

**EMBEDDING FINITE PROJECTIVE GEOMETRIES INTO
FINITE PROJECTIVE PLANES**

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ABSTRACT. We show that *every* finite projective geometry can be embedded in a projective plane of suitable order. Specifically: in $\text{PG}(2, q^n)$, the set of points $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$ satisfying the equation $x^{q+1} + xy^q + \tau yz^q + sz^{q+1} = 0$, with $\tau \neq 0$ and $-s$ not a $(q+1)^{\text{th}}$ power, contains $q^n + 1$ points. If the points $\begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ and $\begin{pmatrix} 0 \\ -s/\tau \\ 1 \end{pmatrix}$ are removed, the remaining subset is a disjoint union of $q-1$ equicardinal subsets, each of which is isomorphic to $\text{PG}(n-1, q)$.

We will represent the points of a projective plane by column vectors, but in the interest of economy of space we will write $(x \ y \ z)^T$ instead of $\begin{pmatrix} x \\ y \\ z \end{pmatrix}$. However, when no coordinates are necessary - which happens in a few places in the proof of the Theorem - we will use lower case boldface letters to denote points. The following symbols will be used:

Q : the subset of the finite field $\text{GF}(q^n)$ comprising the nonvanishing $(q+1)^{\text{th}}$ powers;
 ZQ : the subset of $\text{GF}(q^n)$ comprising the $(q-1)^{\text{th}}$ powers ($0 \in ZQ$);
 $\Xi(x) = x^{q^{n-1}} + x^{q^{n-2}} + \cdots + x^q + x$, over $\text{GF}(q^n)$.

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We shall denote by A , the set of points $(x \ y \ z)^T$ satisfying the equation

$$x^{q+1} + xy^q + \tau yz^q + sz^{q+1} = 0, \quad (1)$$

with $\tau \neq 0$ and $-s \notin Q \cup \{0\}$.

We will also say that a line in the projective plane $\text{PG}(2, q^n)$ is a *short secant* or a *full secant* if it intersects the set A at two points or at more than two points, respectively.

Lemma 1. *A full secant has $q+1$ points in common with the set A .*

Proof. Let $(a \ b \ c)^T, (d \ e \ f)^T, (a+ld \ b+le \ c+lf)^T \in A$ for some $l \neq 0$. Then, by virtue of (1), we have

$$a^{q+1} + ab^q + \tau bc^q + sc^{q+1} = 0, \quad (2)$$

$$d^{q+1} + de^q + \tau ef^q + sf^{q+1} = 0, \quad (3)$$

$$(a+ld)^{q+1} + (a+ld)(b+le)^q + \tau(b+le)(c+lf)^q + s(c+lf)^{q+1} = 0. \quad (4)$$

Note that our assumption that $-s \notin Q$ implies $be \neq 0$ and also $l \neq -b/e$.

Upon expanding the left side of equation (4), one obtains an expression which reduces, because of equations (2), (3), to a binomial $\alpha\ell^q + \beta\ell$, where α, β , depend upon the values of $a, b, c, d, e, f, \tau, s$. We cannot have $\alpha = \beta = 0$, because that would entail that l can be any element of our field, including $-b/e$, which has been ruled out in the preceding paragraph.

If $\alpha = 0$ and $\beta \neq 0$ or if $\alpha \neq 0$ and $\beta = 0$, we get $l = 0$, i.e. the line $[(a \ b \ c)^T, (d \ e \ f)^T]$ is a short secant. The same thing takes place if $\alpha\beta \neq 0$ and $-\beta/\alpha \notin ZQ$.

If $-\beta/\alpha \in ZQ$, the equation $\alpha\ell^q + \beta\ell = 0$ yields $q-1$ nonvanishing solutions for l , and the ratio of any two solutions is a member of the $\text{GF}(q)$ subfield. In this case the line in question has $q+1$ points in common with A . \square

The restriction $-s \notin Q$ precludes the possibility $y = 0$ in equation (1). Then $z = 0 \Rightarrow$ either $x = 0$ or $x = -y$, whereas $x = 0 \Rightarrow$ either $z = 0$ or $y = -sz/\tau$. Therefore there are exactly three points $(x \ y \ z)^T \in A$ with $xyz = 0$.

