Araştırma Makalesi



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# A MICROLENS BY GALLIUM DOPED ZINC OXIDE-NANOANTENNA

**Research Article** 

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Keywords	Abstract
Sierpinski Fractal, Microlens, Alternative Plasmonics, Plasmon Talbot Effect.	Alternative plasmonics based fractal microlens are investigated. In this context, lensing performance of gallium-doped zinc oxide Sierpinski carpet-based fractal construction functionalized by conformal Talbot effect is analyzed for communication wavelength 1550 nm. Focusing via diffraction from these 2D finite-sized and two-iterated fractal lattice system is computationally demonstrated. In this regard, focusing performance parameters are computationally examined on the basis of geometrical parameter sweep and fractal generation via finite difference time-domain numerical simulations. Focusing efficiency > 50%, absolute efficiency > 18%, and focal depth larger than primary spot size are introduced by all computational samples. Moreover, a conformal Talbot effect is exhibited by this novel alternative plasmonics construction. A novel perspective based on alternative plasmonics by a newly adapted fractal design to optics is proposed. Thus, this fractal microlens is presented as a new planarized focusing platform, acting a conformal transformation optics device for light capturing tolerance and low-cost.

# GALYUM KATKILI ÇİNKO OKSİT NANOANTEN İLE MİKROLENS

Öz
Alternatif plazmonik temelli fraktal metalensler araştırılmıştır. Bu bağlamda,
1550 nm iletişim dalga boyu için, konformal Talbot etkisi ile işlevselleştirilmiş
galyum katkılı çinko oksit Sierpinski halısı tabanlı fraktal yapının mercekleme
performansı analiz edilmiştir. Bu 2D sonlu boyutlu ve iki yinelemeli fraktal kafes
sisteminden kırınım yoluyla odaklanma, nümerik olarak gösterilmiştir. Bu
bakımdan odaklama performans parametreleri, geometrik parametre taramasına
ve fraktal yinelemesine dayanarak zamanda sonlu farklar alanında simülasyonlar
aracılığıyla incelenmiştir. Odaklanma verimliliği > 50%, mutlak verimlilik >% 18 ve
birincil spot boyutundan daha büyük odak derinliği tüm nümerik numuneler
tarafından sunulmuştur. Dahası, bu yeni alternatif plazmonik yapı tarafından
konformal Talbot etkisi sergilenmektedir. Optiğe yeni uyarlanmış bir fraktal tasarım
ile alternatif plazmoniklere dayanan yeni bir perspektif önerilmiştir. Böylelikle, bu
fraktal mikrolens, ışık yakalama toleransı ve düşük maliyet için, konformal
dönüşüm optik cihazı olarak hareket eden yeni bir düzlemselleştirilmiş odaklama
platformu olarak sunulmuştur.

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## 1. Introduction

In metasurface-based flat lenses, the intended optical mechanism can be ensured by spatial differences via aperiodically arranged and subwavelength-scatterers modeling optical wave fronts into required formworks (Minovich et al., 2015). Hence metalenses have presented functionalities for flat screens, integrated optics, mid-

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infrared photonics, and flat optical components simplifying fabrication, and improvements such as smaller-size, high performance, and better image quality (Li et al., 2019; Khorasaninejad et al., 2016; Byrnes et al., 2016; Lalanne and Chavel, 2017).

The design and fabrication of optical devices such as plasmonic biosensors (Aslan et al.,2019; Aslan et al.,2017; Aslan et al.,2016; Aouani et al., 2013), plasmonic photodetectors (Berini, 2014), ultrafast modulators (MacDonald et al., 2009), and light sources (Liu et al., 2016) both in the infrared (IR) and in the visible spectral regions can find new facilities with the aid of plasmonic scatterers. Especially fractal plasmonics at multiple-scale-dimensions by their scale-invariant construction offer multispectral plasmonic behavior with self-similar resonances (Rosa et al., 2011; Yang et al., 2014; Gottheim et al., 2015). Resonators in fractal form, can also propound a new area for flat lens design. Fractal zone plates are latest lensing ones (Zang et al., 2019; Xia et al., 2019). Those diffractive plates in a characteristic fractal geometry with mm-scale dimensions can ensure lensing. Additionally, a study in literature has proposed a general fractal lattice growth model and significantly extended the scope of fractal application in optics for focusing light (Gao et al., 2018). Ergo, fractal plasmonic metalens designs can be a new class for light focusing.

In this study, a novel focusing concept using alternative plasmonic material instead of metals and fractal resonator geometry for 1550nm-communication wavelength is studied. Sierpinski carpet is a deterministic fractal described by Wacław Franciszek Sierpiński in 1916 (Mandelbrot, 1982). In literature, it has been numerically shown that a gold nanocarpet arranged as Sierpinski carpet-like geometry feature a controlled broadband response and superfocusing for visible wavelengths (Volpe et al., 2011). Here, a lattice antenna system based on this fractal is proposed as 2D finite-sized fractal scatterers. Gallium-doped zinc oxide (GZO) ZnO:Ga<sub>2</sub>O<sub>3</sub> is chosen as an alternative plasmonic material for nanoantenna formation. For focusing capability, GZO fractal nanoantennas in two iterations are opted. These finite sized resonators are designed on SiN membrane to increase the transmission while structure is illuminated from backward. Finite-difference time-domain (FDTD) method is used for 3D electromagnetic simulations of the structure. We present the geometrical parameter sweeps in a in a systematic trend and an interpretation for thickness sweep. We calculate metalens performance parameters such as fullwidth half-maximum (FWHM), efficiency (focusing efficiency  $\eta_{foc}$  and absolute efficiency  $\eta_{abs}$ ), depth of focus (DF; focal depth), numerical aperture (NA), and fill-factor (FF) of these sub-wavelength plasmonic fractals. This study on a finite-sized 2D GZO Sierpinski carpet-like fractal-lattice nanoantenna system ensures novel opportunities within the framework of fractal metalenses with alternative plasmonic materials, and besides, exhibits plasmonic Talbot effect (Talbot, 1839) to be yield at the end of the results section.

