



# Spectral properties of non-selfadjoint Sturm-Liouville operator equation on the real axis

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## Abstract

In this paper, we analyze the non-selfadjoint Sturm-Liouville operator  $L$  defined in the Hilbert space  $L_2(\mathbb{R}, H)$  of vector-valued functions which are strongly-measurable and square-integrable in  $\mathbb{R}$ .  $L$  is defined

$$L(y) = -y'' + Q(x)y, \quad x \in \mathbb{R},$$

for every  $y \in L_2(\mathbb{R}, H)$  where the potential  $Q(x)$  is a non-selfadjoint, completely continuous operator in a separable Hilbert space  $H$  for each  $x \in \mathbb{R}$ . We obtain the Jost solutions of this operator and examine the analytic and asymptotic properties. Moreover, we find the point spectrum and the spectral singularities of  $L$  and also obtain the sufficient condition which assures the finiteness of the eigenvalues and spectral singularities of  $L$ .

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## 1. Introduction

Non-selfadjoint operators are seen in physical systems which do not involve the conservation of energy law. Some selfadjoint problems also give us non-selfadjoint operators after separation of variables. The theory of non-selfadjoint operators has initially begun to analyze ordinary differential equations. M.V. Keldysh played a significant role to develop a general theory for non-selfadjoint operators by inventing a new method for establishing the resolvent of an arbitrary completely continuous, non-selfadjoint operator of finite order [16, 17].

Spectral analysis of non-selfadjoint differential operators has been studied by M.A. Naimark [24, 25]. In particular, he analyzed the non-selfadjoint Sturm-Liouville operator defined by

$$l(y) = -y'' + p(x)y, \quad 0 < x < \infty, \quad (1.1)$$

$$y'(0) - hy(0) = 0, \quad (1.2)$$

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where  $p(x)$  is a complex-valued function satisfying

$$\int_0^\infty (1+t^2)|p(t)|dt < \infty, \tag{1.3}$$

and  $h \in \mathbb{C}$ . Several authors investigated the non-selfadjoint Sturm-Liouville operator defined by Equations (1.1) and (1.2) in detail [22–25, 28]. The results of Naimark [24, 25] have been generalized in [7, 8] to the operator  $l_0$  generated in  $L_2(\mathbb{R})$  which is defined by

$$l_0(y) = -y'' + q(x)y, \quad x \in \mathbb{R},$$

where the potential  $q$  is a complex-valued function. The authors generalized the results of [24] and applied to the non-selfadjoint Schrödinger operator in the three-dimensional space [13].

Non-selfadjoint Hamiltonians and complex extensions of Quantum Mechanics have been studied by many mathematicians, recently. Moreover, spectral properties of the selfadjoint matrix differential and difference equations have been examined [9, 10, 15]. For the non-selfadjoint case, discrete spectrum and the spectral singularities of the matrix Sturm-Liouville operator were investigated [4, 11, 26, 27]. Further, the authors examined a system of non-selfadjoint Sturm-Liouville equations [2, 5, 6].

B. M. Levitan et al. have studied the point spectrum of the following Sturm-Liouville operator equation in detail [14, 19–21]. Let  $H$  be a separable Hilbert space and  $L_2(\mathbb{R}_+, H)$  denote the space of vector-valued functions  $f(x)$  defined on  $(0, \infty)$  which are strongly-integrable and also square-integrable on  $(0, \infty)$  i.e.,

$$\int_0^\infty \|f(x)\|^2 dx < \infty.$$

Consider the operator  $l_1$  defined on  $L_2(\mathbb{R}_+, H)$  by

$$l_1(Y) = -Y'' + Q(x)Y, \quad 0 < x < \infty, \tag{1.4}$$

and the boundary condition  $Y(0) = 0$  where  $Q(x)$  is a completely continuous, selfadjoint operator defined on  $H$  for every  $x \in (0, \infty)$ . Equation (1.4) is called Sturm-Liouville operator equation.

