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Eskiőehir Technical University Journal of Science and Technology A - Applied Sciences and Engineering (ESTUJST-A) is a peer-reviewed and refereed international journal published by Eskiőehir Technical University. Since 2000, it has been regularly published and distributed biannually and it has been published quarterly and only electronically since 2016.

The journal accepts only manuscripts written in English.

The journal issues are published electronically in **March, June, September, and December**.

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Izadpanahi S, Ozcinar C, Anbarjafari G, Demirel H. Resolution enhancement of video sequences by using discrete wavelet transform and illumination compensation. *Turk J Elec Eng & Comp Sci* 2012; 20: 1268-1276.

### **Books**

Haupt RL, Haupt SE. *Practical Genetic Algorithms*. 2nd ed. New York, NY, USA: Wiley, 2004.

Kennedy J, Eberhart R. *Swarm Intelligence*. San Diego, CA, USA: Academic Press, 2001.

### **Chapters in books**

Poore JH, Lin L, Eschbach R, Bauer T. Automated statistical testing for embedded systems. In: Zander J, Schieferdecker I, Mosterman PJ, editors. *Model-Based Testing for Embedded Systems*. Boca Raton, FL, USA: CRC Press, 2012. pp. 111-146.

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Li RTH, Chung SH. Digital boundary controller for single-phase grid-connected CSI. In: *IEEE 2008 Power Electronics Specialists Conference*; 15–19 June 2008; Rhodes, Greece. New York, NY, USA: IEEE. pp. 4562-4568.

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Boynukalin Z. *Emotion analysis of Turkish texts by using machine learning methods*. MSc, Middle East Technical University, Ankara, Turkey, 2012.

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RESEARCH ARTICLE

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ENHANCEMENT OF HEAT TRANSFER WITH VISCOUS DISSIPATION IMPACT ON  
FLUID FLOW PAST A MOVING WEDGE IN A PERMEABLE DOMAIN

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ABSTRACT

The problem of modelling and analysis of fluid flow in the presence of viscous dissipation as a result of a wedge in motion is analytically and numerically deliberated upon. The much-valued significance of this study in the aspects of technological and industrial revolution include aerospace, oil recovery systems, defence machineries, extrusion, moulding and polymerisation of sheets, building of war arsenal and glass whirling. However, the frontiers of several physical problems are modelled by both partial and ordinary differential equations (PDEs and ODEs). Therefore, the mathematical modelling of our present problem is not an exemption. Hence, the PDEs in which our problem under consideration is modelled become reformed into coupled ODEs in nonlinear form through the deployment of adequate and standard conversion procedure with dimensionless variables. In line with the approach of our solution methodology, the boundary conditions governing the flow models are also transmuted. Afterwards, the well-established regular perturbation skill aided in the resolution of the problem. The solutions realized are simulated through the adoption of a software package (Mathematica V.10 scheme) for the numerical solutions. Our numerical results are embodied in form of graphs and legends. It is worthy to note that an increase in the rate of flow remains a function of effect of rising values of the porosity and Grashof thermal parameters whereas the opposite behaviour of the flow field is linked to improving values of suction parameter. Also, the enhancement of the suction parameter and Eckert number breeds intensification in the temperature. Similarly, an enhancement in the values of Prandtl number,  $Pr = 0.7, 1.5, 5.0, 7.0$  with radiation parameter,  $A = 0.1$ , and Eckert number  $Ec = 0.2$  showed an increase in the rate of heat transfer i.e.,  $-\phi'(0) = 0.7594, 1.9077, 10.5114, 17.9537$ . Hence, the Nusselt number intensifies with the rising values of the Prandtl parameter.

**Keywords:** Heat transference, Porous medium, Perturbation, Simulation, Viscosity

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1. INTRODUCTION

The study of fluid viscosity forms an important part of thermal augmentation in its application to heat exchangers machinery. The fluid viscidness helps in transferring and transforming thermal energy across the flowing fluid and this process impacts hotness to the fluid. Hence, this partly irretrievable procedure is termed viscous dissipation. Thus, the shear strain plays a vital role in the transformation, thermally. The significance of this research is valued in the area of scheming chilling devices, thermal loss of different forms in improving thermal conductivity characteristics of an isothermal flow leading to a surge in their technological advancement and applications. Meanwhile, in the study of fluid flows over a wedge, informs a typical issue in the general behavioural pattern of dynamical fluid system. Therefore, applications of wedge flow ranges from mechanical, petrochemical, geological engineering and technology etc. Hence, the progress made in controlling and enhancing the rate of thermal conductivity in various engineering, technological, production, metallurgical and packaging firms cannot be overemphasised. The initial investigation of incompressible fluid viscosity past a wedge using the Falkner-Skan equation remains the concept of [1]. The analytical simulation of this equation using



numerical dwindling velocity distribution was studied by [2]. Lately, several researchers developed keen interest in studying transmission of fluid over a wedge material. Thus, the deployment of Dirichlet with Robin heat conditions at the boundary was studied by [3, 4, 5]. Later on, Shit and Majee [6] examined the influence of heat radiation and chemical reaction on MHD fluid passage over a dual disposed elastic plate with non-constant viscosity due to thermal source/sink. They inferred that radiation as well as heat source/sink determines to a large extent, the rate at which heat is conveyed at wall section. The numerical analysis involving hydromagnetic slip movement of liquid filled with nanofluid over a wedge in the presence of convectively thermal source/drip was shared by [7].

The application of chemical reactions in the presence of fluid flow has proved very useful in diverse industrial uses, such as in food handling firms and paint production companies, etc. Hence, Sulochana et al. [8] through analytical means studied free and forced convection Casson nanofluid past a diagonal material. They maintained that improved concentration of fluid and transmission mass ratio is achieved because of appreciating values of chemical reactivity. The MHD Falkner-Skan wall layer passage with interior energy supply/drop was discussed by [9]. Their result established a difference in heat supply/lag on transmission speed of thermal energy when compared to its rate on the skin-friction coefficient. Few years ago, some research on nanofluid flow over a wedge has been carried out by [10, 11, 12 and 13]. The report on non-Newtonian equation over a nonlinearly elongating plate with different external factors was achieved by [14]. However, Aman et al. [15] inspected a nanofluid flow with small-particles in form of gold due to the impact of radiation as well as transverse diffusion on the distribution field. A fluid prototype surrounded by Riga sheets with an architype flow having stretching and viscosity features amid a sloping conduit has been established by [16, 17]. In recent times, Ullah et al. [18] explored the inspiration of enforced Lorentz effects on a non-Newtonian flow. Similarly, flow over a wall layered nanofluid past a moving wedge was presented by [19]. An examination on the flow and thermal transportation characteristics in a viscous fluid past a nonlinearly stretched plate was conducted by Vajravelu [20]. The fourth-order Runge–Kutta integration approach was used in the solution of his problem. It was found that the heat flow moves from the stretching plate towards the fluid. The influence of Hall current and heat radiation on thermal and mass transportation of a chemically reacting MHD flow of a micropolar fluid over a permeable sheet was studied by Oahimire and Olajuwon [21]. They applied perturbation scheme in order to solve the dimensionless equations. Their results indicated that a rise in the strength of magnetic field leads to a fall in the fluid motion in the direction of the sheet. Recently, hydromagnetic stream and thermal problems have received important motivation at a high level. Thus, the analysis of the effect of hall current, chemical reaction and radiation on a natural convective flow confined by a vertical medium immersed in a spongy surface due to the influence of unvarying magnetic field was scrutinized by Tavva et al. [22]. They applied the simple perturbation method in solving the problem. Their findings showed that both velocity and concentration surges as a result of multiplicative reactions and declines due to a destructive reaction.

Similarly, Kumar et al. [23] considered the impact of Hall current, radiation, Soret as well as Dufour numbers on an unsteady MHD free convection flow through an infinite vertical stationary sheet in an absorbent media. The solution of the dimensionless equations with the boundary constraints were made possible by utilizing the Galerkin technique. It was observed that as the Soret factor enhances, the concentration distribution improves and opposite movement was noticed in terms of Dufour parameter. Accordingly, Cortell [24] performed a numerical examination in line with the boundary layer flow prompted in a quiescent fluid due to continuous plate stretching as a result of the velocity  $u_w = (x) \sim x^{\frac{1}{3}}$  in the presence of thermal transfer. The Runge-Kutta fourth order scheme and shooting method were used in solving the problem. From the result, the temperature rises as the temperature ratio number increase and it depreciates as thermal radiation factor improves. The investigative analysis of heat and mass distribution impact on the flow past a stretching sheet with thermal source was investigated by Barik et al. [25]. The solution was carried out by applying Kummer's function. It was noted that tougher suction bound with magnetic field interaction led to a decline in the skin friction coefficient.

In the course of reviewing the above literatures, it's obvious that there's a short fall in analysing the impartation of viscosity degeneracy, absorbent medium and suction numerically on a fluid flow over a non-static wedge. Therefore, we shall take the afore-mentioned flow characteristics into account in this present study. Meanwhile, this work is organised into 5 parts starting with the introduction which contains the significance of the current analysis and review of other studies as the first part. In part 2, the mathematical models of the problem under consideration and the flow arrangement was formulated and presented while part 3 consists of the methodology applied in the solution process and part 4 depicts the gained results and their discussion, followed by the conclusion.

## 2. FORMULATION OF PROBLEM AND BASIC MODELS

An incompressible, steady laminar hydrodynamic conducting fluid movement over a wedge is appropriated. The coordinated systems due to the  $x - axis$  corresponding to the wedge wall is positioned while the normal is along  $y - axis$ , with constant pressure,  $p$ . However, there's an indented angle in tune with the wedge external surface as depicted in figure 1, where  $\alpha = wedge\ angle$ . The wall and neighbourhood temperatures of the wedge refers to  $T_s$  and  $T_\infty$ . respectively.

Hence, the following deductions ensue:

- An electrically conducting steady fluid stream equation is provided.
- Impression of degeneracy, porosity with radiative energy is reasoned.
- A constant pressure,  $p$  is considered.

In the light of the Boussineq estimate and conditions, the mathematical models of the flow emerges: 2.1

Continuity Equation

$$\frac{\partial u'}{\partial x'} + \frac{\partial v'}{\partial y'} = 0 \tag{1}$$

2.2 Momentum equation

$$u' \frac{\partial u'}{\partial x'} + v' \frac{\partial v'}{\partial y'} = \vartheta \frac{\partial^2 v'}{\partial y'^2} + \frac{v}{k'} u' - \frac{1}{\rho} \frac{\partial p'}{\partial x'} + g \alpha_T (T' - T'_\infty) l^2 \tag{2}$$

2.3 Energy conservation equation

$$u' \frac{\partial T'}{\partial x'} + v' \frac{\partial T'}{\partial y'} = \frac{\mu}{\rho c_p} \frac{\partial^2 T'}{\partial y'^2} + \frac{\mu}{\rho c_p} (T' - T'_\infty) - \frac{k'}{\rho c_p} \frac{\partial q_r}{\partial y'} \tag{3}$$

With wall restrictions stated as

$$\left. \begin{aligned} u = U_w = bx^n, v = -m, T' = T'_s, C' = C'_s & \text{ at } y = 0 \\ u \rightarrow 0, T' \rightarrow T'_\infty, C' \rightarrow C'_\infty & \text{ as } y \rightarrow \infty \end{aligned} \right\} \tag{4}$$

The similarity transformation characteristics include:

$$\eta = y \sqrt{\frac{b(n+1)}{2v}} x^{\frac{(n-1)}{2}}, \quad u = bx^n f'(\eta), \quad T' - T'_\infty = (T'_s - T'_\infty) \theta(\eta) \tag{5}$$

$$v = -\sqrt{\frac{bv(n+1)}{2}} x^{\frac{n-1}{2}} \left[ f(\eta) + \left(\frac{n-1}{n+1}\right) \eta f'(\eta) \right] \tag{6}$$

Where,

$e_0 > 0$  and  $e_0 < 0$  are suggestive of suction and injection accordingly. Meanwhile, equation (1) stands for the non-compressible steady continuity equation and equation (2) defines the fluid momentum equation. Equation (3) establishes that heat drift in the fluid takes place in view of convection, reaction

of viscous dissipation and radiative flux, as represented by the first, second and third terms respectively on the right-hand side.

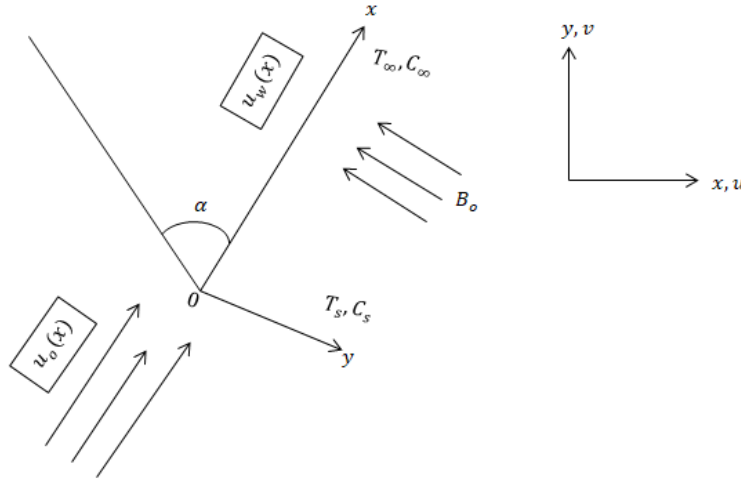


Figure 1. Physical representation of wedge flow

From the relation above, we have  $l, g$  as characteristics length and acceleration regarding gravity. Also, the following terms  $\vartheta, \rho, C_p, q_r, k, \sigma, p, T, x$  and  $y$  are dynamic velocity, density, capacity of heat, thermal radiative flux, permeability constant, electrical conductivity, pressure, fluid temperature, direction of axes of the velocity components with  $u = \frac{\partial \psi}{\partial y}, v = -\frac{\partial \psi}{\partial x}$ .

### 3. SOLUTION APPROACH

By adopting the approximation of Rosseland equalities [27] in case of radiation, with

$$q = -\left(\frac{4\sigma^*}{3m_*}\right) \frac{\partial T'^4}{\partial y} \quad (7)$$

With

$\sigma^* \approx 1.3806 \times 10^{-23}$  as Stefan-constant term,  $m_* =$  average absorption quantity. Taking the expansion of  $T^4$  about  $T_\infty$  in terms of Taylor's series gives

$$T^4 = T_\infty^4 + 3T_\infty^3(T - T_\infty) + 6T_\infty^2(T - T_\infty)^2 + \dots \quad (7a)$$

Ignoring terms in greater index produces

$$T^4 \cong 4TT_\infty^3 - 3T_\infty^4 \quad (8)$$

Noting that

$$\frac{\partial p'}{\partial x'} = 0 \quad (9)$$

Similarly, using equations (7) – (8) into equation (3) simplifies to

$$u' \frac{\partial T'}{\partial x'} + v' \frac{\partial T'}{\partial y'} = \frac{\mu}{\rho c_p} \frac{\partial^2 T'}{\partial y'^2} + \frac{\partial^2 T'}{\partial y'^2} \frac{16\sigma^* T_\infty^3}{3m_*} + \frac{\mu}{\rho c_p} (T' - T_\infty) \quad (10)$$

Transformation of equations (2), (4) and (10) with the non-dimensional variables in equations (5) and (6) assumes

$$\frac{d^3f}{d\eta^3} + f(\eta)\frac{d^2f}{d\eta^2} + Kr\frac{df}{d\eta} + Gl\theta(\eta) = 0 \tag{11}$$

$$\frac{d^2\theta}{d\eta^2}(1 + Nr) + Prf(\eta)\frac{d\theta}{d\eta} + PrEc\theta(\eta) = 0 \tag{12}$$

$$\frac{df}{d\eta} = 1, \quad f(\eta) = m_o, \quad \theta(\eta) = 1 \tag{13}$$

$$\frac{df}{d\eta} \rightarrow 0, \quad \theta(\eta) \rightarrow 0 \tag{14}$$

Where,

$K = \frac{k'v_0^2}{v^2}$ ,  $A = \frac{16\sigma^*T_\infty^3}{3k^*k}$ ,  $Pr = \frac{\mu C_p}{k}$ ,  $Ec = \frac{u^2}{C_p \Delta T}$   $\ni \Delta T = T'_s - T'_\infty$ ,  $Gl = \frac{\alpha_T l^2 g(T_s - T_\infty)}{uv}$ , specify the Porosity, radiation, Prandtl, Eckert and local thermal Grashof relations.

### 3.1. Analytical Solution

The analytical solution of the problem has been carried out by utilizing the regular perturbation method. It is a mathematical technique used to obtaining approximate solutions for differential equations that contain a small parameter, say  $\Gamma$ . This technique is mainly useful when the differential equation is coupled, difficult or impossible to be solved directly but can be simplified by assuming that the small parameter  $\Gamma$  is much smaller than 1.

**Step 1:** We need to state or write down an ordinary differential equation involving a small parameter  $\Gamma$ .

**Step 2:** We assumed that the solution can be expressed as a power series in terms of the small parameter  $\Gamma$ : i.e.,  $y(\eta) = y_0(\eta) + \Gamma y_1(\eta) + \Gamma^2 y_2(\eta) + \dots$  (15)

where  $y_0(\eta)$ ,  $y_1(\eta)$ ,  $y_2(\eta)$ , etc., are functions that are to be determined.

**Step 3:** We substituted the assumed solution into the original ODE in order to obtain a series of equations.

**Step 4:** We equated coefficients of different powers of  $\Gamma$ , so as to generate a set of equations involving the unknown functions  $y_0(\eta)$ ,  $y_1(\eta)$ ,  $y_2(\eta)$ , etc. These equations were solved to determine the expressions for the unknown functions.

**Step 5:** As soon as the expressions for  $y_0(\eta)$ ,  $y_1(\eta)$ ,  $y_2(\eta)$ , etc., were found, we substituted them into the assumed solution of equation (15) to realize the approximate solution,  $y(\eta)$ .

**Step 6:** We applied the boundary conditions (given) to determining the integration constants that appeared in the solutions obtained in Step 4 above.

