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# SIMPLE CRITERIA FOR UNIVALENCE AND COEFFICIENT BOUNDS FOR A CERTAIN SUBCLASSES OF ANALYTIC FUNCTIONS

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ABSTRACT. In the first part of this work we present several new geometric properties of analytic functions by applying the differential subordination. In addition, several results in the geometric functions theory pointed out. In the second part we find upper bounds for coefficients of functions in class  $\mathcal{B}_{\Sigma}^{q,\mu}(\beta,\lambda,h)$  which is defined by fractional q-calculus operators.

### 1. Introduction and Preliminaries

Let  $\mathcal{A}$  be the class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \tag{1.1}$$

which are analytic in the open unit disk  $\mathbb{U} := \{z \in \mathbb{C} : |z| < 1\}$ , and denote by  $\mathcal{S}$  the class of all functions of  $\mathcal{A}$  which are univalent in  $\mathbb{U}$ .

For two functions f and F which are analytic in  $\mathbb{U}$ , we say that the function f is subordinate to F in  $\mathbb{U}$ , and write  $f(z) \prec F(z)$ , if there exists a Schwarz function  $\omega$ , which is analytic in  $\mathbb{U}$  with  $\omega(0) = 0$  and  $|\omega(z)| < 1$ , such that  $f(z) = F(\omega(z))$  for all  $z \in \mathbb{U}$ .

By Schwarz's lemma we have  $|\omega(z)| \leq |z|$ ,  $z \in \mathbb{U}$ , which concludes that  $\omega(\mathbb{U}) \subset \mathbb{U}$ . Since  $\omega(0) = 0$  and  $\omega(\mathbb{U}) \subset \mathbb{U}$  it follows that if  $f(z) \prec F(z)$ , then f(0) = F(0) and  $f(\mathbb{U}) \subset F(\mathbb{U})$ . In particular, if the function F is univalent in  $\mathbb{U}$ , then we have the following equivalence

$$f(z) \prec F(z) \Leftrightarrow f(0) = F(0)$$
 and  $f(\mathbb{U}) \subset F(\mathbb{U})$ .

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First, Miller and Mocanu [18] in 1978 introduced the method of differential subordinations and then in recent years several authors obtained several applications in the geometric functions theory by using differential subordination, see for example [5, 7, 8, 9, 12, 13, 15, 20].

We denote by  $\mathcal{S}^*(\alpha)$  the subclass of  $\mathcal{A}$  consisting of functions which are *starlike* of order  $\alpha$  in  $\mathbb{U}$ , as follows:

$$\mathcal{S}^*(\alpha) := \left\{ f \in \mathcal{A} : \operatorname{Re} \frac{zf'(z)}{f(z)} > \alpha, \ z \in \mathbb{U}, \ 0 \le \alpha < 1 \right\},\,$$

and, in particular,  $S^* := S^*(0)$  is the class of *starlike functions* in the unit disk  $\mathbb{U}$ . Also, we denote by  $\mathcal{C}(\alpha)$  the subclass of  $\mathcal{A}$  consisting of functions which are *close-to-convex of order*  $\alpha$  if there exists a function  $g \in S^*$  such that

Re 
$$\frac{zf'(z)}{g(z)} > \alpha$$
,  $z \in \mathbb{U}$ ,  $0 \le \alpha < 1$ .

In particular, C := C(0) is the class of close-to-convex functions in the unit disk  $\mathbb{U}$ . It is well-known that  $S(\alpha) \subset S$  and  $C(\alpha) \subset S$ , for all  $0 \le \alpha < 1$ .

It is well known that every function  $f \in \mathcal{S}$  contains a disk of radius  $\frac{1}{4}$ . Therefore, every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , which is defined by  $f^{-1}(f(z)) = z$  ( $z \in \mathbb{U}$ ), and  $f(f^{-1}(w)) = w(|w| < r_0(f); r_0(f) \ge \frac{1}{4})$ , where

$$g(w) = f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2 a_3 + a_4)w^4 + \dots =: w + \sum_{n=2}^{\infty} b_n w^n.$$
(1.2)

A function  $f \in \mathcal{A}$  is said to be *bi-univalent* in  $\mathbb{U}$  if both f and  $f^{-1}$  are univalent in  $\mathbb{U}$ , and let  $\Sigma$  denote the class of bi-univalent functions in  $\mathbb{U}$ . In recent years many authors made an effort to introduce various subclasses of the bi-univalent function class  $\Sigma$ , see for example [10, 22, 23, 27].

Purohit and Raina [25] (see also [22]) defined a fractional q-differential operator  $\Omega_q^{\mu}$  (by using the definitions of the fractional q-calculus operators) for a function f of the form (1.1) by

$$\Omega_q^{\mu} f(z) = z + \sum_{n=2}^{\infty} \Psi_q^n(\mu) a_n z^n = \frac{\Gamma_q(2-\mu)}{\Gamma_q(2)} z^{\mu-1} D_{q,z}^{\mu} f(z), \ z \in \mathbb{U},$$
 (1.3)

where

$$\Theta_n := \Psi_n^q(\mu) = \frac{\Gamma_q(2-\mu)\Gamma_q(n+1)}{\Gamma_q(2)\Gamma_q(n+1-\mu)}, \ -\infty < \mu < 2, \ 0 < q < 1,$$

where  $D_{q,z}^{\mu}f$  in (1.3) represents, respectively, a fractional q-integral of f of order  $\mu$  when  $-\infty < \mu < 0$ , and a fractional q-derivative of f of order  $\mu$  when  $0 \le \mu < 2$ .

We note that  $\Omega_q^0 f(z) = f(z)$  and  $\lim_{q \to 1^-} \Omega_q^{\mu} f(z) = \Omega^{\mu} f(z)$  (see Owa and Srivastava [24], Aouf and Dziok [6] and Srivastava and Aouf [26]).