In our previous paper [3], we considered the non-selfadjoint analogue of the above problem and investigated the spectral properties of the non-selfadjoint Sturm-Liouville operator equation on the half line on the contrary to [14, 19–21]. We also generalized the results in [2, 4, 11, 26, 27] by considering the coefficients as operators not only finite dimensional matrices. In this study, we extend these results to the whole real axis. Explicitly, we focus on the following non-selfadjoint operator.

Assume  $H$  is a separable Hilbert space and  $H_1 := L_2(\mathbb{R}, H)$  denotes the space of vector-valued functions  $f(x)$  defined on  $\mathbb{R}$  which are strongly-integrable and square-integrable. Note that  $H_1$  is a Hilbert space with the inner product (see [29]);

$$(f, g)_1 = \int_{-\infty}^\infty (f(x), g(x))_H dx.$$

Let us consider the non-selfadjoint operator  $L$  defined in  $H_1$ ;

$$L(y) = -y'' + Q(x)y, \quad x \in \mathbb{R}, \tag{1.5}$$

where the potential  $Q(x)$  is a non-selfadjoint, completely continuous operator in  $H$  for each  $x \in \mathbb{R}$ . In this paper, we specify the domain of  $L$  and express the Jost solutions. Then, we find the discrete spectrum and the set of spectral singularities of  $L$  by using the properties of the Jost solutions. Finally, we prove that  $L$  has a finite number of eigenvalues and spectral singularities.

The domain  $D(L)$  of  $L$  is the subspace consisting of all  $y \in H_1$  which satisfies the following conditions;

- (i)  $y$  is twice strongly-differentiable,
- (ii)  $L(y) \in H_1$ .

Let us consider the eigenvalue equation;

$$-y'' + Q(x)y = \lambda^2 y, \quad x \in \mathbb{R}. \quad (1.6)$$

## 2. The Jost solutions of (1.6)

We shall also focus on the equation

$$-Y'' + Q(x)Y = \lambda^2 Y, \quad x \in \mathbb{R}, \quad (2.1)$$

where  $Y(x)$  is an operator-valued function i.e,  $Y(x)$  is an operator in  $H$  for each  $x \in \mathbb{R}$ .

**Lemma 2.1.** *Every sequence of solutions of Equation (1.6) can be represented as an operator-valued function which satisfies Equation (2.1). Conversely, one can construct a sequence of vector-valued functions which satisfy Equation (1.6) for a given operator-valued solution of Equation (2.1).*

**Proof.** Since  $H$  is a separable Hilbert space, there exists an orthonormal basis  $(u_n)_{n \in \mathbb{N}}$ . Suppose vector-valued functions  $(y_n(x))_{n \in \mathbb{N}}$  satisfy Equation (1.6). We can construct an operator-valued function  $Y(x)$  such that  $Y(x)u_n = y_n(x)$  for every  $n \in \mathbb{N}$ . It is clear that  $Y(x)$  satisfies Equation (2.1).

Conversely, suppose operator-valued function  $Y(x)$  satisfies Equation (2.1). Let  $y_n(x) = Y(x)u_n$  for every  $n \in \mathbb{N}$ . Then, it is clear that  $(y_n(x))$  satisfies Equation (1.6) for every  $n \in \mathbb{N}$ .  $\square$

As a result of this one to one correspondence, we can focus on the solutions of only one of the Equations (1.6)-(2.1).

We shall use the notations;

$$\begin{aligned} \sigma^+(x) &= \int_x^\infty \|Q(t)\| dt, & \sigma_1^+(x) &= \int_x^\infty \sigma^+(t) dt, \\ \sigma^-(x) &= \int_{-\infty}^x \|Q(t)\| dt, & \sigma_1^-(x) &= \int_{-\infty}^x \sigma^-(t) dt. \end{aligned}$$