Relating to Bestman [28], we have

$$\eta = \Delta e_0, \quad f(\eta) = e_0 F(\eta), \quad \theta(\eta) = \varphi(\eta), \quad \Gamma = \frac{1}{e_0^2} \tag{16}$$

Inputting equation (16) and its differentials into equations (11) – (12) produces,

$$\frac{d^3f}{d\eta^3} + f(\eta)\frac{d^2f}{d\eta^2} + \Gamma K \frac{df}{d\eta} + \Gamma^2 Gl \varphi(\eta) = 0 \tag{17}$$

$$\frac{d^2\varphi}{d\eta^2}(1 + Nr) + Prf(\eta)\frac{d\varphi}{d\eta} + \Gamma \varphi(\eta) PrEc = 0 \tag{18}$$

Which depends on:

$$\left. \begin{aligned} \eta = 0; \quad f = 1, \quad \frac{df}{d\eta} = e_0, \quad \varphi = 1 \\ \eta \rightarrow \infty; \quad \frac{df}{d\eta} \rightarrow 0, \quad h \rightarrow 0 \end{aligned} \right\} \tag{19}$$

The application of the regular perturbation technique in resolving equations (17) and (18) follows. Thus, let the series solution be:

$$f(\eta) = 1 + \sum_{k=n=1}^{\infty} (\Gamma)^k f_n(\eta) \tag{20}$$

$$\varphi(\eta) = \sum_{k=n=1}^{\infty} (\Gamma)^k \varphi_n(\eta) \tag{21}$$

Differentiating equations (20) trice and (21) twice in terms of  $\eta$ , using the results in equations (17) – (18) and simplifying, shows that at zeroth order, we have:

$$\frac{d^2 \varphi_o}{d\eta^2} (1 + Nr) + Pr \frac{d\varphi_o}{d\eta} = 0: \varphi_o(0) = 1, \varphi_o(\infty) = 0 \tag{22}$$

Evaluating at order one (1) provides:

$$\frac{d^3 f_1}{d\eta^3} + \frac{d^3 1}{d\eta^3} = 0: f_1(0) = 0, f_1'(0) = 1, f_1'(\infty) = 0 \tag{23}$$

$$\frac{d^2 \varphi_1}{d\eta^2} (1 + Nr) + Pr \frac{d\varphi_1}{d\eta} + Pr f_1(\eta) \frac{d\varphi_o}{d\eta} + EcPr \varphi_o(\eta) = 0: \varphi_1(0) = 0, \varphi_1(\infty) = 0 \tag{24}$$

In terms of order two (2), we gained

$$\frac{d^3 f_2}{d\eta^3} + \frac{d^3 f_2}{d\eta^3} + f_1(\eta) \frac{d^2 f_1}{d\eta^2} + K \frac{df_1}{d\eta} + Gl \varphi_o(\eta) = 0: f_2(0) = 0, f_2'(0) = 0, f_2'(\infty) = 0 \tag{25}$$

The analytical solutions mentioned below are obtained by solving equations (22) – (25) in line with their appropriate and respective wall conditions.

$$f'(\eta) = \exp - \eta + \frac{1}{(e_o)^2} \left( -\eta \exp - \eta - \exp - \eta - \frac{1}{2} \exp - 2\eta + k\eta \exp - \eta + k \exp - \eta - \frac{Gl}{j(j-1)} \exp - j\eta + S_4 - S_6 \exp - \eta \right) \tag{26}$$

$$\varphi(\eta) = \exp - j\eta + \frac{1}{(e_o)^2} \left( -j\eta \exp - j\eta - \frac{(j)^2}{1+j} \exp - (1+j)\eta + Ec\eta \exp - j\eta + \frac{(j)^2}{1+j} \exp - j\eta \right) \tag{27}$$

With,

$$S_4 = 0, S_6 = k - \frac{3}{2} - \frac{Gl}{j(j-1)}, j = \frac{Pr}{1+A} \text{ as the constants.}$$

Nonetheless, in the engineering designing of various forms of devices, the Nusselt number,  $Nu$  which represents the rate at which thermal energy is conveyed through a given scheme, becomes very relevant. Thus,

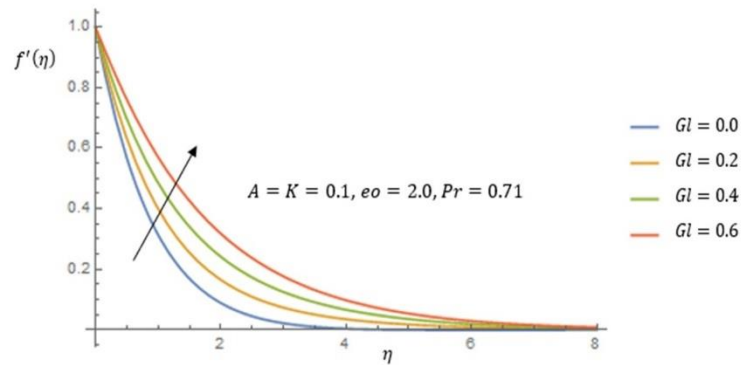
$$Nu = \varphi'(\eta) = -(1 + A) \left( \frac{\partial \varphi}{\partial \eta} \right)_{\eta=0} \tag{28}$$

### 3.2. Numerical Simulation

The Mathematica scheme and Wolfram language have been applied to finding the numerical results of equations (26) – (28) respectively. Hence, such solutions are offered in form of graphs containing legends as demonstrated below.

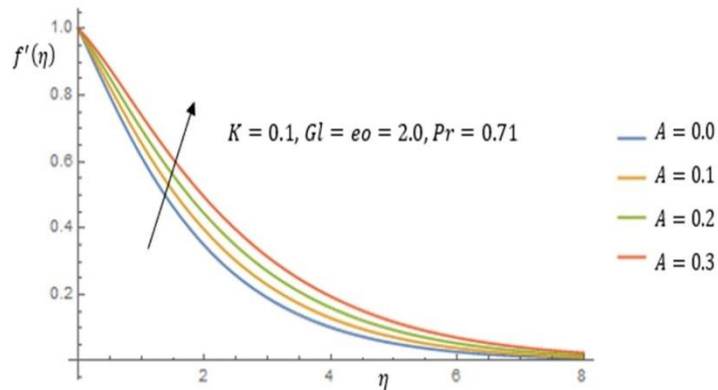
## 4. RESULTS AND DISCUSSION

From the graphical results,  $f'(\eta)$  and  $\varphi(\eta)$  implies velocity and temperature of flowing fluid and represents the vertical axis while the independent variable,  $\eta$  is on the horizontal axis.

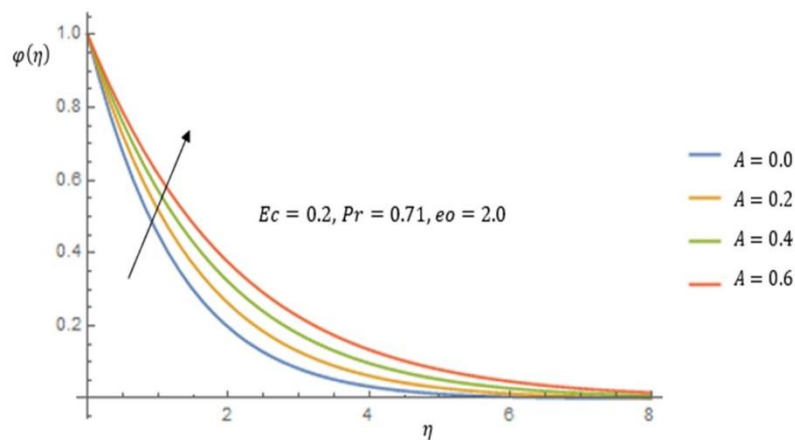


**Figure 2.** Influence of local thermal Grashof parameter,  $Gl$  on the fluid flow rate

In Figure 2, as the dominance of the buoyancy force proportion overshadows the viscous force, the flow field rises. Thus, the flow rate  $f'(\eta)$ , heightens as the dimensionless fluid parameter values of  $Gl$  increases. The result of varying the values of radiation  $A$  in ascending array, on the momentum and temperature of the moving fluid is visualized in Figures 3 and 4, accordingly. However, the introduction of this parameter ( $A$ ) into the flow, changes heat energy into kinetic form and as it augments continually, both  $f'(\eta)$  and  $\varphi(\eta)$  intensifies.

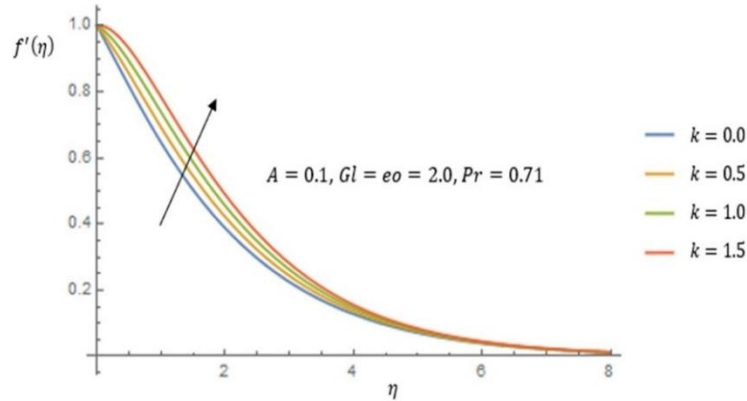


**Figure 3.** Influence of radiation constraint,  $A$  on the fluid flow rate

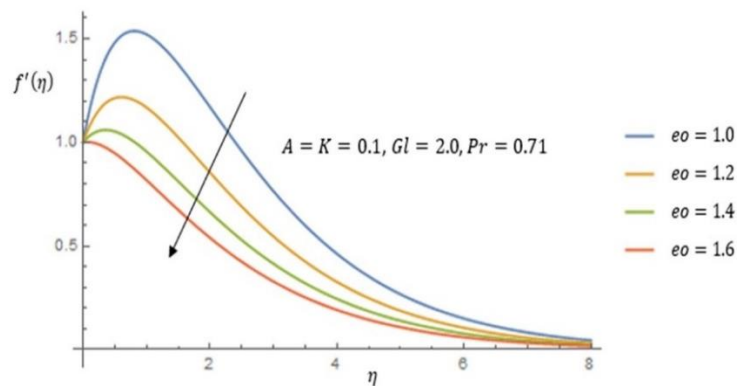


**Figure 4.** Influence of radiation number,  $A$  on the energy transmission rate

The impact of the permeability factor,  $k$  is explained in Figure 5. Meanwhile, due to the spongy nature of the medium through which the fluid flow takes place, the rate of tide changes dynamically resulting to a surge in momentum boundary layer. Thus, the upsurge in the values of  $k$ , leads to an appreciable enhancement in the flow distribution of  $f'(\eta)$ .

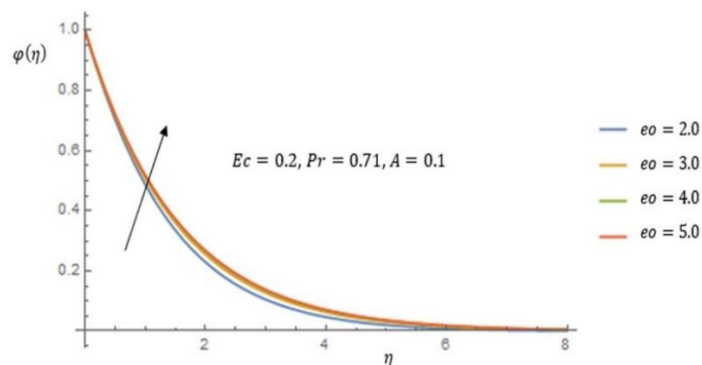


**Figure 5.** Influence of porosity constraint,  $k$  on the fluid flow rate

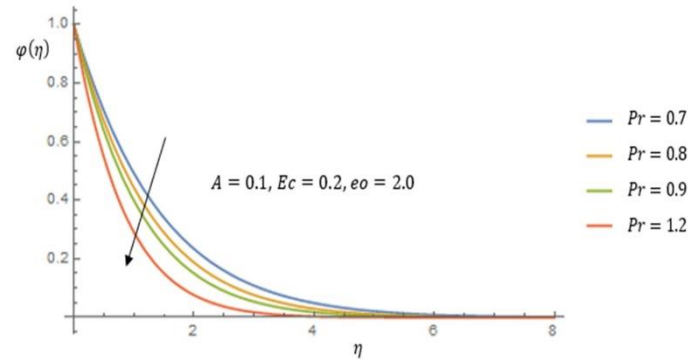


**Figure 6.** Influence of suction constraint,  $e_o$  on the fluid flow rate

Similarly, the development of  $f'(\eta)$  and  $\varphi(\eta)$  owing to the effects of suction parameter,  $eo$  on fluid rate and thermal transfer are depicted in Figures 6 and 7 respectively. Whence, raising the values of this parameter informs increasing speed of fluid motion,  $f'(\eta)$  and thermal boundary layer with a rising field of  $\varphi(\eta)$ .

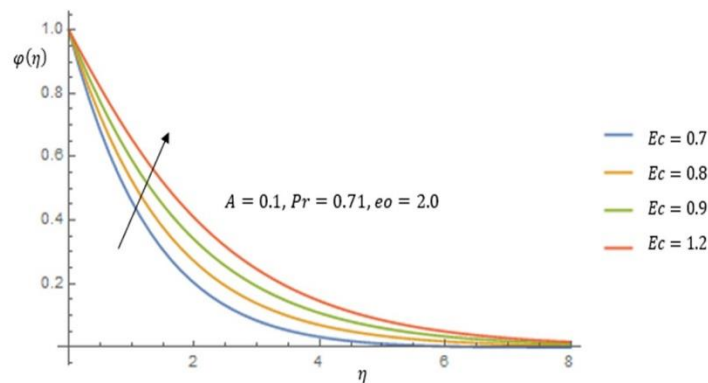


**Figure 7.** Influence of suction number,  $e_o$  on the energy transmission rate

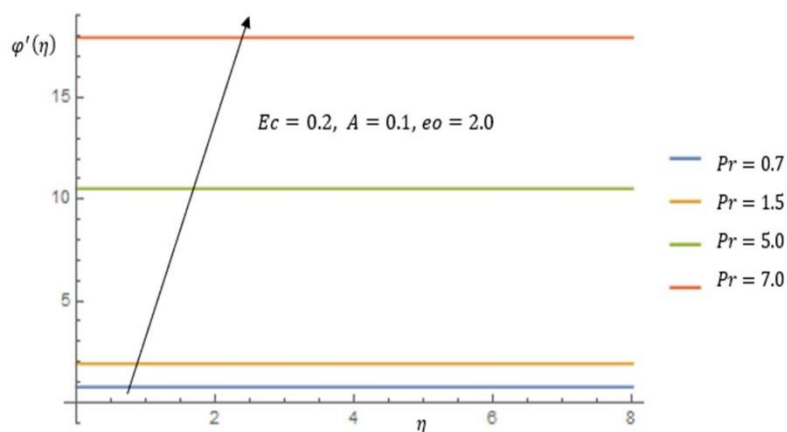


**Figure 8.** Influence of Prandtl factor,  $Pr$  on the energy transmission rate

Figure 8, captures the result of the uprising effect of  $Pr$  on temperature. Meanwhile, the ratio of viscous to thermal diffusivity rate is referred to as Prandtl factor. Therefore, as a non-dimensional number, when  $Pr \ll 1$ , the thermal boundary layer thickness becomes bigger when compared with  $Pr \gg 1$ . Therefore, improving the values of  $Pr$  reflects a fall in  $\varphi(\eta)$ .



**Figure 9.** Influence of Eckert constraint,  $Ec$  on the energy transmission rate

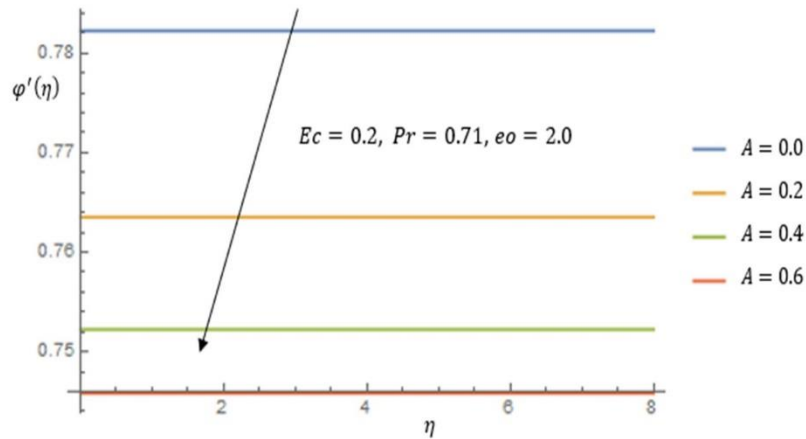


**Figure 10.** Influence of  $Pr$  on Nusselt number,  $Nu$

In Figure 9, the influence of Eckert constraint,  $Ec$  on  $\varphi(\eta)$  of fluid stream is obvious. The dimensionless number,  $Ec$  relates to the connection concerning the flow's energy kinetically with energy variance existing at the wall in terms of debauchery through heat transference. Thus, appreciating values of  $Ec$  embraces increment in the fluid's temperature,  $\varphi(\eta)$ . The stimulus as a result of enhancing values of  $Pr$



on  $Nu$ , is referenced in Figure 10. When  $Pr < 1$ , heat spreads slowly but at  $Pr > 1$ , the rate of thermal transference intensifies as shown in Table 1 below and the above mentioned Figure 10. Thus, growing values of  $Pr$  aids in regulating the speed at which a conducting fluid cools in a given system. Therefore, heat disperses quickly thereby helping to guide the temperature of a given device functioning with the dynamics of fluid flow in several physical applications. However, the evolving changes on thermal transmission rate, ( $Nu$ ) as a result of increasing values of  $A$  are highlighted in both Table 2 and Figure 11. Nevertheless, from these two forms of data presentations, it can be detected that as  $A$  improves, the rate of heat conveyance, ( $Nu$ ) recedes.