**Definition 1.1.** [22] Let  $h: \mathbb{U} \to \mathbb{C}$  be a convex (univalent) function such that h(0) = 1 and  $\operatorname{Re} h(z) > 0, z \in \mathbb{U}$ .

A function  $f \in \Sigma$  given by (1.1) is said to be in the class  $\mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$  if the following conditions are satisfied:

$$e^{i\beta} \left( \frac{z^{1-\lambda} (\Omega_q^{\mu} f(z))'}{[\Omega_q^{\mu} f(z)]^{1-\lambda}} \right) \prec h(z) \cos \beta + i \sin \beta$$

and

$$e^{i\beta} \left( \frac{w^{1-\lambda}(\Omega_q^{\mu}g(w))'}{[\Omega_q^{\mu}g(w)]^{1-\lambda}} \right) \prec h(w)\cos\beta + i\sin\beta,$$

where  $\beta \in \left(\frac{-\pi}{2}, \frac{\pi}{2}\right)$ ,  $\lambda \geq 0$ ,  $z, w \in \mathbb{U}$ , and where  $g = f^{-1}$  is given by (1.2).

The following lemmas will be used in prove the main result.

**Lemma 1.1.** [19] Let  $p(z) = 1 + \sum_{n \geq m}^{\infty} c_n z^n$ ,  $c_m \neq 0$ , be an analytic function in |z| < 1 with p(0) = 1. If there exists a point  $z_0$ , with  $|z_0| < 1$ , such that

$$\operatorname{Re} p(z) > 0$$
 for  $|z| < |z_0|$ 

and

$$\operatorname{Re} p(z_0) = 0,$$

then we have

$$z_0 p'(z_0) = \begin{cases} ikp(z_0), & when \ p(z_0) \neq 0 \\ -l/2, & when \ p(z_0) = 0 \end{cases}$$

for some  $k \geq m$ ,  $l \geq m$ .

**Lemma 1.2.** [11, p. 190] Let u be analytic function in the unit disk  $\mathbb{U}$ , with u(0) = 0, and |u(z)| < 1 for all  $z \in \mathbb{D}$ , with the power series expansion

$$u(z) = \sum_{n=1}^{\infty} c_n z^n, \quad z \in \mathbb{D}.$$

Then,  $|c_n| \leq 1$  for all  $n = 1, 2, 3, \ldots$  Furthermore,  $|c_n| = 1$  for some n ( $n = 1, 2, 3, \ldots$ ) if and only if  $u(z) = e^{i\theta} z^n$ ,  $\theta \in \mathbb{R}$ .

**Lemma 1.3.** [14] Let the function w be a Schwarz function with the power series expansion given by  $w(z) = \sum_{n=1}^{\infty} w_n z^n$ ,  $z \in \mathbb{U}$ . Then, for every complex number s, the next inequality holds:

$$\left| w_2 - sw_1^2 \right| \le 1 + (|s| - 1) \left| w_1^2 \right|.$$

In Section 2, the paper aims in presenting several new geometric properties of analytic functions by applying the differential subordinations, and in addition, several special results are pointed out. In Section 3 we use the Faber polynomial expansion techniques to derive bounds for the coefficients  $|a_n|$  for the functions of the class  $\mathcal{B}_{\Sigma}^{q,\mu}(\beta,\lambda,h)$ , that our results generalize and improve some of the previously ones. In the literature, several authors used the Faber polynomial expansions under certain conditions to determine the general coefficient bounds of  $|a_n|$  for the analytic bi-univalent functions (see, for example, [16, 17, 30]).

#### 2. Sufficient Conditions for Univalence and Starlikeness

In the following section we study differential subordinations and several sufficient conditions for the univalence, starlikeness and close-to-convexity of functions  $f \in \mathcal{A}$ .

**Theorem 2.1.** Let p be an analytic function in  $\mathbb{U}$ , with p(0) = 1 and  $p'(0) \neq 0$ , that satisfies

$$\operatorname{Re}\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + p^2(z) + zp'(z)}\right]^2 > 0, \ z \in \mathbb{U}, \quad for \ some \quad a \in \mathbb{R} \setminus \{-1\}.$$
 (2.1)

Then.

$$\operatorname{Re} p(z) > 0, \ z \in \mathbb{U}.$$

*Proof.* If a=0, using the fact that p(0)=1 it is easy to prove that the assumption (2.1) implies  $\operatorname{Re} p(z)>0,\ z\in\mathbb{U}$ , and therefore we will assume that  $a\neq 0$ . Also, since the inequality (2.1) holds for  $z_*=0$ , it is necessary to assume that  $a\neq -1$ .

Supposing that there exists a point  $z_0 \in \mathbb{U}$  such that

Re 
$$p(z) > 0$$
, for  $|z| < |z_0|$ 

and

$$\text{Re } p(z_0) = 0,$$

it follows that

$$p(z_0) = i\lambda, \ \lambda \in \mathbb{R}.$$

Hence, according to Lemma 1.1 for m = 1, we have

$$z_0 p'(z_0) = \begin{cases} ikp(z_0), & \text{when} \quad p(z_0) \neq 0 \\ -l/2, & \text{when} \quad p(z_0) = 0 \end{cases} = \begin{cases} -k\lambda, & \text{when} \quad \lambda \neq 0 \\ -l/2, & \text{when} \quad \lambda = 0, \end{cases}$$

for some  $k \geq 1$ ,  $l \geq 1$ .

(i) For the case  $p(z_0) \neq 0$  suppose that

$$a + p^{2}(z_{0}) + zp'(z_{0}) = a - \lambda^{2} - k\lambda = 0.$$
(2.2)

 $(\alpha)$  If

$$p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)} = i(\lambda + k) \neq 0,$$

then  $z_0 \in \mathbb{U}$  will be a double pole for the function

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + p^2(z) + zp'(z)}\right]^2$$

and therefore, in any neighborhood  $U(z_0; \rho) := \{z \in \mathbb{C} : |z| < \rho\} \subset \mathbb{U}$  of the pole  $z_0$  there exists at least a  $z_\rho \in U(z_0; \rho)$  such that

Re 
$$\left[ \frac{p(z_{\rho}) + \frac{z_{\rho}p'(z_{\rho})}{p(z_{\rho})}}{a + p^{2}(z_{\rho}) + z_{\rho}p'(z_{\rho})} \right]^{2} < 0,$$

which contradicts the assumption (2.1).

