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## SEARCHING FOR RARE RESOURCES IN UNSTRUCTURED P2P NETWORKS

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#### Abstract

This paper has presented a novel algorithm for searching for rare resources in unstructured P2P networks. Existing protocols such as Flooding and Random Walk can effectively locate popular resources while they are limited by very low hit rate for rare resources. For users, the utility of getting rare resources is no less than that of getting popular ones. Thus high hit rate for rare resources will improve the system's efficiency. According to different capability of different nodes, this paper explores a three-grade balanced tree to distribute index replicas of rare resources uniformly across a very small portion of nodes, which is easily deployable and lightweight in overhead. Both mathematical analysis and simulation results show that it improves the hit rate for rare resources from less than 1% to more than 90%.

**Keywords:** Debt Relationship, Non-cooperation Game, Pareto Efficiency, Social Utility, Individual Utility

## **1. INTRODUCTION**

Studies<sup>[1-5]</sup> have showed that files' query rates follow powerlaw distribution :  $p(k) = ck^{-r}$ .



Fig.1. Probability distribution of query frequencies

In Fig.1, objects are ranked by their query frequencies, with low rankings k indicating

Received Date : 09.06.2009 Accepted Date: 15.01.2010 highly accessed objects. P(k) represents probability distribution of k, which is a longtail distribution. We represent rare resources in the long tail as:  $R = \int_{T}^{\infty} p(k)dk$  and popular

resources as 
$$P = \int_{1}^{T} p(k) dk$$
. Clearly  $R << P$ ,

but for users, the utility of getting rare resources is not necessarily less than that of popular resources. In unstructured P2P systems such as Gnutella, KaZaA, Flooding and Random Walk can easily locate popular resources *P*. Boon et al. Shows 18% of the queries can't be responded even the resources exist<sup>[6]</sup>. In study[2], percolation is applied to search for resources efficiently. Through a random walk of size  $L(N,\tau)$  (N represents the size of network,  $\tau$  represents the exponential of power law network), index replicas of files are distributed to the whole system, while query seeds are implanted to  $\partial L(N,\tau)$  nodes. When every seed sends query at probability  $q > q_c$  (  $q_c$  is the percolation threshold) to neighbors, at least one replica could be found with high probability. But the number of both replicas and query seeds approach O(N) when they are distributed in low-degree nodes. In study[7], in order to guarantee the high HR for files,  $r_i$  replicas of file *i* are distributed in the system according to its query frequency  $q_i$ . When a large fraction of queries are insoluble,  $r_1 = r_2 = \dots = r_n = m$  (*m* is a constant); when the query rates of all types of files are comparatively high,  $r_i / r_j = \sqrt{q_i} / \sqrt{q_j}$ ; when the query rates of all types of files are distributed uniformly,  $r_i / r_i = q_i / q_i$ . This way minimizes system overhead spent on insoluble queries. But for unstructured P2P networks, it is difficult to estimate query rates of all files.

THIR(Two Hop Index Replication)<sup>[3]</sup> mechanism is used to search for rare resources. In THIR, let *k* be the degree of a random node, then the probability  $p(k) = ck^{-r}$ ,  $2 \le r \le 3.475$ Where  $c^{-1} = \sum_{k=2}^{k_{max}} k^{-r} \approx \zeta(r) - 1$ .  $\zeta(.)$  is the

## 2. SYSTEM DESIGN

Index replicas mean that the metadata or pointers of files is distributed into the whole networks through Bloom Filters<sup>[7]</sup> or Index Tables<sup>[8-10]</sup>, which is effective at improving the scalability of unstructured P2P networks while incurring much lower overhead. Our work explores the use of index replicas on a threegrade balanced tree, which enables any query to cover a large portion of the network in a few TTL (Time To Live) of Flooding, thus significantly improving. Riemann zeta function, thus *c* can be considered constant.  $k_{\max} = N^{1/r}$  where *N* is the network size. Superpeers have a degree *k* such that  $N^{1/r-\delta} \le k \le N^{1/r}$  with  $\delta \in (0,1/r)$  and *S* be the set of superpeers that forms the network core. Also it proves that the number of superpeers is  $\Omega(N^{(r-1)/r-\delta+\delta})$  with three parameters  $\delta \in (0,1/r), r \in [2,3.475], \delta \in (0.0.425)$ .

