YEAR VOLUME NUMBER : 2010 : 10 : 1

(1143 - 11151)

SEARCHING FOR RARE RESOURCES IN UNSTRUCTURED P2P NETWORKS

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Abstract

This paper has presented a novel algorithm for searching for rare resources in unstructured P2P networks. Existing protocols such as Flooding and Random Walk can effectively locate popular resources while they are limited by very low hit rate for rare resources. For users, the utility of getting rare resources is no less than that of getting popular ones. Thus high hit rate for rare resources will improve the system's efficiency. According to different capability of different nodes, this paper explores a three-grade balanced tree to distribute index replicas of rare resources uniformly across a very small portion of nodes, which is easily deployable and lightweight in overhead. Both mathematical analysis and simulation results show that it improves the hit rate for rare resources from less than 1% to more than 90%.

*Keywords***:** *Debt Relationship, Non-cooperation Game, Pareto Efficiency, Social Utility, Individual Utility*

1. INTRODUCTION

Studies^{$[1-5]$} have showed that files' query rates follow powerlaw distribution : $p(k) = ck^{-r}$.

Fig.1. Probability distribution of query frequencies

In Fig.1, objects are ranked by their query frequencies, with low rankings *k* indicating

Received Date : 09.06.2009 Accepted Date: 15.01.2010 highly accessed objects. *P(k)* represents probability distribution of *k*, which is a longtail distribution. We represent rare resources in the long tail as: $R = \int_{a}^{\infty}$ $R = \int p(k)dk$ and popular *T*

resources as
$$
P = \int_{1}^{T} p(k)dk
$$
. Clearly $R < P$,

but for users, the utility of getting rare resources is not necessarily less than that of popular resources. In unstructured P2P systems such as Gnutella, KaZaA, Flooding and Random Walk can easily locate popular resources *P*. Boon et al. Shows 18% of the queries can't be responded even the resources exist^[6]. In study[2], percolation is applied to search for resources efficiently. Through a random walk of size $L(N, \tau)$ (*N* represents the size of network, τ represents the exponential of power law network), index replicas of files are distributed to the whole system, while query seeds are implanted to $\partial L(N, \tau)$ nodes. When every seed sends query at probability $(q_c$ is the percolation threshold) to neighbors, at least one replica could be found with high probability. But the number of both replicas and query seeds approach $O(N)$ when they are distributed in low-degree nodes. In study[7], in order to guarantee the high HR for files, r_i replicas of file i are distributed in the system according to its query frequency *qⁱ* . When a large fraction of queries are insoluble, $r_1 = r_2 = \ldots = r_n = m$ (*m* is a constant); when the query rates of all types of files are comparatively high, $r_i / r_j = \sqrt{q_i / q_j}$; when the query rates of all types of files are distributed uniformly, $r_i / r_j = q_i / q_j$. This way minimizes system overhead spent on insoluble queries. But for unstructured P2P networks, it is difficult to estimate query rates of all files.

THIR(Two Hop Index Replication)^[3] mechanism is used to search for rare resources. In THIR, let *k* be the degree of a random node, then the probability $p(k) = ck^{-r}$, $2 \le r \le 3.475$ Where $c^{-1} = \sum_{k=2}^{k_{\text{max}}} k^{-k}$ $1 - \nabla^k$ $c^{-1} = \sum_{k=2}^{k_{\text{max}}} k^{-r} \approx \zeta(r) - 1$. $\zeta(.)$ is the

2. SYSTEM DESIGN

k

Index replicas mean that the metadata or pointers of files is distributed into the whole networks through Bloom Filters $^{[7]}$ or Index Tables[8-10], which is effective at improving the scalability of unstructured P2P networks while incurring much lower overhead. Our work explores the use of index replicas on a threegrade balanced tree, which enables any query to cover a large portion of the network in a few TTL (Time To Live) of Flooding, thus significantly improving.

Riemann zeta function, thus *c* can be considered constant. $k_{\text{max}} = N^{1/r}$ where *N* is the network size. Superpeers have a degree *k* such that $N^{1/r-\delta} \le k \le N^{1/r}$ with $\delta \in (0,1/r)$ and *S* be the set of superpeers that forms the network core. Also it proves that the number of superpeers is $\Omega(N^{(r-1)/r - \partial + \delta})$ with three parameters $\delta \in (0,1/r), r \in [2,3.475], \delta \in (0.0.425)$.