 $(\beta)$  If

$$p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)} = i(\lambda + k) = 0,$$

from this relation and (2.2) it follows that a=0, which contradicts our assumption. Therefore, from  $(\alpha)$  and  $(\beta)$  we deduce that the assumption (2.1) implies that the function

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + p^2(z) + zp'(z)}\right]^2,$$

is analytic in U, and

$$\operatorname{Re}\left[\frac{p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)}}{a + p^2(z_0) + z p'(z_0)}\right]^2 = \operatorname{Re}\left(\frac{i\lambda + ik}{a - \lambda^2 - k\lambda}\right)^2 = -\left(\frac{\lambda + k}{a - \lambda^2 - k\lambda}\right)^2 \le 0,$$

which is a contradiction with the assumption (2.1).

(ii) For the case  $p(z_0) = 0$  it follows that  $z_0 p'(z_0)$  is a negative real number, and the function  $\frac{zp'(z)}{p(z)}$  has a simple pole at  $z_0$ . Since p(0) = 1, then  $z_0 \in \mathbb{U} \setminus \{0\}$  will

be at least a double pole for the function

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + p^2(z) + zp'(z)}\right]^2$$

and therefore, in any neighborhood  $U(z_0; \rho) := \{z \in \mathbb{C} : |z| < \rho\} \subset \mathbb{U}$  of the pole  $z_0$  there exists at least a  $z_\rho \in U(z_0; \rho)$  such that have

Re 
$$\left[ \frac{p(z_{\rho}) + \frac{z_{\rho}p'(z_{\rho})}{p(z_{\rho})}}{a + p^{2}(z_{\rho}) + z_{\rho}p'(z_{\rho})} \right]^{2} < 0,$$

which contradicts the assumption (2.1).

Concluding, from the above cases it follows that  $\operatorname{Re} p(z) > 0$  for all  $z \in \mathbb{U}$ , and the proof of the theorem is complete.

For  $f \in \mathcal{A}$  and p := f' the above theorem leads to the following result which gives sufficient condition for the close-to-convexity (univalence) of the function f:

Corollary 2.1. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{f'(z) + \frac{zf''(z)}{f'(z)}}{a + [f'^2 + zf''(z)]}\right]^2 > 0, \ z \in \mathbb{U}, \quad \textit{for some} \quad a \in \mathbb{R} \setminus \{-1\},$$

then

$$\operatorname{Re} f'(z) > 0, \ z \in \mathbb{U}.$$

For  $f \in \mathcal{A}$  and  $p(z) := \frac{zf'(z)}{f(z)}$ , then  $p'(0) \neq 0$  is equivalent to  $f''(0) \neq 0$ , and Theorem 2.1 leads to the following result which gives a sufficient starlikeness (univalence) condition:

Corollary 2.2. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{1+\frac{zf''(z)}{f'(z)}}{a+\frac{zf'(z)}{f(z)}+\frac{z^2f''(z)}{f(z)}}\right]^2 > 0, \ z \in \mathbb{U}, \quad \text{for some} \quad a \in \mathbb{R} \setminus \{-1\},$$

then

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > 0, \ z \in \mathbb{U}.$$

**Theorem 2.2.** Let p be an analytic function in  $\mathbb{U}$ , with p(0) = 1,  $p'(0) \neq 0$ , that satisfies

$$\operatorname{Re}\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + \frac{zp'(z)}{p^2(z)}}\right]^2 > 0, \ z \in \mathbb{U}, \quad \text{for some} \quad a \in \mathbb{R} \setminus \{0\}.$$
 (2.3)

Then,

$$\operatorname{Re} p(z) > 0, \ z \in \mathbb{U}.$$

*Proof.* Since the inequality (2.3) holds for  $z_* = 0$  it is necessary to assume that  $a \neq 0$ . For a = 1, using the fact that p(0) = 1 it is easy to prove that the assumption (2.3) implies our conclusion, and thus we will assume that  $a \neq 1$ .

Supposing that there exists a point  $z_0 \in \mathbb{U}$  such that

Re 
$$p(z) > 0$$
, for  $|z| < |z_0|$ 

and

$$\text{Re } p(z_0) = 0,$$

it follows that

$$p(z_0) = i\lambda, \ \lambda \in \mathbb{R}.$$

Now, using Lemma 1.1 for m = 1, we have

$$z_0 p'(z_0) = \begin{cases} ikp(z_0), & \text{when} \quad p(z_0) \neq 0 \\ -l/2, & \text{when} \quad p(z_0) = 0 \end{cases} = \begin{cases} -k\lambda, & \text{when} \quad \lambda \neq 0 \\ -l/2, & \text{when} \quad \lambda = 0, \end{cases}$$

for some  $k \geq 1$ ,  $l \geq 1$ .

(i) For the case  $p(z_0) \neq 0$ , that is  $\lambda \neq 0$ , suppose that

$$a + \frac{z_0 p'(z_0)}{p^2(z_0)} = a + \frac{k}{\lambda} = 0.$$
 (2.4)

 $(\alpha)$  If

$$p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)} = i(\lambda + k) \neq 0,$$

then  $z_0 \in \mathbb{U}$  will be a double pole for the function

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + \frac{zp'(z)}{p^2(z)}}\right]^2$$

and therefore, in any neighborhood  $U(z_0; \rho) := \{z \in \mathbb{C} : |z| < \rho\} \subset \mathbb{U}$  of the pole  $z_0$  there exists at least a  $z_\rho \in U(z_0; \rho)$  such that

$$\operatorname{Re}\left[\frac{p(z_{\rho}) + \frac{z_{\rho}p'(z_{\rho})}{p(z_{\rho})}}{a + \frac{z_{\rho}p'(z_{\rho})}{p^{2}(z_{\rho})}}\right]^{2} < 0,$$

which contradicts the assumption (2.3).