**Figure 11.** Influence of  $A$ , on Nusselt number,  $Nu$

**Table 1.** Nusselt number coefficient for varying values of  $Pr$  at  $e_o = 2.0$

$A$	$Ec$	$Pr$	$-\varphi'(0)$
0.1	0.2	0.7	0.7594
0.1	0.2	1.5	1.9077
0.1	0.2	5.0	10.5114
0.1	0.2	7.0	17.9537

**Table 2.** Nusselt number coefficient for varying values of  $A$  at  $e_o = 2.0$

$A$	$Ec$	$Pr$	$-\varphi'(0)$
0.0	0.2	0.71	0.7822
0.2	0.2	0.71	0.7635
0.4	0.2	0.71	0.7523
0.6	0.2	0.71	0.7458

## 5. CONCLUSION

The analysis of the influence of viscous degeneracy for the augmentation of thermal transfer over a moving wedge in a porous domain is deliberated. From the results obtained by means of using perturbation approach and an in-built Wolfram Mathematica solver, the following concluding remarks are made.

- (a) The momentum boundary layer increases as  $Gl$  and  $k$  improves in their values, thus leading to upsurge in the fluid velocity.
- (b) There is an increase in the fluid's velocity,  $f'(\eta)$  and temperature,  $\varphi(\eta)$  as the values of radiation  $A$  and suction  $e_0$  parameters enhances.
- (c) Increasing values of  $Ec$  raises the thermal wall layer such that  $\varphi(\eta)$  of the fluid grows while the reverse is the condition when  $Pr$  improves.
- (d) The rate of heat transfer,  $Nu$  is brought under control through cooling when  $Pr$  intensifies whereas for  $A$ , the opposite is the outcome.

Meanwhile, in line with the current study, the suggestive areas of future research include numerical simulations and optimization techniques: Thus, the conduction of advanced numerical simulations using Computational Fluid Dynamics (CFD) and optimization procedures to study the fluid flow and heat transfer characteristics over a moving wedge in a porous chamber due to viscous dissipation remains a future research area to be explored. Also, the investigation of diverse geometries, permeability distributions, and wedge motion patterns to optimize the heat transfer performance and identify the most efficient configuration, forms another area of future research.

## CONFLICT OF INTEREST

We state that there are no conflicts of interest of any type as regards to the publication of this article.

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## AUTHORSHIP CONTRIBUTIONS

Conceiving and designing of the research study by Uchenna Awucha Uka<sup>1</sup>; Contributions to the theoretical framework of the research through the provision of critical intellectual input throughout the research process by Uchenna Awucha Uka<sup>1</sup>, Innocent Chukwuemeka Emeziem<sup>2</sup>, Semiu Akinpelu Ayinde<sup>3</sup>, Charles Adenika<sup>3</sup>, Kelvin Onyekwere Agbo<sup>3</sup>; Solution of the transformed mathematical model by Uchenna Awucha Uka<sup>1</sup>, Innocent Chukwuemeka Emeziem<sup>2</sup> and Charles Adenika<sup>3</sup>; Contributions on result analysis, interpretation of results, participation in the revision and formatting of the research manuscript by Uchenna Awucha Uka<sup>1</sup>, Innocent Chukwuemeka Emeziem<sup>2</sup>, Semiu Akinpelu Ayinde<sup>3</sup>, Charles Adenika<sup>3</sup>, Kelvin Onyekwere Agbo<sup>3</sup>; Provision of subject matter expertise, reviewed and revised the manuscript for clarity and scientific rigor and ensured adherence to ethical considerations by Uchenna Awucha Uka<sup>1</sup> and Innocent Chukwuemeka Emeziem<sup>2</sup>; Re-checking of the article not only for spelling and grammar but also for intellectual content before submission by Uchenna Awucha Uka<sup>1</sup>, Innocent Chukwuemeka Emeziem<sup>2</sup>, Semiu Akinpelu Ayinde<sup>3</sup>, Charles Adenika<sup>3</sup>, Kelvin Onyekwere Agbo<sup>3</sup>.

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RESEARCH ARTICLE

Q-HOMOTOPY SHEHU ANALYSIS TRANSFORM METHOD OF TIME-FRACTIONAL COUPLED BURGERS EQUATIONS

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ABSTRACT

In this study, numerical solutions to time-fractional coupled Burgers equations are obtained utilizing the q-homotopy Shehu analysis transform method. The definition of fractional derivatives in the sense of Caputo. q-homotopy Shehu analysis transform method is also used to find the numerical solutions of the time-fractional coupled Burgers equations. In addition, the MAPLE software is utilized to plot the graphs of the solutions. These results demonstrate that the presented method is accurate and simple to implement.

**Keywords:** q-homotopy Shehu analysis transform method, Coupled Burgers equations, Mittag-Leffler function

1. INTRODUCTION

Fractional differential equations (FDEs) have recently gained popularity in numerous scientific areas. [1-6]. The fractional technique is commonly utilized to simulate a variety of difficulties, and it is widely applied to numerous problems in mechanics, anomalous diffusion, wave propagation, and turbulence, among others [7-9]. Thus, numerous scientists research fractional calculus extensively and work to enhance it. One of the greatest benefits of utilizing FDEs with non-local properties is that they display novel properties for several difficulties. Nonlinear FDEs are challenging to solve for a variety of reasons. Because the majority of FDEs isn't be solved analytically, efficient and potent numerical approaches are devised. But the numbers of the approaches are fairly limited.

However, the fractional order varies based on time and space. The scenario leads to a rapidly expanding field of FPDEs with fractional operators of variable order [10-19]. Several potent numerical approaches were established in the scientific literature, and numerous eminent scholars contributed to this topic. Several of these techniques include the adomian decomposition method (ADM) [20], homotopy perturbation method (HPM) [21-23], homotopy analysis method (HAM) [24-25], the collocation method [26-31], the Sumudu transform method (STM) [32-33], the differential transform method (DTM) [34-38].

For analyzing differential equations in the time domain, the Shehu transform, a generalization of the Laplace and Sumudu integral transforms, is introduced [39]. Iterative Shehu transform method (ISTM) is developed, which leverages the Shehu transform (ST) approach and decomposes the nonlinearity component to create a simple and effective solution for solving FPDEs [40]. A two-dimensional version of the one-dimensional Shehu transform is developed [41]. The fuzzy Shehu transform technique (FSTM) is presented by employing Zadeh's decomposition theorem and fuzzy Riemann integral of real-valued functions on finite intervals [42]. Using the natural transform decomposition technique and the iterative Shehu transform method with a singular kernel derivative, the time-fractional Klein-Gordon

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equation was studied [43]. To solve time-fractional gas dynamics equations, the variational iteration transform method is combined with ST and VIM [44]. By using the established q-Shehu transform, the solutions of the q-fractional kinetic equations are found in terms of the constructed generalized hyper-Bessel function [45]. The notion of ST in q-calculus, q-Shehu transform, is introduced along with its features [46].

The time-fractional coupled Burgers equation is [47]

$$\begin{cases} \frac{\partial^\alpha u}{\partial \tau^\alpha} + u \frac{\partial u}{\partial \zeta} + w \frac{\partial u}{\partial \mu} = \frac{1}{Re} \left[ \frac{\partial^\alpha u}{\partial \zeta^\alpha} + \frac{\partial^\alpha u}{\partial \mu^\alpha} \right], \\ \frac{\partial^\beta w}{\partial \tau^\beta} + u \frac{\partial w}{\partial \zeta} + w \frac{\partial w}{\partial \mu} = \frac{1}{Re} \left[ \frac{\partial^\beta w}{\partial \zeta^\beta} + \frac{\partial^\beta w}{\partial \mu^\beta} \right], \end{cases} \quad 0 < \zeta, \mu < 1, \quad 0 < \alpha, \beta < 1, \tau > 0. \quad (1)$$

The Burgers model of turbulence is an extremely influential fluid dynamics model. Various scientists have contemplated examining the theory and model of shock waves in order to get theoretical knowledge of a physical flow class and to assess various approximation approaches. Eq. (1) has the advantage over competing numerical formulations of viscous diffusion and nonlinear advection in that it is the simplest. It illustrates the fundamental forms of the dissipation term  $w \frac{\partial u}{\partial \mu}$  and the nonlinear advection term  $u \frac{\partial u}{\partial \zeta}$ , where  $Re$  is the Reynolds number that is used to model the naturally occurring phenomena of wave motions and hence determine the behavior of the solution. Cole [48] examined the mathematical characteristics of Equation (1). In physics and applied mathematics, nonlinear phenomena play a significant role. The significance of getting actual or estimated outcomes of PDEs in science in terms of exploring new strategies; this is still a popular topic [49–52]. Utilizing nonlinear PDEs, a variety of strategies for obtaining the real results of the numerous physical models presented have been proposed. Bateman [53] created a notable model and identified its consistent results, that are illustrative of numerous viscous flows. Later, Burgers [48] proposed it as one of the class models describing mathematical turbulence problems. It was described by Hopf [54] in gas dynamics. In addition, they demonstrated separately that the Burgers equation may be solved for any initial condition. Numerical solutions for the one-dimensional Burgers equation was investigated [55]. Nonlinear convection and the viscosity terms unquestionably chasten the Navier–Stokes equation [56–57].

This article aims to introduce a new method, the q-homotopy Shehu analysis transform method, and to use it to get new numerical solutions for coupled Burgers equations.

The remainder of the study is detailed below. In Section 2, fundamental fractional derivative definitions and the Shehu transform of fractional derivatives are provided. In Section 3, q-homotopy Shehu analysis transform method is provided. In Section 4, the numerical solutions of the time-fractional coupled Burgers equations are presented. Section 5 introduces the conclusion.

## 2. PRELIMINARIES

Several fundamental definitions are provided in the part.

**Definition 1 [4, 58–59].** The Riemann-Liouville fractional integral is described as

$$I^a f(x) = \begin{cases} \frac{1}{\Gamma(a)} \int_0^x (x-t)^{a-1} f(t) dt, & a > 0, x > 0, \\ I^0 f(x) = f(x), & a = 0. \end{cases} \quad (2)$$

**Definition 2 [4, 58–59].** The Caputo fractional derivative (CFD) is given by

$$D^a f(x) = I^{a-n} D^n f(x) = \frac{1}{\Gamma(n-a)} \int_0^x (x-t)^{n-a-1} f^{(n)}(t) dt, \tag{3}$$

where  $n - 1 < a \leq n$ ,  $n \in \mathbb{N}$ ,  $x > 0$ ,  $f \in C_{-1}^n$ .

**Definition 3 [12].** The Mittag-Leffler function  $E_a$  is defined as

$$E_a(z) = \sum_{n=0}^{\infty} \frac{z^n}{\Gamma(na + 1)}, a > 0. \tag{4}$$

**Definition 4 [39].** The Shehu transform (ST) of the function  $f(t)$  is given by

$$S[f(t)] = V[s, u] = \int_0^{\infty} f(t) e^{-\frac{st}{u}} dt, s > 0, u > 0. \tag{5}$$

**Definition 5 [39].** If  $V(s, u)$  is the ST of the function  $f(t)$ , then ST of CFD is defined by

$$S[D^\alpha f(t)] = \left(\frac{s}{u}\right)^\alpha V(s, u) - \sum_{k=0}^{n-1} \left(\frac{s}{u}\right)^{\alpha-k-1} f^{(k)}(0), n - 1 < \alpha \leq n. \tag{6}$$

### 3. THE METHODOLOGY OF q-HOMOTOPY SHEHU ANALYSIS TRANSFORM METHOD

In this part, q-HSATM for nonlinear FPDEs is presented. In order to illustrate the technique for the suggested method, the nonlinear FPDEs are written in standard operator form

$$D_t^\alpha u(x, t) + Au(x, t) + Nu(x, t) = g(x, t), t > 0, n - 1 < \alpha \leq n, \tag{7}$$

with the initial condition

$$u(x, 0) = h(x), \tag{8}$$

where  $A$  is a linear operator,  $N$  is a nonlinear operator,  $g(x, t)$  is a source term and  $D_t^\alpha$  is a time-fractional derivative operator of order  $\alpha$ .

Now, by performing Shehu transform on Eq. (7) and using the initial condition, it is acquired as

$$S[u(x, t)] - \sum_{k=0}^{n-1} \left(\frac{s}{u}\right)^{-k-1} \frac{\partial^k u(x, t)}{\partial t^k} \Big|_{t=0} + \left(\frac{u}{s}\right)^\alpha S[Au(x, t) + Nu(x, t) - g(x, t)] = 0. \tag{9}$$

$$\tag{10}$$

The nonlinear operator by the assist of HAM for real function  $\varphi(x, t; q)$  is defined as

$$N[\varphi(x, t; q)] = S[\varphi(x, t; q)] - \frac{u}{s} \varphi(x, t; q) (0^+) + \left(\frac{u}{s}\right)^\alpha \{S[Au(x, t) + Nu(x, t) - g(x, t)]\}, \tag{11}$$

$$\tag{12}$$

where  $q \in \left[0, \frac{1}{n}\right]$ .

It is established a homotopy as follows

$$(1 - nq)S \left[ \varphi(x, t; q) - \frac{u}{S} \varphi(x, t; q) (0^+) \right] = hqH(x, t)N[\varphi(x, t; q)], \quad (13)$$

where,  $h \neq 0$  is an auxiliary parameter and  $S$  represents Shehu transform. For  $q = 0$  and  $q = \frac{1}{n}$ , the results in Eq. (13) are respectively provided:

$$\varphi(x, t; 0) = u_0(x, t), \varphi \left( x, t; \frac{1}{n} \right) = u(x, t), \quad (14)$$

Therefore, by amplifying  $q$  from 0 to  $\frac{1}{n}$ , then the solution  $\varphi(x, t; q)$  converges from  $u_0(x, t)$  to the solution  $u(x, t)$ . Employing the Taylor theorem around  $q$  and expanding  $\varphi(x, t; q)$  and then, it is obtained as

$$\varphi(x, t; q) = u_0(x, t) + \sum_{i=1}^{\infty} u_m(x, t)q^m, \quad (15)$$

where

$$u_m(x, t) = \frac{1}{m!} \frac{\partial^m \varphi(x, t; q)}{\partial q^m} \Big|_{q=0}. \quad (16)$$

Eq. (15) converges at  $q = \frac{1}{n}$  for the appropriate  $u_0(x, t)$ ,  $n$  and  $h$ . Then, we have one of the solutions of the original nonlinear equation of the form

$$u(x, t) = u_0(x, t) + \sum_{m=1}^{\infty} u_m(x, t) \left( \frac{1}{n} \right)^m. \quad (17)$$

If we differentiate the zeroth order deformation Eq. (13)  $m$  –times with respect to  $q$  and we divide by  $m!$ , respectively, then for  $q = 0$ , it is obtained as

$$S[u_m(x, t) - k_m u_{m-1}(x, t)] = hH(x, t)\mathcal{R}_m(\vec{u}_{m-1}), \quad (18)$$

where the vectors are defined by

$$\vec{u}_m = \{u_0(x, t), u_1(x, t), \dots, u_m(x, t)\}. \quad (19)$$

When the inverse Shehu transform to Eq. (18) is applied, then it is obtained as

$$u_m(x, t) = k_m u_{m-1}(x, t) + hS^{-1}[H(x, t)\mathcal{R}_m(\vec{u}_{m-1})], \quad (20)$$

where



$$\mathcal{R}_m(\vec{u}_{m-1}) = S[u_{m-1}(x, t)] - \left(1 - \frac{k_m}{n}\right) \frac{u}{S} u_0(x, t), \tag{21}$$

$$+ \left(\frac{u}{S}\right)^\alpha S(Au_{m-1}(x, t) + H_{m-1}(x, t) - g(x, t)), \tag{22}$$

and

$$k_m = \begin{cases} 0, & m \leq 1, \\ n, & m > 1. \end{cases} \tag{23}$$

Here,  $H_m$  is homotopy polynomial and presented as

$$H_{m-1} = \frac{1}{(m-1)!} \frac{\partial^{m-1} \varphi(x, t; q)}{\partial q^{m-1}} \Big|_{q=0}, \tag{24}$$

and

$$\varphi(x, t; q) = \varphi_0 + q\varphi_1 + q^2\varphi_2 + \dots \tag{25}$$

By using Eqs. (20-22), it is obtained

$$u_m(x, t) = (k_m + h)u_{m-1}(x, t) - \left(1 - \frac{k_m}{n}\right) \frac{u}{S} u_0(x, t) \tag{26}$$

$$+ hS^{-1} \left[ \left( \left(\frac{u}{S}\right)^\alpha S(Au_{m-1}(x, t) + H_{m-1}(x, t) - g(x, t)) \right) \right]. \tag{27}$$

By utilizing q-HSATM, the series solution is defined by

$$u(x, t) = \sum_{i=0}^{\infty} u_m(x, t). \tag{28}$$

### 3.1. Convergence Analysis of q-HSATM Solutions

**Theorem 1 (Uniqueness theorem) [60]** The solution for the Eq. (7) obtained by q-HSATM is unique for every  $\gamma \in (0, 1)$ , where  $\gamma = (n + h) + h(\epsilon + \alpha)T$ .

**Theorem 2 (Convergence theorem) [60]** Let  $X$  be a Banach space and  $F: X \rightarrow X$  be a nonlinear mapping. Assume that

$$\|G(a) - G(b)\| \leq \gamma \|a - b\|, \forall a, b \in X, \tag{29}$$

then  $G$  has a fixed point in view of Banach fixed point theory [61]. Moreover, for the arbitrary selection of  $a_0, b_0 \in X$ , the sequence generated by the q-HSATM converges to fixed point of  $G$  and

$$\|w_m - w_n\| \leq \frac{\gamma^n}{1-\gamma} \|w_1 - w_0\|, \forall a, b \in X. \tag{30}$$

#### 4. THE NUMERICAL SOLUTIONS OF THE TIME-FRACTIONAL COUPLED BURGERS EQUATIONS

Let us consider the nonlinear time-fractional coupled Burgers equations (TFCBEs) [47]

$$\begin{cases} \frac{\partial^\alpha u}{\partial \tau^\alpha} + u \frac{\partial u}{\partial \zeta} + w \frac{\partial u}{\partial \mu} = \frac{1}{Re} \left[ \frac{\partial^2 u}{\partial \zeta^2} + \frac{\partial^2 u}{\partial \mu^2} \right], \\ \frac{\partial^\beta w}{\partial \tau^\beta} + u \frac{\partial w}{\partial \zeta} + w \frac{\partial w}{\partial \mu} = \frac{1}{Re} \left[ \frac{\partial^2 w}{\partial \zeta^2} + \frac{\partial^2 w}{\partial \mu^2} \right], \end{cases} \quad 0 < \zeta, \mu < 1, \quad 0 < \alpha, \beta < 1, \tau > 0. \quad (31)$$

with initial conditions

$$u(\zeta, \mu, 0) = \frac{3}{4} - \frac{1}{4(1 + \exp((Re/32)(-4\zeta + 4\mu)))}, \quad (32)$$

$$w(\zeta, \mu, 0) = \frac{3}{4} + \frac{1}{4(1 + \exp((Re/32)(-4\zeta + 4\mu)))}, \quad (33)$$

where, Re is Reynolds number.