Suppose that the condition

$$\int_{-\infty}^\infty (1 + |t|) \|Q(t)\| dt < \infty, \quad (2.2)$$

holds. Then, Equation (2.1) has operator solutions  $E^+(x, \lambda)$  and  $F^-(x, \lambda)$  satisfying the initial conditions;

$$\lim_{x \rightarrow \infty} e^{-i\lambda x} E^+(x, \lambda) = I, \quad \text{Im} \lambda \geq 0, \quad (2.3)$$

and

$$\lim_{x \rightarrow -\infty} e^{i\lambda x} F^-(x, \lambda) = I, \quad \text{Im} \lambda \geq 0, \quad (2.4)$$

respectively. Indeed, consider the integral equation

$$E^+(x, \lambda) = e^{i\lambda x} I + \frac{1}{\lambda} \int_x^\infty \sin(\lambda(t-x)) Q(t) E^+(t, \lambda) dt, \quad \text{Im} \lambda \geq 0,$$

which is easily seen to be a solution of Equation (2.1) satisfying (2.3). Similarly, if we define

$$F^-(x, \lambda) = E^+(-x, \lambda), \quad \text{Im} \lambda \geq 0,$$

it easily follows that  $F^-(x, \lambda)$  satisfies (2.4). Under the condition (2.2), the solution  $E^+(x, \lambda)$  can be represented (see [1]);

$$E^+(x, \lambda) = e^{i\lambda x} I + \int_x^\infty e^{i\lambda t} K^+(x, t) dt, \quad \text{Im}\lambda \geq 0. \tag{2.5}$$

Let us consider the equation;

$$-Z'' + ZQ(x) = \lambda^2 Z, \quad x \in \mathbb{R}, \tag{2.6}$$

where  $Z(x)$  is an operator-valued function. Similarly, Equation (2.6) has an operator solution  $E^-(x, \lambda)$  which satisfies the initial condition;

$$\lim_{x \rightarrow -\infty} e^{i\lambda x} E^-(x, \lambda) = I, \quad \text{Im}\lambda \geq 0,$$

and has the representation

$$E^-(x, \lambda) = e^{-i\lambda x} I + \int_{-\infty}^x e^{-i\lambda t} K^-(x, t) dt, \quad \text{Im}\lambda \geq 0.$$

Further, the operator-valued kernels  $K^\pm(x, t)$  are differentiable with respect to  $x$  and  $t$  and satisfy

$$\left\| K^+(x, t) \right\| \leq \frac{1}{2} \sigma^+\left(\frac{x+t}{2}\right) \exp \left[ \sigma_1^+(x) - \sigma_1^+\left(\frac{x+t}{2}\right) \right], \tag{2.7}$$

$$\left\| K_x^+(x, t) + \frac{1}{4} Q\left(\frac{x+t}{2}\right) \right\| \leq \frac{1}{2} \sigma_1^+(x) \sigma^+\left(\frac{x+t}{2}\right) \exp \sigma_1^+(x), \tag{2.8}$$

$$\left\| K_t^+(x, t) + \frac{1}{4} Q\left(\frac{x+t}{2}\right) \right\| \leq \frac{1}{2} \sigma_1^+(t) \sigma^+\left(\frac{x+t}{2}\right) \exp \sigma_1^+(t), \tag{2.9}$$

As a result, the solutions  $E^+(x, \lambda)$  and  $E^-(x, \lambda)$  are analytic for  $\text{Im}\lambda > 0$  and continuous for  $\text{Im}\lambda \geq 0$ .  $E^+(x, \lambda)$  and  $E^-(x, \lambda)$  are called the Jost solutions of Equation (1.6). The proofs of above results are very similar to the matrix coefficient case which have been obtained in [1, 4]. In addition, we obtained analogous properties in our previous paper [3]. Hence, we omitted the proofs.

**Lemma 2.2.** *Let  $Y(x)$  be a solution of Equation (2.1) and  $Z(x)$  be a solution of Equation (2.6). Then, the Wronskian  $W[Y, Z](x) := Z'(x)Y(x) - Z(x)Y'(x)$  is independent of  $x$ .*

**Proof.** We have

$$\begin{aligned} -Y'' + Q(x)Y &= \lambda^2 Y, \\ -Z'' + ZQ(x) &= \lambda^2 Z. \end{aligned}$$

If we multiply the first equality from the left with  $Z$  and the second equality from the right with  $Y$  and subtract them, we have

$$Z''(x)Y(x) - Z(x)Y''(x) = 0,$$

which implies  $W[Y, Z](x)$  is constant and hence independent of the variable  $x$ . □

