# The Reconstruction of The Name Yuezhi 月氏 / 月支

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### Özet:

### Yuezhi Adının Yeniden Yapılandırılması

Orta Asya tarihinin kuşkusuz en önemli problemlerinden biri Çin kaynaklarında Yuèzhī (月氏 / 月支) olarak geçen halkın kökenidir. Bugüne kadar tarihi veya arkeolojik araştırmalar Yüecilerin kökenini ikna edici bir biçimde açıklayamadılar. Bu çalışma, Yüecilerin kökenine ve Toharlarla ilişkilerine ilişkin çeşitli kuramları tanıtarak onları eleştirel bir yaklaşımla ele almaya çalışıyor. Bu sorunu çözebilmek için Uygur ve Çin yer adlarını inceleyerek Afganistan ve Doğu Türkistan'daki Yüeci boy adı kökenli yer adlarını tespit etmeye çalışıyor. Çalışmanın sonunda, Yüecilerin Afganistan ve Doğu Türkistan'daki eski coğrafi dağılımlarını göstermek için Yüeci boy adı kökenli yer adlarını gösteren iki de harita veriliyor. Boy adı kökenli bu yer adlarına ve tarihsel verilere dayanarak Yuèzhī adının asli biçiminin yeniden kurgulanması yönünde bir deneme de yapılıyor.

Anahtar Sözcükler: Yuezhi, Toharlar, Tohar sorunu, Türkçe-Toharca ilişkileri

### Abstract

One of the most important problems of Central Asian history is undoubtedly the origin of the people referred to as *Yuèzhī* (月氏 / 月支) in Chinese sources. So far, historical or archaeological research could not

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convincingly explain the origins of the Yuezhi. The study attempts to present and critically evaluate various theories concerning the origin of the Yuezhi and their relationship to the Tocharians. To address this problem, it investigates Uyghur and Chinese place names and tries to list Yuezhi ethnotoponyms in Afghanistan and Xinjiang. At the end of the study, two maps based on Yuezhi ethnonyms are also given to illustrate the former geographical distribution of Yuezhi in Afghanistan and Xinjiang. Based on these toponyms and historical data, the study also makes an attempt to reconstruct the name Yuezhi.

**Key Words:** Yuezhi, Tocharians, Tocharian question, Turkic-Tocharian relations.

# 1. Introduction<sup>1</sup>

One of the most important problems of Central Asian history is undoubtedly the origin of the people referred to as  $Yu\dot{e}zh\bar{i}$  ( $\exists$ , $\exists$ ) in Chinese sources. So far, historical or archaeological research could not convincingly explain the origins of the Yuezhi. In his book on the Yuezhi, analysing most of the textual (Chinese, Indian, Greek), archaeological and numismatic evidence currently available, Craig G. R. Benjamin (2007) notes that "With a link between the Yuezhi and Tocharian firmly (if not absolutely) established, ... But the identification of the Yuezhi as Tocharian-speaking is by no means universally agreed upon".<sup>2</sup> Recently, Michał Witek (2018) attempted to present and critically evaluate various linguistic, palaeographical and lexicographical approaches as well as existing theories concerning the origin of the Yuezhi and their relationship to the Tocharians. Based on his analysis, he states that "we can neither prove nor disprove any of the various hypotheses."<sup>3</sup>

<sup>&</sup>lt;sup>1</sup> I would like to express my deep gratitude to my colleague Mehmet Savan for correcting and finalizing my English as well as for his advice on making the text more readable.

<sup>&</sup>lt;sup>2</sup> Benjamin 2007: V, 22. For theories and criticism of who the Yuezhi were, see Enoki / Koshelenko / Haidary 1996: 166-168.

<sup>&</sup>lt;sup>3</sup> Witek 2018: 208. Some Turkish historians think that Yuezhi are of Turkic origin. (1) In this respect, one of their main arguments is the title *Yabgu* (Mızrak 2014: 375, 380). However, neither ethnicity nor linguistic origin of a people can be suggested as evidence, since titles can easly be borrowed.

Furthermore, there is also no firm linguistic evidence as to the language of the Yuezhi.<sup>4</sup> Although Mallory doubts "that the analysis of potential ethnonyms or tribal names, no matter how ingenious, can actually lead us to recovering archaeological proxies for the linguistic ancestors of the Tocharians",<sup>5</sup> I still believe we can throw some light upon their origin by their name (i.e. *Yuezhi* 月氏/ 月支), which seems currently to be the only linguistic reference that could provide us with a solution. This shall, of course, be supported also (1) by the variant *Yuezhi* (月支) of the name *Yuezhi* (月氏) and (2) by ethnotoponyms referring obviously to Yuezhi, not only mainly in North Afghanistan, but also in Xinjiang (see Map 1 below), as well as (3) through comparative method.

Mızrak's other argument relies on new genetic research which allegedly "show clearly" (sic) that the leading dynasty of Yuezhi may have been of Turkic origin (*ibid.*, 399). Although the science of genetics itself is a very objective discipline, the selection of genetic samples (depending on who chooses it, and on the selected materials themself), however, can be quite subjective and speculative. Not to mention that - in my view - genetic origin is not the same as linguistic origin. That is, linguistic and genetic (as well as ethnic) origin are completely different phenomena which should not be confused at all. Thus genetic and ethnic origins cannot be used to prove the linguistic origins at all. (2) From methodological and linguistic point of view the argumentation of my colleague, the historian Kürşat Yıldırım is also very problematic, as he thinks that Yuezhi are actually of Turkic origin and they can be identified with the Jūshī 車師 (= his Chēshī 車師), allegedly a branch of Kushans. In his opinion the name Jūshī (i.e. Yuezhi) is of Turkic origin and should be explained as the equivalent of the Proto-Turkic \*koč / \*koš (sic) 'ram, tup' in plural (i.e. Yuezhi = Kushan < Jūshī  $\mu$  in ← \*koč > Turkic koč, see his, i.e. the translators note to the footnote in the Turkish translation of Beckwith's book, see Beckwith 2011: 5, footnote 16). This is actually a popular etymology, since Jūshī (車師, or Chēshī 車師 as he thinks) has - as seen below – phonetically nothing to do with the name Yuezhi (cf.  $i\bar{u} \equiv =$ OCM \*ka; shī 師 = OCM \*sri). Unless specified otherwise, all Early Middle Chinese (i.e. EMC) reconstructions in this study are based on Pulleyblank 1991, while all Later Han (i.e. LH), and Old Chinese Minimal (i.e. OCM) reconstructions are based on Schuessler 2007. Baxter & Sagart's (2014) OC reconstructions are cited as OCB.

<sup>&</sup>lt;sup>4</sup> As Mallory and Mair note, "there is no firm evidence from any Chinese source as to the language they spoke" (Mallory / Mair 2000: 282).

<sup>&</sup>lt;sup>5</sup> Mallory 2015: 16.

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## 2. Research history on the reconstruction of the name Yuèzhī (月氏 / 月支)

Before going into the analysis of the name  $Yu\dot{e}zh\bar{i}$  (月氏 / 月支), it is worth briefly reviewing – without aiming at completeness – what has been said thus far on its reconstruction.

As far as I know, the first serious attempt concerning the reconstruction of the name Yuèzhī (月氏 / 月支) was made by Haloun (1937). In his view, its OC reconstruction may be \*zŋiwăt-t'ia or \*ŋiwăt-t'ia, which may reflect a foreign form \*zguja or \*zgujaka, and can be the name of Scythians.<sup>6</sup> Haloun's view was accepted also by Enoki / Koshelenko / Haidary (1996), who think, "It seems most plausible to identify the Yüeh-chih with the Scythians", and this solution is "better than any other theory". Accordingly – in their view – \*zguja "can be considered as a transcription of the name of the Scythians", and it "may also be proposed that the Yüeh-chih were not only called Scythians, but were Scythians themselves".<sup>7</sup> As we will see below, Haloun's \*zguja reconstruction is not correct and therefore cannot be accepted. \*Zguja can surely not be the old pronunciation of Yuezhi as Enoki / Koshelenko / Haidary think.

Later, Pulleyblank reconstructed Yuèzhī  $\exists K$  as  $\eta i wat-cie < *\eta w \bar{a}t-t \bar{e}h$ , which in his view may reflect "Iátioi (?)", i.e. "\*Ywati", a Central Asian tribal name mentioned in Ptolemy's Geography (2<sup>44</sup> c.).\* He maintained this view also in his later works.<sup>9</sup> As Henning said, this comparison was first made by Marquart in 1901.<sup>10</sup>

Based on the \* $\eta i w at-t' i a$  reconstruction of Haloun, Henning thought that it reflected a form Gu(t)-t' i, which is, however, phonetically unacceptable.<sup>11</sup>

<sup>&</sup>lt;sup>6</sup> Haloun 1937: 315-316.

<sup>&</sup>lt;sup>7</sup> Enoki / Koshelenko / Haidary 1996: 166-168.

<sup>&</sup>lt;sup>8</sup> Pulleyblank 1962: 109.

<sup>&</sup>lt;sup>9</sup> Pulleyblank 1995: 425; Pulleyblank 1999: 156.

<sup>&</sup>lt;sup>10</sup> Henning 1978: 223; Marquart 1901: 206.

<sup>&</sup>lt;sup>11</sup> Henning 1978: 223.