Now, by applying Shehu transform to Eq. (31) and by using Eqs. (32)-(33), then it is obtained as

$$S[u(\zeta, \mu, \tau)] - \frac{u}{s} u(\zeta, \mu, 0) + \left(\frac{u}{s}\right)^\alpha S \left[ u \frac{\partial u}{\partial \zeta} + w \frac{\partial u}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 u}{\partial \zeta^2} + \frac{\partial^2 u}{\partial \mu^2} \right] \right] = 0, \quad (34)$$

$$S[w(\zeta, \mu, \tau)] - \frac{u}{s} w(\zeta, \mu, 0) + \left(\frac{u}{s}\right)^\alpha S \left[ u \frac{\partial w}{\partial \zeta} + w \frac{\partial w}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 w}{\partial \zeta^2} + \frac{\partial^2 w}{\partial \mu^2} \right] \right] = 0, \quad (35)$$

The nonlinear operators by using Eqs. (34)-(35) are defined as

$$\begin{aligned} N^1[\varphi(\zeta, \mu, \tau; q), \psi(\zeta, \mu, \tau; q)] &= S[\varphi(\zeta, \mu, \tau; q)] - \frac{u}{s} u(\zeta, \mu, 0) + \left(\frac{u}{s}\right)^\alpha \\ &\times \left\{ S \left[ \varphi(\zeta, \mu, \tau; q) \frac{\partial \varphi(\zeta, \mu, \tau; q)}{\partial \zeta} + \psi(\zeta, \mu, \tau; q) \frac{\partial \varphi(\zeta, \mu, \tau; q)}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 \varphi(\zeta, \mu, \tau; q)}{\partial \zeta^2} \right. \right. \right. \\ &\left. \left. \left. + \frac{\partial^2 \varphi(\zeta, \mu, \tau; q)}{\partial \mu^2} \right] \right\}, \end{aligned} \quad (36)$$

$$\begin{aligned} N^2[\varphi(\zeta, \mu, \tau; q), \psi(\zeta, \mu, \tau; q)] &= S[\psi(\zeta, \mu, \tau; q)] - \frac{u}{s} w(\zeta, \mu, 0) + \left(\frac{u}{s}\right)^\alpha \\ &\times \left\{ S \left[ \varphi(\zeta, \mu, \tau; q) \frac{\partial \psi(\zeta, \mu, \tau; q)}{\partial \zeta} + \psi(\zeta, \mu, \tau; q) \frac{\partial \psi(\zeta, \mu, \tau; q)}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 \psi(\zeta, \mu, \tau; q)}{\partial \zeta^2} \right. \right. \right. \\ &\left. \left. \left. + \frac{\partial^2 \psi(\zeta, \mu, \tau; q)}{\partial \mu^2} \right] \right\}. \end{aligned} \quad (37)$$

By applying the proposed algorithm, the  $m - th$  order deformation equation is defined by

$$S[u_m(\zeta, \mu, \tau) - k_m u_{m-1}(\zeta, \mu, \tau)] = h\mathcal{R}_{1,m}(\vec{u}_{m-1}), \quad (38)$$

$$S[w_m(\zeta, \mu, \tau) - k_m w_{m-1}(\zeta, \mu, \tau)] = h\mathcal{R}_{2,m}(\vec{w}_{m-1}). \quad (39)$$

where

$$\mathcal{R}_{1,m}(\vec{u}_{m-1}) = \mathcal{S}[\vec{u}_{m-1}(\zeta, \mu, \tau)] - \frac{u}{s} \mathbf{u}(\zeta, \mu, \mathbf{0}) + \left(\frac{u}{s}\right)^\alpha \times \left\{ \mathcal{S} \left[ \sum_{r=0}^{m-1} \mathbf{u}_r \frac{\partial u_{m-1-r}}{\partial \zeta} + \sum_{r=0}^{m-1} \mathbf{w}_r \frac{\partial u_{m-1-r}}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 u_{m-1}}{\partial \zeta^2} + \frac{\partial^2 u_{m-1}}{\partial \mu^2} \right] \right] \right\}, \quad (40)$$

$$\mathcal{R}_{2,m}(\vec{w}_{m-1}) = \mathcal{S}[\vec{w}_{m-1}(\zeta, \mu, \tau)] - \frac{u}{s} \mathbf{w}(\zeta, \mu, \mathbf{0}) + \left(\frac{u}{s}\right)^\alpha \times \left\{ \mathcal{S} \left[ \sum_{r=0}^{m-1} \mathbf{u}_r \frac{\partial w_{m-1-r}}{\partial \zeta} + \sum_{r=0}^{m-1} \mathbf{w}_r \frac{\partial w_{m-1-r}}{\partial \mu} - \frac{1}{Re} \left[ \frac{\partial^2 w_{m-1}}{\partial \zeta^2} + \frac{\partial^2 w_{m-1}}{\partial \mu^2} \right] \right] \right\}. \quad (41)$$

On applying inverse Shehu transform to Eqs. (38)-(39), then we have

$$u_m(\zeta, \mu, \tau) = k_m u_{m-1}(\zeta, \mu, \tau) + h \mathcal{S}^{-1}[\mathcal{R}_{1,m}(\vec{u}_{m-1})], \quad (42)$$

$$w_m(\zeta, \mu, \tau) = k_m w_{m-1}(\zeta, \mu, \tau) + h \mathcal{S}^{-1}[\mathcal{R}_{2,m}(\vec{w}_{m-1})]. \quad (43)$$

By the use of initial conditions, then it is obtained as

$$u_0(\zeta, \mu, \tau) = \frac{3}{4} - \frac{1}{4(1 + \exp((Re/32)(-4\zeta + 4\mu)))}, \quad (44)$$

$$w_0(\zeta, \mu, \tau) = \frac{3}{4} + \frac{1}{4(1 + \exp((Re/32)(-4\zeta + 4\mu)))}. \quad (45)$$

To find the values of  $u_1(\zeta, \mu, \tau)$  and  $w_1(\zeta, \mu, \tau)$ , putting  $m = 1$  in Eqs. (42)-(43), then it is obtained as

$$u_1(\zeta, \mu, \tau) = h \operatorname{Re} \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)t^\alpha}{128(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^2 \Gamma(\alpha + 1)}, \quad (46)$$

$$w_1(\zeta, \mu, \tau) = -h \operatorname{Re} \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)t^\beta}{128(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^2 \Gamma(\beta + 1)}. \quad (47)$$

Similarly, to find values of  $u_2(\zeta, \mu, \tau)$  and  $w_2(\zeta, \mu, \tau)$ , putting  $m = 2$  in Eqs. (42)-(43), then it is found as

$$\begin{aligned} u_2(\zeta, \mu, \tau) &= (n + h) h \operatorname{Re} \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)t^\alpha}{128(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^2 \Gamma(\alpha + 1)} \\ &\quad - h^2 \operatorname{Re}^2 \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)}{4096(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^4 \Gamma(\alpha + 1) \Gamma(\beta + 1)} \\ &\quad \times \left[ \left( \frac{-\Gamma(\alpha + 1) \Gamma(\beta + 1) t^{2\alpha}}{\Gamma(2\alpha + 1)} + \frac{\Gamma(\alpha + 1) \Gamma(\beta + 1) t^{\alpha + \beta}}{\Gamma(\alpha + \beta + 1)} \right) \exp(-\operatorname{Re}(\zeta - \mu)/8) \right. \\ &\quad \left. + \frac{\Gamma(\alpha + 1) \Gamma(\beta + 1) (\exp(-\operatorname{Re}(\zeta - \mu)/4) - 1) t^{2\alpha}}{\Gamma(2\alpha + 1)} \right]. \end{aligned} \quad (48)$$

$$\begin{aligned} w_2(\zeta, \mu, \tau) &= -(n + h) h \operatorname{Re} \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)t^\beta}{128(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^2 \Gamma(\beta + 1)} \\ &\quad + h^2 (\operatorname{Re})^2 \frac{\exp(-\operatorname{Re}(\zeta - \mu)/8)}{4096(1 + \exp(-\operatorname{Re}(\zeta - \mu)/8))^4 \Gamma(\alpha + 1) \Gamma(\beta + 1)} \end{aligned}$$

$$\times \left[ \frac{-\Gamma(\alpha + 1)\Gamma(\beta + 1)t^{2\beta}}{\Gamma(2\beta + 1)} + \frac{\Gamma(\alpha + 1)\Gamma(\beta + 1)t^{\alpha+\beta}}{\Gamma(\alpha + \beta + 1)} \right] \exp(-\text{Re}(\zeta - \mu)/8) + \frac{\Gamma(\alpha + 1)\Gamma(\beta + 1)(\exp(-\text{Re}(\zeta - \mu)/4) - 1)t^{2\beta}}{\Gamma(2\beta + 1)}. \tag{49}$$

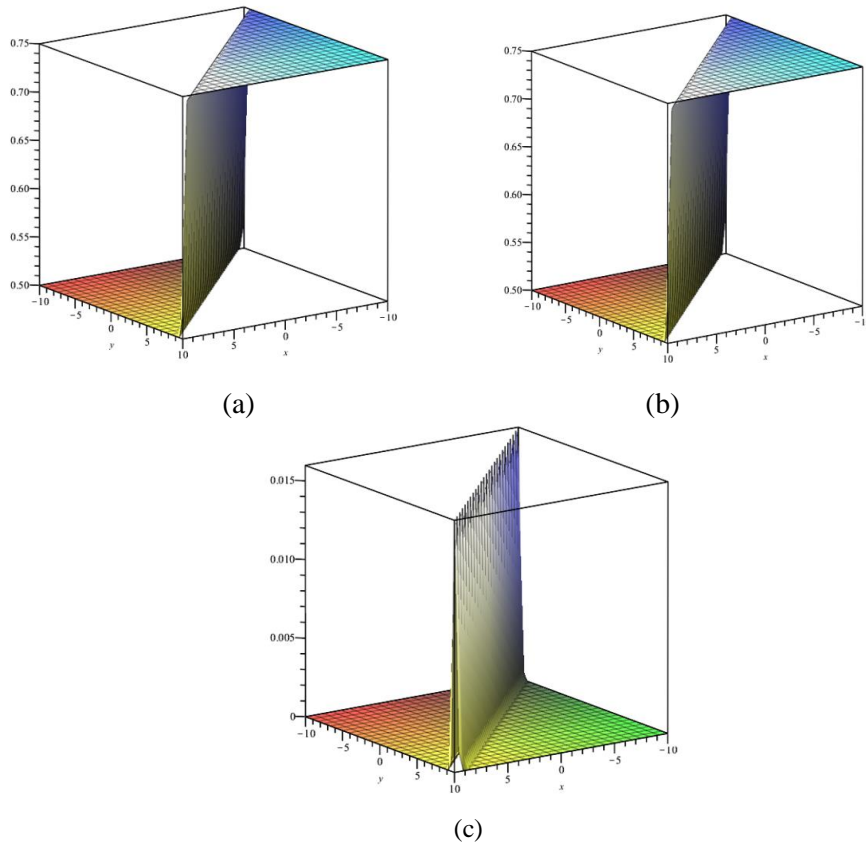
In this way, the other terms can be obtained. Thus, the q-HSATM solution of Eq. (31) is given by

$$u(\zeta, \mu, \tau) = u_0(\zeta, \mu, \tau) + \sum_{m=1}^{\infty} u_m(\zeta, \mu, \tau) \left(\frac{1}{n}\right)^m, \tag{50}$$

$$w(\zeta, \mu, \tau) = w_0(\zeta, \mu, \tau) + \sum_{m=1}^{\infty} w_m(\zeta, \mu, \tau) \left(\frac{1}{n}\right)^m. \tag{51}$$

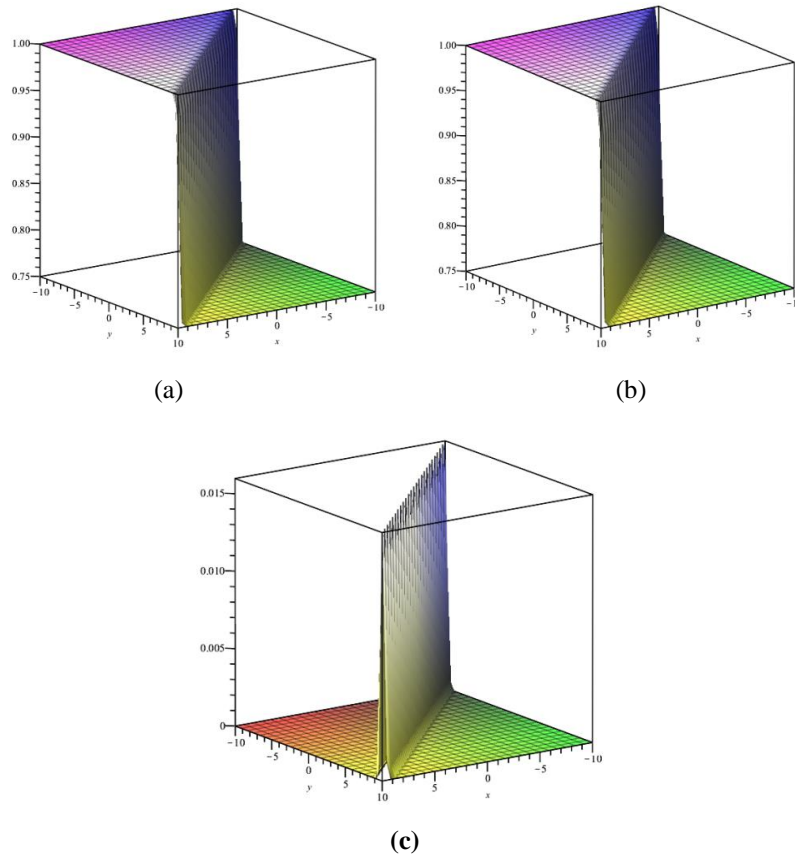
Substituting the values of  $\alpha = 1, \beta = 1, n = 1, h = -1$  in Eqs. (50)-(51), then the obtained results  $\sum_{m=1}^M u_m(\zeta, \mu, \tau) \left(\frac{1}{n}\right)^m$  and  $\sum_{m=1}^M w_m(\zeta, \mu, \tau) \left(\frac{1}{n}\right)^m$  converge to the analytical solutions  $u(\zeta, \mu, \tau) = \frac{3}{4} - \frac{1}{4(1+\exp((\text{Re}/32)(-4\zeta+4\mu-\tau)))}$  and  $w(\zeta, \mu, \tau) = \frac{3}{4} + \frac{1}{4(1+\exp((\text{Re}/32)(-4\zeta+4\mu-\tau)))}$  of TFCBEs when  $M \rightarrow \infty$ .

Figure 1 shows 3D graphs for the  $u(\zeta, \mu, \tau)$  solution of q-HSATM, the exact solution, and the absolute error for  $\alpha = 1, \beta = 1$ .



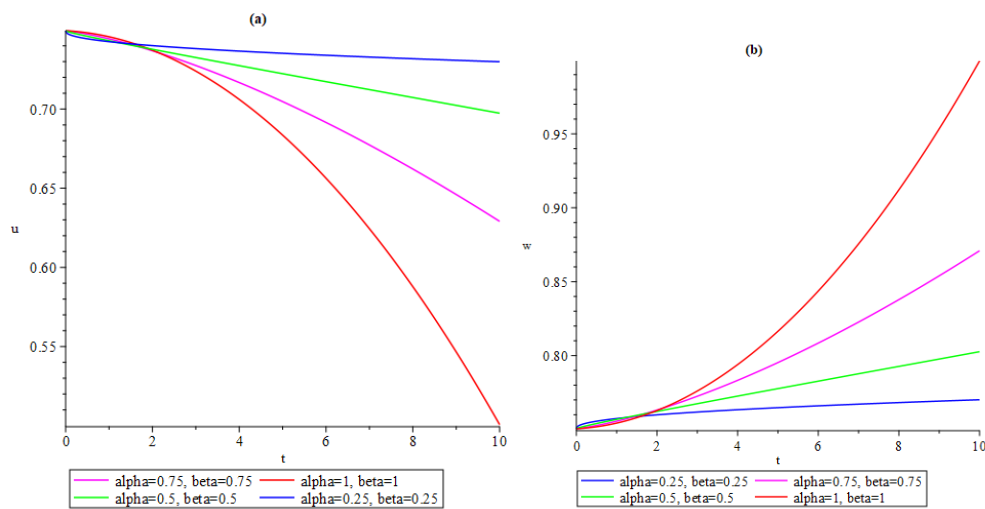
**Figure 1.** (a)  $u(\zeta, \mu, \tau)$  solution of q-HSATM (b) Exact solution of  $u(\zeta, \mu, \tau)$  (c) Nature of absolute error= $|u_{exact} - u_{q-HSATM}|$  at  $Re = 100, h = -1, n = 1, \tau = 0.5, \alpha = 1, \beta = 1$ .

Figure 2 shows 3D graphs for the  $w(\zeta, \mu, \tau)$  solution of q-HSATM, the exact solution, and the absolute error for  $\alpha = 1, \beta = 1$ .



**Figure 2.** (a)  $w(\zeta, \mu, \tau)$  solution of q-HSATM (b) Exact solution of  $w(\zeta, \mu, \tau)$  (c) Nature of absolute error  $= |w_{exact} - w_{q-HSATM}|$  at  $Re = 100, h = -1, n = 1, \tau = 0.5, \alpha = 1, \beta = 1$ .

