

# **COMMUNICATIONS**

**DE LA FACULTÉ DES SCIENCES  
DE L'UNIVERSITÉ D'ANKARA**

**Série A<sub>1</sub>: Mathématiques**

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**TOME 26**

**ANNÉE 1977**

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Defined by Dirichlet Series**

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Ankara, Turquie**

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## K-th Mean Function of Entire Functions Defined by Dirichlet Series

by

J.S. GUPTA and SHAKTI BALA

### ABSTRACT

Let  $f(s) = \sum_{n \in N} a_n e^{s\lambda_n}$  be an entire function defined by an everywhere convergent

Dirichlet series whose exponents are subjected to the condition  $\lim_{n \rightarrow \infty} \sup \frac{\log n}{\lambda_n} = D \in$

$R_+ \cup \{0\}$  ( $R_+$  is the set of positive reals). The notion of K-th mean function  $I_k$  of  $f$  was introduced by the first author in [2]. We generalize  $I_k$ , and define  $I_{k,r}$ ,  $r \in R$ , as

$$I_{k,r}(\sigma, f) = \frac{1}{e^{r\sigma}} \int_0^\sigma I_k(x, f) e^{rx} dx, \quad \forall \sigma \in R,$$

and study some properties of  $I_k$  and

$I_{k,r}$ , in this paper. Beside establishing the convexity of  $I_k$  we have derived some formulas for Ritt order and lower order of  $f$  in terms of  $I_k$  and  $I_{k,r}$ , which are improvements and generalizations of known ones.

AMS subject classification number: Primary 30A64 Secondary 30A62. Key Words:  
Entire function, Dirichlet series, maximum modulus, maximum term, rank, K-th mean function, convex function, Ritt order, lower order.

1. Let  $E$  be the set of mappings  $f: C \rightarrow C$  ( $C$  is the complex field) such that the image under  $f$  of an element  $s \in C$  is  $f(s) =$

$\sum_{n \in N} a_n e^{s\lambda_n}$  with  $\limsup_{n \rightarrow +\infty} \frac{\log n}{\lambda_n} = D \in R_+ \cup \{0\}$  ( $R_+$  is the set of positive reals), and  $\sigma_c^f = +\infty$  ( $\sigma_c^f$  is the abissa of convergence of the Dirichlet series defining  $f$ );  $N$  is the set of natural numbers  $0, 1, 2, \dots$ ,  $\langle \lambda_n | n \in N \rangle$  is a strictly increasing unbounded sequence of nonnegative reals,  $s = \sigma + it$ ,  $\sigma, t \in R$  ( $R$  is the field of reals), and  $\langle a_n | n \in N \rangle$  is a sequence in  $C$ . Since the Dirichlet series defining  $f$  converges for each complex  $s$ ,  $f$  is an entire function. Also since  $D \in R_+$ , we have ([1]), p. 168),  $\sigma_a^f = +\infty$

( $\sigma_a^f$  is the abscissa of absolute convergence of the Dirichlet series defining  $f$ ), and that  $f$  is bounded on each vertical line  $\operatorname{Re}(s) = \sigma_0$ .

Let

$$(1.1) \quad M(\sigma, f) = \sup_{t \in \mathbb{R}} \{ |f(\sigma + it)| \}, \forall \sigma < \sigma_c^f, \text{ be the}$$

maximum modulus of an entire function  $f \in E$  on any vertical line  $\operatorname{Re}(s) = \sigma$ ,

$$(1.2) \quad \mu(\sigma, f) = \max_{n \in \mathbb{N}} \{ |a_n| e^{\sigma \lambda n} \}, \forall \sigma < \sigma_c^f, \text{ be the maximum}$$

term, for  $\operatorname{Re}(s) = \sigma$ , in the Dirichlet series defining  $f$ , and

$$(1.3) \quad v(\sigma, f) = \max_{n \in \mathbb{N}} \{ n \mid \mu(\sigma, f) = |a_n| e^{\sigma \lambda n} \}, \forall \sigma < \sigma_c^f,$$

be the rank of the maximum term.