Let us define the function

$$D(\lambda) := W \left[ E^-(x, \lambda), E^+(x, \lambda) \right], \quad \text{Im}\lambda \geq 0.$$

Since the Wronskian of  $E^+(x, \lambda)$  and  $E^-(x, \lambda)$  is independent of  $x$ ,  $D(\lambda)$  is a function of  $\lambda$  which is also analytic for  $\text{Im}\lambda > 0$  and continuous for  $\text{Im}\lambda \geq 0$ . The function  $D(\lambda)$  is called the Jost function of Equation (1.6).

**Theorem 2.3.** *The function  $D(\lambda)$  satisfies*

$$D(\lambda) = 2i\lambda I - 2K^+(0, 0) - 2K^-(0, 0) + \int_0^\infty e^{i\lambda t} F(t) dt, \tag{2.10}$$

where

$$\begin{aligned} F(t) = & K_x^+(0, t) - K_x^-(0, -t) - K^-(0, 0)K^+(0, t) - K^+(0, 0)K^-(0, -t) \\ & + K^-(0, -t) * K_x^+(0, t) - K_x^-(0, -t) * K^+(0, t) + K_t^-(0, -t) \\ & - K_t^+(0, t), \end{aligned} \tag{2.11}$$

and  $F \in L_1(\mathbb{R}, H)$  where "\*" is the convolution operation.

**Proof.** Since the Wronskian of  $E^+(x, \lambda)$  and  $E^-(x, \lambda)$  is independent of  $x$ , we put  $x = 0$  and obtain

$$D(\lambda) = W [E^-(x, \lambda), E^+(x, \lambda)] = E_x^+(\lambda)E^-(\lambda) - E^+(\lambda)E_x^-(\lambda).$$

By using the integral representations of  $E^+(x, \lambda)$  and  $E^-(x, \lambda)$  we get (2.10) and (2.11). From (2.7)-(2.9) we have  $F \in L_1(\mathbb{R}, H)$ . □

**Theorem 2.4.** *The following asymptotic relations hold;*

$$D(\lambda) = 2i\lambda I - 2K^+(0, 0) - 2K^-(0, 0) + o(1), \quad \text{Im}\lambda \geq 0, \quad |\lambda| \rightarrow \infty, \tag{2.12}$$

$$D(\lambda) = 2i\lambda I + O(1), \quad \text{Im}\lambda \geq 0, \quad |\lambda| \rightarrow \infty. \tag{2.13}$$

**Proof.** Let  $\lambda \in \mathbb{R}$ . By Riemann-Lebesgue Lemma for Fourier transforms [18] we have

$$\int_0^\infty e^{i\lambda t} F(t) dt = o(1), \quad \lambda \in \mathbb{R}, \quad |\lambda| \rightarrow \infty. \tag{2.14}$$

Now, let  $\text{Im}\lambda > 0$ . Lebesgue Theorem [18] implies

$$\int_0^\infty e^{i\lambda t} F(t) dt = o(1), \quad \text{Im}\lambda > 0, \quad |\lambda| \rightarrow \infty. \tag{2.15}$$

If we use (2.14), (2.15) we get (2.12). The proof is similar for (2.13). □

### 3. Point spectrum and spectral singularities of $L$

Now, we introduce the point spectrum and the set of spectral singularities of  $L$  according to the definitions given in [22-24]

$$\begin{aligned} \sigma_d(L) &= \{ \lambda^2 : \text{Im}\lambda > 0, D(\lambda) \text{ is not invertible} \}, \\ \sigma_{ss}(L) &= \{ \lambda^2 : \lambda \in \mathbb{R} \setminus \{0\}, D(\lambda) \text{ is not invertible} \}. \end{aligned}$$

Now, we try to examine the eigenvalues of  $L$  by employing the results in [17]. Let us recall;

$$D(\lambda) = 2i\lambda I - 2K^+(0, 0) - 2K^-(0, 0) + \int_0^\infty e^{i\lambda t} F(t) dt, \quad \text{Im}\lambda \geq 0. \tag{3.1}$$