The 2D graphs for q-HSATM solution by varying  $\alpha$  and  $\beta$  values are depicted in Figure 3.



**Figure 3.** (a)  $u(\zeta, \mu, \tau)$  solution of q-HSATM with respect to  $t$  when  $Re = 100, x = 0.5, y = 1$  with distinct  $\alpha$  and  $\beta$ . (b)  $w(\zeta, \mu, \tau)$  solution of q-HSATM with respect to  $t$  when  $Re = 100, x = 0.5, y = 1$  with distinct  $\alpha$  and  $\beta$ .

q-HSATM is observed to be more efficient than FNTDM for  $u(\zeta, \mu, \tau)$  numerical solution Table 1.

**Table 1.** Comparative study between FNTDM [47] and q-HSATM for the numerical solutions  $u(\zeta, \mu, \tau)$  at  $Re = 100, y = 1, \alpha = 1$  and  $\beta = 1$ .

$\zeta$	$\tau$	$ u_{exact} - u_{FNTDM} $	$ u_{exact} - u_{q-HSATM} $
0.1	0.1	$8.4 \times 10^{-5}$	$1.7 \times 10^{-8}$
	0.2	$8.2 \times 10^{-5}$	$1.5 \times 10^{-7}$
	0.3	$7.8 \times 10^{-5}$	$5.7 \times 10^{-7}$
	0.4	$7.4 \times 10^{-5}$	$1.4 \times 10^{-6}$
	0.5	$6.9 \times 10^{-5}$	$3.2 \times 10^{-6}$
0.2	0.1	$9.9 \times 10^{-4}$	$6.2 \times 10^{-8}$
	0.2	$9.9 \times 10^{-4}$	$5.4 \times 10^{-7}$
	0.3	$9.7 \times 10^{-4}$	$2.0 \times 10^{-6}$
	0.4	$9.6 \times 10^{-4}$	$5.2 \times 10^{-6}$
	0.5	$9.4 \times 10^{-4}$	$1.1 \times 10^{-5}$
0.3	0.1	$1.1 \times 10^{-2}$	$2.1 \times 10^{-7}$
	0.2	$1.1 \times 10^{-2}$	$1.8 \times 10^{-6}$
	0.3	$1.1 \times 10^{-2}$	$6.9 \times 10^{-6}$
	0.4	$1.1 \times 10^{-2}$	$1.8 \times 10^{-5}$
	0.5	$1.1 \times 10^{-2}$	$3.9 \times 10^{-5}$
0.4	0.1	$1.3 \times 10^{-1}$	$7.5 \times 10^{-7}$
	0.2	$1.3 \times 10^{-1}$	$6.5 \times 10^{-6}$
	0.3	$1.3 \times 10^{-1}$	$2.4 \times 10^{-5}$
	0.4	$1.3 \times 10^{-1}$	$6.3 \times 10^{-5}$
	0.5	$1.3 \times 10^{-1}$	$1.3 \times 10^{-4}$
0.5	0.1	$1.5 \times 10^0$	$2.6 \times 10^{-6}$
	0.2	$1.5 \times 10^0$	$2.2 \times 10^{-5}$
	0.3	$1.5 \times 10^0$	$8.3 \times 10^{-5}$
	0.4	$1.5 \times 10^0$	$2.1 \times 10^{-4}$
	0.5	$1.5 \times 10^0$	$4.6 \times 10^{-4}$

In Table 2, q-HSATM is observed to be more efficient than FNTDM for  $w(\zeta, \mu, \tau)$  numerical solution.

**Table 2.** Comparative study between FNTDM [47] and q-HSATM for the numerical solutions  $w(\zeta, \mu, \tau)$  at  $Re = 100, y = 1, \alpha = 1$  and  $\beta = 1$ .

$\zeta$	$\tau$	$ w_{exact} - w_{FNTDM} $	$ w_{exact} - w_{q-HSATM} $
0.1	0.1	$3.4 \times 10^{-5}$	$1.7 \times 10^{-8}$
	0.2	$1.3 \times 10^{-5}$	$1.5 \times 10^{-7}$
	0.3	$4.2 \times 10^{-6}$	$5.7 \times 10^{-7}$
	0.4	$2.8 \times 10^{-6}$	$1.4 \times 10^{-6}$
	0.5	$9.9 \times 10^{-6}$	$3.2 \times 10^{-6}$
0.2	0.1	$2.9 \times 10^{-5}$	$6.2 \times 10^{-8}$
	0.2	$1.5 \times 10^{-6}$	$5.4 \times 10^{-7}$
	0.3	$1.5 \times 10^{-5}$	$2.0 \times 10^{-6}$
	0.4	$3.3 \times 10^{-5}$	$5.2 \times 10^{-6}$
	0.5	$5.3 \times 10^{-5}$	$1.1 \times 10^{-5}$
0.3	0.1	$9.1 \times 10^{-6}$	$2.1 \times 10^{-7}$
	0.2	$4.1 \times 10^{-5}$	$1.8 \times 10^{-6}$
	0.3	$8.6 \times 10^{-5}$	$6.9 \times 10^{-6}$
	0.4	$1.3 \times 10^{-4}$	$1.8 \times 10^{-5}$
	0.5	$2.0 \times 10^{-4}$	$3.9 \times 10^{-5}$
0.4	0.1	$6.0 \times 10^{-5}$	$7.5 \times 10^{-7}$
	0.2	$1.8 \times 10^{-4}$	$6.5 \times 10^{-6}$
	0.3	$3.3 \times 10^{-4}$	$2.4 \times 10^{-5}$
	0.4	$5.0 \times 10^{-4}$	$6.3 \times 10^{-5}$
	0.5	$7.2 \times 10^{-4}$	$1.3 \times 10^{-4}$
0.5	0.1	$3.0 \times 10^{-4}$	$2.6 \times 10^{-6}$
	0.2	$7.0 \times 10^{-4}$	$2.2 \times 10^{-5}$
	0.3	$1.1 \times 10^{-3}$	$8.3 \times 10^{-5}$
	0.4	$1.7 \times 10^{-3}$	$2.1 \times 10^{-4}$
	0.5	$2.5 \times 10^{-3}$	$4.6 \times 10^{-4}$

## **5. CONCLUSION**

q-HSATM is used to analyze the coupled Burgers equations in this paper. In addition, MAPLE software was used to obtain the graphs of the numerical solutions of these equations for the various alpha and beta values. For coupled Burgers equations, it is observed that the general structure of surface graphs plotted in Maple software differs. Coupled Burgers equations for which numerical solutions have been quickly and successfully obtained. Therefore, it may be extrapolated that q-HSATM is overly effective and robust for obtaining numerical solutions for various fractional nonlinear partial differential equations.

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## **CONFLICT OF INTEREST**

The authors stated that there are no conflicts of interest regarding the publication of this article.

## **AUTHORSHIP CONTRIBUTIONS**

Autors' contributions are equal.

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RESEARCH ARTICLE

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THE USE OF COX REGRESSION MODEL IN THE SURVIVAL ANALYSIS FOR  
LEUKEMIA PATIENTS IN THE REPUBLIC OF YEMEN.

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ABSTRACT

This study aims at analyzing and studying the theoretical and practical importance of the (Cox) regression model in the analysis of survival as well as measuring the most important factors affecting the survival time for patients with leukemia. Moreover, it aims at reaching the expected survival time for patients and creating a life table for patients by using (Cox) regression model. To achieve these goals, real data were taken for (1168) patients with leukemia in the Republic of Yemen in the period from January 2017 to February 2022. The dependent variable, which is the patient's condition at the end of the period, was determined in addition to the patient's survival time and eight independent variables were identified. The effect of these variables on the survival time of patients with leukemia was investigated using the SPSS program. The study concluded several results, the most prominent of them are the following: There are no differences in the incidence rate between males and females and the most age group affected by this disease is (40 years and over). Furthermore, it was found that acute lymphoblastic leukemia (ALL) is the most prevalent type among the other types and there is a difference in the risk of death among those who take intravenous chemotherapy and those patients who take oral chemotherapy. Other significant result was found that there is a higher risk of death for non-regular patients in receiving treatment compared to regular patients in receiving treatment. It was found that the most influencing variables on the survival time of patients are (age, marital status, type of disease, the governorate in which the patient lives, regularity in receiving treatment and type of chemotherapy). Through the life table, it is noticed that the greatest risk in the survival time is in the thirty-sixth month, which is the largest among all other periods, as it reached (0.10). Additionally, the median survival time was reached (35.06) months. Finally, the study found that there are differences in the incidence according to the type of disease in terms of the risk of death as acute lymphoblastic leukemia (ALL) is the most prevalent disease among all diseases and the largest percentage of deaths was among those with chronic myeloid leukemia (CML).

**Keywords:** Cox Regression, Survival Analysis, Censored, Event

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1. INTRODUCTION

Survival analysis is considered as the study of the time of a specific variable until the occurrence of the event as it deals with the time preceding the occurrence of a specific event and one of the most applied examples in this field is the study of the time preceding death. The survival analysis is applied in many different fields such as medicine, engineering, economics, social sciences and others fields where the element of time until the occurrence of a specific event is the main factor for the phenomenon under study.[1,2] In other words, survival analysis is the phrase that is used to describe the analysis of data in the form of times from the original time until the occurrence of a certain event or a certain end point. From the foregoing, it can be said that survival analysis is a set of statistical procedures for analyzing data when the dependent variable (the variable of interest) represents the time until the occurrence of the event. This time may be days, weeks, months or years from the beginning of the item until the

occurrence of the event. The event occurs only once for each item of the study. This event may be death, relapse of the patient...etc. [3,4]

Due to the importance of the topic of survival time and its impact on multiple factors, the urgent need has emerged to develop methods and statistical means to increase accuracy, comprehensive and broad knowledge of the factors affecting the survival of the injured person whether alive or dead within the study period. Among these methods are regression models which are not in their traditional form but rather in a developed form. Such developed form should fit the case of the dependent variable which is bi-response.

One of these models and the most widely used is the (cox) regression model proposed by the English scientist (Cox Dived) in 1972 AD. It is considered one of the appropriate models for binary data through which the survival time and the factors affecting the survival time of the injured person are studied. This model aims at knowing the risk factors that significantly affect the risk function during the duration of time. Furthermore, survival analysis of the Cox regression model involves examining the time from patient admission to the study period until the onset of the event (death) or Censored [5,6].

The problem of the study emerged from the fact that leukemia is one of the most common diseases that leads to the death of thousands of people .Thus, this study focuses on studying and identifying the factors affecting the survival times of leukemia patients in the Republic of Yemen.

In order to identify these factors, Cox regression model was applied as survival analysis is necessary when studying systems in which the dependent variable is the time until a certain event occurs and survival analysis is widely applied in medical and biological studies. Applying such models to different diseases helps in identifying the conditions and characteristics that lead to increasing or decreasing the probability of survival and the factors affecting it. Consequently, the problem of the current study seeks as well at identifying the most important variables affecting the survival time through the Cox regression model and the significant of this study lies on shedding light on survival analysis models especially the (Cox) regression model which makes this study a starting point for other studies.

Mainly, the aims of the current study is based on two main aims which they are measuring the most important influencing factors (risk factors) on the survival time of leukemia patients in the Republic of Yemen by using the (Cox) model and estimating the survival and hazard function of this model as well as forming life table. Consequently the current study identifies the most important factors affecting the survival time of leukemia patients in the Republic of Yemen based on real data form the period January 2017 to the period February 2022 for 1168 patients diagnosed with leukemia.

The hypothesis of the study ( $H_0$ ) is based on the assumption that there is no significant effect of the variables (sex, age, blood type, marital status, type of disease, the province in which the patient lives, regularity in receiving treatment and type of chemotherapy) on the probability of survival at a significant level of 5%.

## **2. LITERATURE REVIEW**

Okal 2010 studied the survival of breast cancer patients in the Gaza Strip as the study period spanned the period between (2000-2005) ,meanwhile the sample size was 103 women. The Cox model was used by applying (Kaplan-Meier) method to estimate the survival function as the study variables were (date of birth, marital status, address, smoking, date of injury registration, date of end of follow-up, place of appearance of infection, condition, breast containing the primary tissue tumor and treatment). The study concluded that the treatment variables and age are the two influencing variables in the survival time and the rest of the variables had no effect on the survival time.[7]

Meanwhile, the study of S. M. M. Kamal, 2011 was based on data of "Social and Economic Determinants of Age at First Marriage among Tribal Women in Bangladesh". This data were collected through a field survey conducted in 2006 in Bangladesh. The study was applied to a sample of 792 currently married women born before 1986. Cox's model was used to study the determinants of age at first marriage for women. The study concluded that women, who are working in formal jobs, have an impact on women's age at first marriage. The study also indicated that women with higher education are more likely to delay their marriage. The study showed that the place of residence and the educational status of the father have a significant impact on the timing of marriage for women as the study showed that women who were born in rural areas are more likely to marry early. Moreover, women whose fathers are illiterate are more likely to marry early compared to women whose fathers are educated as parents' focus on increasing the educational level of their children. Furthermore, the study indicated that the survival status of the fathers as well as the economic status of the fathers had an impact on the age of the woman at the first marriage. Additionally, the study showed that women whose dowry is less are more likely to marry early. The study indicated also that the order of birth among the sisters has an impact on the woman's age at the first marriage as the sisters take turns in the marriage contract.[8]

Whether, the study Of Burcu Küley Ağır, 2017 aimed at examining survival analysis methods and their application in the field of livestock. In this study, Kaplan-Meier (K-M) and Cox regression methods were applied and the data of two different samples were used in the field of livestock. The first sample is the death records of raising chickens from two different poultry houses and the second sample is the death records of two groups of mice (mice with and without treatment).

In the sample fattening chicken, the data of the rearing period of 5344 chickens were used. The follow-up time for the chickens in the two barns was determined in weeks and both of them were followed up for a period of 23 weeks.

The number of chickens in coop A was 2224 while in coop B there were 3120 chickens. 108 chickens from the first coop experienced the event (death) while 88 chickens from the second coop experienced the event (death). The results showed that there was a significant difference between groups A and B at a significant level (0.01) and the risk of chicken death from the second coop B was 1.74 times higher than that of the first coop A.

With regard to the second sample (mice), it was found that there was a significant effect attributed to the variables of sex and treatment condition and it was found that the time for tumor development in male and female mice was 103,525 and 96,202 weekly respectively. According to the treatment condition variable, the time for tumor development was 100,380 and 98,550 weekly respectively for the control and treatment groups. Finally, it was found that the treatment group had a risk of tumor development 2.193 times higher than the group (without treatment), in addition to that the male mice group had a tumor risk 0.047 times lower than that of female mice [9].

Moreover, S. Selim and S. Sülükçüler's 2023 study aimed at making a comparative analysis of the factors that affect the duration of smoking for individuals in Turkey. The data of health surveys in Turkey for the years 2012 and 2019 were used. The study was conducted on 5932 individuals in 2012 and 6833 individuals in 2019. The study variables were divided into variables (demographic, social, economic, chronic diseases and other variables in four basic categories). The study concluded that the demographic and socio-economic variables, chronic diseases and the reason for starting smoking have a significant effect on the duration of smoking. It was also found that women smoke more than men. In addition, an increase in the level of education and income contribute to an increase in the duration of smoking as well.[10]

Furthermore, Study of Çilengiroğlu Ö. 2023 aimed at finding out the factors that affect months unemployment time for students of the Statistics Department at Eylül University Dokuz 2014-2019 until they can find their first job immediately after graduation using survival analysis methods.

The time that a student takes to find his or her first job after graduation was defined as Event while the time a student takes to find a job as Censored. The results of analyzing the study data by using Kaplan-Meier and Cox regression showed that the variables (gender", higher education after graduation, satisfaction with life, training status and knowledge of computer programs and programming languages are statistically significant for the period between graduation and finding a job. The results also showed that choosing the appropriate place for training is crucial as through appropriate training graduates can find a job faster than expected. It was also found that the average survival of female graduates without work until obtaining the first opportunity reached 6 months while for male students reached 12 months [11] .

### **3. SURVIVAL ANALYSIS**

Survival analysis is defined as a branch of statistics that includes a set of statistical techniques for analyzing data in which the variable of interest is the survival time until the event occurs and time can refer to the age of the individual when the event occurs while the event means the transition from one state to another .In the survival analysis, the event here is death, the occurrence of a certain disease or any particular experience of interest that may happen to the individual,.

As the name of survival analysis is the most widely used and recognized and it relates to the analysis of data that has three main characteristics namely:[12,13]

1. The dependent variable is the residence time until a specific event occurs.
2. The presence of control data.
3. The explanatory variables that affect the survival time and needed to be identified .

The analysis of survival functions includes time modeling for example, the study of the condition of the patient since the diagnosis of injury until the occurrence of the event (the event represents death in the literature of survival analysis in medical experiments) or monitoring (which includes recovery, withdrawal from the hospital without knowing his health condition or death due to a cause other than the reason for the study .Therefore, survival analysis is the only statistical method that deals with controlled and uncontrolled data. [14,15]

#### **▪ Survival Data:**

It is an expression used to describe data that measures the time until the event and the resulting variable, which is known as the survival time. This variable is always a positive real variable.

It can be defined as "data that measure the survival time of a group of patients with a specific disease while they are being studied until death or their loss from follow-up due to withdrawal or the end of the study period.

#### **• The Event**

The concept of the event differs according to the study. In medical studies and research, the event means death from a specific cause (the cause of the study) such as cancer for example. The event can be the emergence of disease, the development of disease, or the relapse of the patient. In industrial applications, the event may be the failure of the unit. In economics, an event may mean getting a job and in demography, it may mean marriage.

#### **• Censored Data**

The subject of Censored data is one of the topics that have applied importance in the medical and industrial fields and what distinguishes the studies of survival functions or reliability functions from other statistical studies is the phenomenon of monitoring (Censoring) in which part of the information is missing which means that there is partial information about the random variable.

It means units put to the test and the test ends when a certain number of failed units or at a predetermined time. Censoring data often appear in the study of survival especially in medical experiments when the information available about the survival time of the patient under study is incomplete for several reasons, namely:

- The probability that the person does not experience the event before the study ends.
- The possibility that the person will lose himself during the study period.
- The possibility that the person may withdraw from the study because of death (if death is not the event) or for a reason other than the reason for the study.