Lemma 2. *The lines joining the points $(-1 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T$, to any other point in A are short secants.*

Proof. The lines $[(0 \ 1 \ 0)^T, (-1 \ 1 \ 0)^T]$ and $[(0 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T]$ are short secants, clearly.

The line $[(-1 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T]$ has equation $\tau x + \tau y + sz = 0$. If a point $(a \ b \ 1)^T$ lies on this line, we have $s = -\tau(a+b)$. If $(a \ b \ 1)^T \in A$, we also have $a^{q+1} + ab^q + \tau b + s = 0$. Substitute here the expression for s that we have just obtained to arrive at $\tau = (a+b)^q$. As a consequence, we obtain $s = -(a+b)^q(a+b) = -(a+b)^{q+1}$, in conflict with our assumption that $-s \notin Q$. We have thus established that the line $[(-1 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T]$ is a short secant.

Next consider the lines $[(-1 \ 1 \ 0)^T, (a \ b \ 1)^T], (a \ b \ 1)^T \in A$. If $\ell \neq 0$ and the point $(a - \ell \ b + \ell \ 1)^T \in A$, then $(a - \ell)^{q+1} + (a - \ell)(b + \ell)^q + \tau(b + \ell) + s = 0$. Upon expanding the left side of this equation and using the fact that $(a \ b \ 1)^T \in A$, i.e. that

$$a^{q+1} + ab^q + \tau b + s = 0, \quad (5)$$

we are left with $\tau = (a + b)^q$. Substitute this expression for τ into (5) to arrive again at the contradiction $s = -(a + b)^{q+1}$.

Finally, we look at the lines $[(0 \ -s/\tau \ 1)^T, (a \ b \ 1)^T], (a \ b \ 1)^T \in A$. If the point $(a \ b - \ell s/\tau \ 1 + \ell)^T \in A$, we get $a^{q+1} + a(b - \ell s/\tau)^q + \tau(b - \ell s/\tau)(1 + \ell^q) + s(1 + \ell)^{q+1} = 0$.

By virtue of (5) again, this reduces to $\tau b + s = as^q/\tau^q$. Upon substituting this expression for $\tau b + s$ into (5), we obtain $\tau a + \tau b + s = 0$, whence $\tau a + as^q/\tau^q = 0$, i.e. $-s^q \in Q$, which is equivalent to $-s \in Q$, the same contradiction again. \square

Lemma 3. *The line joining two points $(a \ b \ 1)^T, (c \ d \ 1)^T \in A$, with $a \neq c$, contains $q - 1$ more points of A if $c/a \in ZQ$, and does not contain any other point of A otherwise.*

Proof. By assumption, equation (5) holds, and also

$$c^{q+1} + cd^q + \tau d + s = 0. \quad (6)$$

Assume that the point $(a + \ell c \ b + \ell d \ 1 + \ell)^T$, $\ell \neq 0$, is also in A :

$$(a + \ell c)^{q+1} + (a + \ell c)(b + \ell d)^q + \tau(b + \ell d)(1 + \ell^q) + s(1 + \ell)^{q+1} = 0. \quad (7)$$

By virtue of (5), (6), this equation reduces to $(ac^q + ad^q + \tau b + s)\ell^q + (a^q c + b^q c + \tau d + s)\ell = 0$.

Now substitute into this equation the expressions for $\tau b + s$ and $\tau d + s$ obtained from (5), (6), to arrive at the equation

$$a(c + d - a - b)^q \ell^q = c(c + d - a - b)^q \ell. \quad (8)$$

We will now show that $c + d - a - b \neq 0$. Assume, contrariwise, that $a + b = c + d$, and subtract equation (6) from (5): $a(a + b)^q - c(c + d)^q + \tau(b - d) = 0$.