In THIR, replicas' storage and queries depend on only a few  $\Omega(N^{(r-1)/r-\alpha+\delta})$  super peers. If a query for a rare object goes through the network core S, HR (Hit Rate) can approach nearly 100%. This way might lead to an explosion in overhead on superpeers while the majority of peers where their degrees  $k \in [2, N^{1/r-\delta})$  are zero loaded. Attacks to superpeers easily incur a single point of failure. Why not amplify the superpeers' range to achieve load balance and high HR for rare resources?

In order to overcome the shortcomings of THIR, we propose a new mechanism NTIR (New Three-grade Index Replication). NTIR classifies nodes to different grades. Proportional to the capacity, quantity and *HR* of different nodes, NTIR distribute different quantity of replicas to different nodes. Simulation and mathematical analysis prove it achieves load balance and high *HR* for rare resources while incurring minimum overhead.

# 2.1 Different *HR* for Replicas Saved in Different Nodes

It has shown that both Internet and unstructured P2P topologies hold power-law properties <sup>[3]</sup>. In this model, high capacity means high capability of CPU, large storage, great bandwidth, long online time and much low churn rates. High-capacity nodes can attract more neighbors than low-capacity ones. Therefore a node's capacity is roughly proportional to its maximum connectivity. For reader's convenience, we summarize several notations frequently used in this paper in Table 1.

Table 1.         Summary of Notation				
Notation	Description			
i(i=1,2,,n)	the grade of nodes			
$x_i$	the number of replicas in <i>i</i> -			
	grade nodes			
$h_i$	the HR for replicas saved in i-			
	grade nodes			
$a_i$	the expense for maintaining a			
	replica in <i>i-grade</i> nodes;			
С	the size of a replica			
A	the total limit of expense for			
	maintaining all the replicas in			
	the system			
С	the total limit of the storage			
	for all the replicas in the			
X	system			
	the limit of the number of all			
N	replicas of file <i>B</i>			
	the number of nodes in the			
$n_1$	system			
	the number of the first-grade			
$n_2$	nodes			
	the number of the second-			
$n_3$	grade nodes			
	the number of the third-grade			
	nodes			

**Definition 1:** Peers are classified as n grades by their capacity  $p_i$ . If i < j, then  $p_i > p_j$ .

*Lemma 1*: If i < j, then  $h_i > h_j \cdot i, j=1, 2, ...,$ n.

**Proof:** i < j means  $p_i > p_j$ . The replicas saved in high-grade nodes are more reliable than low-grade nodes, and hence provide better responses to queries, therefore  $h_i > h_i$ .

Multiple replicas can enhance HR for rare resources, but the maintenance overhead of replicas can't be ignored. So the number of replicas must be controlled under limited conditions. In order to get the maximum HR of file B in the whole system, how many index replicas should be created and how to distribute the replicas in different nodes?

The *HR* for file *B* in the whole system can be computed by

$$\max \qquad HR = 1 - \prod_{i=1}^{n} (1 - h_i)^{x_i} \qquad (1)$$
  
s.t 
$$\sum_{i=1}^{n} a_i x_i \le A$$
$$\sum_{i=1}^{n} c x_i \le C$$
$$\sum_{i=1}^{n} x_i \le X$$

**Theorem 1:** If  $a_1 = a_2 = ... = a_n$ , then the optimal solution to Eq. (1) is  $HR = 1 - (1 - h_1)^X$  where  $x_l = X$ and  $x_2 = x_3 = \dots = x_n = 0$ 

i = 1, 2..., n

**Proof:** The solution to Eq. (1) is equal to the solution to Eq. (2)

min 
$$\prod_{i=1}^{n} (1 - h_i)^{x_i}$$
st 
$$\sum_{i=1}^{n} c \ x_i \le C$$

$$\sum_{i=1}^{n} x_i \le X$$
(2)

