



# Automorphisms of a certain subalgebra of the upper triangular matrix algebra

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## Abstract

For a commutative ring  $R$  with unity, the  $R$ -algebra of strictly upper triangular  $n \times n$  matrices over  $R$  is denoted by  $N_n(R)$ , where  $n$  is a positive integer greater than 1. For the identity matrix  $I$ ,  $\alpha \in R$ ,  $A \in N_n(R)$ , the set of all elements  $\alpha I + A$  is defined as the scalar upper triangular matrix algebra  $ST_n(R)$  which is a subalgebra of the upper triangular matrices  $T_n(R)$ . In this paper, we investigate the  $R$ -algebra automorphisms of  $ST_n(R)$ . We extend the automorphisms of  $N_n(R)$  to  $ST_n(R)$  and classify all the automorphisms of  $ST_n(R)$ . We generalize the results of Cao and Wang and prove that not all automorphisms of  $ST_n(R)$  can be extended to the automorphisms of  $T_n(R)$ .

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## 1. Introduction

Kezlan [3] proved that: “If  $R$  is a commutative ring with unity, then every  $R$ -algebra automorphism of the algebra of upper triangular  $n \times n$  matrices over  $R$  is inner”. Coelho [2] extended Kezlan’s result for any ring  $R$ . Cao and Wang [1] classified all the automorphisms of strictly upper triangular matrix algebras over a commutative ring  $R$ . In this work, we would like to generalize these results and determine how such central automorphisms exist on  $ST_n(R)$  but not on  $T_n(R)$ .

Let  $R$  be a commutative ring with unity and  $N_n(R)$  be the algebra of all  $n \times n$  strictly upper triangular matrices over  $R$ , where  $n$  is a positive integer greater than 1.

Define  $ST_n(R)$  with unity matrix  $I$ ,

$$ST_n(R) = \{\alpha I + A : \alpha \in R, A \in N_n(R)\}.$$

If  $X \in ST_n(R)$ , we may write  $X = \alpha I + A = \alpha I + \sum_{i < j} a_{ij} E_{ij}$  where  $\alpha \in R$  and  $A \in N_n(R)$ .  $ST_n(R)$  is a subalgebra of  $T_n(R)$  and let us call the *scalar upper triangular matrix algebra*. The standard matrix units are the matrices  $E_{ij}$  with a 1 at  $(i, j)$  position and zero elsewhere. Clearly, the set of matrices  $\{E_{ij} : 1 \leq i < j \leq n\}$  form a basis of

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$N_n(R)$ . For  $n \geq 4$ , consider  $c = (c_2, \dots, c_{n-2}) \in R^{n-3}$ . Y.Cao and J. Wang [1] defined the central automorphisms of  $N_n(R)$ ,  $\mu_c : N_n(R) \rightarrow N_n(R)$  such that for any  $A \in N_n(R)$

$$\mu_c(A) = A + \left( \sum_{k=2}^{n-2} a_{k,k+1} c_k \right) E_{1n}.$$

With respect to the basis of  $N_n(R)$ , automorphisms can be written as:

$$\mu_c(E_{i,i+1}) = E_{i,i+1} + c_i E_{1n}$$

for  $2 \leq i \leq n - 2$  and

$$\mu_c(E_{ij}) = E_{ij}$$

for  $j \neq i + 1$ . For any matrix  $X \in ST_n(R)$ , let  $S_1, S_2, \dots, S_{n-1}$  define the diagonals of  $X = \alpha I + \sum_{i < j} a_{ij} E_{ij}$  as follows:

$$\begin{aligned} S_1 &= \{a_{12}, a_{23}, \dots, a_{n-1,n}\}, \\ S_2 &= \{a_{13}, a_{24}, \dots, a_{n-2,n}\}, \\ &\vdots \\ S_{n-1} &= \{a_{1n}\}. \end{aligned}$$

## 2. Automorphisms of $ST_n(R)$

There are no invertible elements in  $N_n(R)$ , hence one cannot define an inner automorphism by the usual terminology. For a fixed matrix  $X \in T_n(R)$  with 1 on the main diagonal, the map  $\Theta(Y) = XYX^{-1}$  is an inner automorphism [1]. The restriction of  $\Theta$  on  $N_n(R)$  is also an automorphism of  $N_n(R)$ .