If $a + b = c + d$, this equation becomes $(a - c)(a + b)^q + \tau(b - d) = 0$, whence $(a - c)(a + b)^q = \tau(d - b) = \tau(a - c)$. As $a \neq c$ by assumption, we end up with $\tau = (a + b)^q$. Equation (5), rewritten as $a(a + b)^q + \tau b + s = 0$, now becomes $a(a + b)^q + b(a + b)^q + s = 0$, whence $s = -(a + b)^{q+1}$, contradicting our assumption that $-s \notin Q$.

Having established that $a + b \neq c + d$, equation (8) reduces to

$$\ell^{q-1} = c/a. \quad (9)$$

This shows that $c/a \in ZQ$ and necessity has been demonstrated. The proof for sufficiency is a fairly simple matter and we omit it. \square

Theorem 1. *The subset of A comprising the points $(x \ y \ z)^T$ with $xyz \neq 0$ and the point $(0 \ 1 \ 0)^T$ can be partitioned into $q - 1$ subsets, each of which is a projective geometry $PG(n - 1, q)$, with collinearity inherited from the projective plane $PG(2, q^n)$.*

Every line of the plane which joins two points of A not in the same $PG(n - 1, q)$ subset, is a short secant.

Proof. If $xyz \neq 0$, we let $z = 1$ and write equation (1) as

$$y^q = -\frac{\tau}{x}y - \frac{x^{q+1} + s}{x}. \quad (10)$$

Denote $-\tau/x = \lambda$, $-(x^{q+1} + s)/x = \theta$. Then equation (10) becomes

$$y^q = \lambda y + \theta. \quad (11)$$

It was shown in [1, Theorem 19] that equation (11) possesses a unique solution for y if $\lambda \notin ZQ$, whereas for $\lambda \in ZQ$ it has q solutions if $\Xi(\theta/\omega^q) = 0$ and no solution otherwise, where ω is any one of the $q - 1$ elements of $\text{GF}(q^n)$ satisfying $\lambda = \omega^{q-1}$.

We treat first the case $\lambda = -\tau/x \notin ZQ$.

Our field comprises $(q^n - 1)(q - 2)/(q - 1)$ elements that are not members of ZQ . Hence, as τ is fixed, there are this many elements x for which $\lambda \notin ZQ$. Each of these x 's leads to a unique value of y from equation (10), thereby producing $(q^n - 1)(q - 2)/(q - 1)$ points $(x \ y \ 1)^T$ satisfying equation (1). Moreover, these points fall into $q - 2$ mutually disjoint subsets A_1, A_2, \dots, A_{q-2} , where $|A_i| = (q^n - 1)/(q - 1)$ for all i and such that two points $(a \ b \ 1)^T, (c \ d \ 1)^T \in A$ are in the same subset A_i if and only if $c/a \in ZQ$. It follows then from Lemma 3 that all the secants within each A_i are full - and they contain $q + 1$ points of A_i , in virtue of Lemma 1 - while the lines joining two points from different A_i 's do not intersect the set $\cup_{i=1}^{q-2} A_i$ again.

To prove that the A_i 's are projective geometries, consider three noncollinear points $(a \ b \ 1)^T, (c \ d \ 1)^T, (e \ f \ 1)^T \in A_i$ for a fixed i . We will show that a line joining a point $(a + \ell c \ b + \ell d \ 1 + \ell)^T \in [(a \ b \ 1)^T, (c \ d \ 1)^T]$, $\ell \neq 0$, to a point $(a + me \ b + mf \ 1 + m)^T \in [(a \ b \ 1)^T, (e \ f \ 1)^T]$, $m \neq 0$, intersects the line through the points $(c \ d \ 1)^T, (e \ f \ 1)^T$ within the same A_i subset. By assumption, equations (5), (6), (7), (9), hold, and also

$$e^{q+1} + ef^q + \tau f + s = 0, \quad (12)$$

$$(a + me)^{q+1} + (a + me)(b + mf)^q + \tau(b + mf)(1 + m)^q + s(1 + m)^{q+1} = 0. \quad (13)$$

