



ASYMPTOTIC BEHAVIOUR OF RESONANCE EIGENVALUES OF THE SCHRÖDINGER OPERATOR WITH A MATRIX POTENTIAL

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ABSTRACT. We will discuss the asymptotic behaviour of the eigenvalues of a Schrödinger operator with a matrix potential defined by the Neumann boundary condition in $L_2^m(F)$, where F is a d -dimensional rectangle and the potential is an $m \times m$ matrix with $m \geq 2$, $d \geq 2$, when the eigenvalues belong to the resonance domain, roughly speaking they lie near the planes of diffraction.

1. INTRODUCTION

In this paper, we consider the Schrödinger operator with a matrix potential $V(x)$ defined by the differential expression

$$L\phi = -\Delta\phi + V\phi \quad (1)$$

and the Neumann boundary condition

$$\frac{\partial\phi}{\partial n}\Big|_{\partial F} = 0, \quad (2)$$

in $L_2^m(F)$ where F is the d dimensional rectangle $F = [0, a_1] \times [0, a_2] \times \dots \times [0, a_d]$, ∂F is the boundary of F , $m \geq 2$, $d \geq 2$, $\frac{\partial}{\partial n}$ denotes differentiation along the outward normal of the boundary ∂F , Δ is a diagonal $m \times m$ matrix whose diagonal elements are the scalar Laplace operators $\Delta = \frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} + \dots + \frac{\partial^2}{\partial x_d^2}$, $x = (x_1, x_2, \dots, x_d) \in R^d$, V is a real valued symmetric matrix $V(x) = (v_{ij}(x))$, $i, j = 1, 2, \dots, m$, $v_{ij}(x) \in L_2(F)$, that is, $V^T(x) = V(x)$.

We denote the operator defined by (1)-(2) by $L(V)$, the eigenvalues and the corresponding eigenfunctions of $L(V)$ by Λ_N and Ψ_N , respectively.

The eigenvalues of the operator $L(0)$ which is defined by the differential expression (1) when $V(x) = 0$ and the boundary condition (2) are $|\gamma|^2$, and the

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corresponding eigenspaces are $E_\gamma = \text{span}\{\Phi_{\gamma,1}(x), \Phi_{\gamma,2}(x), \dots, \Phi_{\gamma,m}(x)\}$, where

$$\begin{aligned}\gamma &= (\gamma^1, \gamma^2, \dots, \gamma^d) \in \frac{\Gamma^{+0}}{2}, \\ \frac{\Gamma^{+0}}{2} &= \left\{ \left(\frac{n_1\pi}{a_1}, \frac{n_2\pi}{a_2}, \dots, \frac{n_d\pi}{a_d} \right) : n_k \in \mathbb{Z}^+ \cup \{0\}, k = 1, 2, \dots, d \right\}, \\ \Phi_{\gamma,j}(x) &= (0, \dots, 0, u_\gamma(x), 0, \dots, 0), j = 1, 2, \dots, m,\end{aligned}$$

and the non-zero component of $\Phi_{\gamma,j}(x)$ is $u_\gamma(x) = \cos \frac{n_1\pi}{a_1} x_1 \cos \frac{n_2\pi}{a_2} x_2 \cdots \cos \frac{n_d\pi}{a_d} x_d$, which stands in the j th component. In particular, $u_0(x) = 1$ when $\gamma = (0, 0, \dots, 0)$.

It can be easily calculated that the norm of $u_\gamma(x)$, $\gamma \in \frac{\Gamma^{+0}}{2}$, in $L_2(F)$ is $\sqrt{\frac{\mu(F)}{|A_\gamma|}}$, where $\mu(F)$ is the measure of the d -dimensional parallelepiped F , $|A_\gamma|$ is the number of vectors in $A_\gamma = \left\{ \alpha = (\alpha_1, \alpha_2, \dots, \alpha_d) \in \frac{\Gamma}{2} : |\alpha_k| = |\gamma^k|, k = 1, 2, \dots, d \right\}$, $\frac{\Gamma}{2} = \left\{ \left(\frac{n_1\pi}{a_1}, \frac{n_2\pi}{a_2}, \dots, \frac{n_d\pi}{a_d} \right) : n_k \in \mathbb{Z}, k = 1, 2, \dots, d \right\}$.

From now on, $\langle \cdot, \cdot \rangle$ and (\cdot, \cdot) will denote the inner products in $L_2^m(F)$ and $L_2(F)$, respectively.

Since $\{u_\gamma(x)\}_{\gamma \in \frac{\Gamma^{+0}}{2}}$ is a complete system in $L_2(F)$, for any $q(x)$ in $L_2(F)$ we have

$$q(x) = \sum_{\gamma \in \frac{\Gamma^{+0}}{2}} \frac{|A_\gamma|}{\mu(F)} (q, u_\gamma) u_\gamma(x). \quad (3)$$

In our study, it is convenient to use the equivalent decomposition (see [9])

$$q(x) = \sum_{\gamma \in \frac{\Gamma}{2}} q_\gamma u_\gamma(x), \quad (4)$$

where $q_\gamma = \frac{1}{\mu(F)} (q(x), u_\gamma(x))$ for the sake of simplicity. That is, the decomposition (3) and (4) are equivalent for any $d \geq 2$. Thus, according to (4), each matrix element $v_{ij}(x) \in L_2(F)$ of the matrix $V(x)$ can be written in its Fourier series expansion

$$v_{ij}(x) = \sum_{\gamma \in \frac{\Gamma}{2}} v_{ij\gamma} u_\gamma(x), \quad (5)$$

$v_{ij\gamma} = \frac{(v_{ij}, u_\gamma)}{\mu(F)}$, $(v_{ij}, u_\gamma) = \frac{1}{\mu(F)} \int_F v_{ij}(x) u_\gamma(x) dx$ and $v_{ij0} = \frac{1}{\mu(F)} \int_F v_{ij}(x) dx$ $i, j = 1, 2, \dots, m$.

We assume that $l > \frac{(d+20)(d-1)}{2} + d + 3$ and the Fourier coefficients $v_{ij\gamma}$ of $v_{ij}(x)$ satisfy

$$\sum_{\gamma \in \frac{\Gamma}{2}} |v_{ij\gamma}|^2 (1 + |\gamma|^{2l}) < \infty, \quad (6)$$

for each $i, j = 1, 2, \dots, m$. Let ρ be a large parameter, $\rho \gg 1$ and α be a positive number with $0 < \alpha < \frac{1}{d+20}$ then for $\Gamma(\rho^\alpha) = \{\gamma \in \frac{\Gamma}{2} : 0 \leq |\gamma| < \rho^\alpha\}$ and $p = l - d$

the condition (6) implies that

$$v_{ij}(x) = \sum_{\gamma \in \Gamma(\rho^\alpha)} v_{ij\gamma} u_\gamma(x) + O(\rho^{-p\alpha}). \tag{7}$$

Here $O(\rho^{-p\alpha})$ is a function in $L_2(F)$ with norm of order $\rho^{-p\alpha}$. Furthermore, by (6), we have

$$M_{ij} \equiv \sum_{\gamma \in \frac{\Gamma}{2}} |v_{ij\gamma}| < \infty, \tag{8}$$

for all $i, j = 1, 2, \dots, m$.

Notice that, if a function $q(x)$ is sufficiently smooth ($q(x) \in W_2^l(F)$) and the support of $\nabla q(x) = \left(\frac{\partial q}{\partial x_1}, \frac{\partial q}{\partial x_2}, \dots, \frac{\partial q}{\partial x_d}\right)$ is contained in the interior of the domain F , then $q(x)$ satisfies condition (6) (See [7]). There is also another class of functions $q(x)$, such that $q(x) \in W_2^l(F)$,

$$q(x) = \sum_{\gamma' \in \Gamma} q_{\gamma'} u_{\gamma'}(x),$$

which is periodic with respect to a lattice

$$\Omega = \{(m_1 a_1, m_2 a_2, \dots, m_d a_d) : m_k \in \mathbf{Z}, k = 1, 2, \dots, d\}$$

and thus it also satisfies condition (6).

As in [17]-[22], we divide R^d into two domains: Resonance and Non-resonance domains. In order to define these domains, let us introduce the following sets:

Let $0 < \alpha < \frac{1}{d+20}$, $\alpha_k = 3^k \alpha$, $k = 1, 2, \dots, d - 1$ and

$$\begin{aligned} V_b(\rho^{\alpha_1}) &\equiv \{x \in R^d : ||x|^2 - |x + b|^2| < \rho^{\alpha_1}\} \\ E_1(\rho^{\alpha_1}, p) &\equiv \bigcup_{b \in \Gamma(p\rho^\alpha)} V_b(\rho^{\alpha_1}) \\ U(\rho^{\alpha_1}, p) &\equiv R^d \setminus E_1(\rho^{\alpha_1}, p) \\ E_k(\rho^{\alpha_k}, p) &= \bigcup_{\gamma_1, \gamma_2, \dots, \gamma_k \in \Gamma(p\rho^\alpha)} \left(\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k}) \right) \end{aligned}$$

where $b \neq 0$, $\gamma_i \neq 0$, $i = 1, 2, \dots, k$ and the intersection $\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})$ in E_k is taken over $\gamma_1, \gamma_2, \dots, \gamma_k$ which are linearly independent vectors and the length of γ_i is not greater than the length of the other vector in $\Gamma \cap \gamma_i R$. The set $U(\rho^{\alpha_1}, p)$ is said to be a non-resonance domain, and the eigenvalue $|\gamma|^2$ is called a non-resonance eigenvalue if $\gamma \in U(\rho^{\alpha_1}, p)$. The domains $V_b(\rho^{\alpha_1})$, for $b \in \Gamma(p\rho^\alpha)$ are called resonance domains and the eigenvalue $|\gamma|^2$ is a resonance eigenvalue if $\gamma \in V_b(\rho^{\alpha_1})$.

As noted in [20]-[21], the domain $V_b(\rho^{\alpha_1}) \setminus E_2$, called a single resonance domain, has asymptotically full measure on $V_b(\rho^{\alpha_1})$, that is,

$$\frac{\mu((V_b(\rho^{\alpha_1}) \setminus E_2) \cap B(q))}{\mu(V_b(\rho^{\alpha_1}) \cap B(q))} \rightarrow 1, \text{ as } \rho \rightarrow \infty,$$

where $B(\rho) = \{x \in \mathbf{R}^d : |x| = \rho\}$, if

$$2\alpha_2 - \alpha_1 + (d+3)\alpha < 1, \quad \alpha_2 > 2\alpha_1, \quad (9)$$

hold. Since $0 < \alpha < \frac{1}{d+20}$, the conditions in (9) hold.