The last attempt regarding the reconstruction of the name  $Yu\dot{e}zh\bar{\iota}$  (月氏 / 月支) was made by Beckwith (2009). Beckwith thinks that "in one of the highly archaic border dialects of Old Chinese in Antiquity the word  $\beta$  'moon' would have been pronounced \*tokwar or \*togwar", and as "for the second character of the transcription,  $\kappa$  or  $\pm$ , it is regularly reconstructed as Old Chinese \*ke (Sta. 567)", (Sta. = Starostin). Based on this – in his view – "it makes sense that the Hsiung-nu used the "royal" suffix or compounding element \*ke for their overlords the Yueh-chih or \*Tok<sup>w</sup>ar-ke". "In conclusion, it is clear that the name now read Yueh-chih is a transcription of \*Tok<sup>w</sup>arke", which actually would mean – in his view – "the \*Tok<sup>w</sup>ar kings' (or 'Tokharian Kings') or 'the Royal \*Tok<sup>w</sup>ar (or Tokharians)"".<sup>12</sup> Consequently, according to Beckwith "\*Tok<sup>w</sup>arke" is an exonym of Xiongnu origin.

I think Beckwith's view is extremely hypothetical, since (1) – if we take into account Pulleyblank's OC reconstruction of  $\mathbb{K}$ \* $t\bar{e}h^{13}$  – Starostin's reconstruction \*ke for  $zh\bar{i} \mathbb{K}$  can surely not be accepted, because the initial consonant of  $\mathbb{K}$  in OC was a dental stop \*t- (i.e. \* $t\bar{e}h$ ), and not a velar stop \*k- (i.e. \*ke) as Beckwith thinks. (2) I may be wrong, but I think that it does not seem possible to replace a foreign word of two syllable (i.e. \*togwar / \*tokwar) with one syllable in Old Chinese. The main problem of Beckwith's view is that his conclusion is built on a chain of assumptions.

### 3. References to the name Yuèzhī in Chinese chronicles

After a short description of earlier views, we can now look at the earliest Chinese chronicles, where the name of Yuezhi appears under various graphic forms (i.e. *Yúzhī* 禹知, *Yúzhī* 禹氏, *Niúzhī* 牛氏, *Yuèzhī* 月氏, *Yuèzhī* 月氐, *Yuèzhī* 月支), i.e. the *Mu Tianzi zhuan* 

<sup>&</sup>lt;sup>12</sup> Beckwith 2009: 381-383; Beckwith 2010: 8; cf. Starostin 1989: 567.

<sup>&</sup>lt;sup>13</sup> Pulleyblank 1962: 106-107.

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穆天子傳, Yi Zhou Shu 逸周書, Guanzi 管子 and the Shanhai jing 山海經.<sup>14</sup>

# 3.1. Yúzhī 禺知

*Mu Tianzi zhuan* (穆天子傳 'The travels of Mu, the Son of Heaven') is a work thought to have been written between 403 and 350 BC. It reports certain incidents that were supposed to have taken place during the life of King Mu (r. 956–918 BC). A copy of it was found in AD 281 in the tomb of the King Xiang of Wei 襄魏 (r. 318–296 BC). Yuezhi are mentioned in this work (Chapter 1) in the form of *Yuzhi* (禹知).<sup>15</sup> Thus *Yuzhi* (禹知) seems to be the relatively oldest attested form.

# 3.2. Yúzhī 禺氏, Yuèzhī 月氏, Yuèdī 月氐

*Yi Zhou Shu* 逸周書 (or *Zhou Shu* 周書) is also a text unearthed in c. AD 280 in the tomb of the King Xiang of Wei 襄魏 . The text was originally comprised of 32 chapters and written in the late  $4^{th}$  or early  $3^{rd}$  century BC. It seems later to have underwent two processes of redaction. Chapter 59, which mentions Yuezhi under the graphic forms *Yuzhi* 禹氏 and *Yuezhi* 禹氏, was originally not part of the 32 chapters. Thierry thinks that the text was compiled under the Former Han, in the first half of the  $1^{st}$  century BC. According to Shaughnessy, too, "the redaction of the text as we have it today could have taken place no earlier than the middle part of the Former Han period."<sup>16</sup> Along with the form *Yuezhi* 禹氏, the text provides also the form *Yuèdī* 禹氏 in the late supplement *Yi Yin chaoxian* (伊尹朝獻) to the Chapter 59, which Thierry considers as a

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<sup>&</sup>lt;sup>14</sup> Thierry 2005: 4; Benjamin 2007: 31-36; Taishan 2010: 421-539.

<sup>&</sup>lt;sup>15</sup> Thierry 2005: 4-5; Mathieu 1993: 342; Taishan 2010: 1, 26-27; Benjamin 2007: 31-36. For the Chinese text see https://ctext.org/mutianzi-zhuan/zh?searchu=禺知, and https://ctext.org/mutianzi-zhuan/zh?searchu =月氏 (accessed: 7.12.2019). According to Yu Taishan *Yuzhi* (禺知) can be regarded as a transcription of *Gasiani* (Taishan 2010: 10). This is, however, phonetically impossible.

<sup>&</sup>lt;sup>16</sup> Thierry 2005: 5; Shaughnessy 1993: 229-230; Benjamin 2007: 31-32. For the Chinese text see ttps://ctext.org/lost-book-of-zhou?searchu=禺氏 (accessed: 7.12.2019).

faulty graph for *Yuezhi* 月氏 (i.e. *di* 氏 instead of *zhi* 氏).<sup>17</sup> The form *Yuezhi* 月氏 occurs many times also in *Shiji* and in *Han Shu* 漢書. On the other hand, some think that the character *yuè* 月 'moon' (in *Yuèzhī* 月氏) actually stands for the character *ròu* 肉 'meat' from the Qing period, and thus, it should be read as *Ròuzhī* 月氏, and not *Yuèzhī* 月氏. As Thierry rightly noted, however, the reading \**Ròuzhī* ฤ氏 is wrong.<sup>18</sup>

## 3.3. Yúzhī 禺氏, Niúzhī 牛氏

*Guanzi* 管子 is a politico-philosophical text, which is traditionally dated to the 7<sup>th</sup> century BC. The present text was edited by Liu Xiang in about 26 BC, and "contains a wide mixture of material written by a number of unnamed writers over a long period of time. Some chapters may date from as early as the fifth century b.c., while others clearly belong to the early Han period, perhaps as late as the middle of the first century b.c."<sup>19</sup> The form *Yúzhī* 禹氏 occurs in this text in the Chaptes 73 (*Guo Xu* 國蓄), 78 (*Kui Du* 揆度), 80 (Qing Zhong I 輕重甲), 81 (Qing Zhong II 輕重乙), and the form *Niúzhī* 牛氏 in the Chapter 77 (*Di Shu* 地數).<sup>20</sup>

## 3.4. Yuèzhī 月支

Shanhai jing 山海經 'Classic of mountains and seas' is a geographical work compiled under the Han (206 BC – AD 220).<sup>21</sup> The name *Yuezhi* is attested in this text (Chapter 13) in the form of *Yuèzhī* 月支.<sup>22</sup> According to Thierry, this form occurs mainly in the texts of the 3rd century AD, and the reason that *shì* / *zhī* 氏 (i.e. 月氏) was replaced by *zhī* 支 (i.e. 月支) may be due to the fact that the *shì*-pronunciation of 氏 began to override the *zhī*-pronunciation of 氏.<sup>23</sup> Pulleyblank also notes that the "most probable explanation"

<sup>&</sup>lt;sup>17</sup> Thierry 2005: 5. Thierry might be right. Therefore the form *Yuèdī*  $\exists tsi will$  not be examined here (cf.  $d\overline{t} tsi < LH tei^{B}$ , OCM \* $t\hat{t}$ ?).

<sup>&</sup>lt;sup>18</sup> Thierry 2005: 30, note 34.

<sup>&</sup>lt;sup>19</sup> Rickett 1993: 244; Thierry 2005: 6; Benjamin 2007: 33-34.

<sup>&</sup>lt;sup>20</sup> For the Chinese text s. https://ctext.org/guanzi/zh (accessed: 7.12.2019).

<sup>&</sup>lt;sup>21</sup> Thierry 2005: 6; Fracasso 1993: 357.

<sup>&</sup>lt;sup>22</sup> For the Chinese text see https://ctext.org/shan-hai-jing?searchu=月支 (accessed: 7.12.2019).

<sup>&</sup>lt;sup>23</sup> Thierry 2005: 6.

for its replacement by  $\pm$  or  $\pm$  in Later Han is that the palatalization of the dental stops had made it no longer suitable to express the foreign sound."<sup>24</sup>

## 4. Reconstruction of the name Yuèzhī (月氏 / 月支)

As can be seen from above, there are many different forms that are thought to be related to Yuezhi, which have emerged at different times and sources. As we will see below, however, there are still a few other names that have not been associated with the Yuezhi so far. This is not at all surprising, for Chinese sources have often recorded different names for the same people.

There are many reasons underlying this fact (e.g. difference of exonym / endonym, interlingual allonym, multiple copying of chronicles, chronological differences of Chinese chronicles, phonetics of intermediary language, phonetic changes of the name over time, phonetic updating of foreign name, etc.). I will only touch, however, upon the last one (i.e. *phonetic updating of foreign name*), and not all of the above reasons. The main problem here is, of course, (1) how to reconstruct them, and (2) whether all these forms are really related to the Yuezhi or Tocharian.

