

# Embedded Projective Curves over a Finite Field and Homma Constant $D(q)$

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## Abstract

We consider the existence of smooth projective curves embedded over a fixed finite field  $\mathbb{F}_q$  and such that their ratio  $\#X(\mathbb{F}_q)/\deg(X)$  is large. We discuss the geometry of curves computing the Iihara constants  $A(q)$  and  $A^-(q)$  and relate it to upper and lower bound of the Homma constants  $D(q)$  and  $D^-(q)$ .

## Keywords and 2020 Mathematics Subject Classification

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## 1. Introduction

Fix a prime power  $q$ . We recall the definition of the Iihara's constant  $A(q)$ . For any  $g \in \mathbb{N}$  let  $N_q(g)$  be the maximum of all  $\#X(\mathbb{F}_q)$ , where  $X$  is a smooth curve of genus  $g$ . Set

$$A(q) := \limsup_{g \rightarrow +\infty} \frac{N_q(g)}{g}.$$

It is known that  $0 < A(q) \leq \sqrt{q} - 1$ , that there is  $c > 0$  such that  $A(q) > c \log q$  and that  $A(q) = \sqrt{q} - 1$  if  $q$  is a square ([1–5]). The books just quoted contain references for explicit examples of curves with high  $\#X(\mathbb{F}_q)/g(X)$  and an effective way to get lower bounds for  $A(q)$  is the use of towers of curves. We propose the study of embeddings in projective spaces over  $\mathbb{F}_q$  of curves  $X$  with a very large ratio  $\#X(\mathbb{F}_q)/g(X)$  to relate  $A(q)$  and  $A^-(q)$  to the Homma constants  $D(q)$  and  $D^-(q)$  which we will describe in the second part of the introduction.

Let  $X$  be a smooth and geometrically connected curve defined over  $\mathbb{F}_q$ . The  $q$ -embedding degree  $\text{embdeg}(X)_q$  of  $X$  is the minimal degree of an embedding  $f$  of  $X$  into some projective space with  $f$  defined over  $\mathbb{F}_q$ . The  $q$ -injective degree  $\text{injdeg}(X)_q$  is the minimal degree of a morphism  $f$  of  $X$  into some projective space defined over  $\mathbb{F}_q$  such that  $f|_{X(\mathbb{F}_q)}$  is injective, with the convention  $\text{injdeg}(X)_q = 0$  if  $X(\mathbb{F}_q) = \emptyset$ . The  $q$ -gonality  $\text{gon}(X)_q$  is the minimal degree of a morphism  $f : X \rightarrow \mathbb{P}^1$  defined over  $\mathbb{F}_q$ .

**Theorem 1.** Fix  $g_0 \in \mathbb{N}$  and real numbers  $\varepsilon > 0$ ,  $0 < c < 2$ . Then there is an integer  $g \geq g_0$  and a smooth genus  $g$  curve  $X$  defined over  $\mathbb{F}_q$  such that  $A(q) - \varepsilon \leq \#X(\mathbb{F}_q)/g \leq A(q) + \varepsilon$  such that at least one of the following conditions is satisfied:

- i.  $\text{embdeg}(X)_q \geq cg$  and  $\#X(\mathbb{F}_q)/\text{embdeg}(X)_q \leq (A(q) + \varepsilon)/c$ ;
- ii.  $\text{gon}(X)_q \leq cg$  and  $A(q) - \varepsilon \leq c(q + 1)$ .

Note that if  $c < (A(q) - \varepsilon)/(q + 1)$ , then case ii. of Theorem 1 cannot occur and hence i. holds. However, in this case the upper bound in i. is not interesting ([6, Proposition 5.4], [7, part (1) of Theorem 1.5]). If  $c \sim \frac{1}{\sqrt{q}}$  the upper bound in i. is not interesting for  $q$  a square, because  $A(q) = \sqrt{q} - 1$  in this case, but it may still be non-trivial for  $q$  not a square.