In THIR, replicas' storage and queries depend on only a few $\Omega(N^{(r-1)/r-\alpha+\delta})$ super peers. If a query for a rare object goes through the network core S, HR (Hit Rate) can approach nearly 100%. This way might lead to an explosion in overhead on superpeers while the majority of peers where their degrees $k \in [2, N^{1/r-\delta})$ are zero loaded. Attacks to superpeers easily incur a single point of failure. Why not amplify the superpeers' range to achieve load balance and high HR for rare resources?

In order to overcome the shortcomings of THIR, we propose a new mechanism NTIR (New Three-grade Index Replication). NTIR classifies nodes to different grades. Proportional to the capacity, quantity and *HR* of different nodes, NTIR distribute different quantity of replicas to different nodes. Simulation and mathematical analysis prove it achieves load balance and high *HR* for rare resources while incurring minimum overhead.

2.1 Different *HR* **for Replicas Saved in Different Nodes**

It has shown that both Internet and unstructured P2P topologies hold power-law properties ^[3]. In this model, high capacity means high capability of CPU, large storage, great bandwidth, long online time and much low churn rates. High-capacity nodes can attract more neighbors than low-capacity ones. Therefore a node's capacity is roughly proportional to its maximum connectivity. For reader's convenience, we summarize several notations frequently used in this paper in Table 1.

1 apie 1. Summary of Notation		
Notation	Description	
$i(i=1,2,,n)$	the grade of nodes	
x_i	the number of replicas in i -	
	grade nodes	
h_i	the HR for replicas saved in i -	
	<i>grade</i> nodes	
a_i	the expense for maintaining a	
	replica in <i>i-grade</i> nodes;	
\mathcal{C}	the size of a replica	
\overline{A}	the total limit of expense for	
	maintaining all the replicas in	
	the system	
\mathcal{C}_{0}^{0}	the total limit of the storage	
	for all the replicas in the	
\boldsymbol{X}	system	
	the limit of the number of all	
\overline{N}	replicas of file B	
	the number of nodes in the	
n _l	system	
	the number of the first-grade	
n ₂	nodes	
	the number of the second-	
n_3	grade nodes	
	the number of the third-grade	
	nodes	

Table 1. Summary of Notation

Definition 1: Peers are classified as n grades by their capacity p_i . If $i < j$, then $p_i > p_j$.

*Lemma 1***:** If i<j, then $h_1 > h_1$, i, j=1, 2, ..., n.

Proof: i \leq *j* means p_i $>$ p_j . The replicas saved in high-grade nodes are more reliable than low-grade nodes, and hence provide better responses to queries, therefore $h_i > h_j$.

Multiple replicas can enhance *HR* for rare resources, but the maintenance overhead of replicas can't be ignored. So the number of replicas must be controlled under limited conditions. In order to get the maximum *HR* of file *B* in the whole system, how many index replicas should be created and how to distribute the replicas in different nodes?

The *HR* for file *B* in the whole system can be computed by

$$
\max \quad HR = 1 - \prod_{i=1}^{n} (1 - h_i)^{x_i} \quad (1)
$$

$$
st \sum_{i=1}^{n} a_i x_i \le A
$$

$$
\sum_{i=1}^{n} cx_i \le C
$$

$$
\sum_{i=1}^{n} x_i \le X
$$

$$
i = 1, 2..., n
$$

Theorem 1: If $a_1 = a_2 = ... = a_n$, then the *optimal solution to Eq. (1) is HR* = 1 – $(1 - h_1)^x$ where $x_1 = X$ and $x_2 = x_3 = ... = x_n = 0$

Proof: The solution to Eq. (1) is equal to the solution to Eq. (2)

min
$$
\prod_{i=1}^{n} (1 - h_i)^{x_i}
$$

\n
$$
st \sum_{i=1}^{n} c x_i \le C
$$
\n
$$
\sum_{i=1}^{n} x_i \le X
$$
\n(2)