In most cases, it is important to know the asymptotic behavior of the eigenvalues of the Schrödinger operator $L(V)$. In this paper, [3] and [8], we construct the asymptotic formulas in the high energy region for eigenvalues of the operator $L(V)$.

In [3], we obtain the asymptotic formulas of arbitrary order for the eigenvalue of $L(V)$ corresponding to the non-resonance eigenvalues $|\gamma|^2$ of $L(0)$ in arbitrary dimension $d \geq 2$.

In [8], we constructed the high energy asymptotics of arbitrary order for the eigenvalue of $L(V)$ corresponding to resonance eigenvalue $|\gamma|^2$ when γ belongs to the special single resonance domains $V_\delta(\rho^{\alpha_1}) \setminus E_2$, where δ is from $\{e_1, e_2, \dots, e_d\}$ and $e_1 = \left(\frac{\pi}{a_1}, 0, \dots, 0\right), \dots, e_d = \left(0, \dots, \frac{\pi}{a_d}\right)$, $d \geq 2$.

In this paper, we study the case for which $|\gamma|^2$ is a resonance eigenvalue. More precisely, in Theorem (1) and (2) of Section(2), we assume that $\gamma \in \left(\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})\right) \setminus E_{k+1}$, $k = 1, 2, \dots, d-1$ and $\gamma \notin V_{e_k}(\rho^{\alpha_1})$ for $k = 1, 2, \dots, d$ and prove that the corresponding eigenvalue of $L(V)$ is close to the sum of the eigenvalue of the matrix V_0 and the eigenvalue of the matrix $C = C(\gamma, \gamma_1, \dots, \gamma_k)$ (See (14)).

In Section(3), this time we assume that $\gamma \in V_\delta(\rho^{\alpha_1}) \setminus E_2$, $\delta \in \frac{\Gamma}{2} \setminus \{e_1, e_2, \dots, e_d\}$, that is, γ is in a single resonance domain and we prove the main result Theorem (7) which gives a connection between the eigenvalues of $L(V)$ corresponding to a single resonance domain and the eigenvalues of the Sturm-Liouville operators.

Note that, the case $\delta = e_i$, $i = 1, 2, \dots, d$, was considered in [8], by a different but simpler method and better formulas were obtained.

2. ASYMPTOTIC FORMULAS FOR THE EIGENVALUES IN THE RESONANCE DOMAIN

We assume that $\gamma \notin V_{e_k}(\rho^{\alpha_1})$ for $k = 1, 2, \dots, d$, and $|\gamma|^2$ is a resonance eigenvalue of the operator $L(0)$, that is, $\gamma \in \left(\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})\right) \setminus E_{k+1}$, $k = 1, 2, \dots, d-1$, such that $|\gamma| \sim \rho$ where $|\gamma| \sim \rho$ means that $|\gamma|$ and ρ are asymptotically equal, that is, there exist c_1, c_2 satisfying the inequality $c_1\rho \leq |\gamma| \leq c_2\rho$, $c_i, i = 1, 2, 3, \dots$

are positive real constants which do not depend on ρ . To obtain the asymptotic formulas for the eigenvalues of $L(V)$ corresponding to $|\gamma|^2$ we use the binding formula (see (9) in [3])

$$(\Lambda_N - |\gamma|^2)\langle \Psi_N, \Phi_{\gamma,j} \rangle = \langle \Psi_N, V\Phi_{\gamma,j} \rangle. \tag{10}$$

Now, we decompose $V(x)\Phi_{\gamma,j}(x)$ with respect to the basis $\{\Phi_{\gamma',i}(x)\}_{\gamma' \in \frac{\Gamma}{2}, i=1,2,\dots,m}$. By definition of $\Phi_{\gamma,j}(x)$, it is obvious that

$$V(x)\Phi_{\gamma,j}(x) = (v_{1j}(x)u_{\gamma}(x), \dots, v_{mj}(x)u_{\gamma}(x)). \tag{11}$$

Substituting the decomposition (7) of $v_{ij}(x)$ in (11), we get

$$V(x)\Phi_{\gamma,j}(x) = \left(\sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{1j\gamma'}u_{\gamma'}(x)u_{\gamma}(x), \dots, \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{mj\gamma'}u_{\gamma'}(x)u_{\gamma}(x) \right) + O(\rho^{-p\alpha}).$$

Since γ does not belong to the domains $V_{e_k}(\rho^{\alpha_1})$, for each $k = 1, 2, \dots, d$, we may use the following equation

$$\sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{ij\gamma'}u_{\gamma'}(x)u_{\gamma}(x) = \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{ij\gamma'}u_{\gamma-\gamma'}(x)$$

which is proved in [9] (see equation (18) in [9]), and obtain

$$\begin{aligned} V(x)\Phi_{\gamma,j}(x) &= \left(\sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{1j\gamma'}u_{\gamma-\gamma'}(x), \dots, \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{mj\gamma'}u_{\gamma-\gamma'}(x) \right) + O(\rho^{-p\alpha}) \\ &= \sum_{i=1}^m \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{ij\gamma'}\Phi_{\gamma-\gamma',i}(x) + O(\rho^{-p\alpha}). \end{aligned} \tag{12}$$

Substituting (12) into (10), we obtain

$$\begin{aligned} \langle \Psi_N, \Phi_{\gamma,j} \rangle &= \frac{\langle \Psi_N, V\Phi_{\gamma,j} \rangle}{(\Lambda_N - |\gamma|^2)} \\ &= \sum_{i=1}^m \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{ij\gamma'} \frac{\langle \Psi_N, \Phi_{\gamma-\gamma',i} \rangle}{(\Lambda_N - |\gamma|^2)} + O(\rho^{-p\alpha}) \end{aligned} \tag{13}$$

for every vector $\gamma \in \frac{\Gamma}{2}$, satisfying the condition

$$|\Lambda_N - |\gamma|^2| > \frac{1}{2}\rho^{\alpha_1}.$$

Letting $p_1 = [\frac{p+1}{2}]$, that is, p_1 is the integer part of $\frac{p+1}{2}$, we define the following sets

$$\begin{aligned} B_k(\gamma_1, \gamma_2, \dots, \gamma_k) &= \{b : b = \sum_{i=1}^k n_i \gamma_i, n_i \in Z, |b| < \frac{1}{2}\rho^{\frac{1}{2}\alpha_{k+1}}\}, \\ B_k(\gamma) &= \gamma + B_k(\gamma_1, \gamma_2, \dots, \gamma_k) = \{\gamma + b : b \in B_k(\gamma_1, \gamma_2, \dots, \gamma_k)\}, \\ B_k(\gamma, p_1) &= B_k(\gamma) + \Gamma(p_1\rho^\alpha). \end{aligned}$$

Let h_τ , $\tau = 1, 2, \dots, b_k$ denote the vectors of $B_k(\gamma, p_1)$, b_k the number of the vectors in $B_k(\gamma, p_1)$. By its definition, it can easily be obtained that $b_k = O(\rho^{\frac{d}{2}3^d\alpha})$, since $\alpha_k = 3^k\alpha$, $2 \leq k \leq d$. We define the $mb_k \times mb_k$ matrix $C = C(\gamma, \gamma_1, \dots, \gamma_k)$ by

$$C = \begin{bmatrix} |h_1|^2 I - V_0 & V_{h_1-h_2} & \cdots & V_{h_1-h_{b_k}} \\ V_{h_2-h_1} & |h_2|^2 I - V_0 & \cdots & V_{h_2-h_{b_k}} \\ \vdots & \vdots & \ddots & \vdots \\ V_{h_{b_k}-h_1} & V_{h_{b_k}-h_2} & \cdots & |h_{b_k}|^2 I - V_0 \end{bmatrix}, \quad (14)$$

where $V_{h_\tau-h_\xi}$, $\tau, \xi = 1, 2, \dots, b_k$ are the $m \times m$ matrices defined by

$$V_{h_\tau-h_\xi} = \begin{bmatrix} v_{11h_\tau-h_\xi} & v_{12h_\tau-h_\xi} & \cdots & v_{1mh_\tau-h_\xi} \\ v_{21h_\tau-h_\xi} & v_{22h_\tau-h_\xi} & \cdots & v_{2mh_\tau-h_\xi} \\ \vdots & \vdots & \ddots & \vdots \\ v_{m1h_\tau-h_\xi} & v_{m2h_\tau-h_\xi} & \cdots & v_{mmh_\tau-h_\xi} \end{bmatrix}. \quad (15)$$

Writing equation (13) for all $h_\tau \in B_k(\gamma, p_1)$, $\tau = 1, 2, \dots, b_k$ and $j = 1, 2, \dots, m$, we get

$$(\Lambda_N - |h_\tau|^2) \langle \Psi_N, \Phi_{h_\tau, j} \rangle = \sum_{i=1}^m \sum_{\gamma' \in \Gamma(\rho^\alpha)} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau-\gamma', i} \rangle + O(\rho^{-p\alpha}). \quad (16)$$

Similar system of equations for quasi-periodic boundary condition was investigated in [19], [21] and [22]. More recently, in [22], Lemma 2.2.1. states that for $\gamma \in (\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})) \setminus E_{k+1}$, $h_\tau \in B_k(\gamma, p_1)$ and $\gamma', \gamma_1, \gamma_2, \dots, \gamma_s \in \Gamma(\rho^\alpha)$, if $h_\tau - \gamma' \notin B_k(\gamma, p_1)$ then

$$||\gamma|^2 - |h_\tau - \gamma' - \gamma_1 - \dots - \gamma_s|^2| > \frac{1}{5} \rho^{\alpha_{k+1}}, \quad (17)$$

for $s = 0, 1, 2, \dots, p_1 - 1$.

