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Dynamics and Stability of Ξ -Hilfer Fractional Fuzzy Differential Equations with Impulses

Ravichandran Vivek¹, Kuppusamy Kanagarajan², Devaraj Vivek³, Elsayed M. Elsayed^{4*}

Abstract

This paper deals with the existence, uniqueness and Ulam-stability outcomes for Ξ -Hilfer fractional fuzzy differential equations with impulse. Further, by using the techniques of nonlinear functional analysis, we study the Ulam-Hyers-Rassias stability.

Keywords: Existence, Fuzzy impulsive differential equation, Ξ -Hilfer fractional derivative, Uniqueness, Ulam-Hyers-Rassias stability

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1. Introduction

The dynamics of processes that are subject to sudden state changes are often studied using differential equations with impulses as models. There are two commonly used types of impulses: instantaneous and non-instantaneous. The investigation of impulsive differential equation involving classical derivatives one can refer to [1]-[9]

Due to its importance in numerous related domains, including physics, mechanics, chemistry, engineering, etc., fractional calculus has received more and more attention in recent years, one can see [10]-[13] and references therein. In [12], Hilfer investigated applications for an extended fractional operator that has the Riemann-Liouville (RL) and Caputo derivatives as special cases. In this study, we deal with the existence, uniqueness, and stability of ψ -Hilfer fractional derivative based fractional differential equations, which Sousa and Oliveira initiated in [14].

Mathematicians have explored fuzzy fractional integrals and differential equations. One can see that RL, Hadamard, and Katugampola fuzzy fractional integrals are the basis for a lot of research on this area. We recommend the reader to the works [15, 16] and references listed therein for details about the basic concepts of fuzzy analysis and fuzzy differential equations. By employing the Caputo-Katugampola fuzzy fractional derivative, Sajedi et al. evaluated the existence, uniqueness, and several types of Ulam-Hyers stability of solutions of an impulsive coupled system of fractional differential equations [17]. For more facts on fuzzy fractional differential equations and its stability concepts, see, for example, [18]-[25].

In this paper, motivated by the research going on in this direction, we study the Ξ -Hilfer fractional fuzzy differential

equations with impulse of the form:

$$\begin{cases} {}^H\mathcal{D}_{0^+}^{\alpha,\beta,\Xi}z(t) = p(t, z(t)), & t \in (s_i, t_{i+1}], \quad i \in M_0 := M \cup \{0\}, \\ z(t) = g_i(t, z(t_i^+)), & t \in (t_i, s_i], \quad i \in M, \\ \mathcal{J}_{0^+}^{1-\gamma,\Xi}z(0) = z_0, & \gamma = \alpha + \beta - \alpha\beta, \end{cases} \quad (1.1)$$

where $M = \{1, 2, \dots, m\}$, $z \in \mathbb{R}$, $\alpha \in (0, 1)$, $\beta \in [0, 1]$, $p : [0, T] \times E_d \rightarrow E_d$ is continuous, and E_d is the space of fuzzy sets and t_i satisfy $0 = t_0 = s_0 < t_1 \leq s_1 \leq t_2 < \dots < t_m \leq s_m < t_{m+1} = T$, $g_i : [t_i, s_i] \times E_d \rightarrow E_d$ is continuous for all $i = 1, 2, \dots, m$, which is non-instantaneous impulses. Moreover ${}^H\mathcal{D}_{0^+}^{\alpha,\beta,\Xi}$ and $\mathcal{J}_{0^+}^{1-\gamma,\Xi}$ are the Ξ -Hilfer fractional derivative and Ξ -RL fractional integral.

2. Preliminaries

If we take $\mathcal{J} = [0, T]$. Let E_d be a family of fuzzy numbers, that is., $z : \mathbb{R} \rightarrow [0, 1]$ satisfies normal, convex, upper semicontinuous and compactly supported.

The s -level set of $z \in E_d$ are defined by

$$[z]^s = \begin{cases} \{t \in \mathbb{R} | z(t) \geq s\}, & \text{if } s \in (0, 1], \\ cl\{t \in \mathbb{R} | z(t) > s\}, & \text{if } s = 0. \end{cases}$$

So, the s -level set of $z \in E_d$ are compact intervals of the form $[z]^s = [\underline{z}(s), \bar{z}(s)] \subset \mathbb{R}$.

Definition 2.1. [15] Two fuzzy sets z_1 and z_2 are defined on E_d and $\lambda \in \mathbb{R}$. According to Zadeh's extension principle, $z_1 + z_2$ and λz_1 are in E_d and defined as

$$\begin{aligned} [z_1 + z_2]^s &= [z_1]^s + [z_2]^s, \\ [\lambda z_1]^s &= \lambda [z_1]^s, \quad \text{for all } s \in [0, 1], \end{aligned}$$

where $[z_1]^s + [z_2]^s$ represents the usual addition of two intervals of \mathbb{R} and $\lambda [z_1]^s$ represents the usual scalar product between λ and an real interval.

Definition 2.2. [16] The distance $D_0[z_1, z_2]$ between two fuzzy numbers is defined by

$$D_0[z_1, z_2] = \sup_{0 \leq s \leq 1} H([z_1]^s, [z_2]^s) \quad \text{for all } z_1, z_2 \in E_d, \quad (2.1)$$

where $H([z_1]^s, [z_2]^s) = \max\{|z_1(s) - z_2(s)|, |\bar{z}_1(s) - \bar{z}_2(s)|\}$ is the Hausdroff distance between $[z_1]^s$ and $[z_2]^s$.

Definition 2.3. [16] Let $z_1, z_2 \in E_d$. There exists $z_3 \in E_d$ such that $z_1 = z_2 + z_3$, that is., $z_3 = z_1 \ominus z_2$, where z_3 is Hukuhara difference of z_1 and z_2 .

The generalized Hukuhara difference of two fuzzy numbers $z_1, z_2 \in E_d$ [gH-difference] is defined as

$$z_1 \ominus_{gH} z_2 = z_3 \Leftrightarrow z_1 = z_2 + z_3, \quad \text{or} \quad z_2 = z_1 + (-1)z_3, \quad (2.2)$$

where $z_1 \ominus_{gH} z_2$ is called as gH-difference of z_1 and z_2 in E_d .

Definition 2.4. [15] Let $z : [a, b] \rightarrow E_d$ be a fuzzy function, then for each $s \in [0, 1]$, the function $t \mapsto d([z(t)]^s)$ is nondecreasing (nonincreasing) on $[a, b]$. In addition, z is called d -monotone on $[a, b]$, if z is d -increasing or d -decreasing on $[a, b]$.

Definition 2.5. [15] Let $z : (a, b) \rightarrow E_d$ and $t \in [a, b]$. If z is a fuzzy function of gH-differentiable with respect to t then there exists an element $z'_{gH}(t) \in E_d$ such that

$$z'_{gH}(t) = \lim_{h \rightarrow 0} \frac{z(t+h) \ominus_{gH} z(t)}{h}. \quad (2.3)$$

Definition 2.6. Let $z : \mathcal{J} \rightarrow E_d$ be a continuous fuzzy mapping. The fuzzy Ξ -type RL fractional integral of z is defined by

$$\left({}^{RL}\mathcal{J}_{0^+}^{\alpha,\Xi} z \right)(t) = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} z(\tau) d\tau, \quad \text{for all } t \in \mathcal{J}. \quad (2.4)$$

Definition 2.7. Let $z: \mathcal{J} \rightarrow E_d$ be a continuous fuzzy mapping. The fuzzy Ξ -type RL fractional derivative of order $n - 1 < \alpha < n$ for fuzzy-valued function z is defined by

$$({}^{RL}\mathcal{D}_{0^+}^{\alpha, \Xi} z)(t) = \frac{1}{\Gamma(n - \alpha)} \left(\frac{1}{\Xi'(t)} \frac{d}{dt} \right)^n \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{n-\alpha-1} z(\tau) d\tau, \quad \text{for all } t \in \mathcal{J}. \tag{2.5}$$

Definition 2.8. The fuzzy Ξ -Hilfer fractional derivative of order $\alpha \in (0, 1)$ and type $\beta \in [0, 1]$ is defined by

$${}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) = \mathcal{I}_{0^+}^{\alpha(1-\beta), \Xi} \left(\frac{1}{\Xi'(t)} \frac{d}{dt} \right) \mathcal{I}_{0^+}^{(1-\alpha)(1-\beta), \Xi} z(t). \tag{2.6}$$

for a fuzzy function $z: \mathcal{J} \rightarrow E_d$ so that the expression on the right side exists.

Lemma 2.9. Let $\alpha \in (0, 1)$, $\beta \in [0, 1]$ and $z \in \mathcal{AC}(\mathcal{J}, E_d)$ be a d -monotone fuzzy function, then

$$(\mathcal{I}_{0^+}^{\alpha, \Xi} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z)(t) = z(t) \ominus_{gH} \frac{(\mathcal{I}_{0^+}^{1-\gamma, \Xi} z)(0)}{\Gamma(\gamma)} (\Xi(t) - \Xi(0))^{\gamma-1}, \quad t \in \mathcal{J}. \tag{2.7}$$

$$({}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} \mathcal{I}_{0^+}^{\alpha, \Xi} z)(t) = z(t), \quad t \in \mathcal{J}. \tag{2.8}$$

Theorem 2.10. [3] Let (S, D) be a generalized complete metric space. Suppose that the operator $T: S \rightarrow S$ is strictly contractive with Lipschitz constant $L < 1$. If there exists a non-negative integer k such that $D[T^{k+1}, T^k] < \infty$ for some $z \in S$, then the following are true:

- (i) The sequence $\{T^k z\}_{k \geq 1}$ converges to a fixed point z^* of T ;
- (ii) z^* is the unique fixed point of $T \in S^*$; where $S^* = \{v \in S \mid D[T^k z, v] < \infty\}$.
- (iii) If $v \in S^*$, then $D[v, z^*] \leq \frac{1}{1-L} D[Tv, v]$.

3. Existence Theory

In this section, we consider $\mathcal{PC}(\mathcal{J}, E_d)$ the family of piecewise continuous fuzzy function, we say that $v(t)$ is continuous on \mathcal{J}_i , $i = 0, 1, \dots, m$, where $\mathcal{J}_i = (t_i, t_{i+1}]$ and $t_0 = 0, t_{m+1} = T$.

We introduce the following hypotheses:

(H1) There exists function $m^*, n^* \in C(\mathcal{J}, \mathbb{R}^+)$ such that

$$D_0[p(t, u(t)), \widehat{0}] \leq m^*(t) D_0[u(t), \widehat{0}] + n^*(t),$$

where $M^* = \sup_{t \in \mathcal{J}} m^*(t)$ and $N^* = \sup_{t \in \mathcal{J}} n^*(t)$.

(H2) $p \in C([s_i, t_{i+1}], E_d)$ and there exists a positive constants L_p such that

$$D_0[p(t, u_1), p(t, u_2)] \leq L_p D_0[u_1, u_2], \quad t \in \mathcal{J}.$$

(H3) $g_i \in C([t_i, s_i], E_d)$ and there exists a positive constants L_{g_i}

$$D_0[g_i(t, u_1), g_i(t, u_2)] \leq L_{g_i} D_0[u_1, u_2].$$

(H4) There exists function $q \in C(\mathcal{J}, \mathbb{R}^+)$ such that

$$D_0[g_i(t, u(t_i^+)), \widehat{0}] \leq q(t) D_0[u(t), \widehat{0}].$$

(H5) Let $\varphi \in C(\mathcal{J}, \mathbb{R}^+)$ be a non-decreasing function, then there exists $C_\varphi > 0$ such that

$$\frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} \varphi(\tau) d\tau < C_\varphi \varphi(t) \quad \text{for each } t \in \mathcal{J}.$$

Lemma 3.1. Let $p \in C(\mathcal{J}, E_d)$ be a continuous fuzzy function. Then, a d -monotone fuzzy function $z \in \mathcal{PC}(\mathcal{J}, E_d)$ is a solution of the following problem

$$\begin{cases} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) = p(t, z(t)), & t \in \mathcal{J}, \\ \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = z_0. \end{cases}$$

if and only if $z \in \mathcal{PC}(\mathcal{J}, E_d)$ satisfies the integral equation provided as follows:

$$z(t) \ominus_{gH} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} z_0 = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, \quad t \in \mathcal{J}.$$

Lemma 3.2. Let $\alpha \in (0, 1)$, $\beta \in [0, 1]$ and $\gamma = \alpha + \beta(1 - \alpha)$. Suppose that $p : \mathcal{J} \times E_d \rightarrow E_d$ be a continuous fuzzy function and $g_i : [t_i, s_i] \times E_d \rightarrow E_d$ is a continuous for every $i \in M$. Then a d -monotone continuous function z is a solution of the following integral equation:

$$\begin{cases} z(t) \ominus_{gH} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} z_0 = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, & t \in (s_i, t_{i+1}], \\ z(t) = g_i(t, z(t_i^+)), & t \in (t_i, s_i], \quad k \in M, \\ z(t) \ominus_{gH} z(s_i) = \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, & t \in (s_i, t_{i+1}], \\ \text{where } z(s_i) = g_i(s_i, z(t_i^+)) \end{cases} \tag{3.1}$$

if and only if z is a d -monotone solution of the fuzzy impulsive of Ξ -Hilfer fractional problem is

$$\begin{cases} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) = p(t, z(t)), & t \in (s_i, t_{i+1}], \quad i \in M_0 := M \cup \{0\}, \\ z(t) = g_i(t, z(t_i^+)), & t \in (t_i, s_i], \quad i \in M, \\ \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = z_0. \end{cases} \tag{3.2}$$

Proof. Suppose that z satisfies the problem (1.1), that is, z is a solution of Eqn.(1.1).

Let $t \in (0, t_1]$, then

$$\begin{cases} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) = p(t, z(t)), & t \in (s_i, t_{i+1}], \\ \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = z_0, \end{cases}$$

is equivalent to the equation

$$z(t) \ominus_{gH} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} z_0 = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau. \tag{3.3}$$

Now, it follows from Eqn.(3.2) of second equation that when $t \in (t_1, s_1]$, $z(t) = g_i(t, z(t_1^+))$. If $t \in (s_1, t_2]$ then

$$\begin{aligned} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) &= p(t, z(t)), \quad t \in (s_1, t_2] \\ z(s_1) &= g_1(s_1, z(t_1^+)). \end{aligned} \tag{3.4}$$

Applying an operator $\mathcal{J}_{0^+}^{1-\gamma, \Xi}$ over $(0, t_2]$ on both sides of Eqn.(3.4), we get

$$z(t) \ominus_{gH} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, \tag{3.5}$$

which yields

$$z(s_1) \ominus_{gH} \frac{(\Xi(s_1) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = \frac{1}{\Gamma(\alpha)} \int_0^{s_1} \Xi'(\tau) (\Xi(s_1) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau.$$

From the second equation of problem (3.4), we get

$$\begin{cases} g_1(s_1, z(t_1^+)) \ominus_{gH} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = \frac{1}{\Gamma(\alpha)} \int_0^{s_1} \Xi'(\tau) (\Xi(s_1) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau \\ \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) \\ = (g_1(s_1, z(t_1^+)) \ominus_{gH} \frac{1}{\Gamma(\alpha)} \int_0^{s_1} \Xi'(\tau) (\Xi(s_1) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau) \Gamma(\gamma) (\Xi(t) - \Xi(0))^{1-\gamma}. \end{cases} \tag{3.6}$$

Substituting Eqn.(3.6) in Eqn.(3.5), we obtain

$$\begin{cases} z(t) \ominus_{gH} \left(\frac{\Xi(t) - \Xi(0)}{\Xi(s_1) - \Xi(0)} \right)^{\gamma-1} \left(g_1(s_1, u(t_1^+)) \ominus_{gH} \frac{1}{\Gamma(\alpha)} \int_0^{s_1} \Xi'(\tau) (\Xi(s_1) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau \right) \\ = \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(s_1) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, \quad t \in (s_1, t_2]. \end{cases}$$

Now, it follows from Eqn.(3.2) of second equation that when $t \in (t_2, s_2]$ with $z(s_2) = g_2(s_2, u(t_2^+))$. Repeating the same process for $t \in (s_i, t_{i+1}]$, we obtain

$$z(t) \ominus_{gH} z(s_i) = \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau, \quad t \in (s_i, t_{i+1}],$$

where, $z(s_i) = g_i(s_i, z(t_i^+))$.

Conversely, suppose that z satisfies the integral Eqn.(3.1). If $t \in (0, t_1]$, then $\mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) = z_0$ and applying ${}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi}$ fact that, we obtain

$$\begin{aligned} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} \left(z(t) \ominus_{gH} \mathcal{J}_{0^+}^{1-\gamma, \Xi} z(0) \right) &= {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} \left(\frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau \right), \\ &= {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} (\mathcal{J}_{0^+}^{\alpha, \Xi} p(t, z(t))). \\ {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} (\mathcal{J}_{0^+}^{\alpha, \Xi} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t)) &= {}^H\mathcal{J}_{0^+}^{\alpha, \Xi} {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} p(t, z(t)) \\ {}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} z(t) &= p(t, z(t)). \end{aligned}$$

And, next we can easily prove that $z(t) = g_i(t, z(t_i^+))$, $t \in (t_i, s_i]$. □

Theorem 3.3. Assume that (H1) – (H3) hold. Then, the problem (1.1) has at least one solution.

Proof. Define a operator $T : \mathcal{P}\mathcal{C}(\mathcal{J}, E_d) \rightarrow \mathcal{P}\mathcal{C}(\mathcal{J}, E_d)$ is given by

$$(Tw)(t) = \begin{cases} \left(\frac{\Xi(t) - \Xi(0)}{\Gamma(\gamma)} \right)^{\gamma-1} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau, & t \in (0, t_1], \\ g_i(t, w(t_i^+)), & t \in (t_i, s_i], \\ g_i(s_i, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau. \end{cases}$$

Clearly the operator T is well-defined and for any $w \in \mathcal{P}\mathcal{C}(\mathcal{J}, E_d)$, we have

Case 1: For $t \in (0, t_1]$.

$$D_0[Tw(t) (\Xi(t) - \Xi(0))^{1-\gamma}, \widehat{0}]$$

$$\begin{aligned} &\leq D_0 \left[\frac{w_0}{\Gamma(\gamma)} + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau, \widehat{0} \right] \\ &\leq \frac{w_0}{\Gamma(\gamma)} + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w(\tau)), \widehat{0}] d\tau \\ &\leq \frac{w_0}{\Gamma(\gamma)} + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} m^*(\tau) D_0[w(\tau), \widehat{0}] d\tau \\ &\quad + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} n^*(\tau) d\tau \\ &\leq \frac{w_0}{\Gamma(\gamma)} + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} B(\gamma, \alpha) (\Xi(t) - \Xi(0))^{\alpha+\gamma-1} M^* D_0[w(\tau), \widehat{0}] \\ &\quad + \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha+1)} (\Xi(t) - \Xi(0))^\alpha N^* \\ &\leq \frac{w_0}{\Gamma(\gamma)} + \frac{M^* B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t) - \Xi(0))^\alpha D_0[w(\tau), \widehat{0}] + \frac{N^*}{\Gamma(\alpha+1)} (\Xi(t) - \Xi(0))^{\alpha+1-\gamma}. \end{aligned}$$

Case 2: For $t \in (t_i, s_i]$.

$$\begin{aligned} D_0[Tw(t)(\Xi(t) - \Xi(0))^{1-\gamma}, \widehat{0}] &\leq (\Xi(t) - \Xi(0))^{1-\gamma} D_0[g_i(t, w(t_i^+)), \widehat{0}] \\ &\leq (\Xi(t) - \Xi(t_i))^{1-\gamma} q(t) D_0[w(t), \widehat{0}] \\ &\leq QD_0[w(t), \widehat{0}], \end{aligned}$$

where $Q = (\Xi(t) - \Xi(t_i))^{1-\gamma} q(t)$.

Case 3: For $t \in (s_i, t_{i+1}]$.

$$\begin{aligned} D_0[Tw(t)(\Xi(t) - \Xi(s_i))^{1-\gamma}, \widehat{0}] &\leq (\Xi(t) - \Xi(s_i))^{1-\gamma} D_0[g_i(s_i, w(s_i^+)), \widehat{0}] + \frac{(\Xi(t) - \Xi(s_i))^{1-\gamma}}{\Gamma(\alpha)} \\ &\quad \times \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w(\tau)), \widehat{0}] d\tau \\ &\leq QD_0[w(t), \widehat{0}] + \frac{M^* B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_i) - \Xi(s_i))^\alpha D_0[w(t), \widehat{0}] \\ &\quad + \frac{N^*}{\Gamma(\alpha + 1)} (\Xi(t_{i+1}) - \Xi(s_i))^{\alpha+1-\gamma}, \end{aligned}$$

which gives T transforms the Ball $\mathcal{B}_\eta = \{w \in \mathcal{PC}(\mathcal{J}, E_d) | D_0[w, \widehat{0}] \leq \eta\}$, into itself. Next, we have to prove the operator $T : \mathcal{B}_\eta \rightarrow \mathcal{B}_\eta$ satisfies all the conditions of Schauder fixed point theorem. The following steps are done by the proof.

Step 1: T is continuous.

Let w_n be a sequence such that $w_n \rightarrow w$ in $C(\mathcal{J}, E_d)$. Then

Case i: For $t \in (0, t_1]$,

$$\begin{aligned} D_0[Tw_n(t)(\Xi(t) - \Xi(0))^{1-\gamma}, Tw(t)(\Xi(t) - \Xi(0))^{1-\gamma}] &\leq \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w_n(\tau)), p(\tau, w(\tau))] d\tau \\ &\leq \frac{B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_1) - \Xi(0))^\alpha D_0[p(t, w_n(t)), p(t, w(t))] \\ &\leq \frac{B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_1) - \Xi(0))^\alpha L_p D_0[w_n, w]. \end{aligned}$$

Case ii: For $t \in (t_i, s_i]$.

$$\begin{aligned} D_0[Tw_n(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}, Tw(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}] &\leq (\Xi(t) - \Xi(t_i))^{1-\gamma} D_0[g_i(t, w_n(t_i^+)), g_i(t, w(t_i^+))] \\ &\leq D_0[g_i(t, w_n(t_i^+)), g_i(t, w(t_i^+))] \\ &\leq L_{g_i} D_0[w_n(t_i^+), w(t_i^+)]. \end{aligned}$$

Case iii: For $t \in (s_i, t_{i+1}]$.

$$\begin{aligned} D_0[Tw_n(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}, Tw(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}] &\leq (\Xi(t) - \Xi(s_i))^{1-\gamma} D_0[g_i(s_i, w_n(t_i^+)), g_i(s_i, w(t_i^+))] \\ &\quad + \frac{(\Xi(t) - \Xi(s_i))^{1-\gamma}}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w_n(\tau)), p(\tau, w(\tau))] d\tau \\ &\leq D_0[g_i(t, w_n(t_i^+)), g_i(t, w(t_i^+))] + \frac{B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_{i+1}) - \Xi(s_i))^\alpha D_0[p(t, w_n(t)), p(t, w(t))] \\ &\leq L_{g_i} D_0[w_n, w] + \frac{B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_{i+1}) - \Xi(s_i))^\alpha L_p D_0[w_n, w]. \end{aligned}$$

Step 2: $T(\mathcal{B}_\eta)$ is uniformly bounded.

It is clear that, $T(\mathcal{B}_\eta) \subset \mathcal{B}_\eta$ is bounded.

Step 3: We have to prove that $T(\mathcal{B}_\eta)$ is equicontinuous.

If $t_1, t_2 \in \mathcal{J}, t_1 > t_2$ are bounded set of $C(\mathcal{J}, E_d)$ as in step 2. Then

Case i: For $t \in (0, t_1]$.

$$\begin{aligned} & D_0[(\Xi(t_1) - \Xi(0))^{1-\gamma}Tw(t_1), (\Xi(t_2) - \Xi(0))^{1-\gamma}Tw(t_2)] \\ & \leq D_0\left[\frac{(\Xi(t_1) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^{t_1} \Xi'(\tau)(\Xi(t_1) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau, \right. \\ & \quad \left. \frac{(\Xi(t_2) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^{t_2} \Xi'(\tau)(\Xi(t_2) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau\right] \\ & \leq \frac{D_0[p(t, w(t)), \hat{0}]}{\Gamma(\alpha)} B(\gamma, \alpha)[(\Xi(t_1) - \Xi(0))^\alpha + (\Xi(t_2) - \Xi(0))^\alpha]. \end{aligned}$$

Case ii: For $t \in (t_i, s_i]$.

$$\begin{aligned} & D_0[(\Xi(t_1) - \Xi(0))^{1-\gamma}Tw(t_1), (\Xi(t_2) - \Xi(0))^{1-\gamma}Tw(t_2)] \\ & \leq D_0[(\Xi(t_1) - \Xi(0))^{1-\gamma}g_i(t_1, w(t_i^+)), (\Xi(t_2) - \Xi(0))^{1-\gamma}Tw(t_2)g_i(t_2, w(t_i^+))], \\ & \leq D_0[g_i(t_1, w(t_i^+)), g_i(t_2, w(t_i^+))]. \end{aligned}$$

Case iii: For $t \in (s_i, t_{i+1}]$.

$$\begin{aligned} & D_0[(\Xi(t_1) - \Xi(0))^{1-\gamma}Tw(t_1), (\Xi(t_2) - \Xi(0))^{1-\gamma}Tw(t_2)] \\ & \leq D_0\left[\frac{(\Xi(t_1) - \Xi(s_i))^{1-\gamma}}{\Gamma(\alpha)} \int_{s_i}^{t_1} \Xi'(\tau)(\Xi(t_1) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau, \right. \\ & \quad \left. \frac{(\Xi(t_2) - \Xi(s_i))^{1-\gamma}}{\Gamma(\alpha)} \int_{s_i}^{t_2} \Xi'(\tau)(\Xi(t_2) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau, \right] \\ & \rightarrow 0 \text{ as } t_2 \rightarrow t_1. \end{aligned}$$

As a sequence of step 1-2 together with the Arzela-Ascoli theorem states that T is continuous and compact on \mathcal{B}_η . Schauder's theorem states that T has a fixed point of w , which gives w is a solution of (1.1). This completes the proof. \square

Theorem 3.4. Assume that (H1)-(H2) hold. If

$$\Lambda = \max \left\{ \frac{L_p B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_1) - \Xi(0))^\alpha, \left(L_{g_i} + \frac{L_p B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_{i+1}) - \Xi(s_i))^\alpha \right) \right\} < 1.$$

Then, the problem (1.1) has unique solution.

Proof. Define an operator $T : \mathcal{P}\mathcal{C}(\mathcal{J}, E_d) \rightarrow \mathcal{P}\mathcal{C}(\mathcal{J}, E_d)$ is given by

$$(Tw)(t) = \begin{cases} \left(\frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} \right) w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau, & t \in (0, t_1] \\ g_i(t, w(t_i^+)), & t \in (t_i, s_i] \\ g_i(s_i, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1}p(\tau, w(\tau))d\tau. \end{cases}$$

It is enough to prove T is a contraction mapping, we consider the following cases are done by the proof.

Case i: For $t \in (0, t_1]$.

$$\begin{aligned} & D_0[Tw(t)(\Xi(t) - \Xi(0))^{1-\gamma}, T\bar{w}(t)(\Xi(t) - \Xi(0))^{1-\gamma}] \\ & \leq \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w(\tau)), p(\tau, \bar{w}(\tau))]d\tau \\ & \leq \frac{(\Xi(t) - \Xi(0))^{1-\gamma}}{\Gamma(\alpha)} \int_0^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} L_p D_0[w, \bar{w}]d\tau \\ & \leq \frac{L_p B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_1) - \Xi(0))^\alpha D_0[w, \bar{w}]. \end{aligned}$$

Case ii: For $t \in (t_i, s_i]$.

$$\begin{aligned} D_0[Tw(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}, T\bar{w}(t)(\Xi(t) - \Xi(t_i))^{1-\gamma}] \\ \leq (\Xi(t) - \Xi(0))^{1-\gamma} D_0[g_i(t, w(t_i^+)), g_i(t, \bar{w}(t_i^+))] \\ \leq L_{g_i} D_0[w, \bar{w}]. \end{aligned}$$

Case iii: For $t \in (s_i, t_{i+1}]$.

$$\begin{aligned} D_0[Tw(t)(\Xi(t) - \Xi(s_i))^{1-\gamma}, T\bar{w}(t)(\Xi(t) - \Xi(s_i))^{1-\gamma}] \\ \leq (\Xi(t) - \Xi(s_i))^{1-\gamma} D_0[g_i(s_i, w(t_i^+)), g_i(s_i, \bar{w}(t_i^+))] \\ + \frac{(\Xi(t) - \Xi(s_i))^{1-\gamma}}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w(\tau)), p(\tau, \bar{w}(\tau))] d\tau \\ \leq \left(L_{g_i} + \frac{L_p B(\gamma, \alpha)}{\Gamma(\alpha)} (\Xi(t_{i+1}) - \Xi(s_i))^\alpha \right) D_0[w, \bar{w}], \end{aligned}$$

which gives $D_0[Tw, T\bar{w}] \leq \Lambda D_0[w, \bar{w}]$. Hence T is a contraction and there exists a unique solution. This completes the proof. \square

4. Stability Results

In this section, we discuss a generalized Ulam-Hyers-Rassias stability (G-U-H-R) concept of Eqn.(1.1).

Let $\zeta \geq 0$ and $\varphi \in \mathcal{PC}(\mathcal{J}, \mathbb{R}^+)$ is nondecreasing. Then, we consider the following inequality

$$\begin{cases} D_0[{}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} u(t), p(t, u(t))] \leq \varphi(t), & t \in (s_i, t_{i+1}], \\ D_0[u(t), g_i(t, u(t_i^+))] \leq \zeta, & t \in (t_i, s_i]. \end{cases} \tag{4.1}$$

Definition 4.1. The problem (1.1) is G-U-H-R stable with respect to (φ, ζ) , if there exists $C_{p, g_i, \varphi} > 0$ such that for each solution $u \in \mathcal{PC}(\mathcal{J}, E_d)$ of Eqn.(4.1), there exists a solution $z \in \mathcal{PC}(\mathcal{J}, E_d)$ of Eqn.(1.1) with

$$D_0[u(t), z(t)] \leq C_{p, g_i, \varphi} (\varphi(t) + \zeta), \quad t \in \mathcal{J}.$$

Remark 4.2. A fuzzy function $u \in \mathcal{PC}(\mathcal{J}, E_d)$ is a solution of Eqn.(4.1) if and only if there exists $G \in \mathcal{PC}(\mathcal{J}, E_d)$ and a sequence $G_i, \quad i = 1, 2, \dots, m$ (which depends on u) such that

(i) $D_0[G(t), \hat{0}] \leq \varphi(t)$ and $D_0[G_i, \hat{0}] < \zeta, \quad i = 1, 2, \dots, m.$

(ii) ${}^H\mathcal{D}_{0^+}^{\alpha, \beta, \Xi} u(t) = p(t, u(t)) + G(t), \quad t \in (s_i, t_{i+1}].$

(iii) $u(t) = g_i(t, u(t_i^+)) + G_i, \quad t \in (t_i, s_i].$

Remark 4.3. Let $u \in \mathcal{PC}(\mathcal{J}, E_d)$ be a solution of Eqn.(4.1). Then, u is a solution of the following integral inequality

$$\begin{cases} D_0[u(t), g_i(t, u(t_i^+))] \leq \zeta, & t \in (t_i, s_i], \quad i = 1, 2, \dots, m, \\ D_0 \left[u(t), \left(\frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} \right) u_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau \right] \\ \leq \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} \varphi(\tau) d\tau, \\ D_0 \left[u(t), g_i(s_i, u(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, z(\tau)) d\tau \right] \\ \leq \zeta + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau)(\Xi(t) - \Xi(\tau))^{\alpha-1} \varphi(\tau) d\tau, & t \in (s_i, t_{i+1}]. \end{cases} \tag{4.2}$$

Theorem 4.4. Suppose that $p \in C([s_i, t_{i+1}], E_d)$ and $g_i \in C([t_i, s_i], E_d)$ satisfied (H2) – (H5) and a fuzzy function $w \in \mathcal{PC}(\mathcal{J}, E_d)$ satisfies Eqn.(3.6), there exists a unique solution $u : \mathcal{J} \rightarrow E_d$ of (3.1) with the initial condition $u(0) = w(0)$ such that

$$D_0[u(t), w(t)] \leq \frac{(1 + C_\varphi)(\varphi(t) + \zeta)}{1 - \Lambda}, \quad t \in \mathcal{J}, \tag{4.3}$$

where $\Lambda = \max\{L_{g_i} + L_p C_\varphi\}$.