### 2. Modeling and Design

Finite-sized 2D GZO lattice nanoantennas in the first two iterations of Sierpinski carpet-like geometry are computationally proposed in this work for the metalens design capable of focusing consonant with the Talbot effect. 3D electromagnetic simulations are performed using FDTD Solutions package. Perfectly match layers are taken for all boundaries during the simulations. Mesh size on the structure is set to 10 nm due to available hardware facilities and uniform mesh setting are used within 3D simulation volume. 100 nm-thick SiN suspended membrane is used to increase transmission under back-illumination by plane wave at 1550 nm-fiber communication wavelength. Schematic of the design is shown in Fig. 1. 1<sup>st</sup> and 2<sup>nd</sup> iterations of the Sierpinski carpet-like fractal nanoantenna geometry can be seen from the figure. The geometrical parameters of these iterations are the width of lattice elements W, the length of each lattice cell or element length L, the thickness of nanoantenna  $t_{GZO}$ , and the thickness of membrane  $t_{memb}$ . The gap distance of lattice is configured as L - W. In addition to these, a geometrical parameter analysis has been established as 8 different value-set for two iterations and all computational samples are denoted as Sample#*i*.A, where *i* and A (A = 1,2,...8) being iteration number and sample number in the same sector, respectively.

Dielectric function data of GZO from the ellipsometry data of a deposited GZO thin film from the literature are adapted to a Drude-Lorentz oscillator model  $\varepsilon(\omega) = \varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + i\omega\Gamma_p} + \frac{f_1\omega_1^2}{\omega_1^2 - \omega^2 - i\omega\Gamma_1}$  (Kim et al., 2013). Here, while the second term stands for Drude model, the third one represents Lorentz oscillator. The symbols in this equation express the background permittivity  $\varepsilon_{\infty}$ , the unscreened plasma frequency  $\omega_p$ , the carrier relaxation rate  $\Gamma_p$ , and the strength, the center frequency, and the damping of the Lorentz oscillator  $f_1, \omega_1$ , and  $\Gamma_1$  respectively (Naik et al., 2013). Thus, the optical properties of GZO are taken as  $\varepsilon_{\infty} = 2.475$ ,  $\omega_p = 1.927$  eV,  $\Gamma_p = 0.117$  eV,  $f_1 = 0.866$ ,  $\omega_1 = 4.850$  eV, and  $\Gamma_1 = 0.029$  eV (Kim et al., 2013). In order to determine lensing capability combined with Talbot effect, surface plasmon wavelength of GZO in air at 1550 nm-operating wavelength is calculated via surface plasmon wavelength  $\lambda_{SP}$  equation given by  $\lambda_{SP} = \lambda_0/Re\{\sqrt{\frac{\varepsilon_m\varepsilon_d}{\varepsilon_m+\varepsilon_d}}\}$ , where  $\varepsilon_m$  and  $\varepsilon_d$  being dielectric function of the metal forming the structure and the environmental dielectric function of its environment, respectively (Barnes,

2006; Dennis et al., 2007). At  $\lambda_0 = 1550$  nm,  $\varepsilon_d = 1$  for air and  $\varepsilon_m = \varepsilon'_m + i\varepsilon''_m = -2.2433 + i1.0251$  from the fitted GZO data are used and  $\lambda_{SP}$  at  $\lambda_0$  is calculated as 1264 nm. Refractive index of SiN is taken as 1.876 at 1550 nm (Vogt, 2015), which is used for suspended membrane. The corresponding structures are illuminated in the opposite *z*-direction under the *x*-polarization. Geometrical sweeps are supplied by taking *L* and *W* as multiples of  $\lambda_{SP}/4$  and maximum *z*-value of GZO-layer is the thickness  $t_{GZO}$  in all simulations.

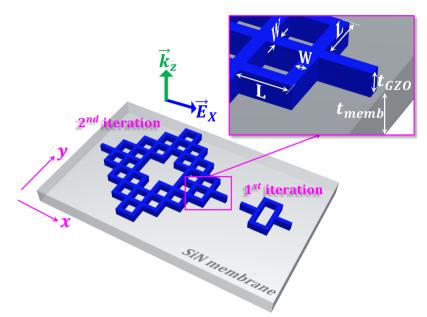
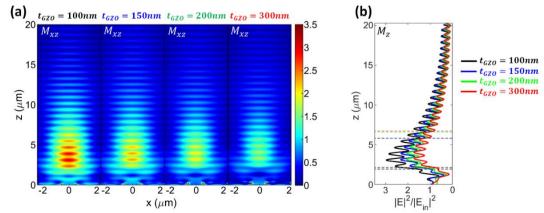


Figure 1. Schematic view of GZO-based Sierpinski Carpet nanoantenna designs at 1st and 2nd iterations.