Thus, if an eigenvalue Λ_N of $L(V)$ satisfies

$$|\Lambda_N - |\gamma|^2| < \frac{1}{2} \rho^{\alpha_1}, \quad (18)$$

then by (17) and (18), we have

$$|\Lambda_N - |h_\tau - \gamma' - \gamma_1 - \dots - \gamma_s|^2| > \frac{1}{6} \rho^{\alpha_{k+1}}. \quad (19)$$

Now, we prove that if (18) holds then

$$O(\rho^{-p\alpha}) = \sum_{i=1}^m \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \notin B_k(\gamma, p_1)}} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau-\gamma', i} \rangle \quad (20)$$

for any $j = 1, 2, \dots, m$. Here we remark that $\gamma' \neq 0$. If it were the case, then we would have from $h_\tau - \gamma' \notin B_k(\gamma, p_1)$ that $h_\tau \notin B_k(\gamma, p_1)$ which is a contradiction. So, to prove (20), we argue as Theorem 2.2.2 (a) of [22]: Since Λ_N satisfies the inequality (18), by (19) (for $s = 0$) we have $|\Lambda_N - |h_\tau - \gamma'|^2| > \frac{1}{6}\rho^{\alpha_{k+1}}$. Using this, in the equation (13) instead of γ we write $h_\tau - \gamma'$ to get

$$\langle \Psi_N, \Phi_{h_\tau - \gamma', j} \rangle = \sum_{i_1=1}^m \sum_{\gamma_1 \in \Gamma(\rho^\alpha)} v_{ij\gamma_1} \frac{\langle \Psi_N, \Phi_{h_\tau - \gamma' - \gamma_1, i_1} \rangle}{(\Lambda_N - |h_\tau - \gamma'|^2)} + O(\rho^{-p\alpha}). \quad (21)$$

Substituting this equation (21) into the right hand side of (20), we obtain

$$\begin{aligned} & \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \notin B_k(\gamma, p_1)}} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau - \gamma', i} \rangle = \\ & \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \notin B_k(\gamma, p_1)}} \frac{v_{ij\gamma'}}{\Lambda_N - |h_\tau - \gamma'|^2} \sum_{i_1=1}^m \sum_{\substack{\gamma_1 \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' - \gamma_1 \notin B_k(\gamma, p_1)}} v_{i_1 i \gamma_1} \langle \Psi_N, \Phi_{h_\tau - \gamma' - \gamma_1, i_1} \rangle \\ & \quad + O(\rho^{-p\alpha}). \end{aligned}$$

In this manner, iterating p_1 times, we get

$$\begin{aligned} & \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \notin B_k(\gamma, p_1)}} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau - \gamma', i} \rangle = \sum_{i_1, i_2, \dots, i_{p_1}=1}^m \sum_{\substack{\gamma', \gamma_1, \gamma_2, \dots, \gamma_{p_1} \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' - \gamma_1 - \dots - \gamma_{p_1} \notin B_k(\gamma, p_1)}} \langle \Psi_N, \Phi_{h_\tau - \gamma' - \gamma_1 - \dots - \gamma_{p_1}, i_{p_1}} \rangle \\ & \frac{v_{ij\gamma'} v_{i_1 i \gamma_1} \dots v_{i_{p_1-1} i_{p_1-1} \gamma_{p_1-1}}}{(\Lambda_N - |h_\tau - \gamma'|^2)(\Lambda_N - |h_\tau - \gamma' - \gamma_1|^2) \dots (\Lambda_N - |h_\tau - \gamma' - \gamma_1 - \dots - \gamma_{p_1-1}|^2)} \\ & \quad + O(\rho^{-p\alpha}). \end{aligned}$$

Taking norm of both sides of the last equality, using (19), the relation (8) and the fact that $p_1 \alpha_{k+1} \geq p_1 \alpha_2 > p\alpha$, we obtain

$$\left| \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \notin B_k(\gamma, p_1)}} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau - \gamma', i} \rangle \right| = O(\rho^{-p\alpha}),$$

which implies (20). Therefore, the equation (16) becomes

$$(\Lambda_N - |h_\tau|^2) \langle \Psi_N, \Phi_{h_\tau, j} \rangle = \sum_{i=1}^m \sum_{\substack{\gamma' \in \Gamma(\rho^\alpha) \\ h_\tau - \gamma' \in B_k(\gamma, p_1)}} v_{ij\gamma'} \langle \Psi_N, \Phi_{h_\tau - \gamma', i} \rangle + O(\rho^{-p\alpha}). \quad (22)$$

Since $h_\tau - \gamma' \in B_k(\gamma, p_1)$, using the notation $h_\xi = h_\tau - \gamma'$, the decomposition (22) can be written as

$$(\Lambda_N - |h_\tau|^2) \langle \Psi_N, \Phi_{h_\tau, j} \rangle = \sum_{i=1}^m \sum_{h_\tau - h_\xi \in \Gamma(\rho^\alpha)} v_{ijh_\tau - h_\xi} \langle \Psi_N, \Phi_{h_\xi, i} \rangle + O(\rho^{-p\alpha}). \quad (23)$$

Isolating the terms where $h_\tau - h_\xi = 0$ in (23), we get

$$\begin{aligned} (\Lambda_N - |h_\tau|^2) \langle \Psi_N, \Phi_{h_\tau, j} \rangle &= \sum_{i=1}^m v_{ij0} \langle \Psi_N, \Phi_{h_\tau, i} \rangle \\ &+ \sum_{i=1}^m \sum_{\substack{h_\tau - h_\xi \in \Gamma(\rho^\alpha) \\ h_\tau - h_\xi \neq 0}} v_{ijh_\tau - h_\xi} \langle \Psi_N, \Phi_{h_\xi, i} \rangle \\ &+ O(\rho^{-p\alpha}). \end{aligned} \quad (24)$$

Writing the equation (24) for all $j = 1, 2, \dots, m$ and for any $\tau = 1, 2, \dots, b_k$, we get the system of equations

$$[(\Lambda_N - |h_\tau|^2)I - V_0]A(N, h_\tau) = \sum_{\substack{\xi=1 \\ \xi \neq \tau}}^{b_k} V_{h_\tau - h_\xi} A(N, h_\xi) + O(\rho^{-p\alpha}), \quad (25)$$

where I is an $m \times m$ identity matrix, $V_{h_\tau - h_\xi}$ is given by (15),

$$O(\rho^{-p\alpha}) = (O(\rho^{-p\alpha}), \dots, O(\rho^{-p\alpha}))$$

is an $m \times 1$ vector and $A(N, h_\xi)$ is the $m \times 1$ vector

$$A(N, h_\xi) = (\langle \Psi_N, \Phi_{h_\xi, 1} \rangle, \langle \Psi_N, \Phi_{h_\xi, 2} \rangle, \dots, \langle \Psi_N, \Phi_{h_\xi, m} \rangle) \quad (26)$$

for any $\xi = 1, 2, \dots, b_k$. Letting $\lambda_{N, \tau} = \Lambda_N - |h_\tau|^2$, we have

$$\begin{bmatrix} \lambda_{N,1}I - V_0 & -V_{h_1 - h_2} & \cdots & -V_{h_1 - h_{b_k}} \\ -V_{h_2 - h_1} & \lambda_{N,2}I - V_0 & \cdots & -V_{h_2 - h_{b_k}} \\ \vdots & \vdots & \ddots & \vdots \\ -V_{h_{b_k} - h_1} & -V_{h_{b_k} - h_2} & \cdots & \lambda_{N,b_k}I - V_0 \end{bmatrix} \begin{bmatrix} A(N, h_1) \\ A(N, h_2) \\ \vdots \\ A(N, h_{b_k}) \end{bmatrix} = \begin{bmatrix} O(\rho^{-p\alpha}) \\ O(\rho^{-p\alpha}) \\ \vdots \\ O(\rho^{-p\alpha}) \end{bmatrix}. \quad (27)$$

We may write the system (27) as

$$[\Lambda_N I - C]A(N, h_1, h_2, \dots, h_{b_k}) = \mathcal{O}(\rho^{-p\alpha}), \quad (28)$$

where I is an $mb_k \times mb_k$ identity matrix, C is given by (14), $A(N, h_1, h_2, \dots, h_{b_k})$ is the $mb_k \times 1$ vector

$$\mathcal{A}(N, h_1, h_2, \dots, h_{b_k}) = (A(N, h_1), A(N, h_2), \dots, A(N, h_{b_k})) \quad (29)$$

and the right side of the system (28) is the $mb_k \times 1$ vector whose norm is

$$|\mathcal{O}(\rho^{-p\alpha})| = O(\sqrt{b_k} \rho^{-p\alpha}). \quad (30)$$

Theorem 1. Let $|\gamma|^2$ be a resonance eigenvalue of the operator $L(0)$, that is, $\gamma \in (\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})) \setminus E_{k+1}$, $k = 1, 2, \dots, d-1$ where $|\gamma| \sim \rho$, and Λ_N an eigenvalue

of the operator $L(V)$ for which (18) holds and its corresponding eigenfunction Ψ_N satisfies

$$|\langle \Phi_{\gamma,j}, \Psi_N \rangle| > c_4 \rho^{-c\alpha}. \quad (31)$$

Then there exists an eigenvalue $\eta_s(\gamma)$, $1 \leq s \leq mb_k$ of the matrix C such that

$$\Lambda_N = \eta_s(\gamma) + O(\rho^{-(p-c-\frac{d}{4}3^d)\alpha}).$$

Proof. Since (18) is satisfied, (28) holds. Then multiplying both sides of the equation (28) by $[\Lambda_N I - C]^{-1}$, then taking norm of both sides and by (30), we get

$$|\mathcal{A}(N, h_1, h_2, \dots, h_{b_k})| \leq \| [\Lambda_N I - C]^{-1} \| O(\sqrt{b_k} \rho^{-p\alpha}). \quad (32)$$

Using the fact that γ is one of h_1, h_2, \dots, h_τ (See definition of $B_k(\gamma, p_1)$) and hence by (31) and (32), we obtain

$$c_5 \rho^{-c\alpha} < |\mathcal{A}(N, h_1, h_2, \dots, h_{b_k})| \leq \| [\Lambda_N I - C]^{-1} \| \sqrt{b_k} c_6 \rho^{-p\alpha}.$$

Since $[\Lambda_N I - C]^{-1}$ is symmetric matrix with the eigenvalues $\frac{1}{\Lambda_N - \eta_s(\gamma)}$, $s = 1, \dots, mb_k$, we have

$$\max_{s=1, \dots, mb_k} |\Lambda_N - \eta_s(\gamma)|^{-1} = \| [\Lambda_N I - C]^{-1} \| > c_7 c_8^{-1} b_k^{-\frac{1}{2}} \rho^{-c\alpha + p\alpha},$$

where $b_k = O(\rho^{\frac{d}{2}3^d\alpha})$, thus

$$\min_{s=1, 2, \dots, mb_k} |\Lambda_N - \eta_s(\gamma, \lambda_i)| \leq c_9 \rho^{-(p-c-\frac{d}{4}3^d)\alpha},$$

and

$$\Lambda_N = \eta_s(\gamma, \lambda_i) + O(\rho^{-(p-c-\frac{d}{4}3^d)\alpha}).$$