Proof. Consider the space of piecewise continuous function

$$S = \{w : \mathcal{J} \rightarrow E_d | w \in \mathcal{PC}(\mathcal{J}, E_d)\},$$

with a generalized metric on S . Now, let us consider

$$D_S[w, \bar{w}] = \inf\{C' + C'' \in [0, \infty) | D_0[w(t), \bar{w}(t)] \leq C' + C''(\varphi(t) + \zeta), \quad t \in \mathcal{J}\},$$

obviously, (S, D_S) is a complete generalized metric space, where

$$\begin{aligned} C' &\in \{C \in [0, +\infty) | D_0[w(t), \bar{w}(t)] \leq C\varphi(t), \quad \text{for all } t \in (s_i, t_{i+1}]\}, \\ C'' &\in \{C \in [0, +\infty) | D_0[w(t), \bar{w}(t)] \leq C\zeta(t), \quad \text{for all } t \in (t_i, s_i]\}. \end{aligned}$$

Define an operator $T : S \rightarrow S$ by

$$(Tw)(t) = \begin{cases} \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau, & t \in (0, t_1], \\ g_i(t, w(t_i^+)), & t \in (t_i, s_i], \\ g_i(s_i, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau. \end{cases} \tag{4.4}$$

Clearly, the operator T is a well-defined operator. Next, we show that T is strictly contractive on S . From the definition of the space (S, D_S) , for any $w, \bar{w} \in S$, it is possible to find $C', C'' \in [0, \infty)$ such that

$$D_0[w(t), \bar{w}(t)] \leq \begin{cases} C' \varphi(t), & t \in (s_i, t_{i+1}] \quad k = 0, 1, \dots, m, \\ C'' \zeta(t), & t \in (t_i, s_i], \quad k = 1, 2, \dots, m, \end{cases}$$

and from the definition of operator T . By using (H2), (H3), and (H5), we get

Case 1: For $t \in (0, t_1]$.

$$\begin{aligned} D_0[Tw(t), T\bar{w}(t)] &= D_0 \left[\frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau \right. \\ &\quad \left. , \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, \bar{w}(\tau)) d\tau \right] \\ &\leq \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[p(\tau, w(\tau)), p(\tau, \bar{w}(\tau))] d\tau \\ &\leq \frac{L_p}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[w, \bar{w}] d\tau \\ &\leq L_p C' C_\varphi \varphi(t). \end{aligned}$$

Case 2: For $t \in (t_i, s_i]$.

By (H3), we get

$$\begin{aligned} D_0[Tw(t), T\bar{w}(t)] &= D_0[g_i(t, w(t_i^+)), g_i(t, \bar{w}(t_i^+))] \\ &\leq L_{g_i} D_0[w, \bar{w}] \\ &\leq L_{g_i} C'' \zeta(t). \end{aligned}$$

Case 3: For $t \in (s_i, t_{i+1}]$.

By (H2) – (H5), we have

$$\begin{aligned}
 D_0[Tw(t), T\bar{w}(t)] &= D_0 \left[g_i(s_i, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau, \right. \\
 &\quad \left. g_i(s_i, \bar{w}(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, \bar{w}(\tau)) d\tau \right] \\
 &\leq D_0[g_i(s_i, w(t_i^+)), g_i(s_i, \bar{w}(t_i^+))] \\
 &\quad + D_0 \left[\frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} (p(\tau, w(\tau)), p(\tau, \bar{w}(\tau))) d\tau \right] \\
 &\leq L_{g_i} D_0[w, \bar{w}] + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} \varphi(\tau) d\tau \\
 &\quad \times D_0[p(\tau, w(\tau)), p(\tau, \bar{w}(\tau))] \\
 &\leq (L_{g_i} + L_p C_\varphi)(C' + C'')(\varphi(t) + \zeta) \\
 &\leq \max_{i \in \{1, 2, \dots, m\}} (L_{g_i} + L_p C_\varphi)(C' + C'')(\varphi(t) + \zeta) \\
 &= \Lambda(C' + C'')(\varphi(t) + \zeta), \quad t \in \mathcal{J},
 \end{aligned}$$

where $\Lambda = \max_{i \in \{1, 2, \dots, m\}} (L_{g_i} + L_p C_\varphi)$. This implies that

$$D_S[Tw, T\bar{w}] \leq \Lambda D_S[w, \bar{w}], \quad \text{for any } w, \bar{w} \in S.$$

Hence T is strictly contractive. Now, we take $w_0 \in S$ and by using the piecewise continuous property of w_0 and Tw_0 , it is possible to find $0 < G_i < \infty$ so that

$$\begin{aligned}
 D_0[Tw_0(t), w_0(t)] &= D_0 \left[\frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} \right. \\
 &\quad \left. \times p(\tau, w_0(\tau)) d\tau, w_0(t) \right] \\
 &\leq G_1 \varphi(t) \leq G_1 (\varphi(t) + \zeta), \quad t \in [0, t_1].
 \end{aligned}$$

Also,

$$\begin{aligned}
 D_0[Tw_0(t), w_0(t)] &= D_0[g_i(s_i, w(t_i^+)), w_0(t)] \\
 &\leq G_2 \zeta \leq G_2 (\varphi(t) + \zeta), \quad t \in (t_i, s_i],
 \end{aligned}$$

and

$$\begin{aligned}
 D_0[Tw_0(t), w_0(t)] &= D_0[g_i(t, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w_0(\tau)) d\tau, w_0(t)] \\
 &\leq G_3 (\varphi(t) + \zeta), \quad t \in (s_i, t_{i+1}].
 \end{aligned}$$

Since p, g_i and w_0 are bounded on \mathcal{J} and $\varphi(t) + \zeta > 0$, it follows that $D_S[Tw_0, w_0] \leq \max_{i=1, 2, \dots, m} \{G_1, G_2, G_3\} < \infty$. According to Banach fixed point theorem, there exists a fixed point of fuzzy continuous function $S : \mathcal{J} \rightarrow E_d$ such that $T^n w_0 \rightarrow w_0 \in (S, D_S)$ as $n \rightarrow \infty$ and $Tw_0 = w_0$, that is., w_0 satisfies Eqn.(3.1) for all $t \in \mathcal{J}$. For finally, we check that $C_w \in (0, \infty)$ so that $D_0[w_0(t), w(t)] \leq C_w (\varphi(t) + \zeta)$, for any $t \in \mathcal{J}$. Since w, w_0 are bounded on \mathcal{J} , which gives, $\min_{t \in \mathcal{J}} (\varphi(t) + \zeta) > 0$. Thus $D_S[w_0, w] < \infty, w \in S$, which gives $S = \{w \in S | D_S(w_0, w) < \infty\}$, we get u is the unique solution continuous function.

In this same process, we prove Eqn.(4.3) holds. A function $w \in \mathcal{P}\mathcal{C}(\mathcal{J}, E_d)$ is a solution of Eqn. (4.1) on \mathcal{J} , then there exists a function $G \in \mathcal{P}\mathcal{C}(\mathcal{J}, E_d)$ and a sequence G_i (which depends on w) such that

$$\begin{cases} D_0[G(t), \hat{0}] \leq \varphi(t), \quad \text{and} \\ D_0[G_i, \hat{0}] \leq \zeta, \quad i = 1, 2, \dots, m \\ {}^H\mathcal{D}_{0+}^{\alpha, \beta, \Xi} w(t) = p(t, w(t)) + G(t), \quad t \in (s_i, t_{i+1}] \\ w(t) = g_i(t, w(t_i^+)) + G_i, \quad t \in (t_i, s_i]. \end{cases} \tag{4.5}$$

It follows from Lemma 3.2, one has

$$\begin{cases} w(t) = \frac{(\Xi(t)-\Xi(0))^{\gamma-1}}{\Gamma(\gamma)} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} \\ \quad \times [p(\tau, w(\tau)) + G(t)] d\tau, \quad t \in (0, t_1] \\ w(t) = g_i(t, w(t_i^+)) + G_i, \quad t \in (t_i, s_i], \\ w(t) = [g_i(s_i, w(t_i^+)) + G_i] + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} \\ \quad \times [p(\tau, w(\tau)) + G(t)] d\tau, t \in (s_i, t_{i+1}]. \end{cases} \quad (4.6)$$

Thus, by (H5) and from the first inequalities of Eqn. (4.5), we get

$$\begin{cases} D_0[w(t), \frac{(\Xi(t)-\Xi(0))^{\gamma-1}}{\Gamma(\gamma)} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau] \leq C_\varphi \varphi(t), \\ D_0[w(t), g_i(t, w(t_i^+))] \leq \zeta, \quad t \in (t_i, s_i] \\ D_0[w(t), g_i(t, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau] \leq \zeta + C_\varphi \varphi(t), \\ t \in (s_i, t_{i+1}]. \end{cases} \quad (4.7)$$

By (H5), Remark 4.2 and Eqn. (4.7), one derives

Case 1: For $t \in (0, t_1]$.

$$\begin{aligned} D_0[w(t), \frac{(\Xi(t) - \Xi(0))^{\gamma-1}}{\Gamma(\gamma)} w_0 + \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau] \\ \leq \frac{1}{\Gamma(\alpha)} \int_0^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} D_0[G(t), \widehat{0}] d\tau \\ \leq \varepsilon C_\varphi \varphi(t). \end{aligned}$$

Case 2: For $t \in (t_i, s_i]$.

$$\begin{aligned} D_0[w(t), g_i(t, w(t_i^+))] &= D_0[g_i(t, w(t_i^+)) + G_i, g_i(t, w(t_i^+))] \\ &\leq D_0[G_i, \widehat{0}] \\ &\leq \zeta. \end{aligned}$$

Case 3: For $t \in (s_i, t_{i+1}]$.

$$\begin{aligned} D_0 \left[w(t), g_i(s_i, w(t_i^+)) + \frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} p(\tau, w(\tau)) d\tau \right] \\ \leq D_0[G_i, \widehat{0}] + D_0 \left[\frac{1}{\Gamma(\alpha)} \int_{s_i}^t \Xi'(\tau) (\Xi(t) - \Xi(\tau))^{\alpha-1} G(\tau) d\tau \right] \\ \leq D_0[G_i, \widehat{0}] + D_0[G(t), \widehat{0}] \\ \leq \varepsilon \zeta + C_\varphi \varphi(t) \\ \leq (1 + C_\varphi)(\varphi(t) + \zeta). \end{aligned}$$

Thus, $D_S[w, Tw] \leq (1 + C_\varphi)$, it follows that $D_S[w, u] \leq \frac{D_S[Tw, w]}{1 - \Lambda} \leq \frac{(1 + C_\varphi)}{1 - \Lambda}$.

Because, Eqn.(4.3) is true for all $t \in \mathcal{J}$. Hence Eqn.(1.1) is G-U-H-R stable. \square

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Cesàro-Type Operator on the Dirichlet Space of the Upper Half Plane

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Abstract

We construct a Cesàro-type operator acting on Dirichlet space of the upper half plane using the approach of strongly continuous semigroups of composition operators on Banach spaces. We then determine the spectral and norm properties of the obtained Cesàro-type operator.

Keywords: Cesàro-type operator, Generator, One-parameter semigroup, Resolvent, Spectrum

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1. Introduction

Let \mathbb{C} be the complex plane. We define the (open) unit disk of the complex plane as the set $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$, while the set $\mathbb{U} = \{\omega \in \mathbb{C} : \Im(\omega) > 0\}$ denotes the upper half plane of the complex plane, where $\Im(\omega)$ denotes the imaginary part of the complex number ω . Also $dA(\omega)$ shall denote the (normalized) area Lebesgue measure on \mathbb{U} . The Cayley transform ψ is the function $\psi(z) = \frac{i(1+z)}{1-z}$ and maps the unit disc \mathbb{D} conformally onto the upper half plane \mathbb{U} with inverse given by $\psi^{-1}(\omega) = \frac{\omega-i}{\omega+i}$. For more details see [1].

Let $\mathcal{H}(\Omega)$ denotes the Fréchet space of analytic functions $f : \Omega \rightarrow \mathbb{C}$ endowed with the topology of uniform convergence on compact subsets of Ω for an open subset Ω of \mathbb{C} . For $1 \leq p < \infty$, the Bergman spaces of the upper half plane, $L_a^p(\mathbb{U})$, are defined by

$$L_a^p(\mathbb{U}) := \left\{ f \in \mathcal{H}(\mathbb{U}) : \|f\|_{L_a^p(\mathbb{U})} := \left(\int_{\mathbb{U}} |f(\omega)|^p dA(\omega) \right)^{\frac{1}{p}} < \infty \right\},$$

while the Hardy space of the upper half plane $H^p(\mathbb{U})$ are defined by

$$H^p(\mathbb{U}) = \left\{ f \in \mathcal{H}(\mathbb{U}) : \|f\|_{H^p(\mathbb{U})} = \sup_{y>0} \left(\int_{-\infty}^{\infty} |f(x+iy)|^p dx \right)^{\frac{1}{p}} < \infty \right\}.$$

The Dirichlet space of the upper half plane, $\mathcal{D}(\mathbb{U})$, is defined by

$$\mathcal{D}(\mathbb{U}) := \left\{ f \in \mathcal{H}(\mathbb{U}) : \|f\|_{\mathcal{D}_1(\mathbb{U})}^2 = \int_{\mathbb{U}} |f'(\omega)|^2 dA(\omega) < \infty \right\}, \tag{1.1}$$

and the norm is given by $\|f\|_{\mathcal{D}(\mathbb{U})}^2 = |f(i)|^2 + \|f\|_{\mathcal{D}_1(\mathbb{U})}^2$ where $\|\cdot\|_{\mathcal{D}_1(\mathbb{U})}$ is a seminorm on $\mathcal{D}(\mathbb{U})$. We refer to [1, 2, 3] for comprehensive details on spaces of analytic functions. The theory of analytic spaces of the unit disc \mathbb{D} is well established in literature as opposed to their counterparts of the upper half plane \mathbb{U} . For instance, the reproducing kernel for $\mathcal{D}(\mathbb{U})$ has recently been computed in [4] and is given by

$$K_w(z) = 1 + \log \left(\frac{i(z+i)(\bar{w}-i)}{2(z-\bar{w})} \right), \tag{1.2}$$

where $z, w \in \mathbb{U}$. Consequently, every $f \in \mathcal{D}(\mathbb{U})$ satisfies the growth condition

$$|f(w)| \leq \|f\|_{\mathcal{D}(\mathbb{U})} \sqrt{1 + \log \left(\frac{|w+i|^2}{4\Im(w)} \right)}. \tag{1.3}$$

Let X be an arbitrary Banach space over \mathbb{C} and T be closed operator on X . The resolvent set of T , $\rho(T)$ is given by $\rho(T) = \{\lambda \in \mathbb{C} : \lambda I - T \text{ is invertible}\}$. The spectrum of T , $\sigma(T) = \mathbb{C} \setminus \rho(T)$. Moreover, $r(T) = \sup\{|\lambda| : \lambda \in \sigma(T)\}$ defines the spectral radius of T and the point spectrum $\sigma_p(T)$ of T is given by $\sigma_p(T) = \{\lambda \in \mathbb{C} : Tx = \lambda x \text{ for some } 0 \neq x \in \text{dom}(T)\}$. A semigroup, $(T_t)_{t \geq 0}$, of bounded linear operators on X is said to be strongly continuous if $\lim_{t \rightarrow 0^+} \|T_t x - x\| = 0$ for all $x \in X$. The infinitesimal generator Γ of $(T_t)_{t \geq 0}$ is defined by

$$\Gamma x = \lim_{t \rightarrow 0^+} \frac{T_t x - x}{t} = \left. \frac{\partial}{\partial t} (T_t x) \right|_{t=0} \tag{1.4}$$

for each $x \in \text{dom}(\Gamma)$, where the domain of Γ is given by

$$\text{dom}(\Gamma) = \left\{ x \in X : \lim_{t \rightarrow 0^+} \frac{T_t x - x}{t} \text{ exists} \right\}.$$

For details, see [5, 6, 7].

The composition operator C_φ induced by φ and is acting on $\mathcal{H}(\Omega)$ is defined by

$$C_\varphi f = f \circ \varphi, \text{ for all } f \in \mathcal{H}(\Omega).$$

On the other hand, the composition semigroup C_{φ_t} induced by the semigroup $(\varphi_t)_{t \geq 0}$ on $\mathcal{H}(\Omega)$ is defined by

$$C_{\varphi_t}(f) = f \circ \varphi_t \text{ for all } f \in \mathcal{H}(\Omega).$$

We refer to [7, 8, 9] for a comprehensive account of the theory of composition semigroups on analytic spaces of \mathbb{D} .

For the case of the upper half plane, the study of composition semigroups yielding Cesàro-type operators was initiated by [10] on the Hardy space, and later extended by [11] on the Hardy and weighted Bergman spaces. For the Dirichlet space of the upper half plane, even though the composition semigroups have been partially considered by [12], the Cesàro-type operators have not been studied. In this work we construct such an operator on a subspace of the Dirichlet space of \mathbb{U} and determine its properties.

2. Composition Semigroup on the Dirichlet space of \mathbb{U}

We note that the automorphisms of the upper half plane were classified into three groups [11], that is the scaling, the translation and the rotation groups depending on the location of their fixed points. In this paper, we consider the group of composition operators on $\mathcal{D}(\mathbb{U})$ associated with the scaling group of the form $\varphi_t(\omega) = e^{-t}\omega$ for $\omega \in \mathbb{U}$ where φ_t is a self analytic map. We determine if the group of composition operator is an isometry on $\mathcal{D}(\mathbb{U})$. We then investigate both the semigroup and spectral properties of the composition semigroup. For $\varphi_t(\omega) = e^{-t}\omega$, $\omega \in \mathbb{U}$ the composition semigroup induced by the scaling group and acting on $\mathcal{D}(\mathbb{U})$ is defined as

$$\begin{aligned} C_{\varphi_t} f(\omega) &= f \circ \varphi_t(\omega) \\ &= f(e^{-t}\omega), \end{aligned} \tag{2.1}$$

for all $f \in \mathcal{D}(\mathbb{U})$. It can be easily shown that the functions $(C_{\varphi_t})_{t \in \mathbb{R}}$ form a group on $\mathcal{D}(\mathbb{U})$ under composition.

Theorem 2.1. *The operator C_{φ_t} fails to be an isometry on $\mathcal{D}(\mathbb{U})$.*

Proof. By norm definition,

$$\|C_{\varphi_t} f\|_{\mathcal{D}(\mathbb{U})}^2 = \|f \circ \varphi_t\|_{\mathcal{D}(\mathbb{U})}^2 = |f \circ \varphi_t(i)|^2 + \int_{\mathbb{U}} |(f \circ \varphi_t)'(\omega)|^2 dA(\omega). \quad (2.2)$$

But $(f \circ \varphi_t)(\omega) = f(e^{-t}\omega)$. Thus $(f \circ \varphi_t)'(\omega) = e^{-t}f'(e^{-t}\omega)$ implying that $|(f \circ \varphi_t)'(\omega)|^2 = e^{-2t}|f'(e^{-t}\omega)|^2$ and $|f \circ \varphi_t(i)|^2 = |f(e^{-t}i)|^2$.

By change of variables, we let $z = e^{-t}\omega$, then $\omega = e^t z$ and $dA(z) = e^{-2t}dA(\omega)$ implying that $dA(\omega) = e^{2t}dA(z)$.

Substituting in (2.2), we get

$$\begin{aligned} \|C_{\varphi_t} f\|_{\mathcal{D}(\mathbb{U})}^2 &= |f(e^{-t}i)|^2 + \int_{\mathbb{U}} |(f \circ \varphi_t)'(\omega)|^2 dA(\omega). \\ &= |f(e^{-t}i)|^2 + \int_{\mathbb{U}} e^{-2t}|f'(e^{-t}\omega)|^2 dA(\omega). \\ &= |f(e^{-t}i)|^2 + \int_{\mathbb{U}} |f'(z)|^2 dA(z). \end{aligned}$$

But $|f(e^{-t}i)|^2 + \int_{\mathbb{U}} |f'(z)|^2 dA(z) \neq \|f\|_{\mathcal{D}(\mathbb{U})}^2$. Thus C_{φ_t} fails to be an isometry on $\mathcal{D}(\mathbb{U})$. \square

Remark 2.2. Because of Theorem 2.1, the spectral analysis of the group $(C_{\varphi_t})_{t \in \mathbb{R}}$ gets complicated since the spectrum of $(C_{\varphi_t})_{t \in \mathbb{R}}$ cannot be exactly identified rendering the spectral mapping theorems for semigroups inapplicable. We therefore consider $\mathcal{D}_o(\mathbb{U})$, the subspace of $\mathcal{D}(\mathbb{U})$ consisting of functions vanishing at i , $f(i) = 0$, defined as $\mathcal{D}_o(\mathbb{U}) = \{f \in \mathcal{D}(\mathbb{U}) : f(i) = 0\}$ with the norm given as $\|f\|_{\mathcal{D}_o(\mathbb{U})}^2 = \int_{\mathbb{U}} |f'(\omega)|^2 dA(\omega)$.

However, $C_{\varphi_t} f(i) = f(e^{-t}i) \neq 0$, and so C_{φ_t} does not map $\mathcal{D}_o(\mathbb{U})$ into $\mathcal{D}_o(\mathbb{U})$ as expected for our semigroups. Therefore, we apply a correction factor and redefine C_{φ_t} as

$$\hat{C}_{\varphi_t} f(z) = f(e^{-t}z) - f(e^{-t}i). \quad (2.3)$$

Now $\hat{C}_{\varphi_t} f(i) = f(e^{-t}i) - f(e^{-t}i) = 0$ as desired so that indeed $\hat{C}_{\varphi_t} : \mathcal{D}_o(\mathbb{U}) \rightarrow \mathcal{D}_o(\mathbb{U})$.

Clearly, the functions $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$ form a group on $\mathcal{D}_o(\mathbb{U})$.

Proposition 2.3. *The operator \hat{C}_{φ_t} is an isometry on $\mathcal{D}_o(\mathbb{U})$.*

Proof. By norm definition,

$$\begin{aligned} \|\hat{C}_{\varphi_t} f\|_{\mathcal{D}_o(\mathbb{U})}^2 &= \int_{\mathbb{U}} |(\hat{C}_{\varphi_t} f)'(\omega)|^2 dA(\omega) \\ &= \int_{\mathbb{U}} |(f(e^{-t}\omega) - f(e^{-t}i))'|^2 dA(\omega) \\ &= \int_{\mathbb{U}} |e^{-t}f'(e^{-t}\omega)|^2 dA(\omega). \end{aligned} \quad (2.4)$$

By change of variables, we let $z = e^{-t}\omega$, then $\omega = e^t z$ and applying the Jacobian, $dA(z) = e^{-2t}dA(\omega)$, implying that $dA(\omega) = e^{2t}dA(z)$.

Substituting in (2.4),

$$\begin{aligned} \|\hat{C}_{\varphi_t} f\|_{\mathcal{D}_o(\mathbb{U})}^2 &= \int_{\mathbb{U}} e^{-2t}|f'(e^{-t}\omega)|^2 dA(\omega) \\ &= \int_{\mathbb{U}} e^{-2t}|f'(z)|^2 e^{2t} dA(z) \\ &= \int_{\mathbb{U}} |f'(z)|^2 dA(z) \\ &= \|f\|_{\mathcal{D}_o(\mathbb{U})}^2. \end{aligned}$$

This completes our proof. \square

Next, we prove that the operator \hat{C}_{φ_t} is strongly continuous on the Dirichlet space of the upper half plane $\mathcal{D}_o(\mathbb{U})$.

Proposition 2.4. *The operator \hat{C}_{φ_t} is strongly continuous on $\mathcal{D}_o(\mathbb{U})$.*

Proof. It is known that $\|\hat{C}_{\varphi_t} f\|_{\mathcal{D}_o(\mathbb{U})}^2 = \int_{\mathbb{U}} |f'(\omega)|^2 dA(\omega)$. To prove strong continuity of $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$, it suffices to show that $\lim_{t \rightarrow 0^+} \|\hat{C}_{\varphi_t} f - f\|_{\mathcal{D}_o(\mathbb{U})} = 0$ for all $f \in \mathcal{D}_o(\mathbb{U})$. That is to say that,

$\int_{\mathbb{U}} |(\hat{C}_{\varphi_t} f - f)'(\omega)|^2 dA(\omega) \rightarrow 0$ as $t \rightarrow 0^+$ which is equivalent to showing that $\lim_{t \rightarrow 0^+} \int_{\mathbb{U}} |(\hat{C}_{\varphi_t} f - f)'(\omega)|^2 dA(\omega) = 0$.

Let $f \in \mathcal{D}_o(\mathbb{U})$ and suppose that $t_n \rightarrow 0$ in \mathbb{R} . Let $f_n = \hat{C}_{\varphi_{t_n}} f$, then $f_n(z) \rightarrow f(z)$ on compact subsets of \mathbb{U} and $f'_n \rightarrow f'$ for each n .

Let $g_n(z) := 2(|f'|^2 + |f'_n|^2) - |f' - f'_n|^2$, then $g_n \geq 0$ and $g_n(z) \rightarrow 2^2 |f'(z)|^2$ on $\mathcal{D}_o(\mathbb{U})$ as $n \rightarrow \infty$.

By Fatou's lemma, we have

$$\begin{aligned} \int_{\mathbb{U}} 2^2 |f'(\omega)|^2 dA(\omega) &= \int_{\mathbb{U}} \liminf g_n dA(\omega) \\ &\leq \liminf \int_{\mathbb{U}} g_n dA(\omega) \\ &= \liminf \int_{\mathbb{U}} 2(|f'|^2 + |f'_n|^2) - |f' - f'_n|^2 dA(\omega) \\ &= 2 \int_{\mathbb{U}} |f'|^2 dA(\omega) + 2 \int_{\mathbb{U}} |f'_n|^2 dA(\omega) - \limsup_n \int_{\mathbb{U}} |f' - f'_n|^2 dA(\omega) \\ &= 2^2 \int_{\mathbb{U}} |f'|^2 dA(\omega) - \limsup_n \int_{\mathbb{U}} |f' - f'_n|^2 dA(\omega) \end{aligned}$$

Thus $0 \leq -\limsup_n \int_{\mathbb{U}} |f' - f'_n|^2 dA \leq 0$, implying that $\limsup_n \int_{\mathbb{U}} |f' - f'_n|^2 dA(\omega) = 0$. Hence $\lim_n \int_{\mathbb{U}} |f' - f'_n|^2 dA(\omega) = 0$, that is $\lim_n \int_{\mathbb{U}} \|\hat{C}_{\varphi_{t_n}} f - f\|_{\mathcal{D}_o(\mathbb{U})}^2 dA(\omega) = 0$.

Therefore, $\|\hat{C}_{\varphi_t} f - f\|_{\mathcal{D}_o(\mathbb{U})} \rightarrow 0$ as $t \rightarrow 0$, implying that \hat{C}_{φ_t} for $t \in \mathbb{R}$ is strongly continuous as desired. \square

We now obtain the infinitesimal generator Γ of $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$ and investigate some of its properties.

Proposition 2.5. *The infinitesimal generator Γ of $(\hat{C}_{\varphi_t})_{t \geq 0}$ is given by*

$$\Gamma f(\omega) = -\omega f'(\omega) + i f'(i),$$

with its domain $\text{dom}(\Gamma) = \{f \in \mathcal{D}_o(\mathbb{U}) : \omega f'(\omega) \in \mathcal{D}_o(\mathbb{U})\}$.

Proof. If $f \in \text{dom}(\Gamma)$ in $\mathcal{D}_o(\mathbb{U})$, then growth condition in (1.3) implies that for all $\omega \in \mathbb{U}$ and $f \in \mathcal{D}_o(\mathbb{U})$,

$$\begin{aligned} \Gamma f(\omega) &= \lim_{t \rightarrow 0^+} \frac{(f(e^{-t}\omega) - f(e^{-t}i)) - f(\omega)}{t} \\ &= \left. \frac{\partial}{\partial t} (f(e^{-t}\omega) - f(e^{-t}i)) \right|_{t=0} \\ &= -e^{-t} \omega f'(e^{-t}\omega) + i e^{-t} f'(e^{-t}i) \Big|_{t=0} \\ &= -\omega f'(\omega) + i f'(i). \end{aligned}$$

This shows that $\text{dom}(\Gamma) \subseteq \{f \in \mathcal{D}_o(\mathbb{U}) : \omega f'(\omega) \in \mathcal{D}_o(\mathbb{U})\}$. Conversely, let $f \in \mathcal{D}_o(\mathbb{U})$ such that $\omega f'(\omega) \in \mathcal{D}_o(\mathbb{U})$. Then for $\omega \in \mathbb{U}$, and by fundamental theorem of calculus, we have,

$$\begin{aligned} \hat{C}_{\varphi_t} f(\omega) - f(\omega) &= \int_0^t \frac{\partial}{\partial s} (f(e^{-s}\omega) - f(e^{-s}i)) ds \\ &= \int_0^t -e^{-s} \omega f'(e^{-s}\omega) + e^{-s} i f'(e^{-s}i) ds \\ &= \int_0^t e^{-s} (-\omega f'(\omega) + i f'(i)) ds \\ &= \int_0^t \hat{C}_{\varphi_s} F(\omega) ds, \end{aligned}$$

where $F(\omega) = -\omega f'(\omega) + i f'(i)$ is a function in $\mathcal{D}_o(\mathbb{U})$. Thus $\lim_{t \rightarrow 0} \frac{\hat{C}_{\varphi_t} f - f}{t} = \lim_{t \rightarrow 0} \frac{1}{t} \int_0^t C_{\varphi_s}(F) ds$ and strong continuity of $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$ implies that $\frac{1}{t} \int_0^t \|\hat{C}_{\varphi_s} F - F\| ds \rightarrow 0$ as $t \rightarrow 0$. Thus

$\text{dom}(\Gamma) \supseteq \{f \in \mathcal{D}_o(\mathbb{U}) : \omega f'(\omega) \in \mathcal{D}_o(\mathbb{U})\}$ completing the proof. \square

Lemma 2.6. A function $f \in \mathcal{D}_o(\mathbb{U})$ if and only if $f' \in L_a^2(\mathbb{U})$.

Proof. By definition of $\mathcal{D}_o(\mathbb{U})$, $f \in \mathcal{D}_o(\mathbb{U})$ if and only if

$$\|f\|_{\mathcal{D}_1(\mathbb{U})}^2 = \int_{\mathbb{U}} |f'(\omega)|^2 dA(\omega) < \infty,$$

Also, by definition of $L_a^2(\mathbb{U})$, (see equation (??)) $f' \in L_a^2(\mathbb{U})$ if and only if

$$\|f'\|_{L_a^2(\mathbb{U})}^2 = \int_{\mathbb{U}} |f'(\omega)|^2 dA(\omega) < \infty.$$

This implies that $f \in \mathcal{D}_o(\mathbb{U})$ if and only if $f' \in L_a^2(\mathbb{U})$, as desired. \square

Lemma 2.7 ([11]). Let X denote the space $L_a^p(\mathbb{U})$, $1 \leq p < \infty$. If $c \in \mathbb{R}$ and $\lambda, v \in \mathbb{C}$, then $f(\omega) = (\omega - c)^\lambda (\omega + i)^v \in X$ if and only if $\Re(\lambda + v) < -1 < \Re(\lambda)$. In particular, $(\omega - c)^\lambda \notin X$ for any $\lambda \in \mathbb{C}$, and $(\omega + i)^v \in X$ if and only if $\Re(v) < -1$.