As for the reconstruction, as can also be seen from the above literature review, excellent researchers have put forward different ideas on the subject. However, as the problem has not yet been solved, and no consensus has been reached, we can clearly understand how difficult and complicated the problem is. I also intend to contribute to the solution of this complicated problem through a reconstruction. Of course, I do not claim that this will solve all the problems related to the above names in a snap. Still, it does not seem possible at this time to solve all the related problems. But I think that the reconstruction of  $Yuèzh\bar{i}$  ( $\beta \not\in /\beta \not\equiv$ ), i.e. the most important one among these, will contribute to the understanding of other variants as well. Therefore, in this study, I will particularly focus on the form  $Yuèzh\bar{i}$  ( $\beta \not\in /\beta \not\equiv$ ).

<sup>&</sup>lt;sup>24</sup> Pulleyblank 1962: 106-107.

### 4.1. Yuèzhī 月氏

Needless to say, a correct determining of the first occurrence of the form *Yuezhi*  $\exists$ , is the first step to its reconstruction. Since it seems to have first occurred in the Former Han, our first reconstruction must be based on Old Chinese (OC).<sup>25</sup> So we should start with the opinions concerning the reconstruction of the first graph *yuè*  $\exists$ . In connection with the reconstruction of the form *Yuezhi*  $\exists$ , Pulleyblank (1995) reconstructed the first syllable *yuè*  $\exists$  as OC \**ŋwat*.<sup>26</sup> Schuessler's OC reconstruction (2007) is also \**ŋwat* (LH *ŋyat*).<sup>27</sup> Baxter reconstructed it first as \**ng<sup>w</sup>jat* (/ \**Nwjat*) in his book in 1992.<sup>28</sup> He seems, however, to have changed his opinion later, since in the book *Old Chinese: a new reconstruction* (2014), Baxter and Sagart give the OC form as \*[*ŋ*]<sup>*w*</sup>*at*.<sup>29</sup> As seen, there is a general consensus on the OC reconstruction of *yuè*  $\exists$ .

The case of the second syllable  $zh\bar{i} \notin$  is, however, a more challenging problem. Baxter and Sagart as well as Schuessler do not provide any OC reconstruction for it. Pulleyblank, however, reconstructed it as  $*t\bar{e}h$  in connection with the form *Yuezhi*  $\exists$  that he reconstructed first as \*ywa:t-te:h which – for him – is probably the equivalence of the form *Tatioi*, a tribal name mentioned in Ptolemy's *Geography*.<sup>30</sup> He later seems, however, to have changed his opinion, since he has given in 1995 and 1999 not an OC form, but an EMC \*ywat-teiǎ = \*Ywati (sic) for the form *Yuezhi*  $\exists$   $\xi$ .<sup>31</sup> His *Tatioi* (i.e. \*Ywati) reconstruction for the donor

<sup>&</sup>lt;sup>25</sup> Old Chinese is the language of texts and documents from the beginning of writing, around 1250 BC, to the Han period (206 BC – AD 220, Schuessler 2007: xi, 1). Thus, the records of the Han dynasties are also the sources of Old Chinese (*ibid.*).

<sup>&</sup>lt;sup>26</sup> Pulleyblank 1995: 425; Pulleyblank 1999: 156.

<sup>&</sup>lt;sup>27</sup> Schuessler 2007: 595.

 <sup>&</sup>lt;sup>28</sup> Baxter 1992: 226.
 <sup>29</sup> Retrieved from http://ocbaxtersagart.lsait.lsa.umich.edu/BaxterSagartOCbyMandarinMC201 4-09-20. pdf.

<sup>&</sup>lt;sup>30</sup> Pulleyblank 1962: 66.

<sup>&</sup>lt;sup>31</sup> Pulleyblank 1995: 425; Pulleyblank 1999: 156.

form, however, cannot be accepted. Taking into account Pulleyblank's earlier OC reconstruction \* $\eta wa:t-te:h$  and based on Baxter/Sagart's and Schuessler's OC reconstruction for the  $yue \notin \exists$  mentioned above, the possible OC reconstruction of the form *Yuezhi*  $\exists f \notin$  seems to be \* $\eta wat-teh$  (or \* $[\eta]^wat-teh$ ).

The main problem is, of course, (1) how to interpret this reconstructed OC form; (2) on what grounds do we have to choose one over another; (3) what was the donor form and how to reconstruct it; (4) what is more important, how do we verify the donor form or its reconstruction?

As for the first question above, I think the OC \* $\eta$ wat-tēh with \* $\eta$ - is the most possible reconstruction for the form Yuezhi  $\exists R$ , since some other variants of the name Yuezhi mentioned above (that will be analyzed below) have also an initial voiced velar nasal \* $\eta$ -/\* $\eta$ <sup>w</sup>- (LH \* $\eta$ y-) in OC. This is the first key to the solution of the problem. According to Pulleyblank there is some evidence that the \* $\eta$ - and its aspirated variant \* $\eta$ <sup>h</sup>- were used in the Han period to represent a foreign initial \*y-. Thus, in his view, the use of \* $\eta$ - to represent a foreign \*y- shows that "Chinese had no better equivalent;"<sup>32</sup> (i.e. OC \* $\eta$ - / \* $\eta$ <sup>h</sup>-  $\leftarrow$  foreign \*y-).

- 1. *"\*ŋiap-pa-la"* = *\*Yapala < Yapana* (old name of Gandhara)
- 2. "\**ŋei-la-gye-tei*" = \**Yaxartes* (= Gr. *Ιαξάρτης* 'Jaxartes')
- 3. "\* $\eta^h \bar{e} \bar{a} p$ -goh" = \* $Y a b g u^{33}$

Based on the above remarks, it would be plausible to suggest that the donor form had an initial \*y-. That is, the initial \*y- of the OC \*ywat- seems to go back to a foreign \*y-. Before we go into the evidence for it, however, we also have to analyze the final \*-t of the OC \*ywat-.

<sup>&</sup>lt;sup>32</sup> Pulleyblank 1962: 93, 99 (cf. Old Turkic *yabgu ~ yavgu*). There are – in his view – also indices in transcriptions before the Tang period that \**y*- was used to stand for a foreign initial *ø*- ~ *y*- (Pulleyblank 1966: 17). Schuessler, however, suggests in OC not a \**y<sup>h</sup>*-, but a \**hy*- (s. Schuessler 2007: 5, 51, 56). Baxter also agrees with Schuessler: \**hng*- (s. Baxter 1992: 206, 209), cf. Pulleyblank 1962: 143.

<sup>&</sup>lt;sup>33</sup> Pulleyblank 1962: 93-95.

As for the final \*-*t* of the first syllable \**ŋwat*-, it seems to represent a foreign coda \*-*r*. Most common and widely noted correspondence of a foreign \*-*r* in OC is actually the \*-*n*.<sup>34</sup> According to Pulleyblank, however, a further possible equivalent for a foreign \*-*r* was \*-*t*. This was the normal equivalent of a foreign (e.g. Altaic) \*-*r* in the Tang period, but – in his opinion – "Chinese \*-*t* for \*-*r* occurs sporadically at a much earlier period".<sup>35</sup> One of his few examples is  $M \check{a} n q \bar{u} \ \breve{m} \blacksquare (< \text{OCB } *m^c [o] [n] ?-[k^h] ut)$ for *Bakur* (= Pacorus), the name of the king of Parthia who sent an embassy to China in AD 101, which – in his opinion – seems very probable on historical grounds in spite of the phonetic difficulties.<sup>36</sup> Thus, on these grounds I suppose that the final \*-*t* of the first syllable \**ŋwat*- reflects a foreign \*-*r*, and think that the \**ŋwat*itself goes back to a foreign syllable \**yar*- (or \*<sup>*i*</sup>*ar*-): OC \**ŋwat*- ← foreign \**yar*-.

How to verify or prove it at all? For the time beeing I think this is only possible in two ways, i.e. by taking into account (a) the LH  $\exists \pm$ , and (b) by ethnotoponyms like  $Y\bar{a}rk\bar{i}$  ( $(\downarrow \neq \downarrow)$ ) and  $Arc\bar{i}$  ( $(\downarrow \neq \downarrow)$ ), the place names in Afghanistan, which in ancient times part of the territory of The Great Yuezhi (*Da Yuezhi*  $\pm \exists \pm \exists$ ), and by *Arki*, *Archi* and *Argi* place names in Xinjiang (see Map 1 and 3 below). Let us start with the first one.

### 4.1.1. LH 月支 'Yuezhi'

As we have seen above, the form  $Yu\dot{e}zh\bar{i}$   $\exists \pm$  occurs first in Later Han, mainly in the texts of the 3rd century AD. The first syllable  $yu\dot{e} \exists$  occurs in this period as LH  $\eta yat$ , and the second syllable  $zh\bar{i}$  $\pm$  as LH  $t\dot{s}e$  (< kie, OCM \*ke).<sup>37</sup> The most probable explanation for the replacement of  $\pounds$  ( $\exists \pounds$ ) by  $\pm$  (i.e.  $\exists \pounds > \exists \pm$ ) in Later Han

<sup>&</sup>lt;sup>34</sup> Schuessler 2007: 85; Pulleyblank 1962: 228.

<sup>&</sup>lt;sup>35</sup> Pulleyblank 1962: 228; Pulleyblank 1966: 17.

 <sup>&</sup>lt;sup>36</sup> Pulleyblank 1962: 227; for another probable example see Pulleyblank 1983: 456.

