \in R$  such that  $f = f_1 \cdots f_{n+1} \in I$  but  $f_1 \cdots \widehat{f_i} \cdots f_{n+1} \notin I$  for each i. Since pI is (n+1)-absorbing and  $pf \in pI$ , it follows that either  $pf_1 \cdots \widehat{f_i} \cdots f_{n+1} \in pI$  for some i or  $f \in pI$ . But the former is impossible by our choice of  $f_i$ 's, and without loss of generality we may assume that

 $p|f_1$ . Now  $(f_1/p)f_2\cdots f_n \in I$ , and neither  $(f_1/p)f_2\cdots f_{n+1}$  nor  $(f_1/p)f_2\cdots \widehat{f_i}\cdots f_{n+1}$ is an element of I for each  $i \geq 2$ . Therefore, since R is a UFD, we may assume that none of  $f_i$  are divisible by p. Now  $pf_1\cdots f_{n+1} \in pI$ , but  $pf_1\cdots \widehat{f_i}\cdots f_{n+1} \notin pI$  and  $f_1\cdots f_{n+1} \notin pI$ , which contradicts the assumption that pI is an (n+1)-absorbing ideal. Hence I is an n-absorbing ideal and  $\omega(pI) \geq \omega(I) + 1$ .

The following corollary is now immediate.

**Corollary 3.2.** Given a monomial f and an ideal I of  $R = k[x_1, \ldots, x_n]$ ,  $\omega(fI) = deg(f) + \omega(I)$ . In particular,  $\omega(fR) = deg(f)$ .

Given a monomial ideal I with the standard decomposition  $I = \bigcap_{\ell=1}^{t} T_{\ell}$ , we can define an equivalence relation on  $\{1, \ldots, t\}$  by defining  $i \sim j$  iff  $\sqrt{T_i} = \sqrt{T_j}$ , and set  $\{S_i\}_{i=1}^r$  to be the corresponding equivalence classes. Then  $Q_i = \bigcap_{\ell \in S_i} T_{\ell}$  is a monomial primary ideal for each  $i \in \{1, \ldots, r\}$ , and  $I = \bigcap_{i=1}^r Q_i$  is an irredundant primary decomposition of I. We will call this decomposition the *canonical primary decomposition* of I.

**Theorem 3.3.** Let  $R = k[x_1, \ldots, x_n]$ . Let I be a monomial ideal with canonical primary decomposition  $I = \bigcap_{i=1}^r Q_i$ . If there exists  $k \in \{1, \ldots, r\}$  such that  $\sqrt{Q_i} \subseteq \sqrt{Q_k}$  for all  $i \in \{1, \ldots, r\}$ , then  $\omega(I) = \max\{e(Q_k), \omega(\bigcap_{1 \le i \le r, i \ne k} Q_i)\}$  and  $\omega^{\bullet}(I) = \max\{e(Q_k), \omega^{\bullet}(\bigcap_{1 \le i \le r, i \ne k} Q_i)\}$ .

**Proof.** Let  $t = \max\{e(Q_k), \omega(\bigcap_{1 \le i \le r, i \ne k} Q_i)\}$ . We will first show that I is t-absorbing. If not, then there are  $f_1, \ldots, f_{t+1} \in R$  such that  $f = \prod_{j=1}^{t+1} f_j \in I$  but  $g_j := f/f_j \notin I$  for each  $j \in \{1, \ldots, t+1\}$ . Hence given any  $i \in \{1, \ldots, t+1\}$ , there exists  $\ell \in \{1, \ldots, r\}$  such that  $g_i \notin Q_\ell$ , and since  $f_i g_i = f \in I \subseteq Q_\ell$ , we must have  $f_i \in \sqrt{Q_\ell} \subseteq \sqrt{Q_k}$ . Therefore,  $g_j \in (\sqrt{Q_k})^t \subseteq (\sqrt{Q_k})^{e(Q_k)} \subseteq Q_k$  for all  $j \in \{1, \ldots, t+1\}$ . On the other hand,  $\bigcap_{1 \le i \le r, i \ne k} Q_i$  is t-absorbing and  $f \in \bigcap_{1 \le i \le r, i \ne k} Q_i$ , so that we conclude  $g_j \in \bigcap_{1 \le i \le r, i \ne k} Q_i$  for some  $j \in \{1, \ldots, t+1\}$  and thereby  $g_j \in I$ , a contradiction. Thus  $\omega(I) \le t$ . Next, we show that  $\omega(I) \ge t$ ; that is, I is not (t-1)-absorbing. We now consider two cases.

Case 1:  $t = \omega(\bigcap_{1 \le i \le r, i \ne k} Q_i)$ . Since  $\bigcap_{1 \le i \le r, i \ne k} Q_i$  is not (t-1)-absorbing, there are  $h_1, \ldots, h_t \in \mathbb{R}$  such that  $h = \prod_{i=1}^t h_i \in \bigcap_{1 \le i \le r, i \ne k} Q_i$  and  $\ell_j := h/h_j \notin \bigcap_{1 \le i \le r, i \ne k} Q_i$  for each  $j \in \{1, \ldots, t\}$ . By an argument similar to the first paragraph of this proof,  $h_i \in \sqrt{Q_k}$  for each  $i \in \{1, \ldots, t\}$ , and so  $h \in Q_k$ . Hence  $h \in I$  and  $\ell_j \notin I$  for each  $j \in \{1, \ldots, t\}$ , so that I is not (t-1)-absorbing.