Proposition 2.8. Let Γ be the infinitesimal generator of the group $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$, then $\sigma_p(\Gamma) = \emptyset$ and $\sigma(\Gamma) \subseteq i\mathbb{R}$.

Proof. Let λ be an eigenvalue of Γ and let f be a corresponding eigenvector. The eigenvalue equation $\Gamma(f) = \lambda f$ is equivalent to a first order differential equation

$$-zf'(z) + if'(i) = \lambda f(z).$$

Solving this differential equation using the integrating factor technique yields

$$f(z) = \frac{B}{\lambda} + Cz^{-\lambda},$$

where $B = if'(i)$ and C is an arbitrary constant.

It remains to find for which λ 's is $f \in \mathcal{D}_o(\mathbb{U})$ given that $f(z) = \frac{B}{\lambda} + Cz^{-\lambda}$.

But $f \in \mathcal{D}_o(\mathbb{U})$ if and only if $f' \in L_a^2(\mathbb{U})$. By differentiation, $f'(z) = -\lambda Cz^{-(\lambda+1)}$. It follows clearly from Lemma 2.7, that $f' \in L_a^2(\mathbb{U})$ if and only if $\Re(\lambda) < -1 < \Re(\lambda)$. No such λ exists and so $\sigma_p(\Gamma) = \emptyset$. Since (\hat{C}_{φ_t}) is an invertible isometry, $\sigma(\hat{C}_{\varphi_t}) \subseteq \partial\mathbb{D}$ and by spectral mapping theorem for semigroups, $e^{t\sigma(\Gamma)} \subseteq \sigma(\hat{C}_{\varphi_t})$. Thus

$$e^{t\sigma(\Gamma)} \subseteq \sigma(\hat{C}_{\varphi_t}) \subseteq \partial\mathbb{D}.$$

Let $\lambda \in \sigma(\Gamma)$, then

$$|e^{\lambda t}| = 1.$$

This shows that

$$\begin{aligned} e^{t\Re(\lambda)} = 1 &\Rightarrow t\Re(\lambda) = 0 \\ &\Rightarrow \Re(\lambda) = 0. \end{aligned}$$

So $\lambda \in i\mathbb{R}$ implying that $\sigma(\Gamma) \subseteq i\mathbb{R}$. \square

3. Cesàro-Type Operator on the Dirichlet Space of \mathbb{U}

We determine the resolvent of the infinitesimal generator as an integral operator of the Cesàro-type and then determine the point spectrum, spectrum and spectral radius as well as the norm of the operator on the Dirichlet space. Since $\sigma(\Gamma) \subseteq i\mathbb{R}$, we can consider the point $\lambda = 1$ in the resolvent set, $\rho(\Gamma)$, and then obtain the corresponding resolvent operator given by the Laplace transform.

Theorem 3.1. Let Γ be the infinitesimal generator of $(\hat{C}_{\varphi_t})_{t \in \mathbb{R}}$, then the following hold:

(a) The resolvent operator $\mathcal{C} = R(1, \Gamma)$ on $\mathcal{D}_o(\mathbb{U})$ is given by

$$\mathcal{C}h(z) = R(1, \Gamma)h(z) = \frac{1}{z} \int_0^z \left(h(\omega) - h\left(\frac{\omega}{z}i\right) \right) d\omega. \quad (3.1)$$

The operator \mathcal{C} is a Cesàro-type operator.

$$(b) \sigma(\mathcal{C}) \subseteq \left\{ \omega : \left| \omega - \frac{1}{2} \right| = \frac{1}{2} \right\}.$$

$$(c) \|\mathcal{C}\| \leq 1.$$

$$(d) r(\mathcal{C}) \leq 1.$$

Proof. To prove (a), we consider a point $\lambda \notin i\mathbb{R}$. Then $\lambda \in \rho(\Gamma)$ since $\sigma(\Gamma) \subseteq i\mathbb{R}$. The resolvent operator, $R(\lambda, \Gamma)$, is therefore given by the Laplace transform,

$$R(\lambda, \Gamma)h = \int_0^\infty e^{-\lambda t} \hat{C}_\varphi h dt \text{ with convergence in norm.}$$

Now

$$R(\lambda, \Gamma)h(z) = \int_0^\infty e^{-\lambda t} (h(e^{-t}z) - h(e^{-t}i)) dt.$$

By change of variables, we let $\omega = e^{-t}z$. Then $e^{-t} = \frac{\omega}{z}$, $d\omega = -e^{-t}z dt$, $t = 0 \Rightarrow \omega = z$; $t = \infty \Rightarrow \omega = 0$.

Therefore

$$\begin{aligned} R(\lambda, \Gamma)h(z) &= \int_z^0 \left(\frac{\omega}{z}\right)^\lambda (h(\omega) - h\left(\frac{\omega}{z}i\right)) \frac{-1}{\omega} d\omega \\ &= \int_0^z \left(\frac{\omega}{z}\right)^\lambda \left(h(\omega) - h\left(\frac{\omega}{z}i\right)\right) \frac{1}{\omega} d\omega. \end{aligned}$$

Taking $\lambda = 1$, we obtain

$$R(1, \Gamma)h(z) = \frac{1}{z} \int_0^z \left(h(\omega) - h\left(\frac{\omega}{z}i\right)\right) d\omega,$$

which is a Cesàro-type operator of difference of two Cesàro operators.

To prove (b), we apply the spectral mapping theorem for the resolvents which asserts that

$$\sigma(R(\lambda, \Gamma)) \setminus \{0\} = (\lambda - \sigma(\Gamma))^{-1} = \left\{ \frac{1}{\lambda - \mu} : \mu \in \sigma(\Gamma) \right\}. \quad (3.2)$$

Thus,

$$\sigma(R(1, \Gamma)) \setminus \{0\} \subseteq \left\{ \frac{1}{1 - ir} : r \in \mathbb{R} \right\}. \quad (3.3)$$

Rationalizing the denominator and simplifying we get,

$$\frac{1}{1 - ir} = \frac{1 + ir}{1 + r^2}.$$

Letting $\omega = \frac{1+ir}{1+r^2}$ and subtracting $\frac{1}{2}$, we get

$$\omega - \frac{1}{2} = \frac{-r+i}{2(r+i)}.$$

Getting the magnitude on both sides of the equation and simplifying, we get $\left| \omega - \frac{1}{2} \right| = \frac{1}{2}$ and therefore

$$\sigma(\mathcal{C}) \subseteq \left\{ \omega : \left| \omega - \frac{1}{2} \right| = \frac{1}{2} \right\}.$$

For (c), we apply the Hille Yosida theorem,

$$\|R(1, \Gamma)\| \leq 1,$$

implying that

$$\|\mathcal{C}\| \leq 1. \quad (3.4)$$

For (d), we use (3.4) and the fact that $r(\mathcal{C}) \leq \|\mathcal{C}\| \leq 1$. Clearly,

$$r(\mathcal{C}) \leq 1.$$

This completes our proof. □

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The Fractional Integral Inequalities Involving Kober and Saigo–Maeda Operators

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Abstract

This work uses the Marichev-Saigo-Maeda (MSM) fractional integral operator to achieve certain special fractional integral inequalities for synchronous functions. Compared to the previously mentioned classical inequalities, the inequalities reported in this study are more widespread. We also looked at several unique instances of these inequalities involving the fractional operators of the Saigo, Erdelyi, and Kober, and Riemann-Liouville types.

Keywords: Fractional Integral Inequalities, Saigo–Maeda Operators, Synchronous functions

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1. Introduction

Over the past forty years, the idea of fractional calculus has grown significantly in popularity and significance. This is because it has distinguished uses in so many different branches of engineering and research. Mathematicians also use the operators of the fractional calculus to break down the classical special functions into some more fundamental, well-known special or elementary functions. This approach was followed by Samko et al. [1], and Kiryakova [2]. Following the ideas of Lavoie, Osler and Tremblay [3], Kiryakova [4, 5] further demonstrated that almost all the special functions of mathematical physics, can be represented as (generalized) fractional integrals or derivatives of the three elementary functions. Relations of this kind also provide some alternative definitions for the special functions by means of Poisson type and Euler type integral representations and Rodrigues type differential formulas. Mariusz Ciesielski [6] studied the fractional eigenvalue problem by a numerical method when the fractional Sturm-Liouville equation is subjected to the mixed boundary conditions. The non-integer order differential equation is discretized to the scheme with the symmetric matrix representing the action of the numerically expressed composition of the left and the right Caputo derivatives. Kiryakova [7] pointed out few basic classical results, combined with author's ideas and developments, that show how one can do the task at once, in the rather general case: for both operators of generalized fractional calculus and generalized hypergeometric functions. Thus, great part of the results are well predicted and fall just as special cases of the discussed general scheme. Saigo et al. [8] demonstrated fractional calculus operator associated with the H-function. Jahanshahi S. [9] introduced an algorithm for computing fractional integrals

and derivatives and applied it for solving problems of the calculus of variations of fractional order.

Fractional integral inequalities (FIIs) and its applications have received considerable attention from researchers and mathematicians during the past few decades. Recent research uses a variety of fractional integral operators and focuses on different forms of Fractional integral inequalities (FIIs). (see, e.g., [10]-[16], [21]). Here the authors have established various types of inequalities and some other results by utilizing the Saigo–Maeda fractional integral operator.

Recently, Purohit and Raina [16] used Saigo fractional integral operators to investigate several integral inequalities of the Chebyshev type and established the q-extensions of the major discoveries. In this paper, a few generalised integral inequalities for synchronous functions connected to the Chebyshev functional are shown using the fractional hypergeometric operator developed by Curiel and Galue [17]. The results attributed to Purohit and Raina [16] and Belarbi and Dahmani [18] are shown below as particular cases of our findings.

2. Preliminaries

Definition: On $[a,b]$, the two functions f and g are synchronous. if

$$\left(f(x) - f(y) \right) \left(g(x) - g(y) \right) \geq 0, \text{ for any } x, y \in [a, b] \tag{2.1}$$

Riemann-Liouville fractional integral operator:

Joseph Liouville (1832) introduced the Riemann Liouville integral operator which included the definition given by Bernhard Riemann [15]. It is first significant definition which fulfilled almost all the requirements of a fractional calculus operator. Named in honour of Riemann and Liouville, this operator is defined as

$${}_a I_x^\alpha f(x) = {}_a D_x^{-\alpha} f(x) = \frac{1}{\Gamma(\alpha)} \int_a^x (x-t)^{\alpha-1} f(t) dt \tag{2.2}$$

where a is arbitrary but fixed point.

The Riemann-Liouville operator [15] has its importance in physical science where it exists in the theory of linear ordinary differential equations.

Weyl Fractional Integral Operator:

The Weyl fractional integral operator [1] is defined as:

$${}_x W_\infty^\alpha f(x) = \frac{1}{\Gamma(\alpha)} \int_x^\infty (t-x)^{\alpha-1} f(t) dt; \quad -\infty < x < \infty. \tag{2.3}$$

and

$${}_{-\infty} W_x^\alpha f(x) = \frac{1}{\Gamma(\alpha)} \int_{-\infty}^x (x-t)^{\alpha-1} f(t) dt; \quad -\infty < x < \infty. \tag{2.4}$$

Generalizing both the Riemann-Liouville operator [15] and the Weyl-operator [1], Oldham and Spanier [19] defined the familiar differ-integral operator ${}_a D_x^\alpha$ as follows:

$$\begin{aligned} {}_a D_x^\alpha &= \frac{1}{\Gamma(-\alpha)} \int_a^x (x-t)^{-\alpha-1} f(t) dt; \quad Re(\alpha) < 0 \\ &= \frac{d^m}{dx^m} {}_a D_x^{\alpha-m} f(x); \quad 0 < Re(\alpha) < a \end{aligned} \tag{2.5}$$

here ‘ m ’ is positive integer, α is complex and $Re(\alpha) > 0$.

Erdelyi-Kober Operators:

Erdelyi and Hermann (1940) [20] introduced the fractional integral operators namely Erdelyi-Kober operators. These operators are defined as follows:

$$E_{0,x}^{\alpha,\eta} f(x) = I_{0,x}^{\alpha,0,\eta} f(x) = \frac{x^{-\alpha-\eta}}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} t^\eta f(t) dt, \quad Re(\alpha) > 0. \tag{2.6}$$

and

$$K_{x,\infty}^{\alpha,\eta} f(x) = J_{x,\infty}^{\alpha,0,\eta} f(x) = \frac{x^\eta}{\Gamma(\alpha)} \int_x^\infty (t-x)^{\alpha-1} t^{-\alpha-\eta} f(t) dt, \quad \text{Re}(\alpha) > 0. \tag{2.7}$$

when $\eta = 0$, [21] reduces to Riemann-Liouville operator, that is

$$I_x^{0,\alpha} f(x) = x_0^{-\alpha} I_x^\alpha f(x). \tag{2.8}$$

and for $\eta = 0$, [16] reduces to Weyl operator, that is

$$K_x^{0,\alpha} f(x) = x_0^{-\alpha} W_x^\alpha f(x). \tag{2.9}$$

The Saigo’s Operator:

Saigo [22] introduced this operator after studying the Euler-Darboux equation [23], which is a partial differential equation with boundary conditions.

For real numbers $\alpha > 0, \beta$ and η the Saigo operator, involving hypergeometric function is defined as [22]:

$$I_{0,x}^{\alpha,\beta,\eta} f(x) = \frac{x^{-\alpha-\beta}}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} {}_2F_1(\alpha+\beta, \eta; \alpha; 1-\frac{t}{x}) f(t) dt$$

$$\left(I_{0+}^{\alpha,\beta,\eta} f \right) (x) = \left(\frac{d}{dx} \right)^k \left(I_{0+}^{\alpha+k,\beta-k,\eta-k} f \right) (x)$$

For $\text{Re}(\alpha) \leq 0, k = [-\text{Re}(\alpha) + 1]$, it takes the form

$$J_{x,\infty}^{\alpha,\beta,\eta} f(x) = \frac{1}{\Gamma(\alpha)} \int_x^\infty (t-x)^{\alpha-1} t_2^{-\alpha-\beta} {}_2F_1(\alpha+\beta, -\eta; \alpha; 1-\frac{x}{t}) f(t) dt, \quad \text{Re}(\alpha) > 0. \tag{2.10}$$

$$\left(I_{0-}^{\alpha,\beta,\eta} f \right) (x) = \left(\frac{-d}{dx} \right)^k \left(I_{0-}^{\alpha+k,\beta-k,\eta-k} f \right) (x), \quad \text{Re}(\alpha) \leq 0, k = [-\text{Re}(\alpha) + 1] \tag{2.11}$$

$$\left(D_{0+}^{\alpha,\beta,\eta} f \right) (x) = \left(I_{0+}^{-\alpha,-\beta,\alpha+\eta} f \right) (x) = \left(\frac{d}{dx} \right)^k \left(I_{0+}^{-\alpha+k,-\beta-k,\alpha+\eta-k} f \right) (x) \tag{2.12}$$

$\text{Re}(\alpha) > 0, k = [\text{Re}(\alpha) + 1]$

$$\left(D_{0-}^{\alpha,\beta,\eta} f \right) (x) = \left(I_{0-}^{-\alpha,-\beta,\alpha+\eta} f \right) (x) = \left(\frac{-d}{dx} \right)^k \left(I_{0-}^{-\alpha+k,-\beta-k,\alpha+\eta-k} f \right) (x) \tag{2.13}$$

$\text{Re}(\alpha) > 0, k = [\text{Re}(\alpha) + 1]$

The Saigo-Maeda Operator:

In 1996 Saigo-Maeda [23] extended the fractional integral operators defined by Saigo [22]. The generalized fractional integral operators are defined as:

$$I_{0,x}^{\mu,\mu',v,v',\eta} f(x) = \frac{x^{-\mu}}{\Gamma(\eta)} \int_0^x (x-t)^{\eta-1} t^{-\mu'} {}_3F_3(\mu, \mu', v, v'; \eta; 1-\frac{t}{x}; 1-\frac{x}{t}) f(t) dt \tag{2.14}$$

where $\mu, \mu' > 0, \text{Re}(\eta) > 0, v, v'$ are real number.

$$\left(I_{0+}^{\mu,\mu',v,v',\eta} f \right) (x) = \left(\frac{d}{dx} \right)^k \left(I_{0+}^{\mu,\mu',v+k,v',\eta+k} f \right) (x) \tag{2.15}$$

$\text{Re}(\eta) > 0, k = [-\text{Re}(\eta) + 1]$

$$I_{x,\infty}^{\mu,\mu',v,v',\eta} f(x) = \frac{x^{-\mu}}{\Gamma(\eta)} \int_x^\infty (t-x)^{\eta-1} t^{-\mu'} {}_3F_3(\mu, \mu', v, v'; \eta; 1-\frac{x}{t}; 1-\frac{t}{x}) f(t) dt, \tag{2.16}$$

where $\mu, \mu' > 0, Re(\eta) > 0, v, v'$ are real number.

$$\left(I_{0-}^{\mu, \mu', v, v', \eta} f \right) (x) = \left(\frac{-d}{dx} \right)^k \left(I_{0-}^{\mu, \mu', v, v'+k, \eta+k} f \right) (x) \tag{2.17}$$

where $Re(\eta) \leq 0, k = [-Re(\eta) + 1]$

$$\left(D_{0+}^{\mu, \mu', v, v', \eta} f \right) (x) = \left(I_{0+}^{-\mu', -\mu, -v', -v, \eta} f \right) (x) = \left(I_{0+}^{-\mu', -\mu, -v'+k, -v, \eta+k} f \right) (x) \tag{2.18}$$

where $R(\eta) > 0, k = [Re(\eta) + 1]$

$$\left(D_{0-}^{\mu, \mu', v, v', \eta} f \right) (x) = \left(I_{0-}^{-\mu', -\mu, -v', -v, \eta} f \right) (x) = \left(\frac{-d}{dx} \right)^k \left(I_{0+}^{-\mu', -\mu, -v', -v+k, -\eta+k} f \right) (x) \tag{2.19}$$

where $\mu, \mu', v, v', \eta \in C, (R(\eta) > 0)$ and $x > 0, Re(\eta) > 0, k = [Re(\eta) + 1]$ and $F_3(\cdot)$ is Appell's function.

3. Main Results

Theorem 3.1. Assume u and v are two positive integrable and synchronous mappings on $[0, \infty]$. Suppose there exists four positive integrable mappings m_1, m_2, n_1 and n_2 such that:

$$0 < m_1(t) \leq u(t) \leq m_2(t), \text{ and } 0 < n_1(t) \leq v(t) \leq n_2(t) \quad (t \in [0, x], x > 0) \tag{3.1}$$

then the following inequality holds true:

$$K_{0,y}^{a,b} \{n_1 n_2 u^2\} x \times K_{0,y}^{a,b} \{m_1 m_2 v^2\} x \leq \frac{1}{4} \left(K_{0,y}^{a,b} \{(m_1 n_1 + m_2 n_2) uv\} \right)^2. \tag{3.2}$$

Proof: By using the relations that are given in (3.1), for $t \in [0, x]$, for all $x > 0$, we can easily have:

$$\left(\frac{m_2(t)}{n_1(t)} - \frac{u(t)}{v(t)} \right) \geq 0. \tag{3.3}$$

$$\left(\frac{u(t)}{v(t)} - \frac{m_1(t)}{n_2(t)} \right) \geq 0. \tag{3.4}$$

On multiplying equations (3.3) and (3.4) we get,

$$\left(m_1(t)n_1(t) + m_2(t)n_2(t) \right) u(t)v(t) \geq n_1(t)n_2(t)u^2(t) + m_1(t)m_2(t)v^2(t). \tag{3.5}$$

Consider the following function $F(x, t)$ defined by:

$$F(x, t) = \frac{y^{-a-b}}{\Gamma(a)} t^b (y-t)^{a-1} \tag{3.6}$$

Then multiplying both sides of (3.5) by $F(y, t)$ and integrate w.r.t to t from 0 to x and using definition (2.6)

$$E_{0,x}^{a,b} \{(m_1 n_1 + m_2 n_2) uv\} x \geq E_{0,x}^{a,b} \{n_1 n_2 u^2\} x + E_{0,x}^{a,b} \{m_1 m_2 v^2\} x.$$

Using A.M-G.M inequality , we get

$$E_{0,x}^{a,b} \{(m_1 n_1 + m_2 n_2) uv\} x \geq 2 \sqrt{E_{0,x}^{a,b} \{n_1 n_2 u^2\} x \times E_{0,x}^{a,b} \{m_1 m_2 v^2\} x.}$$

Further on simplifying above equation, we get

$$E_{0,y}^{a,b}\{n_1n_2u^2\}x \times E_{0,y}^{a,b}\{m_1m_2v^2\}x \leq \frac{1}{4} \left(E_{0,y}^{a,b}\{(m_1n_1 + m_2n_2)uv\} \right)^2.$$

This complete proof of the theorem.

Theorem 3.2. Assume u and v are two positive integrable and synchronous mappings on $[0, \infty]$. Suppose there exists four positive integrable mappings m_1, m_2, n_1 and n_2 such that:

$$0 < m_1(t) \leq u(t) \leq m_2(t), \quad \text{and} \quad 0 < n_1(t) \leq v(t) \leq n_2(t) \quad (t \in [0, x], x > 0) \tag{3.7}$$

then the following inequality holds true:

$$I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{n_1n_2u^2\}x \times I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{m_1m_2v^2\}x \leq \frac{1}{4} \left(I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{(m_1n_1 + m_2n_2)uv\} \right)^2. \tag{3.8}$$

Proof: By using the relations that are given in (3.7), for $t \in [0, x], \forall x > 0$, we can easily have:

$$\left(\frac{m_2(t)}{n_1(t)} - \frac{u(t)}{v(t)} \right) \geq 0. \tag{3.9}$$

$$\left(\frac{u(t)}{v(t)} - \frac{m_1(t)}{n_2(t)} \right) \geq 0. \tag{3.10}$$

On multiplying equations (3.9) and (3.10) we get,

$$\left(m_1(t)n_1(t) + m_2(t)n_2(t) \right) u(t)v(t) \geq n_1(t)n_2(t)u^2(t) + m_1(t)m_2(t)v^2(t). \tag{3.11}$$

Consider the following function $F(x, t)$ defined by:

$$F(x, t) = \frac{x^{-\alpha}}{\Gamma(\gamma)} (x-t)^{\gamma-1} F_3 \left(\alpha, \alpha', \beta, \beta'; \gamma; 1 - \frac{x}{t}, 1 - \frac{t}{x} \right). \tag{3.12}$$

Then multiplying both sides of (3.11) by $F(x, t)$ and integrate w.r.t to t from 0 to x and using definition (2.14)

$$I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{(m_1n_1 + m_2n_2)uv\}x \geq I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{n_1n_2u^2\}x + I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{m_1m_2v^2\}x. \tag{3.13}$$

Using A.M-G.M inequality, that is

$$\frac{(a+b)}{2} \geq \sqrt{ab}, \quad a, b \in R$$

we get

$$I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{(m_1n_1 + m_2n_2)uv\}x \geq 2\sqrt{I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{n_1n_2u^2\}x \times I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{m_1m_2v^2\}x}.$$

Further on simplifying above equation, we get

$$I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{n_1n_2u^2\}x \times I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{m_1m_2v^2\}x \leq \frac{1}{4} \left(I_{0+}^{\alpha,\alpha',\beta,\beta',\gamma}\{(m_1n_1 + m_2n_2)uv\} \right)^2.$$

This completes the proof.

4. Special Cases

In this section, we discuss some of the important special cases of the main results established above.

Corollary (1): If we take $\beta' = \gamma = 0$ in the theorems (3.2) we get well known results based on Saigo type fractional operator reported in [24], which is as follows:

$$I_{0+}^{\alpha, \alpha', \beta} \{n_1 n_2 u^2\}_x \times I_{0+}^{\alpha, \alpha', \beta} \{m_1 m_2 v^2\}_x \leq \frac{1}{4} \left(I_{0+}^{\alpha, \alpha', \beta} \{(m_1 n_1 + m_2 n_2) uv\} \right)^2 \quad (4.1)$$

Corollary (2): If we take, $\alpha' = 0$ in the Corollary (1), we get well known results based on Erdelyi-Kober type fractional operator reported in [11].

$$K_{0+}^{\alpha, \beta} \{n_1 n_2 u^2\}_x \times K_{0+}^{\alpha, \beta} \{m_1 m_2 v^2\}_x \leq \frac{1}{4} \left(K_{0+}^{\alpha, \beta} \{(m_1 n_1 + m_2 n_2) uv\} \right)^2 \quad (4.2)$$

Corollary (3): If we take, $\beta = 0$ in the Corollary (2), we get well known results based on Riemann-Liouville type fractional operator reported in [24].

$$R_{0+}^{\alpha} \{n_1 n_2 u^2\}_x \times R_{0+}^{\alpha} \{m_1 m_2 v^2\}_x \leq \frac{1}{4} \left(R_{0+}^{\alpha} \{(m_1 n_1 + m_2 n_2) uv\} \right)^2 \quad (4.3)$$

5. Results and Discussions

We conclude our investigation by stating that the findings presented in this paper are all original and significant. First, using a Saigo-Maeda type fractional integral operator, we have created a number of inequalities and generated a number of special cases for the operators namely Saigo type fractional operator, Erdelyi - Kober type fractional operator and Riemann-Liouville type fractional operator.

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Ambarzumyan-Type Theorem for a Conformable Fractional Diffusion Operator

Yaşar Çakmak

Abstract

In this paper, we prove an Ambarzumyan-type theorem for a Conformable fractional diffusion operator, i.e. we show that $q(x)$ and $p(x)$ functions are zero if the eigenvalues are the same as the eigenvalues of zero potentials.

Keywords: Ambarzumyan-type theorem, Conformable fractional derivative, Diffusion operator, Inverse problem

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1. Introduction

Inverse problems of spectral analysis consist of recovering the coefficients of an operator from their spectral characteristics. Such problems often appear in mathematics, mathematical physics, mechanics, and other branches of natural sciences.

The first result in inverse spectral problems for the Sturm-Liouville operator has been obtained by Ambarzumyan in 1929 (see [1]). He considered the boundary value problem

$$\begin{cases} -y'' + q(x)y = \lambda y, & 0 < x < \pi \\ y'(0) = y'(\pi) = 0 \end{cases} \quad (1.1)$$

and proved that if the eigenvalues of (1.1) are $\lambda_n = n^2$, $n \geq 0$, then $q(x) \equiv 0$ a.e. on $(0, \pi)$.

Until now, some Ambarzumyan-type theorems for the Sturm-Liouville, Dirac and diffusion operators including classical derivatives have been studied by many authors (see [2]-[17]). Particularly, in [15], by considering a quadratic Sturm-Liouville operator called the diffusion operator, it is shown that $q(x)$ and $p(x)$ functions are zero if the spectrum is the same as the spectrum of zero potential.

In 2014, Khalil et al. gave a new fractional derivative definition called conformable derivative that extends the well-known limit definition of the classical derivative (see [18]). The conformable fractional derivative has some advantages over fractional derivatives. For instance, while some properties such as the derivative of the product of two functions, the derivative of the quotient of two functions, and the chain rule are not satisfied in all fractional derivatives, these properties are satisfied in the conformable fractional derivative. The basic properties and main results of this derivative were developed in the works in [19]-[24].

In recent years, the direct and inverse problems for the various operators which include conformable fractional derivative have been studied (see [25]-[33]). These problems appear in various branches of applied sciences (see [34]-[38]). In the current

literature, there are no results related to the Ambarzumyan-type theorem for a diffusion operator with the conformable fractional derivative.

We consider a conformable fractional diffusion operator (CFDO) with Neumann boundary conditions. The operator $L_\alpha = L_\alpha(p(x), q(x))$ is the form

$$\begin{cases} -T_x^\alpha T_x^\alpha y + [2\lambda p(x) + q(x)]y = \lambda^2 y, & 0 < x < \pi \\ T_x^\alpha y(0) = T_x^\alpha y(\pi) = 0 \end{cases} \tag{1.2}$$

where λ is the spectral parameter, $\alpha \in (0, 1]$, $q(x) \in W_{2,\alpha}^1[0, \pi]$, $p(x) \in W_{2,\alpha}^2[0, \pi]$ are real-valued functions and $T_x^\alpha y$ is a conformable fractional derivative of order α of y at x .

The goal of this paper is to prove an Ambarzumyan-type theorem for the operator L_α . The result obtained can be considered as a partial α -generalization of the result given in [15].

2. Preliminaries

Definition 2.1. Let $f : [0, \infty) \rightarrow \mathbb{R}$ be a given function. Then, the conformable fractional derivative of f of order α with respect to x is defined by

$$T_x^\alpha f(x) = \lim_{h \rightarrow 0} \frac{f(x + hx^{1-\alpha}) - f(x)}{h}, \quad T_x^\alpha f(0) = \lim_{x \rightarrow 0^+} T_x^\alpha f(x),$$

for all $x > 0$, $\alpha \in (0, 1]$. If f is differentiable that is $f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}$, then, $T_x^\alpha f(x) = x^{1-\alpha} f'(x)$.

We note that more detailed knowledge about conformable fractional calculus can be seen in [18]-[24].

Let $\varphi(x, \lambda; \alpha)$ be the solution of equation (1.2) satisfying the initial conditions

$$\varphi(0, \lambda; \alpha) = 1, \quad T_x^\alpha \varphi(0, \lambda; \alpha) = 0.$$

From [32], this solution can be shown with the α -integral representation for $h = H = 0$ as

$$\varphi(x, \lambda; \alpha) = \cos\left(\lambda \frac{x^\alpha}{\alpha} - \theta(x)\right) + \int_0^x A\left(x, \frac{t^\alpha}{\alpha}\right) \cos \lambda \frac{t^\alpha}{\alpha} d_\alpha t + \int_0^x B\left(x, \frac{t^\alpha}{\alpha}\right) \sin \lambda \frac{t^\alpha}{\alpha} d_\alpha t, \tag{2.1}$$

where the functions $A\left(x, \frac{t^\alpha}{\alpha}\right)$ and $B\left(x, \frac{t^\alpha}{\alpha}\right)$ satisfy the following system

$$\begin{cases} T_x^\alpha T_x^\alpha A\left(x, \frac{t^\alpha}{\alpha}\right) - q(x)A\left(x, \frac{t^\alpha}{\alpha}\right) - 2p(x)T_t^\alpha B\left(x, \frac{t^\alpha}{\alpha}\right) = T_t^\alpha T_t^\alpha A\left(x, \frac{t^\alpha}{\alpha}\right) \\ T_x^\alpha T_x^\alpha B\left(x, \frac{t^\alpha}{\alpha}\right) - q(x)B\left(x, \frac{t^\alpha}{\alpha}\right) + 2p(x)T_t^\alpha A\left(x, \frac{t^\alpha}{\alpha}\right) = T_t^\alpha T_t^\alpha B\left(x, \frac{t^\alpha}{\alpha}\right). \end{cases}$$

Besides, the following relations are provided:

$$B(x, 0) = 0, \quad T_t^\alpha A\left(x, \frac{t^\alpha}{\alpha}\right) \Big|_{t=0} = 0,$$

$$\theta(x) = \int_0^x p(t) d_\alpha t,$$

$$A(0, 0) = 0,$$

$$A\left(x, \frac{x^\alpha}{\alpha}\right) \cos \theta(x) + B\left(x, \frac{x^\alpha}{\alpha}\right) \sin \theta(x) = \frac{1}{2} \int_0^x (q(t) + p^2(t)) d_\alpha t,$$

$$\theta(x) = p(0) \frac{x^\alpha}{\alpha} + 2 \int_0^x \left[A\left(s, \frac{s^\alpha}{\alpha}\right) \sin \theta(s) - B\left(s, \frac{s^\alpha}{\alpha}\right) \cos \theta(s) \right] d_\alpha s.$$

The function

$$\Delta_\alpha(\lambda) = T_x^\alpha \varphi(\pi, \lambda; \alpha) \tag{2.2}$$

is entire function in λ and is called as the characteristic function of operator L_α . It is well-known that the roots of $\Delta_\alpha(\lambda) = 0$ are coincide with the eigenvalues of operator L_α .