 $(\beta)$  If

$$p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)} = i(\lambda + k) = 0,$$

from this relation and (2.4) it follows that a=1, which contradicts our assumption. Therefore, from  $(\alpha)$  and  $(\beta)$  we deduce that the assumption (2.3) implies that the function

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + \frac{zp'(z)}{p^2(z)}}\right]^2,$$

is analytic in U, and

$$\operatorname{Re}\left[\frac{p(z_0) + \frac{z_0 p'(z_0)}{p(z_0)}}{a + \frac{z_0 p'(z_0)}{p^2(z_0)}}\right]^2 = \operatorname{Re}\left(\frac{i\lambda + ik}{a + \frac{k}{\lambda}}\right)^2 = -\left(\frac{\lambda + k}{a + \frac{k}{\lambda}}\right)^2 \le 0,$$

which is a contradiction with the assumption (2.3).

(ii) For the case  $p(z_0) = 0$ , since

$$\left[\frac{p(z) + \frac{zp'(z)}{p(z)}}{a + \frac{zp'(z)}{p^2(z)}}\right]^2 = \left[\frac{p^3(z) + zp(z)p'(z)}{ap^2(z) + zp'(z)}\right]^2,$$

it follows that

Re 
$$\left[\frac{p^3(z_0) + z_0 p(z_0) p'(z_0)}{ap^2(z_0) + z_0 p'(z_0)}\right]^2 = 0,$$

which contradicts the assumption (2.3).

Thus, from the above cases it follows that  $\operatorname{Re} p(z) > 0$  for all  $z \in \mathbb{U}$ .

For  $f \in \mathcal{A}$  and p := f', and for  $p(z) := \frac{zf'(z)}{f(z)}$ , Theorem 2.2 reduces to the following two results which represent sufficient condition for the close-to-convexity and starlikeness, respectively:

Corollary 2.3. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{f'(z) + \frac{zf''(z)}{f'(z)}}{a + \frac{zf''(z)}{|f'|^2}}\right]^2 > 0, \ z \in \mathbb{U}, \quad \text{for some} \quad a \in \mathbb{R} \setminus \{0\},$$

then,

$$\operatorname{Re} f'(z) > 0, \ z \in \mathbb{U}.$$

Corollary 2.4. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{1+\frac{zf''(z)}{f'(z)}}{a+\frac{f(z)}{zf'(z)}\left(1+\frac{zf''(z)}{f'(z)}-\frac{zf'(z)}{f(z)}\right)}\right]^{2} > 0, \ z \in \mathbb{U}, \quad for \ some \quad a \in \mathbb{R} \setminus \{0\},$$

then,

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > 0, \ z \in \mathbb{U}.$$

**Theorem 2.3.** Let p be an analytic function in  $\mathbb{U}$ , with p(0) = 1,  $p'(0) \neq 0$ , that satisfies

$$\operatorname{Re}\left[\frac{p(z)\left[a+zp'(z)\right]}{a+p^2(z)+zp'(z)}\right]^2>0,\ z\in\mathbb{U},\quad for\ some\quad a\in\left(-\infty,\frac{1}{2}\right)\setminus\{-1,0\}.\ \ (2.5)$$

Then

$$\operatorname{Re} p(z) > 0, \ z \in \mathbb{U}.$$

*Proof.* First, since the assumption (2.5) holds for  $z_* = 0$ , it is necessary to assume that  $a \neq 0$  and  $a \neq -1$ . If we suppose that there exists a point  $z_0 \in \mathbb{U}$  such that

Re 
$$p(z) > 0$$
, for  $|z| < |z_0|$ 

and

$$\operatorname{Re} p(z_0) = 0,$$

it follows that

$$p(z_0) = i\lambda, \ \lambda \in \mathbb{R}.$$

Hence, according to Lemma 1.1 for m = 1, we have

$$z_0 p'(z_0) = \begin{cases} ikp(z_0), & \text{when} \quad p(z_0) \neq 0 \\ -l/2, & \text{when} \quad p(z_0) = 0 \end{cases} = \begin{cases} -k\lambda, & \text{when} \quad \lambda \neq 0 \\ -l/2, & \text{when} \quad \lambda = 0, \end{cases}$$

for some  $k \geq 1$ ,  $l \geq 1$ .

(i) For the case  $p(z_0) \neq 0$ , that is  $\lambda \neq 0$ , suppose that

$$a + p^{2}(z_{0}) + zp'(z_{0}) = a - \lambda^{2} - k\lambda = 0.$$
 (2.6)

 $(\alpha)$  If

$$p(z_0) \left[ a + z_0 p'(z_0) \right] = i\lambda (a - k\lambda) \neq 0,$$

then  $z_0 \in \mathbb{U}$  will be a double pole for the function

$$\left[\frac{p(z)\left[a+zp'(z)\right]}{a+p^2(z)+zp'(z)}\right]^2$$

and therefore, in any neighborhood  $U(z_0; \rho) := \{z \in \mathbb{C} : |z| < \rho\} \subset \mathbb{U}$  of the pole  $z_0$  there exists at least a  $z_\rho \in U(z_0; \rho)$  such that have

Re 
$$\left[ \frac{p(z_{\rho}) \left[ a + z_{\rho} p'(z_{\rho}) \right]}{a + p^{2}(z_{\rho}) + z_{\rho} p'(z_{\rho})} \right]^{2} < 0,$$

which contradicts the assumption (2.5)

 $(\beta)$  If

$$p(z_0)[a + z_0 p'(z_0)] = i\lambda(a - k\lambda) = 0,$$

hence  $a = k\lambda$ , and from (2.6) it follows that  $-\lambda^2 = 0$ , that contradicts the fact  $\lambda \neq 0$ .

