

Research Article

# Equilibria for abstract economies in Hausdorff topological vector spaces

Dedicated to Professor Anthony To-Ming Lau with much admiration.

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ABSTRACT. In this paper using new fixed point results of the author, we establish a variety of existence results for equilibria for abstract economies.

Keywords: Fixed points, equilibria, abstract economies.

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## 1. INTRODUCTION

Using strategy sets with constraint and preference correspondences defined on subsets of Hausdorff topological vector spaces, we present in this paper a variety of equilibrium results for abstract economies. These equilibrium results are deduced from recent fixed point results in the literature (see [8, 9, 10]) and our theory improves and generalizes corresponding results in the literature (see [1, 4, 5, 6, 11, 12] and the references therein).

Now, we recall some fixed point results [8, 9, 10] in the literature. First, we recall the following notions from the literature. For a subset K of a topological space X, we denote by  $Cov_X(K)$  the directed set of all coverings of K by open sets of X (usually we write  $Cov(K) = Cov_X(K)$ ). Given two maps  $F, G : X \to 2^Y$  (here  $2^Y$  denotes the family of nonempty subsets of Y) and  $\alpha \in Cov(Y)$ , F and G are said to be  $\alpha$ -close if for any  $x \in X$  there exists  $U_x \in \alpha, y \in F(x) \cap U_x$  and  $w \in G(x) \cap U_x$ .

Let Q be a class of topological spaces. A space Y is an extension space for Q (written  $Y \in ES(Q)$ ) if for any pair (X, K) in Q with  $K \subseteq X$  closed, any continuous function  $f_0 : K \to Y$  extends to a continuous function  $f : X \to Y$ . A space Y is an approximate extension space for Q (written  $Y \in AES(Q)$ ) if for any  $\alpha \in Cov(Y)$  and any pair (X, K) in Q with  $K \subseteq X$  closed, and any continuous function  $f_0 : K \to Y$  there exists a continuous function  $f : X \to Y$  such that  $f|_K$  is  $\alpha$ -close to  $f_0$ .

Let *V* be a subset of a Hausdorff topological vector space *E*. Then, we say *V* is Schauder admissible if for every compact subset *K* of *V* and every covering  $\alpha \in Cov_V(K)$  there exists a continuous functions  $\pi_{\alpha} : K \to V$  such that

(i).  $\pi_{\alpha}$  and  $i: K \to V$  are  $\alpha$ -close,

(ii).  $\pi_{\alpha}(K)$  is contained in a subset  $C \subseteq V$  with  $C \in AES$  (compact).

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*X* is said to be q- Schauder admissible if any nonempty compact convex subset  $\Omega$  of *X* is Schauder admissible.

An upper semicontinuous map  $\phi : X \to CK(Y)$  is said to Kakutani (and we write  $\phi \in Kak(X, Y)$ ); here CK(Y) denotes the family of nonempty, convex, compact subsets of *Y*.

**Theorem 1.1.** Let I be an index set and  $\{X_i\}_{i \in I}$  be a family of sets each in a Hausdorff topological vector space  $E_i$ . For each  $i \in I$ , let  $K_i$  be a nonempty compact subset of  $X_i$  and suppose  $F_i : X \equiv \prod_{i \in I} X_i \rightarrow K_i$  is upper semicontinuous with nonempty convex compact values (i.e.  $F_i \in Kak(X, K_i)$ ). Also assume  $K \equiv \prod_{i \in I} K_i$  is a Schauder admissible subset of the Hausdorff topological vector space  $E \equiv \prod_{i \in I} E_i$ . Then, there exists a  $x \in K$  with  $x_i \in F_i(x)$  for  $i \in I$  (here  $x_i$  is the projection of x on  $X_i$ ).

**Remark 1.1.** One could repace K a Schauder admissible subset of E in Theorem 1.1 (and the other results in this paper) with other admissible subsets of E described in [7].

Let *Z* and *W* be subsets of Hausdorff topological vector spaces  $Y_1$  and  $Y_2$  and *G* a multifunction. We say  $G \in DKT(Z, W)$  [2] if *W* is convex and there exists a map  $S : Z \to W$  with  $co(S(x)) \subseteq G(x)$  for  $x \in Z$ ,  $S(x) \neq \emptyset$  for each  $x \in Z$  and the fibre  $S^{-1}(w) = \{z \in Z : w \in S(z)\}$  is open (in *Z*) for each  $w \in W$ .