<sup>&</sup>lt;sup>37</sup> See Schuessler 2007: 595, 614; cf. *zhī* 支 (~ 枝) 'branch' < MC *tśye* < \**kje* (Baxter 1992: 211, 294, 569), OC \**ke* (Baxter / Sagart 2014), EMC *tɛiă* / *tɛi* < \**kye* < OC \**kēh* (Pulleyblank 1962: 100, 107, 262).

is – in Pulleyblank's view – "that the palatalization of the dental stops had made it no longer suitable to express the foreign sound.<sup>38</sup> Pulleyblank seems to be right. At this point, however, another question arises: what kind of foreign sound could it have been? I think the answer is fairly simple. Since the Later Han reconstruction of Yuèzhī 月支 would have sounded as \*nvat-tśe. drawing upon this and what has been said above, we have to think that the LH \* $\eta yat$ -tse reflects a foreign \* $y\bar{a}r$ .si, which, in my view, is clearly the equivalence of the name  $\bar{A}r\dot{s}i$  [arci] 'Tocharian', i.e. of the self-designation of the Tocharian A-speakers.<sup>39</sup> The answer is also that the foreign sound which has been represented by the LH -*tś*- (i.e. *tśe*  $\pm$ ) might have been a voiceless alveolo-palatal fricative  $\langle s \rangle$  [c], just like in  $\bar{A}r\dot{s}i$ . This assumption seems also to be in concordance with Pulleyblank's statement that in the Chinese of the Han period dental affricates were used for foreign palatals.<sup>40</sup> As seen, the foreign (i.e.  $\bar{A}r\dot{s}i$  'Tocharian') palatal fricative  $\langle \dot{s} \rangle$  [c] was replaced by the LH dental affricate -ts-. Hence, based on this analysis, it seems reasonable to think about the following line of development:

LH 月支 \**ŋyat-tśe* ← \**Yarśi* ~ \**Arśi* 'Tocharian' > TochA *Ārśi* (s. the stemma below)

### 4. 1.2. Yārkī (ياركى)

The second key for understanding the form *Yuezhi* 月氏 is its OC phonetic form, to be more precise, the second syllable  $zh\bar{i}$  氏. As we have seen above, its OC form is  $*t\bar{e}h$ . The initial \*t- refers clearly to a kind of plosive consonant in the donor language, and surely not to a fricative  $<\dot{s}>$  [c] as in TochA  $\bar{A}r\dot{s}i$ . This inevitably necessitates a rethinking of the current etymology of the name  $Ar\dot{s}i$ . Before, however, reconstructing and analyzing the equivalence of the second syllable  $*t\bar{e}h$  氏 (in *Yuezhi* 月氏) in the donor language, and

<sup>&</sup>lt;sup>38</sup> Pulleyblank 1962: 106-107.

<sup>&</sup>lt;sup>39</sup> Pulleyblank 1962: 109.

<sup>&</sup>lt;sup>40</sup> Consequently I agree with Craig G. R. Benjamin who rightly thinks that the term "Yuezhi" refers to a dynasty ruling over a confederation "of pastoralist nomads, including tribes of different ethnicities and different languages" (Benjamin 2007: 120).

the foreign initial sound replaced by the OC \**t*-, it is worth briefly looking at the current views on the origin of the TochA  $\bar{A}r\dot{s}i$ . These views can be discussed under two groups: (1) some scholars think that  $\bar{A}r\dot{s}i$  goes back to a form \* $\bar{a}rki$  (i.e. TochA  $\bar{a}rki$  'albus';<sup>41</sup> \* $\bar{a}rki^{42}$ ); (2) other scholars, however, think that  $\bar{A}r\dot{s}i$  originated from Sanskrit  $\bar{a}rya$  (i.e. TochA  $\bar{A}r\dot{s}i$  'ordained beggar monk; Aryan'  $\leftarrow$  Prakrit \* $\bar{a}r\dot{s}a$ - /\* $\bar{a}r\ddot{z}a$ -  $\leftarrow$  Skr.  $\bar{a}rya$ -).<sup>43</sup>

The second view, however, poses serious problems and is surely not tenable considering that the initial consonant (i.e. \**t*-) of the second syllable in OC \* $\eta$ wat-tēh refers clearly to a plosive consonant in the donor language, and not to an alveolo-palatal fricative  $\langle s \rangle$  [c] or to a palatal approximant \*-*y*-. In addition, the supposed Iranian intermediate form \* $\bar{a}r\dot{s}a$ - / \* $\bar{a}r\ddot{z}a$ - is not attested either. Thus, although unproven so far, I think the first opinion is correct. But how can this be proven? By ethnotoponyms referring obviously to Yuezhi, mainly in North Afghanistan and Tarim Basin (see Map 1 and 3 below), as I indicated above, as well as by other toponyms occurring in Chinese historical texts concerning Yuezhi

As is well known, after Yuezhi had been defeated by Xiongnu in  $2^{nd}$  century BC, they migrated from their homeland, i.e. territory between Dunhuang and present-day Qilian 祁連 (in Gansu), toward the west, first to the Ili Valley and ca. 30 years later to Bactria including the northern part of present-day Afghanistan, and overthrew the Greco-Bactrian Kingdom there. They established a kingdom for themselves and dominated the surrounding territories. These people are called *Da Yuezhi* 大月氏 'Great Yuezhi' in Chinese sources. A relatively small group of Yuezhi, however, did not take part in the migration westward. They are called *Xiao Yuezhi* 'Lesser / Little Yuezhi' in Chinese sources.<sup>44</sup>

<sup>&</sup>lt;sup>41</sup> Poucha 1955: 25.

<sup>&</sup>lt;sup>42</sup> Hirotoshi 2014: 107.

 <sup>&</sup>lt;sup>43</sup> Pelliot 1936: 265; Bailey 1937: 906; Krause / Thomas 1964: 168; van Windekens 1976: 623; Carling 2009: 48b; Douglas 2013: 57; cf. Sieg 1937: 130-139.

<sup>&</sup>lt;sup>44</sup> For history and migrations of Yuezhi *inter alia* see the following studies: Haloun 1937, Maenchen-Helfen 1945, Henning 1978: 224; Enoki /

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Consequently, it is not surprising that we find toponyms in Afghanistan pertaining to Yuezhi. However, toponyms bearing their name can be found not only in Afghanistan, but also in Xinjiang. Their occurrence as toponyms is particularly important, since they would help reconstruct the phonetic shape of the name *Yuezhi*. Thus, I make an attempt to collect the ethnotoponyms from the available Chinese, Modern Uyghur and other sources, which, in my view, might have to do with Yuezhi, and finally to transfer the data onto a map (see below). This hopefully will help also partially map the former factual historical geography and locations of Yuezhi in Afghanistan and Xinjiang.

The ethnotoponyms, which in my view might have to do with Yuezhi, appear generally (1) in four different phonetic forms in and around Afghanistan as well as in Xinjiang, that is in form of *Arči* and *Yarki*, and (2) in form of *Argi*, *Arči* and *Arki* in Xinjiang as seen on the maps below as well. To better understand the relationship between these names and TochA  $\bar{A}r\dot{s}i$ , and thereby reconstruct the OC \* $\eta$ wat-t $\bar{e}h$ , we need to clarify the relationship of these toponyms with each other. As a working hypothesis and based on the ethnotoponomic data above the following phonetic developments can be reconstructed for TochA  $\bar{A}r\dot{s}i$ , and the OC  $\exists$ K \* $\eta$ wat-t $\bar{e}h$ :

#### 4.1.3. The probable (incomplete) stemma of the TochA Ārśi (= Yuezhi)

(1)	Arki ← OCM 焉支 *2an-ke ← *Arki [arki] ~ *Yarki [jarki] → Afg. Yārkī / باركى,
$\langle \mathbf{a} \rangle$	
(2)	MUyg. للارغى Arġi ← *Arġi *Arci [arci] ~ *Yarki [jarci] → OC 月氏 *ŋwat-tēĥ
(3)	LH 焉耆 *2an-gi *Arči [artîci] ~ *Yarči [jartîci]
	$\checkmark$ $\downarrow$ $\downarrow$
(4)	Afg. $\downarrow$ / $Ar \check{c} \check{i}$ TochA $\check{A}r \check{s} i \sim *Yar \check{s} i \rightarrow LH$ 月支 * $\eta yat - i \check{s} e$
	[vrt]1:] [arei] <sup>45</sup> [jarei]

Koshelenko / Haidary 1996; Thierry 2005; Liu 2001; Mallory 2015; Benjamin 2007; Yu 2010a.

<sup>&</sup>lt;sup>45</sup> Because of the phonetic form *Ārśi* [arci], the name *Tokuz Ersin* in Old Turkic inscription (S3) *Kül Tegin* (732 CE) should be transcribed rather as *Tokuz Eršin* 'Nine *Ārśi*' with <š> [∫], which refers to the *Ārśi* tribal organization of Turkic type; i.e. Old Turkic *Eršin* [εrʃin] < *Erši* [εrʃi] + Plural -n ← *Ārśi* [arci].

**4.1.3.1.** As seen above, based on various toponymic evidence, \*Arki and \*Yarki seem to be the oldest reconstruable forms. However, it is for the time being not quite clear which one is the primary form. In order to know this, we first need to know the etymology of the name. Without doing so, it would be difficult to determine the primary form. This, however, must be left to the specialists in Indo-European linguistics.