Therefore, from  $(\alpha)$  and  $(\beta)$  we deduce that the assumption (2.1) implies that the function

$$\left[\frac{p(z)\left[a+zp'(z)\right]}{a+p^2(z)+zp'(z)}\right]^2$$

is analytic in U, and

$$\operatorname{Re}\left[\frac{p(z_0)\left[a+z_0p'(z_0)\right]}{a+p^2(z_0)+z_0p'(z_0)}\right]^2 = \operatorname{Re}\left[\frac{i\lambda(a-k\lambda)}{a-\lambda^2-k\lambda}\right]^2 = -\left[\frac{\lambda(a-k\lambda)}{a-\lambda^2-k\lambda}\right]^2 \le 0,$$

which is a contradiction with the assumption (2.5).

(ii) For the case  $p(z_0) = 0$ , using the fact that  $a < \frac{1}{2}$  we have

$$a + p^{2}(z_{0}) + z_{0}p'(z_{0}) = a - \frac{l}{2} \neq 0,$$

hence

Re 
$$\left[\frac{p(z_0) [a + z_0 p'(z_0)]}{a + p^2(z_0) + z_0 p'(z_0)}\right]^2 = 0,$$

which contradicts the assumption (2.5).

From the two which discussed above it follows that  $\operatorname{Re} p(z) > 0$  for all  $z \in \mathbb{U}$ .  $\square$ 

Taking  $f \in \mathcal{A}$  and p := f', and  $p(z) := \frac{zf'(z)}{f(z)}$  in Theorem 2.3 we obtain the next two special cases that represent sufficient condition for the close-to-convexity and starlikeness, respectively:

Corollary 2.5. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{f'(z)[a+zf''(z)]}{a+[f'^2+zf''(z)]}\right]^2 > 0, \ z \in \mathbb{U}, \quad \textit{for some} \quad a \in \left(-\infty, \frac{1}{2}\right) \setminus \{-1, 0\},$$

then

$$\operatorname{Re} f'(z) > 0, \ z \in \mathbb{U}.$$

Corollary 2.6. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{a + \frac{zf'(z)}{f(z)} \left(1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)}\right)}{1 + a\frac{f(z)}{zf'(z)} + \frac{zf''(z)}{f'(z)}}\right]^{2} > 0, \ z \in \mathbb{U},$$

for some  $a \in \left(-\infty, \frac{1}{2}\right) \setminus \{-1, 0\}$ , then

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > 0, \ z \in \mathbb{U}.$$

**Theorem 2.4.** Let p be an analytic function in  $\mathbb{U}$ , with p(0) = 1,  $p'(0) \neq 0$ , that satisfies

$$\operatorname{Re}\left[\frac{p(z)\left[a+zp'(z)\right]}{a+\frac{zp'(z)}{p^{2}(z)}}\right]^{2} > 0, \ z \in \mathbb{U}, \quad for \ some \quad a \in \mathbb{R}. \tag{2.7}$$

Then

$$\operatorname{Re} p(z) > 0, \ z \in \mathbb{U}.$$

*Proof.* Suppose that there exists a point  $z_0 \in \mathbb{U}$  such that

Re 
$$p(z) > 0$$
, for  $|z| < |z_0|$ 

and

$$\text{Re } p(z_0) = 0.$$

By using Lemma 1.1 for m=1, it follows that

$$p(z_0) = i\lambda, \ \lambda \in \mathbb{R},$$

and

$$z_0p'(z_0) = \left\{ \begin{array}{ll} ikp(z_0), & \text{when} \quad p(z_0) \neq 0 \\ -l/2, & \text{when} \quad p(z_0) = 0 \end{array} \right. = \left\{ \begin{array}{ll} -k\lambda, & \text{when} \quad \lambda \neq 0 \\ -l/2, & \text{when} \quad \lambda = 0, \end{array} \right.$$

for some  $k \geq 1$ ,  $l \geq 1$ .

(i) For the case  $p(z_0) \neq 0$ , that is  $\lambda \neq 0$ , suppose that

$$a + \frac{z_0 p'(z_0)}{p^2(z_0)} = a + \frac{k}{\lambda} = 0.$$
 (2.8)

 $(\alpha)$  If

$$p(z_0) [a + z_0 p'(z_0)] = i\lambda(a - k\lambda) \neq 0,$$

then  $z_0 \in \mathbb{U}$  will be a double pole for the function

$$\left[\frac{p(z)\left[a+zp'(z)\right]}{a+\frac{zp'(z)}{p^2(z)}}\right]^2$$

and therefore, in any neighborhood  $U(z_0; \rho) := \{z \in \mathbb{C} : |z| < \rho\} \subset \mathbb{U}$  of the pole  $z_0$  there exists at least a  $z_\rho \in U(z_0; \rho)$  such that have

$$\left[\frac{p(z_{\rho})\left[a + z_{\rho}p'(z_{\rho})\right]}{a + \frac{z_{\rho}p'(z_{\rho})}{p^{2}(z_{\rho})}}\right]^{2} < 0,$$

which contradicts the assumption (2.7).

 $(\beta)$  If

$$p(z_0)[a + z_0 p'(z_0)] = i\lambda(a - k\lambda) = 0,$$

then  $a = k\lambda$  and from (2.8) it follows that k = 0 or  $\lambda^2 = -1$ , which contradicts the facts  $k \ge 1$  and  $\lambda \in \mathbb{R}$ .

Therefore, from  $(\alpha)$  and  $(\beta)$  we deduce that the assumption (2.7) implies that the function

$$\left[\frac{p(z)\left[a+zp'(z)\right]}{a+\frac{zp'(z)}{p^2(z)}}\right]^2,$$

is analytic in  $\mathbb{U}$ , and

$$\operatorname{Re}\left[\frac{p(z_0)\left[a+z_0p'(z_0)\right]}{a+\frac{z_0p'(z_0)}{p^2(z_0)}}\right]^2 = \operatorname{Re}\left[\frac{i\lambda(a-k\lambda)}{a+\frac{k}{\lambda}}\right]^2 = -\left[\frac{\lambda(a-k\lambda)}{a+\frac{k}{\lambda}}\right]^2 \le 0,$$

which is a contradiction with the assumption (2.7).

