

E-ISSN 2980-3020

ISTANBUL JOURNAL OF MATHEMATICS

VOLUME 1 • NUMBER 1 • JUNE 2023





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<https://iupress.istanbul.edu.tr/en/journal/ijmath/home>

<https://dergipark.org.tr/en/pub/ijmath>

PUBLISHER

İstanbul University Press

İstanbul University Central Campus,
34452 Beyazıt, Fatih, İstanbul, Türkiye

Phone: +90 (212) 440 00 00

Authors bear responsibility for the content of their published articles.

The publication language of the journal is English.

This is a scholarly, international, peer-reviewed and open-access journal published biannually in June and December.

Publication Type: Periodical

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A new characterization of the Hardy space and of other Hilbert spaces of analytic functions

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ABSTRACT

The Fock space can be characterized (up to a positive multiplicative factor) as the only Hilbert space of entire functions in which the adjoint of derivation is multiplication by the complex variable. Similarly (and still up to a positive multiplicative factor) the Hardy space is the only space of functions analytic in the open unit disk for which the adjoint of the backward shift operator is the multiplication operator. In the present paper we characterize the Hardy space and some related reproducing kernel Hilbert spaces in terms of the adjoint of the differentiation operator. We use reproducing kernel methods, which seem to also give a new characterization of the Fock space.

Mathematics Subject Classification (2020): 46E22, 47B32, 30H20, 30H10

Keywords: Reproducing kernel, Hardy space, Fock space

1. INTRODUCTION

The Fock (or Bargmann-Fock-Segal) space consists of the entire functions f such that

$$\frac{1}{\pi} \iint_{\mathbb{C}} |f(z)|^2 e^{-|z|^2} dx dy < \infty, \quad (1)$$

and is the reproducing kernel Hilbert space with reproducing kernel

$$e^{z\bar{w}}. \quad (2)$$

It is (up to a positive multiplicative factor) the unique Hilbert space of entire functions in which

$$\partial_z^* = M_z, \quad (3)$$

where ∂_z denote the derivative with respect to z , and will be used throughout the work along with the notation $(\partial_z f)(z) = f'(z)$. Furthermore, in (3) M_z stands for multiplication by the variable z , e.g., $(M_z f)(z) = zf(z)$. We refer to the work of Bargmann [Bargmann \(1961, 1962\)](#) for this result. Formula (3) suggests to find similar characterizations for other important spaces of analytic functions. In particular, we have in mind the following spaces of functions analytic in the open unit disk \mathbb{D} :

(1) The Bergman space, which consists of the functions analytic in \mathbb{D} and such that:

$$\frac{1}{\pi} \iint_{\mathbb{D}} |f(z)|^2 dx dy < \infty,$$

with the reproducing kernel $\frac{1}{(1-z\bar{w})^2} = \sum_{n=0}^{\infty} (n+1)z^n \bar{w}^n$.

(2) The Hardy space \mathbf{H}^2 , when the condition is:

$$\lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} |f(re^{it})|^2 dt < \infty,$$

with the reproducing kernel $\frac{1}{1-z\bar{w}} = \sum_{n=0}^{\infty} z^n \bar{w}^n$.

Corresponding Author: N. Alpay **E-mail:** nalpay@chapman.edu

Submitted: 28.03.2023 • **Last Revision Received:** 15.06.2023 • **Accepted:** 16.06.2023 • **Published Online:** 19.06.2023



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(3) The Dirichlet space, for which the functions vanish at the origin and satisfy

$$\frac{1}{\pi} \iint_{\mathbb{D}} |f'(z)|^2 dx dy < \infty,$$

with the reproducing kernel $-\ln(1 - z\bar{\omega}) = \sum_{n=1}^{\infty} \frac{z^n \bar{\omega}^n}{n}$.

In the present work we approach this problem using reproducing kernel Hilbert spaces methods. We prove the following results.

Theorem 1.1. *The Hardy space is, up to a positive multiplicative factor, the only reproducing kernel Hilbert space of functions analytic in \mathbb{D} , in which the equality*

$$\partial_z^* = M_z \partial_z M_z \tag{4}$$

holds on the linear span of the kernel functions.

Note that both in this, and in the next theorem, one could assume that the functions are analytic only in a neighborhood of the origin, and then use analytic continuation. We also note that the unbounded operator $M_z \partial_z$ is diagonal, and acts on the polynomials as the number operator of quantum mechanics:

$$M_z \partial_z (z^n) = n z^n, \quad n = 0, 1, \dots,$$

see e.g. (Fayngold and Fayngold 2013, p. 548) which is the radial derivative for mathematics.

As mentioned above, the Hardy space of the open unit disk \mathbb{D} has reproducing kernel $\frac{1}{1-z\bar{\omega}}$. More generally, for every $\alpha \in (0, \infty)$, the function $\frac{1}{(1-z\bar{\omega})^\alpha}$ is positive definite in \mathbb{D} , as can be seen from the power series expansion of the function $\frac{1}{(1-t)^\alpha}$ with center at the origin as

$$\frac{1}{(1-z\bar{\omega})^\alpha} = 1 + \sum_{n=1}^{\infty} \frac{\alpha(\alpha+1) \cdots (\alpha+n-1)}{n!} z^n \bar{\omega}^n, \quad z, \omega \in \mathbb{D}. \tag{5}$$

We will use a similar notation to Bargmann (see (Bargmann 1961, Remark 2g, page 203)), and denote \mathfrak{H}_α to be the associated reproducing kernel Hilbert space, characterized by the following result.

Theorem 1.2. *Let $\alpha > 0$. Then the space \mathfrak{H}_α is, up to a multiplicative factor, the only reproducing kernel Hilbert space of functions analytic in \mathbb{D} , in which the equality*

$$\partial_z^* = M_z \partial_z M_z - (1 - \alpha) M_z, \quad \alpha > 0, \tag{6}$$

holds on the linear span of the kernel functions.

The case $\alpha = 1$ corresponds to the Hardy space and Theorem 1.1, and $\alpha = 2$ corresponds to the Bergman space. The case $\alpha = 0$ would “correspond” to the Dirichlet space, in the sense that

$$\lim_{\alpha \rightarrow 0} \frac{1}{\alpha} \left(\frac{1}{(1-z\bar{\omega})^\alpha} - 1 \right) = -\ln(1 - z\bar{\omega}).$$

Note that ∂_z is not densely defined in the Dirichlet space (since $\partial_z k_\omega$ is not in the Dirichlet space for $\omega \neq 0$), and therefore its adjoint is a relation and not an operator. We were not able to get a counterpart of Theorem 1.2 for $\alpha = 0$, but we have the following result.

Theorem 1.3. *The Dirichlet space is, up to a positive multiplicative factor, the only reproducing kernel Hilbert space of functions analytic in \mathbb{D} , for which the equality*

$$\partial_z^2 k = \bar{\omega}^2 \partial_z \partial_{\bar{\omega}} k \tag{7}$$

holds for its kernel k , pointwise for $z, \omega \in \mathbb{D}$.

Note that (7) is not an equality in the Dirichlet space, but rather, an equality between analytic functions. We give a similar characterization of the Fock space in Proposition 2.5.

More generally, our analysis suggests a new direction in the study of the connections between reproducing kernel Hilbert spaces and operator models. In particular, the following question is of interest: For which polynomials of two variables $p(x, y)$ does the equation

$$\partial_z^* = p(M_z, \partial)$$

characterize a reproducing kernel Hilbert space?

Remark 1.4. When denoting inner products, we will sometimes mention explicitly the variable inside an inner product by writing $\langle f(z), g(z) \rangle$ rather than $\langle f, g \rangle$ to make the reading easier. See for instance equation (11).

Remark 1.5. A kernel $k(z, \omega)$ analytic in z and $\bar{\omega}$ in a neighborhood of $(0, 0)$ (see Proposition 2.2) has a power series expansion at $(0, 0)$ of the form

$$k(z, \omega) = \sum_{n,m=0}^{\infty} c_{n,m} z^n \bar{\omega}^m. \quad (8)$$

where

$$c_{n,m} = \langle z^n, \omega^m \rangle^{-1} \quad (9)$$

To ease the presentation, we associate to (8) the infinite matrix $C(k) = (c_{m,n})_{n,m=0}^{\infty}$. Note that $C(k)$ does not necessarily need to define a bounded operator in $\ell^2(\mathbb{N}_0)$. For instance, for the Bergman kernel

$$\frac{1}{(1 - z\bar{\omega})^2} = 1 + 2z\bar{\omega} + 3(z\bar{\omega})^2 + \cdots,$$

we have

$$C(k) = \begin{pmatrix} 1 & & & \\ & 2 & & 0 \\ & & 3 & \\ & 0 & & \ddots \end{pmatrix},$$

which is unbounded on $\ell^2(\mathbb{N}_0)$.

The paper consists of four sections besides the introduction. In Section 2 we review a number of definitions and results on reproducing kernel Hilbert spaces of analytic functions. Sections 3, 4, and 5 contain proofs of Theorems 1.1, 1.2, and 1.3 respectively.

2. REPRODUCING KERNEL HILBERT SPACES

In this section we will briefly review the properties of reproducing kernel Hilbert spaces needed in the following sections. We first recall a definition.

Definition 2.1. A reproducing kernel Hilbert space is a Hilbert space $(\mathcal{H}, \langle \cdot, \cdot \rangle)$ of functions defined in a non-empty set Ω such that there exists a complex-valued function $k(z, \omega)$ defined on $\Omega \times \Omega$ and with the following properties:

1. $\forall \omega \in \Omega, \quad k_{\omega} : z \mapsto k(z, \omega) \in \mathcal{H} \rightarrow \mathcal{H}$,
2. $\forall f \in \mathcal{H}, \quad \langle f, k_{\omega} \rangle = f(\omega)$.

The function $k(z, \omega)$ is uniquely defined by the Riesz representation theorem, and is called the reproducing kernel of the space. The reproducing kernel (kernel, for short) has a very important property: it is positive definite, that is, for all $N \in \mathbb{N}$, $\omega_1, \dots, \omega_N \in \Omega$, and $c_1, \dots, c_N \in \mathbb{C}$, we have

$$\sum_{i,j=1}^N c_j \bar{c}_i k(\omega_i, \omega_j) \geq 0.$$

In particular, it can be shown that the equation above implies that $k(z, \omega)$ is Hermitian, i.e.,

$$k(z, \omega) = \overline{k(\omega, z)}. \quad (10)$$

We refer to the book Saitoh (1988) for more information on reproducing kernel Hilbert spaces, and we recall that there is a one-to-one correspondence between positive definite functions on a given set and reproducing kernel Hilbert spaces of functions defined on that set. In the present work we are interested in the case where Ω is an open neighborhood of the origin, and where the kernels are analytic in z and $\bar{\omega}$. The following result is a direct consequence of Hartog's theorem, and will be used in the sequel. For a different proof, see (Donoghue 1974, p. 92).

Proposition 2.2. *Let \mathcal{H} be a reproducing kernel Hilbert space of functions analytic in $\Omega \subset \mathbb{C}$, with reproducing kernel $k(z, \omega)$. Then the reproducing kernel is jointly analytic in z and $\bar{\omega}$.*

Proof. Since the kernels belong to the space, we have that for every $\omega \in \Omega$ the function $z \mapsto k(z, \omega)$ is analytic in Ω . From (10) it follows that the kernel is also analytic in $\bar{\omega}$. Hartog's theorem (see (Chabat 1990, p. 39)) allows us to conclude that $k(z, \omega)$ is jointly analytic in z and $\bar{\omega}$.

When derivatives come into play, one then has (12) below as the counterpart of (10):

Proposition 2.3. *Under the hypotheses of the above discussion, the elements of the associated reproducing kernel Hilbert space are analytic in Ω and the following hold:*

$$(\partial_w f)(\omega) = \langle f(z), \partial_{\bar{\omega}} k_\omega(z) \rangle \tag{11}$$

and

$$\partial_z k(z, \omega_0)|_{z=z_0} = \overline{\partial_{\bar{\omega}} k(\omega_0, \omega)|_{\omega=z_0}}. \tag{12}$$

Proof. The proof of (11) can be found in (Saitoh 1997, Theorem 9, p. 41). We give the proof of (12), where as in Definition 2.1 and in the rest of the work, we use the notation: $k_\beta : z \mapsto k(z, \beta)$ where $\beta \in \Omega$.

Setting $f(z) = k(z, \omega_0)$ in (11) gives

$$\partial_z k(z, \omega_0)|_{z=z_0} = \lesssim k(z, \omega_0), \partial_{\bar{\omega}} k(z, \omega)|_{\omega=z_0}$$

and so we have

$$\overline{\partial_z k(z, \omega_0)|_{z=z_0}} = \lesssim \partial_{\bar{\omega}} k(z, \omega)|_{\omega=z_0}, k(z, \omega_0) \rangle = \partial_{\bar{\omega}} k(z, \omega)|_{z=\omega_0, \omega=z_0},$$

and hence the result.

For some special cases, the reader could also check (12) for $k(z, \omega) = f(z\bar{\omega})$ or for $k(z, w) = a(z)\overline{a(w)}$, where $a(z)$ is analytic in some open subset of the complex plane. In particular, for the latter example we have:

$$\partial_z k(z, \omega_0)|_{z=z_0} = a'(z_0)\overline{a(\omega_0)}$$

on the one hand, and

$$\partial_{\bar{\omega}} k(\omega_0, \omega)|_{\omega=z_0} = a(\omega_0)\overline{a'(z_0)}$$

on the other hand, and hence taking conjugates we see that (12) holds. Since every positive definite function can be represented as an infinite sum of functions of the form $a(z)\overline{a(w)}$ (this is Bergman’s reproducing kernel formula, see Aronszajn (1950)), this would give another way to prove (12), after justifying interchange of sum and derivatives, but we preferred to give a direct proof.

The following is a main technical result that we will need in the proofs of the theorems.

Proposition 2.4. *Let $k(z, \omega)$ be positive definite and jointly analytic in z and $\bar{\omega}$ for z, ω in an open subset Ω of the complex plane. Assume that the operator ∂_z is densely defined in the associated reproducing kernel Hilbert space $\mathcal{H}(k)$. Then ∂_z is closed and in particular has a densely defined adjoint ∂_z^* which satisfies $\partial_z^{**} = \partial_z$.*

Proof. Let (f_n) be a sequence of elements in $\text{Dom } \partial$ and let $f, g \in \mathcal{H}$ be such that

$$\begin{aligned} f_n &\rightarrow f \\ \partial f_n &\rightarrow g \end{aligned}$$

where the convergence is in the norm. Since weak convergence follows from strong convergence, using (11), we have for every $\omega \in \Omega$ that

$$\langle f_n, \partial_{\bar{\omega}} k_\omega \rangle \rightarrow \langle f, \partial_{\bar{\omega}} k_\omega \rangle \quad \text{and} \quad \langle \partial f_n, k_\omega \rangle \rightarrow \langle g, k_\omega \rangle,$$

where the brackets denote the inner product in $\mathcal{H}(k)$. Hence it follows that

$$\lim_{n \rightarrow \infty} f'_n(\omega) = f'(\omega) \quad \text{and} \quad \lim_{n \rightarrow \infty} f'_n(\omega) = g(\omega).$$

Thus $g = f'$, and hence ∂ is closed. Hence, ∂ has a densely defined adjoint and $\partial^{**} = \partial$; see e.g. (Reed and Simon 1980, Theorem VIII.1, pp. 252-253).

As an application we prove the following characterization of the Fock space. In the statement, one could assume the functions analytic only in a neighborhood of the origin, and then use analytic continuation.

Proposition 2.5. *The Fock space is the unique (up to a positive multiplicative factor) reproducing kernel Hilbert space of entire functions where the equation*

$$\partial_z^* = M_z$$

holds on the linear span of the kernels (in particular the kernel functions are in the domain of ∂^* and of M_z).

Proof. Let $k(z, w)$ be the reproducing kernel of the space in the proposition. We want to show that $k(z, w) = ce^{z\bar{w}}$ for some $c > 0$. From Proposition 2.2 the kernel is jointly analytic in \mathbb{D} . Since $\partial^* = M_z$, it follows that

$$\lesssim \partial_z^* k(z, \omega), k(z, \nu) \rangle = \lesssim M_z k(z, \omega), k(z, \nu) \rangle.$$

Evaluating each side yields the following: For the right hand side we get

$$\lesssim M_z k(z, \omega), k(z, \nu) \rangle = \nu k(\nu, \omega)$$

since $M_z k(z, \omega) = zk(z, \omega)$. The left hand side yields

$$\begin{aligned} \lesssim \partial_z^* k(z, \omega), k(z, \nu) \rangle &= \lesssim k(z, \omega), \partial_z k(z, \nu) \rangle \\ &= \overline{\lesssim \partial_z k(z, \nu), k(z, \omega) \rangle} \\ &= \overline{\partial_z k(z, \nu)|_{z=\omega}} \\ &= \overline{\partial_\omega k(\omega, \nu)} \\ &= \partial_{\bar{\omega}} k(\nu, \omega), \end{aligned} \tag{13}$$

where we have used (12) to go from the penultimate line to the last one. Thus we obtain that $\partial_{\bar{\omega}} k(\nu, \omega) = \nu k(\nu, \omega)$, which is a differential equation with the solution

$$k(\nu, \omega) = c(\nu)e^{\nu\bar{\omega}},$$

where the function $c(\nu)$ is an entire function of ν (since $k(\nu, \omega)$ and $e^{\nu\bar{\omega}}$ are entire functions of ν). But $k(\nu, \omega) = \overline{k(\omega, \nu)}$. Hence $c(\nu) = \overline{c(\nu)}$ so that $c(\nu)$ is real valued. Using the Cauchy-Riemann equations, we see that $c(\nu)$ is a constant, which is furthermore positive since the kernel is positive.

Remark 2.6. The Fock space can be described in a geometric way by the Gaussian weight as in (1). The Gaussian weight has other characterizations. We mention in particular the one from information theory: the Gaussian distribution $\frac{1}{\sqrt{2\pi}}e^{-\frac{x^2}{2}}$ maximizes the entropy

$$-\int_{\mathbb{R}} f(x) \ln f(x) dx$$

among all probability distributions with zero mean and second moment equal to 1; see e.g. (Petz 2008, Exercise 4, p. 50) and (Ash 1990, Theorem 8.3.3, p. 240). It can also be characterized (after normalization) as the unique continuous radial weight function $\omega(z) = \frac{1}{\pi}e^{-|z|^2}$ such that for polynomial p and q under the inner product

$$\lesssim p, q \rangle = \frac{1}{\pi} \iint_{\mathbb{C}} p(z)\bar{q}(z)\omega(z)dA(z),$$

the operator of multiplication and differentiation are adjoint to each other; see Bargmann (1961) (and J. Tung's thesis Tung (1976)).

3. PROOF OF THEOREM 1.1

We first check that the kernel $k_\omega(z) = \frac{1}{1-z\bar{\omega}}$ is a solution of (4), i.e.

$$\langle \partial_z g, k(z, \omega) \rangle = \langle g, \partial_z^* k(z, \omega) \rangle = \langle g, M_z \partial_z M_z k(z, \omega) \rangle,$$

with $g(z) = \frac{1}{1-z\bar{\omega}}$. To verify the above, we compute the left side of the equation and have

$$\langle \partial_z k_\nu(z), k_\omega(z) \rangle = \left\langle \partial_z \left(\frac{1}{1-z\bar{\nu}} \right), k_\omega(z) \right\rangle = \left\langle \frac{\bar{\nu}}{(1-z\bar{\nu})^2}, k_\omega(z) \right\rangle = \frac{\bar{\nu}}{(1-\omega\bar{\nu})^2}.$$

Similarly, we independently calculate the right hand side as

$$\begin{aligned} \langle k_{\bar{\nu}}(z), M_z \partial_z M_z k_\omega \rangle &= \left\langle k_{\bar{\nu}}(z), M_z \partial_z \left(\frac{z}{1-z\bar{\omega}} \right) \right\rangle \\ &= \left\langle k_{\bar{\nu}}(z), \frac{z}{(1-z\bar{\omega})^2} \right\rangle \\ &= \overline{\left\langle \frac{z}{(1-z\bar{\omega})^2}, k_\nu(z) \right\rangle} \\ &= \frac{\bar{\nu}}{(1-\omega\bar{\nu})^2}, \end{aligned}$$

which comes to be the same as the left hand side.

To prove the converse we apply (4) to kernels, then we use analyticity to find the kernel via its Taylor expansion at the origin. Let $\omega, \nu \in \mathbb{D}$. From (4) we get

$$\langle \partial_z k_\omega, k_\nu \rangle = \lesssim k_\omega, \partial_z^* k_\nu \rangle = \langle k_\omega, M_z \partial_z M_z k_\nu \rangle. \tag{14}$$

We rewrite (4) as

$$\partial_z^* f = z(\partial_z z f) = z(z f' + f) = z^2 f' + z f.$$

By hypothesis the kernel functions belong to the domain of ∂_z^* and we have $\partial_z^{**} = \partial_z$ by Proposition 2.4. Therefore, By by (13) we obtain

$$\lesssim \partial_z^* k_\omega, k_\nu \rangle = (\partial_{\bar{\omega}} k)(\nu, \omega). \tag{15}$$

Then, using the two end sides of (14), we get

$$\begin{aligned} \lesssim M_z \partial_z M_z k_\nu(z), k_\omega(z) &= \overline{\lesssim k_\omega(z), M_z \partial_z M_z k_\nu(z)} \\ &= \overline{\lesssim k(z, \omega), z^2 \partial_z k(z, \nu) + z k(z, \nu)} \\ &= \overline{\lesssim k(z, \omega), z^2 \partial_z k(z, \nu)} + \overline{\lesssim k(z, \omega), z k(z, \nu)} \\ &= \bar{\omega}^2 \partial_{\bar{\omega}} k(\nu, \omega) + \bar{\omega} k(\nu, \omega) \end{aligned}$$

where we have used (12) to go from the penultimate line to the last one. Considering $k = k(z, \omega)$ and using (14), we get the partial differential equation

$$\partial_z k = \bar{\omega}^2 \partial_{\bar{\omega}} k + \bar{\omega} k. \tag{16}$$

The kernel is analytic in z and $\bar{\omega}$ near the origin, and hence can be written as (8). So we can rewrite (16) as

$$\sum_{n=1}^{\infty} \sum_{m=0}^{\infty} n c_{n,m} z^{n-1} \bar{\omega}^m = \sum_{n=0}^{\infty} \sum_{m=1}^{\infty} m c_{n,m} z^n \bar{\omega}^{m+1} + \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} c_{n,m} z^n \bar{\omega}^{m+1},$$

which can also be written as:

$$\begin{aligned} &\sum_{n=0}^{\infty} (n+1) c_{n+1,0} z^n + \sum_{n=0}^{\infty} (n+1) c_{n+1,1} z^n \bar{\omega} + \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (n+1) c_{n+1,m} z^n \bar{\omega}^m \\ &= \sum_{n=0}^{\infty} c_{n,0} z^n \bar{\omega} + \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} m c_{n,m-1} z^n \bar{\omega}^m. \end{aligned}$$

Now we compare the terms on the two sides. First we look at the part which is constant with respect to ω and get $\sum_{n=0}^{\infty} (n+1) c_{n+1,0} z^n = 0$. Hence

$$c_{n+1,0} = 0, \tag{17}$$

for all $n \in \mathbb{N}_0$.

Consider the coefficients of $z^n \bar{\omega}$ on both sides. Then we have $\sum_{n=0}^{\infty} (n+1) c_{n+1,1} z^n \bar{\omega} = \sum_{n=0}^{\infty} c_{n,0} z^n \bar{\omega}$. Hence

$$(n+1) c_{n+1,1} = c_{n,0}, \tag{18}$$

for all $n \in \mathbb{N}_0$. Note that for $n = 0$ we get $c_{0,0} = c_{1,1}$.

Consider the terms $z^n \bar{\omega}^m$, $m \geq 2$. Then $\sum_{n=0}^{\infty} \sum_{m=2}^{\infty} m c_{n,m-1} z^n \bar{\omega}^m = \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (n+1) c_{n+1,m} z^n \bar{\omega}^m$. Hence

$$m c_{n,m-1} = (n+1) c_{n+1,m}, \tag{19}$$

for all $n \in \mathbb{N}_0$ and $m = 2, 3, \dots$. Note if $m = n + 1$, then $(n+1) c_{n+1,n+1} = (n+1) c_{n,n}$. So

$$c_{0,0} = c_{1,1} = c_{2,2} = \dots \tag{20}$$

We now check that $c_{n,m} = 0$ when $n \neq m$. For $0 < m < n + 1$, using (18) and (19) it follows that

$$c_{n+1,m} = \alpha_{n,m} c_{n+1-m,0},$$

where $\alpha_{n,m} = \frac{m}{n+1} \frac{m-1}{n} \cdots \frac{1}{n+2-m} \neq 0$, then $c_{n+1,m} = 0$ by (17) for $n+1 > m$. The case $m > n$ is obtained by symmetry.