Let

$$\begin{aligned} A(\lambda) &: = \frac{1}{2i\lambda} \left[ -2K^+(0, 0) - 2K^-(0, 0) + \int_0^\infty e^{i\lambda t} F(t) dt \right], \\ G(\lambda) &: = \frac{1}{2i\lambda} D(\lambda). \end{aligned}$$

Then,

$$G(\lambda) = I + A(\lambda), \quad \text{Im}\lambda \geq 0,$$

and for  $\lambda \neq 0$ , it follows  $D(\lambda)$  is invertible iff  $G(\lambda)$  is invertible. Hence

$$\begin{aligned} \sigma_d(L) &= \{ \lambda^2 : \text{Im}\lambda > 0, G(\lambda) \text{ is not invertible} \}, \\ \sigma_{ss}(L) &= \{ \lambda^2 : \lambda \in \mathbb{R} \setminus \{0\}, G(\lambda) \text{ is not invertible} \}. \end{aligned}$$

Let us define  $M_1 := \{\lambda : \text{Im}\lambda > 0, G(\lambda) \text{ is not invertible}\}$ . It follows  $\sigma_d(L) = \{\lambda^2 : \lambda \in M_1\}$ .

Since  $\int_{-\infty}^{\infty} (1 + |t|) \|Q(t)\| dt < \infty$  and  $Q(x)$  is completely continuous operator for each  $x \in \mathbb{R}$ , it follows  $F(t)$  is completely continuous operator for each  $0 < t < \infty$  and as a result  $A(\lambda)$  is completely continuous for  $\text{Im}\lambda > 0$ . Also, since  $D(\lambda)$  is analytic for  $\text{Im}\lambda > 0$ ,  $A(\lambda)$  is also analytic in the same domain. Now, we can use the results in [17].

**Definition 3.1.** If

$$(I - R)(I + A) = I,$$

holds, then the operator  $R$  is called the resolvent of the operator  $A$ , [17].

Let us denote the resolvent of  $A(\lambda)$  by  $R(\lambda)$ . It follows

$$I - R(\lambda) = (I + A(\lambda))^{-1} = (G(\lambda))^{-1}.$$

If  $I - R(\lambda)$  exists at least for one  $\lambda$  which means  $G(\lambda)$  is invertible, this implies  $I - R(\lambda)$  exists on the domain  $\mathbb{C}_+ := \{z \in \mathbb{C} : \text{Im}z > 0\}$  except for a set of isolated points, and also  $I - R(\lambda)$  is a meromorphic operator function in the same domain [17]. It is obvious that  $M_1 \neq \mathbb{C}_+$ . This implies there exists at least one  $\lambda$  such that  $I - R(\lambda)$  is defined. [17] implies that  $I - R(\lambda)$  should exist on the domain  $\mathbb{C}_+$  except for a set of isolated points. These isolated points are obviously the eigenvalues of  $L$ . Moreover,  $I - R(\lambda)$  is a meromorphic operator function on  $\mathbb{C}_+$ . Therefore, we can express  $I - R(\lambda)$  as a ratio of two analytical functions in the domain  $\mathbb{C}_+$  as;

$$(G(\lambda))^{-1} = I - R(\lambda) = \frac{S(\lambda)}{d(\lambda)}, \tag{3.2}$$

where  $S(\lambda)$  is an operator function and  $d(\lambda)$  is a scalar function on  $\mathbb{C}_+$ . Moreover, the above isolated points are poles of the function  $I - R(\lambda)$  and they are the zeros of the function  $d(\lambda)$ . As a result, it follows

$$M_1 = \{\lambda : \text{Im}\lambda > 0, d(\lambda) = 0\}. \tag{3.3}$$

**Theorem 3.2.** *If the condition (2.2) holds, then  $\sigma_d(L)$  is a bounded and countable set. Further, the limit points of  $\sigma_d(L)$  should lie in a bounded interval of the real axis.*