#### 3. Result and Discussion

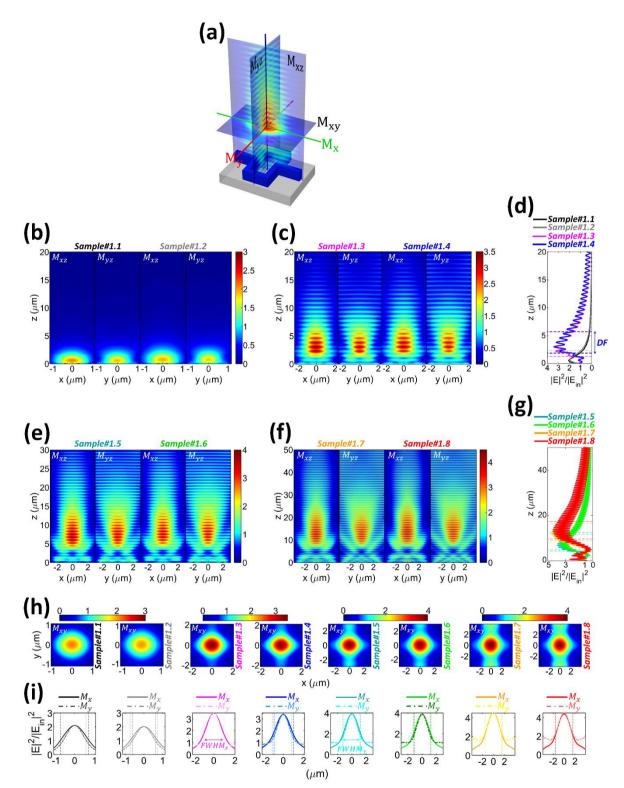
In order to view geometrical parameter effects, a set of *L* and *W* analyses for each iteration is performed in a systematically manner. Additionally, an interpretation of  $t_{GZO}$  sweep for a specific iteration is given. Focusing performance of GZO-based Sierpinski carpet-like lattice at 1<sup>st</sup> and 2<sup>nd</sup> iterations is examined. The area with the normalized *E*-field intensity to the source intensity  $|E|^2/|E_{in}|^2$  concentration and the center of this area are evaluated as focal spot and focal point, respectively. Since there will be multiple central focus, farthest focus point is indexed to be the priority and a focal length is expressed as  $f_k$  (k = 1, 2, 3, 4...).  $f_1$  always represents the primary or the first order focal length. The focal lengths are calculated as  $f_k = z_k - t_{GZO}$ , where  $z_k$  being the corresponding focal point. First of all, the effect of  $t_{GZO}$  variation on focal length-intensity balance of 1<sup>st</sup> iterated nanostructure with  $L = \lambda_{SP}/2$  and  $W = \lambda_{SP}/4$  is examined as shown in Fig. 2. As seen from this figure, primary focal spot attenuates while increasing  $t_{GZO}$ . According to the data taken from the monitor  $M_z$ , at the focal points  $z_1 = 3061$  nm, 3113 nm, 3153 nm, and 4037 nm for  $t_{GZO} = 100$  nm, 150 nm, 200 nm, and 300 nm, respectively normalized intensities are 2.98, 2.33, 2.07, and 1.73. Here, the monitor arrangement given in Fig. 3a is exploited. For both iterations, the optimum thickness value is defined as  $t_{GZO} = 50$  nm and results here are mostly presented for  $t_{GZO} = 50$  nm over the primary focal point.



**Figure 2. (a)** Normalized intensity distribution maps on vertical monitor  $M_{xz}$  for the numerical sample with  $L = \lambda_{SP}/2$  and  $W = \lambda_{SP}/4$ , each inner block from left to right stands for a different  $t_{GZO}$  value. **(b)** Normalized intensity curves of this sample on  $M_z$  for different  $t_{GZO}$ .

Starting from 1<sup>st</sup> iteration design, a systematic geometrical parameter-sweep is achieved. Accordingly, theoretical performance parameters of the fractal nanoantenna system at the desired optical frequency are assigned: FWHM spot size, the efficiency n, DF, NA, and FF (Yu and Capasso, 2014; Zhang et al., 2016; Gao et al., 2010; Tanriover and Demir, 2019; Aieta et al., 2012; Arbabi et al., 2015). FWHM spot size, the distance between two locations at which two intensity values are half of the maximum intensity value on related axes, is taken into account as focal spots during these calculations. Along-x-line and along-y-line monitors, M<sub>x</sub> and M<sub>y</sub>, respectively (Figs. 3a and 4a) are utilized to assign FWHM spot size of primary focal spots. Second performance parameter, efficiency is defined by two ways in the literature: the focusing efficiency  $\eta_{foc}$  is the ratio of the total light intensity at the focal spot to the total transmitted light and the absolute efficiency  $\eta_{abs}$  is the ratio of the total light intensity at the focal spot to the incident light intensity (Tanriover and Demir, 2019; Aieta et al., 2012; Arbabi et al., 2015). Here,  $\eta_{foc}$  and  $\eta_{abs}$  are calculated by using focal plane monitor M<sub>xy</sub> (Figs. 3a and 4a). The other parameter, DF is the area tolerance in front of the lens in an acceptable focusing and taken as full-width half-maximum value (Gao et al., 2010) of the data from  $M_z$  (3(a) and 4(a)) along z-axis, FWHM<sub>z</sub>. Additionally, NA which is a number that states the ability of a lens to resolve fine details in observed object and derived from the mathematical an formula  $NA = n \sin \theta$ . Here, n is the refractive index of the medium between the lens and the focal plane and  $\theta$  is the angular aperture of the lens. After all, FF is also a significant parameter for microlenses in specially imaging applications. It is the fraction of microlens's active refracting area directing light to a destination to total contiguous area occupied by the microlens (Aieta et al., 2012). The active area (AA) and occupied area (OA) for this finite-sized design are the total area of the nanorings and the area with the largest nanoring's bigger diameters, respectively.

