Title Page

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Distinguishing hard and soft tissue facial morphology among class I and class III children: A cephalometric assessment

**Running Title:**

**Hard and soft tissue facial morphology among Class I and Class III children**

**Key Words:** Soft tissue profile, cephalometry, Class III malocclusion, Class I occlusion

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Distinguishing hard and soft tissue facial morphology among Class I and Class III children: A cephalometric assessment

Abstract

Objective: To assess the sagittal soft and hard soft tissue morphology of growing Class III patients in comparison with a Class I control subjects.

Material and Methods: Forty-one female children with Class III malocclusion and 30 Class I female children were included in the study. Eight linear and 6 angular measurements were made on lateral cephalograms to evaluate soft tissue and skeletal characteristics.

Results: The subjects with Class III malocclusion showed a retrusive maxilla (A-y distance, 48.52±2.53) and upper lip (Ss-y distance, 64.14±3.26), a protrusive mandible (B-y distance, 56.15±5.49) a prominent chin (Pog’-y distance, 70.65±6.87), retroclined lower incisors (IMPA, 87.14±5.68), and increased vertical dimensions (SN-GoMe, 36.49±5.29) compared to control subjects.

Conclusions: Our findings indicated that soft and hard tissue characteristics of Class III subjects are distinct from Classs I subjects in the middle region and lower third of the face. Orthopedic traction of mid-face arguably started earlier as a first treatment protocol to obtain balanced facial profile in Class III subjects.

Key Words: Soft tissue profile, cephalometry, Class III malocclusion, Class I occlusion
Introduction

Class III malocclusion is a complex and one of the most difficult problems encountered clinically. The patients with Class III malocclusion may a retrusive or deficient maxilla, a larger or anteriorly positioned mandible, or combination of both.\textsuperscript{1} Class III subjects may protrusive maxillary and/or retrusive mandibular dentition. However, an obvious consequences of a Class III malocclusion is undesirable facial esthetics.\textsuperscript{1}

Primary goals of orthodontic treatment in subjects with Class III malocclusion are to obtain a balanced facial esthetics and an occlusion. Holdaway\textsuperscript{2} emphasized esthetic concerns of the patients after orthodontic treatment.

Possible abnormalities in hard tissue structures of the face could be masked or exaggerated by the soft tissues. In other words, soft tissue architecture does not always reflect the underlying dentoskeletal profile. Soft tissue morphology of the subjects with Class III malocclusion has been investigated in several clinical studies by means of thin-plate spline analysis, finite element morphometry, 3D analysis or cephalometric evaluations.\textsuperscript{3-7}

Cephalometry is the most important and the most widely used diagnostic tool in orthodontics. Cephalometric images from hard\textsuperscript{8} and soft tissues\textsuperscript{9} are comparable with those obtained from 3D system. An important shortcoming of the cephalometric studies is that they are generally focused on dentoskeletal structures.

More female patients were reported to focus on esthetic desires, while male patients tended to emphasize functional needs.\textsuperscript{10} Little attention has been given to soft tissue facial profile of female subjects.

The purpose of this study is to draw attention to facial appearance of females with Class III malocclusion, and to underline especially the importance of soft tissue morphology in orthodontic treatment planning.
Materials and methods

This retrospective study was carried out on cephalometric head films of the study and control subjects. The films were chosen from the achieve files in Orthodontic Department of Dentistry Faculty, Atatürk University, according to the selection criteria mentioned below. Local ethics committee approved this study. All subjects are Turkish children with Turkish grandparents.

Because most of the subjects seeking orthodontic treatment are the females, this study was carried out on female children. Forty-one female children having dental and skeletal Class III relationship and anterior cross bite were included to study group (Figure 1).

Control group consisted of thirty subjects having skeletal and dental Class I relationship and no or minimal crowding (2-4 mm) and no crossbites (Figure 2).

All of the subjects in the treatment and control groups were in late mixed or early permanent dentition stages, and their mean ages were 11.83 and 11.19 years, respectively. Skeletal age and menarche were not taken into consideration in the selection. The study and control groups were matched with respect to chronological age.

The children were excluded as study subjects if they had previous history of previous orthodontic treatment, cleft-lip and palate, and systemic disorder.

All of the cephalometric films were taken using the same cephalostat in standard manner. The films were scanned with an Epson Expression 1860 Pro scanner and the resulting images (100 per cent) were digitized and measured using Quick Ceph 2000.

On the cephalometric images, 8 linear and 6 angular parameters were measured in order to determine the differences in soft and hard tissue facial profiles of the groups (Figures 3 and 4).
Statistical Analysis

Houston\textsuperscript{12} analysis was done to assess the reliability of the measurements. Homogeneity of the measurements was checked by means of Kolmogorov-Smirnov test. Because all parameters showed a normal distribution, between-group comparisons of the parameters were carried out by means of a Student’s t test.
Results

Houston\textsuperscript{12} analysis showed high reliability of the measurements for all parameters. Means of chronological ages of the groups showed no significant difference between the groups. The results of Student’s t test comparing the measurements for the groups are shown in Table 1. The findings of Table 1 can be explained as follows: The parameters showing the antero-posterior position of maxilla and surrounding soft tissues (point A, sulcus superior, and upper lip to PMV distances) were significantly smaller, while the parameters regarding mandible (point B and soft tissue pogonion to PMV distances) were significantly greater in the Class III subjects than the controls. The distance from upper lip to E-line and nasolabial, labiomenatal, Z, and SN-GoMe angles were also significantly larger in the study group. One of the most remarkable findings of the Class III subjects was diminished lower incisor inclination.
Discussion

This cephalometric study aimed to evaluate the differences in soft tissue facial profiles of the subjects with Class I or Class III malocclusion. Although 3D evaluations gained great interest in recent years, 2D cephalometry has maintained its importance in orthodontic diagnosis and treatment planning. In a recent paper, Yitschaky and co-workers\textsuperscript{8} compared the 3D and 2D images of human dry skulls and found no difference between conventional analysis and 3D analysis for the most of measurements. Incrapera et al\textsuperscript{9} found insignificant differences between two-dimensional and three dimensional analysis.