Eq.(2) is a nonlinear programming problem and we provide the solution of dynamic programming. According to optimal theory 12 -^{13]}, let $f_i(x_i)$ ($i = 1, 2, ..., n$) *represents* the probability of all the replicas *x* in the *i-grade* nodes being disable at the same time, and $F_k(x)$ denotes the minimum probability of failure when *x* replicas are distributed to nodes whose grades range from 1 to *k*. Eq.(2) can be rewritten as

$$
\begin{cases}\nF_1(x) = f_1(x) = (1 - h_1)^x \\
F_k(x) = \min_{0 \le x_k \le x} \{f_k(x_k)^* F_{k-1}(x - x_k)\} \quad k = 2,3..n \\
\sum_{i=1}^k c \quad x_i \le C \\
\sum_{i=1}^k x_i \le X\n\end{cases} (3)
$$

By computing the value F_1, F_2, \ldots, F_n recursively, in the end we can get $F_n(x) = (1$ *h*₁^{*X*}, i.e. when $x_1 = X$, $x_2 = x_3 = ... = x_n$, we get *1*- $F_n(x) = 1 - (1 - h_1)^X$ which is the maximum *HR* for file *B*.

Theorem 1 shows that in order to achieve the highest *HR* under minimum overhead, the ideal

model is to distribute all replicas in the firstgrade nodes, but which might lead to information explosion when the first-grade nodes are overloaded.

2.2 The New Three-grade Index Replication

Algorithm NTIR

Definition 2: According to the degree k, the nodes are classified to three grades:

The first grade: $2k_{\text{max}} / 3 \leq k_1 \leq k_{\text{max}}$

The second grade: $k_{\text{max}}/3 < k_2 < 2/3k_{\text{max}}$

the third grade: $1 \le k_3 \le k_{\text{max}} / 3$

Theorem 2: The number of the first-grade nodes is $\Omega(N^{1/r})$.

Proof: The probability that a random node *x* is a first-grade one is $p(2 k_{\text{max}} / 3 \le k \le k_{\text{max}})$ For all decreasing function like k^{-r} , it is possible to bind it as following:

$$
p(2K_{\max} / 3 \le k \le k_{\max}) = \sum_{k=\frac{2}{3}k_{\max}}^{k_{\max}} ck^{-r} > \int_{\frac{2}{3}k_{\max}}^{k_{\max}} ck^{-r} dk
$$

$$
=\frac{\left(\frac{c}{2}\right)^{r-1}-1}{(r-1)k_{\max}}=\frac{c_1}{k_{\max}}.
$$

Where
$$
c_1 = c \frac{\left(\frac{3}{2}\right)^{r-1} - 1}{r-1}
$$

So the probability that *x* is a first-grade node is

$$
\Omega(k_{\max}^{1-r})\cdot
$$

Let $X_1, X_2, \ldots X_N$ be *N* random variables and $X = \sum_{i=1}^{N} X_i$ such that: $i=1$ $=\begin{cases} 1, & if \ x_i \ is \ a \ & first \ -1 \ 0, & otherwise \end{cases}$ 1, if x_i is a first – grade node; *otherwise* $X_i = \begin{cases} 1, & \text{if } x_i \text{ is a first } - \text{ grade node} \\ 0, & \text{otherwise.} \end{cases}$

Let p_i be the probability that $X_i = 1$ and $k_{\text{max}} = N^{1/r}$ ^[3], $\sum_{k=1}^{N} k^{-1-r} = N^{k-1-r} = N^{1/r}$ *i* $\sum_{i=1}^{N}$ $\sum_{i=1}^{N}$ $\sum_{i=1}^{N}$ $\sum_{i=1}^{N}$ $E(X) = \sum_{i=1}^{n} p_i = \sum_{i=1}^{n} k_{max}^{1-r} = NK^{1-r} = N^{1/r}$ 1 $(X) = \sum_{i=1} P_i = \sum_{i=1}^{\infty} k_{\text{max}}^{1-r} = NK^{1-r} =$ \overline{a} - $\sum_{i=1} p_i = \sum_{i=1}$

Let $0 < \delta \le 1$ then by Chernoff bound [11, 14],

 $p(X < (1 - \delta)E(X)) < e^{-E(X) \delta^{2}/2}$ With the value of $E(X)$ and let $\delta = 1/2$, we get the following:

$$
P(X < N^{1/r} / 2) < e^{-N^{1/r} / 8} < 1 / N
$$

For each r , there is a set of δ values that satisfy the inequality. So the number of the first-grade nodes in the network is $\Omega(N^{1/r})$

Theorem 3: The number of the second-grade nodes is $\Omega(N^{1/r})$, the number of the thirdgrade nodes is Ω (*N*).