Hence, all off-diagonal entries of the matrix $C(k)$ (defined in Remark 1.5) will be zero, and it follows from (20) that $k(z, \omega) = \frac{c_{0,0}}{1-z\omega}$. This ends the proof of the theorem. \square

If we assume that the powers of z are in the domain of ∂^* and of M_z one has a simpler proof for the characterization given in Theorem 1.1 of the Hardy space, close in spirit to Bargmann's arguments. We note that conditions (1)-(4) in the statement of the next result are satisfied by \mathbf{H}^2 .

Proposition 3.1. *Let \mathcal{H} be a reproducing kernel Hilbert space of functions analytic in a neighborhood of the origin and such that*

1. M_z bounded,
2. $\{z^n\}_{n=0}^\infty \subset \text{Dom } \partial$,
3. $\text{Dom } \partial \subset \text{Dom } \partial^*$,
4. $\partial^* = M_z \partial M_z$.

Then $\mathcal{H} = \mathbf{H}^2$.

Proof. Let the kernel K of \mathcal{H} have the form in (8). From Proposition 2.2 the kernel is jointly analytic in \mathbb{D} . Take $f(z) = z^n$ and $g(z) = z^m$, then

$$\begin{aligned} \lesssim \langle f, \partial g \rangle &= \lesssim \langle z^n, m z^{m-1} \rangle & \lesssim \langle \partial^* f, g \rangle &= \lesssim \langle z^2 f' + z f, g \rangle \\ &= m \lesssim \langle z^n, z^{m-1} \rangle, & &= \lesssim \langle n z^{n+1} + z^{n+1}, z^m \rangle \\ & & &= (n+1) \lesssim \langle z^{n+1}, z^m \rangle. \end{aligned}$$

Since $\lesssim \langle f, \partial g \rangle = \lesssim \langle \partial^* f, g \rangle$, we obtain

$$(n+1) \lesssim \langle z^{n+1}, z^m \rangle = m \lesssim \langle z^n, z^{m-1} \rangle. \quad (21)$$

For $m = n+1$, we have

$$(n+1) \lesssim \langle z^n, z^n \rangle = (n+1) \lesssim \langle z^{n+1}, z^{n+1} \rangle \implies \lesssim \langle z^n, z^n \rangle = \lesssim \langle z^{n+1}, z^{n+1} \rangle,$$

thus the diagonal entries are nonzero. Now we are left to show that if $n \neq m$, $\lesssim \langle z^n, z^m \rangle = 0$. From (21) we get

$$\lesssim \langle z^{n+1}, z^m \rangle = \frac{m}{n+1} \lesssim \langle z^n, z^{m-1} \rangle. \quad (22)$$

Take $f(z) = z^n$, $n \neq 0$, and $g(z) \equiv 1$; then

$$\begin{aligned} \lesssim \langle f, \partial g \rangle &= \lesssim \langle \partial^* f, g \rangle = \lesssim \langle z^2 f' + z f, g \rangle \\ &= \lesssim \langle n z^{n+1} + z^{n+1}, 1 \rangle \\ &= (n+1) \lesssim \langle z^{n+1}, 1 \rangle. \end{aligned}$$

However $\lesssim \langle f, \partial g \rangle = 0$, hence $\lesssim \langle z^{n+1}, 1 \rangle = 0$, which also gives $\lesssim \langle 1, z^{m+1} \rangle = 0$. Then from (9) and (22) all the off-diagonal coefficients $c_{n,m}$ are equal to 0.

More generally, with the same hypothesis as in Proposition 3.1, one could replace $M_z \partial_z$ by a (possibly unbounded) diagonal operator defined as follows:

$$D(z^n) = \alpha_n z^n, \quad n = 0, 1, 2, \dots,$$

with $\alpha_n > 0$ for $n \geq 1$ and α_0 arbitrary. Such D is called a radial differential operator in the literature. Then we get

$$\langle z^n, z^m \rangle = \delta_{n,m} \frac{n!}{\alpha_n \cdots \alpha_1} \langle 1, 1 \rangle.$$

Taking $\beta^{-1} = \lesssim \langle 1, 1 \rangle$, and using (9), the reproducing kernel is given by

$$k(z, \omega) = \beta \sum_{n=0}^{\infty} \frac{\alpha_n \cdots \alpha_1}{n!} z^n \bar{\omega}^n$$

by (9), provided the radius of convergence of the above series is strictly positive.

4. PROOF OF THEOREM 1.2

To prove Theorem 1.2, we use the same strategy as in the previous section. The kernel $\frac{1}{(1-z\bar{\omega})^\alpha}$ is a solution of $\partial^* = M_z \partial_z M_z - (1-\alpha)M_z$. This operator applied to this kernel gives us

$$\begin{aligned} \partial^* k(z, \omega) &= (M_z \partial_z M_z - (1-\alpha)M_z) \left(\frac{1}{(1-z\bar{\omega})^\alpha} \right) \\ &= \frac{z}{(1-z\bar{\omega})^\alpha} + \alpha \frac{z^2 \bar{\omega}}{(1-z\bar{\omega})^{\alpha+1}} - (1-\alpha) \frac{z}{(1-z\bar{\omega})^\alpha} \\ &= \frac{\alpha z}{(1-z\bar{\omega})^{\alpha+1}} \\ &= \partial_{\bar{\omega}} \left(\frac{1}{(1-z\bar{\omega})^\alpha} \right) \\ &= \partial_{\bar{\omega}} k(z, \omega). \end{aligned}$$

which implies

$$\lesssim \partial^* k_\nu(z), k_\omega(z) \rangle = \lesssim k_\nu(z), \partial_{\bar{\omega}} k_\omega(z) \rangle.$$

Additionally, we get the relation $z(1-z\bar{\omega}) + \alpha z^2 \bar{\omega} - (1-\alpha)z(1-z\bar{\omega}) = \alpha z$.

As we see again, indeed for $\alpha = 1$ we have the Hardy case. To prove the converse we apply (6) to kernels, and find a partial differential equation satisfied by the reproducing kernel. Then we use analyticity to find the kernel via its Taylor expansion at the origin. Let $\omega, \nu \in \mathbb{D}$, then from (6) we get

$$\langle \partial k_\omega, k_\nu \rangle = \lesssim k_\omega, \partial^* k_\nu \rangle = \langle k_\omega, M_z \partial M_z k_\nu + (\alpha - 1)M_z k_\nu \rangle. \tag{23}$$

We rewrite (6) as

$$\begin{aligned} \partial^* f &= z(\partial_z f) + (\alpha - 1)zf = z^2 f' + zf + \alpha zf - zf \\ &= z^2 f' + \alpha zf. \end{aligned} \tag{24}$$

From the calculation above similar to (13), it follows that $\lesssim \partial_z k(z, w), k(z, \nu) \rangle = \partial_z k(\nu, \omega)$, thus from (24) and the two end sides of (23). Equation (13) still holds here (it is a general computation valid for kernels analytic in z and ω) and we have

$$\begin{aligned} \partial_z k(\nu, \omega) &= \partial_z k(z, \omega)|_{z=\nu} \\ &= \lesssim \partial_z k_\omega, k_\nu \rangle \\ &= \lesssim k_\omega, \partial_z^* k_\nu \rangle \\ &= \lesssim k_\omega, M_z \partial M_z k_\nu - (\alpha - 1)M_z k_\nu \rangle \\ &= \lesssim k_\omega, \nu^2 \partial_z k_\nu + \alpha \nu k_\nu \rangle \\ &= \overline{\lesssim \nu^2 \partial k_\nu + \alpha \nu k_\nu, k_\omega \rangle} \\ &= \bar{\omega}^2 \partial k(\nu, \omega) + \alpha \bar{\omega} k(\nu, \omega). \end{aligned}$$

Thus we get the partial differential equation

$$\partial_z k = \bar{\omega}^2 \partial_{\bar{\omega}} k + \alpha \bar{\omega} k. \tag{25}$$

The kernel is analytic in z and $\bar{\omega}$ near the origin, and hence can be written as

$$k(\nu, w) = \sum_{n,m=0}^{\infty} c_{n,m} \nu^n \bar{\omega}^m.$$

So we can rewrite (25) as

$$\sum_{n=1}^{\infty} \sum_{m=0}^{\infty} n c_{n,m} \nu^{n-1} \bar{\omega}^m = \sum_{n=0}^{\infty} \sum_{m=1}^{\infty} m c_{n,m} \nu^n \bar{\omega}^{m-1} + \alpha \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} c_{n,m} \nu^n \bar{\omega}^{m+1},$$

which can also be written as

$$\begin{aligned} &\sum_{n=0}^{\infty} (n+1) c_{n+1,0} \nu^n + \sum_{n=0}^{\infty} (n+1) c_{n+1,1} \nu^n \bar{\omega} + \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (n+1) c_{n+1,m} \nu^n \bar{\omega}^m \\ &= \sum_{n=0}^{\infty} \alpha c_{n,0} \nu^n \bar{\omega} + \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (\alpha + (m-1)) c_{n,m-1} \nu^n \bar{\omega}^m. \end{aligned}$$

Now we can consider the following cases: First we compare the coefficients for the terms with constant $\bar{\omega}$. Then we have $\sum_{n=0}^{\infty} (n+1)c_{n+1,0}v^n = 0$. Hence

$$c_{n+1,0} = 0$$

for all $n \in \mathbb{N}_0$.

Consider the coefficients of $v^n \bar{\omega}$. Then we have: $\sum_{n=0}^{\infty} (n+1)c_{n+1,1}v^n \bar{\omega} = \sum_{n=0}^{\infty} \alpha c_{n,0}v^n \bar{\omega}$. Hence

$$(n+1)c_{n+1,1} = \alpha c_{n,0},$$

for all $n \in \mathbb{N}_0$. Note that for $n=0$ we get $c_{0,0} = \alpha c_{1,1}$.

Consider the terms $v^n \bar{\omega}^m$, $m \geq 2$; then we have

$$\sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (n+1)c_{n+1,m}v^n \bar{\omega}^m = \sum_{n=0}^{\infty} \sum_{m=2}^{\infty} (\alpha + (m-1))c_{n,m-1}v^n \bar{\omega}^m.$$

Hence

$$(n+1)c_{n+1,m} = (m + \alpha - 1)c_{n,m-1}, \quad (26)$$

for all $n \in \mathbb{N}_0$. Note that if $m = n+1$, then $(n+1)c_{n+1,n+1} = (n + \alpha)c_{n,n}$. So

$$c_{n,n} = \left(\frac{n+1}{n+\alpha} \right) c_{n+1,n+1}.$$

we see that the diagonal entries are equal (up to a constant) to the Taylor coefficients in (5).

We now check that $c_{n,m} = 0$ when $n \neq m$. For $0 \leq m \leq n+1$, it follows from (26) that

$$c_{n+1,m} = \phi_{\alpha,n,m} c_{n+1-m,0},$$

for $\phi_{\alpha,n,m} = \frac{m+\alpha-1}{n+1} \frac{m+\alpha-2}{n} \dots \frac{\alpha}{n+2-m} \neq 0$, and hence the conclusion using (17). The case $m > n$ follows by symmetry. Hence from these cases and by symmetry, all off-diagonal entries of $C(k)$ will be zero, and this completes the proof. \square

5. PROOF OF THEOREM 1.3

While with similar spirit in proof structure, unlike in proofs for Theorems 1.1 and 2, we prove (7) for the kernel pointwise for $z, \omega \in \mathbb{D}$. Let $k(v, \omega)$ be a solution of (7), with power series expansion

$$k(v, \omega) = \sum_{n=0}^{\infty} \sum_{m=0}^{\infty} c_{n,m} v^n \bar{\omega}^m.$$

Since $k(0,0) = 0$ by hypothesis, we have $c_{0,0} = 0$ (without the condition $k(0,0) = 0$ any constant function is a solution of (7)). We have

$$\begin{aligned} \partial_v^2 k &= \sum_{n=2}^{\infty} \sum_{m=0}^{\infty} c_{n,m} n(n-1) v^{n-2} \bar{\omega}^m \\ \bar{\omega}^2 \partial_v \partial_{\bar{\omega}} k &= \sum_{n=1}^{\infty} \sum_{m=1}^{\infty} c_{n,m} n m v^{n-1} \bar{\omega}^{m+1}. \end{aligned}$$

So we can rewrite (7) in terms of the power series expansion of kernel as:

$$\sum_{n=2}^{\infty} \sum_{m=0}^{\infty} c_{n,m} n(n-1) v^{n-2} \bar{\omega}^m = \sum_{n=1}^{\infty} \sum_{m=1}^{\infty} c_{n,m} n m v^{n-1} \bar{\omega}^{m+1}, \quad (27)$$

which is equivalent to

$$\sum_{n=2}^{\infty} \sum_{m=0}^{\infty} c_{n,m} n(n-1) v^{n-2} \bar{\omega}^m = \sum_{m=1}^{\infty} c_{1,m} m \bar{\omega}^{m+1} + \sum_{n=2}^{\infty} \sum_{m=1}^{\infty} c_{n,m} n m v^{n-1} \bar{\omega}^{m+1}. \quad (28)$$

Comparing on both sides the part independent of v we get

$$\sum_{m=1}^{\infty} c_{1,m} m \bar{\omega}^{m+1} = 0, \quad (29)$$

as we have no corresponding terms on the left side.

Let $n = 2$. Then

$$\sum_{m=0}^{\infty} c_{2,m} 2\bar{\omega}^m = \sum_{m=1}^{\infty} c_{2,m} 2m\nu\bar{\omega}^{m+1}. \tag{30}$$

We make the change of index $M = m + 1$ in (29), and obtain

$$\sum_{M=2}^{\infty} c_{1,m-1} (M - 1)\bar{\omega}^M = 0. \tag{31}$$

From equations (31) and (30), it follows now that

$$c_{2,0} = c_{2,1} = 0 \quad \text{and} \quad 2c_{2,M} = (M - 1)c_{1,M-1} \quad \text{for } M > 2.$$

Considering equation (27) and making the change of index $N = n - 2$, $M = m$ to the right side, and $N = n - 1$, $M = m + 1$ to the left side, we get

$$\sum_{N=0}^{\infty} \sum_{M=0}^{\infty} c_{N+2,M} (N + 2)(N + 1)\nu^N \bar{\omega}^M = \sum_{N=0}^{\infty} \sum_{M=2}^{\infty} c_{N+1,M-1} (N + 1)(M - 1)\nu^N \bar{\omega}^M. \tag{32}$$

From (32) for $N \in \mathbb{N}_0$ and $M \geq 2$, we have

$$c_{N+2,M} (N + 2) = (M - 1)c_{N+1,M-1}. \tag{33}$$

We now check that all off diagonal entries of $C(k)$ are indeed zero. Let $M = 0$; then from (27) with the change of variable $N = n - 2$ gives us

$$\sum_{N=0}^{\infty} c_{N+2,0} (N + 2)(N + 1)\nu^N = 0,$$

so we have

$$c_{N+2,0} = 0 \quad \text{for } N \geq 0.$$

Let $M = 1$; then from (32) we get

$$c_{N+2,1} = 0 \quad \text{for } N \geq 0.$$

Hence all off diagonal entries of $C(k)$ are zero. Since $k(0, 0) = 0$ we get that $c_{0,0} = 0$. Finally we set $M = N + 2$ in (32), and get

$$c_{N+2,N+2} (N + 2) = (N + 1)c_{N+1,N+1}, \quad N = 0, 1, \dots \tag{34}$$

From (34) we get $c_{N,N} = \frac{1}{N}$ for $N \geq 1$, and the proof is complete. □

Peer Review: Externally peer-reviewed.

Conflict of Interest: Author declared no conflict of interest.

Financial Disclosure: Author declared no financial support.

ACKNOWLEDGEMENTS

I would like to thank the referee for his/her time and comments that helped improve the paper.


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Unitary weighted composition operators on Bergman-Besov and Hardy Hilbert spaces on the ball

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ABSTRACT

On weighted Bergman and Hardy Hilbert spaces on the unit ball of the complex N -space, we consider weighted composition operators T_ψ in which the composition is by an automorphism ψ of the unit ball and the weight is a power of the Jacobian of ψ in such a way that the operator is unitary. Assuming that the homogeneous expansion of an f in one of these spaces contains only terms with total degree even (odd, respectively) and the homogeneous expansion of $T_\psi f$ contains only terms with total degree odd (even, respectively), we prove that f is the zero function. We also find related operators on the remaining Bergman-Besov Hilbert spaces including the Drury-Arveson space and the Dirichlet space for which the same result holds. Our results generalize the results obtained in [Montes-Rodríguez \(2023\)](#) on three function spaces on the unit disc to a wider family of function spaces on the unit ball.

Mathematics Subject Classification (2020): Primary 47B33; Secondary 47B32, 47B38, 32A36, 32A35, 32A37, 46E20, 46E22

Keywords: Weighted composition operator, unitary operator, Bergman-Besov space, Hardy space, Dirichlet space, Drury-Arveson space

1. INTRODUCTION

In a recent paper [Montes-Rodríguez \(2023\)](#), the author proves that specific unitary weighted composition operators by the automorphisms of the unit disc on three (Bergman, Hardy, and Dirichlet) Hilbert spaces of holomorphic functions have the property that if a function in one of these spaces and its image under the corresponding operator have different parity, then it is the zero function.

Our objective in this paper is to extend this result to a wider classes of Hilbert spaces of holomorphic functions and to the case of the unit ball of \mathbb{C}^N . Moving up to arbitrary-dimensional balls where mappings of several complex variables are used complicate matters considerably. The geometry of Möbius transformations in the ball is more complicated and simple derivatives in the disc need to be replaced by complex Jacobians whose fractional powers are used in the generalizations of the operators of interest to the weighted spaces. Further, Besov Hilbert spaces such as the Dirichlet space have to be handled differently, because the derivatives used in the integral norms of such spaces simply are not compatible with the natural unitary weighted composition operators on them.

To present our result, we now introduce the necessary definitions and notation. Let \mathbb{B} be the open unit ball in \mathbb{C}^N with respect to the usual Hermitian inner product $\langle z, w \rangle = z_1 \bar{w}_1 + \dots + z_N \bar{w}_N$, and the associated norm $|z| = \sqrt{\langle z, z \rangle}$. When $N = 1$, the unit ball is the unit disc \mathbb{D} in the complex plane.

Definition 1.1. For $q \in \mathbb{R}$ and $z, w \in \mathbb{B}$, the *Bergman-Besov kernels* are

$$K_q(z, w) := \begin{cases} \frac{1}{(1 - \langle z, w \rangle)^{1+N+q}} = \sum_{k=0}^{\infty} \frac{(1+N+q)_k}{k!} \langle z, w \rangle^k, & q > -(1+N), \\ {}_2F_1(1, 1; 1-(N+q); \langle z, w \rangle) = \sum_{k=0}^{\infty} \frac{k! \langle z, w \rangle^k}{(1-(N+q))_k}, & q \leq -(1+N), \end{cases}$$

where ${}_2F_1 \in H(\mathbb{D})$ is the Gauss hypergeometric function and $(a)_b$ is the Pochhammer symbol.

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Submitted: 17.05.2023 • **Last Revision Received:** 07.06.2023 • **Accepted:** 01.06.2023 • **Published Online:** 12.06.2023



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Definition 1.2. For $q \in \mathbb{R}$, the *Bergman-Besov Hilbert space* \mathcal{D}_q is the reproducing kernel Hilbert space on \mathbb{B} generated by the kernel K_q endowed with the inner product and norm induced by K_q .

The kernels K_q are sesquiholomorphic on \mathbb{B}^2 and hence the functions in the \mathcal{D}_q are holomorphic on \mathbb{B} . In particular, \mathcal{D}_q is the standard weighted Bergman space A_q^2 for $q > -1$, the Hardy space H^2 for $q = -1$, the Drury-Arveson space \mathcal{A} for $q = -N$, and the Dirichlet space \mathcal{D} for $q = -(1+N)$, that is, $\mathcal{D}_{-(1+N)} = \mathcal{D}$.

Let $H(\mathbb{B})$ be the space of all holomorphic functions on \mathbb{B} . Every $f \in H(\mathbb{B})$ and thus every $f \in \mathcal{D}_q$ has homogeneous and Taylor expansions

$$f(z) = \sum_{k=0}^{\infty} f_k(z) = \sum_{|\alpha|=0}^{\infty} f_{\alpha} z^{\alpha} \quad (z \in \mathbb{B}) \quad (1)$$

converging absolutely and uniformly on compact subsets of \mathbb{B} , where f_k is a homogeneous polynomial of degree k in z_1, \dots, z_N , α is a multi-index, and $k = |\alpha|$. We use the expression $f \in H(\mathbb{B})$ has *even parity* (respectively, *odd parity*) to mean that the homogeneous expansion of f as in (1) has f_k with only even k (respectively, only odd k).

Denote by \mathcal{M} the group of all one-to-one onto holomorphic maps (*automorphisms*) of \mathbb{B} . Let $J\psi$ be the complex Jacobian of $\psi \in \mathcal{M}$. For $\psi \in \mathcal{M}$, also $\psi^{-1} \in \mathcal{M}$ and $J\psi \neq 0$ on \mathbb{B} .

Definition 1.3. For $q \geq -(1+N)$, $\psi \in \mathcal{M}$ and $f \in \mathcal{D}_q$, define the operator $T_{\psi}^q : \mathcal{D}_q \rightarrow \mathcal{D}_q$ by

$$T_{\psi}^q f(z) := f(\psi(z))(J\psi(z))^{1+\frac{q}{1+N}}$$

using an appropriate, say the principal, branch of the logarithm for the fractional power of $J\psi(z)$.

So T_{ψ}^q is the product

$$T_{\psi}^q = M_{\theta_{\psi}^q} C_{\psi},$$

where C_{ψ} is the *composition operator* given by $C_{\psi}f = f \circ \psi$ and $M_{\theta_{\psi}^q}$ is the *multiplication operator* by

$$\theta_{\psi}^q(z) = (J\psi(z))^{1+\frac{q}{1+N}}. \quad (2)$$

When $q = -(1+N)$, $T_{\psi}^{-(1+N)}$ reduces simply to C_{ψ} on the Dirichlet space. When $q = 0$, $\theta_{\psi}^0 = J\psi$ for the unweighted Bergman space. When $q = -1$, $\theta_{\psi}^{-1} = (J\psi)^{\frac{N}{1+N}}$ for the Hardy space. When $q = -N$, $\theta_{\psi}^{-N} = (J\psi)^{\frac{1}{1+N}}$ for the Drury-Arveson space.

In (Beatrous and Burbea 1989, Theorem 1.10), it is proved that T_{ψ}^q is a unitary operator for $q > -(1+N)$ with respect to the standard inner product that the kernel K_q induces on \mathcal{D}_q given in (5) below. By (Zhu 2005, Section 6.4), $T_{\psi}^{-(1+N)}$ is unitary on the space $\mathcal{D}_0 = \mathcal{D}/\mathbb{C}$ with respect to the slightly different inner product (6).

Our main result is the following.

Theorem 1.4. *Let $q \geq -1$ and $\psi \in \mathcal{M}$. Suppose the homogeneous expansions (1) of an $f \in \mathcal{D}_q$ and of $T_{\psi}^q f$ are of different parity, that is, one contains terms only with k even and the other only with k odd. Then $f = 0$. There are also operators on \mathcal{D}_q for $q < -1$ for which the same conclusion is true.*

We prove Theorem 1.4 in Section 3. In the next Section 2, we provide further details and properties on notation, the spaces, and the automorphisms.

2. PRELIMINARIES

In multi-index notation, $\alpha = (\alpha_1, \dots, \alpha_N)$ is an N -tuple of nonnegative integers, $|\alpha| = \alpha_1 + \dots + \alpha_N$, $\alpha! = \alpha_1! \cdots \alpha_N!$, $0^0 = 1$, and $z^{\alpha} = z_1^{\alpha_1} \cdots z_N^{\alpha_N}$. An overbar ($\bar{}$) indicates complex conjugate for numbers and functions and closure for sets. The boundary of \mathbb{B} is the unit sphere \mathbb{S} .