The form \*Arki [arki] seems to be verified by the OCM 焉支 \*?an-ke, a form occurring in Shiji 史記. Pulleyblank has reconstructed it, however, as "\*'ian-kve" from a form \*Argi, and remarked that it was mentioned in Han Shu 漢書 as the name of a mountain in Gansu.<sup>46</sup> As I mentioned above, the most common and widely noted correspondence of a foreign \*-r in OC is the \*-n.<sup>47</sup> Thus the first syllable OCM 焉 \*?an seems to represent a foreign syllable \*ar (in Arki [arki]). As for the second syllable OCM 支 \*ke, Pullevblank may be right about the reconstruction \*kve for 焉支, and I may be wrong. Still, if we take into account the fact that, the palatalization of velars carried out sufficiently later than the palatalization of dental stops and dental stops had not yet been palatalized in Former Han period Chinese,<sup>48</sup> the reconstruction OCM 支 \*ke (or kie ?),<sup>49</sup> for 焉支 in Shiji (i.e. OCM 焉支 \*?an-ke) chronologically seems to be more reasonable. Thus, I suppose that the OCM 焉支 \*?an-ke seems to represent a foreign \*Arki [arki].

As for the form \**Yarki* [jarki], it occurs in Afghanistan as *Yārkī khēl* (الجاركى خبل), the name of a village in Ghazni.<sup>50</sup> It is, however, for the time being not quite clear whether *Yārkī* with initial *y*- is a relative primary or secondary form. As for the form \**Arki* [arki] above, it can be confirmed by the following facts:

<sup>&</sup>lt;sup>46</sup> Pulleyblank 1983: 20.

<sup>&</sup>lt;sup>47</sup> Schuessler 2007: 85; Pulleyblank 1962: 228.

<sup>&</sup>lt;sup>48</sup> See Pulleyblank 1962: 105, 108-109. For chronology of the palatalization of the OC initials \**K*- see also Baxter 1992: 210-211, 569.

<sup>&</sup>lt;sup>49</sup> For *kie* cf. *zhi*  $\pm <$  "LH *tśe* < *kie* < OCH \**ke*)" (sic) (Schuessler 2007:635).

<sup>&</sup>lt;sup>50</sup> See https://www.geonames.org/1438984/yarki-khel.html (N 33°05'30", E 68°04'55", accessed: 7.12.2019).

a) Ājǐ (阿濟) / Arki:

According to the geographical description in *Xiyu tuzhi*<sup>51</sup> (Chap. 9),  $\bar{A}ji$  (阿濟) is a district name in northeast of Barköl County (*Zhenxi fu* 鎮西府) in Xinjiang. According to Zhong the Uyghur name of  $\bar{A}ji$  (阿濟) is *Arki*<sup>52</sup> (see Map 3).

(b) Ājǐ (阿濟) / Arki:

According to the geographical description in *Xiyu tuzhi* (Chap. 20),  $\bar{A}ji$  (阿濟), that is  $\bar{A}ji$   $\dot{e}l\bar{a}$  (阿濟鄂拉) 'Mount Aji', is the name of a mountain range<sup>53</sup> near Urumchi (*Wulumuqi* 乌鲁木齐) in Xinjiang. The second component  $\dot{e}$ - $l\bar{a}$  (鄂拉) goes back – in my view – to the Mongolic form ayula(n) 'mountain'. According to Zhong, the Uyghur name of  $\bar{A}ji$  (阿濟) is also *Arki*, and its present-day name is Mount Bogda (*Bogeduo shan* 博格多山);<sup>54</sup> (cf. the other mountain name  $Arc\bar{i}$ , i.e.  $\bar{A}$ - $\check{e}r$ -qi 阿尔齐) under the number (4) below, see Map 3).

**4.1.3.2/3** The LH 焉耆 \**?an-gi* reflects a foreign \**Argi*. As generally accepted, it is the ancient name of the present-day Karashahr (*Yanqi* 焉耆), a Tocharian city in Xinjiang in ancient times<sup>55</sup> (see Map 3).<sup>56</sup> *Argi* has also been attested in form of *Argiya* 'a man from *Argi*' in the Niya documents.<sup>57</sup> It has escaped attention so far that the reconstructed form \**Argi* as the name of settlements can actually be shown in relatively many places of

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<sup>&</sup>lt;sup>51</sup> Xiyu tuzhi (西城图志), an illustrated book of Western regions with many maps is a detailed description of the region of Xinjiang from the Qing period (1644-1911). It was compiled in 1756 and completed in 1782 (see Zhong / Wang / Han 2002: 177, 186); Zhong 2008: 18.

<sup>&</sup>lt;sup>52</sup> Zhong 2008: 18.

<sup>&</sup>lt;sup>53</sup> Zhong / Wang / Han 2002: 3003, 313; Zhong 2008: 18. *Ājǐ èlā* (阿濟鄂拉) is mentioned also in *Xiyu tong wenzhi* 西域同文志 (IV/5b). For its Turkish edition see Yıldırım 2015: 85.

<sup>&</sup>lt;sup>54</sup> Zhong 2008: 18; see N  $43^{\circ}47'36''$ , E  $88^{\circ}20'40''$ (https://www.geonames.org/9274813/bogeda-feng.html, accessed: 7.12.2019).

<sup>&</sup>lt;sup>55</sup> Pulleyblank 1983: 20.

<sup>&</sup>lt;sup>56</sup> N 42°03'43", E 86°33'59" (https://www.geonames.org/1529031/yanqi.html, accessed: 07.12.2019).

<sup>&</sup>lt;sup>57</sup> Henning 1938: 571.

present-day Xinjiang. Without aiming at completeness, the following two toponyms can be mentioned in Modern Uyghur:

(a) Arġi Bulaq ( ثار غى بۇ لاق )

'Argi Spring' in Toksun County (*Tuokexun xian* 托克逊县). Its Chinese name is *A'er bulake* (阿尔布拉克)<sup>58</sup> (see Map 3).

(b) Arġistan Davan ( ار غنستان داڨان < Argi-stan)

'Argiland Mountain Slope' in Hejing County (Hejing xian 和静县). Its Chinese name is A'ergasutan daban (阿尔尕苏坦达坂)<sup>59</sup> (see Map 3).

As seen, both the LH 焉耆 \*2an-gi and the Uyghur MUyg. Arġi (إلارغى) [arġi] preserved the intermediate form \*Argi which goes back to an older form \*Arki (i.e.  $Arġi \leftarrow *Arġi < *Arki$ ). Thus we can safely say that these and other Argi place names are actually remnants of Yuezhi.

As for the form OC  $\exists \notin \eta wat t eh$ , its first syllable OC  $\exists \eta wat$ - as noted above – is quite clear. The second syllable *zhi*  $\aleph$ , however, still requires explanation. It appears to be a problem that the initial *\*t-* of the OC *\*teh*  $\Re$  and the *\*k-* [c] of the reconstructed foreign form in *\*Yarki* [jarci] do not match. As is well known, however, that if perceptually the phoneme boundaries between /k/ and /t/ are lost, we can transcribe the phoneme articulated in this manner as [c], the voiceless palatal plosive. A [c] can also appear, however, as an allophone of /k/ just like in Turkish or in many other languages. That is, in some articulations /k/ and /t/ can be phonetically quite similar. Given this fact, I think it seems not to be groundless to suppose here a phoneme substitution (i.e. OC *\*t-*  $\leftarrow$ foreign *\**[c]). If we take into account that the phonetic development *\*k* > *\*c* > *\*te* > *\*e* <*s*> reconstructed on the base of the onomastic (i.e. toponymic and historical) data above, can be verified partially

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 <sup>&</sup>lt;sup>58</sup> Sabit / Nasir 1994: 2b; coordinates: N 42°10'49.2", E 82°39'07.8" (https://www.google.com/maps/place/42 %C2%B010'49.2%22N+82%C2%B039'07.8%22E/@33.057767,95.4455176,3.88z/da ta=!4m5!3m4!1s0x0:0x0!8m2!3d42.1803333!4d82.6521667); accessed: 7.12.2019.

<sup>&</sup>lt;sup>59</sup> Sabit / Nasir 1994: 3a. Its coordinates remain unknown for the time being.

in Tocharian B as well (i.e. \*k > \*c > \*c < <s >),<sup>60</sup> the assumption of a \*[c] (in \**Yarki* [jarci]) does also not appear to be unfounded. In other words, as a loanword adaptation strategy, Old Chinese replaced the ill-formed foreign syllable \*ki [ci] having an initial \*k-[c], with a well-formed one (i.e.  $*t\bar{e}h$  氏), just like in many other – *inter alia* Turkic – loans in Chinese, in which – as one of the phonotactic constraints or processes – the unlicensed initials were deleted, or changed to licensed ones. Based on what has been said, I think highly possible that the OC 月氏 \* $\eta$ wat-t $\bar{e}h$  reflects a foreign \**Yarki* [jarci].

**4.1.3.4.** The LH 月支 \*nyat-tśe has been explained in detail above, which goes back to a form \* Yarśi. Therefore it will not be repeated here. As for the Afg. Arčī (ارچى) [prt]î:], it occurs - as seen below alone or as the first component of many compound place names in the north of Afghanistan. I think that they all are remnants of Yuezhi as a tribal name. As I mentioned briefly above, after their westward migration (2<sup>nd</sup> c. BC) from their homeland to Bactria, Da Yuezhi (大月氏) 'Great Yuezhi/Arki' settled there and dominated the surrounding territories including the northern part of the present-day Afghanistan and also Sogdiana, the valleys to the north of the Amu Darya in present-day Uzbekistan.<sup>61</sup> Thus, it is not surprising at all that we find many toponyms in Afghanistan pertaining the Great Yuezhi/Arki. The toponyms Arčī (ارچى) [prt]i:] in the north of Afghanistan, however, reflect a later stage of phonetic development (i.e.  $Ar\check{ci} \leftarrow *Ar\check{ci}$  [art $\widehat{ci}$ ] < \*Arki). This at the same time means that when the Yuezhi came to Bactria, their name (endonym) sounded as \*Arči [artci], which occurs today as Arčī (ارجى) [prtfi:] in Afghanistan.