**Theorem 1.2.** Let I be an index set and  $\{X_i\}_{i \in I}$  be a family of convex sets each in a Hausdorff topological vector space  $E_i$ . For each  $i \in I$  suppose  $F_i : X \equiv \prod_{i \in I} X_i \to X_i$  and  $F_i \in DKT(X, X_i)$ . In addition assume for each  $i \in I$  there exists a convex compact set  $K_i$  with  $F_i(X) \subseteq K_i \subseteq X_i$ . Also suppose X is a q-Schauder admissible subset of the Hausdorff topological vector space  $E = \prod_{i \in I} E_i$ . Then, there exists a  $x \in X$  with  $x_i \in F_i(x)$  for  $i \in I$ .

**Remark 1.2.** If *I* is a finite set, then the assumption that "*X* is a *q*–Schauder admissible subset of the Hausdorff topological vector space *E*" can be removed. In fact we have: Let  $\{X_i\}_{i=1}^N$  be a family of convex sets each in a Hausdorff topological vector space  $E_i$ . For each  $i \in \{1, ..., N\}$  suppose  $F_i$ :  $X \equiv \prod_{i=1}^N X_i \to X_i$  and  $F_i \in DKT(X, X_i)$ . In addition assume for each  $i \in \{1, ..., N\}$  there exists a convex compact set  $K_i$  with  $F_i(X) \subseteq K_i \subseteq X_i$ . Then, there exists a  $x \in X$  with  $x_i \in F_i(x)$  for  $i \in \{1, ..., N\}$ .

Let *Z* and *W* be subsets of Hausdorff topological vector spaces  $Y_1$  and  $Y_2$  and *F* a multifunction. We say  $F \in HLPY(Z, W)$  [3, 4] if *W* is convex and there exists a map  $S : Z \to W$  with  $co(S(x)) \subseteq F(x)$  for  $x \in Z$ ,  $S(x) \neq \emptyset$  for each  $x \in Z$  and  $Z = \bigcup \{ int S^{-1}(w) : w \in W \}$ ; here  $S^{-1}(w) = \{z \in Z : w \in S(z)\}.$ 

**Theorem 1.3.** Let I be an index set and  $\{X_i\}_{i \in I}$  be a family of convex sets each in a Hausdorff topological vector space  $E_i$ . For each  $i \in I$  suppose  $F_i : X \equiv \prod_{i \in I} X_i \to X_i$  and  $F_i \in HLPY(X, X_i)$ . In addition assume for each  $i \in I$  there exists a convex compact set  $K_i$  with  $F_i(X) \subseteq K_i \subseteq X_i$ . Also suppose X is a q-Schauder admissible subset of the Hausdorff topological vector space  $E = \prod_{i \in I} E_i$ . Then, there exists a  $x \in X$  with  $x_i \in F_i(x)$  for  $i \in I$ .

**Remark 1.3.** If *I* is a finite set, then the assumption that "X is a q-Schauder admissible subset of the Hausdorff topological vector space E" can be removed. In fact we have: Let  $\{X_i\}_{i=1}^N$  be a family of convex sets each in a Hausdorff topological vector space  $E_i$ . For each  $i \in \{1, ..., N\}$  suppose  $F_i : X \equiv \prod_{i=1}^N X_i \to X_i$  and  $F_i \in HLPY(X, X_i)$ . In addition assume for each  $i \in \{1, ..., N\}$  there exists a convex compact set  $K_i$  with  $F_i(X) \subseteq K_i \subseteq X_i$ . Then, there exists a  $x \in X$  with  $x_i \in F_i(x)$  for  $i \in \{1, ..., N\}$ .

We now state a result from the literature [11] which will be used in Section 2.

**Theorem 1.4.** Let X and Y be two topological spaces and A an open subset of X. Suppose  $F_1 : X \to 2^Y$ ,  $F_2 : A \to 2^Y$  (here  $2^Y$  denotes the family of nonempty subsets of Y) are upper semicontinuous such that  $F_2(x) \subset F_1(x)$  for all  $x \in A$ . Then, the map  $F : X \to 2^Y$  defined by

$$F(x) = \begin{cases} F_1(x), & x \notin A\\ F_2(x), & x \in A \end{cases}$$

is upper semicontinuous.

