

■ Review Article

## The place of immunological factors in recurrent pregnancy loss and implantation failures

### *Tekrarlayan gebelik kaybı ve implantasyon başarısızlıklarında immünolojik faktörlerin yeri*

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#### Abstract

Despite recent advances in assisted reproductive methods and treatments in sustaining fetal viability, recurrent implantation failure (RIF) and recurring pregnancy loss (RPL) still pose significant problems in the context of in vitro fertilization (IVF). Recent studies focused on the role of immunological factors in the etiology of RIF and RPL. They demonstrated that infertile patients might suffer from dysregulated immune system cell activities, including CD4+ T helper (Th1, Th2, Th17, and Tregs), peripheral natural killer (pNK), uterine natural killer (uNK) cells. Researchers have investigated the use and efficacy of immunosuppressant drugs such as glucocorticoids, intravenous immunoglobulin, and TNF- $\alpha$  blockers in achieving successful implantation in infertile women but the efficacy of these treatments remains to be fully established. We conclude that, although the relationship between immunology and infertility is clear, there is still a long way to go to reach a thorough understanding.

**Keywords:** Infertility; Immunology; IVF; Recurrent pregnancy loss; Recurrent implantation failure

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## Öz

Canlı bir gebeliğin devamı için yardımcı üreme teknikleri ve tedavi yöntemlerinde gelişmeler sağlansa da, in vitro fertilizasyon (IVF) sonrası tekrarlayan implantasyon başarısızlığı (TİB) ve tekrarlayan gebelik kayıpları (TGK) büyük problem oluşturmaktadır. Son yıllarda yapılan çalışmalarda TİB ve TGK nedeni olarak immunolojik faktörlerin üzerinde durulmaktadır ve bu hasta gruplarında immun sistem hücreleri olan; CD4+ T-helper (Th1, Th2, Th17, Treg) ve periferik natural killer (pNK) ve uterin natural killer (uNK) hücrelerinin aktivitelerinde dengesizlikler gösterilmiştir. Bu nedenle, bu hasta gruplarında başarılı bir implantasyon ve gebelik devamı için glukokortikoidler, intravenöz immünoglobulin ve TNF- $\alpha$  blokerleri gibi immünoşüpresan ilaçların kullanımı ve etkinliği araştırılmıştır. Ancak ilaçların etkinliği ile ilgili net bir sonuç elde edilmemiştir. Sonuç olarak, immunoloji ile infertilite ilişkisi aşikar olmasına rağmen, bu konuda daha katedilmesi gereken uzun bir yol vardır.

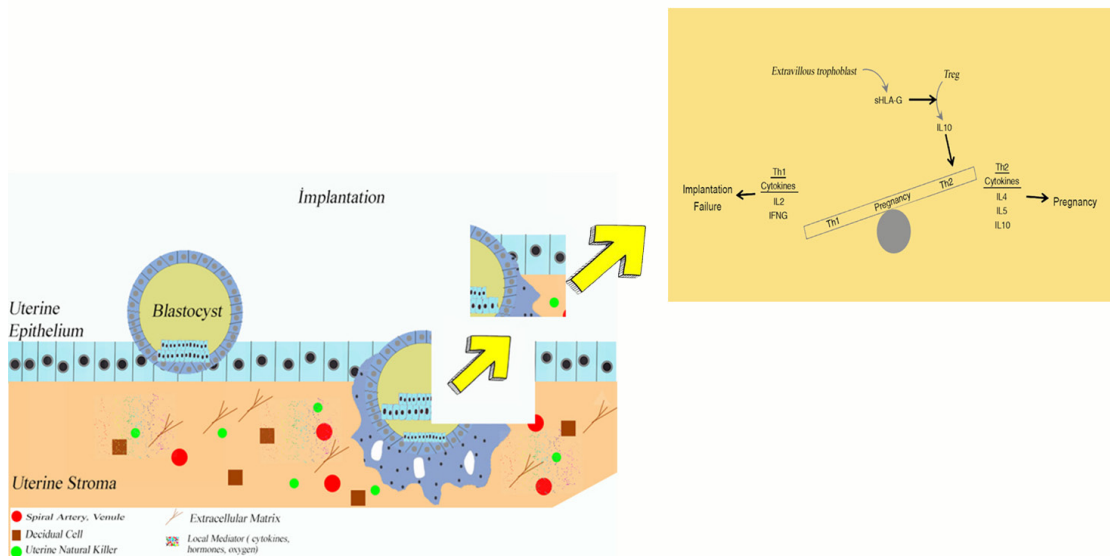
**Anahtar kelimeler:** İnfertilite; İmmunoloji; IVF; Tekrarlayan gebelik kaybı; Tekrarlayan implantasyon başarısızlıkları