The proof is similar to theorem 2.

Theorem 4: $n_1 \le n_2 \le n_3$ and $h_1 \ge h_2 \ge h_3$. *Proof:* From lemma 1 and theorem 2,3, it can be proved.

For load balance, the system can be organized to a three-grade balanced tree as Fig.2. Theorem 1 and theorem 4 show that index replicas are distributed among the first-grade nodes, the highest *HR* will be achieved under the minimum overhead. This means if index replicas for rare resources are distribute uniformly, query from anywhere will hit a replica after Flooding within a few TTL in the first-grade nodes. When the first-grade nodes are overloaded, the second-grade nodes are taken into account and so on.

Let r_i represents the number of index replicas in the *i-grade* nodes, *qⁱ* represents the number of the *i-grade* nodes the query has covered. The following theorem can decide how to choose r_i and q_i respectively.

*Theorem 5:*When

$$
r_1 q_1 \ge N^{1/r} \left[\left(1 + \frac{\alpha}{r} \ln N \right) + \sqrt{\left(1 + \frac{\alpha}{r} \ln N \right)^2 - 1} \right],
$$
 the

probability of hit at least one replica after q1

nodes the query has covered in the first-grade nodes is $p \ge 1 - N^{-a}$, where $\alpha > 0$ is a constant.

Proof: Let *H* represents the number of the first-grade nodes. For every node in the firstgrade nodes, the hit probability for file replicas is $p=r_1/H$, let $X_1...X_q$ be q_1 independent variables which represent the status whether the node has the replica, then

$$
X_i = \begin{cases} 1 & hit \ a \ replica \\ 0 & otherwise \end{cases}
$$

And

$$
P[X_i = 1] = r_1 / H
$$

$$
P[X_i = 0] = 1 - r_1 / H
$$

Let $Y = \sum_{i=1}^{q_1}$ 1 *q* $Y = \sum_{i=1}^{q_1} X_i$, then the expectation of *Y* is given as below

$$
E(Y) = \sum_{i=1}^{q_1} r_i / H = r_i q_i / H
$$

By Chernoff bound, for any $0 < \delta \leq 1$,

$$
P(Y < (1 - \delta)E(Y)) < e^{-E(Y)\delta^2/2}
$$
\nLet

\n
$$
\begin{cases}\n e^{-E(Y)\delta^2/2} = N^{-a} \text{ where } a > 0 \text{ is } \\
 (1 - \delta)E(Y) = 1\n\end{cases}
$$

a constant.

Theorem 2 has proved that $H = \Omega(N^{1/r})$, with the value H and $E(Y)$, we get when \downarrow J $\overline{}$ L L $r_1 q_1 \ge N^{1/r} \left(1 + \frac{\alpha}{r} \ln N \right) + \sqrt{\left(1 + \frac{\alpha}{r} \ln N \right)^2 - 1}$ $p(X \ge 1) \ge 1 - N^{-\alpha}$.

For $r=3$, $a=1$, $N=10^6$ when $r_1q_1 \ge 1120$, $P[Y \ge 1] \ge 99\%$ where $r_1=28$, $q_1=40$. Compared with THIR $^{[3,15]}$, the system's efficiency is improved significantly. The replication rate is $\lambda = r_I/N = 0.004\%$ where $r_1 = 28$, $q_1 = 40$. Which means if we disseminates 28 replicas uniformly in the first-grade nodes, only after searching 40 first-grade nodes, query can be resolved with probability higher than 99% .

*Theorem 6:**when*

 $r_2 q_2 \ge N^{1/r} [(1 + \beta \ln N/r) + \sqrt{(1 + \beta \ln N/r)^2 - 1}]$ *, the probability of hit at least one replica after q2 nodes the query has covered is*

$$
p \ge 1 - N^{-\beta}
$$
, where $\beta > 0$ is a constant.

