

## CANDIDA INFECTIONS IN ENT\*

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### SUMMARY

Diseases caused by *Candida* and other fungal species are seen more frequently in recent years due to close association between their opportunistic nature and increasing number of immunocompromised and intensive care patients. Either developed surgical and medical procedures for head and neck malignancies which may cause immunosuppression, or invasion of ENT organs during systemic infections in immunocompromised patients may be seen. New diagnostic and therapeutic modalities and approaches to such patients considering pathogenesis of candida infections were reviewed, so as to bring the clinician's site of view to the balance between the immune condition of the host and virulence of the opportunistic fungi.

**Key Words:** *Candida* infections, virulence, immunosuppression

### INTRODUCTION

Fungi are very common in our environment, but only a few of them are considered true pathogens for human being, such as *Histoplasma capsulatum*, *Coccidioides immitis*, *Paracoccidioides brasiliensis*, *Blastomyces dermatitidis*. These true pathogens do not distribute world wide and their importance depends on geography. Contrary to true pathogens, most of the other fungi may cause systemic infections in certain circumstances which are mostly difficult to diagnose and cure. They are called opportunistic fungi. Because of the developing therapeutic modalities which cause immunosuppression, longer life with increasing degenerative diseases, more invasive procedures, implantation and transplantation surgery, expanded use of broad spectrum antibiotics, irradiation and acquired immune deficiency syndrome (AIDS) the incidence of mycotic infections has increased and has become more important.

Although, among opportunistic fungi, *Candida* species (most notably *C. albicans*) are the major pathogens, *Aspergillus* species, *Cryptococcus neoformans*, *Fusarium* species, dematiaceous (darkly pigmented) fungi, and other nonpathogenic fungi are being reported with increasing frequency. Preliminary data from the National Nosocomial Infections Surveillance System conducted by the Centers for Disease Control (Atlanta, USA) have indicated that

*Candida* species accounted for 72% of all nosocomial fungal infections in the US over the past decade (1).

### PATHOGENESIS

Numerous studies have identified host risk factors that predispose patients to opportunistic fungal infections (Table I). Evidence for the role of neutrophil activity as a main defense against systemic *Candida* infection has been supported by the significant incidence of disseminated infection during granulocytopenia in patients with hematologic malignancies. On the other hand the importance of the T cells in preventing mucocutaneous candidiasis has been shown by the development of chronic infections in patients with AIDS. In patients with indwelling catheters, because of alterations in cutaneous or mucosal barriers which provide portal entry, the incidence of infection increases (2-10).

Apart from predisposing factors, the microorganism must possess specific factors or mechanisms of pathogenesis that enables them to cause infection. Specific factors associated with the ability of the fungus to cause infection are shown in table II. Adherence is the first step to establish colonization and infection. *C. albicans* is known to colonize humans more frequently than the other fungi (11,12). Variations among strains of *C. albicans* to adhere epithelial cells have been demonstrated, and in some studies a close correlation was observed between the adherence ability of a strain and virulence (13-15). Relevant factors that enhance adherence include fungal cell surface hydrophobicity (CSH), environmental factors, phenotype of the organism, pH, and temperature. CSH enhances candidal adherence to epithelial cells and the virulence increases due to the ability of hydrophobic cells to bind host tissue (15-17). Production of extracellular hydrolytic enzymes has been demonstrated in some strains of *C. albicans*. A few of them have been found associated with pathogenesis, including acid phosphomonoesterase (18), phospholipase (19-22) and acid protease (23-26). Isolates with greater in vitro enzyme activity are usually more virulent.

There are very few studies that investigate correlation between pathogenicity and multiple virulence factors. Barrett-Bee et al. (19) refined assays for the detection of extracellular and intracellular phospholipase A and lysophospholipase and compared the activities of these enzymes with the ability of an isolate to adhere

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and to be pathogenic for mice. Ghannoum and Eiteen (23) examined proteinase activity, and adherence to buccal epithelial cells, and pathogenicity for mice. In these studies, it was found that isolates that were more lethal to mice tended to have elevated enzyme activity and enhanced adherence abilities compared with less lethal isolates. We compared cell surface hydrophobicity, adherence and phospholipase activity of 35 *C. albicans* strains isolated from a group of patients either immunocompromised or immunocompetent (27). Our initial results revealed that if the patient had important predisposing factor, isolated *Candida* strains had been found to be either high or low virulent, but the patients who had relatively less predisposing factor such as antibiotic use, disease causing isolates were significantly more virulent, while the isolates only colonizing but not

developed to assess these factors quantitatively, perhaps pathogenic potential of a strain can be predicted according to its characteristics determined in a clinical laboratory.