From (2.1) and (2.2), we have easily that

$$\begin{aligned} \Delta_\alpha(\lambda) = & -(\lambda - p(\pi)) \sin\left(\lambda \frac{\pi^\alpha}{\alpha} - \theta(\pi)\right) + A\left(\pi, \frac{\pi^\alpha}{\alpha}\right) \cos \lambda \frac{\pi^\alpha}{\alpha} + B\left(\pi, \frac{\pi^\alpha}{\alpha}\right) \sin \lambda \frac{\pi^\alpha}{\alpha} \\ & + \int_0^\pi \left(T_x^\alpha A\left(\pi, \frac{t^\alpha}{\alpha}\right)\right) \cos \lambda \frac{t^\alpha}{\alpha} d_\alpha t + \int_0^\pi \left(T_x^\alpha B\left(\pi, \frac{t^\alpha}{\alpha}\right)\right) \sin \lambda \frac{t^\alpha}{\alpha} d_\alpha t. \end{aligned} \tag{2.3}$$

With the help of (2.3), the following theorem for the eigenvalues λ_n of operator L_α can be proved as in [32]:

Theorem 2.2. *The operator L_α has a countable set of eigenvalues $\{\lambda_n\}$ and the following asymptotic formula holds:*

$$\lambda_n = \frac{n\alpha}{\pi^{\alpha-1}} + c_{\alpha,0} + \frac{c_{\alpha,1}}{n} + o\left(\frac{1}{n}\right), \quad |n| \rightarrow \infty, \tag{2.4}$$

where

$$c_{\alpha,0} = \frac{\alpha}{\pi^\alpha} \int_0^\pi p(x) d_\alpha x, \quad c_{\alpha,1} = \frac{1}{2\pi} \int_0^\pi (q(x) + p^2(x)) d_\alpha x.$$

3. Main Result

In this section, we prove an Ambarzumyan-type theorem for the operator L_α , i.e. we show that $q(x)$ and $p(x)$ functions are zero if the eigenvalues are the same as the eigenvalues of zero potentials.

Theorem 3.1. *If the eigenvalues of the operator L_α are $\lambda_n = \frac{n\alpha}{\pi^{\alpha-1}}, n \in \mathbb{Z}$, then for each fixed α , $q(x) = 0, p(x) = 0$ a.e. on $(0, \pi)$ and $\theta(\pi) = 0$.*

Proof. It follows from (2.4) that for each fixed α , $c_{\alpha,0} = 0, c_{\alpha,1} = 0$, i.e.

$$\int_0^\pi p(x) d_\alpha x = 0 = \theta(\pi)$$

and

$$\int_0^\pi q(x) d_\alpha x = - \int_0^\pi p^2(x) d_\alpha x. \tag{3.1}$$

Let $y_0(x; \alpha) = y(x, 0; \alpha)$ be an eigenfunction corresponding to the eigenvalue $\lambda_0 = 0$ of the operator L_α . Then we can write

$$\begin{cases} -T_x^\alpha T_x^\alpha y_0(x; \alpha) + q(x)y_0(x; \alpha) = 0, & 0 < x < \pi \\ T_x^\alpha y_0(0; \alpha) = 0, T_x^\alpha y_0(\pi; \alpha) = 0 \end{cases}. \tag{3.2}$$

It is clear that $y_0(0; \alpha) \neq 0$ and $y_0(\pi; \alpha) \neq 0$. Otherwise, $y_0(0; \alpha) = T_x^\alpha y_0(0; \alpha) = 0$ or $y_0(\pi; \alpha) = T_x^\alpha y_0(\pi; \alpha) = 0$. In both cases, we get $y_0(x; \alpha) = 0$ through the uniqueness of the solution of an initial value problem, which contradicts the fact that $y_0(x; \alpha)$ is an eigenfunction.

Taking into account the relation

$$\frac{T_x^\alpha T_x^\alpha y_0(x; \alpha)}{y_0(x; \alpha)} = T_x^\alpha \left(\frac{T_x^\alpha y_0(x; \alpha)}{y_0(x; \alpha)} \right) + \left(\frac{T_x^\alpha y_0(x; \alpha)}{y_0(x; \alpha)} \right)^2,$$

we obtain from (3.2) that

$$T_x^\alpha \left(\frac{T_x^\alpha y_0(x; \alpha)}{y_0(x; \alpha)} \right) + \left(\frac{T_x^\alpha y_0(x; \alpha)}{y_0(x; \alpha)} \right)^2 = q(x).$$

By α -integrating of both sides of the above equation from 0 to π

$$\int_0^{\pi} T_x^{\alpha} \left(\frac{T_x^{\alpha} y_0(x; \alpha)}{y_0(x; \alpha)} \right) d_{\alpha}x + \int_0^{\pi} \left(\frac{T_x^{\alpha} y_0(x; \alpha)}{y_0(x; \alpha)} \right)^2 d_{\alpha}x = \int_0^{\pi} q(x) d_{\alpha}x$$

is obtained. From (3.1) and (3.2), we get

$$\left. \frac{T_x^{\alpha} y_0(x; \alpha)}{y_0(x; \alpha)} \right|_0^{\pi} + \int_0^{\pi} \left(\frac{T_x^{\alpha} y_0(x; \alpha)}{y_0(x; \alpha)} \right)^2 d_{\alpha}x = - \int_0^{\pi} p^2(x) d_{\alpha}x$$

or

$$\int_0^{\pi} \left[\left(\frac{T_x^{\alpha} y_0(x; \alpha)}{y_0(x; \alpha)} \right)^2 + p^2(x) \right] d_{\alpha}x = 0.$$

Thus, for each fixed α , $(T_x^{\alpha} y_0(x; \alpha))^2 + p^2(x) y_0^2(x; \alpha) \equiv 0$, i.e. $p(x) = 0$ a.e. on $(0, \pi)$ and $y_0(x; \alpha) \equiv k$, where $0 \neq k - \text{const}$. Hence, it is concluded from (3.2) that

$$-T_x^{\alpha} T_x^{\alpha} k + q(x)k = 0$$

then $q(x) = 0$ a.e. on $(0, \pi)$. Therefore, the theorem is completed. \square

4. Conclusion

As known, the inverse problems of spectral analysis consist in recovering operators from their spectral characteristics, and the first result in this direction belongs to Ambarzumyan for the Sturm-Liouville operator. Until today, many studies have been done on the Ambarzumyan theorem for various operators including classical derivatives. In this paper, the Ambarzumyan theorem is proved for the diffusion operator with Neumann boundary value conditions including fractional derivatives. This study will make an important contribution to the inverse problems of spectral analysis. This theorem can be proved in the future for various operators with different derivatives.

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Unlimited Lists of Quadratic Integers of Given Norm Application to Some Arithmetic Properties

Georges Gras

Abstract

We use the polynomials $m_s(t) = t^2 - 4s$, $s \in \{-1, 1\}$, in an elementary process giving unlimited lists of *fundamental units of norm s* , of real quadratic fields, with ascending order of the discriminants. As t grows from 1 to an upper bound \mathbf{B} , for each *first occurrence* of a square-free integer $M \geq 2$, in the factorization $m_s(t) =: Mr^2$, the unit $\frac{1}{2}(t + r\sqrt{M})$ is the fundamental unit of norm s of $\mathbb{Q}(\sqrt{M})$, even if $r > 1$ (Theorem 4.2). Using $m_{sv}(t) = t^2 - 4sv$, $v \geq 2$, the algorithm gives unlimited lists of *fundamental integers of norm sv* (Theorem 4.6). We deduce, for any prime $p > 2$, unlimited lists of *non p -rational* quadratic fields (Theorems 6.3, 6.4, 6.5) and lists of degree $p - 1$ imaginary fields with *non-trivial p -class group* (Theorems 7.1, 7.2). All PARI programs are given.

Keywords: Fundamental units, Norm equations, PARI programs, p -class numbers, p -rationality, Real quadratic fields

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1. Introduction and Main Results

1.1 Definition of the “F.O.P.” algorithm

For the convenience of the reader, we give, at once, an outline of this process which has an interest especially under the use of PARI programs [1].

Definition 1.1. We call “First Occurrence Process” (F.O.P.) the following algorithm, defined on a large interval $[1, \mathbf{B}]$ of integers. As t grows from $t = 1$ up to $t = \mathbf{B}$, we compute some arithmetic invariant $F(t)$; for instance, a pair of invariants described as a PARI list, as the following illustration with square-free integers $M(t)$ and units $\eta(t)$ of $\mathbb{Q}(\sqrt{M(t)})$:

$$F(t) \mapsto L(t) = \text{List}([M(t), \eta(t)]),$$

provided with a natural order on the pairs $L(t)$, then put it in a PARI list LM:

$$\begin{aligned} \text{Listput}(\text{LM}, \text{vector}(2, c, L[c])) &\mapsto \text{List}([L(1), L(2), \dots, L(t), \dots, L(\mathbf{B})]) \\ &= \text{List}([[M(1), \eta(1)], \dots, [M(t), \eta(t)], \dots, [M(\mathbf{B}), \eta(\mathbf{B})]]); \end{aligned}$$

after that, we apply the PARI instruction $\text{VM} = \text{vecsort}(\text{LM}, 1, 8)$ which builds the list:

$$\text{VM} = \text{List}([L_1, L_2, \dots, L_j, \dots, L_N]), \quad N \leq \mathbf{B},$$

such that $L_j = L(t_j) = [[M(t_j), \eta(t_j)]]$ is the first occurrence (regarding the selected order, for instance that on the M 's) of the invariant found by the algorithm and which removes the subsequent duplicate entries.

Removing the duplicate entries is the key of the principle since in general they are unbounded in number as $\mathbf{B} \rightarrow \infty$ and do not give the suitable information

Since the length N of the list VM is unknown by nature, one must write LM as a vector and put instead:

$$VM = \text{vecsort}(\text{vector}(\mathbf{B}, c, LM[c]), 1, 8);$$

thus, $N = \#VM$ makes sense and one can (for possible testing) select elements and components as $X = VM[k][2]$, etc.

If N is not needed, then $VM = \text{vecsort}(LM, 1, 8)$ works well.

For instance, the list LM of objects $F(t) = (M(t), \epsilon(t))$, $1 \leq t \leq \mathbf{B} = 10$:

$$LM = \text{List}([[5, \epsilon_5], [2, \epsilon_2], [5, \epsilon'_5], [7, \epsilon_7], [5, \epsilon''_5], [3, \epsilon_3], [2, \epsilon'_2], [5, \epsilon'''_5], [6, \epsilon_6], [7, \epsilon'_7]]),$$

with the natural order on the first components M , leads to the list:

$$VM = \text{List}([[2, \epsilon_2], [3, \epsilon_3], [5, \epsilon_5], [6, \epsilon_6], [7, \epsilon_7]]).$$

1.2 Quadratic integers

Let $K := \mathbb{Q}(\sqrt{M})$, $M \in \mathbb{Z}_{\geq 2}$ square-free, be a real quadratic field and let \mathbf{Z}_K be its ring of integers. Recall that $M \geq 2$, square-free, is called the “Kummer radical” of K , contrary to any “radical” $m = Mr^2$ giving the same field K .

There are two ways of writing for an element $\alpha \in \mathbf{Z}_K$. The first one is to use the integral basis $\{1, \sqrt{M}\}$ (resp. $\{1, \frac{1+\sqrt{M}}{2}\}$) when $M \not\equiv 1 \pmod{4}$ (resp. $M \equiv 1 \pmod{4}$). The second one is to write $\alpha = \frac{1}{2}(u + v\sqrt{M})$, in which case $u, v \in \mathbb{Z}$ are necessarily of same parity; but u, v may be odd only when $M \equiv 1 \pmod{4}$.

We denote by $\mathbf{T}_{K/\mathbb{Q}}$ and $\mathbf{N}_{K/\mathbb{Q}}$, or simply \mathbf{T} and \mathbf{N} , the trace and norm maps in K/\mathbb{Q} , so that $\mathbf{T}(\alpha) = u$ and $\mathbf{N}(\alpha) = \frac{1}{4}(u^2 - Mv^2)$ in the second writing for α .

Then the norm equation in $u, v \in \mathbb{Z}$ (not necessarily with co-prime numbers u, v):

$$u^2 - Mv^2 = 4sv, \quad s \in \{-1, 1\}, \quad v \in \mathbb{Z}_{\geq 1},$$

for M square-free, has the property that u, v are necessarily of same parity and may be odd only when $M \equiv 1 \pmod{4}$; then:

$$z := \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K, \quad \mathbf{T}(z) = u \quad \& \quad \mathbf{N}(z) = sv.$$

Finally, we will write quadratic integers α , with positive coefficients on the basis $\{1, \sqrt{M}\}$; this defines a unique representative modulo the sign and the conjugation. Put:

$$\mathbf{Z}_K^+ := \left\{ \alpha = \frac{1}{2}(u + v\sqrt{M}), \quad u, v \in \mathbb{Z}_{\geq 1}, \quad u \equiv v \pmod{2} \right\}.$$

Note that these α 's are not in \mathbb{Z} , nor in $\mathbb{Z} \cdot \sqrt{M}$; indeed, we have the trivial solutions $\mathbf{N}(q) = q^2$ ($\alpha = q \in \mathbb{Z}_{\geq 1}, s = 1, v = q^2, Mv^2 = 0, u = q$) or $\mathbf{N}(v\sqrt{M}) = -Mv^2$ ($v \in \mathbb{Z}_{\geq 1}, s = -1, v = -Mv^2, u = 0$), which are not given by the F.O.P. algorithm for simplicity. These viewpoints will be more convenient for our purpose and these conventions will be implicit in all the sequel.

Since norm equations may have several solutions, we will use the following definition:

Definition 1.2. Let $M \in \mathbb{Z}_{\geq 2}$ be a square-free integer and let $s \in \{-1, 1\}$, $v \in \mathbb{Z}_{\geq 1}$. We call fundamental solution (if there are any) of the norm equation $u^2 - Mv^2 = 4sv$, with $u, v \in \mathbb{Z}_{\geq 1}$, the corresponding integer $\alpha := \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K^+$ of minimal trace u .

1.3 Quadratic polynomial units

It is classical that the continued fraction expansion of \sqrt{m} , for a positive square-free integer m , gives, under some limitations, the fundamental solution, in integers $u, v \in \mathbb{Z}_{\geq 1}$, of the norm equation $u^2 - mv^2 = 4s$, whence the fundamental unit $\epsilon_m := \frac{1}{2}(u + v\sqrt{m})$ of $\mathbb{Q}(\sqrt{m})$. A similar context of “polynomial continued fraction expansion” does exist and gives polynomial solutions $(u(t), v(t))$, of $u(t)^2 - m(t)v(t)^2 = 4s$, for suitable $m(t) \in \mathbb{Z}[t]$ (see, e.g., [2]-[6]). This gives the quadratic polynomial units $E(t) := \frac{1}{2}(u(t) + v(t)\sqrt{m(t)})$.

We will base our study on the following polynomials $m(t)$ that have interesting universal properties (a first use of this is due to Yokoi [7, Theorem 1]).

Definition 1.3. Consider the square-free polynomials $m_{sv}(t) = t^2 - 4sv \in \mathbb{Z}[t]$, where $s \in \{-1, 1\}$, $v \in \mathbb{Z}_{\geq 1}$. The continued fraction expansion of $\sqrt{t^2 - 4sv}$ leads to the integers $A_{sv}(t) := \frac{1}{2}(t + \sqrt{t^2 - 4sv})$, of norm sv and trace t , in a quadratic extension of $\mathbb{Q}(t)$. When $v = 1$, one obtains the units $E_s(t) := \frac{1}{2}(t + \sqrt{t^2 - 4s})$, of norm s and trace t .

The continued fraction expansion, with polynomials, gives the fundamental solution of the norm equation (cf. details in [2]), but must not be confused with that using evaluations of the polynomials; for instance, for $t_0 = 7$, $m_1(t_0) = 7^2 - 4 = 45$ is not square-free and $E_1(7) = \frac{1}{2}(7 + \sqrt{45}) = \frac{1}{2}(7 + 3\sqrt{5})$ is indeed the fundamental solution of $u^2 - 45v^2 = 4$, but not the fundamental unit ε_5 of $\mathbb{Q}(\sqrt{45}) = \mathbb{Q}(\sqrt{5})$, since one gets $E_1(7) = \varepsilon_5^6$.

1.4 Main algorithmic results

We will prove that the families of polynomials $m_{sv}(t) = t^2 - 4sv$, $s \in \{-1, 1\}$, $v \in \mathbb{Z}_{\geq 1}$, are universal to find all square-free integers M for which there exists a privileged solution $\alpha \in \mathbf{Z}_K^+$ to $\mathbf{N}(\alpha) = sv$; moreover, the solution obtained is the fundamental one, in the meaning of Definition 1.2 saying that α is of minimal trace $t \geq 1$. This is obtained by means of an extremely simple algorithmic process (described § 1.1) and allows to get unbounded lists of quadratic fields, given by means of their Kummer radical, and having specific properties.

The typical results, admitting several variations, are given by the following excerpt of statements using quadratic polynomial expressions $m(t)$ deduced from some $m_{sv}(t)$:

Theorem 1.4. Let \mathbf{B} be an arbitrary large upper bound. As the integer t grows from 1 up to \mathbf{B} , for each first occurrence of a square-free integer $M \geq 2$, in the factorizations $m(t) =: Mr^2$, we have the following properties for $K := \mathbb{Q}(\sqrt{M})$:

a) Consider the polynomials $m(t) = t^2 - 4sv$, $s \in \{-1, 1\}$, $v \in \mathbb{Z}_{\geq 2}$:

(i) $m(t) = t^2 - 4s$.

The unit $\frac{1}{2}(t + r\sqrt{M})$ is the fundamental unit of norm s of K (Theorem 4.2).

(ii) $m(t) = t^2 - 4sv$.

The integer $A_{sv}(t) = \frac{1}{2}(t + r\sqrt{M})$ is the fundamental integer in \mathbf{Z}_K^+ of norm sv in the meaning of Definition 1.2 (Theorem 4.6).

b) Let p be an odd prime number and consider the following polynomials, deduced from the canonical $m(T) = T^2 - 4sv$, with particular p -adic expressions of T and of integers v :

(i) $m(t) \in \{p^4t^2 \pm 1, p^4t^2 \pm 2, p^4t^2 \pm 4, 4p^4t^2 \pm 2, 9p^4t^2 \pm 6, 9p^4t^2 \pm 12, \dots\}$.

The field K is non p -rational apart from few explicit cases (Theorem 6.3).

(ii) $m(t) = 3^4t^2 - 4s$.

The field $F_{3,M} := \mathbb{Q}(\sqrt{-3M})$ has its class number divisible by 3, except possibly when the unit $\frac{1}{2}(9t + r\sqrt{M})$ is a third power of a unit (Theorem 7.1). Up to $\mathbf{B} = 10^5$, all the 3-class groups are non-trivial, apart from few explicit cases.

(iii) $m(t) = p^4t^2 - 4s$, $p \geq 5$.

The imaginary cyclic extension $F_{p,M} := \mathbb{Q}((\zeta_p - \zeta_p^{-1})\sqrt{M})$, of degree $p - 1$, has its class number divisible by p , except possibly when the unit $\frac{1}{2}(p^2t + r\sqrt{M})$ is a p -th power of unit (Theorem 7.2).

For $p = 5$, the quartic cyclic field $F_{5,M}$ is defined by the polynomial $P = x^4 + 5Mx^2 + 5M^2$ and up to $\mathbf{B} = 500$, all the 5-class groups are non-trivial, except for $M = 29$.

Moreover, this principle gives lists of solutions by means of Kummer radicals (or discriminants) of a regularly increasing order of magnitude, these lists being unbounded as $\mathbf{B} \rightarrow \infty$. See, for instance Proposition 3.1 for lists of Kummer radicals M , then Section 2 for lists of arithmetic invariants (class groups, p -ramified torsion groups, logarithmic class groups of K), and Theorems 6.4, 6.5, giving unlimited lists of units, local (but non global) p th powers, whence lists of non- p -rational quadratic fields.

All the lists have, at least, $O(\mathbf{B})$ distinct elements, but most often $\mathbf{B} - o(\mathbf{B})$, and even \mathbf{B} distinct elements in some situations.

So, we intend to analyze these results in a computational point of view by means of a new strategy to obtain arbitrary large list of fundamental units, or of other quadratic integers, even when radicals $m_{sv}(t) =: M(t)r(t)^2$, $t \in \mathbb{Z}_{\geq 1}$, are not square-free (i.e., $r(t) > 1$). By comparison, it is well known that many polynomials, in the literature, give subfamilies of integers (especially fundamental units) found by means of the m_{sv} 's with assuming that the radical $m_{sv}(t)$ are square-free.

Remark 1.5. It is accepted and often proven that the integers $t^2 - 4sv$ are square-free with a non-zero density and an uniform repartition (see, e.g., [8, 9]); so an easy heuristic is that the last $M = M_{\mathbf{B}}$ of the list VM is equivalent to \mathbf{B}^2 . This generalizes to the F.O.P. algorithm applied to polynomials of the form $a_n t^n + a_{n-1} t^{n-1} + \dots + a_0$, $n \geq 1$, $a_n \in \mathbb{Z}_{\geq 1}$, and gives the equivalent $M_{\mathbf{B}} \sim a_n \mathbf{B}^n$ as $\mathbf{B} \rightarrow \infty$.

The main fact is that the F.O.P. algorithm will give *fundamental solutions* of norm equations $u^2 - Mv^2 = 4sv$ (see Section 4), whatever the order of magnitude of r ; for small values of M , r may be large, even if $r(t)$ tends to 1 as $M(t)$ tends to its maximal value, equivalent to \mathbf{B}^2 , as $t \rightarrow \infty$. Otherwise, without the F.O.P. principle, one must assume $m_{sv}(t)$ square-free in the applications, as it is often done in the literature.

2. First Examples of Application of the F.O.P. Algorithm

Note

In the programs in verbatim text, one must replace, after copy and past, the symbol of power (in \hat{a}^b) by the corresponding PARI/GP symbol (which is nothing else than that of the computer keyboard); otherwise the program does not work (this is due to the character font used by the Journal; e.g., in the forthcoming program using $mt = t^2 - 1$).

2.1 Kummer radicals and discriminants given by $m_s(t)$

Recall that, for $t \in \mathbb{Z}_{\geq 1}$, we put $m_s(t) = M(t)r(t)^2$, $M(t)$ square-free.

2.1.1 Kummer radicals

The following program gives, as t grows from 1 up to \mathbf{B} , the Kummer radical M and the integer r obtained from the factorizations of $m'_1(t) = t^2 - 1$, under the form Mr^2 ; then we put them in a list LM and the F.O.P. algorithm gives the pairs $C = \text{core}(mt, 1) = [M, r]$, in the increasing order of the radicals M and removes the duplicate entries:

```
MAIN PROGRAM GIVING KUMMER RADICALS
{B=1000000;LM=List;for(t=1,B,mt=t^2-1;C=core(mt,1);L=List(C);
listput(LM,vector(2,c,L[c]));M=vecsort(vector(B,c,LM[c]),1,8);
print(M);print("#M = ",#M)}
[M,r]=
[0,1],
[2,2],[3,1],[5,4],[6,2],[7,3],[10,6],[11,3],[13,180],[14,4],[15,1],[17,8],[19,39],[21,12],
[22,42],[23,5],[26,10],[29,1820],[30,2],[31,273],[33,4],[34,6],[35,1],[37,12],[38,6],
[39,4],[41,320],[42,2],[43,531],[46,3588],[47,7],[51,7],[53,9100],[55,12],[57,20],
[58,2574],[59,69],[62,8],[65,16],[66,8],[67,5967],[69,936],[70,30],[71,413],[74,430],
(...)
[999980000099,1],[999984000063,1],[999988000035,1],[999992000015,1]
#M = 999225
```

Remark 2.1. Some radicals are not found. Of course they will appear for \mathbf{B} larger according to Proposition 3.1. For instance, the Kummer radical $M = 94$ depends on the fundamental unit $\varepsilon_{94} = 2143295 + 221064\sqrt{94}$ of norm 1; so, using $m'_1(t)$, the minimal solution is $t = 2143295$. For the Kummer radical $M = 193$, $\varepsilon_{193} = 1764132 + 126985\sqrt{193}$ is of norm -1 and $m'_{-1}(1764132) = 193 \times 126985^2$. So $t^2 - 1 = 193r^2$ has the minimal solution $t = 6224323426849$ corresponding to ε_{193}^2 .

2.1.2 Discriminants

If one needs the discriminants of the quadratic fields in the ascending order, it suffices to replace the Kummer radical $M = \text{core}(mt)$ by $\text{quaddisc}(\text{core}(mt))$ giving the discriminant D of $\mathbb{Q}(\sqrt{M})$. We use $m'_1(t)$ and $m'_{-1}(t)$ together to get various M modulo 4 (thus the size of the list [D] is $2 * \mathbf{B}$); this yields the following program and results with outputs [D]:

```
MAIN PROGRAM GIVING DISCRIMINANTS
{B=1000000;LD=List;for(t=1,B,L=List([quaddisc(core(t^2-1))]);
listput(LD,vector(1,c,L[c]));L=List([quaddisc(core(t^2+1))]);
listput(LD,vector(1,c,L[c]));D=vecsort(vector(2*B,c,LD[c]),1,8);
print(D);print("#D = ",#D)}
[D]=
[[0],
[5],[8],[12],[13],[17],[21],[24],[28],[29],[33],[37],[40],[41],[44],[53],[56],[57],[60],
[61],[65],[69],[73],[76],[77],[85],[88],[89],[92],[93],[97],[101],[104],[105],[113],[120],
[124],[129],[136],[137],[140],[141],[145],[149],[152],[156],[161],[165],[168],[172],
[173],[177],[184],[185],[188],[197],[201],[204],[205],[209],[213],[220],[221],[229],
(...)]
[3999960000104],[3999968000060],[3999976000040],[3999992000008]
#D = 1998451
```

This possibility is valid for all programs of the paper; we will classify the Kummer radicals, instead of discriminants, because radicals are more related to norm equations, but any kind of output can be done easily.

2.2 Application to minimal class numbers

One may use this classification of Kummer radicals and compute orders h of some invariants, then apply the F.O.P. principle, with the instruction $VM = \text{vecsor}(\text{vector}(B, c, LM[c]), 2, 8)$ to the outputs $[M, h]$, to get successive possible class numbers h in ascending order (we use here $m_1(t) = t^2 - 4$):

```
MAIN PROGRAM GIVING SUCCESSIVE CLASS NUMBERS
{B=100000;LM=List;for(t=3,B,M=core(t^2-4);
h=quadclassunit(quaddisc(M))[1];L=List([M,h]);
listput(LM,vector(2,c,L[c]));VM=vecsor(vector(B-2,c,LM[c]),2,8);
print(VM);print("#VM = ",#VM)}
[M,h]=
[5,1],[15,2],[2021,3],[195,4],[4757,5],[3021,6],[11021,7],[399,8],[27221,9],[7221,10],
[95477,11],[1599,12],[145157,13],[15621,14],[50621,15],[4899,16],[267101,17],[11663,18],
(...)
[2427532899,7296],[2448270399,7356],[2340624399,7384],[1592808099,7424],[1745568399,7456],
[2443324899,7600],[2479044099,7680],[2251502499,7840],[1718102499,7968],[2381439999,8040],
[2077536399,8328],[1981140099,8384]
#VM = 2712
```

One may compare using polynomials $m_s(t)$ to obtain radicals, then for instance class numbers h , with the classical PARI computation:

```
{B=1000000;LM=List;N=0;for(M=2,B,if(core(M)!=M,next);
N=N+1;h=quadclassunit(quaddisc(M))[1];L=List([M,h]);listput(LM,vector(2,c,L[c]));
VM=vecsor(vector(N,c,LM[c]),2,8);print(VM);print("#VM = ",#VM)}
[M,h]=
[[2,1],[10,2],[79,3],[82,4],[401,5],[235,6],[577,7],[226,8],[1129,9],[1111,10],[1297,11],
[730,12],[4759,13],[1534,14],[9871,15],[2305,16],[7054,17],[4954,18],[15409,19],
(...)
[78745,60],[68179,62],[57601,63],[71290,64],[87271,66],[53362,68],[56011,70],[45511,72],
[38026,74],[93619,76],[94546,80],[77779,84],[90001,87],[56170,88],[99226,94],[50626,96]]
#VM = 73
```

The lists are not comparable but are equal for “ $B = \infty$.”

2.3 Application to minimal orders of p -ramified torsion groups

Let \mathfrak{T}_K be the torsion group of the Galois group of the maximal abelian p -ramified (i.e., unramified outside p and ∞) pro- p -extension of $\mathbb{Q}(\sqrt{M})$. The following program, for any $p \geq 3$, gives the results by ascending order (outputs $[M, h = p^a, T = p^b]$, where h is the order of the p -class group and T that of \mathfrak{T}_K):

```
MAIN PROGRAM GIVING SUCCESSIVE ORDERS OF p-TORSION GROUPS
{B=100000;p=3;e=18;LM=List;for(t=2,B,M=core(t^2-1);
K=bnfinit(x^2-M,1);wh=valuation(K.no,p);Kt=bnrinit(K,p^e);
CKt=Kt.cyc;wt=valuation(Kt.no/CKt[1],p);L=List([M,p^wh,p^wt]);
listput(LM,vector(3,c,L[c]));VM=vecsor(vector(B-1,c,LM[c]),3,8);
print(VM);print("#VM = ",#VM)}
[M,#h_p,#T_p]=
[[3,1,1],[15,1,3],[42,1,9],[105,1,27],[1599,3,81],[1095,1,243],[23066,9,729],
[1196835,3,2187],[298662,9,6561],[12629139,27,19683],[6052830,9,59049],
[747366243,243,177147]]
#VM = 12
```

2.4 Application to minimal orders of logarithmic class groups

For the definition of the logarithmic class group $\tilde{\mathfrak{T}}_p$ governing Greenberg’s conjecture [10], see [11, 12], and for its computation, see [13] which gives the structure as abelian group. The following program, for $p = 3$, gives the results by ascending orders (all the structures are cyclic in this interval):

```
MAIN PROGRAM GIVING SUCCESSIVE CLASSLOG NUMBERS
{B=10^5;LM=List;for(t=3,B,M1=core(t^2-4);M2=core(t^2+4);
K1=bnfinit(x^2-M1);Clog= bnflog(K1,3)[1];C=1;for(j=1,#Clog,
C=C*Clog[j]);L=List([M1,Clog,C]);listput(LM,vector(3,c,L[c]));
```

```
K2=bnfinit(x^2-M2);Clog= bnflog(K2,3)[1];C=1;for(j=1,#Clog,
C=C*Clog[j]);L=List([M2,Clog,C]);listput(LM,vector(3,c,L[c]));
VM=vecsort(vector(2*(B-2),c,LM[c]),3,8);
print(VM);print("#VM = ",#VM)
[M,Clog,#Clog]=
[[5,[1],1],[257,[3],3],[2917,[9],9],[26245,[27],27],[577601,[81],81],[236197,[243],243],
[19131877,[729],729],[172186885,[2187],2187],[1549681957,[6561],6561]]
#VM = 9
```

3. Units $E_s(t)$ vs Fundamental Units $\varepsilon_{M(t)}$

3.1 Polynomials $m_s(t) = t^2 - 4s$ and units $E_s(t)$

This subsection deals with the case $v = 1$ about the search of quadratic units (see also [7, Theorem 1]). The polynomials $m_s(t) \in \mathbb{Z}[t]$ define, for $t \in \mathbb{Z}_{\geq 1}$, the parametrized units $E_s(t) = \frac{1}{2}(t + \sqrt{t^2 - 4s})$ of norm s in $K := \mathbb{Q}(\sqrt{M})$, where M is the maximal square-free divisor of $t^2 - 4s$. But M is unpredictable and gives rise to the following discussion depending on the norm $\mathbf{S} := \mathbf{N}(\varepsilon_M)$ of the fundamental unit $\varepsilon_M =: \frac{1}{2}(a + b\sqrt{M})$ of K and of the integral basis of \mathbf{Z}_K :

(i) If $s = 1$, $E_1(t) = \frac{1}{2}(t + \sqrt{t^2 - 4})$ is of norm 1; so, if $\mathbf{S} = 1$, then $E_1(t) \in \langle \varepsilon_M \rangle$, but if $\mathbf{S} = -1$, necessarily $E_1(t) \in \langle \varepsilon_M^2 \rangle$.