□

Theorem 2. Let $|\gamma|^2$ be a resonance eigenvalue of the operator $L(0)$, that is, $\gamma \in (\bigcap_{i=1}^k V_{\gamma_i}(\rho^{\alpha_k})) \setminus E_{k+1}$, $k = 1, 2, \dots, d-1$ where $|\gamma| \sim \rho$, $\eta_s(\gamma)$ an eigenvalue of the matrix C such that $|\eta_s(\gamma) - |\gamma|^2| < \frac{3}{8}\rho^{\alpha_1}$. Then there is an eigenvalue Λ_N of the operator $L(V)$ satisfying

$$\Lambda_N = \eta_s(\gamma) + O(\rho^{-p\alpha + \frac{d}{4}3^d\alpha + \frac{d-1}{2}}). \quad (33)$$

Proof. By the general perturbation theory, there is an eigenvalue Λ_N of the operator $L(V)$ such that $|\Lambda_N - |\gamma|^2| < \frac{1}{2}\rho^{2\alpha_1}$ holds. Thus one can use the system (28) and we prove the theorem for this eigenvalue Λ_N :

Let η_s , $s = 1, 2, \dots, mb_k$ be an eigenvalue of the matrix C and $\theta_s = (\theta_s^1, \theta_s^2, \dots, \theta_s^{b_k})_{mb_k \times 1}$ the corresponding normalized eigenvector, where $\theta_s^\tau = (\theta_s^{\tau 1}, \theta_s^{\tau 2}, \dots, \theta_s^{\tau m})_{m \times 1}$, $\tau = 1, 2, \dots, b_k$. Multiplying the equation (28) by θ_s , since C is symmetric (see (14) and (15)), we get

$$|\Lambda_N - \eta_s| |\mathcal{A}(N, h_1, h_2, \dots, h_{b_k}) \cdot \theta_s| = |\mathcal{O}(\rho^{-p\alpha}) \cdot \theta_s|. \quad (34)$$

By using $b_k = O(\rho^{\frac{d}{2}3^d\alpha})$, (30) and the Cauchy Schwartz Inequality for the right hand side of (34), we have

$$|\Lambda_N - \eta_s| |\mathcal{A}(N, h_1, h_2, \dots, h_{b_k}) \cdot \theta_s| = O(\rho^{-p\alpha + \frac{d}{4}3^d\alpha}). \quad (35)$$

So we need to prove that

$$|\mathcal{A}(N, h_1, h_2, \dots, h_{b_k}) \cdot \theta_s| > c_{10}\rho^{-\frac{d-1}{2}}, \quad (36)$$

from which the theorem follows.

For this purpose, we first consider the decomposition of the matrix C as $C = A + B$, where

$$A = \begin{bmatrix} |h_1|^2 I & & & 0 \\ & \ddots & & \\ 0 & & & |h_{b_k}|^2 I \end{bmatrix}, \quad B = \begin{bmatrix} V_0 & V_{h_1-h_2} & \cdots & V_{h_1-h_{b_k}} \\ V_{h_2-h_1} & V_0 & \cdots & V_{h_2-h_{b_k}} \\ \vdots & & \ddots & \vdots \\ V_{h_{b_k}-h_1} & V_{h_{b_k}-h_2} & \cdots & V_0 \end{bmatrix}. \quad (37)$$

The eigenvalues and the corresponding eigenspaces of the matrix A are $|h_\tau|^2$ and $E_\tau = \text{span}\{e_j : (\tau-1)m+1 \leq j \leq \tau m\}$, respectively, where

$$\{e_j = (0, \dots, 0, 1, 0, \dots, 0)\}_{j=1}^{mb_k}$$

is the standard basis of R^{mb_k} . Now, we use the following notation

$$\theta_s(h_{\tau,j}) \equiv \theta_s \cdot e_j = \theta_s^{\tau j}, \quad \text{if } (\tau-1)m+1 \leq j \leq \tau m, \quad (38)$$

for $\tau = 1, 2, \dots, b_k$.

Multiplying $(A+B)\theta_s = \eta_s\theta_s$ by e_j , since A and B are symmetric, we get

$$(\eta_s - |h_\tau|^2)\theta_s(h_{\tau,j}) = \theta_s \cdot B e_j \quad (39)$$

and $(\tau-1)m+1 \leq j \leq \tau m$, and $\tau = 1, 2, \dots, b_k$.

On the other hand, if we consider the sum of the elements in the i -th row of the matrix B , by (8)

$$\sum_{\substack{\tau=1 \\ \tau \neq i}}^{b_k} \sum_{j=1}^m v_{ijh_i-h_\tau} < \sum_{j=1}^m M_{ij}, \quad (40)$$

for all $i = 1, 2, \dots, m$. Since B is a symmetric matrix and by (40), the sum of elements in each row of B is less than $M = \max_{i=1,2,\dots,m} \{\sum_{j=1}^m M_{ij}\}$, the eigenvalues of B are also less than M from which we have $\|B\| \leq M$.

Thus, by (26), (36), (38), we have

$$|\mathcal{A}(N, h_1, \dots, h_{b_k}) \cdot \theta_s| = |\langle \psi_N, \sum_{\tau=1}^{b_k} \sum_{j=1}^m \theta_s(h_{\tau,j}) \phi_{h_{\tau,j}} \rangle|, \quad (41)$$

which, together with Parseval's relation, imply

$$\begin{aligned}
1 &= \left\| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \Phi_{h_{\tau,i}} \right\|^2 \\
&= \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\
&\quad + \sum_{N: |\Lambda_N - |\gamma|^2| < \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2. \tag{42}
\end{aligned}$$

Now we estimate the first summation in the expression (42):

$$\begin{aligned}
&\sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\
&= \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau: |\eta_s - |h_{\tau}|^2| < \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right. \\
&\quad \left. + \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\
&< 2 \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau: |\eta_s - |h_{\tau}|^2| < \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\
&\quad + 2 \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2. \tag{43}
\end{aligned}$$

Using Bessel's inequality, Parseval's relation, orthogonality of the functions $\Phi_{h_{\tau,i}}(x)$, $\tau = 1, 2, \dots, b_k$, $i = 1, 2, \dots, m$, the binding formula (39) and $\|B\| \leq M$, we have

$$\begin{aligned}
&\sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2} \rho^{2\alpha_1}} \left| \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\
&\leq \left\| \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \Phi_{h_{\tau,i}} \right\|^2 \\
&= \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m |\theta_s(h_{\tau,i})|^2 \|\Phi_{h_{\tau,i}}\|^2 \\
&= \sum_{\tau: |\eta_s - |h_{\tau}|^2| \geq \frac{1}{8} \rho^{\alpha_1}} \sum_{i=1}^m \frac{|\theta_s \cdot Be_i|^2}{|\eta_s - |h_{\tau}|^2|^2} = O(\rho^{-2\alpha_1}). \tag{44}
\end{aligned}$$

The assumption $|\eta_s - |\gamma|^2| < \frac{3}{8}\rho^{\alpha_1}$ of the theorem and $|\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}$ imply that $||\gamma|^2 - |h_\tau|^2| < \frac{1}{2}\rho^{\alpha_1}$. So by the well-known formula

$$\frac{1}{\Lambda_N - |h_\tau|^2} = \frac{1}{\Lambda_N - |\gamma|^2} \left\{ \sum_{n=0}^k \left(\frac{|h_\tau|^2 - |\gamma|^2}{\Lambda_N - |\gamma|^2} \right)^n + O(\rho^{-(k+1)\alpha_1}) \right\},$$

for $|\Lambda_N - |\gamma|^2| \geq \frac{1}{2}\rho^{2\alpha_1}$, and $||\gamma|^2 - |h_\tau|^2| < \frac{1}{2}\rho^{2\alpha_1}$, using (39), we have

$$\begin{aligned} & \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \\ &= \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \frac{\langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle}{\Lambda_N - |h_\tau|^2} \right|^2 \\ &\leq \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} (k+1) \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \frac{\theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle}{\Lambda_N - |\gamma|^2} \right|^2 \\ &+ \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} (k+1) \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \frac{\theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle}{\Lambda_N - |\gamma|^2} \frac{|h_\tau|^2 - |\gamma|^2}{\Lambda_N - |\gamma|^2} \right|^2 \\ &\quad \vdots \\ &+ \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} (k+1) \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \frac{\theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle}{\Lambda_N - |\gamma|^2} \left[\frac{|h_\tau|^2 - |\gamma|^2}{\Lambda_N - |\gamma|^2} \right]^k \right|^2 \\ &+ \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} (k+1) \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle O(\rho^{-(k+1)\alpha_1}) \right|^2. \end{aligned} \tag{45}$$

To calculate the order of each term in (44), we use Bessel's inequality and the orthogonality of $\Phi_{h_{\tau,i}}$. So we have

$$\begin{aligned} & 2 \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} (k+1) \\ & \times \left| \sum_{\tau:|\eta_s-|h_\tau|^2|<\frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_{\tau,i}} \rangle \frac{(|h_\tau|^2 - |\gamma|^2)^r}{(\Lambda_N - |\gamma|^2)^{r+1}} \right|^2 \\ &= 2 \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} \frac{(k+1)}{|\Lambda_N - |\gamma|^2|^{2(r+1)}} \end{aligned}$$

$$\begin{aligned}
& \times \left| \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_\tau,i} \rangle (|h_\tau|^2 - |\gamma|^2)^r \right|^2 \\
& \leq c_{11}(\rho^{2\alpha_1})^{-2(r+1)}(k+1) \\
& \times \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2}\rho^{2\alpha_1}} \left| \langle \Psi_N, \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i})(|h_\tau|^2 - |\gamma|^2)^r V\Phi_{h_\tau,i} \rangle \right|^2 \\
& \leq c_{12}(\rho^{2\alpha_1})^{-2(r+1)}(k+1) \left\| \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i})(|h_\tau|^2 - |\gamma|^2)^r V\Phi_{h_\tau,i} \right\|^2 \\
& \leq c_{13}(\rho^{2\alpha_1})^{-2(r+1)}(k+1) \left(\sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \|\theta_s(h_{\tau,i})(|h_\tau|^2 - |\gamma|^2)^r V\Phi_{h_\tau,i}\| \right)^2 \\
& = c_{14}(\rho^{2\alpha_1})^{-2(r+1)}(k+1) \left(\sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m |\theta_s(h_{\tau,i})| |h_\tau|^2 - |\gamma|^2|^r \|V\Phi_{h_\tau,i}\| \right)^2 \\
& \leq c_{15}(\rho^{2\alpha_1})^{-2(r+1)} \left(\frac{1}{2}\rho^{\alpha_1}\right)^{2r} (k+1) \left(\sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \|V\Phi_{h_\tau,i}\| \right)^2 = O(\rho^{-2(r+1)\alpha_1}), \tag{46}
\end{aligned}$$