Case 2:  $t = e(Q_k)$ . Consider the standard decomposition of I, and choose an irreducible component T of I such that  $e(T) = e(Q_k)$  and  $\sqrt{T} = \sqrt{Q_k}$ . Since

we obtained the canonical primary decomposition  $I = \bigcap_{i=1}^{r} Q_i$  from the standard decomposition, we can choose a monomial  $g \in (\bigcap_{1 \leq i \leq r, i \neq k} Q_i) \setminus T$  by Lemma 2.1(vi). Now  $T = (x_{i_1}^{a_1}, \ldots, x_{i_l}^{a_l})$  for some  $a_j \in \mathbb{N}$  and  $1 \leq i_1 < \cdots < i_l \leq n$ . Note that we may assume that  $g = \prod_{j=1}^{l} x_{i_j}^{c_j}$  for some  $c_j \in \mathbb{N}_0$  such that  $c_j < a_j$  for each  $j \in \{1, \ldots, l\}$ . Set

$$f := x_{i_1}^{a_1-1} \cdots x_{i_l}^{a_l-1} (x_{i_1} + \cdots + x_{i_l}).$$

Then f is a product of e(T) elements of  $\sqrt{T}$  by Lemma 2.4, and so  $f \in (\sqrt{T})^{e(T)} = (\sqrt{Q_k})^{e(Q_k)} \subseteq Q_k$ . Since  $g \mid f$  it also follows that  $f \in \bigcap_{1 \leq i \leq r, i \neq k} Q_i$ . Hence  $f \in I$ . However, given  $j \in \{1, \ldots, l\}, \frac{f}{x_{i_j}} \notin T$ . Indeed,  $x_{i_1}^{a_1-1} \cdots x_{i_l}^{a_l-1} \in \operatorname{supp}\left(\frac{f}{x_{i_j}}\right) \setminus T$ by Lemma 2.1(i), and  $\frac{f}{x_{i_j}} \notin T$  by Lemma 2.1(vi). Similarly  $x_{i_1}^{a_1-1} \cdots x_{i_l}^{a_l-1} = \frac{f}{x_{i_1} + \cdots + x_{i_l}} \notin T$ . Therefore I is not  $(e(Q_k)-1)$ -absorbing, and  $\omega(I) \geq e(Q_k) = t$ . Hence we have shown that  $\omega(I) = \max\{e(Q_k), \omega(\bigcap_{1 \leq i \leq r, i \neq k} Q_i)\}$ . The proof of  $\omega^{\bullet}(I) = \max\{e(Q_k), \omega^{\bullet}(\bigcap_{1 \leq i \leq r, i \neq k} Q_i)\}$  can be obtained in a similar manner, and is omitted.

The following corollary is immediate.

**Corollary 3.4.** Let  $R = k[x_1, ..., x_n]$  and I a monomial ideal of R with standard decomposition  $I = \bigcap_{i=1}^r T_i$ . Then  $\omega(I) = \omega^{\bullet}(I) = \max_{1 \le i \le r} \{e(T_i)\}$  if Ass(R/I) is totally ordered under set inclusion.

In the next proposition, we give a characterization of when the upper bound of  $\omega(I)$  from Theorem 1.1 is sharp.

**Proposition 3.5.** Let I be a monomial ideal of  $R = k[x_1, \ldots, x_n]$  with an irredundant primary decomposition  $I = Q_1 \cap \cdots \cap Q_r$ . Then  $\omega(I) = \omega^{\bullet}(I) = \sum_{i=1}^r e(Q_i)$  if and only if I has no embedded associated primes.

**Proof.** Set  $P_i = \sqrt{Q_i}$  for each  $i = 1, \ldots, r$ .

 $\Rightarrow$ : We prove the contrapositive; assume that  $P_1, \ldots, P_r$  are not incomparable prime ideals. Then without loss of generality we may assume that  $P_1 \subsetneq P_2$ , and we have  $\omega(Q_1 \cap Q_2) = \max\{e(Q_1), e(Q_2)\}$  by Corollary 3.4. Therefore by Theorem 1.1 we have

$$\begin{split} \omega(I) &= \omega \Big( Q_1 \cap Q_2 \cap \big( \bigcap_{i \neq 1, 2} Q_i \big) \Big) \\ &\leq \omega \Big( Q_1 \cap Q_2 \Big) + \omega \Big( \bigcap_{i \neq 1, 2} Q_i \Big) \\ &= \max\{e(Q_1), e(Q_2)\} + \omega \Big( \bigcap_{i \neq 1, 2} Q_i \Big) \\ &\leq \max\{e(Q_1), e(Q_2)\} + \sum_{i \neq 1, 2} e(Q_i) \\ &< \sum_{i=1}^r e(Q_i). \end{split}$$

 $\leftarrow: \text{ Assume that } P_1, \ldots, P_r \text{ are incomparable prime ideals. The case when } r = 1 \\ \text{follows from Theorem 1.2, so we may assume that } r \geq 2. \text{ Since } \omega(I) \leq \omega^{\bullet}(I) \leq \sum_{i=1}^r e(Q_i) \text{ by Theorem 1.1, it suffices to show that } I \text{ is not } (\sum_{i=1}^r e(Q_i) - 1)\text{-absorbing.} \\ \text{Now given } i \in \{1, \ldots, r\}, \text{ choose } T_i \text{ to be an irreducible component of } I \text{ with } \\ \sqrt{T_i} = P_i \text{ and } e(T_i) = e(Q_i). \text{ Write } T_i = (x_{i_1}^{a_1}, \ldots, x_{i_{s_i}}^{a_{s_i}}) \text{ with } 1 \leq i_1 < \cdots < i_{s_i} \leq n \\ \text{ and } a_1, \ldots, a_{s_i} \in \mathbb{N}. \text{ For } i \in \{1, \ldots, r\} \text{ and } j \in \{1, \ldots, s_i\}, \text{ set} \end{cases}$ 

$$f_{i,j} = x_{i_j} + \sum_{t \neq j} x_{i_t}^2$$
 and  $f_i = \left(\sum_{l=1}^{s_i} x_{i_l}\right) \left(\prod_{j=1}^{s_i} f_{i,j}^{a_j-1}\right).$ 