The first author introduced ([2], p. 520) the notion of  $k$ -th mean function  $I_k$ ,  $k \in \mathbb{Z}_+$  ( $\mathbb{Z}_+$  is the set of positive integers), of an entire function  $f \in E$  and defined it as

$$(1.4) \quad I_k(\sigma, f) = \lim_{T \rightarrow +\infty} \frac{1}{2T} \int_{-T}^T |f(\sigma + it)|^k dt, \forall \sigma < \sigma_c^f.$$

We define the generalized  $k$ -th mean function  $I_{k,r}$ ,  $r \in \mathbb{R}$ , of  $f$  as

$$(1.5) \quad I_{k,r}(\sigma, f) = \frac{1}{e^{r\sigma}} \int_0^\sigma I_k(x, f) e^{rx} dx, \forall \sigma < \sigma_c^f, \text{ and study}$$

a few results pertaining to the functions  $I_k$  and  $I_{k,r}$  in this paper.

2. First we establish two lemmas that we need later.

**Lemma 1.** For every entire function  $f \in E$ ,  $I_k$  is an increasing function and  $\log I_k$  is a convex function of  $\sigma$ .

**Proof.** We adopt the method of Titchmarsh ([3], p. 174) to prove the lemma. Let  $\sigma_1, \sigma_2, \sigma_3 \in \mathbb{R}$  be such that  $0 < \sigma_1 < \sigma_2 < \sigma_3$ . Also let  $g: \mathbb{R} \rightarrow \mathbb{C}$  and  $h: \mathbb{C} \rightarrow \mathbb{C}$  be two functions defined, respectively, as

$$g(t_2) = \frac{|f(\sigma_2 + it_2)|^k}{\log |f(\sigma_2 + it_2)|}, \forall t_2 \in \mathbb{R},$$

and

$$h(s) = \lim_{T \rightarrow +\infty} \frac{1}{2T} \int_{-T}^T \log |f(s+it_2) \mid g(t_2) dt_2, \quad \forall s \in C.$$

It is clear from the definition of  $h$  that it is analytic in the half-plane  $\operatorname{Re}(s) \leq \sigma_3$  and that  $|h|$  attains its supremum on the boundary  $\operatorname{Re}(s) = \sigma_3$ , say at  $s = \sigma_3 + it_3$ . Hence

$$I_k(\sigma_2, f) = h(\sigma_2) \leq h(\sigma_3 + it_3) \leq I_k(\sigma_3, f),$$

which shows that  $I_k$  increases steadily with  $\sigma$ .

$$\text{We now choose } \beta \text{ so that } e^{\beta\sigma_2} I_k(\sigma_1, f) = e^{\beta\sigma_3} I_k(\sigma_3, f).$$

Then

$$e^{\beta\sigma_2} I_k(\sigma_2, f) = e^{\beta\sigma_2} h(\sigma_2) \leq \sup_{\sigma_1 \leq \operatorname{Re}(s) \leq \sigma_3} |e^{\beta s} h(s)| \leq e^{\beta\sigma_3} h(\sigma_3) \leq e^{\beta\sigma_3} I_k(\sigma_3, f),$$

whence

$$e^{\beta\sigma_2} I_k(\sigma_2, f) \leq e^{\beta\sigma_1} I_k(\sigma_1, f).$$

This gives

$$(2.1) \quad \log \left( \frac{I_k(\sigma_2, f)}{I_k(\sigma_1, f)} \right) \leq \beta (\sigma_1 - \sigma_2).$$

Since, by definition  $\beta = \frac{1}{\sigma_1 - \sigma_3} \log \left( \frac{I_k(\sigma_3, f)}{I_k(\sigma_1, f)} \right)$ , it follows, from (2.1), that

$$\log \left( \frac{I_k(\sigma_1, f)}{I_k(\sigma_2, f)} \right) \leq \frac{\sigma_1 - \sigma_2}{\sigma_1 - \sigma_3} \log \left( \frac{I_k(\sigma_3, f)}{I_k(\sigma_1, f)} \right),$$

or

$$\log I_k(\sigma_2, f) \leq \frac{\sigma_3 - \sigma_2}{\sigma_3 - \sigma_1} \log I_k(\sigma_1, f) + \frac{\sigma_2 - \sigma_1}{\sigma_3 - \sigma_1} \log I_k(\sigma_3, f), \text{ which}$$

proves the convexity of  $\log I_k$ .