#### **4. COX REGRESSION MODEL**

In the seventies of the last century, various methods and techniques were used to treat the problem of regression in which the dependent variable is subject to Censoring. Some of these methods depend on assumptions about the distribution of survival times while others do not depend on assumptions about the distribution of survival times. One way in which there are no assumptions about the distribution of survival times is the Cox regression model in which it is based on the proportional hazards model .

In 1972, the English scientist (David Cox) estimated the relative risk model or what is named by cox regression model. This model determines the relationship between the explanatory (independent) variables available to the individual studied and the time of survival for them. The cox model is considered the most used model in the analysis of survival data especially when it is used in the case of monitoring data (Censored Survival Time). It aims at knowing the risk factors that contribute significantly to the risk function during the period of time until the emergence of the critical event. In 1975 the English scientist (David Cox) proposed a method to estimate the parameters of the model that he proposed in the year 1972 and he called it the partial possibility function.[16,17]

The model is defined as a statistical method for interpreting the relationship between the patient's survival time and a set of explanatory variables (risk variables) affecting the patient's survival time. The purpose of this model is to explore the effects of a number of variables on the patient's survival. The risk factors for the Cox model are similar to the independent variables in the regular regression models except that they appear in a non-linear exponential form.[18,19]

This model does not assume a specific distribution of survival times rather it assumes that the effect of the various variables is constant over time. It is also called the semi-parametric model because it includes a parametric part. The parametric part is the exponential function of the explanatory variables and a non-parametric part is the baseline hazard function and the model is one of the most important and most common models in survival analysis models as this model is used in cases where the time variable that precedes the occurrence of a particular event is of importance in analyzing the phenomenon in question.

The proposed Cox regression model:[20]

$$h(t/x) = h_0(t) \exp \sum_{i=1}^p \beta_i x_i \tag{1}$$

where is

$h(t/x)$  = represents the conditional hazard function of the model

It is clear from this equation (1) that the conditional risk for a specific individual at time t results from two factors:



$h_0(t)$  : The baseline hazard function, which depends on time, expresses the risk function when the independent variables are equal to zero, unknown, always positive and represents the non-parametric part of the model.

$\exp \sum_{i=1}^p \beta_i x_i$ : It is the relative risk that does not depend on time in which the effect of the independent variables by increasing or decreasing the risk is constant and does not change for the change of time T.

## 5. DATA ANALYSIS

This section includes the practical application of the cox regression model, the analysis of the most important factors affecting survival times, the clarification of the effect of each variable on the survival time and the preparation of the life schedule. The study data were obtained from the National Cancer Foundation and the National Cancer Control Center in the Republic of Yemen for 1168 patients for the period from January 2017 to February 2022. The most important variables studied were:

- The dependent variable (Status) is the state at the end of the period for the patient which is a descriptive binary variable and indicates the patient's condition at the end of the period.
- **T**: the time variable which is the survival time of the injured person until death or observation and it was calculated on the basis of months.

Eight risk factors have been identified which can be summarized as follows:

1. Patient's gender: male or female.
2. The patient's age was divided into three categories: less than 18 years, from 18 to less than 40 years and from 40 years and over.
3. The patient's blood type: O-, AB+, A+ or O+
4. The patient's marital status: child, single, married, divorced or widowed.
5. The governorate in which the injured person lives and it has been divided into eight categories:  
Taiz, Ibb, Aden, Lahj, Abyan, Al-Dhalea, Al-Hodeidah, and others

6. The type of disease which consists of four types:

Acute lymphoblastic leukemia (ALL) and acute myeloid leukemia (AML).

Chronic myeloid leukemia (CML) and chronic lymphocytic leukemia (CLL).

7. The type of chemical treatment used and it was divided into two types:

Intravenous chemotherapy and oral chemotherapy

8. Regularity in receiving treatment has been divided into:

Regular and irregular

### Relative Risk Hypothesis Test:

The first step in estimating the cox model is to test the relative risk hypothesis which assumes that the risk rate is constant from one person to another over time within the study.[12]

The relative risk hypothesis is tested in two ways:

#### 1- Drawing Method:

The hypothesis of relative risk is tested by drawing using the (Kaplan-Meier) method where one of the variables is divided into two parts and a curve drawing. Here the variable of the type of chemotherapy was divided into two parts and then a survival curve was drawn for each type as it appears that the two curves are parallel and the difference between them is constant over time. This indicates that the risk rates have a similar behavior for the two sections over the survival time of the infected person and it is noted that the hypothesis of relativity has been achieved as shown in Figure (1).

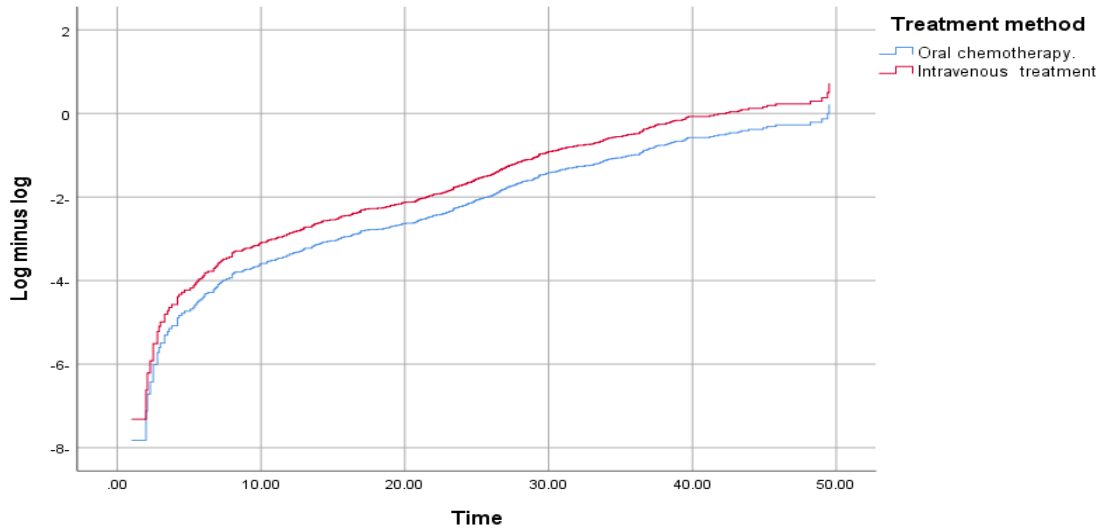


Figure 1. The Graphic Method of Proportional Hazard Hypothesis Test

## 2- Numerical Met

The imposition of relative risk is confirmed by the numerical method as well by testing (Schoenfeld residuals) errors and according to the null hypothesis which states that the correlation between Schoenfeld errors and survival times is equal to zero as the rejection of this hypothesis means that the relative risk condition is not fulfilled:

$$H_0: \delta_1 = \delta_2 = \delta_3 = \delta_4 = \delta_5 = \delta_6 = \delta_7 = \delta_8 = 0$$

Table 1. Results of the Schoenfeld Residuals Test to assume Relative Risk

Variables	Chi-square	Df	(Sig.)
Blood type	0.829	1	0.362
Sex	0.022	1	0.882
Marital status	0.593	1	0.441
Region of Living	0.142	1	0.706
Disease type	0.193	1	0.660
Treatment method	0.000	1	0.991
Regularity in receiving treatment	3.630	1	0.057
The age	0.612	1	0.434
Total model	5.209	8	0.735

Through Table (1), it is noted that the calculated value for the overall model that contains 8 variables is (5.209) at (8) degrees of freedom which is less than the tabular value (15.51). This means that it is not significant. Therefore the null hypothesis that states that all correlation coefficients between Schofield errors and survival times are equal to zero is accepted. This indicates that all variables together fulfill the hypothesis of relative risk and the same case for each variable separately. It is found that the value at one degree of freedom for each variable was less than the tabular value of (3.84) and the level of significance for all variables is more than (0.05). This means that the correlation between Schofield errors and survival times for each variable separately is equal to zero, thus it is identical result to the graph method.

### ▪ Parameter Estimation of Cox Regression Model:

After realizing the hypothesis of relative risk and estimating the basic functions, the parameters of the cox regression model are estimated by the parametric side of the model using the Partial Likelihood method. It is assumed that the risk function for patients with leukemia is related to the effect of (8) of

the variables (sex, age , blood type, marital status, type of disease, the province in which the patient lives, regularity in receiving treatment and type of chemotherapy) on the survival time. Thus, the parameters were estimated using the Partial Likelihood method and Table (2) presents the results of estimating the parameters variables for the (cox) regression model as follows:

**Table2.** Cox Regression Model Parameters Estimation Results

<b>Variables</b>	<b>B</b>	<b>Wald</b>	<b>SE</b>	<b>df</b>	<b>( Sig. )</b>
Blood Type	0.051	0.401	0.081	1	0.527
Sex	0.016	0.022	0.106	1	0.882
Marital status	0.173	4.115	0.085	1	0.042
Region of Living	0.102	8.363	0.035	1	0.004
The type of disease	0.193	9.404	0.063	1	0.002
Type of treatment	0.453	7.859	0.162	1	0.005
Regularity in receiving treatment	1.127	106.600	0.109	1	0.005
The age	-0.216	4.599	0.101	1	0.032

From table (2), it is noted that the value of significance for the blood type and gender variables amounted respectively (0.527) and (0.882) which means that the coefficients estimated for the two variables are not significant at the level of Significant (5%). Therefore, the null hypothesis, which states that the effect of the two coefficient is zero, is accepted.

Moreover, the value of significance for the (marital status, region of living , the type of disease, type of treatment, regularity in receiving treatment and the age) variables amounted respectively (.042,0.004,0.002, 0.005, 0.005, .032) which means that the coefficients estimated for the variables are significant at the level of significance (5%). Therefore, the alternative hypothesis, that states the coefficients are not equal to zero, is accepted.

▪ **Testing the Significance of the Variables included in the Model:**

After estimating the parameters of the independent variables of the model, it must be known that the variables which are significant must remain in the model and which are non-significant must be deleted from the model by stepwise deletion of the overall model (Stepwise Backward). The least significant variable is deleted in the form of steps until the significant variables affecting the survival time are reached. This happens through Wald Chi-Squared Test ( $\chi^2$ ) ,the calculated (Wald) values are compared with the tabular values at one degree of freedom for each variable and the level of significance is (0.05) as follows:

**Table2 :**Variables in the Equation

<b>Step</b>	<b>Variables</b>	<b>B</b>	<b>SE</b>	<b>Wald</b>	<b>df</b>	<b>( Sig. )</b>	<b>Exp(B)</b>
Step 1	Blood type	0.051	0.081	0.401	1	0.527	1.052
	Sex	0.016	0.106	0.022	1	0.882	1.016
	Marital status	0.173	0.085	4.115	1	0.042	1.188
	Region of Living	0.102	0.035	8.363	1	0.004	1.107
	The type of disease	0.193	0.063	9.404	1	0.002	1.213
	type of treatment	0.453	0.162	7.859	1	0.005	1.573
	Regularity in Receiving	1.127	0.109	106.600	1	0.000	3.085
	The age	-0.216-	0.101	4.599	1	0.032	0.805
Step 2	Blood type	0.051	0.081	0.400	1	0.527	1.052
	Marital status	0.174	0.085	4.185	1	0.041	1.190
	Living region	0.101	0.035	8.344	1	0.004	1.107
	The type of disease	0.194	0.063	9.461	1	0.002	1.214
	type of treatment	0.455	0.161	7.954	1	0.005	1.576
	receiving regularity	1.126	0.109	106.751	1	0.000	3.082
	The age	-0.217-	0.101	4.651	1	0.031	0.805
Step 3	Marital status	0.171	0.085	4.062	1	0.044	1.187
	Living region	0.102	0.035	8.399	1	0.004	1.107
	The type of disease	0.193	0.063	9.385	1	0.002	1.213
	Type of treatment	0.456	0.162	7.972	1	0.005	1.578
	Regularity in eceiving	1,122	0.109	106.402	1	0.000	3.072
	The age	-0.214-	0.101	4.516	1	0.034	0.807

From table 3 and by comparing the level of significance values (Sig) with the level of significance (0.05), it is noticed in the first step that the variables (marital status, area of residence, type of disease, and type of chemotherapy, regularity in receiving treatment, and age) are the significant variables and that the rest of the variables were non-significant and had no effect on the survival time.

The gender variable had the least effect and it has the lowest significant level of (0.882). Therefore it is deleted from the model in the second step. Moreover, in the second step, it also noted that the variables (marital status, area of residence, type of disease, type of chemotherapy, regularity in receiving treatment and age) are the significant variables except for the blood type variable that has no significant effect as it was less influential on the survival time. The level of significance was (0.527) and therefore it was removed from the model in the third step.

In the third step, it is noted that the survival of the variables (marital status, area of residence, type of disease, type of chemotherapy, regularity in receiving treatment, and age) are all significant variables affect the survival time, thus they remain in the model. The reduced model of the overall model will include the variables that maintained their significance in the last step which are (the marital status, the area of residence, the type of disease, and the type of chemotherapy, regularity in receiving treatment and age). Thus, the shortened form of the (cox) model is as follows:

▪ **Hazard Function**

$$h(t) = h_0(t) \exp(0.171M.d + 0.102K.S + 0.193H.t + 0.456T.m + 1.122T.d - 0.214Yaş)$$

▪ **Survival Function**

$$S(t) = [S_0(t)]^{\exp(0.171M.d+0.102K.S+0.193H.t+0.456T.m+1.122T.d-0.214Yaş)}$$

As for the values of the column (Exp(B)), they represent the estimated risk ratio. If its value is greater than one, it means that the risk is high and if it is less than one, it means that the risk is low. This value expresses the contribution of the independent variable to the risk when it increases more than the correct one in light of the rest of the other independent variables remaining constant.

For example, it is found that the value of (Exp(B)) for the disease type variable is equal to (1.213) which means that the risk rate increases by (21%) for each change in the disease type in light of the rest of the variables remaining constant. It was calculated  $[(1,213 - 1) \cdot 100 = 21,3]$  are negative sign which means increased risk). To find out whether survival progression differs according to the type of disease, the reference cell coding method was used as the lowest level category which is acute lymphoblastic leukemia (ALL) is selected as a reference cell and compared against the rest of the other categories as follows:

**Table 4.** Hazard Ratio Test for Disease Type Using Reference Cell

The type of disease	B	Wald	( Sig. )	Exp(B)
CLL	1.005	5.789	0.016	2.731
AML	-0.143	0.926	0.336	0.867
CML	0.402	7.367	0.007	1.495

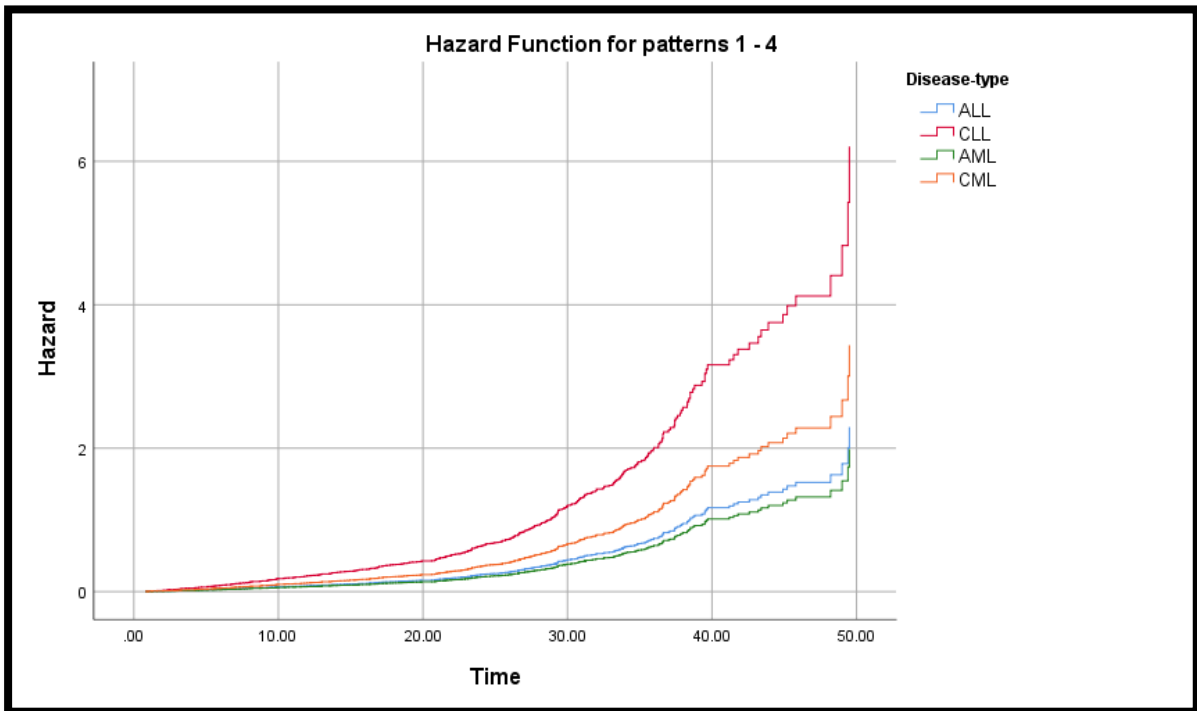


Figure 2. Hazard Ratio for Type of Disease Using the Reference Cell

From table (4) and Figure 2, it is found that the value of the Wald test for type (AML) was non-significant which means there are no significant differences between the risk rate of patients with type (AML) compared with patients with type (ALL). This is due to the level of significance for it was less than (0.05) and the value of the Wald test for patients with both types (CLL) and (CML) was significant.

Therefore, there are significant differences between the risk rate between patients with both types (CLL) and (CML) compared with infected patients with type (ALL). Referring to the  $\text{Exp}(B)$  value, it is noted that the estimated risk ratio for patients with type (AML) is (0.867) which means that the death rate is lower by (12.4%) for patients with type (AML) than for patients with type (ALL). Moreover, patients with type (CML) die at a rate of (49.5%) more than patients with type (ALL) and it is also noted that patients with type (CLL) die at a rate of (1.731%) times more than patients with type (ALL). This explains the significance of the variable in the model. Thus, there are at least two of these types that have a risk ratio that differs significantly from the type (ALL).