It follows that  $f_i \in P_i^{e(T_i)} = (\sqrt{Q_i})^{e(Q_i)} \subseteq Q_i$ . Thus  $f := \prod_{i=1}^r f_i \in I$ , and f is a product of  $\sum_{i=1}^r e(Q_i)$  elements of R. We wish to show that  $\frac{f}{\sum_{l=1}^{s_i} x_{i_l}} \notin I$  and  $\frac{f}{f_{i,j}} \notin I$  for each  $i \in \{1, \ldots, r\}$  and  $j \in \{1, \ldots, s_i\}$ . Without loss of generality, we let i = 1. Note that  $\frac{f_1}{f_{1,j}} \notin T_1$ , since  $\prod_{t=1}^{s_1} x_{1_t}^{a_t-1} \in \operatorname{supp}\left(\frac{f_1}{f_{1,j}}\right) \setminus T_1$ . On the other hand,  $\sum_{l=1}^{s_i} x_{i_l} \notin P_1$  and  $f_{i,l} \notin P_1$  for each  $i \neq 1$  and  $l \in \{1, \ldots, s_i\}$ . Therefore  $f_i \notin P_1$  for each  $i \neq 1$ , and  $\frac{f}{f_1} = \prod_{i=2}^r f_i \notin P_1$ . Hence  $\frac{f}{f_{1,j}} = \left(\frac{f}{f_1}\right) \left(\frac{f_1}{f_{1,j}}\right) \notin Q_1$ . The proof that  $\frac{f}{\sum_{l=1}^{s_1} x_{l_l}} \notin Q_1$  follows similarly. Hence we have  $\omega(I) = \sum_{i=1}^r e(Q_i)$ .

Theorem 3.3 and Proposition 3.5 yield the following corollary.

**Corollary 3.6.** Let I be a monomial ideal of  $R = k[x_1, ..., x_n]$  with dim(R/I) = 1. Let  $I = \bigcap_{i=1}^r Q_i$  be the canonical primary decomposition of I. Then

$$\omega(I) = \omega^{\bullet}(I) = \begin{cases} \max\{e(Q_k), \sum_{i \neq k} e(Q_i)\} & \text{if } \sqrt{Q_k} = \mathfrak{m} \text{ for some } k \in \{1, \dots, r\}.\\ \sum_{i=1}^r e(Q_i) & \text{otherwise.} \end{cases}$$

**Corollary 3.7.** Let f be a monomial of R. Then  $\omega^{\bullet}(fR) = deg(f)$ . In particular,  $\omega(fR) = \omega^{\bullet}(fR)$ .

**Proof.** Let  $f = \prod_{k=1}^{r} x_{i_k}^{a_k}$  for some  $a_1, \ldots, a_r \in \mathbb{N}$  and  $1 \le i_1 < i_2 < \cdots < i_r \le n$ . Then  $fR = x_{i_1}^{a_1}R \cap \cdots \cap x_{i_r}^{a_r}R$ , and by Lemma 2.4 and Proposition 3.5 we have  $\omega(fR) = \omega^{\bullet}(fR) = \sum_{i=1}^{r} e(x_{i_k}^{a_k}R) = \sum_{i=1}^{r} a_k = \deg(f)$ .

Given a monomial ideal I of R = k[x, y, z], we can produce an algorithm that can compute  $\omega(I)$ . If I is principal, then Corollary 3.7 says that  $\omega(I)$  is equal to the degree of a generator for I. Otherwise, I = hJ for some monomial h and a monomial ideal J with dim $(R/J) \leq 1$ . Now,  $\omega(J)$  can be calculated explicitly using Corollary 2.6 or Corollary 3.6 after obtaining a canonical primary decomposition of J, and we have  $\omega(I) = deg(h) + \omega(J)$  by Corollary 3.2.

**Example 3.8.** Let R = k[x, y, z] and  $I = (x^3y^4, x^2y^5, x^4y^3z^2, x^5y^3z, x^2y^4z^2)$ . Then  $I = x^2y^3J$  with canonical primary decomposition  $J = (x^2, y) \cap (y, z) \cap (x^3, y^2, z^2, xy)$ . By Lemma 2.4 and Corollary 2.6, the standard decomposition  $(x^3, y^2, z^2, xy) = (x, y^2, z^2) \cap (x^3, y, z^2)$  yields that  $e((x^3, y^2, z^2, xy)) = 4$ . Thus by Corollary 3.6,

$$\begin{aligned} \omega(I) &= deg(x^2y^3) + \omega(J) \\ &= 5 + \max\{e((x^3, y^2, z^2, xy)), e((x^2, y)) + e((y, z))\} \\ &= 5 + \max\{4, 2 + 1\} \\ &= 9. \end{aligned}$$

Another interesting result that follows from Lemma 3.1 and Theorem 3.3 is a formula of  $\omega(I)$  and  $\omega^{\bullet}(I)$  for monomial ideals of R = k[x, y] where k is a field and x, y are indeterminates over k.

**Theorem 3.9.** Let R = k[x,y] and J a monomial ideal of R. Write  $J = (x^{a_1}y^{b_1}, \ldots, x^{a_r}y^{b_r})$ , where  $\{a_i\}$  is strictly decreasing and  $\{b_i\}$  is strictly increasing. Then

$$\omega(J) = \omega^{\bullet}(J) = \begin{cases} a_1 + b_1 & \text{if } r = 1.\\ \max_{1 \le i \le r-1} \{a_i + b_{i+1}\} - 1 & \text{if } r > 1. \end{cases}$$

**Proof.** The case when r = 1 follows from Corollary 3.7. For r > 1, first observe the standard decomposition of J is  $J = x^{a_r}R \cap y^{b_1}R \cap (x^{a_1}, y^{b_2}) \cap (x^{a_2}, y^{b_3}) \cap \cdots$  $\cdot \cap (x^{a_{r-1}}, y^{b_r})$  ([12, Proposition 3.2]). The case  $b_1 = a_r = 0$  follows from Corollary 2.6. Suppose that at least one of  $a_r$  and  $b_1$  is nonzero. Then by Lemma 2.4 and Corollary 3.6,

$$\begin{split} \omega(J) &= \omega^{\bullet}(J) = \max\{e((x^{a_1}, y^{b_2}) \cap (x^{a_2}, y^{b_3}) \cap \dots \cap (x^{a_{r-1}}, y^{b_r})), e(x^{a_r}R) + e(y^{b_1}R)\} \\ &= \max\{\max_{1 \le i \le r-1} \{e((x^{a_i}, y^{b_{i+1}}))\}, a_r + b_1\} \\ &= \max\{\max_{1 \le i \le r-1} \{a_i + b_{i+1} - 1\}, a_r + b_1\} \\ &= \max_{1 \le i \le r-1} \{a_i + b_{i+1}\} - 1. \end{split}$$