## 1. Introduction

Infertility is defined as the inability of a couple to achieve a viable pregnancy after a year of unprotected regular sexual intercourse. Between 10 to 15% of couples of reproductive age suffer from infertility (1, 2). Despite recent advances in assisted reproductive methods and treatments in sustaining fetal viability, recurring implantation failure (RIF) and recurring pregnancy loss (RPL) still pose significant problems in the context of in vitro fertilization (IVF). RIF is defined as the failure of a woman under the age of 40 to achieve a viable pregnancy after the transfer of at least three fresh or frozen embryos (3). RPL is defined as three or more consecutive miscarriages that occur before 20 weeks of gestation (4). Previous studies investigated genetic causes and uterine structural anomalies, whereas recent studies focused on the role of immunological factors in both RIF and RPL.

The field of reproductive immunology established by Madewar who, in 1953, asked why the semi-allogeneic fetus is not rejected by the maternal immune system (5). Pregnancy is a unique condition that allows the toleration of the embryo, a semi-allogeneic tissue, by the maternal immune system. In order for the mother to be able to tolerate the father's alloantigens, the maternal immune system must develop a balance between tolerance and immunity. Immune imbalance contributes to endometrial implantation failure and miscarriage. Research suggests that infertile patients may suffer from dysregulated immune system cell activities, including CD4+ T helper (Th1, Th2, Th17, and Tregs), peripheral natural killer (pNK), uterine natural killer (uNK) cells (6). These cells and their cytokines participate in blastocyst implantation (**Figure 1**).

This review aims to discuss studies demonstrating an association between NK and T cell counts and ratios, and infertility.



**Figure 1.** Hormones, oxygen, cytokines, growth factors, and immune system cells effective in blastocyst implantation and placenta formation



## 2. Methods

Using online databases, we carried out a systematic literature review on the role of immunologic factors in both RIF and recurring pregnancy loss (RPL). The major relevant studies were retrieved mainly from PubMed, Google Scholar, MEDLINE and Web of Science using the keywords 'immunologic factors and infertility', 'immunologic factors and recurrent implantation failure', 'immunologic factors and recurrent pregnancy loss' and 'treatment of immunologic problems for recurrent implantation failure and recurrent pregnancy loss'. Only English-language publications were included in this review.

## 3. Natural killer cells

A regular functioning immune system is essential for the successful implantation of an embryo (7). Natural killer (NK) cells play a central role in the innate immune system (8, 9). The pNK and uNK cells are phenotypically and functionally different cell types and are distinguished according to their receptor expression intensity, particularly surface CD56 (10). A minority of pNK cells (10%) resemble uNK cells whereas 90% are CD56dim (i.e. highly cytotoxic) and CD16+, while 80% of uNK cells are CD56bright (less cytotoxic) and CD16- (11). The reason why peripheral NK cells are more cytotoxic is twofold: first, the type of surface CD56, and second, the downregulation of CD16 expression by uNK cells, which in turn triggers NK cell antibody-dependent cell-mediated cytotoxicity (11, 12). Hence, uNK cells play a more prominent regulatory role in early implantation. The initiation of embryo implantation is associated with the production of progesterone and interleukin 15 (IL-15) and the stimulation of uNK cells during the luteal phase of the menstrual cycle (11, 13). These cells promote vascular remodelling via endothelial growth factor and are also responsible for trophoblast remodelling and cytokine secretion (10, 14, 15).

The literature contains different methods for NK cell determination, including an endometrial biopsy or lavage sampling, menstrual blood sampling, or placental/decidual sampling (16, 17). NKT cells constitute an important subgroup of lymphocytes that demonstrate both T cell and NK cell-like characteristics (18). NKT cells have two distinct functions in immune responses: pro-inflammatory and tolerogenic (19), leading researchers to speculate that NKT cells may play an important role in implantation and immune intolerance to the fetus (20). Zhou et al. investigated whether peripheral blood NKT-like cells were in any way associated with IVF treatment outcome and showed that patients with higher peripheral NKT-like cell counts had better IVF outcomes (i.e. higher pregnancy and live birth rates). Yuan et al. found elevated pNK cells to be correlated with unexplained recurrent spontaneous abortions (18).