The *Pochhammer symbol* $(a)_b$ is defined by

$$(a)_b := \frac{\Gamma(a+b)}{\Gamma(a)}$$

when a and $a+b$ are off the pole set $-\mathbb{N}$ of the gamma function Γ . This is a shifted rising factorial since $(a)_k = a(a+1) \cdots (a+k-1)$ for positive integer k . In particular, $(1)_k = k!$ and $(a)_0 = 1$. Stirling formula gives

$$\frac{\Gamma(c+a)}{\Gamma(c+b)} \sim c^{a-b}, \quad \frac{(a)_c}{(b)_c} \sim c^{a-b}, \quad \frac{(c)_a}{(c)_b} \sim c^{a-b} \quad (\operatorname{Re} c \rightarrow \infty), \quad (3)$$

where $A \sim B$ means that $|A/B|$ is bounded above and below by two strictly positive constants, that is, $A = O(B)$ and $B = O(A)$ for all A, B of interest.

The Gauss hypergeometric function ${}_2F_1 \in H(\mathbb{D})$ is defined by

$${}_2F_1(a, b; c; z) := \sum_{k=0}^{\infty} \frac{(a)_k (b)_k}{(c)_k (1)_k} z^k.$$

2.1. Spaces

A function $K(z, w)$ is called the *reproducing kernel* of a Hilbert space H of functions defined on \mathbb{B} and with inner product $\langle \cdot, \cdot \rangle_H$ if $K(\cdot, w) \in H$ for each $w \in \mathbb{B}$ and

$$u(z) = \langle u(\cdot), K(z, \cdot) \rangle_H \quad (u \in H, z \in \mathbb{B}).$$

There is a one-to-one correspondence between reproducing kernel Hilbert spaces and positive definite kernels.

Let $c_k(q)$ be the coefficient of $\langle z, w \rangle^k$ in the series for $K_q(z, w)$. Then $c_0(q) = 1$, $c_k(q) > 0$ for all k , and by (3),

$$c_k(q) \sim k^{N+q} \quad (k \rightarrow \infty), \tag{4}$$

for every q . This explains the choice of the parameters of the hypergeometric function in K_q for $q < -(1 + N)$. The positive definiteness of $\langle z, w \rangle$ and the positivity of the $c_k(q)$ yield that the K_q are positive definite and thus reproducing kernels. The kernels K_q for $q < -(1 + N)$ appear in the literature first in (Beatrous and Burbea 1989, p. 13). The kernels K_q for $q > -(1 + N)$ can also be written as ${}_2F_1(1, 1 + (N + q); 1; \langle z, w \rangle)$. For $q < -(1 + N)$, the functions in \mathcal{D}_q are bounded on \mathbb{B} while the other \mathcal{D}_q contain unbounded functions.

All Bergman-Besov kernels can be written of the form

$$K_q(z, w) = \sum_{k=0}^{\infty} c_k(q) \langle z, w \rangle^k = \sum_{k=0}^{\infty} c_k(q) \sum_{|\alpha|=k} \frac{|\alpha|!}{\alpha!} z^\alpha \bar{w}^\alpha.$$

Then by the theory of reproducing kernel Hilbert spaces and (4), the space \mathcal{D}_q consists of all $f \in H(\mathbb{B})$ with Taylor expansions as in (1) for which

$$\|f\|_{\mathcal{D}_q}^2 := \sum_{|\alpha|=0}^{\infty} |f_\alpha|^2 \|z^\alpha\|_{\mathcal{D}_q}^2 := \sum_{|\alpha|=0}^{\infty} |f_\alpha|^2 \frac{1}{c_{|\alpha|}(q)} \frac{\alpha!}{|\alpha|!} \sim \sum_{|\alpha|=1}^{\infty} |f_\alpha|^2 \frac{1}{|\alpha|^{N+q}} \frac{\alpha!}{|\alpha|!} < \infty$$

equipped with the inner product

$$\langle f, g \rangle_{\mathcal{D}_q} := \sum_{|\alpha|=0}^{\infty} \frac{1}{c_{|\alpha|}(q)} \frac{\alpha!}{|\alpha|!} f_\alpha \bar{g}_\alpha. \tag{5}$$

The case of the Drury-Arveson space is especially simple, because then $q = -N$ and $c_k(-N) = 1$ for all $k = 1, 2, \dots$. For $q > -(1 + N)$, it is with respect to the inner product in (5) that the operators T_ψ^q are unitary.

Notice that the reproducing kernel of the Dirichlet space is

$$K_{-(1+N)}(z, w) = \frac{1}{\langle z, w \rangle} \log \frac{1}{1 - \langle z, w \rangle} = \sum_{k=0}^{\infty} \frac{1}{1+k} \langle z, w \rangle^k$$

and this gives

$$\langle f, g \rangle_{\mathcal{D}} = \sum_{|\alpha|=0}^{\infty} (1 + |\alpha|) \frac{\alpha!}{|\alpha|!} f_\alpha \bar{g}_\alpha$$

with which $\|1\|_{\mathcal{D}} = 1$. The inner product with respect to which the operator $T_\psi^{-(1+N)} = C_\psi$ is unitary on $\mathcal{D}_0 = \mathcal{D}/\mathbb{C}$ is

$$\langle f, g \rangle_{\mathcal{D}_0} = \sum_{|\alpha|=1}^{\infty} |\alpha| \frac{\alpha!}{|\alpha|!} f_\alpha \bar{g}_\alpha \tag{6}$$

with which $\|1\|_{\mathcal{D}_0} = 0$.

For $s, t \in \mathbb{R}$, we define the radial fractional differential operator D_s^t on $H(\mathbb{B})$ by

$$D_s^t f := \sum_{k=0}^{\infty} d_k(s, t) f_k := \sum_{k=0}^{\infty} \frac{c_k(s+t)}{c_k(s)} f_k.$$

We have $d_0(s, t) = 1$ so that $D_s^t(1) = 1$, $d_k(s, t) > 0$ for any k , and by (4),

$$d_k(s, t) \sim k^t \quad (k \rightarrow \infty),$$

for any s, t . So D_s^t is a continuous operator on $H(\mathbb{B})$ and is of order t . In particular, $D_s^t z^\alpha = d_{|\alpha|}(s, t) z^\alpha$ for any multi-index α . More importantly,

$$D_s^0 = I, \quad D_{s+t}^u D_s^t = D_s^{t+u}, \quad \text{and} \quad (D_s^t)^{-1} = D_{s+t}^{-t} \quad (7)$$

for $s, t, u \in \mathbb{R}$, where the inverse is two-sided. Here and in any other context, I is the identity operator, Any D_s^t maps $H(\mathbb{B})$ onto itself continuously.

The $d_k(s, t)$ are chosen the way they are in order to have

$$D_s^t K_q(z, w) = K_{q+t}(z, w) \quad (q, t \in \mathbb{R}),$$

where differentiation is performed on the holomorphic variable z . More interestingly, by (Alpay and Kaptanoğlu 2007, Proposition 3.2),

$$D_s^t(\mathcal{D}_q) = \mathcal{D}_{q+2t} \quad (8)$$

is an isomorphism of Hilbert spaces for any s, t and an isometry when the norms are chosen suitably.

The spaces \mathcal{D}_q have also equivalent inner products and norms that are integrals of functions or their sufficiently high-order derivatives. For fixed $q \in \mathbb{R}$, let $s, t \in \mathbb{R}$ be such that $q + 2t > -1$. By (Alpay and Kaptanoğlu 2007, Definition 3.1c), a family of norms each of which is equivalent to $\|\cdot\|_{\mathcal{D}_q}$ is

$$\|f\|_{\mathcal{D}_q}^2 := \int_{\mathbb{B}} |D_s^t f(z)|^2 (1 - |z|^2)^{q+2t} dv(z), \quad (9)$$

where ν is the normalized volume measure on \mathbb{B} . Setting $dv_q(z) := (1 - |z|^2)^q dv(z)$, equivalently $f \in \mathcal{D}_q$ if and only if $f \in H(\mathbb{B})$ and $D_s^t f \in L^2(\nu_{q+2t})$ for some s, t with $q + 2t > -1$, where L^p denotes the Lebesgue classes. For Bergman Hilbert spaces, $q > -1$, we take $t = 0$ and obtain the usual integral norms of these spaces as

$$\|f\|_{A_q^2}^2 := \int_{\mathbb{B}} |f(z)|^2 dv_q(z) \quad (q > -1).$$

The Hardy space also has an equivalent norm which is the well-known

$$\|f\|_{H^2}^2 := \int_{\mathbb{S}} |f(z)|^2 d\sigma(z),$$

where σ is the normalized surface measure on \mathbb{S} . Each integral norm on every \mathcal{D}_q also has an accompanying integral inner product.

2.2. Möbius Transformations

Following (Rudin 1980, Chapter 2), the *Möbius transformation* that exchanges 0 and $0 \neq a \in \mathbb{B}$ is the map

$$\varphi_a(z) := \frac{a - P_a(z) - \sqrt{1 - |a|^2}(I - P_a)(z)}{1 - \langle z, a \rangle} \quad (z \in \overline{\mathbb{B}}),$$

where $P_a(z) := \langle z, a \rangle a / |a|^2$ is the projection on the complex line passing through 0 and a . It reduces to $\varphi_a(z) = (a - z)/(1 - \bar{a}z)$ for $a, z \in \mathbb{D}$ when $N = 1$. Each φ_a is an involution, that is, $\varphi_a^{-1} = \varphi_a$. An extremely useful identity for φ_a is

$$1 - \langle \varphi_a(z), \varphi_a(w) \rangle = \frac{(1 - |a|^2)(1 - \langle z, w \rangle)}{(1 - \langle z, a \rangle)(1 - \langle a, w \rangle)} \quad (z, w \in \overline{\mathbb{B}}). \quad (10)$$

The complex Jacobian of $\varphi_a(z)$ is $\det \varphi'_a(z)$ and equals

$$J\varphi_a(z) = \gamma(z) \left(\frac{1 - |\varphi_a(z)|^2}{1 - |z|^2} \right)^{(1+N)/2} = \gamma(z) \left(\frac{1 - |a|^2}{|1 - \langle z, a \rangle|^2} \right)^{(1+N)/2} \quad (z \in \overline{\mathbb{B}})$$

for some $\gamma(z) \in \mathbb{C}$ with $|\gamma(z)| = 1$, where in obtaining the second form, (10) is used. Its real Jacobian is

$$J_{\mathbb{R}}\varphi_a(z) = |J\varphi_a(z)|^2 > 0.$$

We need two changes of variables formulas involving $\psi \in \mathcal{M}$. Let $G \subset \mathbb{B}$ and $Q \subset \mathbb{S}$ be Borel sets, $f \in L^1(\nu_q)$, and $F \in L^1(\sigma)$. The first is the usual

$$\int_G f dv = \int_{\psi^{-1}(G)} f(\psi(w)) J_{\mathbb{R}}\psi(w) dv(w). \quad (11)$$

The less common second one is obtained by explicitly writing (Rudin 1980, p. 45, (5)) using (10) and is

$$\int_Q F d\sigma = \int_{\psi^{-1}(Q)} F(\psi(\eta))(J_{\mathbb{R}}\psi(\eta))^{\frac{N}{1+N}} d\sigma(\eta). \tag{12}$$

Let also \mathcal{U} denote the group of all unitary transformations of \mathbb{C}^N . All $U \in \mathcal{U}$ are characterized by $\langle Uz, Uw \rangle = \langle z, w \rangle$. If $\psi \in \mathcal{M}$ and $a = \psi^{-1}(0)$, then there is a unique $U \in \mathcal{U}$ such that

$$\psi(z) = U(\varphi_a(z)) \quad (z \in \mathbb{B}). \tag{13}$$

Since $JU \in \mathbb{C}$ with $|JU| = 1$, we see that $J\psi$ has the same form as $J\varphi_a$ with a (possibly) different $\tilde{\gamma}(z)$ in place of $\gamma(z)$.

If $U \in \mathcal{U}$, then

$$\varphi_a = U^{-1}\varphi_{Ua}U; \tag{14}$$

this is (Cowen and MacCluer 1991, Lemma 2.71). This is useful, because \mathcal{U} acts on \mathbb{S} transitively and we can choose U in such way that Ua has only the first component nonzero and real. The automorphism that maps such a Ua to 0 is especially simple. For example, we use $\varphi_r(z) = -\varphi_{-b}(z)$ with $b = (r, 0, \dots, 0)$ and $0 < r < 1$ that has the explicit form

$$\varphi_r(z) := \left(\frac{r + z_1}{1 + rz_1}, \frac{\sqrt{1-r^2}}{1 + rz_1} z' \right) \quad (z \in \mathbb{B}), \tag{15}$$

where $z = (z_1, z')$ and z' denotes the remaining $N - 1$ components; see (Cowen and MacCluer 1991, p. 98). This φ_r has exactly 2 fixed points, $e_1 = (1, 0, \dots, 0)$ and $-e_1$, both on \mathbb{S} and none in \mathbb{B} .

Möbius transformations map balls onto ellipsoids. We need the ellipsoids described in (Cowen and MacCluer 1991, p. 103) given by

$$E(e_1, u) := \{ z \in \mathbb{B} : |1 - \langle z, e_1 \rangle|^2 \leq u(1 - |z|^2) \}$$

with $u > 0$. Equivalently, $z \in E(e_1, u)$ if and only if

$$\left| z_1 - \frac{1}{1+u} \right|^2 + \frac{u}{1+u} |z'|^2 < \left(\frac{u}{1+u} \right)^2.$$

The ellipsoid $E(e_1, u)$ lies in \mathbb{B} , has center $e_1/(1+u)$, and is tangent to \mathbb{S} at e_1 .

3. PROOF OF MAIN RESULT

We prove Theorem 1.4 and a corollary to it, and make some further comments.

Proof of Theorem 1.4. We follow the proof of (Montes-Rodríguez 2023, Theorem 1) with many detailed modifications to adapt it to several complex variables. For $\psi \in \mathcal{M}$, note that $C_{\psi^{-1}}(C_{\psi}f(z)) = C_{\psi^{-1}}f(\psi(z)) = f(\psi(\psi^{-1}(z))) = f(z)$ and hence $C_{\psi}^{-1} = C_{\psi^{-1}}$.

First we look at the case of weighted Bergman spaces. But the initial stages of the proof work for $q > -(1+N)$ and that is what we assume for now. Let $f \in \mathcal{D}_q$. By (13) and (2), $T_{\psi}^q = M_{\theta_{\psi}^q} C_{\varphi_a} C_U$ for some $a \in \mathbb{B}$ and $U \in \mathcal{U}$. By the remarks following (13), also

$$\beta T_{\psi}^q = M_{\theta_{\varphi_a}^q} C_{\varphi_a} C_U$$

for some $\beta \in \mathbb{C}$ with $|\beta| = 1$. By a simple computation with matrices, each $U \in \mathcal{U}$ carries a monomial z^{α} to a homogeneous polynomial of the same degree $|\alpha|$. Consequently C_U preserves parity. Thus f and $f_1 = C_U f$ have the same parity, and also $g = T_{\psi}^q f$ and $g_1 = \beta T_{\psi}^q f$ have the same parity that is opposite to that of f_1 by hypothesis. So without loss of generality we can replace T_{ψ}^q by $T_{\varphi_a}^q$ and it suffices to consider

$$T_{\varphi_a}^q = M_{\theta_{\varphi_a}^q} C_{\varphi_a}.$$

The fact that $\varphi_a^{-1} = \varphi_a$ implies $C_{\varphi_a}^{-1} = C_{\varphi_a}$ and $C_{\varphi_a}^2 = I$. We have

$$\begin{aligned} (T_{\varphi_a}^q)^2 f(z) &= T_{\varphi_a}^q ((J\varphi_a(z))^{1+\frac{q}{1+N}} f(\varphi_a(z))) \\ &= (J\varphi_a(z))^{1+\frac{q}{1+N}} (J\varphi_a(\varphi_a(z)))^{1+\frac{q}{1+N}} f(\varphi_a(\varphi_a(z))). \end{aligned}$$

Since $\varphi_a(\varphi_a(z)) = z$, by the chain rule, $\varphi_a'(\varphi_a(z))\varphi_a'(z) = I$. Taking determinants give $J\varphi_a(\varphi_a(z))J\varphi_a(z) = 1$. This shows that $(T_{\varphi_a}^q)^2 f(z) = f(z)$ and $(T_{\varphi_a}^q)^2 = I$. Setting $g = T_{\varphi_a}^q f$ gives $f = T_{\varphi_a}^q g$. Thus the case $f \in \mathcal{D}_q$ having even parity and $T_{\varphi_a}^q g \in \mathcal{D}_q$ having odd parity coexists with the case $g \in \mathcal{D}_q$ having even parity and $T_{\varphi_a}^q f \in \mathcal{D}_q$ having odd parity. So it does not matter which case is investigated; let's assume the former and keep the notation $g = T_{\varphi_a}^q f$,

Let $V(z) = -z$, which is unitary. Then $C_V f = f$, $C_V g = -g$, and $C_V^{-1} = C_V$. Then also $g = -C_V T_{\varphi_a}^q f$ and $f = C_V T_{\varphi_a}^q g$. Therefore

$$f = -(C_V T_{\varphi_a}^q)^2 f; \quad (16)$$

that is, -1 is an eigenvalue of $(C_V T_{\varphi_a}^q)^2$ with f as the eigenvector. By the spectral mapping theorem, $+i$ or $-i$ is an eigenvalue of $C_V T_{\varphi_a}^q$ with f as the eigenvector. But

$$C_V T_{\varphi_a}^q f(z) = (T_{\varphi_a}^q f)(-z) = (J\varphi_a(-z))^{1+\frac{q}{1+N}} f(\varphi_a(-z)). \quad (17)$$

Set $\mu_a(z) := \varphi_a(-z) = -\varphi_{-a}(z)$. Then $\mu_a \in \mathcal{M}$, $\mu'_a(z) = \varphi'_a(-z)(-I)$, and $J\mu_a(z) = (-1)^N J\varphi_a(-z)$. Hence

$$\begin{aligned} T_{\mu_a}^q f(z) &= (J\mu_a(z))^{1+\frac{q}{1+N}} f(\mu_a(z)) = (-1)^{N(1+\frac{q}{1+N})} (J\varphi_a(-z))^{1+\frac{q}{1+N}} f(\varphi_a(-z)) \\ &= (-1)^{N(1+\frac{q}{1+N})} C_V T_{\varphi_a}^q f(z) \end{aligned}$$

using (17), and

$$(T_{\mu_a}^q)^2 f(z) = (-1)^{2N(1+\frac{q}{1+N})} (C_V T_{\varphi_a}^q)^2 f(z) = (-1)^{1+2N(1+\frac{q}{1+N})} f(z) = \kappa f(z)$$

using (16), where

$$\kappa = (-1)^{1+2N(1+\frac{q}{1+N})}$$

and $|\kappa| = 1$. Thus κ is an eigenvalue of $(T_{\mu_a}^q)^2$, and $+\sqrt{\kappa}$ or $-\sqrt{\kappa}$ is an eigenvalue of $T_{\mu_a}^q$, both with eigenvector f . Clearly also $|\pm\sqrt{\kappa}| = 1$.

By (14), $\mu_a = -\varphi_{-a} = -U^{-1}\varphi_{U(-a)}U = U^{-1}(-\varphi_{-U(a)})U$. Choosing $U \in \mathcal{U}$ such that $b := U(a) = (r, 0, \dots, 0)$ with $0 < r < 1$, we obtain $\mu_a = U^{-1}\varphi_r U$, where φ_r is as in (15). Let $\eta = (\det U)^{1+\frac{q}{1+N}}$. For $f \in \mathcal{D}_q$, we have $T_{U^{-1}}^q f(z) = \bar{\eta} f(U^{-1}z)$ and $T_U^q T_{U^{-1}}^q f(z) = \eta \bar{\eta} f(UU^{-1}z) = f(z)$; hence $(T_U^q)^{-1} = T_{U^{-1}}^q$. Further, we compute that $T_{\varphi_r}^q T_{U^{-1}}^q f(z) = \bar{\eta} (J\varphi_r(z))^{1+\frac{q}{1+N}} f(U^{-1}\varphi_r(z))$ and

$$\begin{aligned} T_U^q T_{\varphi_r}^q T_{U^{-1}}^q f(z) &= \eta \bar{\eta} (J\varphi_r(Uz))^{1+\frac{q}{1+N}} f(U^{-1}\varphi_r(Uz)) \\ &= (J\varphi_r(Uz))^{1+\frac{q}{1+N}} f(\mu_a(z)) \\ &= (J\mu_a(z))^{1+\frac{q}{1+N}} f(\mu_a(z)) = T_{\mu_a}^q f(z), \end{aligned}$$

where the equality before the last one can be seen by evaluating $J\mu_a(z)$ using the chain rule. In other words, $T_{\varphi_r}^q = (T_U^q)^{-1} T_{\mu_a}^q T_U^q$. Since a similarity transformation preserves eigenvalues and eigenvectors, we conclude that $+\sqrt{\kappa}$ or $-\sqrt{\kappa}$ is an eigenvalue of $T_{\varphi_r}^q$ with eigenvector $f \in \mathcal{D}_q$.

We have $\lim_{z \rightarrow e_1} \varphi_r(z) = e_1$ and let

$$\delta := \lim_{z \rightarrow e_1} \frac{1 - |\varphi_r(z)|}{1 - |z|} = \lim_{z \rightarrow e_1} \frac{1 - |\varphi_r(z)|^2}{1 - |z|^2},$$

where the limits are unrestricted from within \mathbb{B} . A quick computation shows that $\delta = 1/(1+r) < 1$. By (Cowen and MacCluer 1991, Lemma 2.77) due to Julia, $\varphi_r(E(e_1, u)) \subset E(e_1, \delta u)$, and by (Cowen and MacCluer 1991, Proposition 2.85), $E(e_1, \delta u) \subset E(e_1, u)$. Together we have the inclusion $\varphi_r(E(e_1, u)) \subset E(e_1, u)$.

For $n = 1, 2, \dots$, denote the *forward iterates* of φ_r by $\varphi_r^n = \varphi_r \circ \varphi_r^{n-1}$, where φ_r^0 is the identity, and its *backward iterates* by $\varphi_r^{-n} = (\varphi_r^n)^{-1}$. By the properties on the ellipsoids $E(e_1, u)$ and of φ_r and the Denjoy-Wolff theorem, as $n \rightarrow \infty$, φ_r^n converges uniformly on compact subsets of \mathbb{B} to e_1 ; see (Cowen and MacCluer 1991, Theorem 2.83 and Proposition 2.88). In other words, e_1 is the attracting fixed point of φ_r and its *Denjoy-Wolff point*. Now fix $u = 1$, call the corresponding $E(e_1, 1) =: E$, and let $G = E \setminus \varphi_r(E)$, which is nonempty by above. As a consequence of all the discussion about the ellipsoids, for any $0 < r < 1$ we have

$$\mathbb{B} = \bigcup_{n \in \mathbb{Z}} \varphi_r^n(G) \quad (18)$$

and the sets $\varphi_r^n(G)$ for different n 's are disjoint.

In the remaining part of the proof we first restrict to $q > -1$ for which $\mathcal{D}_q = A_q^2$, weighted Bergman spaces. Now applying the

change of variables $z = \varphi_r(w)$, using (11) and that f is an eigenvector yield

$$\begin{aligned} \int_{\varphi_r^{n+1}(G)} |f(z)|^2 d\nu_q(z) &= \int_{\varphi_r^n(G)} |f(\varphi_r(w))|^2 (1 - |\varphi_r(w)|^2)^q J_{\mathbb{R}} \varphi_r(w) d\nu(w) \\ &= \int_{\varphi_r^n(G)} |f(\varphi_r(w))|^2 \frac{(1 - |\varphi_r(w)|^2)^{1+N+q}}{(1 - |w|^2)^{1+N}} d\nu(w) \\ &= \int_{\varphi_r^n(G)} |f(\varphi_r(w))|^2 |(J\varphi_r(w))^{1+\frac{q}{1+N}}|^2 (1 - |w|^2)^q d\nu(w) \\ &= \int_{\varphi_r^n(G)} |T_{\varphi_r}^q f(w)|^2 d\nu_q(w) = \int_{\varphi_r^n(G)} |\pm \sqrt{k} f(w)|^2 d\nu_q(w) \\ &= \int_{\varphi_r^n(G)} |f(z)|^2 d\nu_q(z). \end{aligned}$$

Thus the above integrals have the same value on all the sets $\varphi_r^n(G)$ for $n \in \mathbb{Z}$ which is equal to the value of the integral on $\varphi_r^0(G) = G$. But f is an eigenvector and hence is not the zero function, and since $f \in H(\mathbb{B})$, none of the integrals on the $\varphi_r^n(G)$ is 0. On the other hand, $f \in \mathcal{D}_q$ and hence $\|f\|_{\mathcal{D}_q} < \infty$. But by (18) we also have

$$\|f\|_{\mathcal{D}_q}^2 = \int_{\mathbb{B}} |f(z)|^2 d\nu_q(z) = \sum_{n \in \mathbb{Z}} \int_{\varphi_r^n(G)} |f(z)|^2 d\nu_q(z) = \sum_{n \in \mathbb{Z}} \int_G |f(z)|^2 d\nu_q(z) = \infty.$$

This contradiction shows that a nonzero f having the parity properties in the statement of the theorem cannot exist for $q > -1$.