Moreover, we define the inner automorphism  $\theta$  on  $ST_n(R)$ , with a given invertible matrix  $B \in T_n(R)$  and for any  $A \in ST_n(R)$  as

$$\theta(A) = BAB^{-1}.$$

In this section, we are going to classify the automorphisms of  $ST_n(R)$ . We first investigate the central automorphisms of  $ST_n(R)$  in the classification. It is not difficult to see that, for  $n \geq 4$ ,

$$\mu_c : ST_n(R) \rightarrow ST_n(R)$$

is an automorphism of  $ST_n(R)$ . To avoid the details of a tedious proof, we use Kezlan's [3] notation. Let

$$\theta : T_n(R) \longrightarrow T_n(R)$$

be an  $R$ -algebra automorphism of  $T_n(R)$ . Define

$$\begin{aligned} \theta(E_{kk}) &= [e_{ij}^{(k)}] \text{ for } k = 1, 2, \dots, n \\ \theta(E_{k,k+1}) &= [a_{ij}^{(k)}] \text{ for } k = 1, 2, \dots, n - 1. \end{aligned}$$

The results in [3] show that  $a_{k,k+1}^{(k)}$  is a unit with  $a_{k,k}^{(k)} = 0$ ,  $e_{k,k}^{(k)} = 1$  and  $e_{k,k}^{(q)} = 0$  for  $q \neq k$ .

**Remark 2.1.** In terms of the image of matrix units under  $\theta$ , it is shown that,  $k^{\text{th}}$  diagonal entry of  $\theta(E_{kk})$  is 1, while the other diagonal entries are 0. That is  $\theta(E_{kk})$  is in the form  $\theta(E_{kk}) = E_{kk} + J_k$  where  $J_k$  is strictly upper triangular. For  $k = 1, \dots, n$  we use the fact

that  $\theta(E_{kk})$  is the idempotent, that is  $\theta(E_{kk}) = \theta(E_{kk})\theta(E_{kk})$ . Thus as a consequence of [3],  $\theta(E_{kk})$  has the following form:

$$\theta(E_{kk}) = \begin{bmatrix} 0 & \cdots & 0 & e_{1,k}^{(k)} & * & \cdot & * \\ \vdots & \cdots & \vdots & \vdots & \cdot & \cdot & \cdot \\ 0 & \cdots & 0 & e_{k-1,k}^{(k)} & * & \cdot & * \\ 0 & \cdots & 0 & 1 & e_{k,k+1}^{(k)} & \cdot & e_{k,n}^{(k)} \\ 0 & \cdots & 0 & 0 & 0 & \cdot & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & \cdot & 0 & 0 & 0 & \cdot & 0 \end{bmatrix}. \tag{2.1}$$

The following proposition gives us the property of  $\theta(E_{kk}) = [e_{ij}^{(k)}]$ .

**Proposition 2.2.** Assume that  $\theta(E_{kk}) = [e_{ij}^{(k)}]$  for  $k = 1, \dots, n$ . If  $i < j$  then for  $i = 1, \dots, n - 1$

$$\sum_{k=i}^j e_{ij}^{(k)} = 0.$$

*Proof.* It was shown in [3] that

$$e_{kk}^{(p)} = \begin{cases} 1 & \text{if } p = k \\ 0 & \text{if } p \neq k. \end{cases}$$

Consider  $(e_{ij}^{(1)}, e_{ij}^{(2)}, \dots, e_{ij}^{(r)})$  as an  $r$ -tuple and define  $r$ -tuple sum as  $e_{ij}^{(1)} + e_{ij}^{(2)} + \dots + e_{ij}^{(r)}$ . To use induction on  $r$ , we start with the case  $r = 2$ . Using the multiplication of matrix units  $E_{pp}$  and  $E_{p+1,p+1}$  we get

$$E_{pp}E_{p+1,p+1} = 0.$$

Since  $\theta(0) = 0$ , in our notation this result can be viewed as:

$$[e_{ij}^{(p)}] [e_{ij}^{(p+1)}] = 0.$$

For  $p = 1, \dots, n - 1$ ,

$$(e_{p,p+1}^{(p)} + e_{p,p+1}^{(p+1)}) E_{p,p+1} = 0.$$

Then we obtain  $e_{p,p+1}^{(p)} + e_{p,p+1}^{(p+1)} = 0$  for all 2-tuples. Assume our claim is true for the sum of  $(r - 1)$ -tuples. That is

$$\sum_{i=p}^{p+r-2} e_{p,p+r-2}^{(i)} = 0 \text{ for } p = 1, \dots, (n - r) + 2.$$