In contrast, one study reported that pNK cell counts were not significantly different but that uNK cells were reduced in

patients with RIF (21). In a comparative analysis, Hosseini et al. evaluated NK cell subsets in peripheral and menstrual blood samples of recurring spontaneous abortion patients and found more prominent population differences in NK cell subgroups in the peripheral blood (17). Also, several studies that investigated the efficacy of uNK cells in predicting implantation success after IVF conclude that uNK cell count was not effective in predicting IVF success (22, 23). In reference to these studies, it could be argued that immunomodulatory treatments may be unnecessary in the context of IVF treatments and may only be applied for carefully selected patients. Some studies similarly report no difference in uNK (CD56 and CD16) counts of fertile and infertile women (24), and also that elevated CD16 levels may predict poor prognosis (25). Kofod et al. emphasized that increased CD56 and decreased CD16 levels may predict viable pregnancy outcome in IVF treatment (26). Multiple studies did not find a significant correlation between pNK cell level and pregnancy loss and IVF outcome. On the other hand, the available results on uNK cell levels are contradictory. Regardless, recent studies associate elevated uNK cells with improved IVF outcomes (Table 1). Further studies are needed to elucidate the impact of NK cells on pregnancy loss and IVF outcomes.

## 4. T-helper cells

Cytokines play an important role in successful implantation and the continuation of pregnancy. CD4 T helper cells differentiate into two major subtypes as Th1 and Th2 that are distinguished based on the cytokines they secrete. Th1 cells typically secrete pro-inflammatory cytokines, such as interferon-gamma (IFN- $\gamma$ ), tumor necrosis factor-alpha (TNF- $\alpha$ ), and interleukin-2 (IL-2) (27) whereas Th2 cells secrete anti-inflammatory cytokines, such as IL-4, IL-6, and IL-10, and appear to have immunosuppressive effects that are important for the healthy continuation of pregnancy (28). The balance of pro- and anti-inflammatory cytokines depends entirely on the ratio of Th1 and Th2 cells (29). It is possible to measure cytokine levels from serum, plasma, placenta, or endometrial lavage samples (30).

Although the available results on Th cells and cytokines are contradictory, the general opinion is that Th2 dominance is required for successful implantation and pregnancy. Most of these studies included RIF and RPL patients and emphasized the ratios of Th1/Th2 and their cytokines.

It has been demonstrated that the ratios of pro-inflammatory and anti-inflammatory cytokines (i.e. IFN- $\gamma$ /IL-4, IFN- $\gamma$ /IL-10, IFN- $\gamma$ /TGF- $\beta$ 1, IL-6/IL-10, IL-6/TGF- $\beta$ 1, IL-1 $\beta$ /TGF- $\beta$ 1, and TNF- $\alpha$ /TGF- $\beta$ ) in the peripheral blood are increased in RIF patients. These results suggest that the Th1/Th2 ratio may predict prognosis in IVF (31). Kalu et al. similarly demonstrated that Th2 dominance is associated with improved IVF outcomes

**Table 1.** Studies investigating expression of uNK and pNK cells in samples from different anatomical locations in the context of infertility.

| STUDY                      | SAMPLE TYPE            | METHOD  | VARIABLES       | RESULT  |
|----------------------------|------------------------|---|-----------------|---|
| Hosseini et al., 2014 (21) | Uterine and peripheral | Menstrual blood-peripheral blood-flow cytometry | CD56, CD16      | uNK counts were higher in RPL patients; no statistical difference in pNK counts                                     |
| Tohma et al., 2020 (25)    | Uterine and peripheral | Uterine lavage- peripheral blood-flow cytometry | CD56, CD16      | uNK counts were lower in RIF patients; no statistical difference in pNK counts                                      |
| Donoghue et al., 2019 (26) | Uterine                | Endometrial biopsy-immunohistochemistry         | CD56, CD16      | No added benefit in predicting the success or failure of implantation after IVF                                     |
| Kofod et al., 2017 (30)    | Uterine                | Endometrial biopsy-immunohistochemistry         | CD56, CD16      | Better IVF outcomes with increased CD56 levels  |
| Giuliani et al., 2014 (29) | Uterine                | Endometrial biopsy-immunohistochemistry         | CD56, CD16      | Higher populations of CD16 may result in increased risk of infertility disorders; no statistical difference in CD56 |
| Matteo et al., 2007 (28)   | Uterine                | Endometrial biopsy-flow cytometry               | CD56, CD16      | No differences between infertile and fertile women  |
| Zhou et al., 2013 (24)     | Peripheral             | Peripheral blood-flow cytometry                 | CD56, CD16, CD3 | Elevated CD3, CD56, and CD16 levels are associated with increased pregnancy and live birth rates in IVF             |
| Yuan et al., 2015 (22)     | Peripheral             | Peripheral blood-flow cytometry                 | CD56, CD16, CD3 | Elevated CD3, CD56, and CD16 levels are associated with RPL   |