#### 2. Abstract economy results

Let *I* be the set of agents and we describe the abstract economy as  $\Gamma = (X_i, A_i, B_i, P_i)_{i \in I}$ , where  $A_i, B_i : X \equiv \prod_{i \in I} X_i \to 2^{E_i}$  are constraint correspondences,  $P_i : X \to 2^{E_i}$  is a preference correspondence and  $X_i$  is a choice (or strategy) set which is a subset of a Hausdorff topological vector space  $E_i$ . We are interested in finding an equilibrium point for  $\Gamma$  i.e. a point  $x \in X$  with  $x_i \in \overline{B_i}(x)$  and  $co A_i(x) \cap co P_i(x) = \emptyset$  (or  $x_i \in B_i(x)$  and  $A_i(x) \cap P_i(x) = \emptyset$ ) for  $i \in I$ .

**Theorem 2.5.** Let  $\Gamma = (X_i, A_i, B_i, P_i)_{i \in I}$  be an abstract economy with  $\{X_i\}_{i \in I}$  a family of nonempty sets each in a Hausdorff topological vector space  $E_i$  (here I is an index set). For each  $i \in I$ , let  $A_i, B_i, P_i : X \equiv \prod_{i \in I} X_i \to 2^{E_i}$  and assume the following conditions are satisfied:

(2.1) 
$$U_i = \{x \in X : co A_i(x) \cap co P_i(x) \neq \emptyset\}$$
 is paracompact and open in X

(2.2) 
$$cl B_i (\equiv \overline{B_i}) : X \to CK(E_i)$$
 is upper semicontinuous

(2.3) 
$$\begin{cases} \text{ there exists a nonempty compact subset } K_i \text{ of } X_i \text{ with } \overline{B_i} : X \to CK(K_i) \\ \text{and } K \equiv \prod_{i \in I} K_i \text{ is a Schauder admissible subset of } E \equiv \prod_{i \in I} E_i \end{cases}$$

and

(2.4) 
$$x_i \notin \operatorname{co} A_i(x) \cap \operatorname{co} P_i(x)$$
 if  $x \in U_i$ ; here  $x_i$  is the projection of x on  $E_i$ 

For  $i \in I$  and  $x \in X$ , let  $H_i(x) = co A_i(x) \cap co P_i(x)$  and suppose

(2.5) 
$$H_i(x) \subseteq B_i(x) \text{ for } x \in U_i$$

(2.6) 
$$\begin{cases} \text{ there exists a } S_i : U_i \to 2^{E_i} \text{ with } co S_i(x) \subseteq H_i(x) \text{ for } x \in U_i \\ and S_i^{-1}(y) \text{ is open (in } U_i) \text{ for each } y \in E_i. \end{cases}$$

Then there exists a  $x \in X$  with for each  $i \in I$ , we have  $x_i \in \overline{B_i}(x)$  and  $\operatorname{co} A_i(x) \cap \operatorname{co} P_i(x) = \emptyset$ .

*Proof.* Note for each  $i \in I$  from (2.6), we have  $H_i \in DKT(U_i, E_i)$  so from [2] there exists a continuous (single valued) selection  $f_i : U_i \to E_i$  of  $H_i$  with  $f_i(x) \in co(S_i(x)) \subseteq H_i(x)$  for  $x \in U_i$ . For each  $i \in I$ , let

$$G_i(x) = \begin{cases} f_i(x), & x \in U_i \\ \overline{B_i}(x), & x \notin U_i \end{cases}$$