▪ **Determine the Best Model:**

When testing the significance of the variables included in the model, several models nominated and the best model in predicting the risk function among all models can be determined through the Likelihood Ratio test which is distributed according to Chi- square distribution as follows:

Table 5: Likelihood Ratio Test Result to choose the Best Model

Step. No _	-2 Log Likelihood	$\chi^2$ Calculated	df	( Sig. )
-2 Log Likelihood = 4395.454				
Step 1	4256.558	138.896	8	0.000 4
Step 2	4256.580	138.874	7	0.000 1
Step 3	4256.972	138.481	6	0.000 0

According to table (5), it is noted that the first model that contains all the variables has a level of significance (0.0004) and that the calculated value was greater than the tabular value. Thus, it is less important than all other models. As well as for the second and third models, it is found that the level of significance for them was less than (0.05). Consequently, it was found that the third model that contains variables (marital status, city of residence province, type of disease, treatment method used, regularity in treatment and age) is the most significant model among all other models where the level of significance was (0.0000).

▪ **Survival Times Analysis:**

Depending on the life table equations and dividing the survival times into equal periods of three months and by using the SPSS program, the following results were obtained.

**Table 6.** Life Table of Survival Data for Patients with Leukemia

Interval Start Time	Number Entering Interval	Number Withdrawing during Interval	Number Exposed to Risk	Number of Terminal Events	Proportion Terminating	Proportion Surviving	Cumulative Proportion Surviving at End of Interval	Hazard Rate
0	1168	31	1152.500	11	0.01	0.99	0.99	0.00
3	1126	63	1094.500	26	0.02	0.98	0.97	0.01
6	1037	78	998.000	26	0.03	0.97	0.94	0.01
9	933	56	905.000	22	0.02	0.98	0.92	0.01
12	855	49	830.500	23	0.03	0.97	0.89	0.01
15	783	31	767.500	26	0.03	0.97	0.86	0.01
18	726	67	692.500	21	0.03	0.97	0.84	0.01
21	638	99	588.500	39	0.07	0.93	0.78	0.02
24	500	88	456.000	33	0.07	0.93	0.72	0.03
27	379	75	341.500	43	0.13	0.87	0.63	0.04
30	261	41	240.500	25	0.10	0.90	0.57	0.04
33	195	55	167.500	29	0.17	0.83	0.47	0.06
36	111	32	95.000	25	0.26	0.74	0.35	0.10
39	54	10	49.000	8	0.16	0.84	0.29	0.06
42	36	7	32.500	5	0.15	0.85	0.24	0.06
45	24	11	18.500	2	0.11	0.89	0.22	0.04
48	11	7	7.500	4	0.53	0.47	0.10	0.00

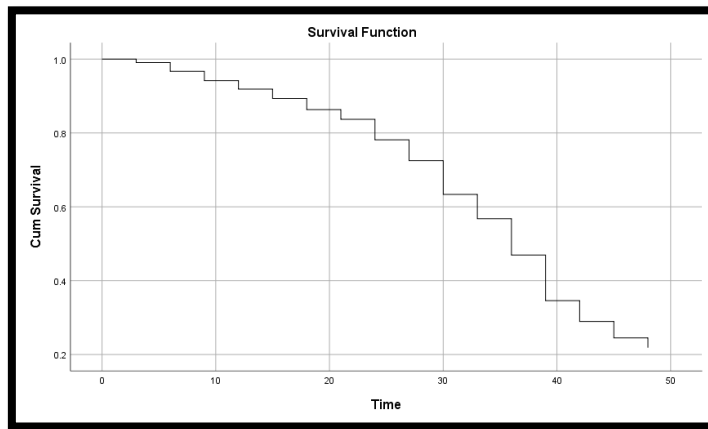
The median survival time is 35.06

Table (6) consists of 9 columns, where each row is the period of stay and each period is divided into three months as the start time of the period starts from zero. It also includes the period up to the third month and the second period starts from the third month to less than six months and so on to 49 months. the second column represents the number of persons entering each period and shows the number of those still alive until the beginning of the current period. It is calculated as  $n_{i-1} = n_i - (c_i + D_i)$  where it is found that the number of persons entering at the beginning of the period is 1168 persons while those who remained less than six months in the second period  $n_2 = 1168 - (31 + 11) = 1126$ .

Their number was 1126 people and so on for the rest of the periods. The third column represents the number of people observed while the fourth column estimates the size of the actual sample which means how many cases were observed during the entry into the time period. For example the number of those entered at the beginning of the second month is calculated as  $(1126 - (63/2)) = 1094.5$  and an assumption is made with the case withdraws in the middle of the time period. The fifth column represents the number of whom the event (death) occurred in the time period. For example, at the beginning of the third month, it is found that whom the event (death) occurred were 26 people. The sixth column represents the

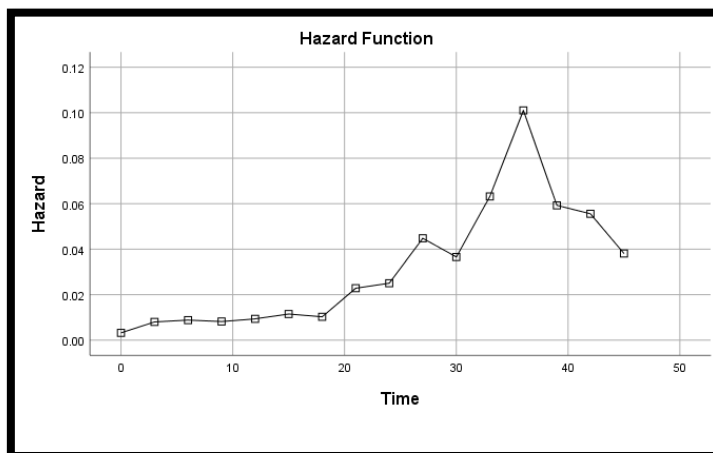
percentage of the dead while the seventh column is the percentage of the survivors. For example, there are 855 cases who entered the twelfth month.

The probability that the patient completed twelve months is  $(1-0.03 = 0.97)$ . The eighth column represents the probability of survival at the end of each period  $t_i$ , for example, the cumulative percentage of patients surviving until the end of the fifteenth month is  $(0.86)$  or  $(87\%)$ . The ninth and last column represents the risk function and is known as the probability of failure during a very small period of time. Assuming that the patient survives from the beginning of the period to its end, it was found that the greatest risk is at the time of survival in the thirty-sixth month when it reached  $(0.10)$ . In addition, it was found that the median survival time is  $(35.06)$  months and that the probability of survival at this time is equal to  $(0.50)$ . This is the time in which half of the cases under study are expected to survive or it is the time after which 50% of the individuals under the study are expected to survive.



**Figure 3.** Curve of the Survival Function for Patients with Leukemia according to the Method of Life Tables.

Figure (3) shows the curve of the cumulative survival function in the life table and for all periods where each period is equal to three months. The vertical axis represents the cumulative survival function and the horizontal axis represents the time in months . It is noticed that the probability of survival times for infected patients is constantly decreasing until the probability of their survival (21) months which is  $(0.78)$  ,the probability that they will stay for a period of 24 months is  $(0.72)$  ) and the probability that they will stay for (45) months is  $(0.22)$ .



**Figure 4.** Curve of the Risk Function for Patients with Leukemia according to the Method of Life Tables.

Figure (4) represents the risk function for the life table and for all periods where each period is equal to three months. The vertical axis represents the risk function and the horizontal axis represents the time in months. It is noticed that the risk is low at the beginning of the period then begins to be increased at the twentieth month and then returns to be decreased in the thirtieth month as well as it returns to be raised until the thirty-sixth month then turns to be decreased in the thirty-ninth month. Furthermore, it is found that the highest risk rate is in the period (33-36) months as the risk rate in this period is (0.10) which is the largest rate among all other periods.

## **6. CONCLUSIONS**

This study aimed at shedding light on the theoretical and practical importance of the (Cox) regression model in the analysis of survival, in addition it aimed at measuring the most important factors affecting the survival time of leukemia patients in the Republic of Yemen using the (Cox) model. Moreover, beside the above aims, this study aims at suggesting the best statistical model to determine the degree of risk facing patients with this disease, to estimate the survival and risk functions for this model and to form a Life Table.

All previous studies agreed on the importance of using the Cox regression model in survival analysis and these studies generally aimed at measuring the factors affecting survival time for a group of different phenomena some of which were applied in the medical field as in our study, some of which were applied in the economic field and others in the social field.

In each field, the variables of the study differed according to the study community and the time period spent in applying the study. This study concluded several results, the most important of which are the following:

- The (Cox) model does not depend on a specific distribution of survival times. Consequently, it can be used to indicate the effect of independent variables (risk factors) on the probability of survival as the model provides estimates for the coefficients of each variable. This allows evaluating the effect of multiple variables at the same time and showing their effect on survival time for people with leukemia.
- The results of the analysis have shown that the independent variables (risk factors) that maintained survival in the Cox model are: (age, marital status, type of disease, the province in which the patient lives, regularity in receiving treatment and type of chemotherapy) and it had a significant effect in the time of survival. However, the rest of the variables (sex and blood type) have not shown any significant effect on the time of survival. Furthermore, the model that contains the variables (age, marital status, type of disease, the governorate in which the patient lives, regularity in receiving treatment and chemotherapy type) is the best model among other models.
- The possibility of using the cox regression model in calculating both the survival and risk functions at any given time after estimating the survival and risk functions as well as the basic functions. Furthermore, the possibility of using life tables in analyzing the study data.
- Through the life table, it is noticed that the greatest risk in the survival time is the thirty-sixth month as it reached (0.10) which is greater than all other periods and that the median survival time was (35.06) months.
- There are differences in the incidence according to the type of disease in terms of the risk of death as acute lymphoblastic leukemia (ALL) is the most prevalent disease among all diseases with a rate of (65.8%) of the total patients. The proportions of patients with acute myeloid



leukemia (AML) and chronic myeloid leukemia (CML) were close to (16.8%) and (14.7) respectively. Moreover, the highest percentage of deaths was among patients with chronic myeloid leukemia (CML) which reached to (33.1%).

## **CONFLICT OF INTEREST**

The authors stated that there are no conflicts of interest regarding the publication of this article.

## **AUTHORSHIP CONTRIBUTIONS**

All authors contributed to the study conception and design. Material preparation, data collection and analysis were performed by Elias Al-samai and Sevil Senturk. All authors read and approved the final manuscript.

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RESEARCH ARTICLE

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FABRICATION OF A NOVEL FeB-B<sub>4</sub>C COMPOSITE POWDER AND EVALUATING ITS  
POTENTIAL FOR ENERGY STORAGE APPLICATIONS

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ABSTRACT

The development of energy storage devices is critical for humanity to declare its independence from fossil fuels. Supercapacitors and batteries are rapidly growing technologies. Nevertheless, their current progress is still insufficient to meet global demand. Therefore, advances in new generation and tailored materials for energy storage applications are urgently needed. Herein, for the first time, a novel composite of FeB-B<sub>4</sub>C powder was synthesized by a one-pot sol-gel technique, and its potential as an active material for electrodes in energy storage devices was investigated. The phase analysis showed that a composite powder containing 91±5% B<sub>4</sub>C and 9±5% FeB was obtained without unwanted excess phases such as graphite, boron, or iron oxide. Scanning electron microscopy images of the composite powder revealed the formation of elongated boron carbide particles connected with spherical iron boride ones. The size of the boron carbide particles was found to be in the range of 1 to 10 µm, while the iron boride particles were formed in the submicron range. The synthesized composite's electrochemical properties were investigated using a three-electrode set-up. Cyclic voltammetry (CV) and galvanostatic charge/discharge tests (GCD) were employed. The results obtained indicate the pseudocapacitive behavior of the electrodes with a specific capacitance of 8.28 F/g.

**Keywords:** Iron boride, Boron carbide, Composite, Energy storage, Supercapacitor

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1. INTRODUCTION

Pollution and global warming are some of the problems associated with modern society's dependence on fossil fuels due to energy shortages and rising fuel costs [1]. As well as the production of renewable energy, the storage of produced energy is one of the obstacles to reducing dependence on fossil fuels [2]. With the rapid development of the industry, the demand for high-capacity energy storage devices powered by green energy has become increasingly crucial. In terms of environmental impact and resource sustainability, supercapacitors are expected to have a great impact on the transition to greener energy consumption [3].

In recent research progress, the pseudocapacitive or battery type materials (metal sulfides, metal nitrides and metal carbides) have been effectively studied for supercapacitor electrodes due to the existence of their unique redox mechanism [4]. Many transition metal carbides such as, SiC, TiC, V<sub>4</sub>C<sub>3</sub>, WC, MoC and B<sub>4</sub>C have attracted attention for energy storage applications [5]. SiC nanocauliflowers (NCs) were investigated by Amit Sanger et al. as an electrode material for supercapacitors, which increased the high specific capacitance of SiC NCs up to about 300 F/g and produced symmetric devices that delivered high energy (31.43 Wh/kg) and power density (18.8 kW/kg) with good cyclic stability (94%) at 1 M Na<sub>2</sub>SO<sub>4</sub> [4]. Two-dimensional vanadium carbide as super capacitor electrode material with aqueous electrolyte was studied by Qingmin shan et al., which achieved the excellent specific capacitance of 487 F/g [5]. Joseph Halim et al., demonstrated the large-scale synthesis and delamination of 2D Mo<sub>2</sub>CT electrodes in supercapacitors, capacitances as high as 700 F cm<sup>-3</sup> and high-capacity retention

about 10,000 cycles. Besides Mo<sub>2</sub>CTx tested the electrode material for Li-ions achieved the reversible capacities of 250 mAh/g [6].

Among the carbide materials, boron carbide (B<sub>4</sub>C) is a semiconductor with high chemical resistance [7]. Besides, it has many unique properties that can be useful for energy storage devices, such as high thermal stability, high melting point, low density, and low thermal expansion coefficient [8]. For these reasons, boron carbide (B<sub>4</sub>C) has been used in a number of electrochemical energy storage systems, including zinc-air, vanadium redox flow, lithium-oxygen, and lithium-sulfur batteries [9–12]. Wen-Bin Luo et al. could synthesize B<sub>4</sub>C nanowires from carbon nanotubes, and they utilized B<sub>4</sub>C nanowires as a novel bifunctional electrocatalyst in battery applications [13]. They also reported that B<sub>4</sub>C nanowire-based electrodes could be operated up to 2.2 V voltage even after 120 cycles. B<sub>4</sub>C nanowires grown in situ on carbon nanofibers (B<sub>4</sub>C@CNF) were used by Liu Luo et al. to fabricate a novel cathode substrate, which also achieved 9 mAh/cm<sup>2</sup> areal capacity and excellent capacity retention of 80% after 500 cycles [14]. In addition, Chang et al. showed that the core-shell structure B<sub>4</sub>C@C could potentially be an electrode material for all solid-state micro-supercapacitors [15]. In our recent study, we demonstrate that the morphological differences of boron carbide particles influence the electrochemical performance of supercapacitor electrodes made of these particles [16]. So, as indicated in previous studies boron carbide has a great potential for use in energy storage devices. Nevertheless, boron carbide lacks electrical conductivity, and its' slower ionic transport kinetics need to be enhanced to improve its electrochemical performance.

Recently, metal borides have been proposed as co-catalyst for photocatalysis and electrocatalysis because of their charge-transfer enhancement [17–19]. Moreover, the electrochemical properties of some of the metal borides such as TiB, VB, and CoB have been tested for energy storage applications [20,21]. In this study, for the first time in the literature, FeB-B<sub>4</sub>C composite powder was synthesized, and its electrochemical properties were investigated. The phase content and morphological features of the composite powder, as well as the charge storage mechanism and performance of the electrodes were revealed and discussed.

## 2. EXPERIMENTAL

### 2.1. Synthesis and Characterization of Composite Particles

For the synthesis of iron boride-boron carbide composite particles, analytical grade starting chemicals were purchased from Merck and used without additional purification. All solid starting materials were directly added to glycerin according to the molar ratio given in Table 1. Using a hot plate, the mixture was vigorously magnetic stirred in an oil bath to ensure homogeneous heat distribution. The temperature of the mixture was then gradually increased over an hour to 150°C, where it remained for another hour under vigorous magnetic stirring (450 rpm). After this process, the formed gel was poured into alumina crucibles and cooled to room temperature. Then the gel was calcinated at 675 °C for 2 hours using a muffle furnace to burn off extra carbon. Finally, the calcined product was subjected to a final heat treatment at 1500 °C for 5 hours in a tube furnace under argon (500 ml/min) to obtain composite particles.

**Table 1.** Molar ratios of starting chemicals.

Glycerin (C <sub>3</sub> H <sub>8</sub> O <sub>3</sub> )	Tartaric Acid (C <sub>4</sub> H <sub>6</sub> O <sub>6</sub> )	Boric Acid (H <sub>3</sub> BO <sub>3</sub> )	Iron (III) Nitrate (Fe(NO <sub>3</sub> ) <sub>3</sub> .9H <sub>2</sub> O)
1 mol	0.25 mol	1 mol	0.25 mol

The phases present in the synthesized powder were analyzed by X-ray diffraction technique (XRD, Bruker D2 Phaser) using Cu K $\alpha$  radiation ( $\lambda = 1.540 \text{ \AA}$ , 30 kV, and 10 mA) in the range of 10° to 80° with a scanning speed of 0.5°/min. Scanning electron microscopy (SEM, Zeiss EVO LS 10) was used

to examine the particle morphology. Elemental point analysis (EDS-Thermo scientific energy dispersive X-ray detector) was performed to examine the elemental distribution.