The phonetic form Arči can also be attested in Xinjiang, which is one of the strongest proofs fort the Arčī (i.e. Great Yuezhi) expansion from East to West. This name occurs in the form of  $\bar{A}'\check{e}rqi$  shān (阿尔齐山) 'Mount Arči' in the Map of Kucha (Qiūcí 龜茲) County (1909), where the Mount Arči (阿尔齐) is situated to

<sup>61</sup> Craig 2007: x.

<sup>&</sup>lt;sup>60</sup> Fot the Tocharian B development k > c > c < s see Peyrot 2008: 74-75.

the east of Kucha (Kuche) River and southeast of the present-day Telemet Tunnel (*Tielimaide daban* 铁里买德达坂)<sup>62</sup> (see Map 3). According to Zhong, the Uyghur name of the Mount  $\bar{A}'\check{e}rqi$  (阿尔齐) is "*Archi*", <sup>63</sup> i.e *Arči*. Although this may seem like the only example of the phonetic form *Arčī* in Xinjiang, I am sure that future research will reveal many other similar names.

The geographical locations of the  $Ar\check{c}\bar{i}$  ( $\psi_{c}$ ) place names in Afghanistan are very interesting and important, since most of them are concentrated (see Map 1 below) along the northeastern border areas of present-day Afghanistan, to be more precise, along the northeastern foothills of the Hindu Kush mountain ranges in Afghanistan. It is, of course, not certain, but I suppose as a working hypothesis that this fact may well refer to the territorial expansion of the Arčī (Great Yuezhi) population and southern boundaries of Arčī (Great Yuezhi) settlements. This phenomenon seems to be a kind of ethnic, territorial and settlement boundary. To put it differently, from an onomastic point of view the Arčī (الرجى) place names may refer to a border line along the northern foothills of the Hindu Kush mountain ranges between Arčī (Great Yuezhi) and non-Arčī (non-Great Yuezhi) population or settlements. This at the same time would mean that these place names referring to Arčī population, groups, settlements or territory may have been given by the southern neighbours of Arčī (Great Yuezhi). At this point, of course, the question arises as to "who" these neighbours were. The answer will probably be "Tocharians". If we look at Map 2 below, it can clearly be seen that the southern neighbours of Arčī (Great Yuezhi) were the so-called Tokarak / Taghārak / Tugarak / Tugrak etc. tribes, i.e. Tocharians. The same phenomenon can also be observed with the Tocharians, whose settlements are lined up along the southern foothills of the Hindu Kush mountain ranges. This cannot be a sheer coincidence. I intend to show this phenomenon in a later study in other regions as well. I call this phenomenon «ethnotoponymic boundary», when it comes those to ethnotoponyms line up between ethnic, territorial and settlement

<sup>&</sup>lt;sup>62</sup> Zhong 2008: 10. *Tielimaide daban* 铁里买德达坂 is s mountain pass located in Tian Shan Mountain ca. 164 km to the north of Kucha.

<sup>&</sup>lt;sup>63</sup> Zhong 2008: 10.

boundaries. Thus, the distribution of the ethnotoponyms in question shows that Arčī (Great Yuezhi) and Tocharians (*Tokarak*, etc.) settled in different but neighbouring regions.

Here, it is needless to particularly emphasise that this is very important in terms of the historical geography of the Tocharians and Great Yuezhi. Based on the above, I think it is not unreasonable to assume that the  $Ar\check{c}\bar{i}$  ( $i \in \mathfrak{s}_{2}$ ) place names along the northern borders of present-day Afghanistan constitute the southern settlement boundary of Arčī (Great Yuezhi) people. These are as follows (see Map 1 below).

- 1. *Manţaqah-ye Archī Guzar* is a locality in the Darqad District of Takhar Province, Afghanistan.<sup>64</sup>
- 2. *Dasht-e Archī* is a second-order administrative division in Kunduz Province, Afghanistan.<sup>65</sup>
- 3. *Dasht-e Archī* is the name of a plain in Kunduz Province, Afghanistan.<sup>66</sup>
- 4. *Archi, Archī* is a populated place in *Dasht-e Archī*, the second-order administrative division of Kunduz Province, Afghanistan. Its alternate name is *Chichka*, *Chika*, etc.<sup>67</sup>
- 5. *Archī Kōtal* (~ *Archi Kotal*) is the name of a pass in Gurzīwān, a locality in Faryab Province, Afghanistan.<sup>68</sup>
- 6. *Archī Kham* is a populated place in Shahr-e Buzurg District in Badakhshan Province, Afghanistan.<sup>69</sup>
- Archī Poshteh (~ Archē Pushtah) is the name of a mountain (2835 m) in Shahid-e Hasas District in Oruzgan Province, Afghanistan.<sup>70</sup>

<sup>&</sup>lt;sup>64</sup> N 37°19'10", E 69°25'48", https://www.geonames.org/1465292/mantaqah-yearchi-guzar.html; accessed: 29.10.2019.

<sup>&</sup>lt;sup>65</sup> N 36°57'49", E 69°9'26", https://www.geonames.org/7053313/dasht-earchi.html; accessed: 29.10.2019.

<sup>&</sup>lt;sup>66</sup> N 37°1'30", E 69°10'28", https://www.geonames.org/1143839/dasht-earchi.html; accessed: 29.10.2019.

 <sup>&</sup>lt;sup>67</sup> N 37°4'13", E 69°17'54", https://www.geonames.org/1145001/chichkah.html; acessed: 29.10.2019.

<sup>&</sup>lt;sup>68</sup> N 35°39'14", E 65°20'45", https://www.geonames.org/1148422/archikotal.html; accessed: 29.10.2019.

<sup>&</sup>lt;sup>69</sup> N 37°11'52", E 70°8'13", https://www.geonames.org/1467402/archikham.html; accessed: 29.10.2019.

- 8. *Nahr-e Archī* is the name of a canal in Kunduz Province, Afghanistan.<sup>71</sup>
- Dasht-e Archī is a populated place in Imam Sahib District in Kunduz Province, Afghanistan.<sup>72</sup>

## 4.1.4. Wūzhī 烏氏 / 烏枝

Before examining other variants of the name Yuezhi, it is worth analyzing the variants of the name  $W\bar{u}zh\bar{\iota} \models K$  /  $\models k\bar{k}$ , since a correct understanding of these two will also help understand other variants. To the best of my knowledge, these names have not been considered as variants of the name *Yuezhi* so far. As will be seen below, Pulleyblank has never linked these variants to Yuezhi, although he used these variants as an analogy for some phonetic phenomena. Based on historical events, only Craig G. R. Benjamin carefully associated the variant  $W\bar{u}zh\bar{\iota} \models K$  with the name *Yuezhi* with a question mark: i.e. "*Wuzhi* (Yuezhi?)".<sup>73</sup> I think he is right about this association.

The name  $W\bar{u}zh\bar{i}$  烏氏 is attested (1) as an ethnonym in *Shiji* 史記 (Chap. 129).<sup>74</sup> As Benjamin writes, "Sima Qian mentions a chief named Luo of the *Wuzhi* (Yuezhi?) who, during the reign of the first emperor of the Qin (Huangdi r. 246-210 BCE), was a successful intermediary in both the jade and horse trade markets".<sup>75</sup> (2)  $W\bar{u}zh\bar{i}$  烏氏 is also the name of an ancient locality in Gansu, which in Later Han was called  $W\bar{u}zh\bar{i}$  烏枝.<sup>76</sup>

Taking into account that Yuezhi migrated away from their homeland Gansu westwards, then it is not surprising to find a name pertaining Yuezhi in Gansu.  $W\bar{u}zh\bar{i}$  烏氏 occurs as an ethnonym in

<sup>&</sup>lt;sup>70</sup> N 33°1'44", E 65°16'55", https://www.geonames.org/1477368/archepushtah.html; accessed: 29.10.2019.

 <sup>&</sup>lt;sup>71</sup> N 36°58'26", E 69°13'23", https://www.geonames.org/1148421/nahr-e-archi.html; accessed: 29.10.2019.

<sup>&</sup>lt;sup>72</sup> N 37°08'00", E 69°10'00", https://www.geonames.org/1143838/dasht-earchi.html; accessed: 29.10.2019.

<sup>&</sup>lt;sup>73</sup> Benjamin 2007: 32.

<sup>&</sup>lt;sup>74</sup> For the Chinese text see https://ctext.org/shiji?searchu=%E7%83%8F%E6%B0%8F.

<sup>&</sup>lt;sup>75</sup> Benjamin 2007: 32; for the translation of the relevant passage in *Shiji* 史記 see Watson 1993/II: 440 and Benjamin 2007: 32.

<sup>&</sup>lt;sup>76</sup> Thierry 2005: 7; Pulleyblank 1962: 106, 263.

*Shiji* 史記, and can be connected to Yuezhi, which clearly shows, in my view, that  $W\overline{u}zh\overline{i}$  烏氏 or  $W\overline{u}zh\overline{i}$  烏枝 in Gansu is a place name of ethnotoponym origin dating from the Yuezhi time.