Eq.(2) is a nonlinear programming problem and we provide the solution of dynamic programming. According to optimal theory<sup>[12-</sup> <sup>13]</sup>, let  $f_i(x_i)(i = 1, 2, ..., n)$  represents the probability of all the replicas x in the *i-grade* nodes being disable at the same time, and  $F_{\mu}(x)$  denotes the minimum probability of failure when x replicas are distributed to nodes whose grades range from 1 to k. Eq.(2) can be

$$\begin{cases} F_{1}(x) = f_{1}(x) = (1-h_{1})^{x} \\ F_{k}(x) = \min_{0 \le x_{k} \le x} \{f_{k}(x_{k}) * F_{k-1}(x-x_{k})\} \ k = 2,3..n \\ \sum_{i=1}^{k} c \ x_{i} \le C \\ \sum_{i=1}^{k} x_{i} \le X \end{cases}$$
(3)

rewritten as

By computing the value  $F_1, F_2, \ldots, F_n$ recursively, in the end we can get  $F_n(x) = (1 - 1)^{-1}$  $(h_1)^X$ , i.e. when  $x_1 = X$ ,  $x_2 = x_3 = ... = x_n$ , we get  $1 - F_n(x) = 1 - (1 - h_1)^X$  which is the maximum *HR* for file *B*.

Theorem 1 shows that in order to achieve the highest HR under minimum overhead, the ideal

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model is to distribute all replicas in the firstgrade nodes, but which might lead to information explosion when the first-grade nodes are overloaded.

2.2 The New Three-grade Index Replication

Algorithm NTIR

**Definition 2:** According to the degree k, the nodes are classified to three grades:

The first grade:  $2k_{\text{max}}/3 \le k_1 \le k_{\text{max}}$ The second grade:  $k_{\text{max}}/3 < k_2 < 2/3k_{\text{max}}$ 

the third grade:  $1 \le k_3 \le k_{\text{max}} / 3$ 

**Theorem 2:** The number of the first-grade nodes is  $\Omega(N^{1/r})$ .

**Proof:** The probability that a random node x is a first-grade one is  $p(2k_{\text{max}} / 3 \le k \le k_{\text{max}})$  For all decreasing function like  $k^{-r}$ , it is possible to bind it as following:

$$p(2K_{\max} / 3 \le k \le k_{\max}) = \sum_{\substack{k=\frac{2}{3}k_{\max}\\\frac{2}{3}k_{\max}}}^{k_{\max}} ck^{-r} > \int_{\frac{2}{3}k_{\max}}^{k_{\max}} ck^{-r} dk$$
$$(\frac{3}{2})^{r-1} - 1$$

$$=\frac{2}{(r-1)k_{\max}^{r-1}}=\frac{1}{k_{\max}^{r-1}}$$

Where 
$$c_1 = c \frac{(\frac{3}{2})^{r-1} - 1}{r-1}$$

So the probability that *x* is a first-grade node is

$$\Omega(k_{\max}^{1-r})$$
.

Let  $X_1, X_2, \dots, X_N$  be N random variables and  $X = \sum_{i=1}^{N} X_i$  such that:  $X_i = \begin{cases} 1, & \text{if } x_i & \text{is a first } -grade & node \\ 0, & \text{otherwise} \end{cases}$ 

Let  $p_i$  be the probability that  $X_i = 1$  and  $k_{\max} = N^{1/r}$ <sup>[3]</sup>,  $E(X) = \sum_{i=1}^{N} p_i = \sum_{i=1}^{N} k_{\max}^{1-r} = NK^{1-r} = N^{1/r}$ 

Let  $0 < \delta \le 1$  then by Chernoff bound<sup>[11, 14]</sup>,

 $p(X < (1 - \delta) E(X)) < e^{-E(X)\delta^2/2}$ With the value of E(X) and let  $\delta = 1/2$ , we get the following:

 $P \ ( \ X \ < \ N^{\ 1/r} \ / \ 2 \ ) \ < \ e^{\ - \ N^{\ 1/r} \ / \ 8} \ < \ 1 \ / \ N$ 

For each *r*, there is a set of  $\delta$  values that satisfy the inequality. So the number of the first-grade nodes in the network is  $\Omega(N^{1/r})$ 

**Theorem 3:** The number of the second-grade nodes is  $\Omega(N^{1/r})$ , the number of the third-grade nodes is  $\Omega(N)$ .