The results of the present study showed that the children with Class III malocclusion had an underdeveloped upper jaw and a retrusive upper lip. In other words, the subjects with Class III malocclusion had maxillary hypoplasia and a concave soft tissue facial profile. It is well known that skeletal maxillary retrusion constitutes a major component of Class III pattern.\textsuperscript{1,13}

Soft tissue facial profile of the patients with maxillary retrusion was studied in a few studies. Singh et al\textsuperscript{14} compared soft tissue morphologies of the children with Class III and Class I malocclusions, and found that half of the linear and three-fourths of the angular parameters differed statistically. According to these authors, soft tissue dynamics may contribute to the development of Class III malocclusions during early postnatal development. In a recent paper, Chang et al\textsuperscript{15} revealed that developmental shortening of palatomaxillary complex in Class III subjects caused a retrognathic appearance of midface. Rabie and Gu\textsuperscript{16} stated that pseudo Class III malocclusions were characterized by retrusive upper lip.

Our results showed that the subjects with class III malocclusion had larger mandibles (B-y distance) and prominent soft tissue pogonion. In accordance with our results, several studies\textsuperscript{1,13,16} showed that there was a tendency of more horizontal growth pattern in the mandibles of Class III subjects.
The results of the present study also indicated that a prominent lower lip was present in the Class III subjects, although it was found as statistically insignificant. However, labiomental angle increased significantly in Class III group. These results are comparable with the findings of other studies.\textsuperscript{1,15}

We also found that Class III group had significantly increased vertical dimensions. Similar findings were shown in previous studies.\textsuperscript{2} According to Spalj et al,\textsuperscript{13} Class III subjects with maxillary retrognathia have an increased vertical growth pattern. In a cross sectional study, Bacetti et al\textsuperscript{17} studied craniofacial characteristic of 1091 class III children and found a growth trends toward accentuated Class III profile and increased vertical facial dimension towards the late developmental stages.

Our results clearly showed that soft tissue dynamics might contribute to the development of Class III malocclusions during early postnatal development. In other words, the data obtained in the present study soft tissue structure of the class III subjects is a contributing factor in development of features of class III malocclusion, although this relationship has complexity in nature. Supporting our results, Kasai\textsuperscript{18} noted that there was a strong but complex relationship between the hard and soft tissue profile changes.

Patients and orthodontists have considered soft tissue facial profile as an imperative objective of the orthodontic/orthopedic therapy in patients with Class III malocclusion. In the present study, Class III children showed more retrognathic facial appearance in the maxillary and upper lip area. Findings of the recent studies on three-dimensional face analyses of the children aged 5-6\textsuperscript{19} years or 7-8 years\textsuperscript{4} showed that Class III faced children mainly had concave facial profile, retruded maxilla, protruded mandible, retrusive mid-face restricted area compared to the Class I faces.

According to the our results, forward growth stimulation and consequently moving the maxilla forward with external force exerted by orthopedic treatment methods such as
maxillary protraction, accompanied by corresponding forward movement of the soft tissue of the midface, should be considered in treatment planning of Class III malocclusion in early stages of dentition.\textsuperscript{20,21}

**Conclusions**

Our findings indicated that soft and hard tissue characteristics of Class III subjects are distinct from Class I subjects in the middle region and lower third of the face. Orthopedic traction of mid-face arguably started earlier as a first treatment protocol to obtain balanced facial profile in Class III subjects.

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References


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accuracy of photocephalometry for skeletal Class III adult female patients treated with two-


Table 1. Results of Student’s t test showing between-group comparisons.

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Class III Group</th>
<th>Control Group</th>
<th>P value</th>
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<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>Std. Deviation</td>
<td>Mean</td>
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<tr>
<td>A-y (mm)</td>
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<td>Li-y (mm)</td>
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<td>B-y (mm)</td>
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<td>Pog’-y (mm)</td>
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<td>Li-E (mm)</td>
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<td>U1-SN (mm)</td>
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<td>Z angle (º)</td>
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<td>Nasolabial angle (º)</td>
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<td>Labiomenatal angle (º)</td>
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<td>IMPA (º)</td>
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<td>SN-GoMe (º)</td>
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<td>5.29</td>
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Figure Legends

Figure 1. Facial appearance of a case with Class III malocclusion
Figure 2. Facial appearance of a case with Class I occlusion.
Figure 3. Landmarks used in the study: S, sella; N, nasion; Or, orbitale; Ss, sulcus superior; Ls, labial superior; Li, labial inferior; Pog’, soft tissue pogonion; A, point A; B, point B; Me, menton; Go, gonion; Po, porion; Se, intersection of the greater wing of sphenoid bone with the floor of anterior cranial fossa; Ptm, the most inferior and posterior point on the anterior outline of pterygo-maxillary fissure; and Prn, pronasale.
Figure 4. Reference planes and linear and angular measurements used in the present study:

y -axis (PMV plane): A line passing through the Se and Ptm points.

E line (Ricketts’ aesthetic line): A line passing through nose tip (Prn) and soft tissue pogonion (Pog’).

Mandibular plane: A plane passing through gonion and menton.

Frankfort horizontal plane: A plane passing through porion and orbitale.

(9) U1 – SN, (10) Z angle, (11) nasolabial angle, (12) labiomental angle, (13) IMPA,
(14) SN-GoMe.