Next we take care of the case $q = -1$, the Hardy space. Let D be the intersection of the ellipsoid $E = E(e_1, 1)$ with the complex line $[e_1]$ through 0 and e_1 , which is given by $|z_1 - 1/2| < 1/4$. The set $\tilde{G} = D \setminus \varphi_r(D)$ is nonempty just like $G \neq \emptyset$. Let also $Q = \{(z_1, z') : z_1 \in \tilde{G}, |z_1|^2 + |z'|^2 = 1\}$; this is that part of \mathbb{S} that lies ‘‘above’’ \tilde{G} . We have $\mathbb{B} \cap [e_1] = \bigcup_{n \in \mathbb{Z}} \varphi_r^n(\tilde{G})$ and $\mathbb{S} = \{(z_1, z') : z_1 \in \mathbb{D}, |z_1|^2 + |z'|^2 = 1\}$, the second modulo a set of σ -measure 0. Then just like (18), we have $\mathbb{S} = \bigcup_{n \in \mathbb{Z}} \varphi_r^n(Q)$ modulo a set of σ -measure 0, which is a disjoint union.

Now we apply the change of variables $\zeta = \varphi_r(\eta)$, use (12) and that f is an eigenvector to obtain

$$\begin{aligned} \int_{\varphi_r^{n+1}(Q)} |f(\zeta)|^2 d\sigma(\zeta) &= \int_{\varphi_r^n(Q)} |f(\varphi_r(\eta))|^2 |(J\varphi_r(\eta))^{1-\frac{1}{1+N}}|^2 d\sigma(\eta) \\ &= \int_{\varphi_r^n(Q)} |T_{\varphi_r}^{-1} f(\eta)|^2 d\sigma(\eta) = \int_{\varphi_r^n(Q)} |\pm \sqrt{k} f(\eta)|^2 d\sigma(\eta) \\ &= \int_{\varphi_r^n(Q)} |f(\zeta)|^2 d\sigma(\zeta). \end{aligned}$$

As in the case $q > -1$, each integral on $\varphi_r^n(Q)$ can be replaced by one on Q . But $f \in H^2$ is an eigenvector, so is not the zero function, and by (Rudin 1980, Theorem 5.6.4 (b)), its boundary values on \mathbb{S} are nonzero σ -a.e.. Then none of the integrals on the $\varphi_r^n(Q)$ is 0. On the other hand, $f \in H^2$ and hence $\|f\|_{H^2} < \infty$. Similar to the case $q > -1$, we have

$$\|f\|_{H^2}^2 = \int_{\mathbb{S}} |f(\zeta)|^2 d\sigma(\zeta) = \sum_{n \in \mathbb{Z}} \int_{\varphi_r^n(Q)} |f(\zeta)|^2 d\sigma(\zeta) = \sum_{n \in \mathbb{Z}} \int_Q |f(\zeta)|^2 d\sigma(\zeta) = \infty.$$

By this contradiction, the theorem is proved for the case $q = -1$ too.

Lastly, we consider the case $q < -1$. Pick $s, t \in \mathbb{R}$ such that $p = q + 2t > -1$. Here we prove the result not for T_{ψ}^q but for $Y_{\psi}^q = D_{s+t}^{-t} T_{\psi}^p D_s^t$ where $T_{\psi}^p : A_p^2 \rightarrow A_p^2$. By (8) and (7), we have $Y_{\psi}^q : \mathcal{D}_q \rightarrow \mathcal{D}_q$. We have also $T_{\psi}^p = D_s^t Y_{\psi}^q D_{s+t}^{-t}$ and that Y_{ψ}^q and T_{ψ}^p are similar operators. Now suppose, without loss of generality, that $f \in \mathcal{D}_q$ has even parity and $Y_{\psi}^q f$ has odd parity. By their very definitions, the D_s^t preserve parity and $g = D_s^t f \in A_p^2$ also has even parity. On the other hand, since $f = D_{s+t}^{-t} g$, we see that $T_{\psi}^p g = D_s^t Y_{\psi}^q f$ has odd parity. By the already proved case for the Bergman space A_p^2 , we conclude that $g = 0$. Then also $f = 0$. In fact, $Y_{\psi}^q f = \lambda f$ if and only if $D_{s+t}^{-t} T_{\psi}^p g = \lambda f$ if and only if $T_{\psi}^p g = \lambda g$ for some $\lambda \in \mathbb{C}$.

The proof of Theorem 1.4 is now complete.

Remark 3.1. The change of variables that is used in transforming the integrals in the case $q > -1$ can be expressed in the form that the measures ν_q are invariant under the transformations $Z_{\psi}^q f(z) := f(\psi(z))(J\psi(z))^{2(1+\frac{q}{1+N})}$ in the sense that

$$\int_{\mathbb{B}} Z_{\psi}^q f d\nu_q = \int_{\mathbb{B}} f d\nu_q \quad (f \in L^1(\nu_q), q \in \mathbb{R}).$$

This is already noted in (Kaptanoğlu 2005, (21)).

Remark 3.2. The last part of the proof involving integrals does not work for $q < -1$, because a positive-order derivative on f is

required in the integral norms of \mathcal{D}_q on \mathbb{B} for all $q < -1$; see (Kaptanoğlu and Üreyen 2018, Corollary 7.2). So, for example, if we pick t so that $q + 2t = 0$ for simplicity in (9), we end up with an integral of $|T_{\varphi_r}^0 D_s^t f|^2$ on $\varphi_r^n(G)$. For the proof to go through, we need $D_s^t f \in A_0^2$ to be an eigenvector of $T_{\varphi_r}^0$. This would be implied by $T_{\varphi_r}^0 D_s^t f$ having odd parity when f and hence $D_s^t f$ have even parity. But what we know is that $T_{\varphi_r}^q f$ has odd parity and this need not imply that $T_{\varphi_r}^0 D_s^t f$ has odd parity because of the differences between T_{ψ}^q and T_{ψ}^0 .

Such differences do not prevent Montes-Rodríguez (2023) from obtaining the theorem for the Dirichlet space, because when $N = 1$, the first-order ordinary derivative and the chain rule are enough to move between that space and the unweighted Bergman space. Neither of these tools is available for $N \geq 2$. These are exactly the reasons why we resort to the other operators Y_{ψ}^q when $q < -1$.

Remark 3.3. The value κ depends in general on both N and q . For the unweighted Bergman space, $q = 0$, $\kappa = (-1)^{1+2N} = -1$, and the eigenvalues that are shown not to exist in the proof of Theorem 1.4 are $+\sqrt{\kappa} = +i$ and $-\sqrt{\kappa} = -i$ independently of dimension N . For the Hardy space, $q = -1$ and $\kappa = (-1)^{\frac{1+N+2N^2}{1+N}}$. If also $N = 1$, $\kappa = (-1)^2 = +1$ and the eigenvalues that are shown not to exist in the proof of Theorem 1.4 are $+\sqrt{\kappa} = +1$ and $-\sqrt{\kappa} = -1$, contrary to what is claimed in the proof of (Montes-Rodríguez 2023, Theorem 1). But as already noted in (Montes-Rodríguez 2023, Remark 1), the proof of (Montes-Rodríguez 2023, Theorem 1) as well as of Theorem 1.4 here depend only on $|\pm\sqrt{\kappa}| = 1$ and are unaffected.

Corollary 3.4. Let $q \geq -1$ and $\psi \in \mathcal{M}$. There is a nonzero function $f \in \mathcal{D}_q$ such that f and $T_{\psi}^q f$ have the same parity if and only if $\psi = U \in \mathcal{U}$. For $q < -1$, the same result holds for the operators Y_{ψ}^q .

Proof. Let $q \geq -1$ first. If $\psi = U \in \mathcal{U}$, since compositions with U and multiplication with complex numbers that are Jacobians of such composition operators preserve parity, there are f as claimed.

Conversely, let $\psi = \varphi_a$ in which $a \neq 0$ and suppose an f as claimed exists. If we repeat the proof of Theorem 1.4 carefully considering the case f even and $T_{\varphi_a}^q f$ even and the case f odd and $T_{\varphi_a}^q f$ odd, we obtain $f = (C_{\psi} T_{\varphi_a}^q)^2 f$ instead of (16). This in turn yields two complex numbers of modulus 1 one of which is an eigenvalue of $T_{\mu_a}^q$ and of $T_{\varphi_r}^q$ with f as an eigenvector. In the rest of the proof, the only property of the eigenvalues used is that they are of modulus 1. Again we conclude that $f = 0$.

The case $q \leq -1$ is automatic since it depends on the conclusion of the case $q > -1$.

Peer Review: Externally peer-reviewed.

Conflict of Interest: Author declared no conflict of interest.

Financial Disclosure: Author declared no financial support.

ACKNOWLEDGEMENTS

The author thanks A. Ersin Üreyen of Eskişehir Technical University for useful discussions and an anonymous referee for careful attention to details.

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Notes on multipliers on weighted Orlicz spaces

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ABSTRACT

Let G be a locally compact abelian group with Haar measure μ , Φ be a Young function and ω be a weight function. In this paper, we consider the weighted Orlicz space $L^\Phi(G, \omega)$ and we investigate the relationship between the multipliers $L^1(G, \omega)$ -module and the multipliers on a certain Banach algebra. For this purpose, we firstly define temperate function space with respect to the weighted Orlicz space $L^\Phi(G, \omega)$ which we denote by $L^\Phi_t(G, \omega)$ and give its basic properties. Later, we define a subalgebra of the space of multipliers on $L^\Phi(G, \omega)$ and study its basic properties. We also show that this subalgebra is isometrically isomorphic to the space of multipliers of a certain Banach algebra. Moreover, we obtain a characterization for the space of multipliers of $L^1(G, \omega) \cap L^\Phi(G, \omega)$.

Mathematics Subject Classification (2020): 43A15, 43A22, 46E30

Keywords: multiplier, weighted Orlicz space, locally compact group

1. INTRODUCTION

An Orlicz space is a type of function space generalizing the L^p -space. Besides the L^p spaces, a variety of function spaces arises naturally in analysis in this way such as $L \log^+ L$, which is a Banach space related to Hardy-Littlewood maximal functions. Orlicz spaces could also contain certain Sobolev spaces as subspaces. Linear properties of Orlicz spaces have been studied thoroughly (see Başar E., Öztop, S., Uysal, B.H., Yaşar, Ş. (2023); Osançlıol, A., Öztop, S. (2015); Öztop, S., Samei, E. (2017); Öztop, S., Samei, E. (2019); Rao, M. M., Ren, Z. D. (1991) for example). Similar to L^p spaces, one could also consider weighted Orlicz spaces and studied their properties. Very recently the weighted Orlicz space is studied as Banach algebra with respect to convolution for which the corresponding space becomes an algebra and studied their properties such as existence of an approximate identity in compactly supported continuous function spaces of norm one (see Osançlıol, A., Öztop, S. (2015)).

On the other hand, there are a lot of results in abstract harmonic analysis on locally compact groups regarding multipliers for various function spaces. The multipliers of the group algebras of L^p were studied by many authors (see Feichtinger, H. (1976); Fisher, M. J. (1974); Griffin, J., McKennon, K. (1973); McKennon, K. (1972)). In Öztop, S. (2003), Öztop studied the space of multiplier of $L^1(G, A) \cap L^p(G, A)$ where A is a commutative Banach algebra and G is a locally compact abelian group. In Üster, R., Öztop, S. (2020), Üster and Öztop studied compact multiplier problem for $L^\Phi(G)$ and in Üster, R. (2021), this concept is extended to $L^\Phi(G, \omega)$ spaces by Üster.

Let A be a Banach algebra and E be an A -module. Then, E is essential if the linear span of the elements a, x for $a \in A$ and $x \in E$ is dense in E . A Banach algebra A is called without order, if for all $x \in A$, $xA = Ax = \{0\}$ implies $x = 0$. It is known that if A has an approximate identity, then it is without order (see (Larsen, R. 1971, p.13)). A multiplier of A is a mapping $T : A \rightarrow A$ such that

$$T(fg) = fT(g) = (Tf)g, \quad f, g \in A. \quad (1)$$

Let us denote the collection of all multipliers of A by $M(A)$. Then, every multiplier turns out to be a bounded linear operator on A . If A is commutative Banach algebra without order, then $M(A)$ is a commutative operator algebra and $M(A)$ is called the multiplier algebra of A (see (Wang, J. K. 1961, Theorem 2.2)).

Our goal in this paper is to study the relationship between the multipliers $L^1(G, \omega)$ -module and the multipliers on a certain Banach algebra. It is well known that $L^\Phi(G, \omega)$ is an essential Banach $L^1(G, \omega)$ -module with respect to convolution product (see (Öztop, S., Samei, E. 2017, Lemma 3.2)). Moreover, we obtain a characterization for the space of multipliers of $L^1(G, \omega) \cap L^\Phi(G, \omega)$.

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Submitted: 15.04.2023 • **Revision Requested:** 27.05.2023 • **Last Revision Received:** 31.05.2023 • **Accepted:** 01.06.2023 • **Published Online:** 06.06.2023



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This paper is organized as follows. First we present necessary definitions and some basic results that will be used in this paper. In Section 3, we construct the space of Φ -temperate functions for $L^\Phi(G, \omega)$ and study their basic properties. In Section 4, we characterize the space of multipliers of $L^\Phi(G, \omega)$ as a certain Banach algebra and extend the results in [Öztop, S. \(2003\)](#) to weighted Orlicz space. In Section 5, we study the space of multipliers for $L^1(G, \omega) \cap L^\Phi(G, \omega)$.

2. PRELIMINARIES

Let us recall some facts concerning Young functions and Orlicz spaces.

An Orlicz space is determined by a Young function. A convex function $\Phi : [0, \infty) \rightarrow [0, \infty]$ is called a Young function if $\Phi(0) = 0$, $\lim_{x \rightarrow 0^+} \Phi(x) = 0$ and $\lim_{x \rightarrow \infty} \Phi(x) = \infty$.

For a Young function Φ , its complementary function Ψ is given by

$$\Psi(y) = \sup\{xy - \Phi(x) : x \geq 0\}, \quad y \geq 0$$

and Ψ is also a Young function. Then, (Φ, Ψ) is called a complementary Young pair.

By our definition, a Young function can have the value ∞ at a point, and hence be discontinuous at such a point. However, we always consider a pair of complementary Young functions (Φ, Ψ) with both Φ and Ψ being continuous and strictly increasing. In particular, they attain positive values on $(0, \infty)$. Note that even though Φ is continuous, it may happen that Ψ is not continuous.

A Young function Φ satisfies the Δ_2 condition if there exist a constant $K > 0$ and an $x_0 \geq 0$ such that $\Phi(2x) \leq K\Phi(x)$ for all $x \geq x_0$. In this case, we write $\Phi \in \Delta_2$.

Let G be a locally compact abelian group with a Haar measure μ . Given a Young function Φ , the Orlicz space $L^\Phi(G)$ on G is defined by

$$L^\Phi(G) = \{f : G \rightarrow \mathbb{C} : \int_G \Phi(\alpha|f(x)|)d\mu(x) < \infty \text{ for some } \alpha > 0\}.$$

The Orlicz space is a Banach space under the Orlicz norm $\|\cdot\|_\Phi$ defined for $f \in L^\Phi(G)$ by

$$\|f\|_\Phi = \sup \left\{ \int_G |f(x)v(x)|d\mu(x) : \int_G \Psi(|v(x)|)d\mu(x) \leq 1 \right\}$$

where Ψ is the complementary Young function of Φ .

Let (Φ, Ψ) be a complementary Young pair. If $\Phi \in \Delta_2$, then the dual space $L^\Phi(G)^*$ is $L^\Psi(G)$ ([Rao, M. M., Ren, Z. D. 1991](#), Corollary 3.4.5). If in addition $\Psi \in \Delta_2$, then the Orlicz space $L^\Phi(G)$ is a reflexive Banach space. We have already mentioned that Orlicz spaces are generalizations of Lebesgue spaces. For $1 \leq p < \infty$ and $\Phi(x) = \frac{x^p}{p}$, the space $L^\Phi(G)$ becomes the Lebesgue space $L^p(G)$ and the norm $\|\cdot\|_\Phi$ is equivalent to the classical norm $\|\cdot\|_p$. Particularly, if $p = 1$ and $\Phi(x) = x$, then Ψ the complementary Young function of Φ is 0 when $0 \leq x \leq 1$, and ∞ when $1 < x < \infty$. In this case $\|f\|_\Phi = \|f\|_1$ for all $f \in L^1(G)$. If $p = \infty$, then for the defined function Ψ , the space $L^\Psi(G)$ is equal to the space $L^\infty(G)$ and we have $\|f\|_\Psi = \|f\|_\infty$ for all $f \in L^\infty(G)$.

For further information on Orlicz spaces, the reader is referred to [Rao, M. M., Ren, Z. D. \(1991\)](#).

On the other hand, weights and weighted function spaces play an important role in mathematical analysis and their applications. In addition to this, weights appear naturally in analysis.

Let G be a locally compact group. In this paper, we consider a weight function as a function $\omega : G \rightarrow \mathbb{R}^+$ with $\omega(xy) \leq \omega(x)\omega(y)$, $(x, y \in G)$ that $\omega(e) = 1$ and $\frac{1}{\omega} \in L_{loc}^\infty(G)$, here $L_{loc}^\infty(G)$ denotes the space of all locally essentially bounded functions on G . There is no loss of generality in assuming that the weight ω is continuous (see ([Reiter H., Stegeman J. D. 2000](#), Section 3.7)).

In [Osançlıol, A., Öztop, S. \(2015\)](#), Osançlıol and Öztop introduced the weighted Orlicz space $L^\Phi(G, \omega)$ on a locally compact group G as

$$L^\Phi(G, \omega) = \{f : f\omega \in L^\Phi(G)\}$$

with the norm

$$\|f\|_{\Phi, \omega} = \|f\omega\|_\Phi$$

for $f \in L^\Phi(G, \omega)$. Also, they studied them as Banach algebras with respect to the convolution product. One can observe that if $\omega = 1$, then the weighted Orlicz spaces $L^\Phi_\omega(G)$ become the space $L^\Phi(G)$.

Now, for each $f \in L^1(G, \omega)$ define the mapping T_f by $T_f(g) = f * g$ whenever $g \in L^\Phi(G, \omega)$. T_f is an element of $B(L^\Phi(G, \omega))$, which is Banach algebra of all continuous linear operators from $L^\Phi(G, \omega)$ to $L^\Phi(G, \omega)$, and $\|T_f\| \leq \|f\|_{1, \omega}$. Identifying $f \mapsto T_f$, we obtain an embedding of $L^1(G, \omega)$ in $B(L^\Phi(G, \omega))$. We denote the space of all of $L^1(G, \omega)$ -module homomorphisms of

$L^\Phi(G, \omega)$ by $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$, that is, an operator $T \in B(L^\Phi(G, \omega))$ satisfies $T(f * g) = f * T(g)$ for each $f \in L^1(G, \omega)$ and $g \in L^\Phi(G, \omega)$.

We define

$$(f \circ T)(g) = f * T(g) = T(f * g) \tag{2}$$

for all $g \in L^\Phi(G, \omega)$ and $f \in L^1(G, \omega)$. The module homomorphisms space $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$ is an essential $L^1(G, \omega)$ -module with respect to product defined in (2) and is called the space of multipliers of $L^\Phi(G, \omega)$.

Throughout this paper, G is an abelian locally compact group and we are mainly interested in weighted Orlicz spaces $L^\Phi(G, \omega)$ with the weight ω and the Δ_2 -condition on a Young function Φ .

The definitions, notations and results of this section are adjusted according to the corresponding content of Section 2 of Öztop, S. (2003).

3. THE Φ -TEMPERATE SPACE

In this section, we define the Φ -temperate function space $L_t^\Phi(G, \omega)$ and give a closed linear subspace of $B(L^\Phi(G, \omega))$ by using the Φ -temperate functions. Moreover, we study some basic properties of these spaces.

Definition 3.1. An element $f \in L^\Phi(G, \omega)$ is called Φ -temperate function if

$$\|f\|_{\Phi, \omega}^t = \sup\{\|g * f\|_{\Phi, \omega} : g \in L^\Phi(G, \omega), \|g\|_{\Phi, \omega} \leq 1\} < \infty$$

or equivalently

$$\|f\|_{\Phi, \omega}^t = \sup\{\|g * f\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} < \infty.$$

The space of all Φ -temperate functions f is denoted by $L_t^\Phi(G, \omega)$. One can observe that

$$(L_t^\Phi(G, \omega), \|\cdot\|_{\Phi, \omega}^t)$$

is a normed space. Indeed, let $f \in L_t^\Phi(G, \omega)$. By the definition of the norm $\|\cdot\|_{\Phi, \omega}^t$, we obtain $\|f\|_{\Phi, \omega}^t \geq 0$. On the other hand, if $f = 0$, then $\|f\|_{\Phi, \omega}^t = 0$ is obvious. Conversely, let $\|f\|_{\Phi, \omega}^t = 0$. Then, we have $\sup\{\|g * f\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} = 0$ and so $g * f(x) = 0$ for all $x \in G$. Since $g \in C_c(G)$ and $C_c(G)$ is dense in $L^1(G, \omega)$, we obtain $g * f \rightarrow f$ and so $f = 0$. For each $f \in L_t^\Phi(G, \omega)$ and $\alpha \in \mathbb{K}$, we have

$$\begin{aligned} \|\alpha f\|_{\Phi, \omega}^t &= \sup\{\|g * (\alpha f)\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} \\ &= \sup\{\|\alpha(g * f)\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} \\ &= |\alpha| \sup\{\|g * f\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} \\ &= |\alpha| \|f\|_{\Phi, \omega}^t. \end{aligned}$$

Finally, for any $f_1, f_2 \in L_t^\Phi(G, \omega)$, we have

$$\begin{aligned} \|f_1 + f_2\|_{\Phi, \omega}^t &= \sup\{\|g * (f_1 + f_2)\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} \\ &\leq \sup\{\|(g * f_1) + (g * f_2)\|_{\Phi, \omega} : g \in C_c(G), \|g\|_{\Phi, \omega} \leq 1\} \\ &\leq \|f_1\|_{\Phi, \omega}^t + \|f_2\|_{\Phi, \omega}^t. \end{aligned}$$

For each $f \in L_t^\Phi(G, \omega)$, there exists precisely one bounded linear operator on $L^\Phi(G, \omega)$, denoted by W_f , such that $W_f : L^\Phi(G, \omega) \rightarrow L^\Phi(G, \omega)$

$$W_f(g) = g * f \text{ and } \|W_f\| = \|f\|_{\Phi, \omega}^t. \tag{3}$$

The linearity of W_f is obvious and since we have

$$\|W_f\| = \|f\|_{\Phi, \omega}^t = \sup\{\|g * f\|_{\Phi, \omega} : g \in L^\Phi(G, \omega), \|g\|_{\Phi, \omega} \leq 1\} \leq \|f\|_{\Phi, \omega},$$

then W_f is bounded.

Also, we observe that $W_f(h * g) = (h * g) * f = h * (g * f) = h * W_f(g)$ for each $f \in L_t^\Phi(G, \omega)$, $g \in L^\Phi(G, \omega)$. Hence, we obtain $W_f \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$.

Proposition 3.2. Let Φ be a Young function. Then $L_t^\Phi(G, \omega)$ is a dense subspace of $L^\Phi(G, \omega)$.

Proof. Since each $f \in C_c(G)$ belongs to $L_t^\Phi(G, \omega)$ and $C_c(G)$ is dense in $L^\Phi(G, \omega)$, we have the required result. □

Lemma 3.3. The space $L_t^\Phi(G, \omega)$ is a normed algebra with the convolution product.