Note for each  $i \in I$  that  $\{f_i(x)\} \subseteq co(S_i(x)) \subseteq H_i(x) \subseteq \overline{B_i}(x)$  (see (2.5)) if  $x \in U_i$ , so Theorem 1.4 guarantees that  $G_i : X \to CK(E_i)$  is upper semicontinuous. Also for each  $i \in I$ , we have  $G_i(x) \subseteq \overline{B_i}(x) \subseteq K_i$  for  $x \in X$  so  $G_i \in Kak(X, K_i)$ . Now, Theorem 1.1 guarantees a  $x \in K$  with  $x_i \in G_i(x)$  for  $i \in I$ . If  $x \in U_i$  for some  $i \in I$ , then  $x_i = f_i(x) \in H_i(x) = coA_i(x) \cap coP_i(x)$ , which contradicts (2.4). Thus for each  $i \in I$ , we must have  $x \notin U_i$  and then we have  $x_i \in \overline{B_i}(x)$   $\Box$ 

## Remark 2.4.

- (i). If  $i \in I$  and  $H_i^{-1}(y)$  is open (in X) for each  $y \in E_i$ , then  $U_i$  in (2.1) is automatically open in X. This is immediate once one notices that  $U_i = \bigcup_{y \in E_i} H_i^{-1}(y)$ .
- (ii). Of course there are other obvious analogues of Theorem 2.5 if the assumptions on  $co A_i \cap co P_i$ are replaced by assumptions on  $co A_i \cap P_i$  or  $\overline{co} A_i \cap P_i$  or  $\overline{co} A_i \cap \overline{co} P_i$  or  $\overline{co} A_i \cap co P_i$  or  $A_i \cap co P_i$  or  $A_i \cap \overline{co} P_i$  or  $A_i \cap P_i$  or  $co A_i \cap \overline{co} P_i$  and the assumptions on  $\overline{B_i}$  are replaced by assumptions on  $B_i$ .

**Remark 2.5.** For each  $i \in I$  suppose there exists a map  $S_i : X \to E_i$  (which may have empty values) with  $\cos S_i(x) \subseteq H_i(x)$  for  $x \in X$ , the fibres  $S_i^{-1}(y)$  are open (in X) for each  $y \in E_i$  and also assume if  $x \in U_i$ , then  $S_i(x) \neq \emptyset$ . Then, (2.6) holds with  $S_i$  replaced by  $S_i|_{U_i}$ . Let  $S_i^*$  denote  $S_i|_{U_i}$ . For  $i \in I$  note  $S_i^* : U_i \to 2^{E_i}$ ,  $\cos S_i^*(x) \subseteq H_i(x)$  for  $x \in U_i$  and for  $y \in E_i$  note

$$(S_i^{\star})^{-1}(y) = \{x \in U_i : y \in S_i^{\star}(x)\} = \{x \in X : y \in S_i(x)\} \cap U_i = S_i^{-1}(y) \cap U_i,$$

so  $(S_i^{\star})^{-1}(y)$  which is open in  $U_i$ .

**Theorem 2.6.** Let  $\Gamma = (X_i, A_i, B_i, P_i)_{i \in I}$  be an abstract economy with  $\{X_i\}_{i \in I}$  a family of nonempty sets each in a Hausdorff topological vector space  $E_i$  (here I is an index set). For each  $i \in I$ , let  $A_i, B_i, P_i : X \equiv \prod_{i \in I} X_i \rightarrow 2^{E_i}$  and assume (2.1), (2.2), (2.3) and (2.4) hold. For  $i \in I$  and  $x \in X$ , let  $H_i(x) = \operatorname{co} A_i(x) \cap \operatorname{co} P_i(x)$  and suppose (2.5) holds. In addition for each  $i \in I$  assume

(2.7) 
$$\begin{cases} \text{ there exists a } S_i : U_i \to 2^{E_i} \text{ with } co S_i(x) \subseteq H_i(x) \text{ for } x \in U_i \\ \text{ and } U_i = \bigcup \{ int_{U_i} S_i^{-1}(w) : w \in E_i \} \end{cases}$$

Then there exists a  $x \in X$  with for each  $i \in I$  we have  $x_i \in \overline{B_i}(x)$  and  $\operatorname{co} A_i(x) \cap \operatorname{co} P_i(x) = \emptyset$ .

*Proof.* Note for each  $i \in I$  from (2.7), we have  $H_i \in HLPY(U_i, E_i)$  so from [4] there exists a continuous (single valued) selection  $f_i : U_i \to E_i$  of  $H_i$  with  $f_i(x) \in co(S_i(x)) \subseteq H_i(x)$  for  $x \in U_i$ . Let  $G_i$  for  $i \in I$  be as in Theorem 2.5 and the same reasoning guarantees a  $x \in K$  with  $x_i \in G_i(x)$  for  $i \in I$ .