If $s = -1$, $E_{-1}(t) = \frac{1}{2}(t + \sqrt{t^2 + 4})$ is of norm -1 ; so, necessarily the Kummer radical M is such that $\mathbf{S} = -1$.

(ii) If t is odd, $E_s(t)$ is written with half-integer coefficients, $t^2 - 4s \equiv 1 \pmod{4}$, giving $M \equiv 1 \pmod{4}$ and $\mathbf{Z}_K = \mathbb{Z}\left[\frac{1+\sqrt{M}}{2}\right]$; so ε_M can not be with integer coefficients (a and b are necessarily odd).

If t is even, M may be arbitrary as well as ε_M .

We can summarize these constraints by means of the following Table:

$t^2 - 4s$	$\mathbf{S} = \mathbf{N}(\varepsilon_M)$	$E_s(t) \in$	$\varepsilon_M = \frac{1}{2}(a + b\sqrt{M})$
$t^2 - 4, t$ even	1 (resp. -1)	$\langle \varepsilon_M \rangle$ (resp. $\langle \varepsilon_M^2 \rangle$)	a, b odd or even
$t^2 - 4, t$ odd	1 (resp. -1)	$\langle \varepsilon_M \rangle$ (resp. $\langle \varepsilon_M^2 \rangle$)	a, b odd
$t^2 + 4, t$ even	-1	$\langle \varepsilon_M \rangle$	a, b odd or even
$t^2 + 4, t$ odd	-1	$\langle \varepsilon_M \rangle$	a, b odd

(3.1)

Recall that the F.O.P. algorithm consists, after choosing the upper bound \mathbf{B} , in establishing the list of *first occurrences*, as t increases from 1 up to \mathbf{B} , of any square-free integer $M \geq 2$, in the factorization $m_s(t) = M(t)r(t)^2$ (whence $M = M(t_0)$ for some t_0 and $M \neq M(t)$ for all $t < t_0$), and to consider the unit:

$$E_s(t) := \frac{1}{2}(t + \sqrt{t^2 - 4s}) = \frac{1}{2}(t + r(t)\sqrt{M(t)}), \text{ of norm } s.$$

The F.O.P. is necessary since, if $t_1 > t_0$ gives the same Kummer radical M , $E_s(t_0) = \varepsilon_M^{n_0}$ and $E_s(t_1) = \varepsilon_M^{n_1}$ with $n_1 > n_0$.

We shall prove (Theorem 4.2) that, under the F.O.P. algorithm, one always obtains the minimal possible power $n \in \{1, 2\}$ in the writing $E_s(t) = \varepsilon_M^n$, whence $n = 2$ if and only if $s = 1$ and $\mathbf{S} = -1$, which means that $E_s(t)$ is *always the fundamental unit of norm s* .

The following result shows that any square-free integer $M \geq 2$ may be obtained for \mathbf{B} large enough.

Proposition 3.1. *Consider the polynomial $m_1(t) = t^2 - 4$. For any square-free integer $M \geq 2$, there exists $t \geq 1$ such that $m_1(t) = Mr^2$.*

Proof. The corresponding equation $t^2 - 4 = Mr^2$ becomes of the form $t^2 - Mr^2 = 4$. Depending on the writing in $\mathbb{Z}[\sqrt{M}]$ ($M \equiv 2, 3 \pmod{4}$) or $\mathbb{Z}\left[\frac{1+\sqrt{M}}{2}\right]$ ($M \equiv 1 \pmod{4}$), of the powers $\varepsilon_M^n = \frac{1}{2}(t + r\sqrt{M})$, $n \geq 1$, of the fundamental unit ε_M , this selects infinitely many $t \in \mathbb{Z}_{\geq 1}$. □

Remark 3.2. One may use, instead, the polynomial $m'_1(t) = t^2 - 1$ since for any fundamental unit of the form $\varepsilon_M = \frac{1}{2}(a + b\sqrt{M})$, a, b odd, then $\varepsilon_M^3 \in \mathbb{Z}[\sqrt{M}]$, but some radicals are then obtained with larger values of t ; for instance, $m_1(5) = 21$ and $m'_1(55) = 21 \cdot 12^2$ corresponding to $55 + 12\sqrt{21} = \left(\frac{1}{2}(5 + \sqrt{21})\right)^2$.

Since for $t = 2t'$, $t^2 - 4s = 4(t'^2 - s)$ gives the same Kummer radical as $t'^2 - s$, in some cases we shall use $m'_s(t) := t^2 - s$ and especially $m'_1(t) := t^2 - 1$ which is “universal” for giving all Kummer radicals.

With the polynomials $m_{-1}(t) = t^2 + 4$ or $m'_{-1}(t) = t^2 + 1$ a solution does exist if and only if $\mathbf{N}(\varepsilon_M) = -1$ and one obtains odd powers of ε_M .

3.2 Checking of the exponent n in $E_s(t) = \varepsilon_{M(t)}^n$

The following program determines the expression of $E_s(t)$ as power of the fundamental unit of K ; it will find that there is no counterexample to the relation $E_s(t) \in \{\varepsilon_{M(t)}, \varepsilon_{M(t)}^2\}$, depending on S , from Table (3.1); this will be proved later (Theorem 4.2). So these programs are only for verification, once for all, because they unnecessarily need much more execution time.

Since $E_s(t)$ is written in $\frac{1}{2}\mathbb{Z}[\sqrt{M}]$ and ε_M on the usual \mathbb{Z} -basis of \mathbf{Z}_K denoted $\{1, w\}$ by PARI (from the instruction quadunit), we write $E_s(t)$ on the PARI basis $\{1, \text{quadgen}(D)\}$, where $D = \text{quaddisc}(M)$ is the discriminant.

One must specify B and s , the program takes into account the first value $2 + s$ of t since $t = 1, 2$ are not suitable when $s = 1$; then the test $n > (3 + s)/2$ allows the cases $n = 1$ or 2 when $s = 1$. The output of counterexamples is given by the (empty) list Vn :

3.2.1 Case $s = 1, m(t) = t^2 - 4$ (expected exponents $n \in \{1, 2\}$)

```
{B=1000000;s=1;LM=List;LN=List;for(t=2+s,B,
mt=t^2-4*s;C=core(mt,1);M=C[1];r=C[2];res=Mod(M,4);D=quaddisc(M);
w=quadgen(D);Y=quadunit(D);if(res!=1,Z=1/2*(t+r*w));if(res==1,Z=(t-r)/2+r*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);L=List([M,n]);listput(LM,vector(2,c,L[c]));
VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);print(VM);print("#VM = ",#VM);
for(k=1,#VM,n=VM[k][2];if(n>(3+s)/2,Ln=VM[k];
listput(LN,vector(2,c,Ln[c])));Vn=vecsort(LN,1,8);
print("exceptional powers : ",Vn)
[M,n]=
[2,2],[3,1],[5,2],[6,1],[7,1],[10,2],[11,1],[13,2],[14,1],[15,1],[17,2],[19,1],[21,1],
[22,1],[23,1],[26,2],[29,2],[30,1],[31,1],[33,1],[34,1],[35,1],[37,2],[38,1],[39,1],
[41,2],[42,1],[43,1],[46,1],[47,1],[51,1],[53,2],[55,1],[57,1],[58,2],[59,1],[61,2],
[62,1],[65,2],[66,1],[67,1],[69,1],[70,1],[71,1],[74,2],[77,1],[78,1],[79,1],[82,2],
(...)
[999982000077,1],[999986000045,1],[999990000021,1],[999997999997,1]
#VM = 998893
exceptional powers:List({})
```

3.2.2 Case $s = -1, m(t) = t^2 + 4$ (expected exponents $n = 1$)

```
[M,n]=
[2,1],[5,1],[10,1],[13,1],[17,1],[26,1],[29,1],[37,1],[41,1],[53,1],[58,1],[61,1],
[65,1],[73,1],[74,1],[82,1],[85,1],[89,1],[97,1],[101,1],[106,1],[109,1],[113,1],
[122,1],[130,1],[137,1],[145,1],[149,1],[157,1],[170,1],[173,1],[181,1],[185,1],
[197,1],[202,1],[218,1],[226,1],[229,1],[233,1],[257,1],[265,1],[269,1],[274,1],
(...)
[999986000053,1],[999990000029,1],[999994000013,1],[999998000005,1]
#VM = 999874
exceptional powers:List({})
```

3.3 Remarks on the use of the F.O.P. algorithm

(i) For a matter of space, the programs do not print the units $E_s(t)$ in the outputs, but it may be deduced easily. To obtain a more complete data, it suffices to replace the instructions:

$$L = \text{List}([M, n]), \quad \text{listput}(LM, \text{vector}(2, c, L[c])), \quad \text{listput}(LN, \text{vector}(2, c, Ln[c]))$$

by the following ones (but any information can be put in L ; the sole condition being to put M as first component):

$$L = \text{List}([M, n, t]), \quad \text{listput}(LM, \text{vector}(3, c, L[c])), \quad \text{listput}(LN, \text{vector}(3, c, Ln[c]))$$

or simply:

$$L = \text{List}([M, t]), \quad \text{listput}(LM, \text{vector}(2, c, L[c])), \quad \text{listput}(LN, \text{vector}(2, c, Ln[c]))$$

giving the parameter t whence the trace, then the whole integer of $\mathbb{Q}(\sqrt{M})$; for instance for $m_{-1}(t) = t^2 + 4$ and the general program with outputs $[M, n, t]$:

```
[M,n,t]=
[2,1,2],[5,1,1],[10,1,6],[13,1,3],[17,1,8],[26,1,10],[29,1,5],[37,1,12],[41,1,64],
[53,1,7],[58,1,198],[61,1,39],[65,1,16],[73,1,2136],[74,1,86],[82,1,18],[85,1,9],
[89,1,1000],[97,1,11208],[101,1,20],[106,1,8010],[109,1,261],[113,1,1552],
[122,1,22],[130,1,114],[137,1,3488],...
```

For instance for the data [41, 1, 64], one has $t = 64$ giving $t^2 + 4 = 4100$, whence the fundamental unit $E_{-1}(64) = \varepsilon_{41} = \frac{1}{2}(64 + 10\sqrt{41})$. Another interesting fact is the case of [137, 1, 3488] giving a large fundamental unit at the beginning of the list.

(ii) The programs of § 3.2, computing n , may be used with changing $m_s(t)$ into other polynomials as those given Section 5, or by any $T := f(t)$ with the data $mt = T^2 \pm 4$ and $Z = (T + r*w)/2$ as the following about units $E_s(T) = \frac{1}{2}(T + r\sqrt{M})$.

(a) $T = t^2$ (traces are squares); all are fundamental units ($\mathbf{B} = 10^4$, outputs $[M, n]$):

```
{B=10^4; s=1; LN=List; LM=List; for (t=2+s, B, T=t^2; mt=T^2-4*s; C=core(mt, 1);
M=C[1]; r=C[2]; res=Mod(M, 4); D=quaddisc(M); w=quadgen(D); Y=quadunit(D);
if (res!=1, Z=1/2*(T+r*w)); if (res==1, Z=(T-r)/2+r*w);
z=1; n=0; while (Z!=z, z=z*Y; n=n+1); L=List([M, n]); listput(LM, vector(2, c, L[c]));
VM=vecsort(vector(B-(1+s), c, LM[c]), 1, 8); print(VM); print("#VM = ", #VM);
for (k=1, #VM, n=VM[k][2]; if (n!=1, Ln=VM[k]; listput(LN, vector(2, c, Ln[c])));
Vn=vecsort(LN, 1, 8); print("exceptional powers : ", Vn)}
[M, n]=
[7, 1], [51, 1], [69, 1], [77, 1], [187, 1], [287, 1], [323, 1], [723, 1], [1023, 1], [1067, 1], [1077, 1],
[2397, 1], [3053, 1], [3173, 1], [5183, 1], [6347, 1], [6557, 1], [9799, 1], [14189, 1], [14637, 1],
[15117, 1], [16383, 1], [26243, 1], [29127, 1], [31093, 1], [39999, 1], [43637, 1], [47103, 1],
[47213, 1], [50621, 1], [71111, 1], [71283, 1], [83517, 1], [99763, 1], [102613, 1], [114243, 1],
(...)
[9956072546774637, 1], [9964048570846557, 1], [9988005398920077, 1], [9996000599959997, 1]
#VM = 9998
exceptional powers : List({})
```

(b) $T = \text{prime}(t)$ (traces are prime), $s = -1$ ($\mathbf{B} = 10^4$, outputs $[M, T = \text{prime}(t), n]$); there is only the exception [5, 11, 5] obtained as $\varepsilon_5^5 = \frac{1}{2}(5 + 11\sqrt{5})$:

```
[M, T=prime(t), n]
[5, 11, 5], [29, 5, 1], [53, 7, 1], [149, 61, 1], [173, 13, 1], [293, 17, 1], [317, 89, 1], [365, 19, 1],
[533, 23, 1], [773, 139, 1], [797, 367, 1], [821, 16189, 1], [965, 31, 1], [1373, 37, 1], [1493, 2357, 1],
[1685, 41, 1], [1781, 211, 1], [1853, 43, 1], [1997, 9161, 1], [2213, 47, 1], [2285, 239, 1], [2309, 17539, 1],
[2477, 647, 1], [2813, 53, 1], [3485, 59, 1], [3533, 2437, 1], [3653, 1511, 1],
(..)
[10965650093, 104717, 1], [10966906733, 104723, 1], [10968163445, 104729, 1]
#VM = 9995
exceptional powers:List([[5, 11, 5]])
```

(iii) When several polynomials $m_i(t)$, $1 \leq i \leq N$, are considered together (to get more Kummer radicals solutions of the problem), there is in general commutativity of the two sequences in:

for($t = 1, \mathbf{B}$, for($i = 1, N$, $mt = \dots$)) and for($i = 1, N$, for($t = 1, \mathbf{B}$, $mt = \dots$)).

But we will always use the first one.

4. Application of the F.O.P. algorithm to Norm Equations

We will speak of solving a norm equation in $K = \mathbb{Q}(\sqrt{M})$, for the search of integers $\alpha \in \mathbf{Z}_K^+$ such that $\mathbf{N}(\alpha) = sv$, for $s \in \{-1, 1\}$ and $v \in \mathbb{Z}_{\geq 1}$ given (i.e., $\alpha = \frac{1}{2}(u + v\sqrt{M})$, $u, v \in \mathbb{Z}_{\geq 1}$). If the set of solutions is non-empty we will define the notion of *fundamental solution*; we will see that this definition is common to units ($v = 1$) and non-units.

We explain, in Theorem 4.6, under what conditions such a fundamental solution for $v > 1$ does exist, in which case it is necessarily unique and found by means of the F.O.P., algorithm using $m_{-1}(t)$ or $m_1(t)$ (depending in particular on \mathbf{S}).

Note that the resulting PARI programs only use very elementary instructions and never the arithmetic ones defining K (as `bnfinit`, `K.fu`, `bnfisintnorm`, ...); whence the rapidity even for large upper bounds \mathbf{B} .

4.1 Main property of the trace map for units

In the case $v = 1$, let $\mathbf{S} = \mathbf{N}(\varepsilon_M)$; we will see that α defines the generator of the group of units of norm s of $\mathbb{Q}(\sqrt{M})$ when it exists (whence ε_M if $s = \mathbf{S}$ or ε_M^2 if $\mathbf{S} = -1$ and $s = 1$).

Theorem 4.1. *Let $M \geq 2$ be a square-free integer. Let $\varepsilon = \frac{1}{2}(a + b\sqrt{M}) > 1$ be a unit of $K := \mathbb{Q}(\sqrt{M})$ (non-necessarily fundamental). Then $\mathbf{T}(\varepsilon^n)$ defines a strictly increasing sequence of integers for $n \geq 1$.¹*

¹The property holds from $n = 0$ ($\mathbf{T}(1) = 2$), except for $M = 5$ ($\mathbf{T}(\varepsilon_M) = 1$, $\mathbf{T}(\varepsilon_M^2) = 3$) and $M = 2$ ($\mathbf{T}(\varepsilon_M) = 2$, $\mathbf{T}(\varepsilon_M^2) = 6$).

Proof. Set $\bar{\varepsilon} = \frac{1}{2}(a - b\sqrt{M})$ for the conjugate of ε and let $s = \varepsilon\bar{\varepsilon} = \pm 1$ be the norm of ε ; then the trace of ε^n is $T_n := \varepsilon^n + \bar{\varepsilon}^n = \varepsilon^n + \frac{s^n}{\varepsilon^n}$. Thus, we have:

$$\frac{T_{n+1}}{T_n} = \frac{\varepsilon^{n+1} + \frac{s^{n+1}}{\varepsilon^{n+1}}}{\varepsilon^n + \frac{s^n}{\varepsilon^n}} = \frac{\varepsilon^{2(n+1)} + s^{n+1}}{\varepsilon^{n+1}} \times \frac{\varepsilon^n}{\varepsilon^{2n} + s^n} = \frac{\varepsilon^{2(n+1)} + s^{n+1}}{\varepsilon^{2n+1} + s^n \varepsilon}.$$

To prove the increasing, consider $\varepsilon^{2n+1} + s^n \varepsilon$ and $\varepsilon^{2(n+1)} + s^{n+1}$, which are positive for all n since $\varepsilon > 1$; then:

$$\begin{aligned} \Delta_n(\varepsilon) &:= \varepsilon^{2(n+1)} + s^{n+1} - (\varepsilon^{2n+1} + s^n \varepsilon) = \varepsilon^{2(n+1)} - \varepsilon^{2n+1} + s^{n+1} - s^n \varepsilon \\ &= \varepsilon^{2n+1}(\varepsilon - 1) - s^n(\varepsilon - s). \end{aligned} \tag{4.1}$$

(i) Case $s = 1$. Then $\Delta_n(\varepsilon) = (\varepsilon - 1)(\varepsilon^{2n+1} - 1)$ is positive.

(ii) Case $s = -1$. Then $\Delta_n(\varepsilon) = \varepsilon^{2(n+1)} - \varepsilon^{2n+1} - (-1)^n(\varepsilon + 1)$. If n is odd, the result is obvious; so, it remains to look at the expression for $n = 2k, k \geq 1$:

$$\Delta_{2k}(\varepsilon) = \varepsilon^{4k+2} - \varepsilon^{4k+1} - \varepsilon - 1. \tag{4.2}$$

Let $f(x) := x^{4k+2} - x^{4k+1} - x - 1$; then:

$$f'(x) = (4k+2)x^{4k+1} - (4k+1)x^{4k} - 1 \text{ and } f''(x) = (4k+1)x^{4k-1}[(4k+2)x - 4k] \geq 0, \text{ for all } x \geq 1.$$

Thus $f'(x)$ is increasing for all $x \geq 1$ and since $f'(1) = 0$, $f(x)$ is an increasing map for all $x \geq 1$; so, for $k \geq 1$ fixed, $\Delta_{2k}(\varepsilon)$ is increasing regarding ε .

Since the smallest unit $\varepsilon > 1$ with positive coefficients is $\varepsilon_0 := \frac{1+\sqrt{5}}{2} \approx 1.6180\dots$ we have to look, from (4.2), at the map $F(z) := \varepsilon_0^{4z+2} - \varepsilon_0^{4z+1} - \varepsilon_0 - 1$, for $z \geq 1$, to check if there exists an unfavorable value of k ; so:

$$F'(z) := 4\log(\varepsilon_0)\varepsilon_0^{4z+2} - 4\log(\varepsilon_0)\varepsilon_0^{4z+1} = 4\log(\varepsilon_0)\varepsilon_0^{4z+1}(\varepsilon_0 - 1) > 0.$$

Since $F(1) \approx 4.2360 > 0$, one gets $\Delta_n(\varepsilon) > 0$ in the case $s = -1, n$ even. □

4.2 Unlimited lists of fundamental units of norm $s, s \in \{-1, 1\}$

We have the main following result.

Theorem 4.2. *Let $\mathbf{B} \gg 0$ be given. Let $m_s(t) = t^2 - 4s, s \in \{-1, 1\}$ fixed. Then, as t grows from 1 up to \mathbf{B} , for each first occurrence of a square-free integer $M \geq 2$ in the factorization $m_s(t) = Mr^2$, the unit $E_s(t) = \frac{1}{2}(t + r\sqrt{M})$ is the fundamental unit of norm s of $\mathbb{Q}(\sqrt{M})$ (according to the Table (3.1) in §3.1, we have $E_s(t) = \varepsilon_M$ if $s = -1$ or if $s = \mathbf{S} = 1$, then $E_s(t) = \varepsilon_M^2$ if $s = 1$ and $\mathbf{S} = -1$).*

Proof. Let $M_0 \geq 2$ be a given square-free integer. Consider the first occurrence $t = t_0$ giving $m_s(t_0) = M_0 r(t_0)^2$ if it exists (existence always fulfilled for $s = 1$ by Proposition 3.1); whence $M_0 = M(t_0)$. Suppose that $E_s(t_0) = \frac{1}{2}(t_0 + r(t_0)\sqrt{M(t_0)})$ is not the fundamental unit of norm s , $\varepsilon_{M(t_0)}^{n_0}$ ($n_0 \in \{1, 2\}$) but a non-trivial power $(\varepsilon_{M(t_0)}^{n_0})^n, n > 1$.

Put $\varepsilon_{M(t_0)}^{n_0} = \frac{1}{2}(a + b\sqrt{M(t_0)})$; from Table (3.1), $n_0 \in \{1, 2\}$ is such that $\mathbf{N}(\varepsilon_{M(t_0)}^{n_0}) = s$ (recall that if $s = 1$ and $\mathbf{S} = -1$, then $n_0 = 2$, if $\mathbf{S} = s = 1$, then $n_0 = 1$; if $s = -1$, necessarily $\mathbf{S} = -1$ and $n_0 = 1$, otherwise there were no occurrence of M_0 for $s = -1$ and $\mathbf{S} = 1$).

Then, Theorem 4.1 on the traces implies $0 < a < t_0$. We have:

$$a^2 - M(t_0)b^2 = 4s \text{ and } m_s(a) = a^2 - 4s =: M(a)r(a)^2;$$

but these relations imply $M(t_0)b^2 = M(a)r(a)^2$, whence $M(a) = M(t_0) = M_0$. That is to say, the pair (t_0, M_0) compared to $(a, M(a) = M_0)$, was not the first occurrence of M_0 (absurd). □

Corollary 4.3. *Let $t \in \mathbb{Z}_{\geq 1}$ and let $E_1(t) = \frac{1}{2}(t + \sqrt{t^2 - 4})$ of norm 1. Then $E_1(t)$ is a square of a unit of norm -1 , if and only if there exists $t' \in \mathbb{Z}_{\geq 1}$ such that $t = t'^2 + 2$; thus $E_1(t) = (\frac{1}{2}(t' + \sqrt{t'^2 + 4}))^2 = (E_{-1}(t'))^2$. So, the F.O.P. algorithm, with $m_1(t) =: M(t)r(t)^2$, gives the list of $[M(t), t]$ for which $\frac{1}{2}(t + \sqrt{t^2 - 4}) = \varepsilon_M^2$ (resp. ε_M) if $t - 2 = t'^2$ (resp. if not).*

Corollary 4.4. *Let $M \geq 2$ be a given square-free integer and consider the two lists given by the F.O.P. algorithm, for m_{-1} and m_1 , respectively. Then, assuming \mathbf{B} large enough, M appears in the two lists if and only if $\mathbf{S} = -1$. Then $t'^2 + 4 = Mr^2$ for t' minimal gives the fundamental unit $\varepsilon_M = \frac{1}{2}(t' + r'\sqrt{M})$ and $t^2 - 4 = Mr^2$, for t minimal, gives ε_M^2 ; whence $t = t'^2 + 2$ and $r = r't'$.*

For $s = -1$, hence $m_{-1}(t) = t^2 + 4$, $t \in [1, \mathbf{B}]$, we know, from Theorem 4.2, that the F.O.P. algorithm gives always the fundamental unit ε_M of $\mathbb{Q}(\sqrt{M})$ whatever its writing in $\mathbb{Z}[\sqrt{M}]$ or in $\mathbb{Z}[\frac{1+\sqrt{M}}{2}]$.

For $s = 1$ one obtains ε_M^2 if and only if $\mathbf{S} = -1$. So we can skip checking and use the following simpler program with larger upper bound $\mathbf{B} = 10^7$; the outputs are the Kummer radicals $[M]$ in the ascending order (specifying \mathbf{B} and s):

```

MAIN PROGRAM FOR FUNDAMENTAL UNITS OF NORM s
{B=10^7;s=-1;LM=List;for(t=2+s,B,mt=t^2-4*s;M=core(mt);L=List([M]);
listput(LM,vector(1,c,L[c]));VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);
print(VM);print("#VM = ",#VM)}
s=-1
[M]=
[2],[5],[10],[13],[17],[26],[29],[37],[41],[53],[58],[61],[65],[73],[74],[82],[85],
[89],[97],[101],[106],[109],[113],[122],[130],[137],[145],[149],[157],[170],[173],
[181],[185],[193],[197],[202],[218],[226],[229],[233],[257],[265],[269],[274],[277],
[281],[290],[293],[298],[314],[317],[346],[349],[353],[362],[365],[370],[373],[389],
(...)
[99999860000053],[99999900000029],[99999940000013],[99999980000005]
#VM = 9999742
s=1
[M]=
[2],[3],[5],[6],[7],[10],[11],[13],[14],[15],[17],[19],[21],[22],[23],[26],[29],[30],
[31],[33],[34],[35],[37],[38],[39],[41],[42],[43],[46],[47],[51],[53],[55],[57],[58],
[59],[61],[62],[65],[66],[67],[69],[70],[71],[73],[74],[77],[78],[79],[82],[83],[85],
[86],[87],[89],[91],[93],[94],[95],[101],[102],[103],[105],[107],[109],[110],[111],
(...)
[99999820000077],[99999860000045],[99999900000021],[99999979999997]
#VM = 9996610

```

The same program with outputs of the form $[M, r, t]$ for $s = 1$ gives many examples of squares of fundamental units. For instance, the data $[29, 5, 27]$ defines the unit $E_1(27) = \frac{1}{2}(27 + 5\sqrt{29})$ and since $27 - 2 = 5^2$, then $t' = 5$, $r' = 1$ and $E_1(27) = (\frac{1}{2}(5 + \sqrt{29}))^2 = \varepsilon_{29}^2$.

Some Kummer radicals giving units ε_M of norm -1 do not appear up to $\mathbf{B} = 10^7$, e.g., $M \in \{241, 313, 337, 394, \dots\}$; but all the Kummer radicals M , such that $\mathbf{S} = -1$, ultimately appear as \mathbf{B} increases. So, as $\mathbf{B} \rightarrow \infty$, any unit is obtained, which suggests the existence of natural densities in the framework of the F.O.P. algorithm. More precisely, in the list LM (i.e., before using $\text{VM} = \text{vecsort}(\text{vector}(\mathbf{B}, c, \text{LM}[c]), 1, 8)$), any Kummer radical M does appear in the list as many times as the trace, of any power ε_M^n (n odd), is less than \mathbf{B} , which gives for instance the case of $M = 5$ which appears four times for $n = 1, 3, 5, 7$ ($\mathbf{B} = 10^3$):

```

[M]=
[5],[2],[13],[5],[29],[10],[53],[17],[85],[26],[5],[37],[173],[2],[229],[65],[293],[82],[365],[101],
[445],[122],[533],[145],[629],[170],[733],[197],[5],[226],[965],[257],[1093],[290],[1229],[13],

```

This fact with Corollaries 4.3, 4.4 may suggest some analytic computations of densities (see a forthcoming paper [14] for more details). For this purpose, we give an estimation of the gap $\#\text{LM} - \#\text{VM} = \mathbf{B} - \#\text{VM}$.

Theorem 4.5. *Consider the F.O.P. algorithm for units, in the interval $[1, \mathbf{B}]$ and $s \in \{-1, 1\}$. Let Δ be the gap between \mathbf{B} and the number of results. Then, as $\mathbf{B} \rightarrow \infty$:*

- (i) *For the polynomial $m_{-1}(t) = t^2 + 4$, $\Delta \sim \mathbf{B}^{\frac{1}{3}}$,*
- (ii) *For the polynomial $m_1(t) = t^2 - 4$, $\Delta \sim \mathbf{B}^{\frac{1}{2}}$,*

Proof. (i) In the list LM of Kummer radicals giving units of norm -1 , we know, from Theorem 4.2, that one obtain first the fundamental unit $\varepsilon_0 := \varepsilon_{M_0}$ from the relation $t_0^2 + 4 = M_0 r_0^2$, then its odd powers $\varepsilon_{M_0}^{2n+1}$ for $n \in [1, n_{\max}]$ corresponding to some t_n such that $t_n^2 + 4 = M_0 r_n^2$ and $t_n \leq t_{\max}$ defined by the equivalence:

$$\frac{1}{2}(t_{\max} + r_{\max}\sqrt{M_0}) \sim \left(\frac{1}{2}(t_0 + r_0\sqrt{M_0})\right)^{2n_{\max}+1}$$

in an obvious meaning. Thus, the “maximal unit” is equivalent to \mathbf{B} giving

$$n_{\max} \sim \frac{1}{2} \left[\frac{\log \mathbf{B}}{\log t_0} - 1 \right].$$

So, we have to estimate the sums $\sum_{t \in [1, \mathbf{b}]} \frac{1}{2} \left[\frac{\log \mathbf{B}}{\log t} - 1 \right]$, where $\log(\mathbf{b}) \sim \frac{1}{3} \log(\mathbf{B})$.

Of course there will be repetitions in the sum, but a more precise estimation is not necessary and we obtain an upper bound:

$$\Delta \sim \sum_{t \in [1, \mathbf{b}]} \frac{1}{2} \left[\frac{\log \mathbf{B}}{\log t} - 1 \right] \sim \log \mathbf{b} \sum_{t \in [1, \mathbf{b}]} \frac{1}{\log t} \sim \log \mathbf{b} \cdot \frac{\mathbf{b}}{\log \mathbf{b}} \sim \mathbf{b} = \mathbf{B}^{\frac{1}{3}}.$$

(ii) In the case of norm 1, the list LM is relative to the fundamental units of norm 1 with all its powers (some are the squares of the fundamental units of norm -1); the reasoning is the same, replacing $\frac{1}{3}$ by $\frac{1}{2}$. □

4.3 Unlimited lists of fundamental integers of norm sv , $v \geq 2$

The F.O.P. algorithm always give lists of results, but contrary to units, some norms sv do not exist in a given field K ; in other words, the F.O.P. only give suitable Kummer radicals since sv is given.