**Proof.** The relation (2.12) implies

$$G(\lambda) = I + o(1), \quad \text{Im}\lambda \geq 0, \quad |\lambda| \rightarrow \infty,$$

which means for sufficiently large  $\lambda \in \overline{\mathbb{C}_+}$ ,  $G(\lambda) \rightarrow I$  and thus  $G(\lambda)$  is invertible. Therefore,  $M_1$  is bounded. Since the function  $d(\lambda)$  is analytic, its zeros are isolated. This implies  $M_1$  is countable. Further, the limit points of the zeros of  $d(\lambda)$  should lie in an interval of the real line [12]. The proof is complete since

$$\sigma_d(L) = \left\{ \lambda^2 : \lambda \in M_1 \right\}.$$

□

Now, let us assume that the condition

$$\int_{-\infty}^{\infty} e^{\epsilon|t|} \|Q(t)\| dt < \infty, \quad \epsilon > 0, \tag{3.4}$$

holds.

**Theorem 3.3.**  *$L$  has a finite number of eigenvalues.*

**Proof.** From the equalities (2.7)-(2.9) and (3.4) we have

$$\left\| K^+(x, t) \right\|, \left\| K_x^+(x, t) \right\|, \left\| K_t^+(x, t) \right\| \leq c \exp\left(-\epsilon\left(\frac{x+t}{2}\right)\right),$$

and hence

$$\|F(t)\| \leq c \exp\left(-\epsilon\left(\frac{t}{2}\right)\right), \quad \forall t \in [0, \infty),$$

where  $c$  is a positive constant. Further,

$$\|F(t)\| \left| e^{i\lambda t} \right| \leq c \exp^{-t\left(\frac{\epsilon}{2} + \text{Im}\lambda\right)}, \quad \forall t \in [0, \infty),$$

and thus

$$\begin{aligned} \left\| \int_0^\infty e^{i\lambda t} F(t) dt \right\| &\leq \int_0^\infty \left| e^{i\lambda t} \right| \|F(t)\| dt \\ &\leq \int_0^\infty c \exp^{-t\left(\frac{\epsilon}{2} + \text{Im}\lambda\right)} dt, \end{aligned}$$

$$\int_0^\infty c \exp^{-t\left(\frac{\epsilon}{2} + \text{Im}\lambda\right)} dt < \infty \Leftrightarrow \text{Im}\lambda + \frac{\epsilon}{2} > 0.$$

The Uniform Convergence Test implies that the integral  $\int_0^\infty e^{i\lambda t} F(t) dt$  is uniformly convergent in the domain  $\text{Im}\lambda > -\frac{\epsilon}{2}$ . This implies  $D(\lambda)$  and also  $G(\lambda)$  have analytic continuations to the domain  $\text{Im}\lambda > -\frac{\epsilon}{2}$ . Since the analytic continuation is unique, it follows

$$(G(\lambda))^{-1} = I - R(\lambda) = \frac{S(\lambda)}{d(\lambda)}, \quad \text{Im}\lambda > -\frac{\epsilon}{2}.$$

Let us recall  $M_1 = \{\lambda : \text{Im}\lambda > 0, d(\lambda) = 0\}$ ,  $\sigma_d(L) = \{\lambda^2 : \lambda \in M_1\}$  and  $M_1$  is bounded. Suppose that  $M_1$  is not finite. Let us recall Bolzano-Weierstrass Theorem which states that each bounded sequence in  $\mathbb{R}$  has a convergent subsequence. Bolzano-Weierstrass Theorem implies that  $M_1$  must have one limit point. Also, Theorem 3.2 states that the limit points of  $M_1$  can only lie on the real axis. However, since  $d(\lambda)$  is analytic in the domain  $\text{Im}\lambda > -\frac{\epsilon}{2}$ , the limit points of  $M_1$  should be on the boundary of this domain [12]. This contradicts with the assumption  $\epsilon > 0$ . Thus,  $M_1$  and  $\sigma_d(L)$  are finite.  $\square$