Proof. By (3), we have

$$\begin{aligned}
 \|f * g\|_{\Phi, \omega}^t &= \sup\{\|h * (f * g)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &= \sup\{\|g * (h * f)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &= \sup\{\|W_g(h * f)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &\leq \|W_g\| \sup\{\|h * f\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &= \|g\|_{\Phi, \omega}^t \|f\|_{\Phi, \omega}^t
 \end{aligned}$$

for all $f, g \in L_t^\Phi(G, \omega)$. Hence, $(L_t^\Phi(G, \omega), \|\cdot\|_{\Phi, \omega}^t)$ is a normed algebra. \square

Note that

$$W_{f * g} = W_f \circ W_g = W_g \circ W_f \quad (4)$$

for all $f, g \in L_t^\Phi(G, \omega)$. Moreover, the closed linear subspace of $B(L^\Phi(G, \omega))$ spanned by $\{W_{f * g} : f \in L_t^\Phi(G, \omega), g \in C_c(G)\}$ is denoted by $\Lambda_{L^\Phi(G, \omega)}$.

Theorem 3.4. *The space $\Lambda_{L^\Phi(G, \omega)}$ is a complete subalgebra of $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$ and it has a minimal approximate identity, that is, a net $\{T_\alpha\}_\alpha$ such that $\overline{\lim}_\alpha \|T_\alpha\| \leq 1$ and $\lim_\alpha \|T_\alpha \circ T - T\| = 0$ for all $T \in \Lambda_{L^\Phi(G, \omega)}$.*

Proof. If $f \in L_t^\Phi(G, \omega)$, then $W_f \in B(L^\Phi(G, \omega))$. Since $L^\Phi(G, \omega)$ is an $L^1(G, \omega)$ -module, we have

$$W_f(g * h) = g * h * f = g * W_f(h)$$

for all $g \in L^1(G, \omega)$ and $h \in L^\Phi(G, \omega)$.

Hence W_f belongs to $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$. Since $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$ is a Banach algebra under the usual operator norm, $\Lambda_{L^\Phi(G, \omega)}$ is a complete subalgebra of $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$.

Now, we show the existence of minimal approximate identity of $\Lambda_{L^\Phi(G, \omega)}$. Let $\{e_{U_\alpha}\}$ be a minimal approximate identity for $L^1(G, \omega)$ [Dinculeanu, N. \(1974\)](#). If $\{e_\alpha\}$ denotes the product net of $\{e_{U_\alpha}\}$ with itself, then $\{e_\alpha\}$ is also minimal approximate identity for $L^1(G, \omega)$. It can be observed that the net $W_{e_\alpha} \in \Lambda_{L^\Phi(G, \omega)}$ and $\overline{\lim}_\alpha \|W_{e_\alpha}\| \leq 1$.

Let $f \in L_t^\Phi(G, \omega)$ and $g \in C_c(G)$. Since $\{e_\alpha\}$ is a minimal approximate identity for $L^1(G, \omega)$, using (4) we obtain

$$\begin{aligned}
 \overline{\lim}_\alpha \|W_{e_\alpha} \circ W_{f * g} - W_{f * g}\| &= \overline{\lim}_\alpha \|(W_{e_\alpha} \circ W_g - W_g) \circ W_f\| \\
 &\leq \overline{\lim}_\alpha \|W_{g * e_\alpha - g}\| \|W_f\| \\
 &\leq \overline{\lim}_\alpha \|g * e_\alpha - g\|_{1, \omega} \|W_f\| = 0.
 \end{aligned}$$

Thus we have $\overline{\lim}_\alpha \|W_{e_\alpha} \circ T - T\| = 0$ for all $T \in \Lambda_{L^\Phi(G, \omega)}$. \square

Let $g \in L^1(G, \omega)$, $f \in L_t^\Phi(G, \omega)$ and $W_f \in \Lambda_{L^\Phi(G, \omega)}$. We define the module action $g \circ W_f$ of $L^1(G, \omega)$ from $L^\Phi(G, \omega)$ to $L^\Phi(G, \omega)$ by

$$(g \circ W_f)(h) = W_f(h * g) = W_f(g * h)$$

for each $h \in L^\Phi(G, \omega)$.

Proposition 3.5. *The space $\Lambda_{L^\Phi(G, \omega)}$ is an essential $L^1(G, \omega)$ -module.*

Proof. Let $g \in L^1(G, \omega)$, $f \in L_t^\Phi(G, \omega)$ and $W_f \in \Lambda_{L^\Phi(G, \omega)}$. We have

$$\|g \circ W_f\| = \sup\{\|W_f(g * h)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \leq \|f\|_{\Phi, \omega}^t \|g\|_{1, \omega}.$$

Hence, $\Lambda_{L^\Phi(G, \omega)}$ is an $L^1(G, \omega)$ -module. On the other hand, since $L^1(G, \omega)$ has a minimal approximate identity $\{e_\alpha\}$ with a compact support, it is also an approximate identity in $L^\Phi(G, \omega)$.

For any $W_f \in \Lambda_{L^\Phi(G, \omega)}$, we have

$$\begin{aligned}
 \|e_\alpha \circ W_f - W_f\| &= \sup\{\|(e_\alpha \circ W_f - W_f)(h)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &= \sup\{\|W_f(e_\alpha * h - h)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\
 &\leq \|f\|_{\Phi, \omega}^t \|e_\alpha * h - h\|_{\Phi, \omega} = 0
 \end{aligned}$$

for all $h \in L^\Phi(G, \omega)$. Thus, $\Lambda_{L^\Phi(G, \omega)}$ is an essential $L^1(G, \omega)$ -module. Moreover, $\Lambda_{L^\Phi(G, \omega)}$ contains $L^1(G, \omega)$. \square

4. A CHARACTERIZATION FOR THE SPACE OF MULTIPLIERS OF $\Lambda_{L^\Phi(G, \omega)}$

In this section, we give an identification for the space of $L^1(G, \omega)$ -module multiplier with the space of multipliers of certain normed algebra.

The definitions, notations and proofs of this section are adjusted according to the corresponding content of Section 3 of [Öztop, S. \(2003\)](#).

Proposition 4.1. *Let $T \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$.*

- (i) *If $f \in L_t^\Phi(G, \omega)$, then $T(f) \in L_t^\Phi(G, \omega)$.*
- (ii) *If $g \in L_t^\Phi(G, \omega)$, then $T(f * g) = f * T(g)$*

for all $f, g \in L^\Phi(G, \omega)$.

Proof. (i) Let $f \in L_t^\Phi(G, \omega)$. Since $T \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$ we have

$$\begin{aligned} \|T(f)\|_{\Phi, \omega}^t &= \sup\{\|h * T(f)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\ &= \sup\{\|T(h * f)\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\ &\leq \|T\| \sup\{\|h * f\|_{\Phi, \omega} : h \in C_c(G), \|h\|_{\Phi, \omega} \leq 1\} \\ &= \|T\| \|f\|_{\Phi, \omega}^t < \infty. \end{aligned}$$

(ii) Let $g \in L_t^\Phi(G, \omega)$. Since $\overline{C_c(G)} = L^\Phi(G, \omega)$, for each $f \in L^\Phi(G, \omega)$ there exists $(f_n) \subseteq C_c(G)$ such that $\lim_{n \rightarrow \infty} \|f_n - f\|_{\Phi, \omega} = 0$. Using (3), we obtain $\lim_{n \rightarrow \infty} \|f_n * g - f * g\|_{\Phi, \omega} = 0$. By (i), we have

$$\lim_{n \rightarrow \infty} \|f_n * T(g) - f * T(g)\|_{\Phi, \omega} = 0$$

and $f * T(g) = \lim_{n \rightarrow \infty} f_n * T(g) = \lim_{n \rightarrow \infty} T(f_n * g) = T(f * g)$. □

Definition 4.2. For the space $\Lambda_{L^\Phi(G, \omega)}$, we define $\Lambda_{L^\Phi(G, \omega)}^\circ$ by

$$\Lambda_{L^\Phi(G, \omega)}^\circ = \{T \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega)) : T \circ W \in \Lambda_{L^\Phi(G, \omega)} \text{ for all } W \in \Lambda_{L^\Phi(G, \omega)}\}.$$

Lemma 4.3. *The space $\Lambda_{L^\Phi(G, \omega)}^\circ$ is equal to $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$.*

Proof. It is obvious that

$$\Lambda_{L^\Phi(G, \omega)}^\circ \subseteq \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega)). \tag{5}$$

Conversely, let $T \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$. For any $S \in \Lambda_{L^\Phi(G, \omega)}$ which is $S = W_{f * g}$ for some $f \in L_t^\Phi(G, \omega)$ and $g \in C_c(G)$, we have

$$\begin{aligned} (T \circ W_{f * g})(h) &= T(h * f * g) \\ &= h * T(f * g) \\ &= W_{T(f * g)}(h) \\ &= W_{f * T(g)}(h), \end{aligned}$$

for all $h \in L^\Phi(G, \omega)$. So, $T \circ S \in \Lambda_{L^\Phi(G, \omega)}$ implies that $T \in \Lambda_{L^\Phi(G, \omega)}^\circ$. Hence, we have $\text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega)) \subseteq \Lambda_{L^\Phi(G, \omega)}^\circ$ by the continuity of T . □

Let us note that we have the inclusion $M(\Lambda_{L^\Phi(G, \omega)}) \subset \text{Hom}_{L^1(G, \omega)}(\Lambda_{L^\Phi(G, \omega)})$.

Theorem 4.4. *The space of multipliers $M(\Lambda_{L^\Phi(G, \omega)})$ is isometrically isomorphic to the space $\Lambda_{L^\Phi(G, \omega)}^\circ$.*

Proof. Define the mapping $F : \Lambda_{L^\Phi(G, \omega)}^\circ \rightarrow M(\Lambda_{L^\Phi(G, \omega)})$ by letting $F(T) = \rho_T$ for each $T \in \Lambda_{L^\Phi(G, \omega)}^\circ$, where $\rho_T(S) = T \circ S$ for all $S \in \Lambda_{L^\Phi(G, \omega)}$. Thus F is well-defined and moreover $\rho_T(S \circ K) = T \circ S \circ K = \rho_T(S) \circ K$ for all $S, K \in \Lambda_{L^\Phi(G, \omega)}$, so $\rho_T \in M(\Lambda_{L^\Phi(G, \omega)})$, since G is an abelian group and so the convolution multiplication is commutative.

It is clear that the mapping $T \mapsto \rho_T$ is linear and that $\|\rho_T\| \leq \|T\|$. Since W_{e_α} is minimal approximate identity for $\Lambda_{L^\Phi(G, \omega)}$ by Theorem 3.4, we have

$$\begin{aligned} \|\rho_T\| &= \sup_{S \in \Lambda_{L^\Phi(G, \omega)}} \frac{\|\rho_T(S)\|}{\|S\|} = \sup_{S \in \Lambda_{L^\Phi(G, \omega)}} \frac{\|T \circ S\|}{\|S\|} \\ &\geq \sup_{S \in \Lambda_{L^\Phi(G, \omega)}} \frac{\|T \circ W_{e_\alpha}\|}{\|W_{e_\alpha}\|} \geq \|T\|. \end{aligned}$$

Thus $\|\rho_T\| = \|T\|$.

Finally, we show that F is onto. Let $\rho \in M(\Lambda_{L^\Phi(G, \omega)})$ and $\{e_\alpha\} \subseteq L^1(G, \omega)$ be a minimal approximate identity of $L^1(G, \omega)$. The limit of ρW_{e_α} exists for strong operator topology. Let $T = \lim_\alpha \rho W_{e_\alpha}$. We prove $\rho_T = \rho$. By (1), we have $(\rho W_{e_\alpha})(f * g) = (\rho W_{e_\alpha})(W_f g) = (\rho W_{e_\alpha * f})(g)$ for every $f \in L^1(G, \omega)$, $g \in L^\Phi(G, \omega)$. So we have

$$T(f * g) = \lim_\alpha (\rho W_{e_\alpha})(f * g) = (\rho W_f)g. \quad (6)$$

Since $L^\Phi(G, \omega)$ is an essential $L^1(G, \omega)$ -module, the limit of $(\rho W_{e_\alpha})(f * g)$ exists in $L^\Phi(G, \omega)$. Let this limit be denoted by $T_g \in \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$. From (6) we obtain for all $f \in L^1(G, \omega)$,

$$f \circ T = \rho f. \quad (7)$$

Thus we have

$$T \circ W_{e_\alpha} \circ W = (\rho W_{e_\alpha}) \circ W = \rho(W_{e_\alpha} \circ W) \quad (8)$$

for all $W \in \Lambda_{L^\Phi(G, \omega)}$. Since $L^\Phi(G, \omega)$ is essential $L^1(G, \omega)$ -module, we have $T \circ W = \rho(W)$ and so $\rho_T(W) = \rho(W)$ for all $W \in \Lambda_{L^\Phi(G, \omega)}$, which gives $\rho_T = \rho$. \square

Corollary 4.5. $M(\Lambda_{L^\Phi(G, \omega)}) \cong \text{Hom}_{L^1(G, \omega)}(L^\Phi(G, \omega))$.

Proof. From Lemma 4.3 and Theorem 4.4, the result is obtained. \square

5. THE IDENTIFICATION FOR THE SPACE $L^1(G, \omega) \cap L^\Phi(G, \omega)$

In this section, adapted from Chapter of Öztop, S. (2003), we study some basic properties of the space $L^1(G, \omega) \cap L^\Phi(G, \omega)$ and we characterize the space of multipliers $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$.

Given a Young function Φ , the space $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Banach space with the norm

$$\|f\| = \|f\|_{1, \omega} + \|f\|_{\Phi, \omega} \quad (9)$$

for $f \in L^1(G, \omega) \cap L^\Phi(G, \omega)$.

Lemma 5.1. For $L^1(G, \omega) \cap L^\Phi(G, \omega)$ the following is true.

- (i) $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is dense in $L^1(G, \omega)$ with respect to the norm $\|\cdot\|_{1, \omega}$.
- (ii) For every $f \in L^1(G, \omega) \cap L^\Phi(G, \omega)$ and $x \in G$ the mapping $x \mapsto L_x f$ is continuous where $L_x f(y) = f(x^{-1}y)$ for all $y \in G$.

Proof. (i) Since $C_c(G)$ is dense in $L^1(G, \omega)$ with respect to the norm $\|\cdot\|_{1, \omega}$ and $C_c(G) \subseteq L^1(G, \omega) \cap L^\Phi(G, \omega) \subseteq L^1(G, \omega)$ we have the required result.

(ii) Let $f \in L^1(G, \omega) \cap L^\Phi(G, \omega)$. Observe that by (Osançlıol, A., Öztop, S. 2015, Lemma 2.3) $\|L_x f\| \leq w(x)\|f\|$ for all $x \in G$ and the function $x \mapsto L_x f$ is continuous from G into $L^\Phi(G, \omega)$ and $L^1(G, \omega)$. Thus for any $x_0 \in G$ and $\varepsilon > 0$, there exists $U_1 \in V_{(x_0)}$ and $U_2 \in V_{(x_0)}$ such that for every $x \in U_1$

$$\|L_x f - L_{x_0} f\|_{\Phi, \omega} < \frac{\varepsilon}{2}$$

and for every $x \in U_2$

$$\|L_x f - L_{x_0} f\|_{1, \omega} < \frac{\varepsilon}{2},$$

where $V_{(x_0)}$ denotes the neighborhood of x_0 . Set $V = U_1 \cap U_2$, then for all $x \in V$ we have $\|L_x f - L_{x_0} f\| < \varepsilon$. \square

Since $L^1(G, \omega)$ has a minimal approximate identity and $L^\Phi(G, \omega)$ is an essential $L^1(G, \omega)$ -module, the following proposition and lemma are hold trivially.

Proposition 5.2. The space $L^1(G, \omega) \cap L^\Phi(G, \omega)$ has a minimal approximate identity in $L^1(G, \omega)$.

Lemma 5.3. The space $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is an essential $L^1(G, \omega)$ -module.

Corollary 5.4. $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Banach ideal in $L^1(G, \omega)$.

Proposition 5.5. $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Banach algebra with the norm $\|f\| \cdot \|g\|$.

Proof. For any $f, g \in L^1(G, \omega) \cap L^\Phi(G, \omega)$ we have

$$\begin{aligned} \|f * g\| &= \|f * g\|_{1, \omega} + \|f * g\|_{\Phi, \omega} \\ &\leq \|f\|_{1, \omega} \|g\|_{\Phi, \omega} + \|f\|_{1, \omega} \|g\|_{\Phi, \omega} \leq \|f\| \|g\|. \end{aligned}$$

\square

Note that let G be a locally compact abelian group. A subalgebra $S^1(G)$ of $L^1(G)$ is called a Segal algebra if it satisfies the following conditions (see Reiter H., Stegeman J. D. (2000)).

(i) The space $S^1(G)$ is dense in $L^1(G)$.

(ii) The subalgebra $S^1(G)$ is a Banach algebra which is invariant under translations and for each $f \in L^1(G)$ there is a neighborhood $U = U_\varepsilon$ of the identity element e such that

$$\|L_y f - f\| < \varepsilon, \quad y \in U.$$

Corollary 5.6. The space $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Segal algebra.

Proof. By Lemma 5.1 and Proposition 5.5 we obtain that $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Segal algebra. □

Remark 5.7. Since $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is an $L^1(G, \omega)$ -module and a Banach algebra, using the similar methods in Section 3 we obtain $M(L^1(G, \omega) \cap L^\Phi(G, \omega)) \cong \text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$.

Proposition 5.8. $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$ is an essential Banach module over $L^1(G, \omega)$.

Proof. Let $f \in L^1(G, \omega)$ and $T \in \text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$. Define the operator fT on $L^1(G, \omega) \cap L^\Phi(G, \omega)$ by

$$(fT)(g) = T(f * g) \tag{10}$$

for all $g \in L^1(G, \omega) \cap L^\Phi(G, \omega)$ and $f \in L^1(G, \omega) \cap L^\Phi(G, \omega)$. Since $L^1(G, \omega) \cap L^\Phi(G, \omega)$ is a Banach algebra, the mapping (10) is well defined. On the other hand, we have

$$\begin{aligned} \|fT\| &= \sup_{\|g\| \leq 1} \|(fT)(g)\| \\ &\leq \sup_{\|g\| \leq 1} \|T(f * g)\| \\ &\leq \sup_{\|g\| \leq 1} \|T\| \|f * g\| \\ &\leq \sup_{\|g\| \leq 1} \|T\| \|f\|_{1, \omega} \|g\| \\ &\leq \|T\| \|f\|_{1, \omega}. \end{aligned}$$

Hence, $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$ is an $L^1(G, \omega)$ module.

Let $\{e_\alpha\}$ be a minimal approximate identity for $L^1(G, \omega)$ and T be in $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$. We have

$$\lim_\alpha \|e_\alpha \circ T - T\| = 0.$$

Then, we obtain that $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$ is an essential Banach module over $L^1(G, \omega)$. □

Define \mathcal{P} to be the closure of $L^1(G, \omega)$ in $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$ for the operator norm. Clearly we have

$$\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega)) = (\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega)))_e = \mathcal{P} = (\mathcal{P})_e, \tag{11}$$

where $(\cdot)_e$ denotes the essential part and we have

$$\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega)) = (\mathcal{P}).$$

Here (\mathcal{P}) is defined as the space of the elements $T \in \text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$ such that $T \circ \mathcal{P} \subset \mathcal{P}$.

Using the same method as in Theorem 4.4, we obtain the following lemma.

Lemma 5.9. The space of multipliers of Banach algebra \mathcal{P} is isometrically isometric to the space (\mathcal{P}) .

Corollary 5.10. $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega)) \cong M(\mathcal{P})$.

Proof. The proof is obtained by using Lemma 4.3 and Theorem 4.4. □

Remark 5.11. It is evident that every measure $\mu \in M(G)$ defines multiplier for $L^1(G, \omega) \cap L^\Phi(G, \omega)$. This is obvious from the fact that $\|\mu * f\| \leq \|\mu\| \|f\|$, $f \in L^1(G, \omega) \cap L^\Phi(G, \omega)$. On the other hand, for $\mu \in M(G)$, we have $\mu \circ L^1(G, \omega) \subset L^1(G, \omega)$, the inclusion in the space $\text{Hom}_{L^1(G, \omega)}(L^1(G, \omega) \cap L^\Phi(G, \omega))$. Thus, $\mu \circ \mathcal{P} \subset \mathcal{P}$ and $M(G)$ can be embedded into (\mathcal{P}) .

Moreover, if G is noncompact locally compact abelian, we have the more general results than Corollary in (Larsen, R. 1971, Corollary 3.5.1).

Peer Review: Externally peer-reviewed.

Author Contribution: All authors have contributed equally.

Conflict of Interest: Authors declared no conflict of interest.

Financial Disclosure: Authors declared no financial support.

ACKNOWLEDGEMENTS

We are grateful to anonymous referees for careful reading of the manuscript and for helpful comments.

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Clairaut and Einstein conditions for locally conformal Kaehler submersions

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ABSTRACT

In the present paper, we study Clairaut submersions and Einstein conditions whose total manifolds are locally conformal Kaehler manifolds. We first give a necessary and sufficient condition for a curve to be geodesic on total manifold of a locally conformal Kaehler submersion. Then, we investigate conditions for a locally conformal Kaehler submersion to be a Clairaut submersion. We find the Ricci and scalar curvature formulas between any fiber of the total manifold and the base manifold of a locally conformal Kaehler submersion and give necessary and sufficient conditions for the total manifold of a locally conformal Kaehler submersion to be Einstein. Finally, we obtain some formulas for sectional and holomorphic sectional curvatures for a locally conformal Kaehler submersion.

Mathematics Subject Classification (2020): 53B35, 53C18, 53C25

Keywords: Riemannian submersion, almost Hermitian submersion, locally conformal Kaehler submersion, Clairaut submersion, Einstein manifold.

1. INTRODUCTION

The notion of Riemannian submersion was introduced by O'Neill (1966) and Gray (1967), independently. Watson (1976) introduced almost Hermitian submersions by adding the condition to be almost complex mappings for Riemannian submersions, and proved that the vertical and the horizontal distributions are invariant with respect to the almost complex structure of the total space of the submersion. Then various kinds of Riemannian and almost Hermitian submersions Falcitelli et al. (2004), Şahin (2017) have been introduced and studied widely such as anti-invariant submersions, Lagrangian submersions, slant submersions, semi-slant submersions, hemi-slant submersions, etc. Moreover, these submersions have been studied for different kinds of manifolds like Kaehler, almost Kaehler, Sasakian and examined under some particular conditions, for example Einstein and Clairaut Lee et al. (2015). Especially, Clairaut submersions were studied in Lorentzian manifolds Allison (1996), Sasakian and Kenmotsu manifolds Taştan and Gerdan (2016), cosymplectic manifolds Taştan and Gerdan Aydın (2019), and locally product Riemannian manifolds Gündüzalp (2020). An important class of these manifolds is locally conformal Kaehler manifold, whose metric is conformal to a Kaehler metric locally. Vaisman studied locally conformal Kaehler manifolds and obtained some curvature properties of these manifolds Vaisman (1980). A comprehensive review for locally conformal Kaehler manifolds was made by Dragomir and Ornea (1998). An almost Hermitian submersion whose total manifold is a locally conformal Kaehler is called a locally conformal Kaehler submersion. Marrero and Rocha (1994) gave some conditions for the fibers of a locally conformal Kaehler submersion to be minimal and studied some relations between the Betti numbers of the total space and the base space of this submersion. In a recent paper Çimen et al. (2023) obtained Gauss and Weingarten equations for a locally conformal Kaehler submersion.

In this paper, we study Clairaut submersions and Einstein conditions whose total manifolds are locally conformal Kaehler manifolds. In section 2, we give basic informations about Riemannian submersions, almost Hermitian submersions and locally conformal Kaehler manifolds. In section 3, we derive conditions for a curve to be geodesic, with respect to two connections which are determined by the Riemannian metric and its conformally related Kaehler metric, on total manifold of a locally conformal Kaehler submersion. After that, we give a necessary and sufficient condition for a locally conformal Kaehler submersion to be Clairaut. In section 4, we derive the formulas for Ricci and scalar curvatures between any fiber of the total manifold and the base manifold of a locally conformal Kaehler submersion. Afterwards, we give necessary and sufficient conditions for the total manifold of a

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Submitted: 01.05.2023 • Revision Requested: 24.05.2023 • Last Revision Received: 31.05.2023 • Accepted: 01.06.2023 • Published Online: 06.06.2023



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locally conformal Kaehler submersion to be Einstein. At the end of this section, we obtain the sectional and holomorphic sectional curvatures for a locally conformal Kaehler submersion.

2. PRELIMINAIRES

In this section, we will give some informations about locally conformal Kaehler manifolds.