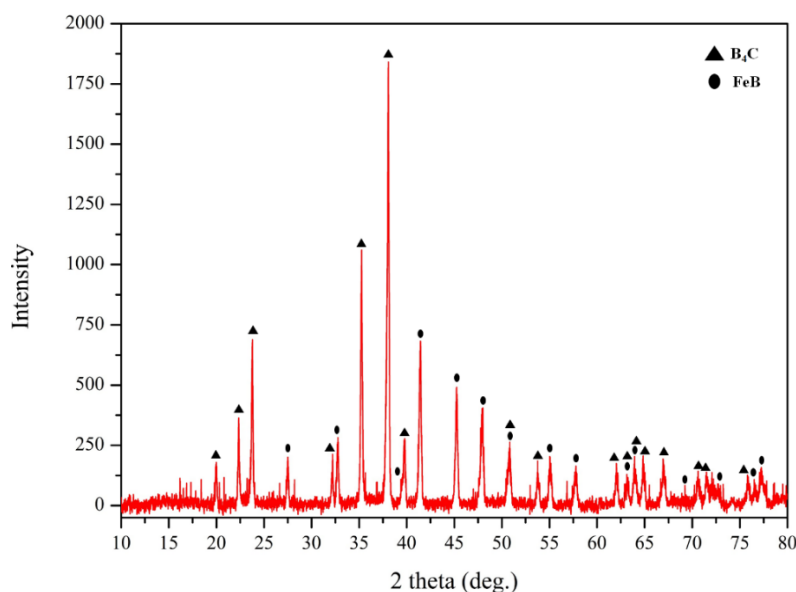
## 2.2. Fabrication of Electrodes and Electrochemical Measurements

To fabricate a working electrode, firstly, a fine paste was prepared by mixing 0.085g of as-synthesized powder, 0.01g C65 conductive carbon black, and 0,005 g poly (vinylidene fluoride) binder with 0.025 mL of NMP (N,N-dimethyl pyrrolidinone) solution. The mixture was placed in a 15 mL glass bottle with a closed lid, and to obtain a homogeneous mixture magnetic stirring was applied at room temperature for 24 hours. The obtained fine mixture was poured onto a nickel foam substrate ( $1 \times 1 \text{ cm}^2$ ). The coated substrate was then allowed to dry for 24 hours at  $80 \text{ }^\circ\text{C}$  before being employed as a working electrode in all electrochemical experiments. The substrate was coated with 10 mg of active material.

A potentiostat/galvanostat (Metrohm-Vionic) electrochemical workstation was used to examine the electrochemical characteristics of the electrode at room temperature ( $\sim 25 \text{ }^\circ\text{C}$ ). A three-electrode setup with an aqueous 6 M KOH solution as the electrolyte was used for all electrochemical tests. In the assembled cell, the fabricated electrode containing synthesized particles acts as the working electrode, a Pt spring as the counter electrode, and Ag/AgCl as the reference electrode. Cyclic voltammetry (CV) was performed for different scan rates of 5, 10, 25, 50, 75, and  $100 \text{ mVs}^{-1}$ . Galvanostatic charge/discharge testing was performed at different charge/discharge current densities (0.5, 1, and  $2 \text{ Ag}^{-1}$ ) in the constant potential window from 0 to 0.4 V.

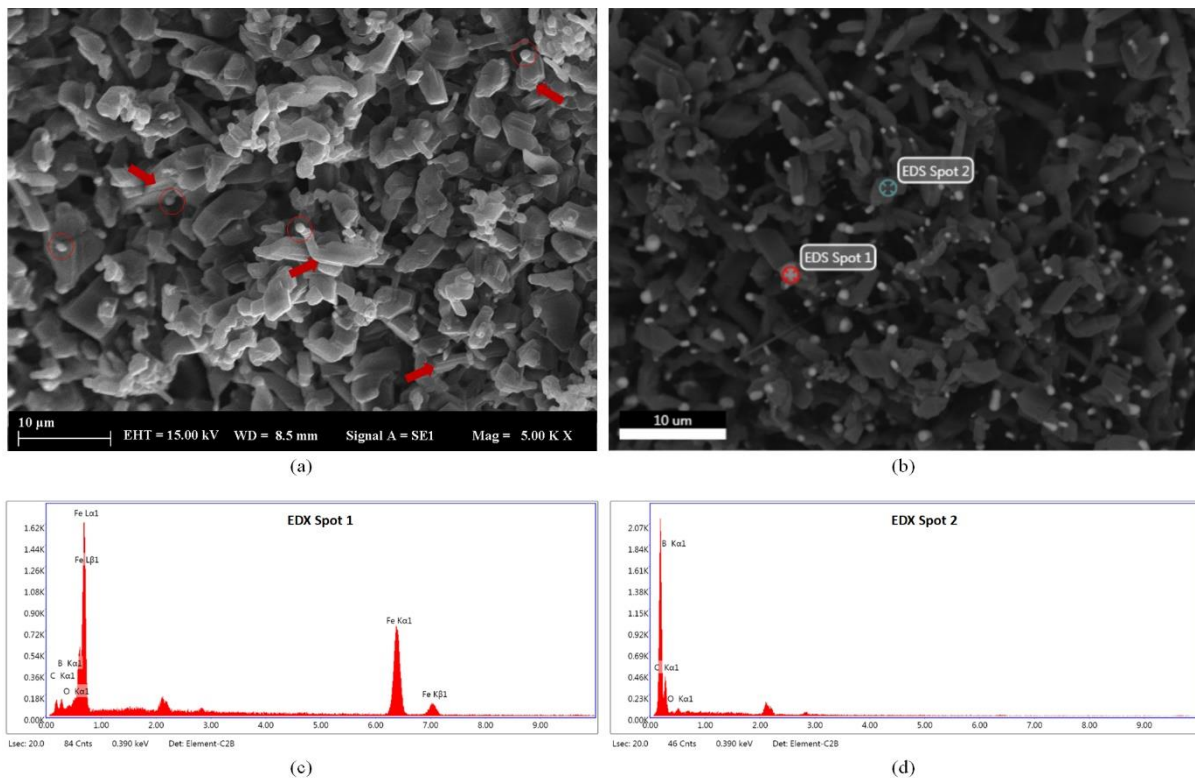
## 3. RESULTS AND DISCUSSION

The phase pattern of as-synthesized powders provided by XRD analysis is shown in Figure 1. The pattern indicates that synthesized powder does not contain any amorphous phase, like amorphous carbon. Phase determination carried out by using HighScore Software shows that the powder contains two crystalline phases. The main phase was found to be boron carbide (CoD: 96-223-5963) with a  $91 \pm 5\%$  ratio. The observed secondary phase was indexed as iron boride (CoD: 96-101-0478) with a  $9 \pm 5\%$  ratio. Besides these two crystalline phases, no other residual oxide phase, such as boron oxide or iron oxide, was not observed.

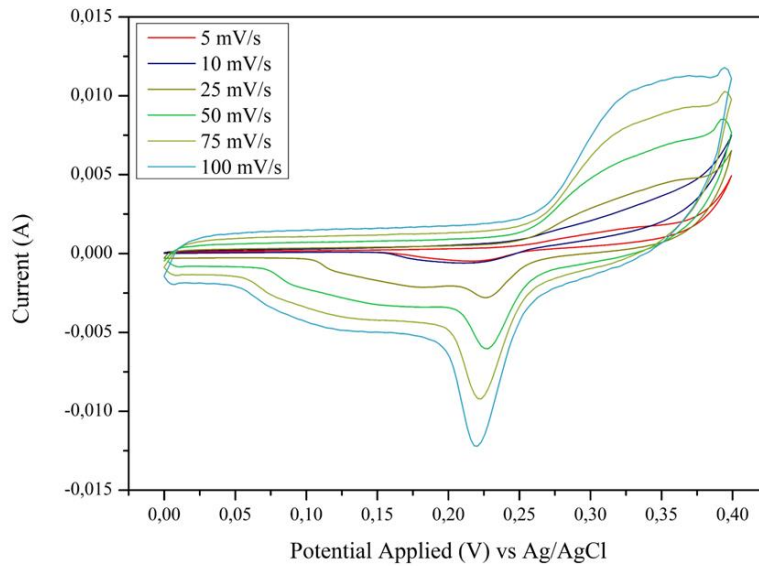


**Figure 1.** The XRD pattern of as-synthesized powder.

The secondary electron SEM image of the synthesized powder is presented in Figure 2-a. The image shows that most of the particles have elongated complex-shaped morphology. Besides, the surface texture of the particles is observable from the secondary electron SEM image (Figure 2-a). Straight-line marks on the surface of the particles were detected and highlighted with red arrows in Figure 2-a. The line-shaped marks originated because of non-completed stacked crystalline layers of boron carbide, and the marks indicate that the particles were grown via the lateral growth mechanism. The lateral growth of the particles generally results in the formation of particles with a high aspect ratio. Thus, the observed elongated complex-shaped morphology should be related to the dominant growth mechanism in the formation of particles during the final heat treatment stage. The approximate size of the particles was measured from SEM images using Image-J software. It is seen that the size of elongated complex-shaped particles is in the range of 1 to 10  $\mu\text{m}$ . Furthermore, in addition to the micron-sized particles, sub-micron-sized particles with spherical morphology were observed, and these particles were emphasized with red circles in Figure 2-a. Moreover, spherical particles are generally seen as consolidated on the tip of the elongated ones. To reveal the chemical differences between these two types of particles, a backscattered SEM image was obtained and EDX analysis was carried out (Figures 2-b to d). The backscattered SEM image reveals that sub-micron-sized spherical particles appear brighter than elongated complex-shaped ones. This observation is evident that the iron boride phase forms the sub-micron-sized spherical particles. EDX analysis results are presented in Figures 2-c and d, also confirming that the sub-micron-sized spherical particles contain a high amount of iron (EDX-point 1). On the contrary, no iron was detected on EDX-point 2. Moreover, it's known that metal additives promote the uniaxial growth of carbides and nitrides [22,23]. So, it can be concluded that during the final heat treatment, iron boride enhances the lateral growth of boron carbide and particles formed in elongated complex-shaped morphology, unlike boron carbide particles' well-known polyhedral equiaxed morphology [24–26].

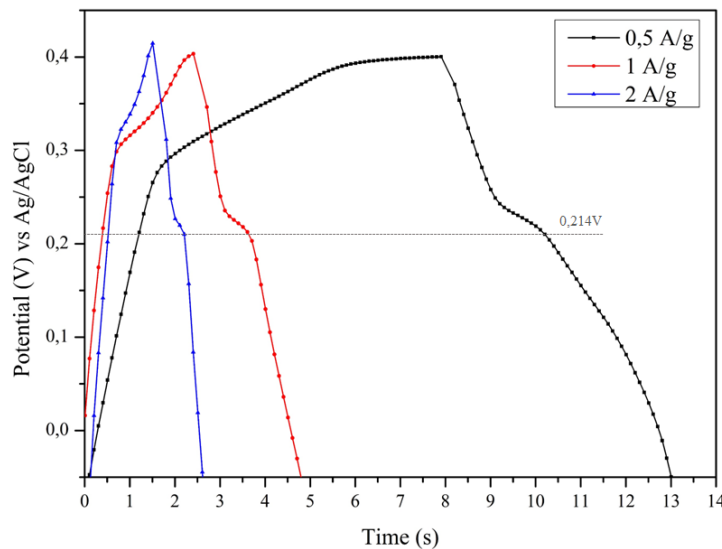


**Figure 2.** The scanning electron microscopy images and elemental point analysis results of synthesized powder. (a) secondary electron image, (b) backscattered electron image and EDS points, (c) elemental composition of point 1, and (d) elemental composition of point 2.



**Figure 3.** Cyclic voltammetry (CV) curves of the FeB-B<sub>4</sub>C electrode at different scan rates in the range of 5 to 100 mV/s.

In order to evaluate the storage mechanism of the synthesized powder-based FeB-B<sub>4</sub>C composite electrode, cyclic voltammetry (CV) analysis was performed. Figure 3 shows the cyclic voltammograms of the electrode recorded at different scan rates between 5 and 100 mV/s over a potential working window of 0-0.4 V in an aqueous solution of 6 M KOH. The obtained CV curves exhibit an identical profile, showing the film's strong reversibility in the 6 M KOH electrolyte. It is seen that the area under CV curves increases with the scan rate. This phenomenon can be explained by limited diffusion at high scan rates, so higher current occurs, and higher current results in an increase in CV curve area [27]. Moreover, clear oxidation and reduction peaks were determined in the CV curves, demonstrating the electrode's pseudocapacitive behavior. In addition, a slight shift in the potential positions of both the oxidation and reduction peaks was observed with increasing scan rate. This observation may correspond to the electrodes' low polarization [28].

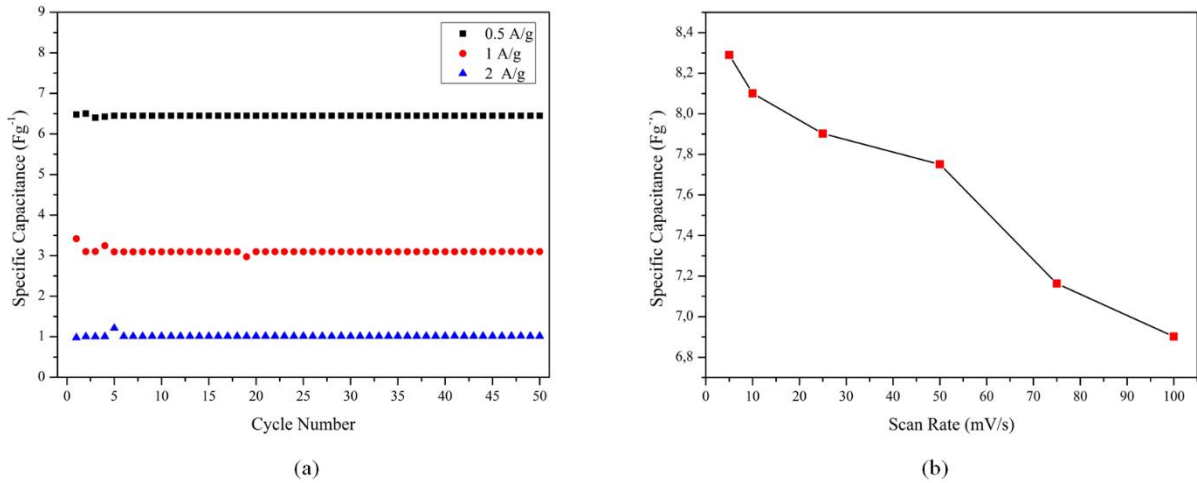


**Figure 4.** Galvanostatic charge-discharge (GCD) curves of the FeB-B<sub>4</sub>C electrode at current densities of 0.5 A/g, 1 A/g, and 2 A/g.

To assess the performance of the electrode materials for energy storage devices, galvanostatic charge-discharge (GCD) was employed. The GCD profiles obtained at various scan rates are displayed in Figure 5 for comparison. It can be seen that the discharge curves are not linear and exhibit a potential slope just above 0.214 V, suggesting the presence of pseudo-capacitance behavior, which is consistent with the CV measurements. Equation 1 is used to calculate the specific capacitance of electrode materials from galvanostatic discharge curves.

$$C_s = \frac{\Delta T \times i}{\Delta V \times m} \quad (1)$$

***i***: current (A), ***ΔT***: the discharge time (s), ***ΔV***: potential window (V), ***m***: mass of active material (g)



**Figure 5.** Comparative specific capacitance values of the FeB-B<sub>4</sub>C electrode. (a) specific capacitance values calculated from GCD curves in a function of cycle number and current densities, (b) specific capacitance values calculated from CV curves in a function of scan rate.

The specific capacitance values obtained from the GCD curves for different scan rates and during 50 cycles are shown in Figure 5-a. It can be seen that the specific capacitance values are constant up to 50 cycles, indicating the stability of the electrodes. Moreover, the calculated specific capacitance value at 0.5 A/g is 6.5 F/g, decreasing to 1 F/g with increasing current density to 2 A/g. The decrease in specific capacitance at high current density is likely caused by the electrolyte ions' adverse accessibility to the electrode's core active sites [29]. Determination of the specific capacitance values from GCD curves is a good approach to characterize the electrodes' stability through long cycles. However, since the potential does not change linearly with time during discharge, equation 1 can only provide an approximate specific capacitance value. Another way to estimate the more accurate specific capacitance value for pseudo-capacitive electrodes is to calculate from CV curves. Equation 2 was utilized to calculate the specific capacitance values from the CV curves, and the results are presented in Figure 5-b.

$$C_s = \frac{\int_{V_1}^{V_2} I(V) dV}{mv(V_2 - V_1)} \quad (2)$$



$\int_{V_1}^{V_2} I(V)dV$ : area under CV curve,  $m$ : mass of active material (g),  $v$ : scan rate (V/s)

It is seen from Figure 5-b that the highest specific capacitance value of 8.28 F/g was obtained at 5 mV/s, and as expected, it gradually decreases with increasing scan rate. The lowest specific capacitance value calculated from the CV curves was found to be 6.9 F/g, which is slightly higher than the specific capacitance calculated from the GCD discharge curve.

In summary, it can be noted from the electrochemical analysis results that synthesized FeB-B<sub>4</sub>C composite powders have the potential to be a candidate for supercapacitor applications with a pseudocapacitive charge storage behavior. The capacitive performance can be further increased by decreasing particle size, increasing specific surface area, improving the FeB:B<sub>4</sub>C phase ratio, and optimizing the cell conditions such as electrolyte type and molarity.

#### **4. CONCLUSION**

In this study, FeB-B<sub>4</sub>C composite powder without any residual phases, such as graphite, boron oxide, or iron oxide, was synthesized by one-pot sol-gel technique at 1500°C. The morphological inspections showed that a composite of elongated boron carbide particles and sub-micron sized spherical FeB particles formed. The electrochemical properties of the synthesized composite powder investigated by three-electrode set-up. The pseudo-capacitive behavior of electrodes was revealed from the observed oxidation/reduction peaks and potential oblique in the cyclic voltammogram and discharge profiles of electrodes, respectively. The highest specific capacitance value of 8.28 F/g was calculated from the CV curves at a scan rate of 5 mV/s. The synthesized FeB-B<sub>4</sub>C composite powder can be used as an active material for fabricating positive electrodes for supercapacitors. The performance of electrodes can be improved by optimizing the particle size and phase ratio.

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#### **CONFLICT OF INTEREST**

The author stated that there are no conflicts of interest regarding the publication of this article.

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