**Lemma 2.** For every entire function  $f \in E$ ,  $e^{r\sigma} I_k(\sigma, f)$  is an increasing convex function of  $e^{r\sigma} I_{k,r}(\sigma, f)$ .

**Proof.** We have

$$\frac{d(e^{r\sigma} I_k(\sigma, f))}{d(e^{r\sigma} I_{k,r}(\sigma, r))} = r + \frac{d}{d\sigma} (\log I_k(\sigma, f)),$$

where the derivative exists almost everywhere on any interval  $[0, \sigma]$ ,  $\sigma < \sigma_c^f$ , since  $I_k$  is an increasing continuous function of  $\sigma$ . The lemma is now obvious, since  $\log I_k$  is an increasing convex function of  $\sigma$ .

**Theorem 1.** *For every entire function  $f \in E$  of Ritt order  $\rho \in R^*_+ \cup \{0\}$  and lower order  $\lambda \in R^*_+ \cup \{0\}$  ( $R^*_+$  is the set of extended positive reals),*

$$(2.2) \quad \frac{\rho}{\lambda} = \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_k(\sigma, f)}{\sigma} = \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_{k,r}(\sigma, f)}{\sigma},$$

where  $\log_2 x = \log \log x$ .

**Proof.** We know ([1], p. 170) that

$$a_n e^{\sigma \lambda_n} = \lim_{T \rightarrow +\infty} \frac{1}{T} \int_{t_0}^T e^{-it\lambda_n} f(\sigma + it) dt, \forall n \in N.$$

Therefore

$$|a_n| e^{\sigma \lambda_n} \leq \lim_{T \rightarrow +\infty} \frac{2}{2T} \int_{-T}^T |f(\sigma + it)| dt$$

$$\leq A_k \left( \lim_{T \rightarrow +\infty} \frac{2}{2T} \int_{-T}^T |f(\sigma + it)|^k dt \right)^{1/k},$$

where

$$A_k = \begin{cases} 1 & \text{if } k = 1 \\ 4 \left( \frac{\Gamma(1/2 + k/2(k-1))}{\sqrt{\pi} \Gamma(1 + k/2(k-1))} \right)^{1-1/k}, & \text{if } k > 1, \end{cases}$$

by Holder's inequality. Hence

$$(2.3) \quad (u(\sigma, f))^k \leq A_k^k 2I_k(\sigma, f).$$

Also, from (1.4),

$$(2.4) \quad I_k(\sigma, f) \leq (M(\sigma, f))^k.$$

From (2.3) and (2.4) it, therefore, follows that

$$(2.5) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 \mu(\sigma, f)}{\sigma} \leq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_k(\sigma, f)}{\sigma}$$

$$\leq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 M(\sigma, f)}{\sigma}$$

But ([4], Theroems (2.7) and (2.8))

$$(2.6) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 M(\sigma, f)}{\sigma} = \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 \mu(\sigma, f)}{\sigma}$$

and, by definition,

$$\lambda = \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 M(\sigma, f)}{\sigma}$$

The first equality in (2.2) thus follows from (2.5), (2.6) and (2.7).

In order to establish the second equality in (2.2) we get, from (1.5),

$$I_{k,r}(\sigma, f) \leq I_k(\sigma, f) \frac{1}{r} (1 - e^{-r\sigma}).$$

Therefore

$$(2.8) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_{k,r}(\sigma, f)}{\sigma} \leq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_k(\sigma, f)}{\sigma}.$$

And, for any  $\varepsilon \in R_+$ ,

$$I_{k,r}(\sigma + \varepsilon, f) \geq \frac{1}{e^{r(\sigma + \varepsilon)}} \int_{\sigma}^{\sigma + \varepsilon} I_k(x, f) e^{rx} dx,$$

$$\geq I_k(\sigma, f) \frac{1}{r} (1 - e^{-r\varepsilon}).$$

Therefore

$$(2.9) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_{k,r}(\sigma, f)}{\sigma} \geq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_k(\sigma, f)}{\sigma}.$$

Combining (2.8) and (2.9) we get the desired result.