Use induction on  $p$ . For  $p = 1$ ,

$$e_{1r}^{(1)} + e_{1r}^{(2)} + \dots + e_{1r}^{(r-1)} + e_{1r}^{(r)} = 0.$$

Now consider each case for  $t = 1, \dots, (r - 1)$ . We know that  $E_{tt}E_{rr} = 0$ . Apply the automorphism  $\theta$  to  $E_{tt}E_{rr}$ , we get

$$\theta(E_{tt}E_{rr}) = 0.$$

For  $t = 1, \dots, (r - 1)$  the following terms can be obtained. If  $t = 1$ ,

$$\left( e_{1r}^{(1)} + e_{1r}^{(r)} + \sum_{i=2}^{r-1} e_{1i}^{(1)} e_{ir}^{(r)} \right) E_{1r} = 0.$$

For  $1 < t \leq r - 1$ ,

$$\left( e_{1r}^{(t)} + \sum_{i=2}^{r-1} e_{1i}^{(t)} e_{ir}^{(r)} \right) E_{1r} = 0.$$

By using the sum

$$\theta(E_{11}E_{rr} + E_{22}E_{rr} + \dots + E_{(r-1),(r-1)}E_{rr}) = 0,$$

we get

$$e_{1r}^{(1)} + e_{1r}^{(2)} + \dots + e_{1r}^{(r-1)} + e_{1r}^{(r)} + \sum_{j=2}^{r-1} \left( \sum_{i=1}^{r-1} e_{1j}^{(i)} e_{jr}^{(r)} \right) = 0.$$

By the assumption we have, for  $j = 2, \dots, r - 1$ , that

$$\sum_{j=2}^{r-1} \left( \sum_{i=1}^{r-1} e_{1j}^{(i)} e_{jr}^{(r)} \right) = 0.$$

Also the calculations on  $\theta(E_{ii})$ , in (2.1), can be used to deduce the result,

$$e_{1r}^{(1)} + e_{1r}^{(2)} + \dots + e_{1r}^{(r-1)} + e_{1r}^{(r)} = 0.$$

Assume that our assumption is true for  $p = q$ , that is

$$e_{q,q+r-1}^{(q)} + e_{q,q+r-1}^{(q+1)} + \dots + e_{q,q+r-1}^{(q+r-2)} + e_{q,q+r-1}^{(q+r-1)} = 0.$$

Now consider the case  $p = q + 1$ . We want to prove that

$$e_{q+1,q+r}^{(q+1)} + e_{q+1,q+r}^{(q+2)} + \dots + e_{q+1,q+r}^{(q+r-1)} + e_{q+1,q+r}^{(q+r)} = 0.$$

Apply the automorphism  $\theta$  to  $E_{tt}E_{q+1,q+1}$  for  $t = 1, \dots, q$  we get

$$\theta(E_{tt}E_{q+1,q+1}) = 0.$$

For  $t = q + 1$ ,

$$\left( e_{q+1,q+r}^{(q+1)} + e_{q+1,q+r}^{(q+r)} + \sum_{i=q+2}^{q+r-1} e_{q+1,i}^{(q+1)} e_{i,q+r}^{(q+r)} \right) E_{q+1,q+r} = 0.$$

For  $q + 1 < t < q + r$ ,

$$\left( e_{q+1,q+r}^{(t)} + \sum_{i=t}^{q+r-1} e_{q+1,i}^{(t)} e_{i,q+r}^{(q+r)} \right) E_{q+1,q+r} = 0.$$

Consider the following sum:

$$\theta(E_{q+1,q+1}E_{q+r,q+r} + E_{q+2,q+2}E_{q+r,q+r} + \dots + E_{q+r-1,q+r-1}E_{q+r,q+r}) = 0,$$

then we have

$$e_{q+1,q+r}^{(q+1)} + e_{q+1,q+r}^{(q+2)} + \dots + e_{q+1,q+r}^{(q+r-1)} + e_{q+1,q+r}^{(q+r)} + \sum_{j=q+2}^{q+r-1} \left( \sum_{i=q+1}^{q+r-1} e_{q+1,j}^{(i)} e_{j,q+r}^{(q+r)} \right) = 0.$$