The proof follows the same technique as theorem 5.

Theorem 7: when

 $r_3 q_3 \ge N((1 + \beta L n N) + \sqrt{(1 + \beta L n N)^2 - 1})$ *, the probability of hit at least one replica after q3 nodes the query has covered is* $p \geq 1 - N^{-\beta}$, where $\beta > 0$ is a constant. The proof is similar to theorem 5.

Theorems 1, 5, 6, 7, show that the highest *HR* in the first grade nodes can be guaranteed under the minimum overhead. Generally, only if the first-grade nodes are overloaded, we take into account low-capacity nodes.

2.3 Case Study and Analyses

A single point of failure on the first-grade nodes can be avoided by the three-grade balanced tree. When the first-grade nodes are overloaded or failed, its overhead for replicas maintenance and query latency will increase. In this case, the second-grade nodes and the third-grade nodes can be taken into account as follows.

Example 1. When the first-grade nodes are overloaded while the others are lightweight. Nodes details can be found in Table 2. Assume the overhead can't exceed 20 units. In this situation, how to distribute index replicas of file *B* to the system while guaranteeing the highest *HR* of file *B*?

Table 2. The First-Grade Nodes are Overloaded

	Node grade Overhead Hit probability
11 units	0.8
7 units	0.6
2 units	0 ³

By Eq. (3) , we get

$$
\begin{cases}\nF_1(x) = f_1(x) \\
F_2(x) = \min_{0 \le x_2 \le x} \{f_2(x_2) * F_1(x - x_2)\} \\
F_3(x) = \min_{0 \le x_3 \le x} \{f_3(x_3) * F_2(x - x_3)\}\n\end{cases}
$$
\n(4)

Eq.(4) is a recursive algorithm. F_I can be computed firstly, followed by F_2 , F_3 . $F_1(x) = f_1(x) = 1 - 0.8 = 0.2$

$$
F_2(x) = \min_{0 \le x_2 \le x} \{ f_2(0)^* F_1(x), f_2(1)^* F_1(x-1), f_2(2)^* F_1(x-2) \}
$$

= min $\{ f_2(0)^* F_1(2), f_2(1)^* F_1(1), f_2(2)^* F_1(0) \}$
= min $\{ 0.2, 0.08, 0.16 \} = 0.08$

$$
F_3(x) = \min_{0 \le x_3 \le x} \{ f_3(0)^* F_2(x), f_3(1)^* F_2(x-1), f_3(2)^* F_2(x-2),
$$

 $=$ min $\{ 0.08, 0.056, 0.078, 0.054, 0.046, 0.0672 \}$ $f_3(3)*F_2(x-3), f_3(4)*F_2(x-4), f_3(5)*F_2(x-5)$

 $= 0.046$

When $x_1=1$, $x_2=0$, $x_3=4$ the maximum *HR* is 1-0.046=0.954. Which shows when the firstgrade nodes are overloaded, one replica is distributed to the first-grade nodes, four replicas to the third-grade nodes. This way can guarantee the highest *HR* under the minimum overhead.

Example 2. Assume the first-grade nodes are under loaded, nodes' detail can be found in Table 3. In this condition, in order to achieve the *HR* higher than 90%, how to distributed replicas of file *B* under minimum overhead?

By Eq. (2), we get
\n
$$
\begin{cases}\n\prod_{i=1}^{3} (1 - h_i)^{x_i} \le 1 - 0.9 \\
\min X = x_1 + x_2 + x_3\n\end{cases}
$$
\n(5)

From Eq.(5), we get $x_1 = 2 \Box x_2 = x_3 = 0$, which shows when the first-grade nodes are under loaded, two replicas are enough. In this case, we take into account only the first-grade nodes.

3. PERFORMANCE

EVALUATION

In this section, we study the performance of our search technique and compare its performance with THIR.

3.1 Network Toplology

In order to evaluate NTIR mechanism, we use $PEERSIM^[14]$ to construct a power-law random network, which possesses two following laws: 1. Upon arrival, the new node *Pnew* choose to connect with *m* already existing nodes *p1*, p_2, \ldots, p_m with probability

$$
P(p_{\text{new}} \leftrightarrow p_i) = k_i / \sum_{k=1}^{N} k_i
$$

Where k_i is the degree of node *i*.