Theorem 4.6. *Let $s \in \{-1, 1\}$ and $v \in \mathbb{Z}_{\geq 2}$ be given.*

(i) *A fundamental solution of the norm equation $u^2 - Mv^2 = 4sv$ (Definition 1.2) does exist if and only if there exists an integer principal ideal \mathfrak{a} of absolute norm v with a generator $\alpha \in \mathbf{Z}_K^+$ whose norm is of sign s .*

Under the existence of $\mathfrak{a} = (\alpha)$, with $\mathbf{N}(\alpha) = s'v$, $s' \in \{\pm 1\}$, another representative, modulo $\langle \varepsilon_M \rangle$, does exist in \mathbf{Z}_K^+ whatever s , as soon as $\mathbf{S} = -1$; otherwise, if $\mathbf{S} = 1$, a fundamental solution $\alpha \in \mathbf{Z}_K^+$ does exist if and only if $s' = s$.

(ii) *When the above conditions are fulfilled, the fundamental solution corresponding to the ideal \mathfrak{a} is unique (in the meaning that two generators of \mathfrak{a} in \mathbf{Z}_K^+ , having same trace, are equal) and found by the F.O.P. algorithm.*

Proof. (i) If $\mathfrak{a} = (\alpha)$, of absolute norm v , with $\alpha = \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K^+$, one obtains $u^2 - Mv^2 = 4sv$ for a suitable $s \in \{-1, 1\}$ giving a solution with $t = u$; then $m_{sv}(t) = t^2 - 4sv = M(t)r^2$, whence $M = M(u)$ and $r = v$, giving a (non-necessarily minimal) solution; so that the algorithm can give the minimal one.

Reciprocally, assume that the corresponding equation (in unknowns $t \geq 1$, $s = \pm 1$) $t^2 - 4sv = Mr^2$, $M \geq 2$ square-free, has a solution, whence $t^2 - Mr^2 = 4sv$. Set $\alpha := \frac{1}{2}(t + r\sqrt{M}) \in \mathbf{Z}_K^+$; then one obtains the principal ideal $\mathfrak{a} = (\alpha)\mathbf{Z}_K$ of absolute norm v .

(ii) Assume that α, β are two generators of \mathfrak{a} in \mathbf{Z}_K^+ with common trace $t \geq 1$. Put $\beta = \alpha \cdot \varepsilon_M^n$, $n \in \mathbb{Z}$, $n \neq 0$. Then:

$$\mathbf{T}(\beta) = \alpha \cdot \varepsilon_M^n + \alpha^\sigma \cdot \varepsilon_M^{n\sigma} = \frac{\alpha^2 \cdot \varepsilon_M^{2n} + s\mathbf{S}^n v}{\alpha \cdot \varepsilon_M^n}, \quad \mathbf{T}(\alpha) = \frac{\alpha^2 + sv}{\alpha};$$

thus $\mathbf{T}(\beta) = \mathbf{T}(\alpha)$ is equivalent to $\alpha^2 \cdot \varepsilon_M^{2n} + s\mathbf{S}^n v = \alpha^2 \cdot \varepsilon_M^n + s v \varepsilon_M^n$, whence to:

$$\alpha^2 \cdot \varepsilon_M^n (\varepsilon_M^n - 1) = (\varepsilon_M^n - \mathbf{S}^n) s v.$$

The case $\mathbf{S}^n = -1$ is not possible since $\mathbf{N}(\beta) = \mathbf{N}(\alpha) \cdot \mathbf{N}(\varepsilon_M^n)$; so, $\mathbf{S}^n = 1$, in which case, one gets $\alpha^2 \cdot \varepsilon_M^n = s v = \alpha^{1+\sigma}$, thus $\alpha^\sigma = \alpha \cdot \varepsilon_M^n$ and $\beta = \alpha^\sigma$, but in that case, $\beta \notin \mathbf{Z}_K^+$ (absurd). Whence the unicity. □

Remark 4.7. Consider the above case where α and β are two generators of \mathfrak{a} in \mathbf{Z}_K^+ with common trace $t \geq 1$ and norm sv . Thus, we have seen that $\beta = \alpha^\sigma = \alpha \cdot \varepsilon_M^n$. The ideal $\mathfrak{a} = (\alpha)$ is then invariant by $G := \text{Gal}(K/\mathbb{Q})$, so it is of the form $\mathfrak{a} = (q) \times \prod_p |D| \mathfrak{p}^{e_p}$, where $q \in \mathbb{Z}$, D is the discriminant of K , $\mathfrak{p}^2 = p\mathbf{Z}_K$ and $e_p \in \{0, 1\}$. In other words, we have to determine the principal ideals, products of distinct ramified prime ideals. This is done in details in [14, §§ 2.1, 2.2]

For instance, let $M = 15$ and $sv = -6$. One has the fundamental solution $\alpha = 3 + \sqrt{15}$ of norm -6 , with the trace $t = 6$; then $\alpha^\sigma = 3 - \sqrt{15} = \alpha \cdot (-4 + \sqrt{15}) = \alpha \cdot (-\varepsilon_M^{-1})$; similarly, for $sv = 10$, one has the fundamental solution $\alpha = 5 + \sqrt{15}$ of norm 10 , with trace $t = 10$ and the relation $\alpha^\sigma = 5 - \sqrt{15} = \alpha \cdot (4 - \sqrt{15}) = \alpha \cdot (\varepsilon_M^\sigma)$. These fundamental solutions are indeed given by the F.O.P. algorithm (see 4.3.1) by means of the data $[M, t]$ and the following instruction (s and v to be given):

```
{B=1000; s=-1; nu=6; LM=List; for (t=1, B, mt=t^2-4*s*nu; M=core(mt); L=List([M, t]);
listput(LM, vector(2, c, L[c]))); VM=vecsort(vector(B, c, LM[c]), 1, 8); print(VM); print("#VM = ", #VM)}
s.Nu=-6
[M, t]=
[1, 1], [6, 24], [7, 2], [10, 4], [15, 6], ...
s.Nu=10
[M, t]=
[-39, 1], [-31, 3], [-15, 5], [-6, 4], [-1, 2], [1, 7], [6, 8], [10, 20], [15, 10], ...
```


Depending on the choice of the polynomials $m_{-1}(t)$ or $m_1(t)$, consider for instance, the F.O.P. algorithm applied to $M = 13$ (for which $\mathbf{S} = -1$), $v = 3$, gives with $m_{-1}(t)$ the solution $[M = 13, t = 1]$ whence $\alpha = \frac{1}{2}(1 + \sqrt{13})$ of norm -3 ; with $m_1(t)$ it gives $[M = 13, t = 5]$, $\alpha = \frac{1}{2}(5 + \sqrt{13})$ of norm 3 ; the traces 1 and 5 are minimal for each case. We then compute that $\frac{1}{2}(5 + \sqrt{13}) = \frac{1}{2}(1 - \sqrt{13})(-\varepsilon_{13})$.

But with $M = 7$ (for which $\mathbf{S} = 1$), the F.O.P. algorithm with $m_{-1}(t)$ and $v = 3$ gives $[M = 7, t = 4]$ but nothing with $m_1(t)$.

Remark 4.8. A possible case is when there exist several principal integer ideals \mathfrak{a} of absolute norm $v\mathbb{Z}$ (for instance when $v = q_1q_2$ is the product of two distinct primes and if there exist two prime ideals $\mathfrak{q}_1, \mathfrak{q}_2$, of degree 1 , over q_1, q_2 , respectively, such that $\mathfrak{a} := \mathfrak{q}_1\mathfrak{q}_2$ and $\mathfrak{a}' := \mathfrak{q}_1\mathfrak{q}_2^\sigma$ are principal). Let $\mathfrak{a} := (\alpha)$ and $\mathfrak{a}' := (\alpha')$ of absolute norm v . We can assume that, in each set of generators, α and α' have minimal trace u and u' , and necessarily we have, for instance, $u' > u$; since the ideals \mathfrak{a} are finite in number, there exists an “absolute” minimal trace u defining the unique fundamental solution which is that found by the suitable F.O.P. algorithm.

For instance, let $s = -1$, $v = 15$; the F.O.P. algorithm gives the solution $[19, 4]$, whence $\alpha = 2 + \sqrt{19}$ of norm -15 . In $K = \mathbb{Q}(\sqrt{19})$ we have prime ideals $\mathfrak{q}_3 = (4 + \sqrt{19}) \mid 3$, $\mathfrak{q}_5 = (9 + 2\sqrt{19}) \mid 5$. Then we obtain the fundamental solution with $\mathfrak{a} = \mathfrak{q}_3^\sigma \mathfrak{q}_5$, while $\mathfrak{q}_3 \mathfrak{q}_5 = (74 + 17\sqrt{19})$. The fundamental unit is $\varepsilon_M = 170 + 39\sqrt{19}$ of norm $\mathbf{S} = 1$ and one computes some products $\pm \alpha \varepsilon_M^n$ giving a minimal trace with $n = -1$ and the non-fundamental solution $17 + 4\sqrt{19}$.

If $v = \prod_{q \mid v} q^{n_q}$, where q denotes distinct prime numbers, there exist integer ideals \mathfrak{a} of absolute norm $v\mathbb{Z}$ if and only if, for each inert $q \mid v$ then n_q is even. In the F.O.P. algorithm this will select particular Kummer radicals M for which each $q \mid v$, such that n_q is odd, ramifies or splits in $K = \mathbb{Q}(\sqrt{M})$; this is equivalent to $q \mid D$ (the discriminant of $K = \mathbb{Q}(\sqrt{M})$) or to $\rho_q := \left(\frac{M}{q}\right) = 1$ in terms of quadratic residue symbols; if so, we then have ideal solutions $\mathbf{N}(\mathfrak{a}) = v\mathbb{Z}$.

Let's write, with obvious notations $\mathfrak{a} = \prod_{q, \rho_q=0} q^{n_q} \prod_{q, \rho_q=-1} q^{2n'_q} \prod_{q, \rho_q=1} q^{n'_q} q^{n''_q \sigma}$. Then the equation becomes $\mathbf{N}(\mathfrak{a}') = v'\mathbb{Z}$ for another integral ideal \mathfrak{a}' and another $v' \mid v$, where \mathfrak{a}' is an integer ideal “without any rational integer factor”. Thus, $\mathbf{N}(\mathfrak{a}') = sv'$ is equivalent to $\mathfrak{a}' = \alpha' \mathbf{Z}_K$. This depends on relations in the class group of K and gives obstructions for some Kummer radicals M . Once a solution \mathfrak{a}' principal exists (non unique) we can apply Theorem 4.6.

4.3.1 Program for lists of quadratic integers of norm $v \geq 2$

The program for units can be modified by choosing an integer $v \geq 2$, a sign $s \in \{-1, 1\}$ and the polynomial $m_{sv}(t) = t^2 - 4sv$ (outputs $[M(t), t]$):

```
MAIN PROGRAM FOR FUNDAMENTAL INTEGERS OF NORM s.nu
{B=1000000; s=1; nu=2; LM=List; for (t=1, B, mt=t^2-4*s*nu; M=core(mt); L=List([M, t]);
listput(LM, vector(2, c, L[c]))); VM=vecsort(vector(B, c, LM[c]), 1, 8); print(VM); print("#VM = ", #VM)}
```

(i) $s = 1, v = 2$.

```
[M, t]=
[-7, 1], [-1, 2], [1, 3],
[2, 4], [7, 6], [14, 8], [17, 5], [23, 10], [31, 78], [34, 12], [41, 7], [46, 312], [47, 14], [62, 16], [71, 118],
[73, 9], [79, 18], [89, 217], [94, 2928], [97, 69], [103, 954], [113, 11], [119, 22], [127, 4350], [137, 199],
[142, 24], [151, 83142], [158, 176], [161, 13], [167, 26], [191, 5998], [193, 56445], [194, 28],
[199, 255078], [206, 488], [217, 15], [223, 30], [233, 6121], [238, 216],
(... )
[999986000041, 999993], [999990000017, 999995], [999994000001, 999997], [999997999993, 999999]
#VM = 999909
```

(ii) $s = -1, v = 3$.

```
[M, t]=
[1, 2],
[3, 6], [7, 4], [13, 1], [19, 8], [21, 3], [31, 22], [37, 5], [39, 12], [43, 26], [57, 30], [61, 7], [67, 16],
[73, 34], [91, 38], [93, 9], [97, 1694], [103, 20], [109, 73], [111, 42], [127, 586], [129, 318],
[133, 11], [139, 448], [151, 172], [157, 50], [163, 1864], [181, 13], [183, 54], [193, 379486],
[199, 28], [201, 1758], [211, 58], [217, 766], [237, 15], [241, 62], [247, 220], [259, 32], [271, 428],
(... )
[999986000061, 999993], [999990000037, 999995], [999994000021, 999997], [999998000013, 999999]
#VM = 999866
```

Consider the output $[93, 9]$ ($M = 3 \cdot 31, t = 9, r = 1$); then $\alpha = A_{-3}(9) = \frac{1}{2}(9 + \sqrt{3 \cdot 31})$ of norm -3 with ramified prime 3 ; it is indeed the minimal solution since the equation reduces to $3x'^2 + 4 = 31y^2$ with minimal $x' = 3$, then minimal trace $x = 9$.

For the output [193, 379486], $\alpha = A_{-3}(379486) = \frac{1}{2}(379486 + 27316\sqrt{193})$ of norm -3 ; this is the minimal solution despite of a large trace, but $\varepsilon_{193} = \frac{1}{2}(1764132 + 126985\sqrt{193})$ is very large and cannot intervene to decrease the size.

(iii) $s = 1, v = 15$.

```
[M, t]=
[-59, 1], [-51, 3], [-35, 5], [-14, 2], [-11, 4], [-6, 6], [1, 8],
[10, 10], [21, 9], [34, 14], [61, 11], [66, 18], [85, 20], [106, 22], [109, 13], [129, 24], [154, 26],
[165, 15], [181, 28], [201, 312], [210, 30], [229, 17], [241, 32], [265, 1400], [274, 34], [301, 19],
[309, 36], [346, 38], [349, 131], [354, 414], [381, 21], [385, 40], [394, 278], [409, 41216], [421, 3919],
(...)
[999982000021, 999991], [999985999989, 999993], [999993999949, 999997], [999997999941, 999999]
#VM = 999815
s=-1 nu=15
[M, t]=
[1, 2],
[6, 6], [10, 10], [15, 30], [19, 4], [31, 8], [34, 22], [46, 26], [51, 12], [61, 1], [69, 3], [79, 16], [85, 5],
[94, 38], [106, 82], [109, 7], [114, 42], [115, 20], [139, 94], [141, 9], [151, 98], [159, 24], [166, 206],
[181, 11], [186, 54], [190, 110], [199, 536], [211, 28], [214, 58], [229, 13], [241, 52658], [249, 126],
[265, 130], [271, 32], [274, 1258], [285, 15], [310, 70], [331, 7714], [334, 146], [339, 36],
(...)
[999986000109, 999993], [999990000085, 999995], [999994000069, 999997], [999998000061, 999999]
#VM = 999782
```

For instance, [85, 5] illustrates Theorem 4.6 with the solution $\alpha = \frac{1}{2}(5 + \sqrt{85})$ of norm -15 , with $(\alpha)\mathbf{Z}_K = \mathfrak{q}_3\mathfrak{q}_5$, where 3 splits in K and 5 is ramified; one verifies that the ideals \mathfrak{q}_3 and \mathfrak{q}_5 are non-principal, but their product is of course principal. For this, one obtains the following PARI/GP verifications:

```
k=bnfinit(x^2-85)
k.clgp=[2, [2], [[3, 1; 0, 1]]]
idealfactor(k, 3)=[[3, [0, 2]~, 1, 1, [-1, -1]~]1], [[3, [2, 2]~, 1, 1, [0, -1]~]1]
idealfactor(k, 5)=[[5, [1, 2]~, 2, 1, [1, 2]~]2]
bnfisprincipal(k, [3, [2, 2]~, 1, 1, [0, -1]~])=[[1]~, [1, 0]~]
bnfisprincipal(k, [5, [1, 2]~, 2, 1, [1, 2]~])=[[1]~, [1, 1/3]~]
A=idealmul(k, [3, [2, 2]~, 1, 1, [0, -1]~], [5, [1, 2]~, 2, 1, [1, 2]~])
bnfisprincipal(k, A)=[[0]~, [2, -1]~]
nfbasis(x^2-85)=[1, 1/2*x-1/2]
```

The data $[[0], [2, -1]]$ gives the principality with generator $[2, -1]$ denoting (because of the integral basis $\{1, \frac{1}{2}x - \frac{1}{2}\}$ used by PARI), $2 - [\frac{1}{2}\sqrt{85} - \frac{1}{2}] = \frac{1}{2}(5 - \sqrt{85}) = \alpha^\sigma$.

(iv) $s = -1, v = 9 \times 25$.

```
[M, t]=
[1, 16],
[2, 30], [5, 15], [10, 10], [13, 20], [17, 120], [26, 6], [29, 12], [34, 18], [37, 5], [41, 24], [53, 105],
[58, 70], [61, 25], [65, 240], [73, 80], [74, 42], [82, 270], [85, 35], [89, 48], [97, 1280], [101, 3],
[106, 54], [109, 9], [113, 23280], [122, 330], [130, 110], [137, 52320], [145, 360], [146, 66],
[149, 21], [157, 55], [170, 390], [173, 195], [178, 130], [181, 27], [185, 2040],
(...)
[999966001189, 999983], [999978001021, 999989], [999982000981, 999991], [999994000909, 999997]
#VM = 999448
```

The case [37, 5] may be interpreted as follows: $m_{-1}(5) = 5^2 + 4 \cdot 9 \cdot 25 = 5^2 \cdot 37$, whence $A_{-1}(5) = \frac{1}{2}(5 + 5\sqrt{37}) = 5 \cdot \frac{1}{2}(1 + \sqrt{37}) = 5B$, where $B := \frac{1}{2}(1 + \sqrt{37})$ is of norm -9 and 5 is indeed inert in K . Thus $\frac{1}{2}(1 + \sqrt{37})\mathbf{Z}_K$ is the square of a prime ideal \mathfrak{q}_3 over 3. The field K is principal and we compute that $\mathfrak{q}_3 = \frac{1}{2}(5 \pm \sqrt{37})\mathbf{Z}_K$, $\mathfrak{q}_3^2 = \frac{1}{2}(31 \pm 5\sqrt{37})\mathbf{Z}_K$. So, $B\mathbf{Z}_K = \frac{1}{2}(1 + \sqrt{37})\mathbf{Z}_K = \frac{1}{2}(31 + 5\sqrt{37})\mathbf{Z}_K$ or $\frac{1}{2}(31 - 5\sqrt{37})\mathbf{Z}_K$. We have $\varepsilon_{37} = 6 + \sqrt{37}$ and we obtain that $B = \frac{1}{2}(31 - 5\sqrt{37}) \cdot \varepsilon_{37}$, showing that $\alpha = 5 \cdot \frac{1}{2}(1 + \sqrt{37})$ is the fundamental solution of the equation $\mathbf{N}(\alpha) = 3^2 \cdot 5^2$ with minimal trace 5.

For larger integers v , fundamental solutions are obtained easily, as shown by the following example with the prime $v = 1009$:

(v) $s = -1, v = 1009$.

```
[M, t]=
[2, 14], [5, 13], [10, 102], [29, 100], [37, 21], [41, 8], [58, 42], [74, 58], [101, 305], [109, 1617],
[113, 656], [137, 2504], [157, 108], [173, 17], [185, 1168], [197, 259], [202, 35958], [205, 33],
[209, 4192], [218, 854], [241, 380808], [253, 681], [269, 620], [290, 158], [313, 384], [314, 2090],
```

```
[317, 1316], [337, 6792], [341, 67], [353, 16496], [370, 1422], [394, 86742],
(... )
[999986004085, 999993], [999990004061, 999995], [999994004045, 999997], [999998004037, 999999]
#VM = 999664
```

We finish with a highly composed number v , not obvious for a calculation by hand:

(vi) $s = 1, v = 2 \times 3 \times 5 \times 7$.

```
[M, t]=
[-839, 1], [-831, 3], [-815, 5], [-791, 7], [-759, 9], [-719, 11], [-671, 13], [-615, 15], [-551, 17],
[-479, 19], [-399, 21], [-311, 23], [-215, 25], [-209, 2], [-206, 4], [-201, 6], [-194, 8], [-185, 10],
[-174, 12], [-161, 14], [-146, 16], [-129, 18], [-111, 27], [-110, 20], [-89, 22], [-66, 24], [-41, 26],
[-14, 28], [1, 29], [15, 30], [46, 32], [79, 34], [114, 36], [151, 38], [190, 40], [226, 332], [231, 42],
[249, 33], [274, 44], [319, 46], [366, 48], [385, 35], [415, 50], [466, 52], [511, 2758], [519, 54],
[526, 872], [574, 56], [609, 273], [610, 4100], [631, 58], [679, 574], [681, 39], [690, 60], [721, 511],
[751, 62], [814, 64], [834, 636], [865, 1265], [879, 66], [919, 2486], [946, 68], [991, 30158], [1009, 43],
(... )
[999985999209, 999993], [999989999185, 999995], [999993999169, 999997], [999997999161, 999999]
#VM = 999715
```

We have not dropped the negative radicals meaning, for instance with $M = -839$, that a solution of the norm equation does exist in $\mathbb{Q}(\sqrt{-839})$ with $\alpha = \frac{1}{2}(1 + \sqrt{-839})$, or with $M = -14$ giving $\alpha = 14 + \sqrt{-14}$.

5. Universality of the Polynomials m_{sv}

Let's begin with the following obvious result making a link with polynomials m_{sv} .

Lemma 5.1. *Let $M \geq 2$ be a square-free integer and $K = \mathbb{Q}(\sqrt{M})$; then, any $\alpha \in \mathbf{Z}_K^+$ is characterized by its trace $a \in \mathbb{Z}$ and its norm $sv, s \in \{-1, 1\}, v \in \mathbb{Z}_{\geq 1}$; from these data, $\alpha = \frac{1}{2}(a + b\sqrt{M})$ where b is given by $m_{sv}(a) =: Mb^2$.*

Proof. From the equation $\alpha^2 - a\alpha + sv = 0$, we get $\alpha = \frac{1}{2}(a + \sqrt{a^2 - 4sv})$, where necessarily $a^2 - 4sv =: Mb^2$ (unicity of the Kummer radical) giving $b > 0$ from the knowledge of a and sv . □

5.1 Mc Laughlin's polynomials

Consider some polynomials that one finds in the literature; for instance that of Mc Laughlin [2] obtained from “polynomial continued fraction expansion”, giving formal units, and defined as follows.

Let $m \geq 2$ be a given square-free integer and let $E_m = u + v\sqrt{m}, u, v \in \mathbb{Z}_{\geq 1}$, be the fundamental solution of the norm equation (or Pell–Fermat equation) $u^2 - mv^2 = 1$ (thus, $E_m = \epsilon_m^{n_0}, n_0 \in \{1, 2, 3, 6\}$). For such m, u, v , each of the data below leads to the *fundamental polynomial solution* of the norm equation $U(t)^2 - m(t)V(t)^2 = 1$ (see [2, Theorems 1–5]), giving the parametrized units $E_{M(t)} = U(t) + V(t)r\sqrt{M(t)}$, of norm 1 of $\mathbb{Q}(\sqrt{M(t)})$, where $m(t) =: M(t)r(t)^2, M(t)$ square-free.

The five polynomials $m(t)$ are:

$$\left\{ \begin{array}{l} mcl_1(t) = v^2t^2 + 2ut + m, \\ \quad U(t) = v^2t + u, V(t) = v; \\ mcl_2(t) = (u-1)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = (u-1)(v^4t^2 + 2v^2t) + u, V(t) = v^3t + v; \\ mcl_3(t) = (u+1)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = (u+1)(v^4t^2 + 2v^2t) + u, V(t) = v^3t + v; \\ mcl_4(t) = (u+1)^2v^2t^2 + 2(u^2 - 1)t + m, \\ \quad U(t) = \frac{(u+1)^2}{u-1}v^4t^2 + 2(u+1)v^2t + u, V(t) = \frac{u+1}{u-1}v^3t + v; \\ mcl_5(t) = (u-1)^2(v^6t^4 + 4v^4t^3 + 6v^2t^2) + 2(u-1)(2u-1)t + m, \\ \quad U(t) = (u-1)(v^6t^3 + 3v^4t^2 + 3v^2t) + u, V(t) = v^3t + v. \end{array} \right.$$

Note that for $mcl_1(t)$ one may also use a unit $E_m = u + v\sqrt{m}$ of norm -1 since $U(t)^2 - mcl_1(t)V(t)^2 = u^2 - mv^2$, which is not possible for the other polynomials.

We may enlarge the previous list with cases where the coefficients of E_m may be half-integers defining more general units (as $\varepsilon_5, \varepsilon_{13}$ of norm -1 in the case of $mcl_1(t)$, then as ε_{21} of norm 1 for the other $mcl(t)$). This will give $E_m = \varepsilon_m$ or ε_m^2 .

So we have the following transformation of the $mcl(t), U(t), V(t)$, that we explain with $mcl_1(t)$. The polynomial $mcl_1(t)$ fulfills the condition $U(t)^2 - mcl_1(t)V(t)^2 = u^2 - mv^2$, which is the norm of $E_m = u + v\sqrt{m}$; so we can use any square-free integer $m \equiv 1 \pmod{4}$ such that $E_m = \frac{1}{2}(u + v\sqrt{m})$, $u, v \in \mathbb{Z}_{\geq 1}$ odd, and we obtain the formal unit $E_{M(t)} = \frac{1}{2}(U(t) + V(t)\sqrt{mcl_1(t)})$ under the condition t even to get $U(t), V(t) \in \mathbb{Z}_{\geq 1}$. This gives the polynomials $mcl_6(t) = v^2t^2 + 2ut + m$ and the coefficients $U(t) = \frac{1}{2}(v^2t + u)$, $V(t) = \frac{1}{2}v$ of a new unit, with $mcl_6(t) = M(t)r(t)^2$, for all $t \geq 0$.

For the other $mcl(t)$ one applies the maps $t \mapsto 2t, t \mapsto 4t$, depending on the degrees; so we obtain the following list, where the resulting unit is $E_{M(t)} = U(t) + V(t)r(t)\sqrt{M(t)}$, of norm ± 1 , under the conditions $m \equiv 1 \pmod{4}$ and $\varepsilon_m = \frac{1}{2}(u + v\sqrt{m})$, u, v odd:

$$\left\{ \begin{array}{l} mcl_6(t) = v^2t^2 + 2ut + m, \\ \quad U(t) = \frac{1}{2}(v^2t + u), V(t) = \frac{1}{2}v; \\ mcl_7(t) = (u-2)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = \frac{1}{2}((u-2)(v^4t^2 + 2v^2t) + u), V(t) = \frac{1}{2}(v^3t + v); \\ mcl_8(t) = (u+2)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = \frac{1}{2}((u+2)(v^4t^2 + 2v^2t) + u), V(t) = \frac{1}{2}(v^3t + v); \\ mcl_9(t) = (u+2)^2v^2t^2 + 2(u^2 - 4)t + m, \\ \quad U(t) = \frac{1}{2}\left(\frac{(u+2)^2}{u-2}v^4t^2 + 2(u+2)v^2t + u\right), V(t) = \frac{1}{2}\left(\frac{u+2}{u-2}v^3t + v\right); \\ mcl_{10}(t) = (u-2)^2(v^6t^4 + 4v^4t^3 + 6v^2t^2) + 4(u-2)(u-1)t + m, \\ \quad U(t) = \frac{1}{2}((u-2)(v^6t^3 + 3v^4t^2 + 3v^2t) + u), V(t) = \frac{1}{2}(v^3t + v). \end{array} \right.$$

5.2 Application to finding units

In fact, these numerous families of parametrized units are nothing but the units $E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s})$ when the parameter $T = U(t)$ is a given polynomial expression. This explain that the properties of the units $E_s(T)$ are similar to that of the two universal units $E_s(t)$, for $t \in \mathbb{Z}_{\geq 1}$, but, a priori, the F.O.P. algorithm does not give fundamental units when $T(t)$ is not a degree 1 monic polynomial; nevertheless it seems that the algorithm gives most often fundamental units, at least for all $t \gg 0$.

We give the following example, using for instance the Mc Laughlin polynomial $mcl_{10}(t)$ with $m = 301, u = 22745, v = 1311$, corresponding to, $\varepsilon_m = \frac{1}{2}(22745 + 1311\sqrt{301})$ of norm 1 (program of Section 3); this will give enormous units $E_{M(t)} =: \varepsilon_{M(t)}^n$. The output is of the form $[M(t), r(t), n]$. Then there is no exception to $E_{M(t)} = \varepsilon_{M(t)}$ (i.e., $n = 1$); moreover, one sees many cases of non-square-free integers $mcl_{10}(t)$:

```
Mc LAUGHLIN UNITS
{B=1000;LN=List;LM=List;u=22745;v=1311;for(t=1,B,
mt=(u-2)^2*(v^6*t^4+4*v^4*t^3+6*v^2*t^2)+4*(u-2)*(u-1)*t+301;
ut=1/2*(u-2)*(v^6*t^3+3*v^4*t^2+3*v^2*t)+u;vt=1/2*(v^3*t+v);
C=core(mt,1);M=C[1];r=C[2];D=quaddisc(M);w=quadgen(D);
Y=quadunit(D);res=Mod(M,4);if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);L=List([M,r,n]);
listput(LM,vector(3,c,L[c]));VM=vecsort(vector(B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);for(k=1,#VM,n=VM[k][3];if(n>1,Ln=VM[k];
listput(LN,vector(3,c,Ln[c])));Vn=vecsort(LN,1,8);
print("exceptional powers:",Vn)}
[M,r,n]=
[656527122296918386395032242,2,1],[1594671238615711306590405613,63245,1],
[6538031892707128354912512481,1400,1],[8374054846220987469202089646,14,1],
[13294653599300065679245260247,4,1],[17461037237177260272395675419,140,1],
[28515629817043220531451663970,7672,1],[42017686932862256394245096245,1,1],
(...)
[2626102383534535069268098426753041168301,1,1]
#VM = 1000
exceptional powers : List([])
```

Using the Remark 1.5, with $\mathbf{B} = 10^3$ and $m(t)$ of degree 4, with leading coefficient:

$$a_4\mathbf{B}^4 = (22745 - 2)^2 \cdot 1311^6 \cdot 10^{12} = 2626102377422775499879732689000000000000,$$

one gets $\log(2626102383534535069268098426753041168301)/\log(a_4\mathbf{B}^4) \approx 1.000000000025\dots$

6. Non p -rationality of Quadratic Fields

6.1 Recalls about p -rationality

Let $p \geq 2$ be a prime number. The definition of p -rationality of a number field lies in the framework of abelian p -ramification theory. The references we give in this article are limited to cover the subject and concern essentially recent papers; so the reader may look at the historical of the abelian p -ramification theory that we have given in [15, Appendix], for accurate attributions, from Šafarevič's pioneering results, about the numerous approaches (class field theory, Galois cohomology, pro- p -group theory, infinitesimal theory); then use its references concerning developments of this theory (from our Crelle's papers 1982–1983, Jaulent's infinitesimals [16] (1984), Jaulent's thesis [17] (1986), Nguyen Quang Do's article [18] (1986), Movahhedi's thesis [19] (1988), Movahhedi–Nguyen Quang Do [20] (1990), and subsequent papers); all prerequisites and developments are available in our book [21] (2005).