## CLINICAL FEATURES AND THERAPEUTIC APPROACH

Actually mycotic disease is a very limited subject in ENT which has a broad spectrum in many ways. Fungal infections related to ENT can be divided into two subgroups because of their considerably different clinical features, therapeutic approach and outcomes: 1- Local fungal infections like otitis externa, otitis media, sinusitis in relatively immunocompetent patients

**Table I-** Predisposing factors for opportunistic fungal infections

- Deficient neutrophil number or function \*
- Deficient T cell number or function
- Deficient macrophage and monocyte number or function
- Antibiotic therapy \*
- Corticosteroid therapy
- Immunosuppressive therapy
- Intravascular catheters \*
- Parenteral hyperalimentation
- Extensive surgery
- Prior colonization by fungal species \*
- Malnutrition
- Hyperglycemia and acidosis
- Ulcerations of the oropharyngeal or gastrointestinal mucosa

\* Independent risk factors for candidemia (1,7 - 9)

**Table II-** Virulence properties of *Candida* species

- Cell surface hydrophobicity
- Adherence to host cells or artificial surfaces
- Yeast receptors that bind a complement component
- Hydrolytic enzymes (Proteinases, phospholipases, lysophospholipases)
- Dimorphism and phenotypic switching

causing infection had lower virulence factors. According to these findings, virulence factors should be more important for the patient who has relatively competent immunity. Determination of virulence factors may help to identify the risk of invasion and dissemination. On the other hand isolation of a low virulent strain from a patient with invasive candidiasis may call suspicion of any defect in immune defence. Further studies with more isolates are necessary to support these findings. At present no invitro system based on virulence factors of *C. albicans* can accurately predict the pathogenic potential of an isolate. However, if a panel of standardized method is

2- Local or systemic infections in immunocompromised patients

Fungal otitis externa is usually seen as a superficial, subacute or chronic disease of the external auditory canal and most frequently encountered pathogens are *Candida* and *Aspergillus* species (28-30). The ratio of fungi isolated from symptomatic patients with otitis externa varies between 50% and 100% in different studies (28,30-32). Most of fungi are *Aspergillus* species and *Candida* species (10-20%). It was also found that fungi could be isolated in the external auditory canal with a ratio of about 11-15% in completely normal persons(33). So, fungi can be



isolated as normal flora in many patients without infection, can be isolated together with bacterial agents in mixed otitic infection or can be isolated from pure fungal infections. Therefore one should be very careful in deciding if the isolated fungi are the causative agents or not; because these fungi can be found at the external auditory canal especially in moist and warm environment. If the patient has any debilitating factor, if there are improper hygienic conditions, and if there is no cure with antibacterial therapy, suspicion should be directed to mycotic infections.

Mycotic infections of middle ear, mastoid, and sinuses have rarely been reported. Apart from allergic fungal sinusitis which is caused especially by *Aspergillus* species and dematiaceous fungi (34-36) and mucormycosis that are associated with diabetic ketoacidosis (37), most of the cases are seen in immunosuppressed patients. During systemic candidiasis very rare involvement of middle ear, temporal bone, and sinuses is surprising. *Candida* infections of oropharyngeal, gastrointestinal, and genitourinary tracts have been known to occur even in normal children who are treated with multiple antibiotics but invasion of middle ear, mastoid and sinuses is seldom (38-40). Several reports emphasize that mycotic infection of the auditory canal, middle ear, and sinuses occurred in patients who were at high risk because of extensive operations, immunosuppression, long-term antibiotic therapy or organ transplantation (39,41).

Oral, pharyngeal, laryngeal and esophageal infections caused by *Candida* species are problematic in clinics and occur very frequently in immunocompromised patients. Immunocompromise can result from trauma, burns, cancer especially hematologic malignancies, cancer chemotherapy, irradiation, and immunosuppressive therapy. Because of the need for interruption of therapeutic radiation in patients with head and neck cancer and risk of dysfunction of the voice prosthesis, oropharyngeal colonization and infection of *Candida* species and therapeutic approaches gain more importance nowadays (42). Additionally the most frightening problem in these immunocompromised patients is the invasive and systemic fungal infections. It is reported that *Candida* species are found in about 30% of immunosuppressive patients who died of infection (43). Difficult and time-consuming diagnosis and troubles in the treatment result with high mortality rates. Because the conventional laboratory diagnostic techniques are time-consuming, new sero-diagnostic techniques are under investigation. Although the sensitivity and specificity of these techniques have not been found satisfactory until now, they may play some role in diagnosis (44,45). Many reports emphasize that early therapeutic intervention whether surgical, or medical, or both significantly diminishes mortality. In many institutions, in cases of fever that do not respond to antibacterial therapy in 5-7 days, empiric antimycotic therapy is preferred and recommended (46).

Although there are not many resistant species to

amphotericin B so far, the toxic effect has limited its use and has prompted investigations for the new less toxic agents. Liposomal amphotericin B and imidazole derivatives such as ketoconazole, fluconazole and itraconazole are already in clinical use (46). Clinical studies show that fluconazole is very effective against *Candida albicans*. Because of its low toxicity compared with amphotericin B, it is preferred for antimycotic prophylaxis in immunocompromised patients. It is also recommended for neutropenic patients. (47,48). But it is reported that in spite of antimycotic chemoprophylaxis in some patients, *Candida* infections caused by *Candida* species other than *C. albicans* and *Aspergillus* spp. may occur (49). Debates on the therapeutic and prophylactic doses of antimycotics are still going on. Because of such observations and unreliability of invitro susceptibility tests, amphotericin B should be the drug of choice in life-threatening cases.