for $r = 0, 1, 2, \dots, k$. Now let K be the number of h_τ satisfying $|\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}$, then the order of the last summation in (46) is:

$$\begin{aligned}
& \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2}\rho^{2\alpha_1}} (k+1) \\
& \times \left| \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, V\Phi_{h_\tau,i} \rangle O(\rho^{-(k+1)\alpha_1}) \right|^2 \\
& \leq K \sum_{N: |\Lambda_N - |\gamma|^2| \geq \frac{1}{2}\rho^{2\alpha_1}} (k+1) \\
& \times \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} |O(\rho^{-(k+1)\alpha_1})|^2 \cdot |\theta_s(h_{\tau,i})|^2 \cdot |\langle \Psi_N, V\Phi_{h_\tau,i} \rangle|^2 \\
& \leq c_{16} \cdot K \cdot \rho^{-2(k+1)\alpha_1} \cdot \sum_{\tau: |\eta_s - |h_\tau|^2| < \frac{1}{8}\rho^{\alpha_1}} \|V(x)\Phi_{h_\tau,i}\|^2 \\
& \leq c_{17} \cdot K^2 \cdot M^2 \cdot \rho^{-2(k+1)\alpha_1} = K^2 \cdot O(\rho^{-2(k+1)\alpha_1}) = O(\rho^{-2\alpha_1}),
\end{aligned}$$

since $K = O(\rho^{\frac{d}{2}\alpha_d})$ and we can always choose k in $O(\rho^{-2(k+1)\alpha_1})$ such that

$$K^2 \cdot O(\rho^{-2(k+1)\alpha_1}) = O(\rho^{-2\alpha_1}), \quad (47)$$

which together with the estimations (44), (45) and (46) imply

$$O(\rho^{-2\alpha_1}) = \sum_{N:|\Lambda_N-|\gamma|^2|\geq\frac{1}{2}\rho^{2\alpha_1}} \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2.$$

Therefore, from the decomposition (42) we have

$$1 - O(\rho^{-2\alpha_1}) = \sum_{N:|\Lambda_N-|\gamma|^2|<\frac{1}{2}\rho^{2\alpha_1}} \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2.$$

Since the number of indexes N satisfying $|\Lambda_N - |\gamma|^2| < \frac{1}{2}\rho^{2\alpha_1}$ is less than ρ^{d-1} , we have

$$1 - O(\rho^{-2\alpha_1}) \leq \rho^{d-1} \max_{N:|\Lambda_N-|\gamma|^2|<\frac{1}{2}\rho^{2\alpha_1}} \left\{ \left| \sum_{\tau=1}^{b_k} \sum_{i=1}^m \theta_s(h_{\tau,i}) \langle \Psi_N, \Phi_{h_{\tau,i}} \rangle \right|^2 \right\}$$

which implies together with the relation (41) that

$$|A(N, h_1, h_2, \dots, h_{b_k}) \cdot \theta_s|^2 \geq \frac{1 - O(\rho^{-2\alpha_1})}{\rho^{d-1}}. \quad (48)$$

It follows from the equation (35) and the estimation (48) that

$$\Lambda_N = \eta_s + \frac{O(\rho^{-p\alpha + \frac{d}{4}3^d\alpha})}{O(\rho^{-\frac{d-1}{2}})},$$

that is, (36) holds. \square

3. ASYMPTOTIC FORMULAS FOR THE EIGENVALUES IN A SINGLE RESONANCE DOMAIN

Now, we investigate in detail the eigenvalues of $L(V)$ in a single resonance domain. In order the inequalities

$$0 < \alpha < \frac{1}{d+20}, \quad 2\alpha_2 - \alpha_1 + (d+3)\alpha < 1 \quad (49)$$

and

$$\alpha_2 > 2\alpha_1, \quad (50)$$

to be satisfied, we can choose α , α_1 and α_2 as follows

$$\alpha = \frac{1}{d+p}, \quad \alpha_1 = \frac{p_2}{d+p}, \quad \alpha_2 = \frac{2p_2+1}{d+p},$$

where $p_2 = \lceil \frac{p-5}{3} \rceil - 1$. Let $\gamma \in V_\delta(\rho^{\alpha_1}) \setminus E_2$, $\delta \in \frac{\Gamma}{2} \setminus \{e_i\}$, where δ is minimal in its direction. Consider the following sets :

$$\begin{aligned} B_1(\delta) &= \{b : b = n\delta, n \in Z, |b| < \frac{1}{2}\rho^{\frac{1}{2}\alpha_2}\}, \\ B_1(\gamma) &= \gamma + B_1(\delta) = \{\gamma + b : b \in B_1(\delta)\}, \\ B_1(\gamma, p_1) &= B_1(\gamma) + \Gamma(p_1\rho^\alpha). \end{aligned}$$

As before, denote by h_τ , $\tau = 1, 2, \dots, b_1$ the vectors of $B_1(\gamma, p_1)$, where b_1 is the number of vectors in $B_1(\gamma, p_1)$. Then the matrix $C(\gamma, \delta) = (c_{ij})$, $i, j = 1, 2, \dots, mb_1$ is defined by

$$C(\gamma, \delta) = \begin{bmatrix} |h_1|^2 I - V_0 & V_{h_1-h_2} & \cdots & V_{h_1-h_{b_1}} \\ V_{h_2-h_1} & |h_2|^2 I - V_0 & \cdots & V_{h_2-h_{b_1}} \\ \vdots & \vdots & \ddots & \vdots \\ V_{h_{b_1}-h_1} & V_{h_{b_1}-h_2} & \cdots & |h_{b_1}|^2 I - V_0 \end{bmatrix}, \quad (51)$$

where $V_{h_\tau-h_\xi}$, $\tau, \xi = 1, 2, \dots, b_1$ are the $m \times m$ matrices defined by (15).

Also we define the matrix $D(\gamma, \delta) = (c_{ij})$ for $i, j = 1, 2, \dots, ma_1$, where h_1, h_2, \dots, h_{a_1} are the vectors of $B_1(\gamma, p_1) \cap \{\gamma + n\delta : n \in Z\}$, and a_1 is the number of vectors in $B_1(\gamma, p_1) \cap \{\gamma + n\delta : n \in Z\}$. Clearly $a_1 = O(\rho^{\frac{1}{2}\alpha_2})$.

Lemma 3. *a) If η_{j_s} is an eigenvalue of the matrix $C(\gamma, \delta)$ such that $|\eta_{j_s} - |h_s|^2| < M$ for $s = 1, 2, \dots, a_1$, $1 + (s-1)m \leq j_s \leq ms$, then*

$$|\eta_{j_s} - |h_\tau|^2| > \frac{1}{4}\rho^{\alpha_2}, \quad \forall \tau = a_1 + 1, a_1 + 2, \dots, b_1.$$

b) If η_{j_s} is an eigenvalue of the matrix $C(\gamma, \delta)$ such that $|\eta_{j_s} - |h_s|^2| < M$ for $s = a_1 + 1, a_1 + 2, \dots, b_1$ and $1 + (s-1)m \leq j_s \leq ms$, then

$$|\eta_{j_s} - |h_\tau|^2| > \frac{1}{4}\rho^{\alpha_2}, \quad \forall \tau = 1, 2, \dots, a_1.$$

Proof. First we prove

$$||h_\tau|^2 - |h_s|^2| \geq \frac{1}{3}\rho^{\alpha_2}, \quad \forall s \leq a_1, \quad \forall \tau > a_1. \quad (52)$$

By definition, if $s \leq a_1$ then $h_s = \gamma + n\delta$, where $|n\delta| < \frac{1}{2}\rho^{\frac{1}{2}\alpha_2} + p_1\rho^\alpha$. If $\tau > a_1$ then $h_\tau = \gamma + s'\delta + a$, where $|s'\delta| < \frac{1}{2}\rho^{\frac{1}{2}\alpha_2}$, $a \in \Gamma(p_1\rho^\alpha) \setminus \delta R$. Therefore

$$|h_\tau|^2 - |h_s|^2 = 2\gamma \cdot a + 2s'\delta \cdot a + 2s'\gamma \cdot \delta + |s'\delta|^2 + |a|^2 - 2n\gamma \cdot \delta - |n\delta|^2.$$

Since $\gamma \notin V_a(\rho^{\alpha_2})$, $|a| < p_1\rho^\alpha$, we have

$$|2\gamma \cdot a| > \rho^{\alpha_2} - c_0\rho^{2\alpha}.$$

The relation $\gamma \in V_\delta(\rho^{\alpha_1})$ and the inequalities for s' and n imply that

$$2s'\gamma \cdot \delta + 2s'\gamma \cdot a + |a|^2 - 2n\gamma \cdot \delta = O(\rho^{\frac{1}{2}\alpha_2 + \alpha_1}),$$

$$||s'\delta|^2 - |n\delta|^2| < \frac{1}{4}\rho^{\alpha_2} + c_0\rho^{\frac{1}{2}\alpha_2+\alpha}.$$

Thus (52) follows from these relations, since $\frac{1}{2}\alpha_2 + \alpha_1 < \alpha_2$ and $\frac{1}{2}\alpha_2 + \alpha < \alpha_2$.