By the assumption we have

$$\sum_{j=q+2}^{q+r-1} \left( \sum_{i=q+1}^{q+r-1} e_{q+1,j}^{(i)} e_{j,q+r}^{(q+r)} \right) = 0$$

and this implies

$$e_{q,q+r-1}^{(q)} + e_{q,q+r-1}^{(q+1)} + \dots + e_{q,q+r-1}^{(q+r-2)} + e_{q,q+r-1}^{(q+r-1)} = 0.$$

Thus the proof is completed. □

**Lemma 2.3.** *Let  $R$  be a commutative ring with identity and  $\theta$  be an  $R$ -algebra automorphism of  $ST_n(R)$ . If  $n = 2, 3$  then  $\theta$  is an inner automorphism  $\eta_D$  for some  $D \in T_n(R)$ .*

**Proof.** For the automorphism  $\theta : ST_n(R) \rightarrow ST_n(R)$  as given in Remark 2.1, let

$$\begin{aligned} \theta(E_{kk}) &= [e_{ij}^{(k)}] \text{ for } k = 1, 2, \dots, n \\ \theta(E_{k,k+1}) &= [a_{ij}^{(k)}] \text{ for } k = 1, 2, \dots, n - 1. \end{aligned}$$

Recall that all the diagonal entries  $a_{ii}^{(k)}$  of  $\theta(E_{k,k+1})$  are zero and

$$e_{pq}^{(p)} = \begin{cases} 1 & \text{if } p = q \\ 0 & \text{otherwise.} \end{cases}$$

Also  $a_{12}^{(1)}, a_{23}^{(2)}, \dots, a_{n-1,n}^{(n-1)}$  are all units.

For  $n = 2$ , consider  $A \in ST_n(R)$  where

$$A = \begin{bmatrix} \alpha & b \\ 0 & \alpha \end{bmatrix}$$

for  $\alpha, b \in R$ . Every matrix  $A \in ST_2(R)$  can be written as linear combination of matrix units. That is,

$$A = \alpha E_{11} + bE_{12} + \alpha E_{22}.$$

Applying  $\theta$  to  $A$ , we get

$$\theta(A) = \alpha\theta(E_{11}) + b\theta(E_{12}) + \alpha\theta(E_{22}).$$

By using the notation of Remark 2.1,

$$\begin{aligned} \theta(\alpha E_{11}) &= \begin{pmatrix} \alpha & \alpha e_{12}^{(1)} \\ 0 & 0 \end{pmatrix} \\ \theta(bE_{12}) &= \begin{pmatrix} 0 & ba_{12}^{(1)} \\ 0 & 0 \end{pmatrix} \\ \theta(\alpha E_{22}) &= \begin{pmatrix} 0 & \alpha e_{12}^{(1)} \\ 0 & \alpha \end{pmatrix}. \end{aligned}$$

As a result,

$$\theta(A) = \begin{bmatrix} \alpha & \alpha e_{12}^{(1)} + ba_{12}^{(1)} + \alpha e_{12}^{(2)} \\ 0 & \alpha \end{bmatrix}$$

for some invertible element  $a_{12}^{(1)} \in R$ . By Proposition 2.2, we have that  $e_{12}^{(1)} + e_{12}^{(2)} = 0$  and

$$\theta(A) = \begin{bmatrix} \alpha & ba_{12}^{(1)} \\ 0 & \alpha \end{bmatrix}$$

for some invertible element  $a_{12}^{(1)} \in R$ . Choosing  $D = \begin{bmatrix} 1 & 0 \\ 0 & (a_{12}^{(1)})^{-1} \end{bmatrix} \in T_2(R)$ , we can obtain that

$$\theta(A) = DAD^{-1}$$

which means that all the automorphisms of  $ST_2(R)$  are inner.

For the case  $n = 3$ , we proceed with the steps similar to the case  $n = 2$  in order to determine the invertible matrix  $B$ .