uNK: Uterine natural killer cells, pNK: Peripheral natural killer cells, IVF: In vitro fertilization, RPL: Recurrent pregnancy loss, RIF: Recurrent implantation failure

**Table 2.** Studies investigating expression of Th1-Th2 cells and pro/anti-inflammatory cytokines in samples from different anatomical locations in the context of infertility.

| STUDY                      | SAMPLE TYPE                   | VARIABLES   | RESULT   |
|----------------------------|-------------------------------|---|--|
| Yuan et al., 2015 (22)     | Decidual                      | Th1 and Th2 cells and pro/anti-inflammatory cytokines | Higher IFN-gamma expression, increased decidual Th1/Th2 ratio, and decreased IL-4 and IL-10 in patients with RPL |
| Liang et al., 2015 (35)    | Plasma                        | Th1 and Th2 cells and pro/anti-inflammatory cytokines | The ratios of pro-inflammatory and anti-inflammatory cytokines were higher in the RIF patients                   |
| Kalu et al., 2008 (36)     | Plasma                        | Th1 and Th2 cells and pro/anti-inflammatory cytokines | The Th1/Th2 ratio was higher in RIF patients   |
| Comba et al., 2015 (34)    | Plasma and endometrial tissue | Pro/anti-inflammatory cytokines                       | Higher IL-12, IL-18, and INF- $\gamma$ and lower LIF and MIF in RPL patients                                     |
| Kwak-kim et al., 2003 (37) | Plasma                        | Th1 and Th2 cells and pro/anti-inflammatory cytokines | The Th1/Th2, INF- $\gamma$ /IL-4, and TNF- $\alpha$ /IL-4 ratios were higher in RIF and RPL patients             |
| Lee et al., 2013 (38)      | Plasma                        | Th1 and Th2 cells and pro/anti-inflammatory cytokines | The Th1/Th2 ratio was higher in RPL patients   |

uNK: Uterine natural killer cells, pNK: Peripheral natural killer cells, IVF: In vitro fertilization, RPL: Recurrent pregnancy loss, RIF: Recurrent implantation failure

among RIF patients (32). IFN- $\gamma$ /IL-4 and TNF- $\alpha$ /IL-4 and Th1/Th2 rates were reported to be increased in RIF and RPL patients (33, 34). Results of decidual and endometrial samples were comparable to those of plasma samples. In RPL patients had elevated decidual IFN- $\gamma$  levels, and reduced decidual IL-4 and IL-10 levels (18). Another study reported elevated IL-12, IL-18, and IFN- $\gamma$  levels and reduced leukemia inhibitory factor (LIF) and migration inhibitory factor (MIF) levels in the plasma and endometrial samples of RPL patients (30) (**Table 2**).

## 5. Human leukocyte antigen (HLA)

The MHC cluster located on chromosome 6 encodes HLA molecules, including HLA Classes I, II and III. HLA Class I proteins are divided into classical antigens (HLA-A, HLA-B and HLA-C) and nonclassical HLA Class Ib antigens, including HLA E, F and G (35, 36). The HLA Class II region contains the HLA-DR, HLA-DQ and HLA-DP loci. HLA and NK cells are the most prominent immunological factors in fertility and might play a crucial role in the incidence and establishment of pregnancy. They can influence pregnancy-



related processes and actors, including embryonic aggregation, gametes, blastocyst formation, fetal growth, trophoblasts, transplantation, and embryonic survival (37).