The proof is similar to theorem 2.

**Theorem 4:**  $n_1 < n_2 < n_3$  and  $h_1 > h_2 > h_3$ . **Proof:** From lemma 1 and theorem 2,3, it can be proved.

For load balance, the system can be organized to a three-grade balanced tree as Fig.2. Theorem 1 and theorem 4 show that index replicas are distributed among the first-grade nodes, the highest *HR* will be achieved under the minimum overhead. This means if index replicas for rare resources are distribute uniformly, query from anywhere will hit a replica after Flooding within a few TTL in the first-grade nodes. When the first-grade nodes are overloaded, the second-grade nodes are taken into account and so on.



Let  $r_i$  represents the number of index replicas in the *i-grade* nodes,  $q_i$  represents the number of the *i-grade* nodes the query has covered. The following theorem can decide how to choose  $r_i$  and  $q_i$  respectively.

Theorem 5: When

$$r_1 q_1 \ge N^{1/r} \left[ (1 + \frac{\alpha}{r} \ln N) + \sqrt{(1 + \frac{\alpha}{r} \ln N)^2 - 1} \right], \text{ the}$$

probability of hit at least one replica after q1

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nodes the query has covered in the first-grade nodes is  $p \ge 1 - N^{-\alpha}$ , where  $\alpha > 0$  is a constant.

**Proof:** Let *H* represents the number of the first-grade nodes. For every node in the first-grade nodes, the hit probability for file replicas is  $p=r_1/H$ , let  $X_1...X_{q1}$  be  $q_1$  independent variables which represent the status whether the node has the replica, then

$$X_{i} = \begin{cases} 1 & hit \ a \ replica \\ 0 & otherwise \end{cases}$$

And

$$P[X_{i} = 1] = r_{1} / H$$
$$P[X_{i} = 0] = 1 - r_{1} / H$$

Let  $Y = \sum_{i=1}^{q_1} X_i$ , then the expectation of *Y* is given as below

$$E(Y) = \sum_{i=1}^{q_1} r_1 / H = r_1 q_1 / H$$

By Chernoff bound, for any  $0 < \delta \leq 1$ ,

$$P(Y < (1 - \delta) E(Y)) < e^{-E(Y)\delta^{2}/2}$$
  
Let  $\begin{cases} e^{-E(Y)\delta^{2}/2} = N^{-a} & \text{where } a > 0 \\ (1 - \delta) E(Y) = 1 \end{cases}$  where  $a > 0$  is

a constant.

Theorem 2 has proved that  $H = \Omega(N^{1/r})$ , with the value H and E(Y), we get when  $r_1q_1 \ge N^{1/r} \left[ (1 + \frac{\alpha}{r} \ln N) + \sqrt{(1 + \frac{\alpha}{r} \ln N)^2 - 1} \right]$ ,  $p(X \ge 1) \ge 1 - N^{-\alpha}$ .

For r=3, a=1,  $N=10^6$  when  $r_1q_1 \ge 1120$ ,  $P[Y \ge 1] \ge 99\%$  where  $r_1=28$ ,  $q_1=40$ . Compared with THIR<sup>[3,15]</sup>, the system's efficiency is improved significantly. The replication rate is  $\lambda = r_1 / N = 0.004\%$  where  $r_1=28$ ,  $q_1=40$ . Which means if we disseminates 28 replicas uniformly in the first-grade nodes, only after searching 40 first-grade nodes, query can be resolved with probability higher than 99%.

Theorem 6: when

 $r_2q_2 \ge N^{1/r}[(1+\beta \operatorname{Ln} N/r)+\sqrt{(1+\beta \operatorname{Ln} N/r)^2-1}]$ , the probability of hit at least one replica after  $q_2$  nodes the query has covered is

 $p \ge 1 - N^{-\beta}$ , where  $\beta > 0$  is a constant.

The proof follows the same technique as theorem 5.