Let  $A = \begin{bmatrix} \alpha & b & c \\ 0 & \alpha & d \\ 0 & 0 & \alpha \end{bmatrix} \in ST_n(R)$ . By using matrix units, we get

$$\theta(E_{11}) = \begin{pmatrix} 1 & e_{12}^{(1)} & e_{13}^{(1)} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\theta(E_{22}) = \begin{pmatrix} 0 & e_{12}^{(2)} & e_{13}^{(2)} \\ 0 & 1 & e_{23}^{(2)} \\ 0 & 0 & 0 \end{pmatrix}$$

$$\theta(E_{33}) = \begin{pmatrix} 0 & 0 & e_{13}^{(3)} \\ 0 & 0 & e_{23}^{(3)} \\ 0 & 0 & 1 \end{pmatrix}$$

$$\theta(E_{12}) = \begin{pmatrix} 0 & a_{12}^{(1)} & a_{13}^{(1)} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\theta(E_{23}) = \begin{pmatrix} 0 & 0 & a_{13}^{(2)} \\ 0 & 0 & a_{23}^{(2)} \\ 0 & 0 & 0 \end{pmatrix}$$

and we obtain

$$\theta(A) = \begin{bmatrix} \alpha & ba_{12}^{(1)} & ca_{12}^{(1)}a_{23}^{(1)} + ba_{13}^{(1)} + da_{13}^{(2)} + \alpha(e_{13}^{(1)} + e_{13}^{(2)} + e_{13}^{(3)}) \\ 0 & \alpha & da_{23}^{(2)} \\ 0 & 0 & \alpha \end{bmatrix}.$$

Similarly, it can be deduced from Proposition 2.2,

$$e_{13}^{(1)} + e_{13}^{(2)} + e_{13}^{(3)} = 0 \Rightarrow \alpha(e_{13}^{(1)} + e_{13}^{(2)} + e_{13}^{(3)}) = 0.$$

Hence,

$$\theta(A) = \begin{bmatrix} \alpha & ba_{12}^{(1)} & ca_{12}^{(1)}a_{23}^{(1)} + ba_{13}^{(1)} + da_{13}^{(2)} \\ 0 & \alpha & da_{23}^{(2)} \\ 0 & 0 & \alpha \end{bmatrix}.$$

It is now easy to define the invertible matrix  $B \in T_3(R)$  as,

$$B = \begin{pmatrix} 1 & a_{13}^{(1)}(a_{12}^{(1)}a_{23}^{(2)})^{-1} & 0 \\ 0 & (a_{12}^{(1)})^{-1} & -a_{13}^{(1)}(a_{12}^{(1)})^2(a_{23}^{(2)})^{-1} \\ 0 & 0 & (a_{12}^{(1)})^{-1}(a_{23}^{(2)})^{-1} \end{pmatrix}$$

so that

$$\theta(A) = \eta_B = BAB^{-1}.$$

□

Now, we can state the main theorem of this paper.

**Theorem 2.4.** *Let  $\theta$  be an  $R$ -algebra automorphism of  $ST_n(R)$  and  $R$  be a commutative ring with identity. For  $n \geq 4$ ,*

$$\theta = \eta_D \mu_c \lambda_P$$

where  $\eta_D, \lambda_P$  are inner automorphisms and  $\mu_c$  is a central automorphism of  $ST_n(R)$ .

**Proof.** First, apply  $\theta$  to each  $E_{ii}$  for  $i = 1, \dots, (n - 1)$ . The  $S_2$  diagonal only contains the elements  $e_{i,i+1}^{(p)}$ . Thus we obtain

$$\sum_{p=i}^{i+1} e_{i,i+1}^{(p)} E_{i,i+1} \text{ for } p = 1, \dots, n - 1.$$

By Proposition 2.2, we can get

$$\sum_{p=i}^{i+1} e_{i,i+1}^{(p)} = 0.$$

A consequence of above sum allows us just to see the image of  $E_{i,i+1}$  under  $\theta$ . We examine

$$\theta(E_{i,i+1}) = a_{i,i+1}^{(i)} E_{i,i+1} \text{ for } i = 1, \dots, n - 1,$$

We want to show that  $a_{p,p+1}^{(k)} = 0$  if  $k \neq p$ . On the contrary, assume that  $a_{p,p+1}^{(k)} \neq 0$  and take  $k = 1$  to get a contradiction. Apply  $\theta$  to the equality  $E_{p,p+1} E_{23} = 0$ , we obtain

$$\begin{aligned} \theta(E_{p,p+1} E_{23}) &= \theta(E_{p,p+1}) \theta(E_{23}) \\ \left[ a_{ij}^{(p)} \right] \left[ a_{ij}^{(2)} \right] &= 0. \end{aligned}$$