Equations (5) and (12) reduce equation (13) to

$$m^{q-1} = e/a. \quad (14)$$

It is easy to see that the line joining the points $(a + \ell c \ b + \ell d \ 1 + \ell)^T$ and $(a + me \ b + mf \ 1 + m)^T$ and the line $[(c \ d \ 1)^T, (e \ f \ 1)^T]$ intersect at

the point $(\ell c - me \quad \ell d - mf \quad \ell - m)^T$. We have to show that this point is in A_i , i.e. that it satisfies equation (1):

$$(\ell c - me)^{q+1} + (\ell c - me)(\ell d - mf)^q + \tau(\ell d - mf)(\ell - m)^q + s(\ell - m)^{q+1} = 0.$$

Expand the left side of this equation, then use equations (6), (12), to reduce it to

$$\ell^q m(c^q e + d^q e + \tau f + s) + \ell m^q(c e^q + c f^q + \tau d + s) = 0.$$

Substitute here $\tau f + s = -e^{q+1} - e f^q$ and $\tau d + s = -c^{q+1} - c d^q$, and divide by ℓm :

$$\ell^{q-1} e(c + d - e - f)^q + m^{q-1} c(e + f - c - d)^q = 0.$$

Use now the expressions for ℓ^{q-1} and m^{q-1} as given by (9) and (14) to arrive at the following obvious identity:

$$\frac{c}{a} e(c + d - e - f)^q + \frac{e}{a} c(e + f - c - d)^q = 0.$$

We have thus established that the A_i 's are projective geometries indeed.

We pass now to the case in which $\lambda = -\tau/x \in ZQ$.

For a fixed τ , there are $(q^n - 1)/(q - 1)$ values of x for which $-\tau/x \in ZQ$. For these elements x , equation (10) may or may not possess solutions for y , as mentioned earlier. If it does have solutions, it has q of them, leading to q points with the same x -coordinate. These points, together with $(0 \quad 1 \quad 0)^T$, make up a full secant.

As explained above, it follows from [1, Theorem 19] that in order to decide whether equation (10) has solutions, one needs to consider the expression $\Xi(\theta/\omega^q)$, where $\omega^{q-1} = -\tau/x$, $\theta = -(x^{q+1} + s)/x$.

We have

$$\frac{\theta}{\omega^q} = -\frac{x^{q+1} + s}{x\omega^q} = -\left(\frac{x}{\omega}\right)^q + \frac{s}{\tau\omega} = \left(\frac{\tau}{\omega^q}\right)^q + \frac{s}{\tau\omega} = \left(\frac{\tau^{q+1}}{\tau^q\omega^q}\right)^q + \frac{s}{\tau\omega}.$$

Hence, as Ξ is an additive function and also $\Xi(x^q) = \Xi(x)$ (easy consequences of the definition of Ξ), we have the following implications:

$$\begin{aligned} \Xi\left(\frac{\theta}{\omega^q}\right) = 0 &\Rightarrow \Xi\left(\frac{s}{\tau\omega}\right) = -\Xi\left(\frac{\tau^{q+1}}{\tau^q\omega^q}\right)^q = -\Xi\left(\frac{\tau^{q+1}}{\tau^q\omega^q}\right) = -\Xi\left(\frac{\tau^{1+1/q}}{\tau\omega}\right) \Rightarrow \\ &\Xi\left(\frac{s + \tau^{1+1/q}}{\tau\omega}\right) = 0. \end{aligned}$$

It has been shown in [1, Theorem 15] that for any $a \in \text{GF}(q)$, the equation $\Xi(x) = a$ possesses q^{n-1} distinct roots. If $a = 0$, one root is clearly 0, but we cannot accept it, because $s + \tau^{1+1/q} = 0 \Rightarrow -s \in Q$. Therefore we have $q^{n-1} - 1$ acceptable solutions for the expression $(s + \tau^{1+1/q})/\tau\omega$. As s and τ are fixed, this yields $q^{n-1} - 1$ values for ω .