**Remark 2.6.** For each  $i \in I$  suppose there exists a map  $S_i : X \to E_i$  (which may have empty values) with  $co S_i(x) \subseteq H_i(x)$  for  $x \in X$ ,  $X = \bigcup \{ int_X S_i^{-1}(w) : w \in E_i \}$  and also assume if  $x \in U_i$ , then  $S_i(x) \neq \emptyset$ . Then, (2.7) holds with  $S_i$  replaced by  $S_i|_{U_i}$ . Let  $S_i^*$  denote  $S_i|_{U_i}$ . For  $i \in I$  note  $S_i^* : U_i \to 2^{E_i}$ ,  $co S_i^*(x) \subseteq H_i(x)$  for  $x \in U_i$  and now we show  $U_i = \bigcup \{ int_{U_i} (S_i^*)^{-1}(w) : w \in E_i \}$ . To see this notice

$$U_i = U_i \cap X = U_i \cap \left( \bigcup \{ int_X S_i^{-1}(w) : w \in E_i \} \right) = \bigcup \{ U_i \cap int_X S_i^{-1}(w) : w \in E_i \},$$

so  $U_i \subseteq \bigcup \{ int_{U_i} (S_i^*)^{-1}(w) : w \in E_i \}$  since for each  $w \in E_i$ , we have that  $U_i \cap int_X S_i^{-1}(w)$ is open in  $U_i$ . On the other hand clearly  $\bigcup \{ int_{U_i} (S_i^*)^{-1}(w) : w \in E_i \} \subseteq U_i$  so as a result  $U_i = \bigcup \{ int_{U_i} (S_i^*)^{-1}(w) : w \in E_i \}$ .

**Theorem 2.7.** Let  $\Gamma = (X_i, A_i, B_i, P_i)_{i \in I}$  be an abstract economy with  $\{X_i\}_{i \in I}$  a family of nonempty convex sets each in a Hausdorff topological vector space  $E_i$  (here I is an index set). For each  $i \in I$ , let  $A_i, B_i, P_i : X \equiv \prod_{i \in I} X_i \to 2^{E_i}$  and assume the following conditions are satisfied:

(2.8) 
$$co(A_i(x)) \subseteq B_i(x) \text{ for } x \in X,$$

(2.9) 
$$x_i \notin B_i(x) \cap co P_i(x) \text{ if } x \in X \text{ and } A_i(x) \cap P_i(x) \neq \emptyset,$$

(2.10) 
$$\begin{cases} \text{ there exists a nonempty convex compact subset } K_i \text{ of } X_i \\ \text{with } B_i(X) \subseteq K_i \subseteq X_i \end{cases}$$

and

(2.11) 
$$\begin{cases} \text{for each } y_i \in X_i \text{ the set } \left[ (co P_i)^{-1}(y_i) \cup M_i \right] \cap A_i^{-1}(y_i) \\ \text{is open in } X \text{ (here } M_i = \{x \in X : A_i(x) \cap P_i(x) = \emptyset\} \end{cases}$$

Finally, assume X is a q-Schauder admissible subset of  $E = \prod_{i \in I} E_i$ . Then there exists a  $x \in X$  with for each  $i \in I$ , we have  $x_i \in B_i(x)$  and  $A_i(x) \cap P_i(x) = \emptyset$ .

*Proof.* For each  $i \in I$ , let  $N_i = \{x \in X : A_i(x) \cap P_i(x) \neq \emptyset\}$  and for each  $x \in X$  let  $I(x) = \{i \in I : A_i(x) \cap P_i(x) \neq \emptyset\}.$ 

For each  $i \in I$ , let  $F_i, G_i : X \to 2^{X_i}$  be given by

$$F_i(x) = \begin{cases} A_i(x) \cap \operatorname{co} P_i(x), & i \in I(x) \\ A_i(x), & i \notin I(x) \end{cases}$$

and

$$G_i(x) = \begin{cases} B_i(x) \cap \operatorname{co} P_i(x) & , i \in I(x) \\ B_i(x) & , i \notin I(x) \end{cases}$$