Consider the term  $a_{12}^{(p)} a_{23}^{(2)} E_{13}$  on the left side of the above equality. Since  $a_{12}^{(p)} a_{23}^{(2)} = 0$  and  $a_{23}^{(2)}$  is a unit by [3], then  $a_{12}^{(p)} = 0$ . If  $k > 1$ , apply  $\theta$  to the equality  $E_{k,k+1} E_{p+1,p+2}$  to get

$$\left[ a_{ij}^{(k)} \right] \left[ a_{ij}^{(p+1)} \right] = 0.$$

Consider the term  $a_{p,p+1}^{(k)} a_{p+1,p+2}^{(p+1)} E_{p,p+2} = 0$  on the left side of the above equality. Since  $a_{p,p+1}^{(k)} a_{p+1,p+2}^{(p+1)} = 0$  and  $a_{p+1,p+2}^{(p+1)}$  is a unit then  $a_{p,p+1}^{(k)} = 0$  but, this is a contradiction. Now defining the diagonal matrix  $D \in T_n(R)$  with diagonal entries from the set  $\{1, (a_{12}^{(1)})^{-1}, (a_{12}^{(1)} \cdot a_{23}^{(2)})^{-1}, \dots, (a_{12}^{(1)} \cdot a_{23}^{(2)} \dots a_{n-1,n})^{-1}\}$  we have the following result on the diagonal  $S_2$ ,

$$\eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} \text{ for } i = 1, \dots, n - 1.$$

We are going to use induction on  $t$  to prove that there exist inner automorphisms  $\eta_{P_t}$  with  $P_t \in T_n(R)$  and

$$\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} \text{ for } i = 1, \dots, n - 1 \text{ and } t = 2, \dots, n - 1.$$

Assume that we have

$$\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1}$$

for  $i = 1, \dots, n - 1$ , on the diagonals  $S_1, \dots, S_t$ . What about on the diagonal  $S_{t+1}$ ? Apply  $\theta$  to  $E_{ii}$ , we can obtain the sum

$$\sum_{p=i}^t e_{i,i+t}^{(p)} E_{i,i+t} \text{ for } i = 1, \dots, n - t + 1.$$

By Proposition 2.2, we have

$$\sum_{p=i}^t e_{i,i+t}^{(p)} E_{i,i+t} = 0.$$

Then we just consider the rest, that is:

$$\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + \sum_{j=1}^{n-t} b_{j,i}^{(i)} E_{j,j+t}.$$

We want to show  $b_{j,i}^{(i)} = 0$  if  $j \neq i$  and  $j \neq i - t + 1$ . Assume that  $b_{p,q}^{(q)} \neq 0$  with  $p \neq q$  with  $p \neq q + 1 - t$ . If  $p < n - t$  then we apply  $\eta_{P_t}^{-1} \eta_D^{-1} \theta$  to  $E_{q,q+1} E_{p+t,p+t+1}$ , we get  $\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{q,q+1} E_{p+t,p+t+1}) = 0$ . Thus, we obtain the following results:

$$\left[ E_{q,q+1} + \sum_{j=1}^{n-t} b_{j,q}^{(q)} E_{j,j+t} \right] \left[ E_{p+t,p+t+1} + \sum_{j=1}^{n-t} b_{j,p+t}^{(p+t)} E_{j,j+t} \right] = 0$$

and

$$b_{q+1,p+t}^{(p+t)} E_{q,p+t+1} + b_{p,q}^{(q)} E_{p,p+t+1} = 0.$$

Hence  $b_{pq}^{(q)} = 0$ , we get a contradiction. If  $p = n - t$  then apply  $\eta_{P_t}^{-1} \eta_D^{-1} \theta$  to  $E_{p-1,p} E_{q,q+1}$  we get

$$\eta_X^{-1} \eta_D^{-1} \theta(E_{p-1,p} E_{q,q+1}) = 0$$

and

$$b_{pq}^{(q)} E_{p-1,p+t} + b_{q-t,p-1}^{(p-1)} E_{q-t,q+1} = 0.$$

Since  $b_{pq}^{(q)} = 0$ , we get a contradiction again. Hence, on the  $S_{t+1}$  diagonal we have

$$\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + b_{i-t+1,i}^{(i)} E_{i-t+1,i+1} + b_{i,i}^{(i)} E_{i,i+t}.$$

However, we want to get  $\eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1}$ .