Definition 6.1. *A number field K is said to be p -rational if K fulfills the Leopoldt conjecture at p and if the torsion group \mathfrak{T}_K of the Galois group of the maximal abelian p -ramified (i.e., unramified outside p and ∞) pro- p -extension of K is trivial.*

We will use the fact that, for totally real fields K , we have the formula:

$$\#\mathfrak{T}_K = \#\mathcal{C}_K' \cdot \#\mathcal{R}_K \cdot \#\mathcal{W}_K, \tag{6.1}$$

where \mathcal{C}_K' is a subgroup of the p -class group \mathcal{C}_K and where \mathcal{W}_K depends on local and global p -roots of unity; for $K = \mathbb{Q}(\sqrt{M})$ and $p > 2$, $\mathcal{C}_K' = \mathcal{C}_K$ and $\mathcal{W}_K = 1$ except if $p = 3$ and $M \equiv -3 \pmod{9}$, in which case $\mathcal{W}_K \simeq \mathbb{Z}/3\mathbb{Z}$. For $p = 2$, $\mathcal{C}_K' = \mathcal{C}_K$ except if $K(\sqrt{2})/K$ is unramified (i.e., if $M = 2M_1$, $M_1 \equiv 1 \pmod{4}$). Then \mathcal{R}_K is the “normalized p -adic regulator” of K (general definition for any number field in [22, Proposition 5.2]). For $K = \mathbb{Q}(\sqrt{M})$ and $p \neq 2$, $\#\mathcal{R}_K \sim \frac{1}{p} \log_p(\epsilon_M)$; for $p = 2$, $\#\mathcal{R}_K \sim \frac{1}{2^d} \log_2(\epsilon_M)$, where $d \in \{1, 2\}$ is the number of prime ideals above 2.

So $\#\mathfrak{T}_K$ is divisible by the order of \mathcal{R}_K , which gives a sufficient condition for the non- p -rationality of K . Since $\mathcal{C}_K = \mathcal{W}_K = 1$ for $p \gg 0$, the p -rationality only depends on \mathcal{R}_K in almost all cases.

Proposition 6.2. ([23, Proposition 5.1]) *Let $K = \mathbb{Q}(\sqrt{m})$ be a real quadratic field of fundamental unit ϵ_m . Let $p > 2$ be a prime number with residue degree $f \in \{1, 2\}$.*

- (i) *For $p \geq 3$ unramified in K , $v_p(\#\mathcal{R}_K) = v_p(\epsilon_m^{p^f - 1} - 1) - 1$.*
- (ii) *For $p > 3$ ramified in K , $v_p(\#\mathcal{R}_K) = \frac{1}{2}(v_p(\epsilon^{p-1} - 1) - 1)$, where $\mathfrak{p}^2 = (p)$.*
- (iii) *For $p = 3$ ramified in K , $v_3(\#\mathcal{R}_K) = \frac{1}{2}(v_3(\epsilon^6 - 1) - 2 - \delta)$, where $\mathfrak{p}^2 = (3)$ and $\delta = 1$ (resp. $\delta = 3$) if $m \not\equiv -3 \pmod{9}$ (resp. $m \equiv -3 \pmod{9}$).*

A sufficient condition for the non-triviality of \mathcal{R}_K that encompasses all cases (since the decomposition of p in $\mathbb{Q}(\sqrt{M(t)})$ is unpredictable in the F.O.P. algorithm) is $\log_p(\epsilon_m) \equiv 0 \pmod{p^2}$; this implies that ϵ_m is a local p th power at p . It suffices to force the parameter t to be such that a suitable prime-to- p power of $E_s(t) = \frac{1}{2}(t + r(t)\sqrt{M(t)})$ is congruent to 1 modulo p^2 . So, exceptions may arrive only when $E_s(t)$ is a global p th power.

6.2 Remarks about p -rationality and non- p -rationality

In some sense, the p -rationality of K comes down to saying that the p -arithmetic of K is as simple as possible and that, on the contrary, the non p -rationality is the standard context, at least for some p for K fixed and very common when K varies in some families, for p fixed.

a) In general, most papers intend to find p -rational fields, a main purpose being to prove the existence of families of p -rational quadratic fields (see, e.g., [24, 25, 26, 27, 28, 29, 30, 31, 23, 32, 33, 34, 35]); for this there are three frameworks that may exist in general, but, to simplify, we restrict ourselves to real quadratic fields:

- (i) The quadratic field K is fixed and it is conjectured that there exist only finitely many primes $p > 2$ for which K is non p -rational, which is equivalent to the existence of finitely many p for which $\frac{1}{p} \log_p(\epsilon_K) \equiv 0 \pmod{p}$.

(ii) The prime $p > 2$ is fixed and it is proved/conjectured that there exist infinitely many p -rational quadratic field K , which is equivalent to the existence of infinitely many K 's for which the p -class group is trivial and such that $\frac{1}{p} \log_p(\epsilon_K)$ is a p -adic unit; this aspect is more difficult because of the p -class group.

(iii) One constructs some families of fields $K(p)$ indexed by p prime. These examples of quadratic fields often make use of Lemma 5.1 to get interesting radicals and units.

For instance we have considered in [23, § 5.3] (as many authors), the polynomials $t^2 p^{2\rho} + s$ for p -adic properties of the unit $E = t^2 p^{2\rho} + s + t p^\rho \sqrt{t^2 p^{2\rho} + 2s}$ of norm 1.

Taking " $p = \frac{1}{2}, t = 1$ ", one gets the unit $E = p + s + \sqrt{p(p+2s)}$ considered in [25] where it is proved that for $p > 3$, the fields $\mathbb{Q}(\sqrt{p(p+2)})$ are p -rational since the p -class group is trivial (for analytic reasons) and the unit $p + 1 + \sqrt{p(p+2)}$ is not a local p -power. Note that $4p(p+2s) = m_1(2p+2s)$, since $\mathbf{N}(E) = 1$ for all s .

Similarly, in [27], is considered the bi-quadratic fields $\mathbb{Q}(\sqrt{p(p+2)}, \sqrt{p(p-2)})$ containing $\mathbb{Q}(\sqrt{p^2-4})$ giving the unit $\frac{1}{2}(p + \sqrt{p^2-4})$ still associated to $m_1(p)$; the p -rationality comes from the control of the p -class group since the p -adic regulators are obviously p -adic units.

Finally, in [32], is considered the tri-quadratic fields $\mathbb{Q}(\sqrt{p(p+2)}, \sqrt{p(p-2)}, \sqrt{-1})$ which are proven to be p -rational for infinitely many primes p ; but these fields are imaginary, so that one has to control the p -class group by means of non-trivial analytic arguments.

The p -rational fields allow many existence theorems and conjectures (as the Greenberg's conjecture [36] on Galois representations with open images, yielding to many subsequent papers as [25, 27, 28, 29, 37, 17, 32]); they give results in the pro- p -group Galois theory [33]. Algorithmic aspects of p -rationality may be found in [38, 15, 39] and in [13] for the logarithmic class group having strong connexions with \mathfrak{T}_K in connection with another Greenberg conjecture [10] (Iwasawa's invariants $\lambda = \mu = 0$ for totally real fields); for explicit characterizations in terms of p -ramification theory, see [12, 40], Greenberg's conjecture being obvious when $\mathfrak{T}_K = 1$.

b) We observe with the following program that the polynomials:

$$m_s(p+1) = (p+1)^2 - 4s \quad \text{and} \quad m_s(2p+2) = 4(p+1)^2 - 4s$$

always give p -rational quadratic fields, apart from very rare exceptions (only four ones up to 10^6) due to the fact that the units $E_s(p+1) = \frac{1}{2}(p+1 + \sqrt{(p+1)^2 - 4s})$ and $E_s(2p+2) = p+1 + \sqrt{(p+1)^2 - s}$ may be a local p -power as studied in [31] in a probabilistic point of view (except in the case of $E_1(2p+2) = 1 + p + \sqrt{p^2 + 2p} \equiv 1 \pmod{p}$, with $p^2 = (p)$, thus never local p th power):

```
{nu=8;L=List([-4,-1,1,4]);for(j=1,4,d=L[j]);print("m(p)=(p+1)^2-(",d,")");
forprime(p=3,1000000,M=core((p+1)^2-d);K=bnfinit(x^2-M);
wh=valuation(K.no,p);Kmod=bnrinit(K,p^nu);CKmod=Kmod.cyc;
val=0;d=#CKmod;for(k=1,d-1,C1=CKmod[d-k+1];
w=valuation(C1,p);if(w>0,val=val+w));if(val>0,
print("p=",p," M=",M," v_p(#(p-class group))=",wh," v_p(#(p-torsion group))=",val))}
```

```
m(p)=(p+1)^2+4, p=13      M=2          v_p(#(p-class group))=0
                               v_p(#(p-torsion group))=1
m(p)=(p+1)^2+1, p=11     M=145       v_p(#(p-class group))=0
                               v_p(#(p-torsion group))=2
                p=16651  M=277289105 v_p(#(p-class group))=0
                               v_p(#(p-torsion group))=1
m(p)=(p+1)^2-1, p=3      M=15        v_p(#(p-class group))=0
                               v_p(#(p-torsion group))=1
m(p)=(p+1)^2-4
```

The case of $p = 3, M = 15$ does not come from the regulator, nor from the class group, but from the factor $\#\mathcal{W}_K = 3$ since $15 \equiv -3 \pmod{9}$; but this case must be considered as a trivial case of non- p -rationality.

c) For real quadratic fields, the 2-rational fields are characterized via a specific genus theory and are exactly the subfields of the form $\mathbb{Q}(\sqrt{m})$ for $m = 2, m = \ell, m = 2\ell$, where ℓ is a prime number congruent to $\pm 3 \pmod{8}$ (see proof and history in [38, Examples IV.3.5.1]). So we shall not consider the case $p = 2$ since the non-2-rational quadratic fields may be easily deduced, as well as fields with non-trivial 2-class group.

d) Nevertheless, these torsion groups \mathfrak{T}_K are "essentially" the Tate-Šafarevič groups (see their cohomological interpretations in [18]):

$$\mathbb{I}_K^2 := \text{Ker} \left[\mathbb{H}^2(\mathcal{G}_{K,S_p}, \mathbb{F}_p) \rightarrow \bigoplus_{p \in S_p} \mathbb{H}^2(\mathcal{G}_{K_p}, \mathbb{F}_p) \right],$$

where S_p is the set of p -places of K , \mathcal{G}_{K,S_p} the Galois group of the maximal S_p -ramified pro- p -extension of K and \mathcal{G}_{K_p} the local analogue over K_p ; so their non-triviality has an important arithmetic meaning about the arithmetic complexity of the number fields (see for instance computational approach of this context in [41] for the pro-cyclic extension of \mathbb{Q} and the analysis of the Greenberg's conjecture [10] in [40]). When the set of places S does not contain S_p , few things are known about $\mathcal{G}_{K,S}$; see for instance Maire's survey [42] and its bibliography, then [15, Section 3] for numerical computations.

In other words, the non- p -rationality (equivalent, for $p > 2$, to $\text{III}_K^2 \neq 0$) is an obstruction to a local-global principle and is probably more mysterious than p -rationality. Indeed, in an unsophisticated context, it is the question of the number of primes p such that the Fermat quotient $\frac{2^{p-1}-1}{p}$ is divisible by p , for which only two solutions are known; then non- p -rationality is the same problem applied to algebraic numbers, as units ε_M ; this aspect is extensively developed in [31] for arbitrary Galois number fields).

6.3 Families of local p -th power units – Computation of \mathfrak{T}_K

We shall force the non triviality of \mathcal{B}_K to obtain the non- p -rationality of K .

6.3.1 Definitions of local p -th power units

Taking polynomials stemming from suitable polynomials m_s we can state:

Theorem 6.3. *Let $p > 2$ be a prime number and let $s \in \{-1, 1\}$.*

(a) *Let $a \in \mathbb{Z}_{\geq 1}$ and $\delta \in \{1, 2\}$. We consider $T := 2\delta^{-1}(ap^4t^2 - \delta s)$ and $m_1(T)$ giving rise to the unit:*

$$E_1(T) = \frac{1}{2}(T + \sqrt{T^2 - 4}) = \frac{1}{\delta}(ap^4t^2 - \delta s + p^2t\sqrt{a^2p^4t^2 - 2\delta as}),$$

of norm 1, which is local p th power at p .

For instance, the cases $(a, \delta) \in \{(1, 1), (1, 2), (2, 1), (3, 1), (3, 2), (4, 1), (5, 1), (5, 2)\}$ give distinct units.

(b) *Consider $T := t_0 + p^2t$ and $m_s(T) = T^2 - 4s$ and the units of norm s , $E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s})$; they are, for all t , local p th power at p for suitable t_0 depending on p and s , as follows:*

(i) *For $t_0 = 0$, the units $E_s(T) = E_s(p^2t)$ are local p th powers at p .*

(ii) *For $p \not\equiv 5 \pmod{8}$, there exist $s \in \{-1, 1\}$ and $t_0 \in \mathbb{Z}_{\geq 1}$ solution of the congruence $t_0^2 \equiv 2s \pmod{p^2}$ such that the units $E_s(T)$ are local p th powers at p . As examples, we get the data:*

$$(p = 3, s = -1, t_0 \in \{4, 5\}), (p = 7, s = 1, t_0 \in \{10, 39\}), (p = 11, s = -1, t_0 \in \{19, 102\}), \\ (p = 17, s = -1, t_0 \in \{24, 265\}); s = 1, t_0 \in \{45, 244\}.$$

(c) *As t grows from 1 up to \mathbf{B} , for each first occurrence of a square-free integer $M \geq 2$ in the factorization $m(t) = a^2p^4t^2 - 2\delta as = M(t)r(t)^2$ (case (a)), or the factorization $m(t) = (t_0 + p^2t)^2 - 4s = M(t)r(t)^2$ (case (b)), the quadratic fields $\mathbb{Q}(\sqrt{M(t)})$, are non p -rational, apart possibly when $\frac{1}{\delta}(ap^4t^2 - \delta s + p^2t r(t)\sqrt{M(t)}) \in \langle \varepsilon_{M(t)}^p \rangle$ (case (a)), or $\frac{1}{2}(t_0 + p^2t + \sqrt{(t_0 + p^2t)^2 - 4s}) \in \langle \varepsilon_{M(t)}^p \rangle$ (case (b)).*

Proof. The case (a) is obvious since one computes that the unit is congruent to $-s$ modulo p^2 because of $T^2 - 4 \equiv 0 \pmod{p^4}$. Since the case (b) (i) is also obvious, assume $t_0 \not\equiv 0 \pmod{p^2}$. We have:

$$(E_s(T))^2 \equiv \frac{1}{2}(T^2 - 2s + T\sqrt{T^2 - 4s}) \pmod{p^2},$$

whence $(E_s(T))^2 \equiv \frac{t_0}{2}\sqrt{T^2 - 4s} \pmod{p^2}$ under the condition $t_0^2 \equiv 2s \pmod{p^2}$. So, $E_s(T)^4 \equiv \frac{1}{4}t_0^2(t_0^2 - 4s) \equiv -1 \pmod{p^2}$, whence the result. One computes that $t_0^2 \equiv 2s \pmod{p^2}$ has solutions for $(p-1)(p+1) \equiv 0 \pmod{16}$ when $s = 1$ and $(p-1)(p+5) \equiv 0 \pmod{16}$ when $s = -1$. \square

For instance, in case (a), from various examples of pairs (a, δ) , we shall use:

$$m(t) = p^4t^2 - s, m(t) = p^4t^2 - 2s, m(t) = p^4t^2 - 4s, m(t) = 9p^4t^2 - 6s, \\ m(t) = 9p^4t^2 - 12s, m(t) = 4p^4t^2 - 2s, m(t) = 25p^4t^2 - 10s, m(t) = 25p^4t^2 - 20s.$$

The case (b) has the advantage that the traces of the units are in $O(t)$ instead of $O(t^2)$ for case (a).

Since in many computations we are testing if some unit $E_s(T)$ is a global p th power, we state the following result which will be extremely useful in practice because it means that the exceptional cases are present only at the beginning of the F.O.P. list:

Theorem 6.4. Let T be of the form $T = ct^h + c_0$, $c \geq 1$, $h \geq 1$, $c_0 \in \mathbb{Z}$ fixed and set $T^2 - 4s = M(t)r(t)^2$ when t runs through $\mathbb{Z}_{\geq 1}$. For $\mathbf{B} \gg 0$, the maximal bound $M_{\mathbf{B}}^{\text{pow}}$ of the square-free integers $M(t)$, obtained by the F.O.P. algorithm, for which $E_s(T) := \frac{1}{2}(T + \sqrt{T^2 - 4s})$ may be a p th power in $\langle \varepsilon_{M(t)} \rangle$ (whence the field $\mathbb{Q}(\sqrt{M(t)})$ being p -rational by exception), is of the order of $(c^2 \mathbf{B}^{2h})^{\frac{1}{p}}$ as $\mathbf{B} \rightarrow \infty$.

Proof. Put $\varepsilon_M = \frac{1}{2}(a + b\sqrt{M})$ as usual; then we can write $\varepsilon_M \sim b\sqrt{M}$ and $E_s(T) \sim T$ so that T and $(b\sqrt{M})^p$ are equivalent as M and \mathbf{B} tend to infinity; taking the most unfavorable case $b = 1$, we conclude that $M_{\mathbf{B}}^{\text{pow}} \ll (c^2 \mathbf{B}^{2h})^{2/p}$ in general. \square

For instance $T = t_0 + p^2 t$, of the case (b) of Theorem 6.3, gives a bound $M_{\mathbf{B}}^{\text{pow}}$, of possible exceptional Kummer radicals, of the order of $(p^4 \mathbf{B}^2)^{1/p}$. This implies that when $\mathbf{B} \rightarrow \infty$, the density of Kummer radicals M such that $E_s(T)$ is not a global p th power is equal to 1. With $\mathbf{B} = 10^6$, often used in the programs, the bound $M_{\mathbf{B}}^{\text{pow}}$ tends to 1 quickly as p increases. In practice, for almost all primes p , the F.O.P. lists are without any exception (only the case $p = 3$ gives larger bounds, as $M_{10^6}^{\text{pow}} \approx 43267$ for the above example; but it remains around $10^6 - 43267 = 956733$ certified solutions M).

6.3.2 Program of computation of \mathfrak{T}_K

In case a) of Theorem 6.3, we give the program using together the 16 parametrized radicals and we print short excerpts. The parameter e must be large enough such that p^e annihilates \mathfrak{T}_K . From [38, Theorem 2.1], \mathfrak{T}_K is obtained as soon as the program gives the same result by increasing e by one unit; for instance, $e = 2$ (for $p \neq 2$) and $e = 3$ (for $p = 2$), only gives the p -rank of \mathfrak{T}_K , whence a test for the p -rationality. Any prime number $p > 2$ may be illustrated (here we take $p = 3, 5, 7$). A part of the program is given in [38] for any number field.

For convenience, we replace a data of the form [7784110, List([9])], in the outputs, by [7784110, [9]] giving a 3-group \mathfrak{T}_K of $\mathbb{Q}(\sqrt{7784110})$ isomorphic to $\mathbb{Z}/9\mathbb{Z}$.

```
{B=10000;p=3;Lm=List([List([1,-4]),List([1,-2]),List([1,-1]),List([1,1]),List([1,2]),List([1,4]),
List([4,-2]),List([4,2]),List([9,-6]),List([9,6]),List([9,-12]),List([9,12]),List([25,-10]),
List([25,10]),List([25,-20]),List([25,20])));e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,for(ell=1,16,a=Lm[ell][1];b=Lm[ell][2];mt=a*t^2*p4+b;M=core(mt);
K=bnfinit(x^2-M,1);Kmod=bnrinit(K,p^e);CKmod=Kmod.cyc;
Tn=List;d=#CKmod;for(k=1,d-1,C1=CKmod[d-k+1];w=valuation(C1,p);
if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]);listput(LM,vector(2,c,L[c])));
VM=vecsort(vector(16*B,c,LM[c]),1,8);print(VM);print("#VM = ",#VM);
for(k=1,#VM,T=VM[k];if(T[2]==List([]),listput(Ln,vector(1,c,T[c])));
Vn=vecsort(Ln,1,8);print("exceptions:",Vn))
p=3
[M,Tn]=
[[2,[]],[3,[]],[5,[]],[6,[3]],[7,[]],[10,[]],[11,[]],[13,[]],[14,[]],[15,[3]],[21,[]],
[23,[]],[29,[9]],[33,[3]],[34,[]],[35,[]],[37,[]],[38,[]],[42,[9]],[53,[]],[55,[]],
[58,[3]],[61,[]],[62,[3]],[69,[3]],[74,[9]],[77,[3]],[78,[3]],[79,[9]],[82,[3]],[
[83,[3]],[85,[3]],[87,[3]],[93,[3]],[103,[3]],[106,[3]],[109,[3]],[110,[]],[115,[]],
[122,[81]],[141,[9]],[142,[3]],[143,[]],[145,[]],[146,[]],[151,[3]],[159,[3]],[173,[3]],
(... )
[202378518245,[3]],[202419008110,[27,3]],[202459502005,[27,9]],[202459502015,[81]],
[202459502035,[81]],[202459502045,[81,3]],[202499999990,[3]],[202500000010,[3]]]
#VM = 139954
exceptions:List([[2],[3],[5],[7],[10],[11],[13],[14],[21],[23],[34],[35],[37],[38],[53],
[55],[61],[110],[115],[143],[145],[146],[205],[215],[221],[226],[227],[230],[437],[439],
[442],[445],[577],[890],[902],[905],[910],[1085],[1087],[1093],[1517],[1762],[1766],
[2605],[3595],[3605],[5605],[5615],[5645],[11005]])
p=5
[M,Tn]=
[[2,[]],[3,[]],[5,[]],[6,[]],[21,[]],[23,[]],[26,[]],[29,[]],[38,[5]],[39,[5]],[51,[5]],
[62,[25]],[69,[5]],[89,[25]],[102,[]],[107,[5]],[114,[5]],[127,[5]],[134,[5]],[161,[5]],
[183,[5]],[186,[5]],[213,[]],[219,[]],[231,[]],[237,[]],[278,[5]],[287,[5]],[295,[25]],
[326,[5]],[382,[5]],[422,[5]],[434,[25]],[453,[5]],[467,[5]],[501,[5]],[509,[5]],
[514,[25]],[519,[5]],[574,[5]],[581,[5]],[606,[125]],[623,[5]],[626,[5]],[627,[5]],
[629,[5]],[645,[5]],[662,[5]],[674,[5]],[761,[5]],
(... )
[1561562640635,[125]],[1561562640645,[25]],[1561875062510,[25]],[1562187515605,[625]],
[1562187515615,[125]],[1562187515635,[25]],[1562187515645,[625]],[1562500000010,[15625]]]
#VM = 139982
exceptions:List([[2],[3],[5],[6],[21],[23],[26],[29],[102],[213],[219],[231],[237]])
p=7
```



```
[M, Tn]=
[[6, [7]], [37, [7]], [74, [7]], [101, [7]], [123, [7]], [145, [49]], [149, [7]], [206, [7]], [214, [7]],
[215, [7]], [219, [7]], [267, [7]], [505, [7]], [554, [7]], [570, [7]], [629, [7]], [663, [7]], [741, [7]],
[817, [49]], [834, [49]], [887, [49]], [894, [7]], [1067, [7]], [1373, [49]], [1446, [7]], [1517, [7]],
[1590, [7]], [1893, [7]], [2085, [7]], [2162, [7]], [2302, [49]], [2355, [7]], [2397, [7]], [2399, [7]],
[2402, [7]], [2405, [7]], [2498, [7]], [2567, [7]], [2615, [7]], [2679, [7]], [2742, [7]], [2778, [7]],
(...)]
[5998899040235, [49]], [6000099240090, [7]], [6000099240110, [7]], [6001299560005, [7]],
[6001299560015, [7]], [6001299560035, [7]], [6001299560045, [7]], [6002499999990, [7]]]
#VM = 139991
exceptions:List({})
```

For $p = 11$ and 13 no exception is found for $B = 10^4$.

The case b) of Theorem 6.3 gives an analogous program and will be also illustrated in the Section 7 about p -class groups, especially for the case $p = 3$. The results are similar and give, in almost cases, non-trivial p -adic regulators \mathcal{R}_K , hence non- p -rational fields K :

```
p-RATIONALITY
{B=10000;p=3;e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,forstep(s=-1,1,2,mt=p4*t^2-4*s;M=core(mt);
K=bnfinit(x^2-M,1);Kmod=bnrinit(K,p^e);CKmod=Kmod.cyc;
Tn=List;d=#CKmod;for(k=1,d-1,C1=CKmod[d-k+1];w=valuation(C1,p);
if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]);listput(LM,vector(2,c,L[c])));
VM=vecsort(vector(2*B,c,LM[c]),1,8);print(VM);print("#VM = ",#VM);
for(k=1,#VM,T=VM[k];if(T[2]==List({}),listput(Ln,vector(1,c,T[c])));
Vn=vecsort(Ln,1,8);print("exceptions:",Vn)}
p=3
[M, Tn]=
[[2, []], [5, []], [10, []], [13, []], [14, []], [29, [9]], [35, []], [37, []], [58, [3]], [61, []], [62, [3]],
[74, [9]], [77, [3]], [82, [3]], [85, [3]], [106, [3]], [109, [3]], [110, []], [122, [81]], [143, []],
[145, []], [173, [3]], [181, [3]], [182, [9]], [202, [3]], [221, []], [226, []], [229, [3]], [257, [27]],
[287, [3]], [323, [3]], [359, [9]], [397, [3]], [401, [3]], [410, [27]], [437, []], [442, []], [445, []],
[506, [9]], [515, [3]], [518, [3]], [533, [3]], [626, [3]], [635, [3]], [674, [9]], [730, [27]],
(...)]
[8078953685, [81]], [8078953693, [9]], [8082189805, [3, 3]], [8085426557, [9]],
[8085426565, [9]], [8088663965, [9]], [8091902021, [3]], [8095140733, [3]],
[8098380077, [27, 3]], [8098380085, [27]]]
#VM = 19990
exceptions:List([[2], [5], [10], [13], [14], [35], [37], [61], [110], [143], [145], [221], [226],
[437], [442], [445], [1085], [1093], [1517]])
```

```
p=5
[M, Tn]=
[[6, []], [21, []], [26, []], [29, []], [39, [5]], [51, [5]], [69, [5]], [89, [25]], [114, [5]], [161, [5]],
[326, [5]], [434, [25]], [501, [5]], [509, [5]], [514, [25]], [574, [5]], [581, [5]], [626, [5]],
[629, [5]], [674, [5]], [761, [5]], [789, [5]], [791, [5]], [874, [5]], [1086, [5]], [1111, [5, 5]],
[1191, [5]], [1351, [5]], [1406, [5]], [1641, [625]], [1761, [5]], [1851, [5]], [1914, [5]],
(...)]
[62412530621, [5]], [62412530629, [5]], [62437515621, [25]], [62437515629, [125]],
[62462505621, [5]], [62462505629, [5]], [62487500621, [5]], [62487500629, [5]]]
#VM = 19996
exceptions:List([[6], [21], [26], [29]])
```

```
p=7
[M, Tn]=
[[6, [7]], [37, [7]], [101, [7]], [145, [49]], [149, [7]], [206, [7]], [215, [7]], [554, [7]], [570, [7]],
[629, [7]], [663, [7]], [741, [7]], [817, [49]], [894, [7]], [1067, [7]], [1373, [49]], [1517, [7]],
[1893, [7]], [2085, [7]], [2162, [7]], [2302, [49]], [2355, [7]], [2397, [7]], [2402, [7]], [2405, [7]],
[2498, [7]], [2567, [7]], [2679, [7]], [2742, [7]], [2845, [7]], [2915, [49]], [3162, [7]], [3477, [7]],
(...)]
[239668014477, [7]], [239668014485, [7]], [239763977645, [343]], [239763977653, [7]],
[239859960029, [7]], [239955961613, [7]], [240051982397, [7]], [240051982405, [7]]]
#VM = 19998
exceptions:List({})
```

6.4 Infiniteness of non p -rational real quadratic fields

All these experiments raise the question of the infiniteness, for any given prime $p \geq 22$, of non p -rational real quadratic fields when the non p -rationality is due to $\mathcal{R}_K \equiv 0 \pmod{p}$ (i.e., $\log(\epsilon_M) \equiv 0 \pmod{p^2}$). The case $p = 2$ being trivial because

of genus theory for 2-class groups, we suppose $p > 2$. However, it is easy to prove this fact for $p = 2$ by means of the regulators.

6.4.1 Explicit families of units

We will build parametrized Kummer radicals and units, in the corresponding fields, which are not p th power of a unit; the method relies on the choice of suitable values of the parameter trace t . This will imply the infiniteness of degree $p - 1$ imaginary cyclic fields of the Section 7 having non trivial p -class group.

Theorem 6.5. (i) Let $q \equiv 1 \pmod{p}$ be prime, let $\bar{c} \notin \mathbb{F}_q^{\times p}$ and $t_q \in \mathbb{Z}_{\geq 1}$ such that $t_q \equiv \frac{c^2+s}{2cp^2} \pmod{q}$. Then, whatever the bound \mathbf{B} , the F.O.P. algorithm applied to the polynomial $m(t_q + qx) = p^4(t_q + qx)^2 - s$, $x \in \mathbb{Z}_{\geq 0}$, gives lists of distinct Kummer radicals M , in the ascending order, such that $\mathbb{Q}(\sqrt{M})$ is non- p -rational.

(ii) For any given prime $p > 2$ there exist infinitely many real quadratic fields K such that $\mathcal{R}_K \equiv 0 \pmod{p}$, whence infinitely many non p -rational real quadratic fields.

Proof. (i) *Criterion of non p th power.* Consider $m(t) = p^4t^2 - s$ and the unit $E_s(2p^2t) = p^2t + \sqrt{p^4t^2 - s}$ of norm s and local p th power at p (this may be seen, computing the square of the unit). Choose a prime $q \equiv 1 \pmod{p}$ and let $c \in \mathbb{Z}_{>1}$ be non p th power modulo q (whence $(q-1)(1 - \frac{1}{p})$ possibilities). Let $t \equiv \frac{c^2+s}{2cp^2} \pmod{q}$; then:

$$\mathbf{N}(E_s(2p^2t) - c) = \mathbf{N}(p^2t - c + \sqrt{p^4t^2 - s}) = (p^2t - c)^2 - p^4t^2 + s = c^2 + s - 2cp^2t \equiv 0 \pmod{q}.$$

Such value of t defines the field $\mathbb{Q}(\sqrt{M(t)})$, via $p^4t^2 - s = M(t)r(t)^2$, and whatever its residue field at q (\mathbb{F}_q or \mathbb{F}_{q^2}), we get $E_s(2p^2t) \equiv c \pmod{q}$, for some $q \mid q\mathbb{Z}$; since in the inert case, $\#\mathbb{F}_q^{\times} = (q-1)(q+1)$, with $q+1 \not\equiv 0 \pmod{p}$, c is still non p th power, and $E_s(2p^2t)$ is not a local p th power modulo q , hence not a global p th power.