If ω is one solution, then so is $a\omega$ for all $a \in \text{GF}(q)$, because the definition of Ξ implies $\Xi(ac) = a \cdot \Xi(c)$ for every $a \in \text{GF}(q)$ and any c . Since $a \in \text{GF}(q) \Rightarrow (a\omega)^{q-1} = \omega^{q-1}$, it follows that all the $q - 1$ elements $a\omega$, as a ranges through $\text{GF}(q) \setminus \{0\}$, yield the same value for $x = -\tau/\omega^{q-1}$. We have thus arrived at $(q^{n-1} - 1)/(q - 1)$ distinct values of x for which equation (10) gives q values of y , for a total of $(q^n - q)/(q - 1)$ points. These, together with $(0 \quad 1 \quad 0)^T$, make up a set B comprising $(q^n - 1)/(q - 1)$ points.

As each x gives rise to q values of y , we see that in the set B , all the secants

through $(0 \ 1 \ 0)^T$ are full.

In order to establish that B is a geometry, we have to demonstrate that if a line meets two sides of a triangle whose vertices are in B , it intersects the third side at a point within B as well. If none of the three vertices is $(0 \ 1 \ 0)^T$, the proof is the same as for the A_i 's. But in the case in which one vertex is $(0 \ 1 \ 0)^T$, it is necessary to show that if $(c \ d \ 1)^T, (e \ f \ 1)^T \in B$, then the line joining a point $(c \ d + \ell \ 1)^T \in [(0 \ 1 \ 0)^T, (c \ d \ 1)^T]$, $\ell \neq 0$, to a point $(e \ f + m \ 1)^T \in [(0 \ 1 \ 0)^T, (e \ f \ 1)^T]$, $m \neq 0$, intersects the line $[(c \ d \ 1)^T, (e \ f \ 1)^T]$ within B .

The lines $[(c \ d + \ell \ 1)^T, (e \ f + m \ 1)^T]$ and $[(c \ d \ 1)^T, (e \ f \ 1)^T]$ meet at the point $(e\ell - cm \ f\ell - dm \ \ell - m)^T$, and we have to demonstrate that

$$(e\ell - cm)^{q+1} + (e\ell - cm)(f\ell - dm)^q + \tau(f\ell - dm)(\ell - m)^q + s(\ell - m)^{q+1} = 0. \quad (15)$$

As $(c \ d + \ell \ 1)^T, (e \ f + m \ 1)^T \in B \subset A$, we have

$$c^{q+1} + c(d+\ell)^q + \tau(d+\ell) + s = 0 \quad \text{and} \quad e^{q+1} + e(f+m)^q + \tau(f+m) + s = 0.$$

Since $(c \ d \ 1)^T, (e \ f \ 1)^T \in B$ as well, these equations yield $\ell^{q-1} = -\tau/c$ and $m^{q-1} = -\tau/e$.

Upon multiplying out the left side of equation (15) and using the fact that the points $(c \ d \ 1)^T$ and $(e \ f \ 1)^T$ are in A , it reduces to $\ell^q m (ce^q + cf^q + \tau d + s) + \ell m^q (c^q e + d^q e + \tau f + s) = 0$.

Since $\tau d + s = -c^{q+1} - cd^q$ and $\tau f + s = -e^{q+1} - ef^q$, we arrive, after dividing by ℓm , at $\ell^{q-1} c(e + f - c - d)^q + m^{q-1} e(c + d - e - f)^q = 0$. But $\ell^{q-1} = -\tau/c$ and $m^{q-1} = -\tau/e$, so that the last equation is an obvious identity.

We pass now to the last paragraph of the theorem: note that for $q = 2$ it is vacuous, because in this case there is only one $\text{PG}(n-1, 2)$, namely B .