(ii) For the case  $p(z_0) = 0$ , since

$$\left[\frac{p(z) [a + zp'(z)]}{a + \frac{zp'(z)}{p^2(z)}}\right]^2 = \left[\frac{p^3(z) [a + zp'(z)]}{ap^2(z) + zp'(z)}\right]^2,$$

it follows that

$$\operatorname{Re}\left[\frac{p^{3}(z_{0})\left[a+z_{0}p'(z_{0})\right]}{ap^{2}(z_{0})+z_{0}p'(z_{0})}\right]^{2}=0,$$

which contradicts the assumption (2.7)

Concluding, from the two cases we discussed above it follows that  $\operatorname{Re} p(z) > 0$  for all  $z \in \mathbb{U}$ .

Replacing p := f', and  $p(z) := \frac{zf'(z)}{f(z)}$  where  $f \in \mathcal{A}$  in Theorem 2.4 we obtain the next two special cases that represent sufficient condition for the close-to-convexity and starlikeness, respectively:

Corollary 2.7. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{f'(z)[a+zf''(z)]}{a+\frac{zf''(z)}{[f'^2]}}\right]^2 > 0, \ z \in \mathbb{U}, \quad for \ some \quad a \in \mathbb{R},$$

then,

$$\operatorname{Re} f'(z) > 0, \ z \in \mathbb{U}.$$

Corollary 2.8. If  $f \in A$ , with  $f''(0) \neq 0$ , and satisfies

$$\operatorname{Re}\left[\frac{\frac{zf'(z)}{f(z)}\left[a+\frac{zf'(z)}{f(z)}\left(1+\frac{zf''(z)}{f'(z)}-\frac{zf'(z)}{f(z)}\right)\right]}{a+\frac{f(z)}{zf'(z)}\left(1+\frac{zf''(z)}{f'(z)}-\frac{zf'(z)}{f(z)}\right)}\right]^{2}>0,\ z\in\mathbb{U},\quad for\ some\quad a\in\mathbb{R},$$

then,

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > 0, \ z \in \mathbb{U}.$$

- Remark 2.1. (1) For  $g \in \mathcal{S}^*$  and  $f \in \mathcal{A}$ , such that  $2f''(0) \neq g''(0)$ , setting  $p(z) := \frac{zf'(z)}{g(z)}$  in the above theorems we will obtain sufficient condition for close-to-convexity.
  - (2) For  $f \in \mathcal{A}$ , with  $f''(0) \neq 0$ , setting  $p(z) := \frac{f(z)}{z}$  in the above theorems we will obtain sufficient condition for the functions f to satisfy the inequality  $\operatorname{Re} \frac{f(z)}{z} > 0$ ,  $z \in \mathbb{U}$ .

#### 3. Coefficient Bounds

We begin by deriving upper bounds for the general Taylor-Maclaurin coefficients  $|a_n|$  for  $n \geq 3$  of the functions belonging in the class  $\mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$ , and next we will find estimates for the initial coefficient  $|a_2|$ .

Using the Faber polynomial expansion of functions  $f \in \mathcal{S}$  of the form (1.1), the coefficients of its inverse map  $g = f^{-1}$  may be expressed as follows (see for details

[1] and [2])

$$g(w) = f^{-1}(w) = w + \sum_{n=2}^{\infty} \frac{1}{n} K_{n-1}^{-n}(a_2, a_3, \dots, a_n) w^n,$$
(3.1)

where

$$K_{n-1}^{-n} = \frac{(-n)!}{(-2n+1)!(n-1)!} a_2^{n-1} + \frac{(-n)!}{(2(-n+1))!(n-3)!} a_2^{n-3} a_3 + \frac{(-n)!}{(-2n+3)!(n-4)!} a_2^{n-4} a_4 + \frac{(-n)!}{(2(-n+2))!(n-5)!} a_2^{n-5} \left[ a_5 + (-n+2)a_3^2 \right] + \frac{(-n)!}{(-2n+5)!(n-6)!} a_2^{n-6} \left[ a_6 + (-2n+5)a_3a_4 \right] + \sum_{j>7} a_2^{n-j} V_j$$

such that  $V_j$   $(7 \le j \le n)$  is a homogeneous polynomial in the variables  $a_2, a_3, \ldots, a_n$ , and the expressions such as (for example) (-n)! are to be interpreted symbolically by

$$(-n)! \equiv \Gamma(1-n) := (-n)(-n-1)(-n-2)\dots,$$
  
with  $n \in \mathbb{N}_0 := \mathbb{N} \cup \{0\}, \ \mathbb{N} := \{1, 2, 3, \dots\}.$ 

In particular, the first three terms of  $K_{n-1}^{-n}$  are given by

$$K_1^{-2} = -2a_2$$
,  $K_2^{-3} = 3(2a_2^2 - a_3)$  and  $K_3^{-4} = -4(5a_2^3 - 5a_2a_3 + a_4)$ .

In general, for any p real value the expansion of  $K_n^p$  is given below (see for details, [1, 29]; see also [2, p. 349])

$$K_n^p = pa_{n+1} + \frac{p(p-1)}{2}D_n^2 + \frac{p!}{(p-3)!3!}D_n^3 + \dots + \frac{p!}{(p-n)!n!}D_n^n, \tag{3.2}$$

where  $D_n^p = D_n^p(a_2, a_3, \dots, a_{n+1})$  (see for details [29]). We also have

$$D_n^m(a_2, a_3, \dots, a_{n+1}) = \sum_{n=1}^{\infty} \frac{m! (a_2)^{\mu_1} \cdot \dots \cdot (a_{n+1})^{\mu_n}}{\mu_1! \cdot \dots \cdot \mu_n!},$$
(3.3)

where the sum is taken over all nonnegative integers  $\mu_1, \dots, \mu_n$  satisfying the conditions

$$\left\{ \begin{array}{l} \mu_1 + \mu_2 + \dots + \mu_n = m \\ \mu_1 + 2\mu_2 + \dots + n\mu_n = n. \end{array} \right.$$

It is clear that  $D_n^n(a_2, a_3, ..., a_{n+1}) = a_2^n$ .