Let (M, g) and (N, g') be Riemannian manifolds. A mapping π of (M, g) onto (N, g') is called a *Riemannian submersion* if it satisfies the following conditions:

(i) For every $p \in M$, the derivative map π_* of π is surjective;

hence for each $q \in N$, $\pi^{-1}(q)$ is a submanifold of dimension $\dim(M) - \dim(N)$. These submanifolds are called *fibers* of the submersion and a vector field on M which is tangent (resp. orthogonal) to fibers is called *vertical* (resp. *horizontal*). Thus, we can write a vector field E on M uniquely as $E = E^v + E^h$, where E^v and E^h are vertical and horizontal parts of E , respectively.

(ii) For every horizontal vector fields X, Y we have $g(X, Y) = g'(\pi_*X, \pi_*Y)$;

that is, π_* is a linear isometry of horizontal distribution.

To find the Gauss and Weingarten formulas of a Riemannian submersion, O'Neill introduced two new tensors of types $(1, 2)$ as follows;

$$\mathcal{T}_E F = (\nabla_{E^v} F^h)^v + (\nabla_{E^v} F^v)^h,$$

$$\mathcal{A}_E F = (\nabla_{E^h} F^h)^v + (\nabla_{E^h} F^v)^h,$$

where E and F are vector fields on M and ∇ is the Levi-Civita connection of g (see for the properties \mathcal{T} and \mathcal{A} in O'Neill (1966)). It is easy to see that,

$$\nabla_U V = (\nabla_U V)^v + \mathcal{T}_U V, \quad (1)$$

$$\nabla_U X = \mathcal{T}_U X + (\nabla_U X)^h, \quad (2)$$

$$\nabla_X U = (\nabla_X U)^v + \mathcal{A}_X U, \quad (3)$$

$$\nabla_X Y = \mathcal{A}_X Y + (\nabla_X Y)^h, \quad (4)$$

where U and V are vertical, and X and Y are horizontal vector fields on M .

Let (M, J, g) and (N, J', g') be almost Hermitian manifolds and $\pi : (M, J, g) \rightarrow (N, J', g')$ be a Riemannian submersion. π is called an *almost Hermitian submersion* if $\pi_* \circ J = J' \circ \pi_*$, i.e., π is an almost complex mapping. The vertical and horizontal distributions are invariant under the almost complex structure J (see Proposition 2.1 in Watson (1976)).

Let a Hermitian manifold (M, J, g) is called a *locally conformal Kaehler* manifold (briefly l.c.K.), if M has an open cover $\{\mathcal{U}_i\}_{i \in I}$ and for every $i \in I$ with family of positive differentiable functions $\sigma_i : \mathcal{U}_i \rightarrow \mathbb{R}$ such that

$$g_i = e^{-\sigma_i} g|_{\mathcal{U}_i}$$

are Kaehler metrics on \mathcal{U}_i .

Let (M, J, g) be a Hermitian manifold and let Ω be a 2-form defined by $\Omega(E, F) = g(E, JF)$ where E and F are vector fields on M . Dragomir and Ornea (1998) showed that (M, J, g) is a l.c.K. manifold if and only if there exists a globally defined closed 1-form ω such that

$$d\Omega = \omega \wedge \Omega.$$

The 1-form ω is called the *Lee form* and the vector field B defined by

$$\omega(E) = g(B, E), \quad (5)$$

is called *Lee vector field* of M , where E is a vector field of M .

Let ∇^i be the Levi-Civita connection of the locally conformal Kaehler metrics g_i , for every $i \in I$. Then the Levi-Civita connections ∇^i glue up to a globally defined linear connection $\tilde{\nabla}$ on M (see Theorem 2.1 (Dragomir and Ornea (1998))) is given by

$$\tilde{\nabla}_E F = \nabla_E F - \frac{1}{2} \left\{ \omega(E)F + \omega(F)E - g(E, F)B \right\}, \quad (6)$$

for any vector fields E and F on M . One can see that $\tilde{\nabla}$ is torsion-free and satisfies

$$\tilde{\nabla}g = \omega \otimes g, \tag{7}$$

and

$$\tilde{\nabla}J = 0. \tag{8}$$

$\tilde{\nabla}$ is called *Weyl connection* of the l.c.K manifold M . From (6) and (8), it can be obtained

$$(\nabla_E J)F = \frac{1}{2} \left\{ \omega(JF)E - \omega(F)JE - g(E, JF)B + g(E, F)JB \right\}. \tag{9}$$

[Çimen et al. \(2023\)](#) showed that the following equations hold for a l.c.K. submersion:

$$\mathcal{T}_U JV = J\mathcal{T}_U V + \frac{1}{2} \left\{ g(U, V)(JB)^h - g(U, JV)B^h \right\}, \tag{10}$$

$$\mathcal{T}_V JX = J\mathcal{T}_V X + \frac{1}{2} \left\{ \omega(JX)V - \omega(X)JV \right\}, \tag{11}$$

$$\mathcal{A}_X JV = J\mathcal{A}_X V + \frac{1}{2} \left\{ \omega(JV)X - \omega(V)JX \right\}, \tag{12}$$

$$\mathcal{A}_X JY = J\mathcal{A}_X Y + \frac{1}{2} \left\{ g(X, Y)(JB)^v - g(X, JY)B^v \right\}, \tag{13}$$

where U and V are vertical, X and Y are horizontal, and B is the Lee vector field of the total manifold of the submersion.

3. CLAIRAUT LOCALLY CONFORMAL KAEHLER SUBMERSIONS

In this section we shall give a necessary and sufficient condition for a locally conformal Kaehler submersion to be Clairaut. First, we recall the definition of a Clairaut submersion.

Let $\rho(p)$ be the distance from a point p on a surface of revolution in \mathbb{R}^3 to the rotation axis of this surface and α be a geodesic in this surface. Clairaut's theorem says that for the angle $\theta(s)$ between the velocity vector $\dot{\alpha}(s)$ and the meridian through $\alpha(s)$, $(\rho \sin \theta)(s)$ is constant. Motivated by this idea, [Bishop \(1972\)](#) introduced the notion of Clairaut submersion in the following way:

Definition 3.1. A Riemannian submersion $\pi : (M, g) \rightarrow (N, g')$ is called a *Clairaut submersion* if there exists a positive function ρ on M such that for any geodesic α on M , the function $\rho \sin \theta$ is constant, where θ is the angle between $\dot{\alpha}$ and the horizontal distribution at every point of M .

[Bishop \(1972\)](#) gave the following characterization for Clairaut submersions.

Theorem 3.2. Let $\pi : (M, g) \rightarrow (N, g')$ be a Riemannian submersion with connected fibers. Then π is a Clairaut submersion with $\rho = e^f$ if and only if each fiber is totally umbilical and has the mean curvature vector field $H = -\text{grad}f$.

We shall obtain a necessary and sufficient condition for a curve on the total space of a l.c.K submersion to be geodesic.

Lemma 3.3. Let π be a l.c.K. submersion from (M, J, g) onto (N, J', g') , and let α be a curve on M whose tangent vector field has horizontal and vertical components X and V , respectively. Then α is a geodesic with respect to the Weyl connection $\tilde{\nabla}$ if and only if

$$(\nabla_{\dot{\alpha}} JX)^h + \mathcal{T}_V JV + \mathcal{A}_X JV - \frac{1}{2} \left\{ \omega(\dot{\alpha})JX + \omega(J\dot{\alpha})X \right\} = 0, \tag{14}$$

$$(\nabla_{\dot{\alpha}} JV)^v + \mathcal{T}_V JX + \mathcal{A}_X JX - \frac{1}{2} \left\{ \omega(\dot{\alpha})JV + \omega(J\dot{\alpha})V \right\} = 0. \tag{15}$$

Proof. From (6) and (8), we have

$$\begin{aligned} \tilde{\nabla}_{\dot{\alpha}} \dot{\alpha} &= -J\tilde{\nabla}_{\dot{\alpha}} J\dot{\alpha} \\ &= -J \left(\nabla_{\dot{\alpha}} J\dot{\alpha} - \frac{1}{2} \left\{ \omega(\dot{\alpha})J\dot{\alpha} + \omega(J\dot{\alpha})\dot{\alpha} - g(\dot{\alpha}, J\dot{\alpha})B \right\} \right) \\ &= -J \left(\nabla_V JV + \nabla_V JX + \nabla_X JV + \nabla_V JV \right. \\ &\quad \left. - \frac{1}{2} \left\{ \omega(\dot{\alpha})JX + \omega(\dot{\alpha})JV + \omega(J\dot{\alpha})X + \omega(J\dot{\alpha})V \right\} \right). \end{aligned}$$

Then nonsingular J implies that α is geodesic if and only if

$$\begin{aligned} & \nabla_V JV + \nabla_V JX + \nabla_X JV + \nabla_V JV \\ & - \frac{1}{2} \left\{ \omega(\dot{\alpha})JX + \omega(\dot{\alpha})JV + \omega(J\dot{\alpha})X + \omega(J\dot{\alpha})V \right\} = 0. \end{aligned}$$

Taking the horizontal and vertical parts of this equation, we get (14) and (15), respectively. \square

Lemma 3.4. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion with connected fibers. If α is a geodesic on M with respect to both ∇ and $\tilde{\nabla}$, then we have

$$\omega(\dot{\alpha})\dot{\alpha} = \frac{1}{2}B. \quad (16)$$

Proof. Suppose that α is a geodesic curve with respect to both ∇ and $\tilde{\nabla}$, that is $\nabla_{\dot{\alpha}}\dot{\alpha} = 0$ and $\tilde{\nabla}_{\dot{\alpha}}\dot{\alpha} = 0$. Then we get (16) immediately from (6). \square

A geodesic curve whose vertical component of its velocity vector is zero is called a *horizontal geodesic* by O'Neill (1967). For a horizontal geodesic of a l.c.K. submersion, we have the following result.

Theorem 3.5. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion with connected fibers. If a curve α is a horizontal geodesic on M with respect to both ∇ and $\tilde{\nabla}$, then the dimension of horizontal distribution is equal to 2 or the submersion π is a Kaehler submersion, i.e., its total manifold is Kaehler.

Proof. Let $\{X_1, \dots, X_m\}$ be an orthonormal basis of the horizontal distribution of the submersion π at $p \in \pi^{-1}(q)$, where $q \in N$. Then there exist horizontal geodesic curves $\alpha_1, \dots, \alpha_m$ such that $\dot{\alpha}_i = X_i, i = 1, \dots, m$. Thus, for every $i = 1, \dots, m$, we have

$$g(B, X_i)X_i = \frac{1}{2}B^h \quad (17)$$

from (5) and (16). Taking summation of the equation (17) over i , we obtain

$$\left(1 - \frac{m}{2}\right)B^h = 0.$$

Hence, it follows that $m = 2$ or $B^h = 0$. In the case of $B^h = 0$, B is a zero vector field since B cannot be vertical by Theorem 2 of Çimen et al. (2023). It means that M is Kaehler. \square

Now, we shall give the condition for a l.c.K. submersion to be Clairaut.

Theorem 3.6. Let π be a l.c.K. submersion from (M, J, g) onto (N, J', g') . Then π is a Clairaut submersion with $\rho = e^f$ if and only if

$$g(\dot{\alpha}, \text{grad}f)g(V, V) + \frac{1}{2}\omega(\dot{\alpha})g(V, V) + \frac{1}{2}\|V\|^2\omega(X) - \frac{1}{2}\|X\|^2\omega(V) - g(\mathcal{T}_V X, V) = 0, \quad (18)$$

where X and V denote the horizontal and vertical components of $\dot{\alpha}$ of the geodesic α on M with respect to $\tilde{\nabla}$, respectively.

Proof. Let α be a geodesic on M . Then we have

$$g(X, X) = \cos^2\theta \quad \text{and} \quad g(V, V) = \sin^2\theta.$$

From (6) and (7), we have

$$\begin{aligned} \omega(\dot{\alpha})g(V, V) &= (\tilde{\nabla}_{\dot{\alpha}}g)(V, V) \\ &= \tilde{\nabla}_{\dot{\alpha}}g(V, V) - 2g(\tilde{\nabla}_{\dot{\alpha}}JV, JV) \\ &= \tilde{\nabla}_{\dot{\alpha}}g(V, V) - 2g(\nabla_{\dot{\alpha}}JV, JV) + g(\omega(\dot{\alpha})JV + \omega(JV)\dot{\alpha} - g(JV, \dot{\alpha})B, JV). \end{aligned}$$

Then we obtain,

$$\tilde{\nabla}_{\dot{\alpha}}g(V, V) = 2g(\nabla_{\dot{\alpha}}JV, JV). \quad (19)$$

On the other hand, we have

$$\tilde{\nabla}_{\dot{\alpha}}g(V, V) = \nabla_{\dot{\alpha}}g(V, V) = \sin\theta\cos\theta\frac{d\theta}{dt}. \quad (20)$$

Then, π is Clairaut if and only if $\frac{d}{ds}(e^f \sin\theta) = 0$. Hence from (20), we get

$$\tilde{\nabla}_{\dot{\alpha}}g(V, V) = -2\frac{df}{ds}\sin^2\theta. \quad (21)$$

By using (15) and (21), in (19) we obtain

$$g(\dot{\alpha}, \text{grad}f)g(V, V) + \frac{1}{2}\omega(\dot{\alpha})\|V\|^2 - g(\mathcal{T}_V JX, JV) - g(\mathcal{A}_X JX, JV) = 0. \tag{22}$$

With the help of (11) and (13), the equation (18) follows from (22). \square

Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion with totally umbilical fibers. Then, for any vertical vector fields U and V , and horizontal vector field X , we have

$$g(\mathcal{T}_U V, X) = -g(U, V)g(H, X),$$

from Theorem (3.2). Hence, using (6) and (1), we obtain

$$g(\tilde{\nabla}_U V, X) = -g(U, V)g(H, X) + \frac{1}{2}g(U, V)g(B^h, X).$$

Here, we know that $H = -\frac{1}{2}B^h$ from Proposition 3.34 of Falcitelli et al. (2004). Thus, we get

$$g(\tilde{\nabla}_U V, X) = 0,$$

and so we have

$$g(\tilde{\nabla}_U X, V) = 0.$$

Hence, we obtain the following result.

Theorem 3.7. *If $\pi : (M, J, g) \rightarrow (N, J', g')$ is a Clairaut l.c.K. submersion, then we have*

$$(\tilde{\nabla}_U V)^h = 0 \quad \text{and} \quad (\tilde{\nabla}_U X)^v = 0,$$

where U and V are vertical, and X is horizontal vector fields on M .

4. EINSTEIN LOCALLY CONFORMAL KAEHLER SUBMERSIONS

In this section, we shall give the conditions for the fibers and the base manifold of a l.c.K. submersion to be Einstein.

Definition 4.1. A Riemannian manifold (M, g) with $\dim(M) = m > 2$ is said to be an *Einstein manifold* if its Ricci tensor $S = \frac{r}{m}g$, where r denotes the scalar curvature of M .

Lemma 4.2. *Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. If the Lee vector field B is horizontal, then the Ricci tensor S is given by*

$$S(U, V) = \hat{S}(U, V) - \sum_{i=1}^k g(\mathcal{T}_{U_i} U_i, \mathcal{T}_U V) + \sum_{i=1}^k g(\mathcal{T}_U U_i, \mathcal{T}_{V_i} U_i) + \sum_{j=1}^l g((\nabla_{E_j} \mathcal{T})(U, V), E_j) - \sum_{j=1}^l g(\mathcal{T}_U E_j, \mathcal{T}_V E_j), \tag{23}$$

$$S(X, Y) = S^*(X, Y) + \sum_{i=1}^k g((\nabla_X \mathcal{T})(U_i, U_i), Y) - \sum_{i=1}^k g(\mathcal{T}_{U_i} X, \mathcal{T}_{U_i} Y), \tag{24}$$

$$S(U, X) = \sum_{i=1}^k g((\nabla_U \mathcal{T})(U_i, U_i), X) - \sum_{i=1}^k g((\nabla_{U_i} \mathcal{T})(U, U_i), X), \tag{25}$$

and the scalar curvature r is given by

$$r = \hat{r} + r^* - \sum_{i,j=1}^k g(\mathcal{T}_{U_i} U_i, \mathcal{T}_{U_j} U_j) - 2 \sum_{j=1}^l \sum_{i=1}^k g(\mathcal{T}_{U_i} E_j, \mathcal{T}_{U_i} E_j) + \sum_{i,j=1}^k g(\mathcal{T}_{U_i} U_j, \mathcal{T}_{U_i} U_j) + \sum_{j=1}^l \sum_{i=1}^k g((\nabla_{E_j} \mathcal{T})(U_i, U_i), E_j), \tag{26}$$

where $\{U_1, \dots, U_k\}$ and $\{E_1, \dots, E_l\}$ are orthonormal frames of vertical and horizontal distributions, respectively, S^* is the horizontal lift of Ricci tensor of N , \hat{S} is Ricci tensor of any fiber, r^* is the lift of scalar curvature of N and \hat{r} is scalar curvature of any fiber.

Proof. From Proposition 2 of Lee et al. (2015) we have,

$$\begin{aligned} S(U, V) &= \hat{S}(U, V) - \sum_{i=1}^k g(\mathcal{T}_{U_i}U_i, \mathcal{T}_U V) + \sum_{i=1}^k g(\mathcal{T}_U U_i, \mathcal{T}_V U_i) \\ &\quad + \sum_{j=1}^l g((\nabla_{E_j} \mathcal{T})(U, V), E_j) - \sum_{j=1}^l g(\mathcal{T}_U E_j, \mathcal{T}_V E_j) \\ &\quad + \sum_{j=1}^l g(\mathcal{A}_{E_j} U, \mathcal{A}_{E_j} V), \end{aligned}$$

$$\begin{aligned} S(X, Y) &= S^*(X, Y) + \sum_{i=1}^k g((\nabla_X T)(U_i, U_i), Y) - \sum_{i=1}^k g(T_{U_i} X, T_{U_i} Y) \\ &\quad + \sum_{i=1}^k g((\nabla_{U_i} \mathcal{A})(X, Y), U_i) + \sum_{i=1}^k g(\mathcal{A}_X U_i, \mathcal{A}_Y U_i) \\ &\quad - 3 \sum_{j=1}^l g(\mathcal{A}_{E_j} X, \mathcal{A}_{E_j} Y), \end{aligned}$$

$$\begin{aligned} S(U, X) &= \sum_{i=1}^k g((\nabla_U \mathcal{T})(U_i, U_i), X) - \sum_{i=1}^k g((\nabla_{U_i} \mathcal{T})(U, U_i), X) \\ &\quad + \sum_{j=1}^l g((\nabla_{E_j} \mathcal{A})(X, E_j), U) - 2 \sum_{j=1}^l g(\mathcal{A}_X E_j, \mathcal{T}_U E_j), \end{aligned}$$

and

$$\begin{aligned} r &= \hat{r} + r^* - \sum_{i,j=1}^k g(\mathcal{T}_{U_i} U_i, \mathcal{T}_{U_j} U_j) - 2 \sum_{j=1}^l \sum_{i=1}^k g(\mathcal{T}_{U_i} E_j, \mathcal{T}_{U_i} E_j) \\ &\quad + \sum_{i,j=1}^k g(\mathcal{T}_{U_i} U_j, \mathcal{T}_{U_i} U_j) + \sum_{j=1}^l \sum_{i=1}^k g((\nabla_{E_j} \mathcal{T})(U_i, U_i), E_j) \\ &\quad + 2 \sum_{j=1}^l \sum_{i=1}^k g(\mathcal{A}_{E_j} U_i, \mathcal{A}_{E_j} U_i) - 3 \sum_{i,j=1}^l g(\mathcal{A}_{E_i} E_j, \mathcal{A}_{E_i} E_j). \end{aligned}$$

Since the Lee vector field B is horizontal, then we have $\mathcal{A} \equiv 0$, see Proposition 4.3 of Marrero and Rocha (1994). Thus, (23) ~ (26) can be obtained from the above equations, respectively. \square

Theorem 4.3. $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion with horizontal Lee vector field B . Then (M, J, g) is an Einstein manifold if and only if the following relations hold:

$$\begin{aligned} \hat{S}(U, V) &= \frac{r}{m} g(U, V) + \sum_{i=1}^k g(\mathcal{T}_{U_i} U_i, \mathcal{T}_U V) - \sum_{i=1}^k g(\mathcal{T}_U U_i, \mathcal{T}_V U_i) \\ &\quad - \sum_{j=1}^l g((\nabla_{E_j} \mathcal{T})(U, V), E_j) + \sum_{j=1}^l g(\mathcal{T}_U E_j, \mathcal{T}_V E_j), \end{aligned}$$

$$S^*(X, Y) = \frac{r}{m} g(X, Y) - \sum_{i=1}^k g((\nabla_X T)(U_i, U_i), Y) + \sum_{i=1}^k g(T_{U_i} X, T_{U_i} Y),$$

and

$$\sum_{i=1}^k g((\nabla_U \mathcal{T})(U_i, U_i), X) - \sum_{i=1}^k g((\nabla_{U_i} \mathcal{T})(U, U_i), X) = 0.$$

Proof. If π is a l.c.K. submersion with horizontal Lee vector field B , then \mathcal{A} vanishes. So, from (23), (24) and (25), we have the result. \square

For a l.c.K. manifold (M, J, g) , the relation between the curvature tensors R and \tilde{R} of ∇ and $\tilde{\nabla}$ respectively, is given by [Vaisman \(1980\)](#)

$$\begin{aligned} \tilde{R}(X, Y)Z &= R(X, Y)Z \\ &\quad - \frac{1}{2} \left\{ L(X, Z)Y - L(Y, Z)X - g(Y, Z) \left[\nabla_X B + \frac{1}{2} \omega(X)B \right] \right. \\ &\quad \left. + g(X, Z) \left[\nabla_Y B + \frac{1}{2} \omega(Y)B \right] \right\} \\ &\quad - \frac{\|\omega\|^2}{4} \left\{ g(Y, Z)X - g(X, Z)Y \right\}, \end{aligned} \tag{27}$$

where

$$L(X, Y) = (\nabla_X \omega)(Y) + \frac{1}{2} \omega(X)\omega(Y) = g(\nabla_X B, Y) + \frac{1}{2} \omega(X)\omega(Y), \tag{28}$$

and X, Y and Z are vector fields on M .

As ω is closed and L is a symmetric 2-tensor, we have from (27) that

$$\begin{aligned} e^{\sigma_i} R^i(X, Y, Z, W) &= R(X, Y, Z, W) \\ &\quad - \frac{1}{2} \left\{ L(X, Z)g(Y, W) - L(Y, Z)g(X, W) \right. \\ &\quad \left. + L(Y, W)g(X, Z) - L(X, W)g(Y, Z) \right\} \\ &\quad - \frac{\|\omega\|^2}{4} \left\{ g(Y, Z)g(X, W) - L(X, Z)g(Y, W) \right\}, \end{aligned} \tag{29}$$

where R^i is the curvature tensor of the locally conformal Kaehler metric g_i .

If $\pi : (M, J, g) \rightarrow (N, J', g')$ is a l.c.K. submersion, then (28) takes the form

$$\begin{aligned} L(U, X) &= g(\nabla_U B, X) + \frac{1}{2} \omega(U)\omega(X) \\ &= Ug(B, X) - g(B, \nabla_U X) + \frac{1}{2} \omega(U)\omega(X) \\ &= U\omega(X) - g(B, \mathcal{T}_U X) - g(B, (\nabla_U X)^h) + \frac{1}{2} \omega(U)\omega(X) \\ &= U\omega(X) - \omega(\mathcal{T}_U X) - \omega((\nabla_U X)^h) + \frac{1}{2} \omega(U)\omega(X), \end{aligned} \tag{30}$$

where U is a vertical and X is a horizontal vector field of M . Similarly, we obtain

$$L(X, Y) = X\omega(Y) - \omega(\mathcal{A}_X Y) - \omega((\nabla_X Y)^h) + \frac{1}{2} \omega(X)\omega(Y), \tag{31}$$

$$L(U, V) = U\omega(V) - \omega(\mathcal{T}_U V) - \omega((\nabla_U V)^v) + \frac{1}{2} \omega(U)\omega(V), \tag{32}$$

where U and V are vertical, and X and Y are horizontal vector fields on M .