As for their reconstruction, the phenomenon between OC 月氏 \**ŋwat-tēh* and LH 月支 \**ŋyat-tśe* can also be observed here. Let us start with the second syllable. Just like in the case of OC 月氏 > LH 月支, the OC 烏氏 was also replaced by the 烏枝 in Later Han (i.e. OC 烏氏 > LH 烏枝). That is the *zhī* 氏 (in 烏氏) was replaced by the *zhī* 枝 (in 烏枝). As noted above, the most probable explanation for the replacement by 枝 "in Later Han is that the palatalization of the dental stops had made it no longer suitable to express the foreign sound."<sup>77</sup> Hence, the second syllable *zhī* 氏 (in 烏氏) can be reconstructed as \**tēh* in Old Chinese, and the *zhī* 枝 (in 烏枝) as \**tśe* (< *kie*, OCM \**ke*)<sup>78</sup> in Later Han. As for the first syllable *wū* 烏, its reconstruction is OCM \*?â in Old Chinese, and LH \*?a in Later Han.

Thus, it follows that the form  $W\bar{u}zh\bar{i}$  烏氏 can be reconstructed as \* $2\hat{a}$ - $t\bar{e}h$  in Old Chinese. Just like the \* $t\bar{e}h$  in OC 月氏 \*gwat- $t\bar{e}h$ , this OC \* $2\hat{a}$ - $t\bar{e}h$  seems to reflect a foreign \*aki [aci] / [a:ci] (cf. \*Arci [arci] ~ \*Yarki [jarci] see the stemma above), which probably goes back to an older form \*arki (i.e. OC \* $2\hat{a}$ - $t\bar{e}h \leftarrow *aki$  [aci] / [a:ci] < \*arki).

Considering the reflexes of foreign \*/-r/ in the syllable-final position in Old Chinese,<sup>79</sup> and the cases of \*-*r* in other variants (i.e. OCM  $\cong 2^{n-ke} \leftarrow Arki$  [arki], and OC  $\exists \notin ywat-teh \leftarrow Yarki$  [jarci], see the stemma above), there is no reason for the syllable-final \*-*r* in \**arki* to disappear when borrowed into Old Chinese.

I may be wrong, but taking into account this circumstance, I suppose as a working hypothesis that the syllable-final \*-r in \*arki

<sup>&</sup>lt;sup>77</sup> Pulleyblank 1962: 106-107

 <sup>&</sup>lt;sup>78</sup> See Schuessler 2007: 595, 613; cf. zhī 支 ~ 枝 'branch' < MC tśye < \*kje (Baxter 1992: 211, 294, 569), OC \*ke (Baxter / Sagart 2014), EMC tɛiă / tɛi < \*kye < OC \*kēħ (Pulleyblank 1962: 100, 107, 262).</li>

<sup>&</sup>lt;sup>79</sup> See Schuessler 2007: 80 ff.

should have already disapeared in a dialect of the donor language (i.e. in Yuezhi). That is, the loss of \*-*r* may be a dialectal feature. This at the same time would mean that \**arki* changed first to \**aki*, and borrowed only after that into Old Chinese (i.e. OC \**2â-tēh* ← \**aki* [aci] / [a:ci] < \**arki*). Probably the same phenomenon can also be seen in case of the other variants *Yúzhī* 禹知, *Yúzhī* 禹氏, *Niúzhī* 牛氏.

However, since – just like \**Arci* [arci] > \**Arči* [artî] > TochA  $\bar{A}r\dot{s}i$  [arci] – the plosive \*-*k*- [c] in \**aki* [aci] / [a:ci] (< \**arki*) has changed in time first to an affricate \*-*t* $\hat{c}$ -, and then to an alveolopalatal fricative \*-*c*- in the donor language (i.e. \*-*k*- [c] > \*-*t* $\hat{c}$ - > \**c*-), in Later Han period the need arose to replace the OC  $\mathbb{K}$  \**t* $\hat{e}h$  (in OC  $\mathbb{R}\mathbb{K}$ ) with the LH  $\notin$  *tse* (in LH  $\mathbb{R}$  $\notin$ ), for in the said period "the palatalization of the dental stops had made it no longer suitable to express the foreign sound."<sup>80</sup> This may be the reason that the foreign fricative \*-*c*- was replaced by the dental affricate -*ts*- in LH  $\notin$  *tse*, and so thus emerged the LH  $\mathbb{R}$  $\notin$ , which in my view reflects a foreign \**Asi* [aci]. Based on these assumptions, the phonetic developments of the forms in question can be summarized in a stemma as follows:

### 4.1.5. The probable (incomplete) stemma of 烏氏 / 烏枝

\*arki [arki]  
× ↓  
\*Argi ~ \*Arci [arci]  
× ↓  
\*Agi Yākī<sup>81</sup>~ \*Aci [aci] / [a:ci] 
$$\rightarrow$$
 OCM 烏氏 \*?â-tēĥ  
↓ ↓  
EMC \*?a-gi \*Aśi [aci]  $\rightarrow$  LH 烏枝 \*?a-tśe  
↓ ↓?  
Āqíshān 阿奇山 Gr. "Aσı(oı)<sup>82</sup> /Asi(oi)/ (of Strabo)

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<sup>&</sup>lt;sup>80</sup> Pulleyblank 1962: 106-107

<sup>&</sup>lt;sup>81</sup> See "yâkiy tûyrak" 'Yākī (i.e. Arki) and Tocharians', the name of a tribal union in Mahrnāmag (9<sup>th</sup> c.), see Müller 1913: 12; for tuyrak 'Tocharians' see Aydemir 2014.

<sup>&</sup>lt;sup>82</sup> In view of Marquart *Asioi* corresponds to the *Asiani* (of Trogus Pompeius) and *Yuezhi* of Chinese records. That is according to Marquart *Yuezhi* is the

The mountain name  $\bar{A}qi$  阿奇 (in  $\bar{A}qish\bar{a}n$  阿奇山)<sup>83</sup> may also belong here, which is called Aqitag (< Aqi + Uyghur-Turkic tag'mountain') in Uyghur.  $\bar{A}qi$  阿奇 reflects a foreign \*Agi [agi] / [a:gi], which probably goes back to \*Argi examined above(i.e.  $\bar{A}qi$ 阿奇 < EMC \*?a- $gi \leftarrow *Agi < *Argi < *Arki$ ). There are certainly other place names of this kind in the ancient territories of Yuezhi (i.e. Arki) in Xinjiang that need to be systematically collected (see Map 3).

After the examination and reconstruction of  $W\overline{u}zh\overline{i}$  烏氏 / 烏枝, we can now return to the other variants  $Y\dot{u}zh\overline{i}$  禹知,  $Y\dot{u}zh\overline{i}$  禹氏,  $N\dot{u}zh\overline{i}$  牛氏. However, we have to put aside the first two variants for the time being, since there are insurmountable difficulties as their reconstructions. Namely, there is still no reliable OC reconstruction of the first syllable  $y\dot{u}$  禹. It can, however, be suggested – and very interestingly – that they both reflect probably a foreign form with an initial \*y- (and without a medial \*-r-), if we take into account EMC \* $\eta u \check{a}$  for  $y\dot{u}$  禹. Sinological linguists will surely provide a better answer to this question. As for the other variant  $Ni\dot{u}zh\bar{i}$  牛氏, the situation is relatively better as seen below.

### 4.1.6. Niúzhī 牛氏

As noted above, *Niúzhī* 牛氏 is attested in *Guanzi* 管子. The first syllable *niú* 牛 can be reconstructed as OCM \**ŋwə* and OCB \*[*ŋ*]<sup>*w*</sup>*ə*. Accordingly, the OC reconstruction of *Niúzhī* 牛氏 may be \**ŋwə-tēh* or \*[*ŋ*]<sup>*w*</sup>*ə-tēh*, which – just like 烏氏 / 烏枝 – seems also to reflect a foreign form without \*-*r*- (i.e. OC \**ŋwə-tēh* ← \**yaki* [jaci] / [ja:ci]<sup>84</sup> < \**Yarki* [jarci] < \**Yarki* [jarki]). If this reconstruction is correct, we can suppose as a working theory that all the three forms (i.e. *Niúzhī* 牛氏, *Yúzhī* 禹知, *Yúzhī* 禹氏), the earliest variants of the

same as *Asioi*: "Aou = 月支", i.e. *Yuèzhī* (Marquart 1901: 206). According to Yu, however, *Asioi* (i.e. *Asii*) are one of the four tribes of the Sakās as seen in the Western historical records (Yu 2010b: 9).

<sup>&</sup>lt;sup>83</sup> N 41°49'00", E 90°38'00", https://geographic.org/geographic\_names/name.php?uni=-2609077&fid=1194 &c= china; accessed: 16.12.2019.

<sup>&</sup>lt;sup>84</sup> See Yākī in "yâkiy tûγrak" 'Yākī (i.e. Arki) and Tocharians', the name of a tribal union in Mahrnāmag (9<sup>th</sup> c.), see Müller 1913: 12.

name *Yuezhi*, go back to foreign forms with initial \**y*-, in which the medial \*-*r*- had disappeared already in donor language (i.e. OC \* $\eta w \partial - t \bar{e} h \leftarrow *yaki$  [jaci] / [ja:ci]  $\rightarrow Y \bar{a} k \bar{i}$  in " $y \hat{a} k i y t \hat{u} \gamma r a k$ " 'Yākī (i.e. Arki) and Tokharians' in Mahrnāmag, 9<sup>th</sup> c.).

## 5. Conclusion

If we leave out the last three variants (i.e. Niúzhī 牛氏, Yúzhī 禹知, Yúzhī 禹氏), which require further research, the examinations of all other variants of the name *Yuezhi* examined above refer clearly to an older form \**Arki* (~ \**Yarki*). Thus, I think that \**Arki* / \**Yarki* was the name of the people recorded as *Yuezhi* (月氏 / 月支) in Chinese sources, which was later changed to  $\bar{A}rsi$ , the name of Tocharian A speakers.