**Example 3.10.** If R = k[x, y] and  $J = (x^{11}y^4, x^8y^5, x^7y^9, x^4y^{10}, x^2y^{16})$ , then by Theorem 3.9,

$$\omega(J) = \omega^{\bullet}(J) = \max\{11 + 5, 8 + 9, 7 + 10, 4 + 16\} - 1 = 19.$$

### 4. $\omega$ -Linear ideals

Given an ideal I of a ring R, we will say that I is an  $\omega$ -linear ideal if  $\omega(I^m) = m\omega(I)$  for each  $m \in \mathbb{N}$ . Perhaps the most common example of  $\omega$ -linear ideals can be found amongst those  $P \in \operatorname{Spec}(R)$  for which  $P^n$  is P-primary for each  $n \in \mathbb{N}$  ([1, Theorem 3.1, Theorem 5.7]). For instance,

- 1. R is a Prüfer domain and  $P^2 \neq P$ .
- 2. R is a Noetherian ring and P is a maximal ideal that contains a nonzerodivisor.
- 3.  $R = k[x_1, \ldots, x_n]$  and P is a monomial ideal.

In this section, we investigate the properties of  $\omega$ -linear ideals. Again, we will restrict our concern to monomial ideals of a polynomial ring  $R = k[x_1, \ldots, x_n]$  where k is a field.

We first consider a few useful inequalities regarding monomial ideals.

**Lemma 4.1.** Let I be a monomial ideal of  $R = k[x_1, \ldots, x_n]$ . Then  $\omega(I) \ge \max\{\deg(f) \mid f \in G(I)\}.$ 

**Proof.** Let  $f \in G(I)$ . Then  $f = \prod_{k=1}^{r} x_{i_k}^{a_k}$  for some  $a_1, \ldots, a_r \in \mathbb{N}$  and  $1 \leq i_1 < i_2 < \cdots < i_r \leq n$ . Since  $f \in I$  but  $\frac{f}{x_{i_k}} \notin I$  for each  $k \in \{1, \ldots, r\}$  by minimality of G(I), we have that I is not  $(\deg(f) - 1)$ -absorbing. Hence  $\omega(I) \geq \deg(f)$ , and since f was chosen arbitrarily, we have the desired conclusion.  $\Box$ 

**Lemma 4.2.** Let  $I \subseteq J$  be ideals of a ring R. If  $\sqrt{I} = \sqrt{J}$ , then  $e(J) \leq \omega(I)$ . In particular, if I and J are both P-primary ideals of a prime ideal P of R, then  $\omega(J) \leq \omega(I)$ .

**Proof.** Since  $\sqrt{I} = \sqrt{J}$ ,  $(\sqrt{J})^{\omega(I)} \subseteq (\sqrt{I})^{e(I)} \subseteq I \subseteq J$  by Theorem 1.2 and  $e(J) \leq \omega(I)$ . The second statement follows immediately since  $e = \omega$  for primary ideals.

**Lemma 4.3.** Let P be a prime monomial ideal of  $R = k[x_1, \ldots, x_n]$ . If I, J are Pprimary monomial ideals of R, then  $\omega(I+J) \leq \min\{\omega(I), \omega(J)\} \leq \max\{\omega(I), \omega(J)\} = \omega(I \cap J) \leq \omega(IJ) \leq \omega(I) + \omega(J)$ . Moreover,  $\omega(I:J) \geq \omega(I) - \omega(J)$ .

**Proof.** Note that by Corollary 2.3,  $IJ \subseteq I \cap J \subseteq I+J$  are all *P*-primary monomial ideals. Therefore  $\omega(I+J) \leq \min\{\omega(I), \omega(J)\} \leq \max\{\omega(I), \omega(J)\} \leq \omega(I \cap J) \leq \omega(IJ)$  by Lemma 4.2. On the other hand, let  $I = \bigcap_{i=1}^{r} Q_i$  and  $J = \bigcap_{j=1}^{s} T_j$  be the standard decompositions of *I* and *J*, respectively. Then  $I \cap J = (\bigcap_{i=1}^{r} Q_i) \cap (\bigcap_{j \in B} T_j)$  is an irreducible decomposition of  $I \cap J$ , and by throwing away any redundant components, there are  $A \subseteq \{1, \ldots, r\}$  and  $B \subseteq \{1, \ldots, s\}$  so that  $I \cap J = (\bigcap_{i \in A} Q_i) \cap (\bigcap_{j \in B} T_j)$  is the standard decomposition of  $I \cap J$ . Thus by Corollary 2.6,

$$\begin{split} \omega(I \cap J) &= \max\{\max_{i \in A} \{e(Q_i)\}, \max_{j \in B} \{e(T_j)\}\} \\ &\leq \max\{\max_{1 \leq i \leq r} \{e(Q_i)\}, \max_{1 \leq j \leq s} \{e(T_j)\}\} \\ &= \max\{\omega(I), \omega(J)\}. \end{split}$$

Moreover,  $(\sqrt{IJ})^{e(I)+e(J)} = P^{e(I)+e(J)} = P^{e(I)}P^{e(J)} = (\sqrt{I})^{e(I)}(\sqrt{J})^{e(J)} \subseteq IJ$ , and so  $e(IJ) \leq e(I)+e(J)$ . Combined with Theorem 1.2, we have  $\omega(IJ) \leq \omega(I)+\omega(J)$ . It remains to show that  $\omega(I:J) \geq \omega(I) - \omega(J)$ . When  $J \subseteq I$ , then we have I: J = R and  $\omega(I:J) = 0 \geq \omega(I) - \omega(J)$  by Lemma 4.2. If  $J \not\subseteq I$ , then I: J is P-primary by Corollary 2.3, and since  $J(I:J) \subseteq I$ , we have  $\omega(I:J)+\omega(J) \geq \omega(I)$ by the first part of this lemma, hence the claim.  $\Box$ 

As Anderson and Badawi pointed out ([1, Example 2.7]), the conclusion of Lemma 4.3 does not hold in every ring R. We add, that even in a polynomial ring over a field, the conclusion of the above lemma may fail if we drop any part of the hypothesis.