**Theorem 2.** Fix  $g_0 \in \mathbb{N}$  and real numbers  $\varepsilon > 0$ ,  $0 < c < 2$ . Then there is an integer  $g \geq g_0$  and a smooth genus  $g$  curve defined over  $\mathbb{F}_q$  such that  $A^-(q) - \varepsilon \leq \#X(\mathbb{F}_q)/g \leq A^-(q) + \varepsilon$  and at least one of the following conditions is satisfied:

- i.  $\text{embdeg}(X)_q \geq cg$  and  $\#X(\mathbb{F}_q)/\text{embdeg}(X)_q \leq (A^-(q) + \varepsilon)/c$ ;
- ii.  $\text{gon}(X)_q \leq cg$  and  $A^-(q) - \varepsilon \leq c(q + 1)$ .

**Remark 3.** In Theorems 1 and 2 instead of i. we may take the similar statement with  $\text{injdeg}(X)_q$  instead of  $\text{embdeg}(X)_q$ .

In [6, §5] M. Homma defined in the following real number  $D(q)$  (called Homma constant in [7, 8]).

For any positive integer  $d$  let  $M_q(d)$  denote the maximal cardinality of a set  $X(\mathbb{F}_q) \subset \mathbb{P}^n(\mathbb{F}_q)$  for some  $n$  and some geometrically integral curve  $X \subset \mathbb{P}^n$  defined over  $\mathbb{F}_q$  (we require that the inclusion  $X \subset \mathbb{P}^n$  is defined over  $\mathbb{F}_q$ ). Set

$$D(q) = \limsup_{d \rightarrow +\infty} \frac{M_q(d)}{d}.$$

By analogy with the Ihara's constant  $A(q)$  and its sibling  $A^-(q)$  (see [3, p. 132]) it is reasonable to define in the following way the lower Homma constant  $D^-(q)$ . Set

$$D^-(q) = \liminf_{d \rightarrow +\infty} \frac{M_q(d)}{d}.$$

We have  $D(q) \geq A(q)/2$  ([6, Proposition 5.4]) and this lower bound was improved to  $\frac{q-\sqrt{q}}{\sqrt{q}+1}$  in [7, Theorem 3.5 (3)]. In both lower bounds only smooth curves are used.

**Remark 4.** The proof of [6, Proposition 5.4] gives  $D^-(q) \geq A^-(q)/2$ .

There is a tension between the known upper bounds of  $D(q)$ , say  $D(q) \leq q$ , and the known lower bounds, which are of order  $A(q)$  (only a bit better if  $q$  is a square). Recall again that  $A(q) \leq \sqrt{q} - 1$ . We think that the true upper bound of  $D(q)$  (and  $D^-(q)$ ) should be nearer to  $A(q)$  (resp.  $A^-(q)$ ) than to  $q$ . The problem is to get results on the  $q$ -injective degree of all curves with high  $\#X(\mathbb{F}_q)/g(X)$  to get a better lower bound on  $D(q)$  (or  $D^-(q)$ ) in terms of  $A(q)$  (or  $A^-(q)$ ). The lower bound  $D(q) \geq A(q)/2$  ([6, Proposition 5.4]) just uses that the canonical map of any non-hyperelliptic curve is an embedding and the 2 at the denominator would be substituted with the real number  $\tau$  if one can prove that  $\text{injdeg}(X)_q \leq \tau g(X) + o(g(X))$  for enough curves (not all curves, but all curves with large ratio  $\#X(\mathbb{F}_q)/g(X)$ ). The following result is much weaker.

**Proposition 5.** Let  $X$  be a smooth and geometrically connected curve defined over  $\mathbb{F}_q$ . Set  $g := g(X)$ . Assume  $g \geq 3$  and that  $X$  is not hyperelliptic. Set  $z := \text{gon}(X)_q$ ,  $x := \#X(\mathbb{F}_q)$  and  $\delta := 2g + 1 + z$  and assume  $x \geq z - 2$ . Fix  $S \subset X(\mathbb{F}_q)$  such that  $\#S = z - 3$ . Then there is an integer  $d \leq \delta$  and a morphism  $f : X \rightarrow \mathbb{P}^n$ ,  $n := g + 2 - z$ , defined over  $\mathbb{F}_q$  such that  $\deg(f) \deg(f(X)) = d$  and  $f_{|X(\mathbb{F}_q) \setminus S}$  is injective.