The first stage of iterative presentation is i = 1 for the proposed fractal structure. Finite-sized 2D metalens based on 1<sup>st</sup> iterated-GZO nanolattice with 50 nm-thickness is first examined at 1550 nm-operating wavelength, as in Fig. 3. Fig. 3a illustrates the schematic view of all used field monitors: xz-vertical, yz-vertical, xy-horizontal, along-xline, along-y-line, and along-z-line monitors; Mxz, Myz, Mxy, Mx, My, and Mz, respectively. Table 1 yields the analyzed performance parameter set for the computational samples Sample#1.A. The geometrical parameters L and W are variables here. While diffraction pattern of 1<sup>st</sup> iterated samples can be clearly observed through the *xz*-vertical and yz-vertical monitors (Fig. 3b,c,e,f), primary focal spot size can be seen through the xy-horizontal on the focal plane (Fig. 3h). Furthermore, along-z-line monitor  $M_z$  illustrates normalized E-field intensity values in the center of the system along illumination direction and DF (Fig. 3d,g). These graphs provide the determination of focal point, focal E-field intensity, FWHM spot size and DF values in Table 1. In order to define FWHM values along x- and y-axes,  $FWHM_x$  and  $FWHM_y$ , respectively can also be seen from Fig. 3i where each block stands for a sample for  $1^{st}$ generation nanoantenna. Elliptical spot in Fig. 2f that can be represented by a circular area are used during determination of spot areas. Hence FWHM spot size is calculated by the geometric means of FWHM<sub>x</sub> and FWHM<sub>y</sub> (Li et al., 2017). FWHM<sub>x</sub> and FWHM<sub>y</sub> values for i = 1 are given in Table 2. Computational efficiencies obtained as a result of parameter changes in Table 1 are  $\eta_{foc}$  > 50% and  $\eta_{abs}$  > 20%. AA and OA parameters of the design with *i* = 1 used for calculation of FF in Table 1 are also given Table 2. From top to bottom for the samples with different L and fixed W, mostly increasing focal length and decreasing NA can be observed. On the other hand, for the samples with fixed L and different W, it is noteworthy that the efficiencies decrease. These all can be attributed to total structure width versus focal length as well as diffraction of far-fields, coupling of SPPs, and interference of near-fields (Li et al., 2011). Additionally, non-primary focal points  $z_k$  (k = 2,3) and their normalized intensities for i = 1 are given in Table 3.



**Figure 3. (a)** The schematic view of monitor placement for 1<sup>st</sup> iteration-fractal nanoantenna ( $t_{GZO}$  = 50 nm). (**b**,**c**,**e**,**f**) Normalized intensity distribution maps on vertical monitors  $M_{xz}$  and  $M_{yz}$  each left-inner block-pair and each right-inner block-pair within a panel stand for a specific sample. (**d**,**g**) Normalized intensity curves on  $M_z$  (**h**) Focal intensity distributions on horizontal monitor  $M_{xy}$  and (**i**) normalized intensity curves on  $M_x$  and  $M_y$ .

Sample-

Sample-

 $2\lambda_{SP}$ 

 $2\lambda_{SP}$ 

	$1^{st}$ iteration, $t_{GZO}$ = 50 nm											
	L	W	<i>f</i> <sub>1</sub> (nm)	$\frac{ E ^2}{ E_{in} ^2}$	FWHM spot size $(\lambda_0)$	η <sub>foc</sub> (%)	η <sub>abs</sub> (%)	NA	FF	$\frac{\mathbf{DF}}{(\lambda_0)}$		
Sample-	$\lambda_{SP}/2$	$\lambda_{SP}/8$	483	2.065	0.81	56.32	32.78	0.9	0.60	1.1984		
Sample-	$\lambda_{SP}/2$	$\lambda_{SP}/4$	650	1.957	0.87	54.80	32.04	0.9	0.84	1.4695		
Sample-	$\lambda_{SP}$	$\lambda_{SP}/8$	2950	3.432	1.23	55.96	28.82	0.8	0.47	2.8252		
Sample-	$\lambda_{SP}$	$\lambda_{SP}/4$	2963	3.33	1.19	55.35	24.58	0.8	0.71	2.5172		
Sample-	$3\lambda_{SP}/2$	$\lambda_{SP}/8$	6663	3.909	1.51	60.09	23.53	0.6	0.38	4.9608		
Sample-	$3\lambda_{SP}/2$	$\lambda_{SP}/4$	7650	3.663	1.55	58.92	23.15	0.6	0.60	5.0351		

**Table 1.** Geometrical parameter-sweep-table for 1<sup>st</sup> iteration nanoantenna with  $t_{GZO}$  = 50 nm. The first order focal lengths  $f_1$ , their maximum normalized intensity, FWHM,  $\eta_{foc}$ ,  $\eta_{abs}$ , NA, FF and DF.