The eigenvalues of $D(\gamma, \delta)$ and $C(\gamma, \delta)$ lay in M -neighborhood of the numbers $|h_k|^2$ for $k = 1, 2, \dots, a_1$ and for $k = 1, 2, \dots, b_1$, respectively. The inequality (52) shows that one can enumerate the eigenvalues η_j ($j = 1, 2, \dots, mb_1$) of C in the following way:

$$\eta_j \equiv \eta_{j_s}, \quad j_s \leq ma_1, \quad 1 + (s-1)m \leq j_s \leq sm$$

when for $s \leq a_1$, η_j lay in M -neighborhood of $|h_s|^2$ and

$$\eta_j \equiv \eta_{j_\tau}, \quad j_\tau \geq ma_1, \quad 1 + (\tau-1)m \leq j_\tau \leq \tau m$$

when for $\tau > a_1$, η_j lay in M -neighborhood $|h_\tau|^2$. Then by (52), we get

$$|\eta_{j_s} - |h_\tau|^2| > \frac{1}{4}\rho^{\alpha_2}, \quad (53)$$

for $s \leq a_1$, $\tau > a_1$ and $s > a_1$, $\tau \leq a_1$. \square

Now, using the notation $h_s = \gamma - (\frac{s}{2})\delta$ if s is even, $h_s = \gamma + (\frac{s-1}{2})\delta$ if s is odd, for $s = 1, 2, \dots, a_1$, (without loss of generality assume that a_1 is even) and using the orthogonal decomposition of $\gamma \in \frac{\Gamma}{2}$, $\gamma = \beta + (l + v(\beta))\delta$, where $\beta \in H_\delta \equiv \{x \in R^d : x \cdot \delta = 0\}$, $l \in Z$, $v \in [0, 1)$ we can write the matrix $D(\gamma, \delta)$ as

$$D(\gamma, \delta) = |\beta|^2 I + E(\gamma, \delta), \quad (54)$$

where I is a maximal identity matrix and $E(\gamma, \delta)$ is

$$E(\gamma, \delta) = \begin{bmatrix} ((u+v)^2|\delta|^2)I + v_0 & v_\delta & v_{-\delta} & \dots & v_{\frac{a_1}{2}\delta} \\ v_{-\delta} & ((u-1+v)^2|\delta|^2)I + v_0 & v_{-2\delta} & \dots & v_{(\frac{a_1}{2}-1)\delta} \\ v_\delta & v_{2\delta} & ((u+1+v)^2|\delta|^2)I + v_0 & \dots & v_{(\frac{a_1}{2}+1)\delta} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ v_{-\frac{a_1}{2}\delta} & \cdot & \cdot & \dots & ((u-\frac{a_1}{2}+v)^2|\delta|^2)I + v_0 \end{bmatrix}$$

Denote $n_k = -\frac{k}{2}$ if k is even, $n_k = \frac{k-1}{2}$ if k is odd. The system $\{e^{i(n_k+v)t} : k = 1, 2, \dots\}$ is a basis in $L_2^m[0, 2\pi]$. Let $T(\gamma, \delta) \equiv T(P(t), \beta)$ be the operator in ℓ_2 corresponding to the Sturm-Liouville operator T , generated by

$$-|\delta|^2 Y''(t) + P(t)Y(t) = \mu Y(t), \quad (55)$$

$$Y(t+2\pi) = e^{i2\pi v(\beta)} Y(t),$$

where $P(t) = (p_{ij}(t))$, $p_{ij}(t) = \sum_{k=1}^{\infty} v_{ijn_k\delta} e^{in_k t}$, $v_{ijn_k\delta} = (v_{ij}(x), \frac{1}{|A_{n_k\delta}|} \sum_{\alpha \in A_{n_k\delta}} e^{i(\alpha \cdot x)})$,

$t = x \cdot \delta$. It means that $T(\gamma, \delta)$ is the infinite matrix $(Te^{i(l+n_k+v)t}, e^{i(l+n_m+v)t})$, $k, m = 1, 2, \dots$.

To find the relation between the eigenvalues of $L(V)$ in a single resonance domain and the eigenvalues of the Sturm-Liouville operators defined by (55), we need the following theorems.

Theorem 4. *Let $\gamma \in V_\delta(\rho^{\alpha_1}) \setminus E_2$ and $|\gamma| \sim \rho$. Then, for any eigenvalue $\eta_{j_s}(\gamma)$ of the matrix $C(\gamma, \delta)$ satisfying*

$$|\eta_{j_s} - |h_s|^2| < M, \quad 1 + (s-1)m \leq j_s \leq sm, \quad s = 1, 2, \dots, a_1 \quad (56)$$

there exists an eigenvalue $\tilde{\eta}_{k(j_s)}$ of the matrix $D(\gamma, \delta)$ such that

$$\eta_{j_s} = \tilde{\eta}_{k(j_s)} + O(\rho^{-\frac{3}{4}\alpha_2}).$$

Proof. Let η_{j_s} be an eigenvalue of the matrix $C(\gamma, \delta)$ satisfying (56) and $\theta_{j_s} = (\theta_{j_s}^1, \theta_{j_s}^2, \dots, \theta_{j_s}^{b_1})_{mb_1 \times 1}$ be the corresponding normalized eigenvector, $|\theta_{j_s}| = 1$. Now, we consider the decomposition $C = A + B$ and the matrices A, B which are defined in (37). Writing the binding formula (39) for η_{j_s} and using (38), we get

$$(\eta_{j_s} - |h_\tau|^2)\theta_{j_s}(h_{\tau,i}) = \theta_{j_s} \cdot Be_i, \quad (57)$$

$$\tau = 1, 2, \dots, b_1, \quad 1 + (\tau-1)m \leq i \leq \tau m.$$

For simplicity, we use the following notation in the sequel:

$$e_{\zeta,k} = e_k \quad \text{if } 1 + (\zeta-1)m \leq k \leq \zeta m, \quad \zeta = 1, \dots, b_1,$$

$$Be_i \cdot e_{k_1} = Be_{\tau,i} \cdot e_{\xi,k_1} = b(\tau, i, \xi, k_1).$$

Thus, substituting the orthogonal decomposition

$$Be_i = Be_{\tau,i} = \sum_{\substack{\xi=1,2,\dots,b_1 \\ 1+(m-1)\xi \leq k_1 \leq m\xi}} b(\tau, i, \xi, k_1) e_{\xi,k_1}$$

into the formula (57), we get

$$\begin{aligned} (\eta_{j_s} - |h_\tau|^2)\theta_{j_s}(h_{\tau,i}) &= \theta_{j_s} \cdot \sum_{\substack{\xi=1,2,\dots,b_1 \\ 1+(m-1)\xi \leq k_1 \leq m\xi}} b(\tau, i, \xi, k_1) e_{\xi,k_1} \\ &= \sum_{\substack{\xi=1,2,\dots,b_1 \\ 1+(m-1)\xi \leq k_1 \leq m\xi}} b(\tau, i, \xi, k_1) \theta_{j_s} \cdot e_{\xi,k_1} \\ &= \sum_{\substack{\xi=1,2,\dots,b_1 \\ 1+(m-1)\xi \leq k_1 \leq m\xi}} b(\tau, i, \xi, k_1) \theta_{j_s}(h_\xi, k_1). \end{aligned}$$

It is clear that

$$b(\tau, i, \xi, k_1) = \begin{cases} 0 & \text{if } \xi = \tau, \\ v_{k_1 i h_\xi - h_\tau} & \text{if } \xi \neq \tau, \end{cases}$$

which implies

$$\sum_{\substack{\xi=1,2,\dots,b_1 \\ 1+(m-1)\xi \leq k_1 \leq m\xi}} b(\tau, i, \xi, k_1) = \sum_{\xi=1,2,\dots,b_1} \frac{v_{k_1 i h_\xi - h_\tau}}{k_1 i h_\xi - h_\tau}.$$

Thus one has

$$\begin{aligned}
(\eta_{j_s} - |h_\tau|^2)\theta_{j_s}(h_\tau, i) &= \sum_{\substack{\xi=1,2,\dots,b_1 \\ v}} v_{k_1 i h_\xi - h_\tau} \theta_{j_s}(h_\xi, k_1) \\
&= \sum_{\substack{\xi=1,2,\dots,a_1 \\ v}} v_{k_1 i h_\xi - h_\tau} \theta_{j_s}(h_\xi, k_1) \\
&\quad + \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ v}} v_{k_1 i h_\xi - h_\tau} \theta_{j_s}(h_\xi, k_1). \tag{58}
\end{aligned}$$

Now, writing the equation (58) for all $h_\tau, \tau = 1, 2, \dots, a_1$, we get the system of linear algebraic equations:

$$\begin{aligned}
&(\eta_{j_s} - |h_1|^2)\theta_{j_s}(h_1, i) - \sum_{\substack{\xi=1,2,\dots,a_1 \\ v}} v_{k_1 i h_\xi - h_1} \theta_{j_s}(h_\xi, k_1) \\
&= \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ v}} v_{k_1 i h_\xi - h_1} \theta_{j_s}(h_\xi, k_1) \\
&(\eta_{j_s} - |h_2|^2)\theta_{j_s}(h_2, i) - \sum_{\substack{\xi=1,2,\dots,a_1 \\ v}} v_{k_1 i h_\xi - h_2} \theta_{j_s}(h_\xi, k_1) \\
&= \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ v}} v_{k_1 i h_\xi - h_2} \theta_{j_s}(h_\xi, k_1) \\
&\quad \vdots \\
&(\eta_{j_s} - |h_{a_1}|^2)\theta_{j_s}(h_{a_1}, i) - \sum_{\substack{\xi=1,2,\dots,a_1 \\ v}} v_{k_1 i h_\xi - h_{a_1}} \theta_{j_s}(h_\xi, k_1) \\
&= \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ v}} v_{k_1 i h_\xi - h_{a_1}} \theta_{j_s}(h_\xi, k_1) \tag{59}
\end{aligned}$$

Using the binding formula (57), the relation (53), and $\|B\| \leq M$, for any $\tau = 1, 2, \dots, a_1$, we find

$$\begin{aligned}
\left| \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ k_1=1,2,\dots,m \\ \xi \neq \tau}} v_{k_1 i h_\xi - h_\tau} \theta_{j_s}(h_\xi, k_1) \right| &= \left| \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ k_1=1,2,\dots,m \\ \xi \neq \tau}} v_{k_1 i h_\xi - h_\tau} \frac{\theta_{j_s} \cdot B e_{\xi, k_1}}{(\eta_{j_s} - |h_\xi|^2)} \right| \\
&\leq \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ k_1=1,2,\dots,m \\ \xi \neq \tau}} |v_{k_1 i h_\xi - h_\tau}| \frac{|\theta_{j_s}| \|B\| |e_{\xi, k_1}|}{(\eta_{j_s} - |h_\xi|^2)} \\
&\leq 4\rho^{-\alpha_2} M \sum_{\substack{\xi=a_1+1,\dots,b_1 \\ k_1=1,2,\dots,m \\ \xi \neq \tau}} |v_{k_1 i h_\xi - h_\tau}| \\
&\leq 4\rho^{-\alpha_2} M^2 \\
&= O(\rho^{-\alpha_2}) \tag{60}
\end{aligned}$$

and

$$\begin{aligned}
\sum_{\substack{\tau=a_1+1,\dots,b_1 \\ i=1,2,\dots,m}} |\theta_{j_s}(h_\tau, i)|^2 &= \sum_{\substack{\tau=a_1+1,\dots,b_1 \\ i=1,2,\dots,m}} \left| \frac{\theta_{j_s} \cdot B e_{\tau,i}}{(\eta_{j_s} - |h_\tau|^2)} \right|^2 \\
&= \sum_{\substack{\tau=a_1+1,\dots,b_1 \\ i=1,2,\dots,m}} \frac{|B \theta_{j_s} \cdot e_{\tau,i}|^2}{(\eta_{j_s} - |h_\tau|^2)^2} \\
&\leq 16M^2 \rho^{-2\alpha_2} \\
&= O(\rho^{-2\alpha_2}). \tag{61}
\end{aligned}$$