## CONCLUSION

Fungi as causative agents of infections should always be kept in mind.

The patient should be examined as a whole, and each and every predisposing factor for a mycotic infection should be determined.

Early suspicion of mycotic infection and early therapeutic intervention may diminish mortality and morbidity.

Most serious fungal infections occur in a setting of impaired host defence mechanism and resolution of these deficiencies may be more important in the control of the infection than administration of antifungal chemotherapy.

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## Erratum

1. The photographs on page 75 and 76 in Volume 7, No 2, April 1994 should be replaced with the following photographs.

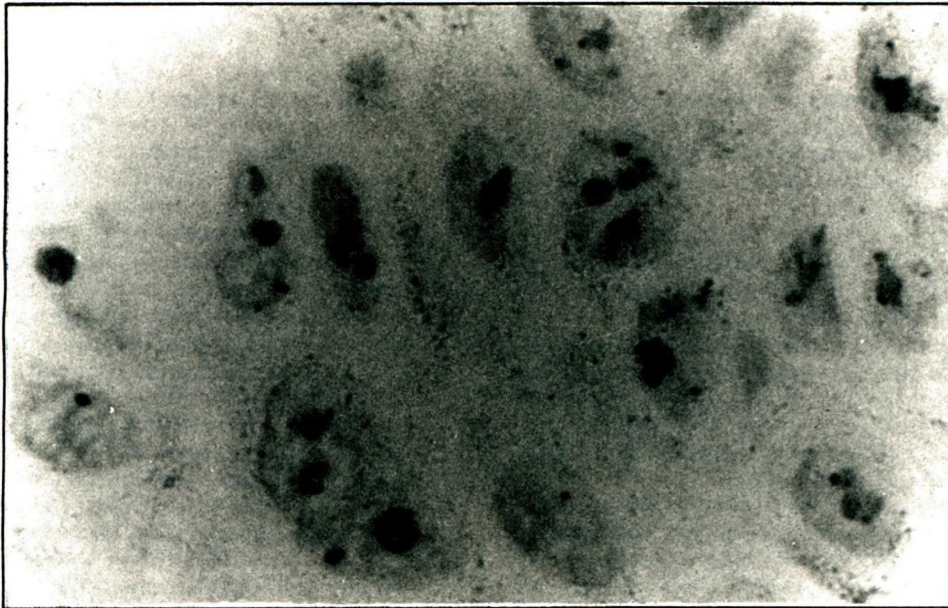


Fig. 1: AgNORs staining of squamous cell carcinoma of the vocal cords.

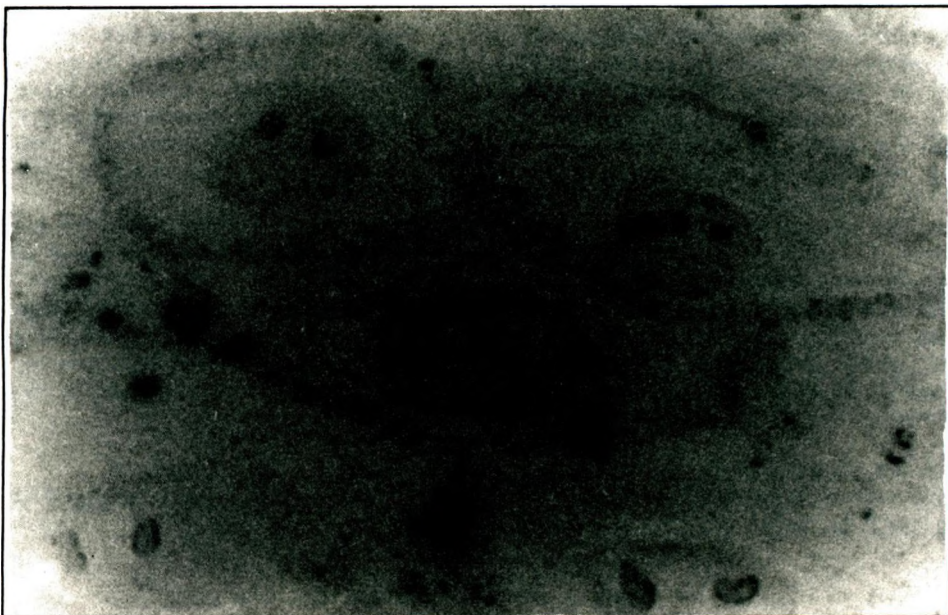


Fig. 2: AgNORs staining of dysplasia of the vocal cords

2. The date on page 64 in Volume 7, No 2, April 1994 should be (Received 27 September 1993) not (Received 27 September, 1994) as stated.

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