**Table 2.** FWMH<sub>x</sub>, FWMH<sub>y</sub>, OA, and AA list for the geometrical parameter-sweep-table of 1<sup>st</sup> iteration nanoantenna with  $t_{GZO} = 50$  nm at primary focal spot.

2.01

2.06

60.31

59.30

23.97

23.59

0.5

0.5

0.28

0.47

4.9866

4.9899

4.516

4.393

12150

12950

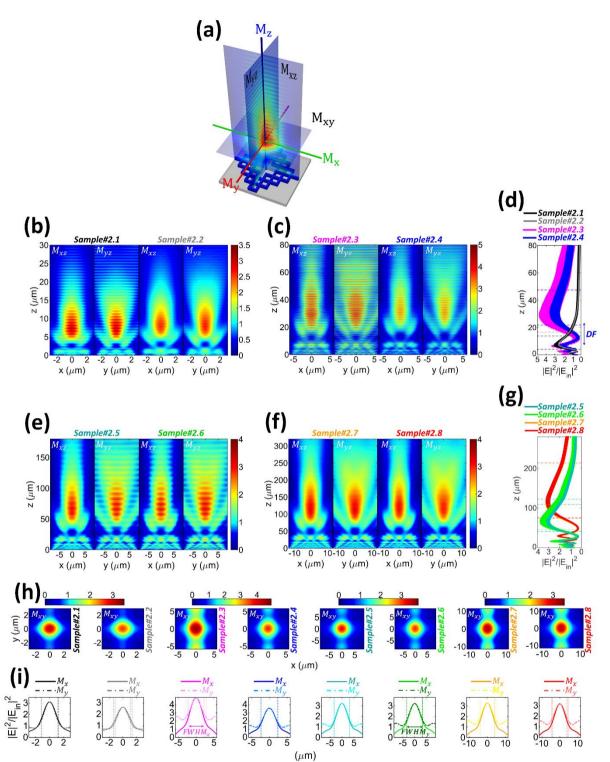
 $\frac{\lambda_{SP}/8}{\lambda_{SP}/4}$ 

	FWMH <sub>x</sub> (nm)	FWMH <sub>y</sub> (nm)	OA (nm <sup>2</sup> )	AA (nm <sup>2</sup> )
Sample-1.1	1400	1119	1323092	798848
Sample-1.2	1478	1232	1897264	1597696
Sample-1.3	1904	1902	2571292	1198272
Sample-1.4	2008	1680	3395104	2396544
Sample-1.5	2483	2210	4218916	1597696
Sample-1.6	2552	2259	5292368	3195392
Sample-1.7	3030	3189	8712436	2396544
Sample-1.8	3104	3282	10285168	4793088

**Table 3.** Geometrical parameter-sweep-table of 1<sup>st</sup> iteration with  $t_{GZO}$  = 50 nm for non-primary focal points  $z_k$  (k = 2,3) and their normalized intensities.

	z <sub>2</sub> (nm)	$\frac{ E ^2}{ E_{in} ^2}$	z <sub>3</sub> (nm)	$\frac{ E ^2}{ E_{in} ^2}$
Sample-1.1	-	-	-	-
Sample-1.2	-	-	-	-
Sample-1.3	-	-	-	-
Sample-1.4	-	-	-	-
Sample-1.5	1257	1.387		
Sample-1.6	1287	1.508	-	-
Sample-1.7	2175	2.172	592	2.093
Sample-1.8	2185	2.286	598	2.511

As the next stage in systematic representation, theoretical performance parameters of 2<sup>nd</sup> iterated structure are determined as in Table 4. Herein, monitor arrangement in Fig. 4a is used for the calculation of these parameters.  $M_{xz}$  and  $M_{yz}$  (Fig. 4b,c,e,f) indicates that primary focal spots become distant due to bigger nanoantenna dimension together with bigger spot size and DF. While increasing *W* within the sample pairs with same *L*, focal intensities get lower (Table 4). It is also expected FF to get smaller due to OA and AA values of this 2<sup>nd</sup> iterated nanoantenna. FWHM spot size defined via FWHM<sub>x</sub> and FWHM<sub>y</sub> from  $M_{xy}$  (Fig. 4h),  $M_x$ , and  $M_y$  (Fig. 4i) take value as larger multiple of  $\lambda_0$ . Bigger spot size, smaller NA, and higher efficiencies are expected versus increasing lattice behavior (Arbabi et al., 2015). This can be associated with diffraction of far-fields, coupling of SPPs, and interference of near-fields (Li et al., 2011). But Talbot effect mechanism is also especially affected on efficiencies due to *L* value. This situation is explained below. Even so, Sample#2.A (A = 1,2,...8) exhibits  $\eta_{foc} > 50\%$  and  $\eta_{abs} > 18\%$  for the parameter-sweep set in Table 4. In addition, auxiliary parameters and non-primary focal points for 2<sup>nd</sup> iterated nanoantenna are given in Table 5 and Table 6, respectively.