**Example 4.4.** Let R = k[x, y, z] and  $I = (x^2, xy, y^2, xz^2)$  and  $J = (x^2, xy, y^2, yz^3)$ , so that neither I nor J are primary ideals. The standard decompositions of I, J

and  $I \cap J$  are

$$I = (x^2, y, z^2) \cap (x, y^2)$$
$$J = (x, y^2, z^3) \cap (x^2, y)$$
$$I \cap J = (x, y^2) \cap (x^2, y)$$
$$I + J = (x, y) \cap (x, y^2, z^3) \cap (x^2, y, z^2)$$

Thus we have  $\omega(I) = 3$ ,  $\omega(J) = 4$ ,  $\omega(I \cap J) = 2$  and  $\omega(I + J) = 4$ , so that  $\omega(I \cap J) < \omega(I + J) = \max\{\omega(I), \omega(J)\}.$ 

**Example 4.5.** Let R = k[x, y, z] and I = (x, y) and  $J = (y, z^2)$ , so that I and J are both primary, but  $\sqrt{I} \neq \sqrt{J}$ . Then we have  $\omega(I) = 1$ ,  $\omega(J) = 2$  and  $\omega(I \cap J) = 3$ , so that  $\omega(I \cap J) > \max\{\omega(I), \omega(J)\}$ .

**Corollary 4.6.** Let I be a primary monomial ideal of  $R = k[x_1, \ldots, x_n]$ . Then for each  $m \in \mathbb{N}$  we have  $\omega(I^m) \leq m\omega(I)$ .

**Proof.** Follows immediately by induction on *m* and Lemma 4.3.

Next, we derive a characterization of primary monomial  $\omega$ -linear ideals.

**Lemma 4.7.** Let  $R = k[x_1, \ldots, x_n]$  and Q a primary monomial ideal of R, so that G(Q) consists of monomials of the ring  $k[x_{i_1}, \ldots, x_{i_r}]$  for some  $1 \le i_1 < i_2 < \cdots < i_r \le n$  and there exists  $a_1, \ldots, a_r \in \mathbb{N}$  so  $\{x_{i_1}^{a_1}, \ldots, x_{i_r}^{a_r}\} \subseteq G(Q)$ . Choose  $s \in \{1, \ldots, r\}$  so  $a_s = \max_{1 \le j \le r} \{a_j\}$ .

- (1) If  $G(Q) = \{x_{i_1}^{a_1}, \dots, x_{i_r}^{a_r}\}$ , then  $\omega(Q^m) = (m-1)a_s + \omega(Q)$  for each  $m \in \mathbb{N}$ .
- (2) Q is  $\omega$ -linear if and only if  $\omega(Q) = a_s$ .

**Proof.** (1) Let  $Q = (x_{i_1}^{a_1}, \ldots, x_{i_r}^{a_r})$ . Then given  $m \in \mathbb{N}$ , set  $S_m = \{(k_1, \ldots, k_r) \in \mathbb{N}^r \mid \sum_{j=1}^r k_j = m+r-1\}$  and  $Q_k = (x_{i_1}^{k_1a_1}, \ldots, x_{i_r}^{k_ra_r})$  for each  $k = (k_1, \ldots, k_r) \in S_m$ . Then  $Q^m = \bigcap_{k \in S_m} Q_k$  ([14, Theorem 6.2.4]). Now by Corollary 2.6 and Lemma 2.4,

$$\omega(Q^m) = \max_{k \in S_m} \{e(Q_k)\} = \max_{k \in S_m} \{\sum_{j=1}^r k_j a_j\} - r + 1 = (m-1)a_s + \omega(Q).$$

(2) Fix  $m \in \mathbb{N}$  and set

$$I_1 = (x_{i_1}^{a_1}, \dots, x_{i_r}^{a_r})^m, I_2 = (x_{i_1}, \dots, x_{i_{s-1}}, x_{i_s}^{ma_s}, x_{i_{s+1}}, \dots, x_{i_r}).$$

It follows that  $I_1 \subseteq Q^m \subseteq I_2$  are  $(x_{i_1}, \ldots, x_{i_r})$ -primary ideals, so we have  $ma_s = \omega(I_2) \leq \omega(Q^m) \leq \omega(I_1) = (m-1)a_s + \sum_{j=1}^r a_j - r + 1$  by Corollary 4.2,

Lemma 2.4 and part 1 of this lemma. Therefore if Q is  $\omega$ -linear, then  $\omega(Q) = \lim_{m \to \infty} \frac{m\omega(Q)}{m} = \lim_{m \to \infty} \frac{\omega(Q^m)}{m} = a_s$ . Conversely, suppose that  $\omega(Q) = a_s$  and fix  $m \in \mathbb{N}$ . Then since  $x_{i_s}^{ma_s} \in G(Q^m)$  we have  $\omega(Q^m) \ge ma_s = m\omega(Q)$  by Lemma 4.1. Hence  $\omega(Q^m) = m\omega(Q)$  by Corollary 4.6 and so Q is  $\omega$ -linear.