**Theorem 3.1.** Let the function  $f \in \mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$  be given by (1.1) with the power expansion of the function h given by

$$h(z) = 1 + \sum_{n=1}^{\infty} B_n z^n, \ z \in \mathbb{U},$$
 (3.4)

and suppose that  $B_1 \neq 0$ . If  $a_k = 0$  for  $2 \leq k \leq n-1$ , where  $n \geq 3$ , then

$$|a_n| \le \frac{|B_1|\cos\beta}{[\lambda + (n-1)]\Theta_n}. (3.5)$$

*Proof.* For  $f \in \mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$  given by (1.1), using the relations (1.6) and (1.7) from [2, page 344] we have

$$e^{i\beta} \left( \frac{z^{1-\lambda} (\Omega_q^{\mu} f(z))'}{[\Omega_q^{\mu} f(z)]^{1-\lambda}} \right) = e^{i\beta} \left( 1 + \sum_{n=2}^{\infty} \left( 1 + \frac{n-1}{\lambda} \right) K_{n-1}^{\lambda} (\Theta_2 a_2, \Theta_3 a_3, \dots, \Theta_n a_n) z^{n-1} \right)$$

and for its inverse map  $g = f^{-1}$ , according to the expansion formula (1.2) we have

$$e^{i\beta}\left(\frac{w^{1-\lambda}(\Omega_q^{\mu}g(w))'}{[\Omega_q^{\mu}g(w)]^{1-\lambda}}\right) = e^{i\beta}\left(1 + \sum_{n=2}^{\infty} \left(1 + \frac{n-1}{\lambda}\right) K_{n-1}^{\lambda}(\Theta_2b_2, \Theta_3b_3, \dots, \Theta_nb_n)w^{n-1}\right),$$

where  $b_n = \frac{1}{n} K_{n-1}^{-n}(a_2, a_3, \dots, a_n), n = 2, 3, \dots$  are defined by (3.1). Furthermore, since  $f \in \mathcal{B}^{q,\mu}_{\Sigma}(\beta, \lambda, h)$ , from the definition of the subordination

Furthermore, since  $f \in \mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$ , from the definition of the subordination there exist two Schwartz functions  $u,v:\mathbb{U}\to\mathbb{U}$  of the form  $u(z)=\sum_{n=1}^{\infty}p_nz^n$ ,

$$v(z) = \sum_{n=1}^{\infty} q_n z^n$$
, such that

$$e^{i\beta} \left( \frac{z^{1-\lambda} (\Omega_q^{\mu} f(z))'}{[\Omega_q^{\mu} f(z)]^{1-\lambda}} \right) = h(u(z)) \cos \beta + i \sin \beta, \tag{3.6}$$

and

$$e^{i\beta} \left( \frac{w^{1-\lambda}(\Omega_q^{\mu} g(w))'}{[\Omega_q^{\mu} g(w)]^{1-\lambda}} \right) = h(v(w)) \cos \beta + i \sin \beta.$$
 (3.7)

Moreover, from (3.3) we have

$$h(u(z)) = 1 + B_1 p_1 z + (B_1 p_2 + B_2 p_1^2) z^2 + \dots = 1 + \sum_{n=1}^{\infty} \sum_{k=1}^{n} B_k D_n^k(p_1, p_2, \dots, p_n) z^n,$$

and

$$h(v(w)) = 1 + \sum_{n=1}^{\infty} \sum_{k=1}^{n} B_k D_n^k(q_1, q_2, \dots, q_n) w^n.$$

Equating the corresponding coefficients of (3.6) and (3.7) we get, respectively,

$$e^{i\beta} \left( 1 + \frac{n-1}{\lambda} \right) K_{n-1}^{\lambda} (\Theta_2 a_2, \Theta_3 a_3, \dots, \Theta_n a_n) = \sum_{k=1}^{n-1} B_k D_{n-1}^k (p_1, p_2, \dots, p_{n-1}) \cos \beta$$
(3.8)

and

$$e^{i\beta} \left( 1 + \frac{n-1}{\lambda} \right) K_{n-1}^{\lambda} (\Theta_2 b_2, \Theta_3 b_3, \dots, \Theta_n b_n) = \sum_{k=1}^{n-1} B_k D_{n-1}^k (q_1, q_2, \dots, q_{n-1}) \cos \beta.$$
(3.9)

We observe that if  $a_k = 0$  for all  $2 \le k \le n-1$ , by the definition of  $K_n^p$  we have  $b_n = -a_n$ , and since  $B_1 \ne 0$  we have  $p_1 = \cdots = p_{n-2} = 0$  and  $q_1 = \cdots = q_{n-2} = 0$ . Hence from (3.8) and (3.9) we obtain, respectively,

$$[\lambda + (n-1)]e^{i\beta}\Theta_n a_n = B_1 p_{n-1} \cos \beta$$

and

$$-[\lambda + (n-1)]e^{i\beta}\Theta_n a_n = B_1 q_{n-1}\cos\beta.$$

Taking the modules of either of the above two equalities and using Lemma 1.2 we obtain our result.  $\Box$ 

**Theorem 3.2.** Let the function  $f \in \mathcal{B}^{q,\mu}_{\Sigma}(\beta,\lambda,h)$  be given by (1.1). Then

$$|a_2| \le \frac{|B_1|\sqrt{2|B_1|}\cos\beta}{\sqrt{|B_1|^2|(\lambda-1)(\lambda+2)\Theta_2^2 + 2(\lambda+2)\Theta_3|\cos\beta + 2(|B_1|-|B_2|)(1+\lambda)^2\Theta_2^2}}$$

for those values of all the parameters such that the denominator is not zero.