Remarks. (i) For  $k = 2$ , we get, from Theorem 1,

$$\lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_2(\sigma, f)}{\sigma} = \frac{\rho}{\lambda};$$

a result which was proved, respectively, by Gupta ([2], Theorem 3) under the condition that  $\rho \in R_+$ , and by Kamthan ([5], Theorem 1) under the condition that  $\log |a_n/a_{n+1}| / (\lambda_{n+1} - \lambda_n)$  forms a nondecreasing function of  $n$  for  $n > n_0$ . Since we do not assume any of these conditions in Theorem 1 it generalizes and improves upon their results.

(ii) We also get, from Theorem 1, for  $k = 2$ ,

$$\lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_{2,r}(\sigma, f)}{\sigma} = \frac{\rho}{\lambda}.$$

This result was also proved, respectively, by Kamthan ([5], Lemma 1) under the condition that  $\log |a_n/a_{n+1}| / (\lambda_{n+1} - \lambda_n)$  forms a nondecreasing function of  $n$  for  $n > n_0$ , and by Juneja ([6], Theorem 3) under the condition that  $\rho \in R_+$ . Obviously Theorem 1 generalizes and improves upon their results also.

(iii) Giving a very lengthy proof, Kamthan has proved ([7], Theorem F) the first equality in (2.2). But ours is an alternative and shortest possible proof of it.

(iv) Bajpai has also established ([8], Theorem 1) the result in (2.2) but for entire functions  $f \in E$  of finite Ritt order. Clearly we have improved upon his result also.

**Theorem 2.** *For every entire function  $f \in E$  of Ritt order  $\rho \in R^*_+ \cup \{0\}$  and lower order  $\lambda \in R^*_+ \cup \{0\}$ ,*

$$(2.10) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log (I_k(\sigma, f) / I_{k,r}(\sigma, f))}{\sigma} = \frac{\rho}{\lambda}.$$

**Proof.** We have, from the definitions of  $I_k$  and  $I_{k,r}$ ,

$$\frac{d}{d\sigma} (r \sigma + \log I_{k,r}(\sigma, f)) = \frac{I_k(\sigma, f)}{I_{k,r}(\sigma, f)}.$$

Therefore

$$r(\sigma - \sigma_0) + \log I_{k,r}(\sigma, f) - \log I_{k,r}(\sigma_0, f) = \int_{\sigma_0}^{\sigma} \frac{I_k(x, f)}{I_{k,r}(x, f)} dx,$$

or

$$(2.11) \quad \log I_{k,r}(\sigma, f) = \log I_{k,r}(\sigma_0, f) + \int_{\sigma_0}^{\sigma} m_{k,r}(x, f) dx,$$

where

$$(2.12) \quad m_{k,r}(x, f) = \frac{I_k(x, f)}{I_{k,r}(x, f)} - r,$$

increases with  $\sigma$  by virtue of Lemma 2. Thus, for  $\sigma > \sigma_0$ , (2.11) gives

$$\log I_{k,r}(\sigma, f) - \log I_{k,r}(\sigma_0, f) < (\sigma - \sigma_0) m_{k,r}(\sigma, f).$$

Therefore

$$\lim_{\sigma \rightarrow +\infty} \inf \frac{\log_2 I_{k,r}(\sigma, f)}{\sigma} \leq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log m_{k,r}(\sigma, f)}{\sigma},$$

or, using Theorem 1,

$$(2.13) \quad \frac{\rho}{\lambda} \leq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log m_{k,r}(\sigma, f)}{\sigma}.$$