**Corollary 4.8.** Let I be an irreducible monomial ideal of  $R = k[x_1, \ldots, x_n]$  so that  $I = (x_{i_1}^{a_1}, \ldots, x_{i_r}^{a_r})$  for some  $1 \le i_1 < i_2 < \cdots < i_r \le n$  and  $a_1, \ldots, a_n \in \mathbb{N}$ . Set  $a_s = \max_{1 \le j \le r} \{a_j\}$ . Then the following are equivalent.

I is ω-linear.
ω(I<sup>m</sup>) = mω(I) for some m > 1.
ω(I) = a<sub>s</sub>.
a<sub>i</sub> = 1 for each i ≠ s.

**Proof.**  $(1) \Rightarrow (2)$  Obvious.

(2)  $\Rightarrow$  (3) Suppose that  $\omega(I^m) = m\omega(I)$  for some m > 1. By Lemma 4.7(1) we have  $\omega(I^m) = (m-1)a_s + \omega(I)$ . Hence  $\omega(I) = a_s$ .

 $(3) \Leftrightarrow (4)$  Immediate consequence of Lemma 2.4.

 $(3) \Leftrightarrow (1)$  Follows from Lemma 4.7(2).

**Lemma 4.9.** Let P be a monomial prime ideal of R. If I, J are P-primary  $\omega$ -linear monomial ideals of R, then so is  $I \cap J$ .

**Proof.** Without loss of generality we may assume that  $\omega(I) \geq \omega(J)$ . By Lemma 4.7(2), there is  $j \in \{1, \ldots, r\}$  so that  $x_{i_j}^{\omega(I)} \in G(I)$ . There exists  $a \in \mathbb{N}$  so  $x_{i_j}^a \in G(J)$ . Then again, by Lemma 4.7(2),  $a \leq \omega(J)$ . Now,  $x_{i_j}^{\omega(I)} = lcm(x_{i_j}^{\omega(I)}, x_{i_j}^a) \in G(I \cap J)$ . On the other hand,  $\omega(I \cap J) = \omega(I)$  by Lemma 4.3. Hence  $I \cap J$  is  $\omega$ -linear by Lemma 4.7(2).

Given a monomial ideal I of R = k[x, y] we will write  $I = (x^{a_1}y^{b_1}, \ldots, x^{a_r}y^{b_r})$ where  $\{a_i\}$  and  $\{b_i\}$  are strictly decreasing and strictly increasing sequences of nonnegative integers, respectively. Similarly, if J is a monomial ideal of R we write  $J = (x^{c_1}y^{d_1}, \ldots, x^{c_s}y^{d_s})$  where  $\{c_i\}$  and  $\{d_i\}$  are strictly decreasing and strictly increasing sequence of non-negative integers, respectively. Hence  $b_1 = a_r = 0$  iff Iis (x, y)-primary, and  $d_1 = c_s = 0$  iff J is (x, y)-primary.

**Lemma 4.10.** Let R = k[x, y] and I, J be (x, y)-primary monomial ideals with  $\omega(I) \ge \omega(J)$ . Then  $\omega(IJ) \le \omega(I) + \max\{c_1, d_s\}$ .

**Proof.** We may assume that  $c_1 \geq d_s$ . Then  $e(I) = \omega(I) \geq \omega(J) \geq c_1$  by Lemma 4.1, so  $(x, y)^{e(I)+c_1} = (x, y)^{e(I)}(x^{c_1}, y^{c_1}) = (\sqrt{I})^{e(I)}(x^{c_1}, y^{c_1}) \subseteq IJ$  are (x, y)-primary ideals. Therefore  $\omega(IJ) \leq \omega((x, y)^{e(I)+c_1}) = e(I) + c_1 = \omega(I) + c_1$  by Lemma 4.2.

We now classify  $\omega$ -linear monomial ideals I in R = k[x, y].

**Proposition 4.11.** Let R = k[x, y] and  $I = (x^{a_1}y^{b_1}, \ldots, x^{a_r}y^{b_r})$  be a monomial ideal of R. Then the following are equivalent.

- (1) I is  $\omega$ -linear.
- (2)  $\omega(I^m) = m\omega(I)$  for some m > 1.
- (3)  $\omega(I) = \max\{a_1 + b_1, a_r + b_r\}.$

**Proof.** Note that given  $m \in \mathbb{N}$  and a monomial f of R, by Lemma 3.1 we have

$$\begin{split} \omega(I^m) &= m\omega(I) \\ \Leftrightarrow m(deg(f)) + \omega(I^m) &= m(deg(f)) + m\omega(I) \\ \Leftrightarrow deg(f^m) + \omega(I^m) &= m(deg(f) + \omega(I)) \\ \Leftrightarrow \omega((fI)^m) &= m\omega(fI). \end{split}$$

Moreover, if I is a principal ideal, then I satisfies all of 1, 2, and 3 by Corollary 3.2. Hence we may assume that I is a (x, y)-primary monomial ideal of R. That is,  $a_r = b_1 = 0$ .

$$(1) \Rightarrow (2)$$
 is trivial.

(2)  $\Rightarrow$  (3) Suppose that  $\omega(I^m) = m\omega(I)$  for some m > 1. Note that  $\omega(I^{m-1}) + \omega(I) \ge \omega(I^m) = m\omega(I)$  by Lemma 4.3 and  $\omega(I^{m-1}) \le (m-1)\omega(I)$  by Corollary 4.6, and thereby  $\omega(I^{m-1}) = (m-1)\omega(I)$ . Hence we must have  $\omega(I^2) = 2\omega(I)$ . Since  $\omega(I^2) \le \omega(I) + \max\{a_1, b_r\}$  by Lemma 4.10,  $\omega(I) = \omega(I^2) - \omega(I) \le \max\{a_1, b_r\}$ . On the other hand,  $\omega(I) \ge \max\{a_1, b_r\}$  by Lemma 4.1. Therefore  $\omega(I) = \max\{a_1, b_r\}$ . (3)  $\Rightarrow$  (1) Follows from Lemma 4.7(2).