Theorem 7: when

 $r_3q_3 \ge N((1 + \beta LnN) + \sqrt{(1 + \beta LnN)^2 - 1})$ , the probability of hit at least one replica after  $q_3$  nodes the query has covered is  $p \ge 1 - N^{-\beta}$ , where  $\beta > 0$  is a constant. The proof is similar to theorem 5.

Theorems 1, 5, 6, 7, show that the highest *HR* in the first grade nodes can be guaranteed under the minimum overhead. Generally, only if the first-grade nodes are overloaded, we take into account low-capacity nodes.

#### 2.3 Case Study and Analyses

A single point of failure on the first-grade nodes can be avoided by the three-grade balanced tree. When the first-grade nodes are overloaded or failed, its overhead for replicas maintenance and query latency will increase. In this case, the second-grade nodes and the third-grade nodes can be taken into account as follows.

**Example 1.** When the first-grade nodes are overloaded while the others are lightweight. Nodes details can be found in Table 2. Assume the overhead can't exceed 20 units. In this situation, how to distribute index replicas of file B to the system while guaranteeing the highest *HR* of file *B*?

Table 2.The First-Grade Nodes areOverloaded

Node grade	Overhead	Hit probability
1	11 units	0.8
2	7 units	0.6
3	2 units	0.3

Table	3.	The	First-Grade	Nodes	are	Under
load						

Node grade	Overhead	Hit probability
1	2 units	0.8
2	2 units	0.6
3	2 units	0.3

By Eq. (3), we get

$$\begin{cases} F_1(x) = f_1(x) \\ F_2(x) = \min_{0 \le x_2 \le x} \{ f_2(x_2) * F_1(x - x_2) \} \\ F_3(x) = \min_{0 \le x_1 \le x} \{ f_3(x_3) * F_2(x - x_3) \} \end{cases}$$
(4)

Eq.(4) is a recursive algorithm.  $F_1$  can be computed firstly, followed by  $F_2$ ,  $F_3$ .  $F_1(x) = f_2(x) = 1 - 0.8 = 0.2$ 

$$F_{2}(x) = \min_{0 \le x_{2} \le x} \{ f_{2}(0) * F_{1}(x), f_{2}(1) * F_{1}(x-1), f_{2}(2) * F_{1}(x-2) \}$$
  
= min {  $f_{2}(0) * F_{1}(2), f_{2}(1) * F_{1}(1), f_{2}(2) * F_{1}(0) \}$   
= min {  $0.2, 0.08, 0.16 \} = 0.08$ 

$$F_3(x) = \min_{0 \le x_1 \le x} \{ f_3(0) * F_2(x), f_3(1) * F_2(x-1), f_3(2) * F_2(x-2), f_3(x-2), f_3(x-2)$$

 $f_3(3) * F_2(x-3), f_3(4) * F_2(x-4), f_3(5) * F_2(x-5)$ = min { 0.08, 0.056, 0.078, 0.054, 0.046, 0.0672 }

= 0.046

When  $x_1=1$ ,  $x_2=0$ ,  $x_3=4$  the maximum *HR* is 1-0.046=0.954. Which shows when the first-grade nodes are overloaded, one replica is distributed to the first-grade nodes, four replicas to the third-grade nodes. This way can guarantee the highest *HR* under the minimum overhead.

**Example 2.** Assume the first-grade nodes are under loaded, nodes' detail can be found in Table 3. In this condition, in order to achieve the *HR* higher than 90%, how to distributed replicas of file *B* under minimum overhead?

By Eq. (2), we get  

$$\begin{cases} \prod_{i=1}^{3} (1-h_i)^{x_i} \le 1-0.9 \\ \min \quad X = x_1 + x_2 + x_3 \end{cases}$$
(5)

From Eq.(5), we get  $x_1 = 2 \Box x_2 = x_3 = 0$ , which shows when the first-grade nodes are under loaded, two replicas are enough. In this case, we take into account only the first-grade nodes.

## **3. PERFORMANCE**

## **EVALUATION**

In this section, we study the performance of our search technique and compare its performance with THIR.