By (60) and (54), (59) becomes

$$[\theta_{j_s}^1, \theta_{j_s}^2, \dots, \theta_{j_s}^{a_1}]^t = (D(\gamma, \delta) - \eta_{j_s} I)^{-1} [O(\rho^{-\alpha_2}), O(\rho^{-\alpha_2}), \dots, O(\rho^{-\alpha_2})]^t. \tag{62}$$

By the Parseval's identity and (61), we get

$$\begin{aligned}
\sum_{\substack{\tau=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\theta_{j_s}(h_\tau, i)|^2 &= \sum_{\substack{\tau=1,2,\dots,b_1 \\ i=1,2,\dots,m}} |\theta_{j_s}(h_\tau, i)|^2 - \sum_{\substack{\tau=a_1+1,\dots,b_1 \\ i=1,2,\dots,m}} |\theta_{j_s}(h_\tau, i)|^2 \\
&\geq 1 - O(\rho^{-2\alpha_2}).
\end{aligned}$$

Now, taking norm of both sides in (62) and using the above inequality we have

$$\sqrt{1 - O(\rho^{-2\alpha_2})} < \left(\sum_{\substack{\tau=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\theta_{j_s}(h_\tau, i)|^2 \right)^{\frac{1}{2}} \leq \|(D(\gamma, \delta) - \eta_{j_s} I)^{-1}\| O(\sqrt{a_1} \rho^{-\alpha_2}).$$

Thus

$$\max |\eta_{j_s} - \tilde{\eta}_{k(j_s)}|^{-1} > \frac{\sqrt{1 - O(\rho^{-2\alpha_2})}}{\sqrt{a_1} \rho^{-\alpha_2}},$$

or

$$\min |\eta_{j_s} - \tilde{\eta}_{k(j_s)}| = O(\sqrt{a_1} \rho^{-\alpha_2}) = O(\rho^{-\frac{3}{4}\alpha_2}),$$

where the maximum (minimum) is taken over all $\tilde{\eta}_{k(j_s)}$, $s = 1, 2, \dots, a_1$. So the result follows. \square

Theorem 5. For any eigenvalue $\tilde{\eta}_\tau$ of the matrix $D(\gamma, \delta)$, there exists an eigenvalue $\eta_{j_s(\tau)}$ of the matrix $C(\gamma, \delta)$ such that

$$\eta_{j_s(\tau)} = \tilde{\eta}_\tau + O(\rho^{-\frac{1}{2}\alpha_2})$$

Proof. Define the matrix $D' = D'(\gamma, \delta)$ by

$$D' = \begin{bmatrix} |h_1|^{2I} - V_0 & V_{h_1-h_2} & \cdots & V_{h_1-h_{a_1}} & 0 & 0 & \cdots & 0 \\ V_{h_2-h_1} & |h_2|^{2I} - V_0 & \cdots & V_{h_2-h_{a_1}} & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ V_{h_{a_1}-h_1} & V_{h_{a_1}-h_2} & \cdots & |h_{a_1}|^{2I} - V_0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & |h_{a_1+1}|^{2I} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & 0 & 0 & |h_{b_1-1}|^{2I} & 0 \\ 0 & 0 & \cdots & 0 & 0 & 0 & 0 & |h_{b_1}|^{2I} \end{bmatrix} \quad (63)$$

So that the spectrum of the matrix D' is

$$\begin{aligned} \text{spec}(D') &= \text{spec}(D(\gamma, \delta)) \cup \{|h_{a_1+1}|^2, |h_{a_1+2}|^2, \dots, |h_{b_1}|^2\} \\ &\equiv \{\tilde{\eta}_1, \tilde{\eta}_2, \dots, \tilde{\eta}_{ma_1}, |h_{a_1+1}|^2, |h_{a_1+2}|^2, \dots, |h_{b_1}|^2\}. \end{aligned}$$

Let us denote by $\Upsilon_\tau = (\Upsilon_\tau^1, \Upsilon_\tau^2, \dots, \Upsilon_\tau^{a_1}, 0, \dots, 0)_{mb_1 \times 1}$, $\Upsilon_\tau^i = (\Upsilon_\tau^{i1}, \Upsilon_\tau^{i2}, \dots, \Upsilon_\tau^{im})_{m \times 1}$ the normalized eigenvector corresponding to the τ -th eigenvalue of the matrix D' , for $\tau = 1, 2, \dots, ma_1$ and by $\{e_{k,i}\}_{i=1,2,\dots,m}$ the eigenvector corresponding to the k -th eigenvalue $|h_k|^2$ of D' , for $k = a_1 + 1, a_1 + 2, \dots, b_1$.

Now, using (62) from the previous theorem, we have

$$\begin{aligned} &(D' - \eta_{j_s} I) [\theta_{j_s}^1, \theta_{j_s}^2, \dots, \theta_{j_s}^{b_1}]^t \\ &= [(D(\gamma, \delta) - \eta_{j_s} I) [\theta_{j_s}^1, \theta_{j_s}^2, \dots, \theta_{j_s}^{a_1}]^t, (|h_{a_1+1}|^2 - \eta_{j_s}) \theta_{j_s}^{a_1+1}, \dots, (|h_{b_1}|^2 - \eta_{j_s}) \theta_{j_s}^{b_1}] \\ &= [O(\rho^{-\alpha_2}), \dots, O(\rho^{-\alpha_2}), (|h_{a_1+1}|^2 - \eta_{j_s}) \theta_{j_s}^{a_1+1}, \dots, (|h_{b_1}|^2 - \eta_{j_s}) \theta_{j_s}^{b_1}]. \end{aligned}$$

Taking inner product of both sides of the last equality by Υ_τ for $\tau = 1, 2, \dots, ma_1$, using that D' is symmetric and $D' \Upsilon_\tau = \tilde{\eta}_\tau \Upsilon_\tau$ we have

$$(\eta_{j_s(\tau)} - \tilde{\eta}_\tau) \sum_{k=1}^{a_1} \theta_{j_s}^k \cdot \Upsilon_\tau^k = \sum_{k=1}^{a_1} O(\rho^{-\alpha_2}) \Upsilon_\tau^k, \quad (64)$$

For the right hand side of the equation (64) using the Cauchy-Schwarz inequality, we get

$$\left| \sum_{k=1}^{a_1} O(\rho^{-\alpha_2}) \Upsilon_\tau^k \right| \leq \sqrt{\sum_{k=1}^{a_1} O(\rho^{-\alpha_2})^2} \sqrt{\sum_{k=1}^{a_1} |\Upsilon_\tau^k|^2} \leq \sqrt{a_1 (\rho^{-\alpha_2})^2} = O(\sqrt{a_1} \rho^{-\alpha_2}),$$

where $a_1 = O(\rho^{\frac{1}{2}\alpha_2})$. Thus, the equation (64) can be written as

$$(\eta_{j_s(\tau)} - \tilde{\eta}_\tau) \sum_{k=1}^{a_1} \theta_{j_s}^k \cdot \Upsilon_\tau^k = O(\rho^{-\frac{3}{4}\alpha_2}). \quad (65)$$

In order to get the result, we need to show that for any $\tau = 1, 2, \dots, ma_1$ there exists $\theta_{j_s(\tau)}$ such that

$$\left| \sum_{k=1}^{a_1} \theta_{j_s(\tau)}^k \cdot \Upsilon_\tau^k \right| = |\theta_{j_s(\tau)} \cdot \Upsilon_\tau| > \sqrt{\frac{1 - O(\rho^{-\frac{3}{2}\alpha_2})}{ma_1}} > c_{18} \rho^{-\frac{1}{4}\alpha_2}. \quad (66)$$

For this, we consider the orthogonal decomposition $\Upsilon_\tau = \sum_{s=1}^{mb_1} (\Upsilon_\tau \cdot \theta_{j_s}) \theta_{j_s}$ and the Parseval's identity

$$1 = \sum_{s=1}^{mb_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2 = \sum_{s=1}^{ma_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2 + \sum_{s=ma_1+1}^{mb_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2.$$

First, let us show that

$$\sum_{s=ma_1+1}^{mb_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2 = O(\rho^{-\frac{3}{2}\alpha_2}). \quad (67)$$

Using the decomposition $\Upsilon_\tau = \sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} (\Upsilon_\tau \cdot e_{k,i}) e_{k,i}$, the binding formula (57) for

$C(\gamma, \delta)$ and A , the relation (53), and the Bessel's inequality we obtain the estimation

$$\begin{aligned} & \sum_{s=ma_1+1}^{mb_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2 \\ &= \sum_{s=ma_1+1}^{mb_1} \left| \left(\sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} \Upsilon_\tau^{ki} e_{k,i} \right) \cdot \theta_{j_s} \right|^2 \\ &= \sum_{s=ma_1+1}^{mb_1} \left| \sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} \Upsilon_\tau^{ki} (e_{k,i} \cdot \theta_{j_s}) \right|^2 = \sum_{s=ma_1+1}^{mb_1} \left| \sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} \Upsilon_\tau^{ki} \frac{\theta_{j_s} \cdot B e_{k,i}}{(\eta_{j_s} - |h_k|^2)} \right|^2 \\ &\leq 16 \sum_{s=ma_1+1}^{mb_1} \rho^{-2\alpha_2} \left(\sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\Upsilon_\tau^{ki}| |\theta_{j_s} \cdot B e_{k,i}| \right)^2 \\ &\leq \sum_{s=ma_1+1}^{mb_1} 16 |a_1| m \rho^{-2\alpha_2} \left(\sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\Upsilon_\tau^{ki}|^2 |\theta_{j_s} \cdot B e_{k,i}|^2 \right) \\ &\leq 16 \rho^{-2\alpha_2} |a_1| m \sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\Upsilon_\tau^{ki}|^2 \sum_{s=ma_1+1}^{mb_1} |\theta_{j_s} B e_{k,i}|^2 \end{aligned}$$

$$\begin{aligned}
&\leq 16\rho^{-2\alpha_2}|a_1|m \sum_{\substack{k=1,\dots,a_1 \\ i=1,2,\dots,m}} |\Upsilon_\tau^{ki}|^2 |Be_{k,i}|^2 \leq 16\rho^{-2\alpha_2}|a_1|mM^2 \sum_{\substack{k=1,2,\dots,a_1 \\ i=1,2,\dots,m}} |\Upsilon_\tau^{ki}|^2 \\
&\leq 16|a_1|m\rho^{-2\alpha_2}M^2 = O(\rho^{-\frac{3}{2}\alpha_2}).
\end{aligned}$$