**Figure 4. (a)** The schematic view of monitor placement for  $2^{nd}$  iteration-fractal nanoantenna ( $t_{GZO}$  = 50 nm). (b,c,e,f) Normalized intensity distribution maps on vertical monitors  $M_{xz}$  and  $M_{yz}$  each left-inner block-pair and each right-inner block-pair within a panel stand for a specific sample. (d,g) Normalized intensity curves on  $M_z$  (h) Focal intensity distributions on horizontal monitor  $M_{xy}$  and (i) normalized intensity curves on  $M_x$  and  $M_y$ .

	$2^{nd}$ iteration, $t_{GZO}$ = 50 nm											
	L	W	<i>f</i> <sub>1</sub> (nm)	$\frac{ E ^2}{ E_{in} ^2}$	FWHM spot size $(\lambda_0)$	η <sub>foc</sub> (%)	η <sub>abs</sub> (%)	NA	FF	$\frac{\mathbf{DF}}{(\lambda_0)}$		
Sample-	$\lambda_{SP}/2$	$\lambda_{SP}/8$	7212	3.11	1.52	52.43	20.80	0.9	0.45	6.3480		
Sample-	$\lambda_{SP}/2$	$\lambda_{SP}/4$	7682	2.595	1.61	51.32	20.02	0.9	0.74	7.8976		
Sample-	$\lambda_{SP}$	$\lambda_{SP}/8$	29030	4.833	3.28	64.66	27.25	0.6	0.25	16.810		
Sample-	$\lambda_{SP}$	$\lambda_{SP}/4$	31186	3.578	3.08	54.57	21.36	0.6	0.45	16.454		
Sample-	$3\lambda_{SP}/2$	$\lambda_{SP}/8$	64580	3.198	4.12	55.06	19.09	0.3	0.18	52.329		
Sample-	$3\lambda_{SP}/2$	$\lambda_{SP}/4$	66310	3.795	4.23	56.87	19.70	0.3	0.33	40.889		
Sample-	$2\lambda_{SP}$	$\lambda_{SP}/8$	11326	3.272	5.50	55.38	19.04	0.2	0.13	88.675		
Sample-	$2\lambda_{SP}$	$\lambda_{SP}/4$	11634	3.251	5.60	54.63	18.99	0.1	0.25	89.625		

**Table 4.** Geometrical parameter-sweep-table for  $2^{nd}$  iteration nanoantenna with  $t_{GZO} = 50$  nm. The first order focal points  $f_1$ , their maximum normalized intensity, FWHM,  $\eta_{foc}$ ,  $\eta_{abs}$ , NA, FF and DF.

**Table 5.** FWMH<sub>x</sub>, FWMH<sub>y</sub>, OA, and AA list for the geometrical parameter-sweep-table of  $2^{nd}$  iteration nanoantenna with  $t_{GZO}$  = 50 nm at primary focal spot.

	FWMH <sub>x</sub> (nm)	FWMH <sub>y</sub> (nm)	OA (nm <sup>2</sup> )	AA (nm <sup>2</sup> )
Sample-2.1	2474	2255	14504084	6590496
Sample-2.2	2686	2330	16276528	11982720
Sample-2.3	4421	5843	54546340	13780128
Sample-2.4	4589	4950	58016336	26361984
Sample-2.5	6163	6605	12015173	20969760
Sample-2.6	6331	6784	12531928	40741248
Sample-2.7	7825	9276	21132026	28159392
Sample-2.8	7906	9543	21818536	55120512

**Table 6.** Geometrical parameter-sweep-table of  $2^{nd}$  iteration with  $t_{GZO} = 50$  nm for non-primary focal points  $z_k$  (k = 2,3,4,5)and their normalized intensities.

	<i>z</i> <sub>2</sub>	$ E ^{2}$	<i>z</i> <sub>3</sub>	$ E ^{2}$	$z_4$	$ E ^{2}$	$Z_5$	$ E ^{2}$
	(nm)	$ E_{in} ^2$	(nm)	$ E_{in} ^2$	(nm)	$ E_{in} ^2$	(nm)	$\overline{ E_{in} ^2}$
Sample-2.1	1052	1.435	-	-	-	-	-	-
Sample-2.2	992	1.503	-	-	-	-	-	-
Sample-2.3	6698	3.37	2820	2.702	-	-	-	-
Sample-2.4	6526	2.576	2656	2.054	-	-	-	-
Sample-2.5	16000	2.057	8264	1.454	4386	1.225	-	-
Sample-2.6	16204	2.884	7716	2.07	4610	1.808	-	-
Sample-2.7	29220	2.105	15335	1.667	9920	1.413	6082	1.419
Sample-2.8	29226	2.203	15344	1.692	9932	1.611	6090	1.52