#### 3.1 Network Toplology

In order to evaluate NTIR mechanism, we use PEERSIM<sup>[14]</sup> to construct a power-law random network, which possesses two following laws: 1. Upon arrival, the new node  $P_{new}$  choose to connect with *m* already existing nodes  $p_1$ ,  $p_2,..., p_m$  with probability

$$P(p_{new} \leftrightarrow p_i) = k_i / \sum_{k=1}^{N} k_i$$

Where  $k_i$  is the degree of node *i*.

2. For load balance, when node  $p_i$  is overloaded, it rejects to link with  $P_{new}$  but recommends a neighbor with a high degree to  $P_{new}$ .

The power-law random network consists of  $10^5$  nodes. Four experiments are designed to evaluate performance of NTIR. And details about parameters of every experiment are described in section 3.2 respectively.

#### 3.2 Performance Metrics

- *HR*: If there are x nodes query a rare file B and y nodes obtain the answer, HR=y/x. *HR* is the main criterion to reflect search performance for rare resources.
- *q*: The number of nodes the query has covered before it terminates. This is measured by TTL of Flooding.
- *r*: Replication ratio. If there are *x* replicas of file *B* distributed into the P2P system with *N* nodes, then r=x/N.

3.2 Simulation Results

In unstructured P2P systems, two major search algorithms are Flooding and Random Walks. For NTIR, q is the number of nodes the query

has covered, which is same for the two algorithms, therefore we implement only Flooding algorithm in our simulations.

We first compared HR with and without NTIR. We simulated a system with  $10^5$  nodes and used NTIR to distribute 10 index replicas of 10 distinct kinds of rare files in the first-grade nodes. Then we figure out HR of rare files. From Fig.3, we see that more than 90% of all rare files can be found within a few TTL.



Fig.3. HR varied with TTL



Fig.4. HR of two mechanisms varied with

#### TTL

Secondly, we compared the performance of NTIR with that of THIR in the same setting: 10 index replicas of 10 distinct kinds of rare files are distributed to the system of  $10^5$  nodes with the two mechanisms respectively. From Fig.4, we see that *HR* of NTIR is higher than that of THIR when TTL is little. AS TTL increases, both keep an increasing trend to100%.

Thirdly, in Fig.5, we plotted HR changed as the size of network grew using a fixed replication ratio of 0.01% and a fixed 5 TTL of Flooding. We see evidence that the NTIR

scales well as the size of the network increased.



Fig.5. HR changed with Network Size

Fourthly, we compared *HR* of NTIR with that of THIR in the same setting as the second simulation and a fixed 5 TTL of Flooding. In Fig.6, if the first-grade nodes fail probabilistically, *HR* is maintained at a high level through the whole process. The threegrade balanced tree can avoid the single point of failure on the first-grade nodes while THIR mainly involves super nodes, the failure of super nodes leads to the decrease of *HR*.



Fig.6. *HR* changed with probability of node failure

According to the above simulation results, NTIR achieves higher *HR* than THIR under low overhead.

## 4. CONCLUSION AND FUTURE WORK

This paper has proposed a simple distributed mechanism NTIR, which makes it easy to find rare files as well as popular files. heterogeneity, it According to peers' distributes rare files' index replications uniformly into the networks. Because NTIR don't rely on supernodes to store and deal with information, it can avoid the failure of single point caused by intentionally attacks on supernodes. For NTIR, the number of replicas is a tunable factor. When the first-grade nodes are under loaded, a few index replicas of rare resources distributed across them are enough. Otherwise the three-grade balanced tree is applied to keep a high level of HR under the minimum overhead. Mathematical analysis and simulation results verify that NTIR outperform THIR in terms of HR and overhead. Firstly NTIR has enhances the hit rate for rare resources from less than 1% to more than 90% under limited conditions, thus it is more feasible on real conditions. Secondly, the performance of NTIR scales well as the size of the network increased. when supernodes Thirdly, fail probabilistically, HR for rare resources can be maintained at a high level through the whole process.

Our future work includes studying NTIR's performance on real networks, implementating new techniques to reduce content delivery time and the system load<sup>[17,18]</sup>, and incorpoating a P2P metric of search efficiency and preventability of polluted contents<sup>[19]</sup>.

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