2. For load balance, when node pⁱ *is overloaded, it rejects to link with* P*new but recommends a neighbor with a high degree* to P_{new} .

The power-law random network consists of 10⁵ nodes. Four experiments are designed to evaluate performance of NTIR. And details about parameters of every experiment are described in section 3.2 respectively.

3.2 Performance Metrics

- *HR*: If there are *x* nodes query a rare file *B* and *y* nodes obtain the answer, *HR=y/x*. *HR* is the main criterion to reflect search performance for rare resources.
- *q*: The number of nodes the query has covered before it terminates. This is measured by TTL of Flooding.
- *r*: Replication ratio. If there are *x* replicas of file *B* distributed into the P2P system with *N* nodes, then *r=x/N*.

3.2 Simulation Results

In unstructured P2P systems, two major search algorithms are Flooding and Random Walks. For NTIR, *q* is the number of nodes the query has covered, which is same for the two algorithms, therefore we implement only Flooding algorithm in our simulations.

We first compared *HR* with and without NTIR. We simulated a system with $10⁵$ nodes and used NTIR to distribute 10 index replicas of 10 distinct kinds of rare files in the firstgrade nodes. Then we figure out *HR* of rare files. From Fig.3, we see that more than 90% of all rare files can be found within a few TTL.

Fig.3. *HR* varied with TTL

Fig.4. *HR* of two mechanisms varied with

TTL

Secondly, we compared the performance of NTIR with that of THIR in the same setting: 10 index replicas of 10 distinct kinds of rare files are distributed to the system of $10⁵$ nodes with the two mechanisms respectively. From Fig.4, we see that *HR* of NTIR is higher than that of THIR when TTL is little. AS TTL increases, both keep an increasing trend to100%.

Thirdly, in Fig.5, we plotted *HR* changed as the size of network grew using a fixed replication ratio of 0.01% and a fixed 5 TTL of Flooding. We see evidence that the NTIR scales well as the size of the network increased.

Fig.5. *HR* changed with Network Size

Fourthly, we compared *HR* of NTIR with that of THIR in the same setting as the second simulation and a fixed 5 TTL of Flooding. In Fig.6, if the first-grade nodes fail probabilistically, *HR* is maintained at a high level through the whole process. The threegrade balanced tree can avoid the single point of failure on the first-grade nodes while THIR mainly involves super nodes, the failure of super nodes leads to the decrease of *HR*.

Fig.6. *HR* changed with probability of node failure

According to the above simulation results, NTIR achieves higher *HR* than THIR under low overhead.

4. CONCLUSION AND FUTURE WORK

This paper has proposed a simple distributed mechanism NTIR , which makes it easy to find rare files as well as popular files. According to peers' heterogeneity, it distributes rare files' index replications uniformly into the networks. Because NTIR don't rely on supernodes to store and deal with information , it can avoid the failure of single point caused by intentionally attacks on supernodes. For NTIR, the number of replicas is a tunable factor. When the first-grade nodes are under loaded, a few index replicas of rare resources distributed across them are enough. Otherwise the three-grade balanced tree is applied to keep a high level of *HR* under the minimum overhead. Mathematical analysis and simulation results verify that NTIR outperform THIR in terms of *HR* and overhead. Firstly NTIR has enhances the hit rate for rare resources from less than 1% to more than 90% under limited conditions, thus it is more feasible on real conditions. Secondly, the performance of NTIR scales well as the size of the network increased. Thirdly, when supernodes fail probabilistically, *HR* for rare resources can be maintained at a high level through the whole process.

Our future work includes studying NTIR's performance on real networks, implementating new techniques to reduce content delivery
time and the system $load^{[17,18]}$ and time and the system , and incorpoating a P2P metric of search efficiency and preventability of polluted contents^[19].

5. ACKNOWLEDGEMENTS

We are grateful for the financial support from the National Basic Research Program of China (973 Program grants 2009CB320403) and from the ChongQing Natural Science Foundation (grants CSTC, 2007ba2017).

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