*Proof.* If we set n=2 and n=3 in (3.8) and (3.9), respectively, we obtain

$$e^{i\beta}(1+\lambda)\Theta_2 a_2 = B_1 p_1 \cos\beta \tag{3.10}$$

$$e^{i\beta} \left[ \frac{(\lambda - 1)(\lambda + 2)}{2} \Theta_2^2 a_2^2 + (\lambda + 2)\Theta_3 a_3 \right] = (B_1 p_2 + B_2 p_1^2) \cos\beta (3.11)$$

$$-e^{i\beta}(1+\lambda)\Theta_2 a_2 = B_1 q_1 \cos \beta \tag{3.12}$$

$$e^{i\beta} \left[ \left( \frac{(\lambda-1)(\lambda+2)}{2} \Theta_2^2 + 2(\lambda+2) \Theta_3 \right) a_2^2 - (\lambda+2) \Theta_3 a_3 \right]$$

$$= (B_1 q_2 + B_2 q_1^2) \cos \beta. \tag{3.13}$$

From (3.10) and (3.12) we get

$$p_1 = -q_1, (3.14)$$

then, adding (3.11) and (3.13) and according to (3.14) we obtain

$$e^{i\beta}[(\lambda-1)(\lambda+2)\Theta_2^2+2(\lambda+2)\Theta_3]a_2^2=B_1\left(p_2+\frac{B_2}{B_1}p_1^2+q_2+\frac{B_2}{B_1}q_1^2\right)\cos\beta.$$

From (3.10), using Lemma 1.3 we have

$$\begin{aligned} & \left| (\lambda - 1)(\lambda + 2)\Theta_2^2 + 2(\lambda + 2)\Theta_3 \right| |a_2|^2 \le |B_1| \left( \left| p_2 + \frac{B_2}{B_1} p_1^2 \right| + \left| q_2 + \frac{B_2}{B_1} q_1^2 \right| \right) \cos \beta \\ & \le 2|B_1| \left( 1 + \frac{|B_2| - |B_1|}{|B_1|} |p_1^2| \right) \cos \beta = 2|B_1| \left[ 1 + \frac{(|B_2| - |B_1|)(1 + \lambda)^2 \Theta_2^2 |a_2^2|}{|B_1|^3 \cos^2 \beta} \right] \cos \beta. \end{aligned}$$

After some simple computations, from the above inequality we have

$$\left[ |B_1|^2 \left| (\lambda - 1)(\lambda + 2)\Theta_2^2 + 2(\lambda + 2)\Theta_3 \right| \cos \beta + 2(|B_1| - |B_2|)(1 + \lambda)^2 \Theta_2^2 \right] |a_2|^2 \\
\leq 2|B_1|^3 \cos^2 \beta,$$

which implies our result.

Remark 3.1. (1) The bound for  $|a_2|$  from Theorem 3.2 is smaller than the estimate obtained by Murugusundaramoorthy et al. in [22, Theorem 2.1].

(2) Letting  $h(z) = \frac{1+Az}{1+Bz}$ ,  $-1 \le B < A \le 1$ , in Theorem 3.2, we obtain an improvement of the estimate for  $|a_2|$  obtained by Murugusundaramoorthy et al. in [22, Theorem 3.1], and it is presented in the next example.

- (3) Setting  $h(z) = \frac{1 + (1 2\alpha)z}{1 z}$ ,  $0 \le \alpha < 1$ , in Theorem 3.2, we obtain an improvement of the estimate for  $|a_2|$  obtained by Murugusundaramoorthy et al. in [22, Theorem 4.1], like we will show in Example 3.2.
- (4) By setting  $\lambda = 1$ ,  $\beta = \mu = 0$ , and  $q \to 1^-$  in Theorem 3.2, we get  $\Theta_n = 1$ , hence we obtain an improvement of the estimate for  $|a_2|$  obtained by Algahtani in [4, Theorem 2.3].
- (5) Taking  $\lambda = \beta = \mu = 0$  and  $q \to 1^-$  in Theorem 3.2, we get  $\Theta_n = 1$ , hence we obtain an improvement of the estimate for  $|a_2|$  obtained by Algahtani in [4, Theorem 2.6].

**Example 3.1.** Let the function  $f \in \mathcal{B}^{q,\mu}_{\Sigma}\left(\beta,\lambda,\frac{1+Az}{1+Bz}\right)$  be given by (1.1), where  $-1 \leq B < A \leq 1$ . If  $a_k = 0$  for  $2 \leq k \leq n-1$ , where  $n \geq 3$ , then

$$|a_n| \le \frac{(A-B)\cos\beta}{[\lambda + (n-1)]\Theta_n}.$$

**Example 3.2.** Let the function  $f \in \mathcal{B}^{q,\mu}_{\Sigma}\left(\beta,\lambda,\frac{1+(1-2\alpha)z}{1-z}\right)$  be given by (1.1), where  $0 \leq \alpha < 1$ . If  $a_k = 0$  for  $2 \leq k \leq n-1$ , where  $n \geq 3$ , then

$$|a_n| \le \frac{2(1-\alpha)\cos\beta}{[\lambda + (n-1)]\Theta_n}.$$

#### 4. Conclusion

In the final section, using the Faber polynomial expansion we found upper bounds for  $|a_n|$   $(n \geq 3)$  coefficients of functions in the class defined by Definition 1.1, and then we obtained an estimate for the initial coefficients  $|a_2|$  for the functions of this class. Thus, regarding the proofs of the Theorems 3.1 and 3.2, this technique can be applied for all classes that are defined similarly to the Definition 1.1 in diverse papers enhancing their outcomes (see for example [3, 10, 21, 23, 28] and references therein).

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