Again, from (2.11), we get, for any  $h \in R_+$ ,

$$\log I_{k,r}(\sigma + h, f) - \log I_{k,r}(\sigma_0, f) \geq \int_{\sigma}^{\sigma+h} m_{k,r}(x, f) dx \geq h m_{k,r}(\sigma, f),$$

which gives

$$(2.14) \quad \frac{\rho}{\lambda} \geq \lim_{\sigma \rightarrow +\infty} \inf \frac{\log m_{k,r}(\sigma, f)}{\sigma}.$$

Combining (2.13) and (2.14), we get

$$(2.15) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log m_{k,r}(\sigma, f)}{\sigma} = \frac{\rho}{\lambda}.$$

The theorem now follows from (2.12) and (2.15).

**Remark.** Since we do not assume  $\log |a_n/a_{n+1}| / (\lambda_{n+1} - \lambda_n)$  forms a nondecreasing function of  $n$  for  $n > n_0$  in Theorem 2 it also generalizes and improves upon Theorem 2 of [5] for  $k = 2$ .

**Theorem 3.** *For every entire function  $f \in E$  of finite Ritt order,*

$$(2.16) \quad \log I_{k,r}(\sigma, f) \sim \log I_k(\sigma, f), \text{ as } \sigma \rightarrow +\infty.$$

This follows as a simple deduction of Theorem 2.

**Remark.** The result in (2.16) has also been proved by Bajpai ([8], p. 32). But ours is a shorter and different approach to arrive at it.

**Theorem 4.** *For every entire function  $f \in E$  of infinite Ritt order and  $\varepsilon \in R_+$ , if  $\lambda_{N(\sigma,f)} \sim \lambda_{N(\sigma+D+\varepsilon,f)}$  as  $\sigma \rightarrow +\infty$ , then*

$$(2.17) \quad \liminf_{\sigma \rightarrow +\infty} \frac{\log I_k(\sigma, f)}{\lambda_{N(\sigma, f)}} = 0.$$

**Proof.** We have, from (2.3) and (2.4),

$$(2.18) \quad \frac{1}{2A_k^k} (\mu(\sigma, f))^k \leq I_k(\sigma, f) \leq (M(\sigma, f))^k.$$

But, for any  $\varepsilon \in R_+$  and  $\sigma > \sigma_0(\varepsilon, f)$ , we have ([9], p. 68).

$$(2.19) \quad M(\sigma, f) < \mu(\sigma + D + \varepsilon, f).$$

From (2.18) and (2.19), we get, for any  $\varepsilon \in R_+$  and  $\sigma > \sigma_0(\varepsilon, f)$ ,

$$\frac{1}{2A_k^k} (\mu(\sigma, f))^k \leq I_k(\sigma, f) < (\mu(\sigma + D + \varepsilon, f))^k.$$

Hence

$$(2.20) \quad \begin{aligned} \liminf_{\sigma \rightarrow +\infty} \frac{k \log \mu(\sigma, f)}{\lambda_{N(\sigma, f)}} &\leq \liminf_{\sigma \rightarrow +\infty} \frac{\log I_k(\sigma, f)}{\lambda_{N(\sigma, f)}} \\ &\leq \liminf_{\sigma \rightarrow +\infty} \frac{k \log \mu(\sigma + D + \varepsilon, f)}{\lambda_{N(\sigma, f)}} \end{aligned}$$

But, since  $\rho = +\infty$ , we have, from the following result ([10], p. 87),

$$\lim_{\sigma \rightarrow +\infty} \inf \frac{\log \mu(\sigma, f)}{\lambda_{N(\sigma, f)}} \leq \frac{1}{\rho} \leq \frac{1}{\lambda} \leq \lim_{\sigma \rightarrow +\infty} \sup \frac{\log \mu(\sigma, f)}{\lambda_{N(\sigma, f)}}$$

that

$$(2.21) \quad \lim_{\sigma \rightarrow +\infty} \inf \frac{\log \mu(\sigma, f)}{\lambda_{N(\sigma, f)}} = 0.$$

The theorem now follows from (2.20) and (2.21)

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