**Lemma 4.12.** The set of monomial  $\omega$ -linear ideals of R = k[x, y] is multiplicatively closed.

**Proof.** Let *I* and *J* be monomial  $\omega$ -linear ideals of *R*. By Lemma 3.1 we may assume that *I* and *J* are (x, y)-primary ideals of *R*. Then  $\omega(I) = \max\{a_1, b_r\}$ ,  $\omega(J) = \max\{c_1, d_s\}$  by Proposition 4.11. Now,  $x^{a_1+c_1}$  and  $y^{b_r+d_s}$  are elements of G(IJ). Hence by Lemma 4.7(2) and Lemma 4.1, to show that *IJ* is  $\omega$ -linear it suffices to show that  $\omega(IJ) \leq \max\{a_1 + c_1, b_r + d_s\}$ . Suppose that  $\omega(I) = a_1$  and  $\omega(J) = c_1$ . Then all we have to show is  $\omega(IJ) \leq a_1 + c_1$ , which follows from Lemma 4.3. The case when  $\omega(I) = b_r$  and  $\omega(J) = d_s$  can be derived in the exact same manner. Therefore, without loss of generality we may assume that  $\omega(I) = a_1 > b_r$  and  $\omega(J) = d_s > c_1$ . Observe now that  $Ix^{c_1} + Jy^{b_r}$  is an (x, y)-primary ideal contained in IJ. Thus by Lemma 4.2 and Theorem 3.9 we have

$$\begin{split} \omega(IJ) &\leq \omega(Ix^{c_1} + Jy^{b_r}) \\ &= \max\{\max_{1 \leq i \leq r-1} \{a_i + b_{i+1} + c_1\} - 1, \max_{1 \leq j \leq s-1} \{c_j + d_{j+1} + b_r\} - 1\} \\ &= \max\{\omega(I) + c_1, \omega(J) + b_r\} \\ &= \max\{a_1 + c_1, b_r + d_s\}. \end{split}$$

Recall that given an ideal I of a commutative ring R, an element  $f \in R$  is said to be *integral* over I if there is some  $k \in \mathbb{N}$  and  $c_i \in I^i$  for each  $i \in \{1, \ldots, k\}$  so that

$$f^k + c_1 f^{k-1} + \dots + c_{k-1} f + c_k = 0.$$

The set of elements of R integral over I is called the *integral closure* of I and is denoted by  $\overline{I}$ . I is said to be *integrally closed* if  $I = \overline{I}$ .

**Corollary 4.13.** Every integrally closed monomial ideal of R = k[x, y] is  $\omega$ -linear.

**Proof.** Let *I* be an integrally closed monomial ideal of *R*. It is well known that *R* is an *integrally closed domain* (i.e., *R* is an integral domain that contains every nonzero element of the quotient field of *R* that is integral over *R*), and that each principal ideal of *R* is integrally closed, and the product of an integrally closed ideal of *R* and a nonzero element of *R* yields another integrally closed ideal of *R*. Hence by Lemma 3.1 we may assume that *I* is (x, y)-primary. Now by [16, Proposition 2.6] there are monomial ideals  $I_1 = (\{x^{r-i}y^{b_i}\}_{i=0}^r)$  and  $I_2 = (\{x^{a_i}y^i\}_{i=0}^r)$  of *R* with  $0 = b_0 < b_1 < \cdots < b_r$  and  $a_0 > a_1 > \cdots > a_r = 0$  so  $I = I_1I_2$ . Thus by Lemma 4.12, it suffices to show that  $I_1$  and  $I_2$  are  $\omega$ -linear. By Theorem 3.9,  $\omega(I_1) = \max_{0 \le i \le r-1} \{c_i\}$ , where  $c_i = r - i + b_{i+1} - 1$  for each  $i \in \{0, 1, \ldots, r - 1\}$ . Since  $c_{i+1} - c_i = b_{i+1} - (b_i + 1) \ge 0$  for each  $i \in \{0, 1, \ldots, r - 1\}$ , we have  $\omega(I_1) = c_{r-1} = b_r = \max\{r, b_r\}$  and  $I_1$  is  $\omega$ -linear by Proposition 4.11. The proof that  $I_2$  is  $\omega$ -linear follows similarly.

**Remark 4.14.** (1) Even if I and J are  $\omega$ -linear monomial primary ideals such that  $\sqrt{I} = \sqrt{J}$ , we may have  $\omega(I \cap J) < \omega(IJ) < \omega(I) + \omega(J)$ . Indeed, set R = k[x, y],

 $I = (x^3, xy, y^2)$  and  $J = (x^2, xy, y^3)$ . Then both I and J are  $\omega$ -linear (x, y)-primary ideals of R. However,  $IJ = (x^5, x^3y, x^2y^2, xy^3, y^5)$ , so  $\omega(IJ) = 5 < 6 = \omega(I) + \omega(J)$ . On the other hand,  $\omega(I \cap J) = \max\{\omega(I), \omega(J)\} = 3$  by Corollary 2.6.

(2) Not every  $\omega$ -linear monomial ideal of R = k[x, y] is integrally closed. For example, set  $I = (x^3, xy^2, y^4)$ . Then  $\omega(I) = 4$  by Theorem 3.9, and I is  $\omega$ -linear by Proposition 4.11. However,  $(x^2y)^2 = x^3(xy^2) \in I^2$  and  $x^2y \notin I$ . Thus I is not integrally closed ([10, Theorem 1.4.2]).

So far, we have considered only  $\omega$ -linear monomial ideals of the form fI where f is a monomial and I is a primary ideal, and most of the proof is solely based on the fact that  $e(I) = \omega(I)$  when I is a primary ideal. We now show that there exists a class of (integrally closed) nonprimary  $\omega$ -linear monomial ideals. In fact, some of the squarefree monomial ideals are  $\omega$ -linear. Recall that a monomial  $f = x_{i_1}^{a_1} \cdots x_{i_r}^{a_r}$  is said to be squarefree if  $a_1 = \cdots = a_r = 1$ . A monomial ideal generated by squarefree monomials is said to be a squarefree monomial ideal.