## 2. The proofs

*Proof of Theorem 1:* Take a sequence of smooth curves  $\{X_k\}_{k \in \mathbb{N}}$  evincing  $A(q)$ , i.e. such that  $\lim \#X_k(\mathbb{F}_q)/g(X_k) = A(q)$ . Thus there is  $k_0 \in \mathbb{N}$  such that  $g(X_k) \geq g_0$  and  $A(q) - \varepsilon \leq \#X_k(\mathbb{F}_q)/g(X_k) \leq A(q) + \varepsilon$  for all  $k \geq k_0$ . Fix  $k \geq k_0$  and set  $X := X_k$  and  $g := g(X_k)$ . Since  $\text{embdeg}(X)_q \geq \text{gon}(X)_q$ , either  $\text{embdeg}(X)_q \geq cg$  or  $\text{gon}(X)_q \leq cg$ .

- a. Assume  $\text{gon}(X)_q \leq cg$ . Thus  $\#X(\mathbb{F}_q) \leq cg(q + 1)$ . Since  $\#X(\mathbb{F}_q) \geq g(A(q) - \varepsilon)$ , we get  $A(q) - \varepsilon \leq c(q + 1)$ .
- b. Assume  $\text{embdeg}(X)_q \geq cg$ . We get  $\#X(\mathbb{F}_q)/\text{embdeg}(X)_q \leq \#X(\mathbb{F}_q)/cg \leq (A(q) + \varepsilon)/c$ . ■

*Proof of Theorem 2:* By the definition of  $A^-(q)$  there is an integer  $g \geq g_0$  and a smooth genus  $g$  curve defined over  $\mathbb{F}_q$  such that  $A^-(q) - \varepsilon \leq \#X(\mathbb{F}_q)/g \leq A^-(q) - \varepsilon$ . Mimic the proof of Theorem 1. ■

*Proof of Proposition 5:* Since  $g \geq 3$ ,  $X$  is not hyperelliptic and the canonical line bundle of  $X$  is defined over  $\mathbb{F}_q$ , we see (over  $\mathbb{F}_q$ ) as a degree  $2g - 2$  curve  $X \subset \mathbb{P}^{g-1}$ . Since  $\#S < z$ , the geometric form of Riemann-Roch gives  $\dim \langle S \rangle = z - 1$ . Hence the linear projection from the linear space  $\langle S \rangle$  induces a morphism  $\ell_{\langle S \rangle} : \mathbb{P}^{g-1} \rightarrow \mathbb{P}^n$  defined over  $\mathbb{F}_q$ . Set  $Z := \langle Z \rangle \cap X$ ,  $w := \deg(Z)$  and  $d := 2g - 2 - w$ . Since  $X$  is smooth, the rational map  $\ell_{\langle S \rangle}|_{X \setminus Z} \rightarrow \mathbb{P}^n$  extends to a morphism  $\ell : X \rightarrow \mathbb{P}^n$ . Note that  $\ell$  is defined over  $\mathbb{F}_q$  and that  $\deg(f) \deg(f(X)) = 2g - 2 - w$ . Since  $\#S \leq z - 2$ , no point of  $X(\mathbb{F}_q) \setminus S$  is contained in  $\langle S \rangle$ . Since  $\#S \leq z - 3$ ,  $\ell(u) \neq \ell(v)$  for any  $u, v \in X(\mathbb{F}_q) \setminus S$  such that  $u \neq v$ . ■

### 3. Conclusions

We consider several remarks and proposition on the Homma constants  $D(q)$  and  $D(q)^-$  over the finite field  $\mathbb{F}_q$ . The next step would be explicit sharper bounds on the ratio  $\frac{M_q(d)}{d}$  in the intermediate range for  $d$  and  $q$ .

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