Theorem 4.4. *Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. Then the Riemannian curvature tensor R^i is given by*

$$\begin{aligned} e^{\sigma_i} R^i(U, V, W, W') &= \hat{R}(U, V, W, W') + g(\mathcal{T}_U W, \mathcal{T}_V W') - g(\mathcal{T}_V W, \mathcal{T}_U W') \\ &\quad - \frac{1}{2} \left\{ (U\omega(W) - \omega(\mathcal{T}_U W) - \omega((\nabla_U W)^v)) + \frac{1}{2} \omega(U)\omega(W) \right\} g(V, W') \\ &\quad - (V\omega(W) - \omega(\mathcal{T}_V W) - \omega((\nabla_V W)^v)) + \frac{1}{2} \omega(V)\omega(W) \right\} g(U, W') \\ &\quad - (U\omega(W') - \omega(\mathcal{T}_U W') - \omega((\nabla_U W')^v)) + \frac{1}{2} \omega(U)\omega(W') \right\} g(V, W) \\ &\quad + (V\omega(W') - \omega(\mathcal{T}_V W') - \omega((\nabla_V W')^v)) + \frac{1}{2} \omega(V)\omega(W') \right\} g(U, W) \\ &\quad - \frac{\|\omega\|^2}{4} \left\{ g(V, W)g(U, W') - g(U, W)g(V, W') \right\}, \end{aligned} \tag{33}$$

$$\begin{aligned} e^{\sigma_i} R^i(U, V, W, X) &= g((\nabla_U \mathcal{T})(V, W), X) - g((\nabla_V \mathcal{T})(U, W), X) \\ &\quad - \frac{1}{2} \left\{ (V\omega(X) - \omega(\mathcal{T}_V X) - \omega((\nabla_V X)^h)) + \frac{1}{2} \omega(V)\omega(X) \right\} g(U, W) \\ &\quad - (U\omega(X) - \omega(\mathcal{T}_U X) - \omega((\nabla_U X)^h)) + \frac{1}{2} \omega(U)\omega(X) \right\} g(V, W), \end{aligned} \tag{34}$$

$$\begin{aligned}
 e^{\sigma_i} R^i(X, Y, Z, V) = & g(\mathcal{A}_Y Z, \mathcal{T}_V X) + g(\mathcal{A}_Z X, \mathcal{T}_V Y) \\
 & - g((\nabla_Z \mathcal{A})(X, Y), V) - g(\mathcal{A}_X Y, \mathcal{T}_V Z) \\
 & - \frac{1}{2} \left\{ (Y\omega(V) - \omega(\mathcal{A}_Y V) - \omega((\nabla_Y V)^v) + \frac{1}{2} \omega(Y)\omega(V))g(X, Z) \right. \\
 & \left. - (V\omega(X) - \omega(\mathcal{T}_V X) - \omega((\nabla_V X)^h) + \frac{1}{2} \omega(V)\omega(X))g(Y, Z) \right\},
 \end{aligned} \tag{35}$$

$$\begin{aligned}
 e^{\sigma_i} R^i(X, Y, Z, H) = & R^*(X, Y, Z, H) + 2g(\mathcal{A}_X Y, \mathcal{A}_Z H) \\
 & - g(\mathcal{A}_Y Z, \mathcal{A}_X H) + g(\mathcal{A}_X Z, \mathcal{A}_Y H) \\
 & - \frac{1}{2} \left\{ (X\omega(Z) - \omega(\mathcal{A}_X Z) - \omega((\nabla_X Z)^h) + \frac{1}{2} \omega(X)\omega(Z))g(Y, H) \right. \\
 & - (Y\omega(Z) - \omega(\mathcal{A}_Y Z) - \omega((\nabla_Y Z)^h) + \frac{1}{2} \omega(Y)\omega(Z))g(X, H) \\
 & - (X\omega(H) - \omega(\mathcal{A}_X H) - \omega((\nabla_X H)^h) + \frac{1}{2} \omega(X)\omega(H))g(Y, Z) \\
 & \left. + (Y\omega(H) - \omega(\mathcal{A}_Y H) - \omega((\nabla_Y H)^h) + \frac{1}{2} \omega(Y)\omega(H))g(X, Z) \right\} \\
 & - \frac{\|\omega\|^2}{4} \left\{ g(Y, Z)g(X, H) - g(X, Z)g(Y, H) \right\},
 \end{aligned} \tag{36}$$

$$\begin{aligned}
 e^{\sigma_i} R^i(X, Y, V, W) = & g((\nabla_W \mathcal{A})(X, Y), V) - g((\nabla_V \mathcal{A})(X, Y), W) - g(\mathcal{A}_X V, \mathcal{A}_Y W) \\
 & + g(\mathcal{A}_X W, \mathcal{A}_Y V) + g(\mathcal{T}_V X, \mathcal{T}_W Y) - g(\mathcal{T}_W X, \mathcal{T}_V Y)
 \end{aligned} \tag{37}$$

$$\begin{aligned}
 e^{\sigma_i} R^i(X, V, Y, W) = & g(\mathcal{T}_V X, \mathcal{T}_W Y) - g((\nabla_V \mathcal{A})(X, Y), W) \\
 & - g((\nabla_X \mathcal{T})(V, W), Y) - g(\mathcal{A}_X V, \mathcal{A}_Y W) \\
 & - \frac{1}{2} \left\{ (X\omega(Y) - \omega(\mathcal{A}_X Y) - \omega((\nabla_X Y)^h) + \frac{1}{2} \omega(X)\omega(Y))g(V, W) \right. \\
 & \left. + (V\omega(W) - \omega(\mathcal{T}_V W) - \omega((\nabla_V W)^v) + \frac{1}{2} \omega(V)\omega(W))g(X, Y) \right\} \\
 & + \frac{\|\omega\|^2}{4} g(X, Y)g(V, W),
 \end{aligned} \tag{38}$$

where U, V, W and W' are vertical, and X, Y, Z and H are horizontal vector fields on M , \hat{R} is Riemannian curvature tensor of any fiber, and R^* is the horizontal lift of Riemannian curvature tensor of N .

Proof. (33) ~ (38) can be obtained from (29) ~ (32) by direct computation. □

Using (33) ~ (38), we have the following proposition.

Corollary 4.5. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K submersion. Then the Ricci tensor S^i is given by

$$\begin{aligned}
 S^i(U, V) = & e^{-\sigma_i} \left\{ \hat{S}(U, V) - \sum_{i=1}^k g(\mathcal{T}_{U_i} U_i, \mathcal{T}_U V) + \sum_{i=1}^k g(\mathcal{T}_U U_i, \mathcal{T}_V U_i) \right. \\
 & + \sum_{j=1}^l g((\nabla_{E_j} \mathcal{T})(U, V), E_j) - \sum_{j=1}^l g(\mathcal{T}_U E_j, \mathcal{T}_V E_j) + \sum_{j=1}^l g(\mathcal{A}_{E_j} U, \mathcal{A}_{E_j} V) \\
 & + \left(\frac{k+l-2}{2} \right) (U\omega(V) - \omega(\mathcal{T}_U V) - \omega((\nabla_U V)^v) + \frac{1}{2} \omega(U)\omega(V)) \\
 & \left. + g(U, V) \left[\frac{1}{2} \sum_{i=1}^{k+l} g(\nabla_{E_i} B, E_i) - \frac{\|\omega\|^2}{4} (k+l-2) \right] \right\},
 \end{aligned}$$

$$\begin{aligned}
 S^i(X, Y) = e^{-\sigma_i} & \left\{ S^{*}(X, Y) + \sum_{i=1}^k g((\nabla_X T)(U_i, U_i), Y) - \sum_{i=1}^k g(T_{U_i} X, T_{U_i} Y) \right. \\
 & + \sum_{i=1}^k g((\nabla_{U_i} \mathcal{A})(X, Y), U_i) + \sum_{i=1}^k g(\mathcal{A}_X U_i, \mathcal{A}_Y U_i) - 3 \sum_{j=1}^l g(\mathcal{A}_{E_j} X, \mathcal{A}_{E_j} Y) \\
 & + \left(\frac{k+l-2}{2} \right) (X\omega(Y) - \omega(\mathcal{A}_X Y) - \omega((\nabla_X Y)^h) + \frac{1}{2} \omega(X)\omega(Y)) \\
 & \left. + g(X, Y) \left[\frac{1}{2} \sum_{i=1}^{k+l} g(\nabla_{E_i} B, E_i) - \frac{\|\omega\|^2}{4} (k+l-2) \right] \right\},
 \end{aligned}$$

$$\begin{aligned}
 S^i(U, X) = e^{-\sigma_i} & \left\{ \sum_{i=1}^k g((\nabla_U \mathcal{T})(U_i, U_i), X) - \sum_{i=1}^k g((\nabla_{U_i} \mathcal{T})(U, U_i), X) \right. \\
 & + \sum_{j=1}^l g((\nabla_{E_j} \mathcal{A})(X, E_j), U) - 2 \sum_{j=1}^l g(\mathcal{A}_X E_j, \mathcal{T}_U E_j) \\
 & \left. + \left(\frac{k+l-2}{2} \right) (U\omega(X) - \omega(\mathcal{T}_U X) - \omega((\nabla_U X)^h) + \frac{1}{2} \omega(U)\omega(X)) \right\},
 \end{aligned}$$

and the scalar curvature r^i is given by

$$r^i = e^{-\sigma_i} \left\{ r + (k+l-1) \left[\sum_{i=1}^{k+l} g(\nabla_{E_i} B, E_i) - \frac{\|\omega\|^2}{4} (k+l-2) \right] \right\},$$

where $\{E_1, \dots, E_{k+l}\}$ is an orthonormal frame field of tangent bundle of M .

Theorem 4.6. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. Then the curvature tensor R^i has the relation

$$\begin{aligned}
 R^i(X, Y, Z, W) = R^i(JX, JY, JZ, JW) \\
 + \frac{1}{2} \left\{ \delta(X, Z)g(Y, W) - \delta(Y, Z)g(X, W) \right. \\
 \left. - \delta(X, W)g(Y, Z) + \delta(Y, W)g(X, Z) \right\},
 \end{aligned} \tag{39}$$

where

$$\delta(X, Y) = L(X, Y) - L(JX, JY).$$

and X, Y, Z and W are vector fields on M .

Proof. Since g^i is a Kaehler metric then $R^i(X, Y, Z, W) = R^i(JX, JY, JZ, JW)$. If we write the last equation in (29), we get (39). □

Using (33), (38) and (36), we get the following equations, respectively.

Theorem 4.7. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. Then the sectional curvature tensor K^i is given by

$$\begin{aligned}
 K^i(U, V) = e^{-\sigma_i} & \left\{ \hat{K}(U, V) + \frac{g(\mathcal{T}_U U, \mathcal{T}_V V) - \|\mathcal{T}_U V\|^2}{\|U \wedge V\|^2} \right. \\
 & - \frac{1}{2\|U \wedge V\|^2} \left[(U\omega(U) - \omega(\mathcal{T}_U U) - \omega((\nabla_U U)^v) + \frac{1}{2}(\omega(U))^2)g(V, V) \right. \\
 & - 2(U\omega(V) - \omega(\mathcal{T}_U V) - \omega((\nabla_U V)^v) + \frac{1}{2}\omega(U)\omega(V))g(U, V) \\
 & \left. \left. + (V\omega(V) - \omega(\mathcal{T}_V V) - \omega((\nabla_V V)^v) + \frac{1}{2}(\omega(V))^2)g(U, U) \right] + \frac{\|\omega\|^2}{4} \right\},
 \end{aligned}$$

$$K^i(X, U) = e^{-\sigma_i} \left\{ \frac{-g((\nabla_X \mathcal{T})(U, U), X) - \|\mathcal{A}_X U\|^2 + \|\mathcal{T}_U X\|^2}{\|X\|^2 \|U\|^2} - \frac{1}{2\|X\|^2 \|U\|^2} \left[\left(X\omega(X) - \omega((\nabla_X X)^h) + \frac{1}{2}(\omega(X))^2 \right) g(U, U) + \left(U\omega(U) - \omega(\mathcal{T}_U U) - \omega((\nabla_U U)^v) + \frac{1}{2}(\omega(U))^2 \right) g(X, X) \right] + \frac{\|\omega\|^2}{4} \right\},$$

$$K^i(X, Y) = e^{-\sigma_i} \left\{ K^*(X, Y) + \frac{3\|\mathcal{A}_X Y\|^2}{\|X \wedge Y\|^2} - \frac{1}{2\|X \wedge Y\|^2} \left[\left(X\omega(X) - \omega((\nabla_X X)^h) + \frac{1}{2}(\omega(X))^2 \right) g(Y, Y) - 2 \left(X\omega(Y) - \omega(\mathcal{A}_X Y) - \omega((\nabla_X Y)^h) + \frac{1}{2}\omega(X)\omega(Y) \right) g(X, Y) + \left(Y\omega(Y) - \omega((\nabla_Y Y)^h) + \frac{1}{2}(\omega(Y))^2 \right) g(X, X) \right] + \frac{\|\omega\|^2}{4} \right\},$$

where U, V are vertical and X, Y are horizontal vector fields on M .

Definition 4.8. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. The holomorphic bisectional curvature is defined for any pair of nonzero vector fields E and F by

$$\mathcal{B}(E, F) = \frac{R(E, JE, F, JF)}{\|E\|^2 \|F\|^2}, \quad (40)$$

and the holomorphic sectional curvature of the 2-plane spanned by E and JE is

$$\mathcal{H}(E) = \mathcal{B}(E, E). \quad (41)$$

Using (40) and (41) we get the following two propositions.

Proposition 4.9. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. Then the holomorphic bisectional curvature \mathcal{B}^i is given by

$$\mathcal{B}^i(U, V) = \frac{e^{-\sigma_i}}{\|U\|^2 \|V\|^2} \left\{ \hat{R}(U, JU, V, JV) + g(\mathcal{T}_U V, \mathcal{T}_{JU} JV) - g(\mathcal{T}_{JU} V, \mathcal{T}_U JV) - \frac{1}{2} \left[\left(U\omega(V) - \omega(\mathcal{T}_U V) - \omega((\nabla_U V)^v) + \frac{1}{2}\omega(U)\omega(V) + JU\omega(JV) - \omega(\mathcal{T}_{JU} JV) - \omega((\nabla_{JU} JV)^v) + \frac{1}{2}\omega(JU)\omega(JV) \right) g(U, V) + \left(U\omega(JV) - \omega(\mathcal{T}_U JV) - \omega((\nabla_U JV)^v) + \frac{1}{2}\omega(U)\omega(JV) - JU\omega(V) + \omega(\mathcal{T}_{JU} V) + \omega((\nabla_{JU} V)^v) - \frac{1}{2}\omega(JU)\omega(V) \right) g(U, JV) \right] + \frac{\|\omega\|^2}{4} \left[(g(U, JV))^2 + (g(U, V))^2 \right] \right\},$$

$$\mathcal{B}^i(X, U) = \frac{e^{-\sigma_i}}{\|X\|^2 \|U\|^2} \left\{ -g((\nabla_U \mathcal{A})(X, JX), JU) + g((\nabla_{JU} \mathcal{A})(X, JX), U) - g(\mathcal{A}_X U, \mathcal{A}_{JX} JU) + g(\mathcal{A}_X JU, \mathcal{A}_{JX} U) + g(\mathcal{T}_U X, \mathcal{T}_{JU} JX) - g(\mathcal{T}_{JU} X, \mathcal{T}_U JX) \right\}$$

$$\mathcal{B}^i(X, Y) = \frac{e^{-\sigma_i}}{\|X\|^2\|Y\|^2} \left\{ R^*(X, JX, Y, JY) + 2g(\mathcal{A}_X JX, \mathcal{A}_Y JY) - g(\mathcal{A}_{JX} Y, \mathcal{A}_X JY) + g(\mathcal{A}_X Y, \mathcal{A}_{JX} JY) - \frac{1}{2} \left[(X\omega(Y) - \omega(\mathcal{A}_X Y) - \omega((\nabla_X Y)^h) + \frac{1}{2}\omega(X)\omega(Y) + JX\omega(JY) - \omega(\mathcal{A}_{JX} JY) - \omega((\nabla_{JX} JY)^h) + \frac{1}{2}\omega(JX)\omega(JY) \right] g(X, Y) + (X\omega(JY) - \omega(\mathcal{A}_X JY) - \omega((\nabla_X JY)^h) + \frac{1}{2}\omega(X)\omega(JY) - JX\omega(Y) + \omega(\mathcal{A}_{JX} Y) + \omega((\nabla_{JX} Y)^h) - \frac{1}{2}\omega(JX)\omega(Y) \right] g(X, JY) \left. + \frac{\|\omega\|^2}{4} \left[(g(X, JY))^2 + (g(X, Y))^2 \right] \right\},$$

where U, V are vertical and X, Y are horizontal vector fields.

Proposition 4.10. Let $\pi : (M, J, g) \rightarrow (N, J', g')$ be a l.c.K. submersion. Then the holomorphic sectional curvature \mathcal{H}^i is given by

$$\mathcal{H}^i(U) = \frac{e^{-\sigma_i}}{\|U\|^4} \left\{ \hat{R}(U, JU, U, JU) + g(\mathcal{T}_U U, \mathcal{T}_{JU} JU) - \|\mathcal{T}_U JU\|^2 - \frac{1}{2} \left(U\omega(U) - \omega(\mathcal{T}_U U) - \omega((\nabla_U U)^v) + \frac{1}{2}(\omega(U))^2 + JU\omega(JU) - \omega(\mathcal{T}_{JU} JU) - \omega((\nabla_{JU} JU)^v) + \frac{1}{2}(\omega(JU))^2 \right) \|U\|^2 + \frac{\|\omega\|^2\|U\|^4}{4} \right\},$$

$$\mathcal{H}^i(X) = \frac{e^{-\sigma_i}}{\|X\|^4} \left\{ R^*(X, JX, X, JX) + 3\|\mathcal{A}_X JX\|^2 - \frac{1}{2} \left(X\omega(X) - \omega(\nabla_X X) + \frac{1}{2}(\omega(X))^2 + JX\omega(JX) - \omega(\nabla_{JX} JX) + \frac{1}{2}(\omega(JX))^2 \right) \|X\|^2 + \frac{\|\omega\|^2\|X\|^4}{4} \right\},$$

where U is vertical and X is horizontal vector fields on M .

Peer Review: Externally peer-reviewed.

Author Contribution: All authors have contributed equally.

Conflict of Interest: Authors declared no conflict of interest.

Financial Disclosure: Authors declared no financial support.

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Complex extreme points and complex rotundity in Orlicz spaces equipped with the s -norm

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ABSTRACT

Let Φ be an Orlicz function and $L^\Phi(X, \Sigma, \mu)$ be the corresponding Orlicz space on a non-atomic, σ -finite, complete measure space (X, Σ, μ) . It is known that extreme points which are connected with rotundity of the whole spaces are the most essential and important geometric notion in the geometric theory of Banach spaces. On the other hand, geometric theory of complex Banach spaces has significant applications that differ from the geometric theory of real Banach spaces. In this paper, we first describe the complex extreme points of unit ball of Orlicz spaces equipped with the s -norm where s is a strictly increasing outer function. We also give criteria for complex rotundity. Our study generalizes and unifies the results that have been obtained for the Orlicz norm and the p -Amemiya norm ($1 < p < \infty$) separately.

Mathematics Subject Classification (2020): 46E30, 46B20

Keywords: Orlicz space, complex extreme points, complex strictly rotund, s -norm

1. INTRODUCTION

The notion of extreme points plays a crucial role for geometric theory of Banach spaces. Also, rotundity properties are very important in geometry of Banach spaces and its applications. Since the early 1980's, the investigations concerning the geometric theory of complex Banach spaces have been developed because it has significant applications that differ from the geometric theory of real Banach spaces. For instance, the notion of complex rotundity, which was introduced by [Thorp, E., Whitley, R. \(1967\)](#), has an important application in the theory of analytic functions. It is known that if f is a function from the unit disc of \mathbb{C} into a complex Banach space X , f is analytic, i.e. $x^* \circ f$ is analytic in the classical sense for any $x^* \in X^*$ (the dual space of X) and the maximum of the function $F(z) = \|f(z)\|$ is attained in an interior point of unit disc, then F is a constant function. However, in the case when X is complex rotund, more can be deduced, namely that f is a constant function.

On the other hand, Orlicz spaces comprise an important class of Banach spaces that are a kind of generalization of Lebesgue spaces. The theory of Orlicz spaces has been greatly developed because of its important theoretical properties and value in applications. Some examples for applications of Orlicz spaces can be found in [Aris B., Öztop S., \(2023\)](#) and [Üster R. \(2021\)](#). Structure of complex extreme points and complex rotundity in the class of Musielak–Orlicz spaces have been first studied by [Wu, C.X., Sun, H. \(1987\)](#) and [Wu, C.X., Sun, H. \(1987\)](#). Then [Chen, L., Cui, Y. \(2010\)](#) gave criteria for complex extreme points and complex rotundity in Orlicz function spaces equipped with the p -Amemiya norm.

[Wisła, M. \(2020\)](#), using the concept of an outer function, presented a general and universal method of introducing norms in Orlicz spaces that covered the classical Orlicz and Luxemburg norms, and p -Amemiya norms ($1 \leq p \leq \infty$). After then, [Başar E., Öztop, S., Uysal, B.H., Yaşar, Ş. \(2023\)](#), classified s -norms with respect to the constant σ_s and described real extreme points as well.

Our first aim in this work is to describe the complex extreme points in Orlicz spaces equipped with s -norms where s is strictly increasing. Then we give criteria for complex rotundity by using description of extreme points.

The structure of this paper as follows. In Section 2, we provide necessary definitions. In Section 3, we recall some technical results for Orlicz spaces equipped with s -norms that will be used and we make some observations from these known results. In

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Submitted: 17.04.2023 • **Last Revision Received:** 31.05.2023 • **Accepted:** 01.06.2023 • **Published Online:** 06.06.2023



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Section 4, we first describe complex extreme points of unit ball in Orlicz spaces equipped with s -norms for a strictly increasing outer function s . Then we obtain a necessary and sufficient condition for complex rotundity.

2. PRELIMINARIES

A map $\Phi : \mathbb{R} \rightarrow [0, \infty]$ is said to be an Orlicz function if $\Phi(0) = 0$, Φ is not identically equal to zero, Φ is even and convex on the interval $(-b_\Phi, b_\Phi)$, and Φ is left continuous at b_Φ , where $b_\Phi = \sup\{u > 0 : \Phi(u) < \infty\}$. From these properties it follows that an Orlicz function Φ is continuous on $(-b_\Phi, b_\Phi)$, increasing on $[0, b_\Phi)$, and satisfies $\lim_{u \rightarrow \infty} \Phi(u) = \infty$. If Φ is an Orlicz function, letting also $a_\Phi = \sup\{u \geq 0 : \Phi(u) = 0\}$, then $a_\Phi = 0$ means that Φ vanishes only at 0 while $b_\Phi = \infty$ means that Φ takes only finite values. In this work, we assume that Orlicz function satisfies $\lim_{u \rightarrow \infty} \frac{\Phi(u)}{u} = \infty$.

For an Orlicz function Φ , we define its complementary function Ψ by the formula

$$\Psi(v) = \sup_{u \geq 0} \{u|v| - \Phi(u)\}.$$

It is well-known that the complementary function is an Orlicz function as well. Let p_+ denote the right derivative of an Orlicz function Φ and q_+ denote the right derivative of its complementary function Ψ with the conventions that $\lim_{u \rightarrow \infty} p_+(u) = p_+(\infty)$ and $p_+(u) = \infty$ for all $u \geq b_\Phi$. If there exists a constant $K > 0$ such that $\Phi(2u) \leq K\Phi(u)$ for all $u \in \mathbb{R}$, we say that Orlicz function Φ satisfies the Δ_2 condition and we denote this by $\Phi \in \Delta_2$. We know that the pair (Φ, Ψ) satisfies Young's inequality, that is,

$$xy \leq \Phi(x) + \Psi(y) \quad (x, y \in \mathbb{R}),$$

where equality holds when $y = p_+(x)$ or $x = q_+(y)$ for $x, y \in \mathbb{R}$ (Rao, M. M. and Ren, Z. D. (1991)).

Throughout the paper, we will assume that (X, Σ, μ) is a measure space with a σ -finite, non-atomic and complete measure μ and denote by $L^c(X, \Sigma, \mu)$ (for short, $L^c(X)$) the space of all μ -equivalence classes of complex-valued and Σ -measurable functions defined on X . In addition, we use the conventions $0 \cdot \infty = 0$, $\frac{1}{\infty} = 0$ and $\frac{1}{0} = \infty$.

For a given Orlicz function Φ we define on $L^c(X, \Sigma, \mu)$ a convex functional I_Φ by

$$I_\Phi(f) = \int_X \Phi(|f(t)|) d\mu \quad \text{for any } f \in L^c(\mu).$$

The Orlicz space $L^\Phi(X, \Sigma, \mu)$ generated by an Orlicz function Φ is a linear space of measurable functions defined by Orlicz, W. (1932)

$$L^\Phi(X, \Sigma, \mu) = \{f \in L^c(X, \Sigma, \mu) : I_\Phi(\lambda f) < \infty \text{ for some } \lambda > 0\}.$$

We denote the Orlicz space $L^\Phi(X, \Sigma, \mu)$ shortly by L^Φ .