(ii) *Infiniteness.* Now, for simplicity to prove the infiniteness, we restrict ourselves to the case $m(t) = p^4t^2 - 1$ (the case $m(t) = p^4t^2 + 1$ may be considered with a similar reasoning in $\mathbb{Z}[\sqrt{-1}]$ instead of \mathbb{Z}). Let ℓ be a prime number arbitrary large and consider the congruence:

$$p^2(t_q + qx) \equiv 1 \pmod{\ell};$$

it is equivalent to $x = x_0 + y\ell$, $y \in \mathbb{Z}_{\geq 0}$, where x_0 is a residue modulo ℓ of the constant $\frac{1-t_q p^2}{q p^2}$; so, we have $p^2(t_q + qx_0) - 1 = \lambda \ell^n$, $n \geq 1$, $\ell \nmid \lambda$. Computing these $m(t)$'s, with $t = t_q + (x_0 + y\ell)q$, gives:

$$p^4(t_q + q(x_0 + y\ell))^2 - 1 = [p^2(t_q + q(x_0 + y\ell)) - 1] \cdot [p^2(t_q + q(x_0 + y\ell)) + 1] \equiv 0 \pmod{\ell};$$

the right factor is prime to ℓ ; the left one is of the form $\lambda \ell^n + qyp^2\ell$, and whatever n , it is possible to choose y such that the ℓ -valuation of $\lambda \ell^{n-1} + qyp^2$ is zero. So, for such integers t , we have the factorization $m(t) = \ell M' r^2$, where $M' \geq 1$ is square-free and $M' r^2$ prime to ℓ , which defines $M := \ell M'$ arbitrary large.

This proves that in the F.O.P. algorithm, when $\mathbf{B} \rightarrow \infty$, one can find arbitrary large Kummer radicals $M(t_q + (x_0 + y\ell)q)$ such that the corresponding unit $E_1(t_q + (x_0 + y\ell)q)$ is a local p th power modulo p , but not a global p -th power. \square

The main property of the F.O.P. algorithm is that the Kummer radicals obtained are distinct and listed in the ascending order; without the F.O.P. process, all the integers $t = t_q + (x_0 + y\ell)q$ giving the same M give $E_1(t_q + (x_0 + y\ell)q) = \varepsilon_M^n$ with $n \not\equiv 0 \pmod{p}$.

6.4.2 Unlimited lists of non- p -rational real quadratic fields

Take $p = 3$, $q = 7$, $c \in \{2, 3, 4, 5\}$. With $m(t) = 81t^2 - 1$, then $t_q \in \{2, 5\}$; with $m(t) = 81t^2 + 1$, then $t_q \in \{3, 4\}$ and $t = t_q + 7x$, $x \geq 0$. The F.O.P. list is without any exception, giving non 3-rational quadratic fields $\mathbb{Q}(\sqrt{M})$ (in the first case, $p = 3$ is inert and in the second one, $p = 3$ splits. We give the corresponding list using together the four possibilities:

```
NON p-RATIONAL REAL QUADRATIC FIELDS I
{B=1000000;p=3;Lm=List([List([-1,3]),List([-1,4]),List([1,2]),List([1,5])]);Ln=List;LM=List;
for(t=1,B,for(ell=1,4,s=Lm[ell][1];tq=Lm[ell][2];M=core(81*(tq+7*t)^2-s);L=List([M]);
listput(LM,vector(1,c,L[c])));VM=vecsort(vector(4*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM)}
[M]=
[58],[74],[106],[113],[137],[359],[386],[401],[410],[494],[515],[610],[674],[743],[806],
[842],[877],[1009],[1010],[1157],[1367],[1430],[1901],[1934],[2006],[2153],[2255],
[2522],[2678],[2822],[2986],[3014],[5266],[5513],[6626],[6707],[6722],[6890],[7310],
```

```
[7610], [7858], [7919], [8101], [8465], [8555], [8738], [8761], [9410], [9634], [9998], [11183],
[11195], [11237], [11447], [11509], [11537], [11663], [11890], [11965], [13427], [13645],
[14795], [16895], [16913], [17266], [18530], [19223], [19826], [20066], [20735], [21023],
[21317], [21389], [22730], [23066], [23102], [23410], [23626], [23783], [23963],
(... )
[248061933000323], [248063067000323], [248063350500730], [248063634001297]
#VM = 4000000
```

In case of doubt about the results, one may use the same program with the computation of $\#\mathfrak{I}_K$; but the execution time is much larger and it is not possible to take a large \mathbf{B} since the computations need the instructions $K = \text{bnfinit}(x^2 - M)$ and $K_{\text{mod}} = \text{bnrinit}(K, p^e)$ of class field theory package (the list below contains 42 outputs up to $M = 23963$, while the first one contains 80 Kummer radicals):

```
NON p-RATIONAL REAL QUADRATIC FIELDS II
{B=1000;p=3;Lm=List([List([-1,3]),List([-1,4]),List([1,2]),List([1,5])]);e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,for(ell=1,4,s=Lm[ell][1];t0=Lm[ell][2];M=core(81*(t0+7*t)^2-s);K=bnfinit(x^2-M);
Kmod=bnrinit(K,p^e);CKmod=Kmod.cyc;Tn=List;d=#CKmod;for(k=1,d-1,
Cl=CKmod[d-k+1];w=valuation(Cl,p);if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]);
listput(LM,vector(2,c,L[c]));VM=vecsort(vector(4*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);for(k=1,#VM,T=VM[k];if(T[2]==List([]),
listput(Ln,vector(1,c,T[c]));Vn=vecsort(Ln,1,8);print("exceptions:",Vn)}
[M,Tn]=
[[58,[3]],[74,[9]],[106,[3]],[359,[9]],[401,[3]],[410,[27]],[515,[3]],[674,[9]],[842,[9]],[
1009,[9]],[1157,[3]],[1367,[9]],[1430,[9]],[1934,[3]],[2255,[3]],[2678,[9]],[2822,[9]],[
3014,[3]],[5513,[9]],[6722,[27]],[6890,[3]],[7310,[3,3]],[7858,[9]],[7919,[3]],[8101,[3]],[
8465,[27]],[8555,[27]],[8738,[3]],[8761,[81]],[9410,[9]],[9634,[27,3]],[9998,[9,3]],
(... )
[3955403663,[27]],[3956535802,[3]],[3957668101,[27,3]],[3965598730,[3]],[
3966732323,[9,3]],[3971268323,[81]],[3972402730,[3]],[3973537297,[27]]]
#VM = 4000
exceptions : List([])
```

7. Application to p -Class Groups of Some Imaginary Cyclic Fields

Considering, now, the case b) of Theorem 6.3 for $p > 2$, we use the polynomial $m_s(T) = T^2 - 4s$, with $T = t_0 + p^2t$ (cases (i) with $t_0 = 0$, then case (ii) with $t_0 \equiv 2s \pmod{p^2}$), and the unit of norm s :

$$E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s}),$$

for suitable s and t_0 such that $E_s(T)$ be a local p th power at p , which is in particular the case for all $p > 2$ and all s when $t_0 = 0$. For $t_0 \neq 0$, we get the particular data when the equation $t_0^2 \equiv 2s \pmod{p^2}$ has solutions (which is equivalent to $p \not\equiv 5 \pmod{8}$):

$$(p = 3, s \in \{-1, 1\}, t_0 = 0), (p = 3, s = -1, t_0 \in \{4, 5\}), (p = 7, s = 1, t_0 \in \{10, 39\}), \\ (p = 11, s = -1, t_0 \in \{19, 102\}), (p = 17, s = -1, t_0 \in \{24, 265\}); s = 1, t_0 \in \{45, 244\}.$$

For $p = 2$, a “mirror field” may be taken in $\mathbb{Q}(\sqrt{-1}, \sqrt{M})$ (see, e.g., [43] for some results linking 2-class groups and norms of units).

The programs are testing that $E_s(T)$ is not the p th power in $\langle \mathcal{E}_M \rangle$.

7.1 Imaginary quadratic fields with non-trivial 3-class group

From the above, we obtain, as consequence, the following selection of illustrations (see Theorem 6.5 claiming that the F.O.P. lists are unbounded as $\mathbf{B} \rightarrow \infty$):

Theorem 7.1. *Let $t_0 \in \{0, 4, 5\}$ and $m(t) := (t_0 + 9t)^2 + 4$ if $t_0 \neq 0$, or $m(t) := (t_0 + 9t)^2 \pm 4$ if $t_0 = 0$. As t grows from 1 up to \mathbf{B} , each first occurrence of a square-free integer $M \geq 2$ in the factorization $m(t) = Mr^2$, the quadratic field $F_{3,M} := \mathbb{Q}(\sqrt{-3M})$ has its class number divisible by 3, except possibly when the unit $E_s(t_0 + 9t) := \frac{1}{2}(t_0 + 9t + r\sqrt{M})$ is a third power in $\langle \mathcal{E}_M \rangle$.*

The F.O.P. algorithm applied to the subset of parameters $t = 2 + 7x$ or $t = 5 + 7x$, $x \in \mathbb{Z}_{\geq 0}$ with $m(t) = 81t^2 - 1$, always gives non-trivial 3-class groups. Same results with $t = \pm 3 + 7x$ with $m(t) = 81t^2 + 1$.

Proof. If $E_s(t_0 + 9t)$ is not a third power in $\langle \varepsilon_M \rangle$ but a local 3^{rd} power at 3, it is 3-primary in the meaning that if ζ_3 is a primitive 3^{rd} root of unity, then $K(\zeta_3, \sqrt[3]{E_s((t_0 + 9t))})/K(\zeta_3)$ is unramified (in fact 3 splits in this extension). From reflection theorem (Scholz's Theorem in the present case), 3 divides the class number of $\mathbb{Q}(\sqrt{-3M})$, even when $r > 1$ in the factorization $m(t) = Mr^2$. The case of $t_0 = 0$ and $s = \pm 1$ is obvious. The second claim comes from Theorem 6.5 (see numerical part below). \square

7.1.1 Program for lists of 3-class groups of imaginary quadratic fields

Note that the case where $E_s(t_0 + 9t)$ is a third power is very rare because it happens only for very large $t_0 + 9t$ giving a small Kummer radical M . One may verify the claim by means of the following program, in the case $s = -1$ valid for all t_0 , where $[M, \text{Vh}]$ gives in Vh the 3-structure of the class group of $\mathbb{Q}(\sqrt{-3M})$; at the end of each output, one sees the list of exceptions (case of third powers), where the output $[M, n]$ means that for the Kummer radical $M = M(t)$, then $E_{-1}(t_0 + 9t) = \varepsilon_M^n$. We may see that any except for t large enough give no exceptions:

```
LISTS OF 3-CLASS GROUPS OF IMAGINARY QUADRATIC FIELDS
{p=3;B=100000;L3=List;Lh=List;Lt0=List([0,4,5]);for(t=1,B,
for(e11=1,3,t0=Lt0[e11];mt=(t0+9*t)^2+4;ut=(t0+9*t)/2;vt=1/2;
C=core(mt,1);M=C[1];r=C[2];res=Mod(M,4);D=quaddisc(M);w=quadgen(D);
Y=quadunit(D);if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);C3=List;K=bnfinit(x^2+3*M,1);
CK=K.cyc;d=#CK;for(j=1,d,C1=CK[d-j+1];val=valuation(C1,3);
if(val>0,listinsert(C3,3^val,1));L=List([M,C3,n]);
listput(Lh,vector(3,c,L[c]));Vh=vecsort(vector(3*B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,LC=Vh[k][2];if(LC==List([],),Ln=List([Vh[k][1],Vh[k][3]));
listput(L3,vector(2,c,Ln[c]));V3=vecsort(L3,1,8);
print("exceptional powers : ",V3)}
[M,C3,n]=
[[2,[],15],[5,[],9],[10,[],3],[13,[],3],[17,[],3],[26,[],3],[29,[3],5],[37,[],3],
[41,[],3],[53,[],3],[58,[3],1],[61,[],3],[65,[],3],[74,[3],1],[82,[3],1],[85,[3],1],
[101,[],3],[106,[3],1],[109,[3],1],[113,[3],1],[122,[3],1],[137,[3],1],[145,[],3],
[149,[],3],[170,[],3],[173,[9],1],[181,[3],1],[197,[],3],[202,[3],1],[226,[],3],
[229,[3],3],[257,[3],1],[290,[],3],[293,[],3],[314,[3],1],[317,[],3],[353,[3],1],
[362,[],3],[365,[],3],[397,[3],1],[401,[3],1],[442,[],3],[445,[],3],[461,[9],1],
[485,[],3],[530,[],3],[533,[9],1],[577,[],3],[610,[3],1],[626,[3],1],[629,[],3],
[653,[3],1],[677,[],3],[730,[3],1],[733,[9],1],[754,[3],1],[773,[3],1],[785,[3],3],
[842,[3],1],[877,[3],1],[901,[],3],[962,[],3],[965,[9],1],[997,[3],1],[1009,[3],1],
(...)]
[809976600173,[27],1],[809983800085,[81],1],[809991000029,[27],1],[810009000029,[9],1]
#Vh = 299963
exceptional powers:List([[2,15],[5,9],[10,3],[13,3],[17,3],[26,3],[37,3],[41,3],[53,3],
[61,3],[65,3],[101,3],[145,3],[149,3],[170,3],[197,3],[226,3],[290,3],[293,3],[317,3],
[362,3],[365,3],[442,3],[445,3],[485,3],[530,3],[577,3],[629,3],[677,3],[901,3],[962,3],
[1093,3],[1226,3],[1370,3],[1601,3],[1853,3],[2117,3],[2305,3],[2605,3],[2813,3],
[3029,3],[3253,3],[4229,3],[5045,3],[6245,3],[6893,3],[8653,3]])
```

Then $M_B = 810016200085$ and $\log(810016200085)/\log(81 \cdot 10^{10}) \approx 1.0000007293$; then $M_B^{\frac{1}{3}} \approx 9321.76$ give a good verification of the Heuristic 6.4. This also means that *all the integers M larger than 9029* leads to non-trivial 3-class groups, and they are very numerous !

We note that some M 's (as 29, 74, 82, 85, ...) are in the list of exceptions despite a non-trivial 3-class group; this is equivalent to the fact that, even if $E_{-1}(t_0 + 9t) \in \langle \varepsilon_M^3 \rangle$, either the 3-regulator \mathcal{R}_K of K is non-trivial or its 3-class group is non-trivial.

7.1.2 Unlimited lists of non-trivial 3-class groups

To finish, let's give the case where the F.O.P. algorithm *always* gives a non-trivial 3-class group in $\mathbb{Q}(\sqrt{-3M})$; we use together the 4 parametrizations given by Theorem 7.1 (outputs $[M, [3\text{ class group}]]$):

```
NON TRIVIAL 3-CLASS GROUPS OF IMAGINARY QUADRATIC FIELDS
{p=3;B=10000;Lh=List;Lm=List([List([-1,3]),List([-1,4]),List([1,2]),List([1,5])]);
for(t=1,B,for(e11=1,4,s=Lm[e11][1];t0=Lm[e11][2];M=core(81*(t0+7*t)^2-s);C3=List;
K=bnfinit(x^2+3*M);CK=K.cyc;d=#CK;for(j=1,d,C1=CK[d-j+1];
val=valuation(C1,3);if(val>0,listinsert(C3,3^val,1));L=List([M,C3]);
listput(Lh,vector(2,c,L[c]));Vh=vecsort(vector(4*B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh)}
[M,C3]=
```

```
[58, [3]], [74, [3]], [106, [3]], [359, [3]], [386, [3]], [401, [3]], [410, [3]], [494, [3]], [515, [3]],
[610, [3]], [674, [3]], [842, [3]], [877, [3]], [1009, [3]], [1157, [3]], [1367, [3]], [1430, [3]],
[1901, [9, 3]], [1934, [9]], [2153, [3]], [2255, [3]], [2678, [9]], [2822, [3]], [2986, [3]], [3014, [3]],
[5266, [3]], [5513, [3]], [6626, [9]], [6707, [3]], [6722, [3]], [6890, [3]], [7310, [3, 3]], [7858, [27]],
[7919, [3]], [8101, [3]], [8465, [9]], [8555, [9]], [8738, [3]], [8761, [9]], [9410, [3]], [9634, [9, 3]],
[9998, [3, 3]], [11183, [3]], [11237, [3]], [11447, [3]], [11509, [27]], [11537, [3]], [11663, [3, 3]],
[11965, [3]], [13427, [3]], [16895, [3]], [16913, [3, 3]], [17266, [9]], [18530, [3]], [20066, [3]],
(...)
[396877320323, [3]], [396922680323, [9]], [396934020730, [3]], [396945361297, [3]]
#Vh = 40000
```

7.2 Imaginary cyclic fields with non-trivial p -class group, $p > 3$

Let χ be the even character of order 2 defining $K := \mathbb{Q}(\sqrt{M})$, let $p \geq 3$ and let $L := K(\zeta_p)$ be the field obtained by adjunction of a primitive p th root of unity; we may assume that $K \cap \mathbb{Q}(\zeta_p) = \mathbb{Q}$, otherwise $M = p$ in the case $p \equiv 1 \pmod{4}$, case for which there is no known examples of p -primary fundamental unit. Let ω be the p -adic Teichmüller character (so that for all $\tau \in \text{Gal}(L/\mathbb{Q})$, $\zeta_p^\tau = \zeta_p^{\omega(\tau)}$).

Then, for any list of quadratic fields $\mathbb{Q}(\sqrt{M})$ obtained by the previous F.O.P. algorithm giving p -primary units E , the $\omega\chi^{-1}$ -component of the p -class group of L is non-trivial as soon as $E \notin \langle \epsilon_M^p \rangle$ and gives an odd component of the whole p -class group of L .

Theorem 7.2. *As t grows from 1 up to \mathbf{B} , each first occurrence of a square-free integer $M \geq 2$ in the factorization $m(t) := p^4 t^2 - 4s =: Mr^2$, the degree $p-1$ cyclic imaginary subfield of $\mathbb{Q}(\sqrt{M}, \zeta_p)$, distinct from $\mathbb{Q}(\zeta_p)$, has its class number divisible by p , except possibly when the unit $E_s(p^2 t) := \frac{1}{2}[p^2 t + r\sqrt{M}]$ is a p -th power in $\langle \epsilon_M \rangle$.*

7.2.1 Lists of 5-class groups of cyclic imaginary quartic fields

The following program for $p = 5$ verifies the claim with the above parametrized family testing if $E_s(p^2 t)$ is a p -power in $\langle \epsilon_M \rangle$. For $p = 5$, the mirror field $F_{5,M}$ is defined by the polynomial:

$$P = x^4 + 5 * M * x^2 + 5 * M^2,$$

still giving a particular faster program than the forthcoming one, valuable for any $p \geq 3$:

```
LISTS OF 5-CLASS GROUPS OF QUARTIC FIELDS
{p=5;B=100;s=-1;Lp=List;Lh=List;p2=p^2;p4=p^4;for(t=1,B,
mt=p4*t^2-4*s;ut=p2*t/2;vt=1/2;C=core(mt,1);M=C[1];r=C[2];
res=Mod(M,4);D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);z=1;n=0;
while(Z!=z,z=z*Y;n=n+1);P=x^4+5*M*x^2+5*M^2;K=bnfinit(P,1);
CK=K.cyc;C5=List;d=#CK;for(i=1,d,C1=CK[d-i+1]);
val=valuation(C1,p);if(val>0,listinsert(C5,p^val,1));L=List([M,C5]);
listput(Lh,vector(2,c,L[c]));Vh=vecsort(vector(B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,if(Vh[k][2]==List({}),listput(Lp,Vh[k]));Vp=vecsort(Lp,1,8);
print("exceptions:",Vp)
s=-1
[M,C5]=
[[89,[5]],[509,[5,5]],[626,[25,5]],[629,[5,5]],[761,[5]],[2501,[5]],[3554,[25]],
[5626,[5,5]],[5629,[5]],[10001,[5]],[15626,[5,5]],[15629,[25]],[22501,[5]],
[30626,[5,5]],[30629,[5]],[40001,[5]],[50626,[25,5]],[50629,[5]],[62501,[25,25]],
[75626,[5]],[75629,[5]],[90001,[5,5]],[105626,[125,25]],[105629,[5,5]],
(...)]
[5175629,[125]],[5405629,[5]],[5640629,[5]],[5880629,[5]],[6125629,[5]]
#Vh = 100
exceptions:List({})

s=1
[M,C5]=
[[39,[5]],[51,[5]],[69,[5]],[114,[5]],[326,[5]],[434,[25]],[574,[5,5]],[674,[5]],[791,[5]],
[1086,[5]],[1111,[5,5]],[1406,[5]],[1761,[5]],[1914,[5,5]],[3981,[5]],[4171,[5,5]],
[5621,[5]],[8789,[5,5]],[10421,[5]],[11289,[5,5]],[13611,[5]],[14189,[5]],[15621,[25]],
[18906,[5]],[20069,[5,5]],[20501,[5,5]],[22499,[25,25]],
(...)]
[4730621,[25,5]],[5405621,[5]],[5640621,[25]],[5880621,[5,5,5]],[6125621,[5]]]
#Vh = 100
exceptions:List({})
```

Taking $\mathbf{B} = 200$ with $s = -1$ leads to the exceptional case [29, []]. For $s = 1$ one gets the exceptional case [21, []].

7.2.2 General program giving the p -class group of degree $p-1$ imaginary fields

The following general program computes the defining polynomial P of the algebraic number field $F_{p,M} := \mathbb{Q}(\sqrt[p]{\zeta_p - \zeta_p^{-1}}\sqrt{M})$; it tests if the unit $E_s(p^2t)$ is the p th power in $\langle \varepsilon_M \rangle$, giving the list of exceptions. One has to choose p, \mathbf{B}, s :

```
LISTS OF p-CLASS GROUPS OF DEGREE p-1 IMAGINARY FIELDS I
{p=5;B=500;s=-1;Lp=List;Lh=List;Zeta=exp(2*I*Pi/p);p2=p^2;p4=p^4;
for(t=1,B,mt=p4*t^2-4*s;ut=p2*t/2;vt=1/2;C=core(mt,1);M=C[1];r=C[2];
res=Mod(M,4);D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);z=1;n=0;
while(Z!=z,z=z*Y;n=n+1);P=1;for(i=1,(p-1)/2,A=(Zeta^i+Zeta^-i-2)*M;
P=(x^2-A)*P;P=round(P);k=bnfinit(P,1);Ck=k.cyc;Cp=List;d=#Ck;
for(i=1,d,C1=Ck[d-i+1];val=valuation(C1,p);if(val>0,listinsert(Cp,p^val,1)));
L=List([M,Cp]);listput(Lh,vector(2,c,L[c]));Vh=vecsort(vector(B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,if(Vh[k][2]==List({}),listput(Lp,Vh[k]));Vp=vecsort(Lp,1,8);
print("exceptions:",Vp)}
s=-1
[M,Cp]=
[[29,[]],[89,[5]],[509,[5,5]],[626,[25,5]],[629,[5,5]],[761,[5]],[2501,[5]],[3554,[25]],
[5626,[5,5]],[5629,[5]],[10001,[5]],[15626,[5,5]],[15629,[25]],[19109,[5]],[22061,[5,5]],
[22501,[5]],[30626,[5,5]],[30629,[5]],[40001,[5]],[42341,[5]],[50626,[25,5]],
[50629,[5]],[62501,[25,25]],[70429,[25]],[75626,[5]],[75629,[5]],[82234,[5]],
[90001,[5,5]],[105626,[125,25]],[105629,[5,5]],[122501,[5]],[140626,[5]],[140629,[5,5]],
(...)]
[147015629,[5]],[148230629,[5]],[149450629,[5]],[150675629,[5,5,5]],
[151905629,[5]],[153140629,[5]],[154380629,[5]],[155625629,[5,5]]]
#Vh = 500
exceptions:List([[29,[]]])
s=1
[M,Cp]=
[[21,[]],[39,[5]],[51,[5]],[69,[5]],[114,[5]],[326,[5]],[434,[25]],[514,[5]],[574,[5,5]],
[581,[5,5]],[674,[5]],[791,[5]],[874,[5]],[1086,[5]],[1111,[5,5]],[1191,[5]],[1351,[25]],
[1406,[5]],[1641,[5]],[1761,[5]],[1851,[5]],[1914,[5,5]],[2399,[5]],[2599,[25]],
[3251,[25]],[3981,[5]],[4171,[5,5]],[5474,[5]],[5621,[5]],[5774,[5]],[8294,[25,5]],
[8789,[5,5]],[10421,[5]],[11289,[5,5]],[13611,[5]],[14189,[5]],[15621,[25]],
(...)]
[141015621,[5,5]],[142205621,[5,5]],[143400621,[25,5]],[144600621,[25,5]],
[145805621,[25]],[149450621,[5]],[150675621,[5,5]],[151905621,[5]],
[153140621,[5]],[155625621,[625,5]]]
#Vh = 500
exceptions:List([[21,List({})]])
```

In this interval, all the 5-class groups obtained are non-trivial, except for $s = -1$ and $M = 29$, then for $s = 1$ and $M = 21$. From Remark 1.5, we compute:

$$\log(155625629)/\log(5^4 \cdot 25 \cdot 10^4) \approx 0.99978777.$$

Theorem 6.4 gives possible exceptions up to $M_{\mathbf{B}}^{\frac{1}{3}} = 155625629^{\frac{1}{3}} \approx 43.49268545$.

One observes the spectacular decrease of counterexamples and the unique exception with $s = -1$, obtained for $t = 151$, $p^2t = 25 \cdot 151 = 3775$, $m_{-1}(3775) = 701^2 \times 29$; whence the PARI data:

$$Y = \text{Mod}(1/2*x + 5/2, x^2 - 29), \quad Z = \text{Mod}(2646275/2*x + 14250627/2, x^2 - 29)$$

(for ε_{29} and $E_{-1}(3775)$, respectively). One obtains easily the relation $E_{-1}(3775) = \varepsilon_{29}^{10}$. The case $s = 1$, $M = 21$ is analogous.

Consider the case $p = 7$, $s \in \{-1, 1\}$; exceptionally, we give the complete lists:

```
p=7 B=100 s=-1
[M,Cp]=
[[37,[7]],[2402,[7]],[2405,[7]],[4706,[7]],[9605,[7]],[10357,[7]],[11621,[49,7]],[21610,[7,7]],
[21613,[7,7]],[38417,[7]],[60026,[7,7]],[60029,[7]],[86437,[7,7]],[98345,[7]],[117653,[7]],
[146077,[7]],[153665,[7,7]],[177578,[7,7]],[194482,[7,7]],[194485,[49,7]],[240101,[7]],
[290522,[49]],[345745,[49]],[357365,[7]],[405770,[7,7]],[405773,[49,7]],[470597,[7,7]],
[540226,[7]],[540229,[7,7]],[614657,[7,7]],[693890,[7,7]],[693893,[7]],[760733,[7,7,7]],
[866762,[7,7]],[866765,[7,7]],[960401,[7,7]],[1058842,[7]],[1058845,[7,7]],[1162085,[49,7]],
[1270130,[49,7,7]],[1270133,[7]],[1382977,[7,7]],[1500626,[49]],[1500629,[7]],[1623077,[7]],
```

```
[1750330, [7]], [1882385, [7]], [2019242, [49]], [2019245, [7, 7]], [2160901, [7]], [2307362, [343]],
[2307365, [7, 7]], [2614690, [7, 7]], [2614693, [7]], [2775557, [7]], [2941226, [7]], [2941229, [49]],
[3111697, [7]], [3286970, [7]], [3286973, [7, 7]], [3467045, [7]], [3651922, [7]], [3841601, [7]],
[4036082, [7]], [4036085, [49]], [4235365, [7]], [4439453, [49]], [4648337, [49, 7]], [4862026, [7, 7]],
[4862029, [7]], [5080517, [7, 7]], [5303810, [7]], [5303813, [7]], [5531905, [7]], [5764802, [7, 7]],
[5764805, [7]], [6002501, [7]], [6245005, [7, 7]], [6744413, [49, 7]], [7263029, [7]], [7800853, [7]],
[8357885, [7]], [9529573, [49, 7, 7]], [10144229, [7]], [10778093, [7, 7]], [11431165, [49]],
[12103445, [49, 7]], [12794933, [7]], [13505629, [7]], [14235533, [7]], [14984645, [7]],
[15752965, [7]], [16540493, [7]], [17347229, [7]], [18173173, [7, 7, 7]], [19882685, [7]],
[20766253, [7]], [21669029, [7, 7]], [22591013, [7]], [23532205, [7, 7]]]
#Vh = 100
exceptions:List([])

p=7 B=100 s=1
[M, Cp]=
[[6, [7]], [741, [7, 7]], [817, [7, 7]], [1067, [7, 7]], [1517, [49]], [2302, [49]], [2397, [49]], [3477, [7]],
[3603, [49, 7]], [5402, [2401, 7]], [5645, [7, 7]], [8070, [49]], [8441, [7, 7]], [10421, [7]], [10842, [7, 7]],
[12155, [7]], [13702, [7]], [15006, [49]], [21605, [7, 7]], [27165, [7]], [35003, [7]], [38415, [7]],
[42803, [7]], [43637, [7]], [45085, [49]], [55319, [7]], [56090, [7, 7]], [63269, [7]], [64923, [7]],
[68295, [7]], [70013, [7]], [79383, [7]], [86435, [7]], [101442, [7]], [106711, [7]], [117645, [49, 7]],
[144210, [49]], [153663, [7, 7]], [163418, [7, 7]], [194477, [7]], [216690, [7, 7]], [228245, [7]],
[240099, [49, 7]], [252255, [7, 7]], [264710, [7]], [290517, [49, 7]], [308395, [7]], [345743, [7]],
[437582, [7, 7]], [448453, [49, 7]], [470595, [7]], [511797, [7]], [540221, [7]], [640533, [7, 7]],
[693885, [7]], [735306, [49, 7]], [777923, [7]], [821742, [7]], [866757, [7]], [928653, [49]],
[1058837, [49, 7]], [1162083, [7]], [1197565, [343]], [1215506, [7, 7]], [1500621, [7, 7]],
[1882383, [49, 7]], [1927469, [7]], [2019237, [7]], [2160899, [7]], [2407669, [7]], [2458623, [49]],
[2614685, [7, 7]], [2941221, [7, 7]], [3111695, [7]], [3651917, [7]], [3841599, [7, 7]], [4439445, [7]],
[4648335, [7]], [4862021, [49, 49, 7]], [5080515, [7]], [5303805, [7]], [5531903, [7]], [6002499, [7]],
[6244997, [7]], [6744405, [7, 7]], [7263021, [7, 7]], [7800845, [7]], [8934117, [7]], [9529565, [7]],
[10144221, [7]], [11431157, [7]], [13505621, [7]], [14984637, [7]], [16540485, [7]], [18173165, [7]],
[19018317, [7]], [19882677, [7]], [20766245, [7]], [22591005, [7]], [23532197, [7]]]
#Vh = 100
exceptions:List([])
```

Of course, $B = 100$ is insufficient to give smaller Kummer radicals, but it is only a question of execution time and memory due to the instruction `bnfinit(P, 1)` for P of degree $p - 1$. It is clear that the same program for the F.O.P. algorithm, without computation of the p -class group, gives unlimited lists of degree $p - 1$ imaginary cyclic fields with non-trivial p -class group, as soon as $M > M_B^{\text{pow}}$ (cf. Theorem 6.4):

```
LISTS OF p-CLASS GROUPS OF DEGREE p-1 IMAGINARY FIELDS II
{p=7;B=100000;s=1;LM=List;p4=p^4;for(t=1,B,mt=p4*t^2-4*s;M=core(mt);L=List([M]);
listput(LM,vector(1,c,L[c]));VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);print(s);print(VM)}
s=-1
[M]=
[37], [53], [74], [149], [554], [1373], [2237], [2402], [2405], [3026], [3242], [4706], [5882],
[7373], [9605], [10357], [11621], [18229],
(...)
[24006638717653], [24007599060029], [24008559421613], [24009519802405]]
s=1
[M]=
[5], [6], [101], [145], [206], [215], [570], [629], [663], [731], [741], [817], [887], [894], [1067],
[1207], [1389], [1517], [1893], [2085], [2162],
(...)
[24004718090517], [24005678394477], [24006638717645], [24008559421605]]
```

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