It has been shown earlier in the proof that lines joining two points from different A_i 's are short secants. It has also been shown (Lemma 2) that the lines joining the points $(-1 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T$ to any other point in A are short secants. What is left to do is demonstrate that for any $i \in \{1, 2, \dots, q-2\}$, a line $[\mathbf{a}, \mathbf{b}]$ with $\mathbf{a} \in A_i$ and $\mathbf{b} \in B$, has no other point in common with A . Assume, to the contrary, that there is another point $\mathbf{c} \in A_i$ so that $\text{coll}(\mathbf{a}, \mathbf{b}, \mathbf{c})$. Then since A_i must comprise $q-1$ more points collinear with \mathbf{a} and \mathbf{c} , we obtain a full secant with more than $q+1$ points. This violates Lemma 1.

The same contradiction is arrived at if $\text{coll}(\mathbf{a}, \mathbf{b}, \mathbf{c})$ with $\mathbf{c} \in B$.

If, on the other hand, $\mathbf{c} \in A_j, j \neq i$, and $\text{coll}(\mathbf{a}, \mathbf{b}, \mathbf{c})$, then the line $[\mathbf{a}, \mathbf{b}, \mathbf{c}]$ would not have any other point within A : we already know that the line $[\mathbf{a}, \mathbf{c}]$ has no other point in common with $\cup_{i=1}^{q-2} A_i$, and it cannot intersect the set B at a point other than \mathbf{b} , either, as that would lead again to a full secant with more than $q+1$ points. Therefore the line $[\mathbf{a}, \mathbf{b}, \mathbf{c}]$ would be a three-point full secant. But $3 < q+1$ for $q > 2$, hence the conclusion of Lemma 1 would be violated again. \square

Corollary 1. $|A| = q^n + 1$.

Proof. The set A consists of two points $(-1 \ 1 \ 0)^T, (0 \ -s/\tau \ 1)^T$, plus the mutually disjoint subsets $A_1, A_2, \dots, A_{q-2}, B$, each of which has cardinality $(q^n -$

$1)/(q-1)$.

The conclusion follows readily. \square

Example 1. Let w be a primitive root of the finite field $\text{GF}(2^4)$, where $w^4 = w + 1$ over $\text{GF}(2)$. In the projective plane $\text{PG}(2, 2^4)$, consider the 17 points satisfying the equation $x^3 + xy^2 + yz^2 + wz^3 = 0$:

	1	2	3	4	5	6	7	
$\begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$	$\begin{pmatrix} 0 \\ w \\ 1 \end{pmatrix}$	$\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$	$\begin{pmatrix} w \\ w \\ 1 \end{pmatrix}$	$\begin{pmatrix} w \\ w^7 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^4 \\ w^{10} \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^4 \\ w^{14} \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^6 \\ w^4 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^6 \\ w^{14} \\ 1 \end{pmatrix}$

8	9	10	11	12	13	14	15
$\begin{pmatrix} w^{11} \\ w^5 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^{11} \\ w^8 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^{12} \\ w^{14} \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^{12} \\ 1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^{13} \\ w^9 \\ 1 \end{pmatrix}$	$\begin{pmatrix} w^{13} \\ w^{11} \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ w^6 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ w^{13} \\ 1 \end{pmatrix}$

The 15 numbered points make up a projective geometry $\text{PG}(3, 2)$. Its 35 lines are:

(1 2 3), (1 4 5), (1 6 7), (1 8 9), (1 10 11), (1 12 13), (1 14 15), (2 4 15), (2 5 14), (2 6 9), (2 7 8), (2 10 13), (2 11 12), (3 4 14), (3 5 15), (3 6 8), (3 7 9), (3 10 12), (3 11 13), (4 6 10), (4 7 11), (4 8 12), (4 9 13), (5 6 11), (5 7 10), (5 8 13), (5 9 12), (6 12 14), (6 13 15), (7 12 15), (7 13 14), (8 10 14), (8 11 15), (9 10 15), (9 11 14).

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