Let  $M_2 := \{\lambda : \lambda \in \mathbb{R}, G(\lambda) \text{ is not invertible}\}$ . It is obvious that

$$\sigma_{ss}(L) = \{\lambda^2 : \lambda \in M_2\} \setminus \{0\}.$$

From the representation (3.2), we have

$$\frac{G(\lambda)}{d(\lambda)} S(\lambda) = I, \quad \lambda \in \mathbb{C}_+,$$

and this implies  $S(\lambda)$  is invertible iff  $G(\lambda)$  is invertible or equivalently  $d(\lambda) \neq 0$  for  $\lambda \in \mathbb{C}_+$ . If  $d(\lambda) \neq 0$  it follows

$$(S(\lambda))^{-1} = \frac{G(\lambda)}{d(\lambda)}, \quad \lambda \in \mathbb{C}_+,$$

and also

$$G(\lambda) = d(\lambda) (S(\lambda))^{-1}, \quad \lambda \in \mathbb{C}_+. \quad (3.5)$$

Since  $G(\lambda)$  is continuous on the real line, Equation (3.5) suggests that the functions  $S(\lambda)$  and  $d(\lambda)$  are continuous on the real line. Hence, we can extend the representation (3.2) continuously to the real line and obtain

$$(G(\lambda))^{-1} = \frac{S(\lambda)}{d(\lambda)}, \quad \lambda \in \overline{\mathbb{C}_+}. \quad (3.6)$$

(3.6) implies that  $G(\lambda)$  is invertible iff  $d(\lambda) \neq 0$  for  $\lambda \in \mathbb{R}$ . Thus, we have

$$M_2 = \{\lambda \in \mathbb{R} : d(\lambda) = 0\}.$$

**Theorem 3.4.**  $M_2$  is compact and has zero Lebesgue measure under the condition (2.2).

**Proof.** Theorem 3.2 implies  $M_2$  is bounded. We only have to show that  $M_2$  is closed. Let  $\{\lambda_n\} \subset M_2$  such that  $\lambda_n \rightarrow \lambda_0$ .  $\{\lambda_n\} \subset M_2$  implies  $\lambda_n \in \mathbb{R}$  and  $G(\lambda_n)^{-1}$  doesn't exist for  $n \in \mathbb{N}$ . Further,  $\lambda_n \rightarrow \lambda_0$  implies  $\lambda_0 \in \mathbb{R}$ . We have  $G(\lambda)$  is a continuous operator function on the real line. Now,  $\lambda_n \rightarrow \lambda_0$  suggests that  $G(\lambda_n) \rightarrow G(\lambda_0)$  where the latter convergence is strong.

Let  $GL(H) := \{A : A \text{ is invertible, bounded, linear operator on } H\}$ . It is well known that  $GL(H)$  is an open subset of the space  $B(H)$  of bounded, linear operators on  $H$ . It follows  $B(H) \setminus GL(H)$  is a closed set. This implies  $G(\lambda_0) \in B(H) \setminus GL(H)$  and  $\lambda_0 \in M_2$ . Finally, Privalov's Theorem states that  $M_2$  has zero Lebesgue measure [12].  $\square$

**Corollary 3.5.**  $\sigma_{ss}(L)$  is bounded and has zero Lebesgue measure, under the condition (2.2).

**Theorem 3.6.**  $L$  has a finite number of spectral singularities, under the condition (3.4).

**Proof.** It can be shown similarly (see the proof of Theorem 3.3) that  $G(\lambda)$  has an analytic continuation to the domain  $\text{Im}\lambda > -\frac{\epsilon}{2}$  for arbitrary  $\epsilon > 0$ . Since this analytic continuation is unique, it follows

$$(G(\lambda))^{-1} = I - R(\lambda) = \frac{S(\lambda)}{d(\lambda)}, \quad \text{Im}\lambda > -\frac{\epsilon}{2}.$$

Suppose that  $M_2$  is not finite. Since  $M_2$  is bounded (see Theorem 3.2), Bolzano-Weierstrass Theorem implies that  $M_2$  has a limit point. This limit point as a zero of the analytic function  $d(\lambda)$  should lie on the boundary of the domain  $\text{Im}\lambda > -\frac{\epsilon}{2}$  [12]. It contradicts with  $\epsilon > 0$ .  $\square$

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