Computational and experimental microlens studies (Khorasaninejad et al., 2016; Aieta et al., 2012; Arbabi et al., 2016) are available in the literature for  $\lambda_0 = 1550$  nm operation wavelength. By taking account of them, the work (Khorasaninejad et al., 2016) based on aperiodic arrangement of coupled  $\alpha$ -Si rectangular resonators on a fused silica substrate proposes  $\eta_{abs}$  of 10% with FWHM spot size of  $18.7\lambda_0$  and NA of 0.04. In that work,  $\eta_{abs}$  has been defined as the amount of power in the beam waist at the focal line, divided by the input power. The other one (Arbabi et al., 2016) composed of silicon nano-posts on glass has presented experimental results as  $\eta_{foc}$  of 65% with FWHM spot size of  $1.77\lambda_0$  and NA of 0.46 while the one (Aieta et al., 2012) based on V-shaped gold nano-resonators on a silicon substrate has reported  $\eta_{foc}$  of 1% with FWHM spot size of  $33\lambda_0$  and NA of 0.05. Present microlens studies based on plasmonic Talbot effect in the literature are employed for different operating wavelengths. One of them (Li et al., 2011) has presented a nanolens for 248 nm operating wavelength, composed of silver nanorings as the theoretical three-dimensional (3D) plasmon Talbot-effect study and examined a plasmon Talbot effect spanning from the near field to the far field. Then, Gao et al. (2010) have experimentally considered three patches of circular nanoholes on gold film for  $\lambda_0 = 500, 545, 670, and 780$  nm. Moreover, Wang et al (2013) have computationally investigated the Ag, Au-, and Al-based nanolenses for various immersion media

such as air, SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, and H<sub>2</sub>O at wavelengths of 248, 365, and 442 nm. But they did not observe the Talbot effect and associated it with finite-size of the nanohole arrays. In our study, a fractal metalens system with first two iterations and alternative plasmonic material is investigated at 1550 nm-communication wavelength for the first time. A microlens with a larger NA can visualize finer however it ensures less efficiency and shallow depth of field.  $\eta_{foc} > 50\%$  and  $\eta_{abs} > 18\%$  are introduced by the computational samples in this study which represent a new alternative plasmonic approach to fractal lensing device with 50 nm-thick-GZO nanolattice resonators. Additionally, DF > FWHM is obtained for all computational samples and an appropriate geometrical parameter set can be consulted for desired focal behavior.

$2^{nd}$	$\tau_1'$	$\tau_1$	$\tau'_2$	$\tau_2$	$\tau'_3$	$\tau_3$	$\tau_{cal}$ (non-	$ au_{cal}$
iteration,	-		-	_	Ŭ	_	paraxial)	(paraxial)
Sample-2.3	518	1271	-	-	-	-	1264 nm	2528 nm
Sample-2.4	398	1086	-	-	-	-	1264 nm	2528 nm
Sample-2.5	574	1344	2120	2876 nm	-	-	4964 nm	5688 nm
Sample-2.6	760	1532	2312	3062 nm	-	-	4964 nm	5688 nm
Sample-2.7	664	1466	2180	3028 nm	3746	4512	9434 nm	10112 nm
Sample-2.8	680	1486	2166	3116 nm	3755	4508	9434 nm	10112 nm

**Table 7.** Simulated integral Talbot positions  $\tau_m$ , fractional Talbot positions  $\tau'_m$ , and calculated Talbot distances  $\tau_{cal}$  for Sample#2.3, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.7, and Sample#2.8.

Talbot effect discovered in 1836 (Talbot, 1836) and explained by Rayleigh (1881) one of the focusing contraption in metalens constructions. This situation can be predicated on far-field diffraction, SPP coupling, and near-field interference (Li et al., 2011). As mentioned above, the prominence of the Talbot effect can also relatively reduce the efficiency of the primary focus for Sample#2.3, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.7, and Sample#2.8. According to Talbot phenomenon, scattering optical fields can exactly copy the pattern of a periodic structure at multiple distances, under illumination by a monochromatic plane wave (Talbot, 1836; Dennis et al., 2017; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2018b; Wen et al., 2013). In ordinary Talbot effect, Talbot revivals are generated at the distance named as Talbot distance  $\tau$  for each copied device pattern (Talbot, 1836; Dennis et al., 2007; Li et al., 2007; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2018a; Mehdi et al., 2018b; Wen et al., 2018b; Wen et al., 2018b; Wen et al., 2017b; Li et al., 2007; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2018a; Mehdi et al., 2018b; Wen et al., 2018b; Wen et al., 2018b; Wen et al., 2017b; Li et al., 2007; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2018b; Wen et al., 2018b; Wen et al., 2017b; Li et al., 2007; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2018b; Wen et al., 2018b; Wen et al., 2017b; Li et al., 2017; Rayleigh, 1881; Mehdi et al., 2018a; Mehdi et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Mendi et al., 2019b; Mendi et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et al., 2019b; Wen et