At this point, of course, the question arises as to whether Yuezhi are Tocharians. My answer to this question is definitely "yes", because (1) Tocharian A speakers called themselves  $\bar{A}r\dot{s}i$ , which goes back to an older form \**Arki*. (2) Since the name *Yuezhi* (月氏 / 月支) in Chinese sources goes back to a foreign form \**Yarki*, a variant of \**Arki*, both *Yuezhi* and  $\bar{A}r\dot{s}i$  (i.e. \**Arki*) are one and the same people. (3) Since the language of Tocharian A in the Old Turkic (Uyghur) *Maitrisimit nom bitig* (a translation of the Tocharian version) is called as *twqry*<sup>85</sup> (i.e. Tocharian) *language*, it is almost sure that the name *Tochar* and its variants were used as an exonym for Tocharian A (i.e.  $\bar{A}r\dot{s}i$ ) speakers. Thus, if  $\bar{A}r\dot{s}i$  was called *Tochar*, and  $\bar{A}r\dot{s}i$  is the same as *Yuezhi*, then we can safely say that  $\bar{A}r\dot{s}i$  are actually Tocharians. In other words,  $\bar{A}r\dot{s}i$  was an endonym, that is a self-designation, while *Tochar* was an exonym, an external name used *inter alia* for Tocharian A speakers as well.

At this point another question arises, "whose" name was actually the name *Tochar*? For the time being it does not seem possible to give a definite and clear answer to this question with linguistic methods. As a working hypothesis, however, and based on the three type of Yuezhi ethnonyms (i.e. 1. \**Arki*, 2. \**Yarki*, 3. \**Aśi* / \**Aci*) examined above, I suppose for now that Yuezhi should have

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<sup>&</sup>lt;sup>85</sup> Old Turkic Twqry 'Tocharian A' ← Sogd. twγry [tuγre] / [toγre] < Sogd. twγr'k [tuγrāk ~ toγrāk]; for more information on Twqry see Aydemir 2009.

consisted of at least three relatively large groups. The leading dynasty could have been the \*Arki or \*Yarki (=Tocharian A) in any case. Yuezhi could have other large tribes, too, for it was probably a tribal confederation, which could consist of many other tribes. As Benjamin also rightly thinks the term "Yuezhi" refers to a dynasty ruling over a confederation.<sup>86</sup> It is, therefore, not groundless to suppose that *Tochar* (= Tocharian B?) was the largest or one of the largest tribes or groups in this confederation, and their tribal territories were probably located to a large extent to the west of the Yuezhi confederation. The Chinese could have contact only with the \*Arki (or \*Yarki), as they were the ruling dynasty. Since and other tribes were subjugated and held little Tocharians importance in the Yuezhi confederation, they were out of sight for the Chinese. When the Yuezhi were pushed westward by the Xiongnu, their tribal confederation disintegrated, and the Tocharians (= Tocharian B speakers?) located to the west of the Yuezhi territory were pushed as a domino effect, and consequently had to escape further west, where they were known by the surrounding peoples (Bactrians, Sogdians, Persians, Greeks, Indians) as *Toyar*, their own name, while the Chinese continued to call the former tribes of the confederation as *Yuezhi*.

A similar phenomenon can also be seen in the case of the ethnonyms *Kipchak* and *Kuman* of Turkic origin in the Middle Ages. *Kipchak* were used by Arabs and Persians, while western sources called them as *Kuman*, though they both were one and the same. The name *Toxar* was later used as an exonym also for the  $\bar{A}r\dot{s}i$  groups living under the domination of Tocharians. Many other analogies can be shown from the Eurasian Steppe for the assumptions above concerning the Yuezhi and Tocharians.

The processes described above undoubtedly show that we now should look at the Tocharian-Turkic ethnic and linguistic relations from a very different perspective. Namely, there is also no particular need to emphasize that the correct understanding of the Yuezhi question is not only of fundamental importance for Central

<sup>&</sup>lt;sup>86</sup> Benjamin 2007: 120.

Asian history, but also for the historical background of Tocharian-Turkic or Yuezhi-Turkic ethnic and linguistic relations.

Finally, I do not think that this paper has fully addressed every question referred to above. Certainly, there are still many questions that demand further scrutiny, with certain aspects necessitating additional expansion. I hope, however, they will be clarified by further studies. Consequently, we should not forget, that the Yuezhi question is one of the most complicated and challenging questions of Central Asian history.

### Abbreviations

- Afg. = Pastho
- Chin. = Chinese
- Gr. = Greek
- LH = Later Han, see Schuessler 2007
- MC = Middle Chinese = Schuessler 2007, Baxter / Sagart 2014
- MUyg. = Moder Uyghur (Turkic)
- OC = Old Chinese
- OCB = Old Chinese, see Baxter / Sagart 2014
- OCM = Old Chinese Minimal, see Schuessler 2007
- Sogd. = Sogdian
- TochA = Tocharian A

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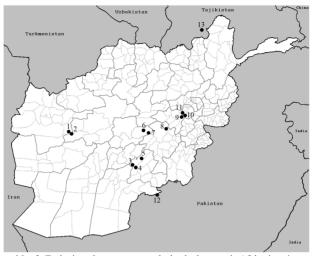
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Map 1: Arčī ارجى and Yārkī باركى (= Yuezhi) ethnotoponyms in North Afghanistan

#### Afghanistan

<ol> <li>Archī Kham</li> <li>Manţaqah-ye Archī Gužar</li> <li>Archi, Archī (ارچی)</li> <li>Dasht-e Archī</li> <li>Dasht-e Archī</li> <li>Dasht-e Archī</li> <li>Nahr-e Archī</li> <li>Archī Kōtal (Archi Kotal)</li> </ol>	(N 37°04'13", E 69°17'54") (N 37°08'00", E 69°10'00") (N 37°01'30", E 69°10'28") (N 36°57'49", E 69°9'26") (N 36°58'26", E 69°13'23")	populated place locality populated place populated place plain administrative division canal pass
9. Archī Poshteh	(N 33°01'44", E 65°16'55")	mountain (2835 m)
10. Yārkī (باركى)	(N 33°05'30", E 68°04'55")	populated place

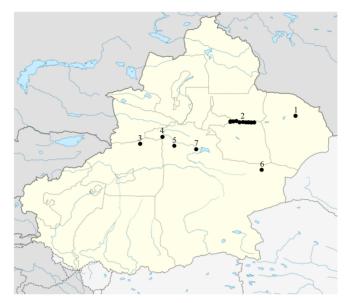


Map 2: Tocharian ethnotoponyms and ethnohydronyms in Afghanistan\*

#### Afghanistan

Afghanistan	
1. Taghārak	(33° 44' 18" N, 63° 13' 39" E) intermittent stream
2. Taghārak	(33° 43' 53" N, 63° 14' 05" E) spring
3. Tugrak ~ Tughrak	(32° 06' 29" N, 66° 04' 09" E) populated place
4. Tūgarak	(32° 14' 03" N, 66° 26' 02" E) populated place
5. Tokarak ~ Tukarak	(32° 42' 22" N, 66° 49' 39" E) populated place
6. Jare Tokarak	(33° 32' 41" N, 66° 52' 15" E) intermittent stream
7. Tokarak Sela ~ Tokarak Shelah	(33° 30' 11" N, 67° 09' 11" E) intermittent stream
8. Tūkrīk	(33° 47' 51" N, 68° 00' 58" E) populated place
9. Tokarak ~ Tukarak	(34° 18' 25" N, 68° 41' 58" E) populated place
10.Tokarak Ghar	(34° 17' 12" N, 68° 43' 06" E) mountain
11.Tokarak	(34° 19' 29" N, 68° 41' 49" E) intermittent stream
Pakistan	
12.Tokarak ~ Tokarak Rūd	(31° 13' 21" N, 67° 25' 33" E) stream
	(01 10 21 1,00) 20 00 2) 500000
Tajikistan	
13.Tugarak	(37° 48' 00" N, 69° 40' 19" E) populated place

\* s. Aydemir 2014: 66.



Map 3: Arki, Argi, Arčī (= Yuezhi) ethnotoponyms in Xinjiang

1. Arki (MUyg.)	Ājǐ (阿濟), district
	(no coordinates; estimated geographical position)
2. Arki (MUyg.)	<i>Ājĭ èlā</i> 阿濟鄂拉 'Mount Aji'
	(N 43°47′36″, E 88°20′40″)
3. Arġi Bulaq	(نار غى بۇ لاق) 'Argi Spring', A'er bulake (阿尔布拉克)
	(N 42°10'49.2", E 82°39'07.8")
4. Arġistan Davan	(ار غستان داڨان) 'Argiland Mountain Slope', A'ergasutan
	daban (阿尔尕苏坦达坂) (no coordinates)
5. Arči (MUyg.)	<i>Ā'ěrqí shān</i> (阿尔齐山) 'Mount Arči'
6. *Argi	Aqitag (Chin.+MUyg.), Āqí 阿奇 (<*Agi <*Argi),
	<i>Āqíshān</i> 阿奇山 'Mount <i>Āqí</i> ' (N 41°49'00", E 90°38'00")
7. *Argi	LH 焉耆 *2an-gi. Karashahr /Yanqi 焉耆; populated
0	place (N 42°03'43", E 86°33'59")