Therefore one has

$$\sum_{s=1}^{ma_1} |\Upsilon_\tau \cdot \theta_{j_s}|^2 = 1 - O(\rho^{-\frac{3}{2}\alpha_2})$$

from which it follows that there exists an eigenvector $\theta_{j_s(\tau)}$ such that (66) holds. Dividing both sides of (65) by (66) we get the result

$$\eta_{j_s(\tau)} = \widetilde{\eta}_\tau + O(\rho^{-\frac{1}{2}\alpha_2}).$$

□

Theorem 6. For every eigenvalue ς_s of the Sturm-Liouville operator $T(\gamma, \delta)$, there exists an eigenvalue $\widetilde{\varsigma}_s$ of the matrix $E(\gamma, \delta)$ such that

$$\varsigma_s = \widetilde{\varsigma}_s + O(\rho^{-\frac{3}{4}\alpha_2}).$$

Proof. Decompose the infinite matrix $T(\gamma, \delta)$ as $T(\gamma, \delta) = \widetilde{A} + \widetilde{B}$ where the matrix \widetilde{A} is defined by

$$\widetilde{A} = \begin{bmatrix} ((l+v)^2|\delta|^2)I + V_0 & & & 0 \\ & ((l-1+v)^2|\delta|^2)I + V_0 & & \\ & & \ddots & \\ 0 & & & ((l-\frac{a_1}{2}+v)^2|\delta|^2)I + V_0 \end{bmatrix} \quad (68)$$

and $\widetilde{B} = T(\gamma, \delta) - \widetilde{A}$. Let ς_s be an eigenvalue of $T(\gamma, \delta)$, and $\Theta_s = (\Theta_s^1, \Theta_s^2, \Theta_s^3, \dots)$, $\Theta_s^\tau = (\Theta_s^{\tau 1}, \dots, \Theta_s^{\tau m})$ be the corresponding normalized eigenvector, that is, $T\Theta_s = \varsigma_s\Theta_s$. $\text{span}\{e_i : (\tau-1)m+1 \leq i \leq \tau m\}$ is the eigenspace of the matrix \widetilde{A} which corresponds to the eigenvalue $|(\tau'+v)\delta|^2$, where $\tau' = l - \frac{\tau}{2}$ if τ is even, $\tau' = l + \frac{\tau-1}{2}$ if τ is odd, for $\tau = 1, 2, \dots$ and $\{e_i\}$ is the standard basis for l_2 .

One can easily verify that

$$\left(\varsigma_s - |(\tau'+v)\delta|^2\right)\Theta_s^\tau = \Theta_s \cdot \widetilde{B}e_{\tau,i}, \quad (69)$$

where $e_{\tau,i} \equiv e_i$, if $(m-1)\tau+1 \leq i \leq m\tau$.

Using the orthogonal decomposition $\widetilde{B}e_{\tau,i} = \sum_{j=1}^m \sum_{k=1}^\infty (\widetilde{B}e_{\tau,i} \cdot e_{k,j})e_{k,j}$, (69) reduces to

$$\left(\varsigma_s - |(\tau'+v)\delta|^2 - |v_{i i 0}|^2\right)\Theta_s^{\tau i} = \sum_{j=1}^m \sum_{k=1}^\infty (\widetilde{B}e_{\tau,i} \cdot e_{k,j})\Theta_s^{kj}$$

and since $\widetilde{B}e_{\tau,i} \cdot e_{k,j} = v_{ji(n_k-n_\tau)\delta}$ for $k \neq \tau$,

$$(\zeta_s - (\tau' + v)\delta^2)\Theta_s^{\tau i} - \sum_{j=1}^m \sum_{k=1}^{a_1} v_{ji(n_k-n_\tau)\delta} \Theta_s^{kj} = \sum_{j=1}^m \sum_{k=a_1+1}^{\infty} v_{ji(n_k-n_\tau)\delta} \Theta_s^{kj}. \quad (70)$$

Now take any eigenvalue ζ_s of $T(\gamma, \delta)$, satisfying $|\zeta_s - |(i' + v)\delta|^2| < \sup|P(t)|$ for $s = 1, 2, \dots, \frac{ma_1}{2}$, where $i' = l - \frac{s}{2}$ if s is even, $i' = l + \frac{s-1}{2}$ if s is odd. The relations $\gamma \in V_\delta(\rho^{\alpha_1})$ ($\delta \neq e_i$) and $\gamma = \beta + (l + v)\delta$, $\beta \cdot \delta = 0$ imply

$$|2\gamma \cdot \delta + |\delta|^2| = |(l + v)\delta|^2 + |\delta|^2 < \rho^{\alpha_1}, \quad |l| < c_{19}\rho^{\alpha_1}.$$

Therefore, using the definition of i' and τ' , we have

$$|(i' + v)\delta| < \frac{|a_1\delta|}{4} + c_{20}\rho^{\alpha_1}$$

for $s = 1, 2, \dots, \frac{a_1}{2}$ and

$$|(\tau' + v)\delta| > \frac{|a_1\delta|}{2} - c_{21}\rho^{\alpha_1}$$

for $\tau > a_1$. Since $|a_1| > c_{22}\rho^{\frac{\alpha_2}{2}}$ and $\alpha_2 > 2\alpha_1$, we have

$$\left| |(i' + v)\delta|^2 - |(\tau' + v)\delta|^2 \right| > c_{23}\rho^{\alpha_2} \quad (71)$$

for $s \leq \frac{a_1}{2}$, $\tau > a_1$, which implies

$$|\zeta_s - |(\tau' + v)\delta|^2| = \left| |\zeta_s - |(i' + v)\delta|^2| - ||(\tau' + v)\delta|^2| - |(i' + v)\delta|^2 \right| > c_{24}\rho^{\alpha_2}, \quad (72)$$

for $s = 1, 2, \dots, \frac{a_1}{2}$, $\tau > a_1$.

Since \widetilde{B} corresponds to the operator $P : Y \rightarrow P(t)Y$ in $L_2^m[0, 2\pi]$, which has norm $\sup|P(t)| \leq M$. Using this, equation (69) and (72), we have for the right hand side of (70) that

$$\begin{aligned} & \left| \sum_{j=1}^m \sum_{k=a_1+1}^{\infty} v_{ij(n_k-n_\tau)\delta} \Theta_s^{kj} \right| \leq \sum_{j=1}^m \sum_{k=a_1+1}^{\infty} |v_{ij(n_k-n_\tau)\delta}| \left| \frac{\Theta_s \cdot \widetilde{B}e_{kj}}{\zeta_s - |(k' + v)\delta|^2} \right| \\ & \leq \sum_{j=1}^m \sum_{k=a_1+1}^{\infty} |v_{ij(n_k-n_\tau)\delta}| \frac{\|\Theta_s\| \|\widetilde{B}\| \|e_{kj}\|}{|\zeta_s - |(k' + v)\delta|^2|} \leq M\rho^{-\alpha_2} \sum_{j=1}^m \sum_{k=a_1+1}^{\infty} |v_{ij(n_k-n_\tau)\delta}| \\ & \leq c_{25}\rho^{-\alpha_2}, \end{aligned} \quad (73)$$

Therefore writing the equation (70) for all $\tau = 1, 2, \dots, a_1$, and using (73) we get the following system

$$(E(\gamma, \delta) - \zeta_s I)[\Theta_s^1, \Theta_s^2, \dots, \Theta_s^{a_1}] = [O(\rho^{-\alpha_2}), O(\rho^{-\alpha_2}), \dots, O(\rho^{-\alpha_2})], \quad (74)$$

where I is an $ma_1 \times ma_1$ identity matrix. Using $\Theta_s = \sum_{\tau=1}^{\infty} \Theta_s^\tau e_{\tau,i}$, the formula (69) and the inequality (72), we have

$$\sum_{\tau=a_1+1}^{\infty} |\Theta_s^\tau|^2 = \sum_{\tau=a_1+1}^{\infty} \left| \frac{\Theta_s \cdot \tilde{B} e_{\tau,i}}{\varsigma_s - |(\tau' + v)\delta|^2} \right|^2 = O(\rho^{-2\alpha_2})$$

and thus

$$\sum_{\tau=1}^{a_1} |\Theta_s^\tau|^2 = 1 - O(\rho^{-2\alpha_2}). \quad (75)$$

Multiplying both sides of (74) by $(E(\gamma, \delta) - \varsigma_s I)^{-1}$,

$$[\Theta_s^1, \Theta_s^2, \dots, \Theta_s^{a_1}] = (E(\gamma, \delta) - \varsigma_s I)^{-1} [O(\rho^{-\alpha_2}), \dots, O(\rho^{-\alpha_2})],$$

then taking norm of both sides and using (75), we get

$$\sqrt{\frac{1 - O(\rho^{-2\alpha_2})}{m}} = \|(E(\gamma, \delta) - \varsigma_s I)^{-1}\| O(\sqrt{a_1} \rho^{-\alpha_2})$$

or

$$\min_{\tau} |\varsigma_s - \tilde{\zeta}_\tau| = \frac{O(\sqrt{a_1} \rho^{-\alpha_2}) \cdot \sqrt{m}}{\sqrt{1 - O(\rho^{-2\alpha_2})}} = O(\rho^{-\frac{3}{4}\alpha_2}),$$

where the minimum is taken over all eigenvalues $\tilde{\zeta}_\tau$ of the matrix $E(\gamma, \delta)$. Thus, the result follows. \square

Theorem 7. (Main result) For every $\beta \in H_\delta$, $|\beta| \sim \rho$ and for every eigenvalue $\varsigma_s(v(\beta))$ of the Sturm-Liouville operator $T(\gamma, \delta)$, there is an eigenvalue Λ_N of the operator $L(V)$ satisfying

$$\Lambda_N = |\beta|^2 + \varsigma_s + O(\rho^{-\frac{1}{2}\alpha_2}).$$

Proof. From Theorem 6 and the definition of $E(\gamma, \delta)$, there exists an eigenvalue $\tilde{\eta}_{\tau(s)}$ of the matrix $D(\gamma, \delta)$, where γ has a decomposition $\gamma = \beta + (\tau + v(\beta))\delta$, satisfying $\tilde{\eta}_{\tau(s)} = |\beta|^2 + \varsigma_s + O(\rho^{-\frac{3}{4}\alpha_2})$. Therefore, the result follows from Theorem 5 and Theorem 2. \square

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