**Lemma 4.15.** Let I be a squarefree monomial ideal. Then  $\omega(I^m) \ge m\omega(I)$  for each  $m \in \mathbb{N}$ .

**Proof.** Let  $P_1, \ldots, P_r$  be minimal prime ideals of I. Then  $I = \bigcap_{i=1}^r P_i$  and  $\omega(I) = r$  by Proposition 3.5. Set  $f_i = \sum_{x_j \in G(P_i)} x_j$  for each  $i \in \{1, \ldots, r\}$ . Then  $f := \prod_{i=1}^r f_i \in \prod_{i=1}^r P_i \subseteq I$ , so  $f^m \in I^m$ . However,  $\frac{f^m}{f_i} \notin P_i^m$ , so  $\frac{f^m}{f_i} \notin I^m([10, Proposition 1.4.4])$ . Thus  $I^m$  is not (mr - 1)-absorbing and  $\omega(I^m) \ge m\omega(I)$ .  $\Box$ 

Recall that a graph G consists of a set of vertices  $V = \{v_1, ..., v_n\}$  and a set of edges  $E \subseteq \{v_i v_j | v_i, v_j \in V\}$ , and is called *bipartite* if there exists two disjoint subsets  $U_1, U_2$  of V such that  $E \subseteq \{v_i v_j | v_i \in U_1, v_j \in U_2\}$ . The *edge ideal* of Gis defined to be the ideal  $I = (\{x_i x_j | v_i v_j \in E\})$  of  $R = k[x_1, ..., x_d]$ , where k be a field and d is the number of vertices of G. Given a graph G = (V, E), a subset Wof V is said to be a *vertex cover* if given  $v_i v_j \in E$ , either  $v_i \in W$  or  $v_j \in W$ . A vertex cover W of G is said to be a *minimal vertex cover* if each proper subset of W is not a vertex cover of G.

If I is an edge ideal of a graph, then it is a squarefree monomial ideal and a monomial prime ideal P is a minimal ideal of I if and only if the set of vertices that corresponds to P is a minimal vertex cover. Also, a graph is bipartite if and only if it has no cycle of odd length as its subgraph.

Our first example of a nonprimary  $\omega$ -linear ideal is the edge ideal of a bipartite graph.

**Lemma 4.16.** Let  $R = k[x_1, \ldots, x_n]$ . If I is an ideal of R that is also the edge ideal of a bipartite graph G, then I is  $\omega$ -linear.

**Proof.** Let I be an edge ideal of a graph G and let  $P_1, \ldots, P_r$  be the set of (incomparable) minimal prime ideals of I. Recall that a graph G is bipartite if and only if

$$I^m = \bigcap_{P \text{ is a minimal prime of } I} P^m$$

for each  $m \in \mathbb{N}$  ([18, Theorem 5.9]). Hence if G is bipartite, then by Proposition 3.5,  $\omega(I^m) = \sum_{i=1}^r e(P_i^m) = \sum_{i=1}^r m = mr$  for each  $m \in \mathbb{N}$ . Therefore the conclusion follows.

There are nonbipartite graphs whose edge ideals are  $\omega$ -linear.

**Theorem 4.17.** Let  $R = k[x_1, \ldots, x_n]$ . Let  $I = (x_1x_2, x_2x_3, \ldots, x_{n-1}x_n, x_nx_1)$ (that is, I is the edge ideal of a cycle graph of length n). Then I is  $\omega$ -linear.

**Proof.** Since a cycle of even length is bipartite, by Lemma 4.16 we may assume that n = 2l + 1 for some  $l \in \mathbb{N}$ . Fix  $m \in \mathbb{N}$ . I is a squarefree monomial ideal, so I = $P_1 \cap \cdots \cap P_r$  where  $P_1, \ldots, P_r$  are the minimal prime ideals of I ([10, Lemma 1.3.5]). Thus by Proposition 3.5 we have  $\omega(I) = \sum_{i=1}^{r} e(P_i) = r$ , and we only need to show that  $\omega(I^m) = mr$ . Note that since I is an edge ideal of a cycle of length 2l + 1,  $Ass(R/I^m) = \{P_1, ..., P_r\}$  if  $m \le l$  and  $Ass(R/I^m) = \{P_1, ..., P_r, \mathfrak{m}\}$  if m > l ([5, Lemma 3.1]). Hence if  $m \leq l$ , then  $I^m = \bigcap_{i=1}^r P_i^m$  and  $\omega(I^m) = \sum_{i=1}^r e(P_i^m) = mr$ by Proposition 3.5, so we are done. Assume that m > l. Then  $I^m = (\bigcap_{i=1}^r P_i^m) \cap Q$ is the canonical primary decomposition of  $I^m$ , where Q is an m-primary monomial ideal of R ([10, Proposition 1.4.4]). Now,  $Q = (x_1^{a_1}, \ldots, x_n^{a_n}, f_1, \ldots, f_t)$  for some  $a_i \in \mathbb{N}$  and monomials  $f_i$ . Since I is a squarefree monomial ideal and Q is a primary component of  $I^m$ , we must have  $a_i \leq m$  for each  $i \in \{1, \ldots, n\}$ , and thus  $e(Q) \leq e((x_1^{a_1}, \ldots, x_n^{a_n})) \leq mn-n+1 \leq mr$  by Lemma 2.4 and since  $n \leq r.$  It follows that  $\omega(I^m) = \max\{\sum_{i=1}^r e(P_i^m), e(Q)\} = \max\{mr, e(Q)\} = mr$  by Theorem 3.3 and Proposition 3.5. 

We close the section with the following question: Is every integrally closed monomial ideal  $\omega$ -linear? Integrally closed monomial ideals considered in this note (certain monomial ideals in R = k[x, y], irreducible monomial ideals, or edge ideal of bipartite graphs) were all  $\omega$ -linear. Note also that if this question has an affirmative answer, then it follows that every edge ideal is  $\omega$ -linear.

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