HLA class I molecules are expressed in all nucleated cells whereas HLA Class II molecules are expressed only in antigen-presenting cells (e.g. dendritic cells, macrophages, B cells) and some non-APC cells (T cells, endothelial cells). On the other hand, trophoblasts have been shown to express the non-classical HLA-G molecule (38). HLA-C molecules, and to a limited degree, the HLA-A, HLA-B, HLA-G and HLA-E molecules, appear to play an important role in pregnancy by binding to the "activating/inhibitory" ligands on the NK and T cell surfaces (39).

The interaction between the "killer immunoglobulin-like receptor (KIR)" molecules on maternal immune cells (uNK/NK and cytotoxic T cells) and the paternal antigens [Human Leukocyte Antigens (HLA)] can determine the course of the pregnancy (38). The literature on the relationship between HLA and non-classical HLA molecules and pregnancy indicates that polymorphic HLA-G derivatives are associated with recurrent pregnancy loss (40).

## 6. Immunomodulatory Treatment

Researchers have investigated the use and efficacy of immunosuppressant drugs such as glucocorticoids, intravenous immunoglobulin, and TNF- $\alpha$  blockers in achieving successful implantation in infertile women but the efficacy of these treatments remains to be fully established (41).

### 6.1. Intravenous immunoglobulin

A systematic review and meta-analysis by Li et al. found that intravenous immunoglobulin (IVIG) was associated with increased implantation, clinical pregnancy, and live birth rates in women with unexplained infertility or IVF/ICSI failure when compared to a placebo (42). However, a 2014 Cochrane review, including eight randomized studies on 303 women with recurrent pregnancy loss, concluded that IVIG treatment did not improve live birth rates (43).

### 6.2. Corticosteroids

The efficacy or utility of corticosteroids in RIF patients is not clearly established (44). Meta-analyses emphasize that corticosteroids do not increase live birth rates in RIF patients (45). However, some studies report better IVF outcomes when corticosteroids are combined with heparin, aspirin, or progesterone (46, 47). It is not clearly understood how these treatments affect immune cells (Th1, Th2, NK) or cytokine release. Further studies that investigate pre- and post-treatment changes in immune cells and cytokines are needed.

### 6.3. TNF- $\alpha$ blockers

TNF- $\alpha$  blockers (e.g. adalimumab) inhibit TNF- $\alpha$  and reduce the inflammatory response. Despite studies indicating increased

pregnancy rates when aspirin or IVIG treatments are combined with TNF- $\alpha$  blockers, this treatment does not significantly increase live birth rates (48). These drugs are thought to act by altering cytokine ratios. It was reported that Th1/Th2 and TNF- $\alpha$ /IL-10 ratios were reduced after TNF- $\alpha$  blocker treatment (49).

## 6.4. Tacrolimus

The immunosuppressive activity of tacrolimus is achieved by the inhibition of calcineurin, resulting in reduced inflammatory cytokines, including TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 (50). Nakagawa et al. applied tacrolimus therapy to patients with a history of RIF starting two days before embryo transfer until a positive pregnancy test. They reported increased pregnancy and live birth rates in patients who received treatment (51). On the other hand, it was observed that patients with reduced Th1 levels did not have significantly different clinical pregnancy or live birth rates. However, pregnancies were more likely to progress into the second trimester in patients who were treated with tacrolimus and had lower Th1 levels (52). A study of 100 pregnant women with a history of organ transplantation and tacrolimus therapy reported that four fetuses developed congenital anomalies such as meningocele, urogenital defects, hearing defects, hypospadias, multicystic dysplastic kidneys, and cleft palate (53). Further and more extensive and detailed studies are needed concerning tacrolimus treatment applications.

## 7. Conclusion

In conclusion, numerous articles have recently been published on the relationship between immunology and infertility, resulting in a better understanding of the effects of immunology on implantation failure and recurrent miscarriage. However, there are still many unknowns, and, as of yet, there are no effective treatment methods for any potential immunological problems. Therefore, well-designed randomized controlled trials are needed to determine relevant immunological conditions and to develop treatment modalities for potential problems.

## Declaration of Interest

The authors report no conflict of interest.

**Ethical approval:** This review protocol was approved after obtaining the necessary permissions by the obstetrics and gynecology department of Baskent University. Ethics committee approval was not sought as the study is a review of the previous works of literature.

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