The Orlicz space L^Φ is usually equipped with the Orlicz norm (Orlicz, W. (1932))

$$\|f\|_\Phi^o = \sup \left\{ \int_X |f(t)g(t)| d\mu : g \in L^\Psi, I_\Psi(g) \leq 1 \right\},$$

where Ψ is the complementary function to Φ , or with the equivalent Luxemburg norm

$$\|f\|_\Phi = \inf \left\{ \lambda > 0 : I_\Phi \left(\frac{f}{\lambda} \right) \leq 1 \right\}.$$

Further, for all $1 \leq p \leq \infty$ the p -Amemiya norm is defined on L^Φ by

$$\|f\|_{\Phi, p} = \begin{cases} \inf_{k > 0} k^{-1} (1 + I_\Phi(kf)^p)^{1/p}, & \text{if } 1 \leq p < \infty, \\ \inf_{k > 0} k^{-1} \max\{1, I_\Phi(kf)\}, & \text{if } p = \infty. \end{cases}$$

The family of p -Amemiya norms includes the Orlicz and Luxemburg norms (see Cui, Y., Duan, L., Hudzik, H. and Wisła, M. (2008)).

In 2020, the notion of the s -norm was introduced by M. Wisła and all of the following definitions can be found in Wisła, M. (2020).

Definition 2.1. A function $s : [0, \infty] \rightarrow [1, \infty]$ is called an outer function if it is convex and satisfies the inequality

$$\max\{u, 1\} \leq s(u) \leq u + 1$$

for all $u \geq 0$.

Let us note that an outer function s is continuous and increasing on $[0, \infty)$. Evidently $s(0) = 1$ and set $s(\infty) = \infty$.

Since it is convex, an outer function s has both right and left derivatives. Let s'_+ be the right derivative of s so that $s'_+ : [0, \infty) \rightarrow [0, 1]$ is an increasing function. Let $s'^{-1}_+ : [0, 1] \rightarrow [0, \infty]$ be a general inverse of s'_+ as defined in (Wisła, M. 2020, p. 11). Then s'^{-1}_+ is an increasing function as well.

Let us give some examples of families of outer functions (see Wisła, M. (2020)).

Example 2.1. (i) For $1 \leq p \leq \infty$,

$$s_p(u) = \begin{cases} (1 + u^p)^{1/p}, & \text{if } 1 \leq p < \infty, \\ \max\{1, u\}, & \text{if } p = \infty. \end{cases} \tag{1}$$

(ii) For $0 \leq c \leq 1$,

$$s_c(u) = \max\{1, u + c\}. \tag{2}$$

(iii) For $1 \leq m \leq 2$,

$$s_m(u) = \begin{cases} (m - 1)u + 1, & \text{if } 0 \leq u \leq 1, \\ u + m - 1, & \text{if } u > 1. \end{cases} \tag{3}$$

Definition 2.2. Let s be an outer function and Φ be an Orlicz function. Then the s -norm of $f \in L^\Phi$ is defined by

$$\|f\|_{\Phi,s} = \inf_{k>0} \frac{1}{k} s(I_\Phi(kf)).$$

The Orlicz space equipped with the s -norm will be denoted by L_s^Φ .

Observe that each of the families given in Example 2.1 generates both the Orlicz norm and the Luxemburg norm. In (1), if we take $s = s_1$ then $\|f\|_{\Phi,s} = \|f\|_\Phi^o$; if $s = s_\infty$, then $\|f\|_{\Phi,s} = \|f\|_\Phi$; if $s = s_p$ for $1 < p < \infty$ then $\|f\|_{\Phi,s} = \|f\|_{\Phi,p}$ (see Cui, Y., Duan, L., Hudzik, H. and Wisła, M. (2008)). Similarly, in (2), $c = 0$ gives the Luxemburg norm and $c = 1$ the Orlicz norm. Further, in (3), $m = 1$ yields the Luxemburg norm and $m = 2$ the Orlicz norm.

It is known that the s -norm $\|\cdot\|_{\Phi,s}$ is equivalent to the Luxemburg norm $\|\cdot\|_\Phi$ with $\|f\|_\Phi \leq \|f\|_{\Phi,s} \leq 2\|f\|_\Phi$ for any $f \in L_s^\Phi$ (see Wisła, M. (2020)). Note that the Orlicz space L_s^Φ is a Banach space with the s -norm.

Definition 2.3. Let s be an outer function. For all $0 \leq v \leq 1$, define

$$w(v) = \int_0^v s'^{-1}_+(t) dt. \tag{4}$$

It is clear that w is a non-negative, increasing and continuous function on $[0, 1]$.

Definition 2.4. Let s be an outer function. For all $0 \leq u < \infty$ and $0 \leq v \leq \infty$,

$$\beta_s(u, v) = 1 - w(s'_+(u)) - vs'_+(u).$$

Denote also $\beta_s(kf) = \beta_s(I_\Phi(kf), I_\Psi(p_+(k|f|)))$ for all $f \in L_s^\Phi$.

Note that the function $k \mapsto \beta_s(kf)$ is decreasing on $[0, \infty)$.

Definition 2.5. Let s be an outer function and Φ be an Orlicz function. For $f \in L^\Phi \setminus \{0\}$ and $0 < k < \infty$, we define the following functions.

$$\begin{aligned} D : L_s^\Phi &\rightarrow \mathcal{P}([0, \infty)), & D(f) &= \{0 < k < \infty : I_\Phi(kf) < \infty\} \\ k^* : L_s^\Phi &\rightarrow (0, \infty], & k^*(f) &= \inf\{k \in D(f) : \beta_s(kf) \leq 0\} \\ k^{**} : L_s^\Phi &\rightarrow [0, \infty), & k^{**}(f) &= \sup\{k \in D(f) : \beta_s(kf) \geq 0\} \end{aligned}$$

It is easy to see that $0 < k^*(f) \leq k^{**}(f) \leq \infty$. Let us also define

$$K(f) := \{0 < k < \infty : k^*(f) \leq k \leq k^{**}(f)\}.$$

Obviously, $K(f) \neq \emptyset \Leftrightarrow k^*(f) < \infty$. If $k^*(f) < \infty$ for any $f \in L_s^\Phi \setminus \{0\}$, then the s -norm is called k^* -finite; if $k^{**}(f) < \infty$ for any $f \in L_s^\Phi \setminus \{0\}$, then the s -norm is called k^{**} -finite. Further, if $k^*(f) = k^{**}(f) < \infty$ for any $f \in L_s^\Phi \setminus \{0\}$, then the s -norm is called k -unique.

Definition 2.6. Let s be an outer function. Define the constant σ_s by

$$\sigma_s = \sup\{u \geq 0 : s(u) = 1\}.$$

Note that $0 \leq \sigma_s \leq 1$ and it is obvious that s is strictly increasing on $[\sigma_s, \infty)$. We focus on the cases of $\sigma_s > 0$ and $\sigma_s = 0$ in the rest of this paper. The key point in defining this constant is that the equality $\sigma_s = 0$ provides an inverse function for the outer function s since this function is strictly increasing on the entire interval $[0, \infty)$ whenever $\sigma_s = 0$.

Let \mathcal{S} denote the set of outer functions and define the sets

$$\mathcal{S}_0 = \{s \in \mathcal{S} : \sigma_s = 0\} \quad \text{and} \quad \mathcal{S}_+ = \{s \in \mathcal{S} : \sigma_s > 0\}.$$

The constants σ_s of the outer functions in Example 2.1 are obtained as follows.

(i) For s_p of (1),

$$\sigma_{s_p} = \begin{cases} 0, & 1 \leq p < \infty, \\ 1, & p = \infty. \end{cases}$$

(ii) For s_c of (2),

$$\sigma_{s_c} = \sup\{u \geq 0 : u + c \leq 1\} = 1 - c.$$

Note that $0 \leq c \leq 1$.

(iii) For s_m of (3),

$$\sigma_{s_m} = \sup\{u \geq 0 : (m-1)u + 1 = 1\} = \begin{cases} 1, & m = 1, \\ 0, & 1 < m \leq 2. \end{cases}$$

As a consequence, we can classify the given outer functions as follows. The outer functions $s_p, s_c, s_m \in \mathcal{S}_0$ for $1 \leq p < \infty$, $c = 1$, $1 < m \leq 2$ and $s_p, s_c, s_m \in \mathcal{S}_+$ for $p = \infty$, $0 \leq c < 1$, $m = 1$.

3. AUXILIARY RESULTS

We recall some technical results that will be used in the rest of paper.

Lemma 3.1. (Chen, S. (1996), Proposition 5.17) For any $\varepsilon > 0$, there exists $\delta \in (0, \frac{1}{2})$ such that if $u, v \in \mathbb{C}$ and

$$|v| \geq \frac{\delta}{8} \max_j |u + jv|,$$

then

$$|u| \leq \frac{1-2\delta}{4} \sum_j |u + jv|,$$

where

$$\max_j |u + jv| = \max\{|u + v|, |u - v|, |u + iv|, |u - iv|\},$$

$$\sum_j |u + jv| = |u + v| + |u - v| + |u + iv| + |u - iv|.$$

Lemma 3.2. (Wisła, M. (2020), Lemma 3.2) For every outer function s and Orlicz function Φ ,

$$\|f\|_{\Phi, \infty} \leq \|f\|_{\Phi, s} \leq \|f\|_{\Phi, 1} \leq 2\|f\|_{\Phi, \infty}$$

for all $f \in L_s^\Phi$.

Lemma 3.3. (Cui, Y., Zhan, Y. (2019), Lemma 7) If $\lim_{u \rightarrow \infty} \frac{\Phi(u)}{u} = \infty$ then $K(f) \neq \emptyset$ for any $f \in L_s^\Phi \setminus \{0\}$.

Theorem 3.4. (Wisła, M. (2020), Theorem 7.3) Let s be an outer function and Φ be an Orlicz function.

(i) The s -norm is k^* -finite if and only if one of the following conditions is satisfied.

- (a) Φ takes infinite values, i.e., $b_\Phi < \infty$,
- (b) $w(s'_+(u)) = 1$ for some $0 < u < \infty$,
- (c) $w(1) = 1$ and Φ is not linear on $[0, \infty)$,
- (d) Φ does not admit an oblique asymptote.

(ii) The s -norm is k^{**} -finite if and only if one of the conditions (a), (c) or (d) is satisfied.

(iii) If Φ does not admit an oblique asymptote, then the s -norm is k^{**} -finite if and only if it is k^* -finite.

Theorem 3.5. (Wisła, M. (2020), Theorem 6.1) Let s be an outer function and Φ be an Orlicz function. For all $f \in L_s^\Phi \setminus \{0\}$, we have

$$k^*(f) = \inf \left\{ k > 0 : \|f\|_{\Phi,s} = \frac{1}{k} s(I_\Phi(kf)) \right\},$$

$$k^{**}(f) = \sup \left\{ k > 0 : \|f\|_{\Phi,s} = \frac{1}{k} s(I_\Phi(kf)) \right\}.$$

Corollary 3.1 (Wisła, M. (2020), Corollary 6.2). Let s and Φ be an outer and an Orlicz function, respectively. The followings hold for any $f \in L_s^\Phi \setminus \{0\}$.

(i) For every $k \in (0, \infty) \cap [k^*(f), k^{**}(f)]$, we have $\|f\|_{\Phi,s} = \frac{1}{k} s(I_\Phi(kf))$.

(ii) If $k^{**}(f) = \infty$, then $\|f\|_{\Phi,s} = \lim_{k \rightarrow \infty} \frac{1}{k} s(I_\Phi(kf))$.

4. MAIN RESULTS

In this section, we will give some results for s -norms that generalize the results obtained for the Orlicz and the p -Amemiya norms ($1 < p < \infty$). Then, we will give our main results on complex extreme points of unit ball and complex rotundity of Orlicz space (Theorems 4.3 and Theorem 4.4).

Definition 4.1. (see Chen, S. (1996)) Let $B(L_s^\Phi)$ (resp. $S(L_s^\Phi)$) be the closed unit ball (resp. the unit sphere) of a Orlicz space L_s^Φ . A function $f \in S(L_s^\Phi)$ is called a complex extreme point of $B(L_s^\Phi)$ if for any non-zero $g \in L_s^\Phi$ implies $\max_{|\lambda|=1} \|f + \lambda g\|_{\Phi,s} > 1$. The set of all complex extreme points of $B(L_s^\Phi)$ is denoted by $\text{Ext } B(L_s^\Phi)$. Orlicz space is called complex strictly rotund if every element of $S(L_s^\Phi)$ is a complex extreme point of $B(L_s^\Phi)$.

Lemma 4.2. If $f \in B(L_s^\Phi)$, then $|f(t)| \leq b_\Phi$ μ -a.e. on X .

Proof. Assume that $f \in B(L_s^\Phi)$. By Lemma 3.2, we have $\|f\|_{\Phi,\infty} \leq 1$. Therefore, we obtain $I_\Phi(f) \leq 1$ (see Chen, S. (1996)). Hence, $\Phi(|f(t)|) < \infty$ for μ -a.e. $t \in X$. By definition of b_Φ , we have $|f(t)| \leq b_\Phi$ μ - a.e. on X .

Theorem 4.3. Let $s \in \mathcal{S}_0$. Then $f \in S(L_s^\Phi)$ is a complex extreme point of the unit ball $B(L_s^\Phi)$ if and only if $\mu(\{t \in X : k|f(t)| < a_\Phi\}) = 0$ for any $k \in K(f)$.

Proof. Necessity. Suppose that $f \in S(L_s^\Phi)$ with $\sigma_s = 0$ is a complex extreme point of the unit ball $B(L_s^\Phi)$. Let us prove for any $k \in K(f)$, $\mu(\{t \in X : k|f(t)| < a_\Phi\}) = 0$. Assume that there exists $k_0 \in K(f)$ such that $\mu(\{t \in X : k_0|f(t)| < a_\Phi\}) > 0$. Then we can find $d > 0$ and measurable subset A of X such that $\mu(A) > 0$ and

$$k_0|f(t)| + d \leq a_\Phi$$

for any $t \in A$. Letting $g = \frac{d}{k_0} \chi_A$, we obtain $g \neq 0$ and for any $\lambda \in \mathbb{C}$ with $|\lambda| \leq 1$,

$$\begin{aligned} \|f + \lambda g\|_{\Phi,s} &\leq \frac{1}{k_0} s(I_\Phi(k_0(f + \lambda g))) = \frac{1}{k_0} s(I_\Phi(k_0 f \chi_{X \setminus A}) + I_\Phi(k_0 f \chi_A + \lambda d \chi_A)) \\ &\leq \frac{1}{k_0} s(I_\Phi(k_0 f \chi_{X \setminus A}) + I_\Phi((k_0 f + d) \chi_A)) \\ &\leq \frac{1}{k_0} s(I_\Phi(k_0 f \chi_{X \setminus A})) \\ &\leq \frac{1}{k_0} s(I_\Phi(k_0 f)) = \|f\|_{\Phi,s} = 1. \end{aligned}$$

This gives that $f \notin \text{Ext } B(L_s^\Phi)$.

Sufficiency. Suppose that for any $k \in K(f)$, $\mu(\{t \in X : k|f(t)| < a_\Phi\}) = 0$. Let us prove $f \in S(L_s^\Phi)$ with $\sigma_s = 0$ is a complex extreme point of the unit ball $B(L_s^\Phi)$. Assume that $f \in S(L_s^\Phi)$ with $\sigma_s = 0$ is not a complex extreme point of the unit ball $B(L_s^\Phi)$. Therefore, there exist $\varepsilon_0 > 0$ and $g_0 \in L_s^\Phi$ with $\|g_0\|_{\Phi,s} > \varepsilon_0$ such that

$$\max_{|\lambda| \leq 1} \|f + \lambda g_0\|_{\Phi,s} \leq 1. \tag{5}$$

By Lemma 3.1, there exists $\delta_0 \in (0, \frac{1}{2})$ such that if $u, v \in \mathbb{C}$ and

$$|v| \geq \frac{\varepsilon_0}{8} \max_j |u + jv|,$$

then we have

$$|u| \leq \frac{1-2\delta_0}{4} \sum_j |u + jv|.$$

Define $A = \{t \in X : |g_0(t)| \geq \frac{\varepsilon_0}{8} \max_j |f(t) + jg_0(t)|\}$. We obtain by using (5)

$$\|g_0 \chi_{X \setminus A}\|_{\Phi, s} < \frac{\varepsilon_0}{8} \|\max_j |f + jg_0|\|_{\Phi, s} \leq \frac{\varepsilon_0}{8} \sum_j \|f + jg_0\|_{\Phi, s} \leq \frac{\varepsilon_0}{2}.$$

Consequently, we have $\|g_0 \chi_A\|_{\Phi, s} > \frac{\varepsilon_0}{2}$ which shows that $\mu(A) > 0$. For any $t \in A$, we obtain

$$|f(t)| \leq \frac{1-2\delta_0}{4} \sum_j |f(t) + jg_0(t)|.$$

By Lemma 3.3, we can take any $k \in K(\frac{1}{4} \sum_j |f + jg_0|)$ and we have by (5)

$$\begin{aligned} 1 &\geq \|\frac{1}{4} \sum_j |f + jg_0|\|_{\Phi, s} = \frac{1}{k} s \left(I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \right) \right) \geq \frac{1}{k} s \left(I_{\Phi} \left(k \frac{1-2\delta_0}{4} \sum_j |f + jg_0| \right) \right) \\ &\geq \frac{1}{k} s(I_{\Phi}(kf)) \geq \|f\|_{\Phi, s} = 1 \end{aligned}$$

which implies that $\|f\|_{\Phi, s} = \frac{1}{k} s(I_{\Phi}(kf)) = \|\frac{1}{4} \sum_j |f + jg_0|\|_{\Phi, s} = 1$ and $k \geq 1$. Since $k|f(t)| \geq a_{\Phi}$ for μ -a.e. $t \in X$, we obtain that

$$\frac{1}{1-2\delta_0} k|f(t)| \geq \frac{a_{\Phi}}{1-2\delta_0}, \quad \mu - \text{a.e. } t \in A,$$

we conclude that $I_{\Phi}(k \frac{|f|}{1-2\delta_0} \chi_A) \geq \Phi(\frac{a_{\Phi}}{1-2\delta_0})\mu(A) > 0$. Let us define $b = \Phi(\frac{a_{\Phi}}{1-2\delta_0})\mu(A)$. To complete the proof, we consider the following two cases.

Case 1. Let assume that $I_{\Phi}(k(\frac{1}{4} \sum_j |f + jg_0|)) \geq 2\delta_0 b$. In this case, we obtain the following contradiction

$$\begin{aligned} 1 &= \|f\|_{\Phi, s} = \frac{1}{k} s(I_{\Phi}(kf \chi_A) + I_{\Phi}(kf \chi_{X \setminus A})) \\ &\leq \frac{1}{k} s \left(I_{\Phi} \left(k \left(\frac{1-2\delta_0}{4} \sum_j |f + jg_0| \right) \chi_A \right) + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \chi_{X \setminus A} \right) \right) \\ &\leq \frac{1}{k} s \left((1-2\delta_0) I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \chi_A \right) + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \chi_{X \setminus A} \right) \right) \\ &\leq \frac{1}{k} s \left(I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \right) - 2\delta_0 I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \chi_A \right) \right) \\ &\leq \frac{1}{k} s \left(I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \right) - 2\delta_0 I_{\Phi} \left(k \frac{|f|}{1-2\delta_0} \sum_j |f + jg_0| \chi_A \right) \right) \\ &\leq \frac{1}{k} s \left(I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f + jg_0| \right) \right) - 2\delta_0 b \right) \\ &< \frac{1}{k} s(I_{\Phi}(k(\frac{1}{4} \sum_j |f + jg_0|))) = \|\frac{1}{4} \sum_j |f + jg_0|\|_{\Phi, s} = 1. \end{aligned}$$

Therefore, we obtain a contradiction.

Case 2. Let assume that $I_{\Phi}(k(\frac{1}{4} \sum_j |f + jg_0|)) < 2\delta_0 b$. By using the fact that for all outer functions $s(u) \leq 1 + u$ for any $u \in \mathbb{R}$.

$$\begin{aligned}
 1 = \|f\|_{\Phi,s} &= \frac{1}{k} s(I_{\Phi}(kf\chi_A) + I_{\Phi}(kf\chi_{X\setminus A})) \leq \frac{1}{k} (1 + I_{\Phi}(kf\chi_A) + I_{\Phi}(kf\chi_{X\setminus A})) \\
 &\leq \frac{1}{k} \left(1 + I_{\Phi} \left(k \left(\frac{1-2\delta_0}{4} \sum_j |f+jg_0| \right) \chi_A \right) + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \chi_{X\setminus A} \right) \right) \\
 &\leq \frac{1}{k} \left(1 + (1-2\delta_0) I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \chi_A \right) + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \chi_{X\setminus A} \right) \right) \\
 &\leq \frac{1}{k} \left(1 + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \right) - 2\delta_0 I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \chi_A \right) \right) \\
 &\leq \frac{1}{k} \left(1 + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \right) - 2\delta_0 I_{\Phi} \left(k \frac{|f|}{1-2\delta_0} \sum_j |f+jg_0| \chi_A \right) \right) \\
 &\leq \frac{1}{k} \left(1 + I_{\Phi} \left(k \left(\frac{1}{4} \sum_j |f+jg_0| \right) \right) - 2\delta_0 b \right) < \frac{1}{k} \leq 1
 \end{aligned}$$

Therefore, we obtain a contradiction.

The following theorem gives us necessary and sufficient condition for being complex rotundity of Orlicz spaces when $s \in \mathcal{S}_0$.

Theorem 4.4. *Let $s \in \mathcal{S}_0$. Then L_s^{Φ} is complex rotund if and only if $a_{\Phi} = 0$.*

Proof. Necessity. Suppose that L_s^{Φ} with $\sigma_s = 0$ is complex strictly rotund. Let us prove $a_{\Phi} = 0$. Assume that $a_{\Phi} > 0$. Then take $c \in (0, a_{\Phi})$. Choose measurable subset A of X and $f \in S(L_s^{\Phi})$ such that $\mu(A) > 0$ and $\text{supp } f = X \setminus A$. Take $k \in K(f)$, and define

$$g(t) = \begin{cases} \frac{c}{k}, & t \in A, \\ f(t), & t \in X \setminus A. \end{cases}$$

Since $\text{supp } f = X \setminus A$, we obtain $\|g\|_{\Phi,s} \geq \|f\|_{\Phi,s} = 1$. On the other hand,

$$\begin{aligned}
 \|g\|_{\Phi,s} &\leq \frac{1}{k} s(I_{\Phi}(kg)) = \frac{1}{k} s(I_{\Phi}(c\chi_A) + I_{\Phi}(kf\chi_{X\setminus A})) \\
 &= \frac{1}{k} s(I_{\Phi}(kf\chi_{X\setminus A})) = \|f\|_{\Phi,s} = 1.
 \end{aligned}$$

Thus, $\|g\|_{\Phi,s} = 1$. However, for $t \in A$, we have $k|g(t)| = c < a_{\Phi}$, which implies that $g \notin \text{Ext } B(L_s^{\Phi})$ by Theorem 4.3.

Sufficiency. Suppose that $a_{\Phi} = 0$. Let us prove L_s^{Φ} with $\sigma_s = 0$ is complex strictly rotund. Assume that $f \in S(L_s^{\Phi})$ is not a complex extreme point of the unit ball $B(L_s^{\Phi})$. It follows from Theorem 4.3 that $\mu(\{t \in X : k|f(t)| < a_{\Phi}\}) > 0$ for some $k \in K(f)$. Then there exists $t_0 \in X$ such that $a_{\Phi} > k|f(t_0)| \geq 0$, which contradicts with $a_{\Phi} = 0$.

5. CONCLUSION

In this work, we characterize complex extreme points and complex rotundity of Orlicz Spaces equipped with the s -norms for $\sigma_s = 0$.

Peer Review: Externally peer-reviewed.

Author Contribution: All authors have contributed equally.

Conflict of Interest: Authors declared no conflict of interest.

Financial Disclosure: Authors declared no financial support.

ACKNOWLEDGEMENTS

We are grateful to anonymous referees for careful reading of the manuscript and for helpful comments.

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- Does the abstract clearly and accurately describe the content of the manuscript?
- Is this problem significant and concisely stated?
- Are the methods comprehensively described?
- Are the interpretations and conclusions justified by the results?
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