Fix  $i \in I$ . Note from (2.8) that  $co F_i(x) \subseteq G_i(x)$  for  $x \in X$  (and note  $F_i(x) \neq \emptyset$  for  $x \in X$ ). Also note for each  $y_i \in X_i$ , we have

$$F_i^{-1}(y_i) = \{x \in X : y_i \in F_i(x)\}$$
  
=  $\{x \in N_i : y_i \in A_i(x) \cap \operatorname{co} P_i(x)\} \cup \{x \in M_i : y_i \in A_i(x)\}$   
=  $\{[(\operatorname{co} P_i)^{-1}(y_i) \cap A_i^{-1}(y_i)] \cap N_i\} \cup \{A_i^{-1}(y_i) \cap M_i\}$   
=  $[(\operatorname{co} P_i)^{-1}(y_i) \cap A_i^{-1}(y_i)] \cup [A_i^{-1}(y_i) \cap M_i]$   
=  $[(\operatorname{co} P_i)^{-1}(y_i) \cup M_i] \cap A_i^{-1}(y_i)$ 

which (see (2.11)) is open in *X*. Thus for each  $i \in I$ , we have  $G_i \in DKT(X, X_i)$  and also from (2.10) note  $G_i(X) \subseteq K_i \subseteq X_i$ . Now, Theorem 1.2 guarantees a  $x \in K$  with  $x_i \in G_i(x)$  for  $i \in I$ . Note if  $i \in I(x)$  for some  $i \in I$  then  $A_i(x) \cap P_i(x) \neq \emptyset$  and  $x_i \in B_i(x) \cap co P_i(x)$ , which contradicts (2.9). Thus  $i \notin I(x)$  for all  $i \in I$ . Consequently,  $x_i \in B_i(x)$  and  $A_i(x) \cap P_i(x) = \emptyset$  for all  $i \in I$ .

**Remark 2.7.** In Theorem 2.7 if I is a finite set, then the assumption that "X is a q-Schauder admissible subset of the Hausdorff topological vector space E" can be removed (see Remark 1.2).

**Theorem 2.8.** Let  $\Gamma = (X_i, A_i, B_i, P_i)_{i \in I}$  be an abstract economy with  $\{X_i\}_{i \in I}$  a family of nonempty convex sets each in a Hausdorff topological vector space  $E_i$  (here I is an index set). For each  $i \in I$ , let  $A_i, B_i, P_i : X \equiv \prod_{i \in I} X_i \rightarrow 2^{E_i}$  and assume (2.8), (2.9) and (2.10) hold. Also suppose X is a q-Schauder admissible subset of  $E = \prod_{i \in I} E_i$ . For each  $x \in X$ , let  $I(x) = \{i \in I : A_i(x) \cap P_i(x) \neq \emptyset\}$  and for each  $i \in I$ , let

$$F_i(x) = \begin{cases} A_i(x) \cap \operatorname{co} P_i(x), & i \in I(x) \\ A_i(x), & i \notin I(x) \end{cases}$$

and assume that

(2.12)  $X = \bigcup \{ int F_i^{-1}(w) : w \in X_i \}.$ 

Then there exists a  $x \in X$  with for each  $i \in I$ , we have  $x_i \in B_i(x)$  and  $A_i(x) \cap P_i(x) = \emptyset$ .

*Proof.* Let  $N_i$  and  $G_i$  be as in Theorem 2.7. For  $i \in I$  note  $F_i(x) \neq \emptyset$  and  $co F_i(x) \subseteq G_i(x)$  for  $x \in X$  and  $X = \bigcup \{int F_i^{-1}(w) : w \in X_i\}$ . Thus for each  $i \in I$ , we have  $G_i \in HLPY(X, X_i)$  and also from (2.10) note  $G_i(X) \subseteq K_i \subseteq X_i$ . Now, Theorem 1.3 guarantees a  $x \in K$  with  $x_i \in G_i(x)$  for  $i \in I$  and the reasoning in Theorem 2.7 guarantees the result.  $\Box$ 

**Remark 2.8.** In Theorem 2.8 if I is a finite set, then the assumption that "X is a q-Schauder admissible subset of the Hausdorff topological vector space E" can be removed (see Remark 1.3).

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