array period of the structure is between  $\lambda_e$  and  $2\lambda_e$ . Here,  $\lambda_e$  is the effective operating wavelength calculated by  $\lambda/n$  for a periodic array with being *n* the refractive index of the medium and *p* the array period.  $\tau$  becomes equal to  $2p^2/\lambda_e$  with paraxial approach. Periodic metallic nanostructural arrays exhibit a complex coupling behavior between localized surface plasmon resonance (LSPR), Bloch wave surface plasmon polaritons (BW-SPPs) and Wood anomalies especially at optical frequencies due to their arrangements (Yu et al., 2013). Thus, a focusing fact related with a plasmonic Talbot effect observed under paraxial (Mehdi et al., 2018a) or non-paraxial (Mehdi et al., 2018b) conditions. Accordingly, non-paraxial and paraxial formulas between surface plasmon wavelength  $\lambda_{SP}$  and Talbot distance can be given as  $\tau = \frac{\lambda_{SP}}{1-\sqrt{1-(\lambda_{SP}/p)^2}}$  and  $2p^2/\lambda_{SP}$ , respectively. However, some works showed lateral

periodicity not to be necessary for Talbot revivals, and finite-sized 2D devices could exhibit Talbot self-imaging (Gao et al., 2010; Li et al., 2011; Wang et al., 2013; Yu et al., 2013). There can be some differences in light patterns of the devices under non-paraxial Talbot conditions beyond the paraxial limit. Herefrom, exact self-images with positions smaller than paraxial Talbot distances (Hua et al., 2012) can exist under the condition  $1 \le p/\lambda_{SP} < 2$ . Hereof, a periodic grove-study on an Au film illustrates a non-paraxial plasmonic Talbot effect (Zhang et al., 2009). Here p = L and the condition  $1 \le p/\lambda_{SP} < 2$  is satisfied by Sample#2.3, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.7, and Sample#2.8. In this context, Talbot revivals are observed at specific Talbot distances, the Talbot revivals at integral Talbot distances of these samples are given in Table 7. Integral Talbot positions  $\tau_m$  is smaller than calculated paraxial Talbot distances  $\tau_{cal}$  for both paraxial and non-paraxial approximations. Table 8, showing that Talbot clarity increases, yields intensity maps atop the structure surface and at  $\tau_m$  and  $\tau'_m$  for Sample#2.3, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.4, Sample#2.5, Sample#2.6, Sample#2.6, Sample#2.7, and Sample#2.6, Sample#2.7, and Sample#2.6, Sample#2.7, and Sample#2.6, Sample#2.7, and Sample#2.6, Sample#2.7, and Sample#2.8, Sample#2.6, Sample#2.6, Sample#2.8, Sample#2.6, Sample#2.6, Sample#2.7, and Sample#2.8, Sample#2.8, Sample#2.6, Sample#2.6, Sample#2.7, and Sample#2.8.

	Sample#2.	Sample#2.	Sample#2.	Sample#2.	Sample#2.	Sample#2.
Atop the surface	2 1.5 1 0.5 0	2 1.5 1 0.5 0	2 1.5 1 0.5	2 1.5 1.5 1.5 1.5 1.5 1.5 1.5 1.5 0.5 0 0	2 1.5 1 0.5	2 1.5 1 0.5 0
$ au_1'$	3 2.5 2 1.5	1.5 0.5		1.5 0.5		1.5 1 0.5
$ au_1$	3 25 2 1.5 1	1.5 1 0.5		1.5 1.5 0.5		1.5 1 0.5
$ au_2'$			0.5	2 1.5 1 0.5	0.5	
$ au_2$				2 1.5 1 05		
$ au_3'$					1.5 1 0.5	
$ au_3$						

**Table 8.** Normalized intensity distributions at Talbot distances  $\tau_m$  and fractional Talbot distances  $\tau'_m$ . The period of the ticks on *x*- and *y*-axes is 2  $\mu$ m.

### 4. Conclusion

Consequently, a preliminary simulation study is handled for lensing by diffraction from Sierpinski carpet-based fractal lattice with alternative plasmonic material at communication wavelength. These finite-sized 2D designs have been investigated at 1<sup>st</sup> and 2<sup>nd</sup> iterations for various lattice length and lattice multiples of plasmon wavelength. Focusing performance parameters of this nanolattice system are evaluated in the air environment in detail via the 3D FDTD numerical simulation method. A conformal Talbot effect unlike ordinary Talbot effect yields an interesting focusing performance due to 2D finite-size, the periodicity, and fractal generation of the design. Additionally, diffraction-limited Talbot revivals are monitored in this conformal system since a diffraction-related fact, Talbot effect is can only be hold for a short distance due to the boundary effect. Thus, both plasmon Talbot effect and focusing behavior can be observed at the communication wavelength  $\lambda_0 = 1550$  nm. According to simulation results,  $\eta_{foc}$  > 50% and  $\eta_{abs}$  > 18% have been introduced by all computational samples. It is observed both iteration increment for same geometrical parameters and geometrical parameter increment for same iteration provide longer focal length, larger focal depth than focal length, larger FWHM spot size, and smaller NA. NA values from 0.19 up to 0.97 and FWHM spot sizes from  $0.81\lambda_0$  up to  $5.6\lambda_0$  are proposed via this alternative plasmonic design. As a result, primary focal spots have low-cost and light capturing tolerance capturing tolerance, and narrower spot size through non-primary focal spots obtained on the sub-scales. Furthermore, this design with GZO material also ensures the conformal plasmon Talbot effect and focusing at 1550 nm-communication wavelength due to 2D finite-sized construction. Thus, a new nano-structured alternative plasmonic microlens design has been proposed as a planarized conformal transformation optics device.

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#### **Conflict of Interest**

No conflict of interest was declared by the authors.

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