To prove this, we use induction again. Assume that there exists an inner automorphism  $\lambda_{G_{k-1}}$  such that on the  $S_{t+1}$  diagonal:

$$\lambda_{G_{k-1}}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} \text{ for } i = 1, \dots, k - 1,$$

and

$$\lambda_{G_{k-1}}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + d_{i-t+1,i}^{(i)} E_{i-t+1,i+1} + d_{i,i}^{(i)} E_{i,i+t} \text{ for } i = k, \dots, n - 1.$$

Setting  $Z = I + d_{k-t+1,k}^{(k)} E_{k-t+1,k} - d_{k,k}^{(k)} E_{k,k+t}$  and  $G_k = G_{k-1} Z$  then for  $1 \leq i < k$  we have

$$\lambda_{G_k}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + \delta_{i,k-t} d_{k+1-t,k}^{(k)} E_{i,k}$$

on the  $S_{t+1}$  diagonal. For  $i = k - t$ , applying  $\lambda_{G_{k-1}}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta$  to the equality  $E_{k-t,k-t+1} E_{k,k+1} = 0$ , we obtain

$$d_{k+1-t,k}^{(k)} E_{k-t,k+1} = 0$$

on the diagonal  $S_{t+1}$ . Hence

$$d_{k+1-t,k}^{(k)} = 0.$$

We get

$$\lambda_{G_k}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{k,k+1}) = E_{k,k+1}$$

for  $i = 1, \dots, k$ . For  $i > k$ , as stated on [1], we have

$$\lambda_{G_k}^{-1} \eta_{P_t}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{k,k+1} + (d_{i-t+1,i+1}^{(i)} + \delta_{k+t,i} d_{kk}^{(k)}) E_{i+1-t,i+1} + d_{ii}^{(i)} E_{i,i+t}.$$

Thus, there exists an inner automorphism  $\lambda_{P_{n-1}}$  of  $ST_n(R)$  such that

$$\lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1}$$

on the  $S_{n-1}$  diagonal. We want to know what happens on the  $S_n$  diagonal. Assume that, we have

$$\lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + c_i E_{1n} \text{ for } i = 1, \dots, n - 1$$



on the  $S_n$  diagonal. If we apply  $\lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta$  to  $E_{ii}$ , we get

$$(e_{1n}^{(1)} + e_{1n}^{(2)} + \dots + e_{1n}^{(n-1)})E_{1n}.$$

By Proposition 2.2,  $(e_{1n}^{(1)} + e_{1n}^{(2)} + \dots + e_{1n}^{(n-1)}) = 0$  and if we take

$$Z = I + c_{n-1}E_{1,n-1} - c_1E_{2n}$$

as in [1], we get

$$\lambda_Z^{-1} \lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} \text{ for } i = 1, n - 1$$

and

$$\lambda_Z^{-1} \lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} + c_i E_{1n} \text{ for } i = 2, \dots, n - 2.$$

Setting  $c = (c_2, \dots, c_{n-2})$ , we get

$$\mu_c^{-1} \lambda_Z^{-1} \lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta(E_{i,i+1}) = E_{i,i+1} \text{ for } i = 1, \dots, n.$$

So we have

$$\mu_c^{-1} \lambda_Z^{-1} \lambda_{P_{n-1}}^{-1} \eta_D^{-1} \theta = 1.$$

Thus

$$\theta = \eta_D \mu_c \lambda_P,$$

for some  $P \in T_n(R)$ . □

**Remark 2.5.** Let  $N_n(R)$  be the strictly upper triangular matrix algebra over  $R$ , then

$$Aut(N_n(R)) \simeq Aut(ST_n(R)).$$

**Example 2.6.** Let  $A \in ST_4(R)$  be defined as  $\begin{bmatrix} a & b & c & d \\ 0 & a & e & f \\ 0 & 0 & a & r \\ 0 & 0 & 0 & a \end{bmatrix}$ .

We can check that  $\theta : ST_4(R) \rightarrow ST_4(R)$  defines an automorphism via

$$\theta \left( \begin{bmatrix} a & b & c & d \\ 0 & a & e & f \\ 0 & 0 & a & r \\ 0 & 0 & 0 & a \end{bmatrix} \right) = \begin{bmatrix} a & b & c & d - 3e \\ 0 & a & e & f \\ 0 & 0 & a & r \\ 0 & 0 & 0 & a \end{bmatrix}.$$

But  $\theta$  is not an inner automorphism. Notice that  $Aut(ST_n(R)) \not\subseteq Aut(T_n(R))$ . Then all the automorphisms of  $ST_n(R)$  cannot be extended to the automorphisms of  $T_n(R)$ .

### References

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