



Hidrotermal Karbonizasyon Yöntemiyle Biyokütle Bazlı Aktif Karbon Üretim Prosesinin Geliştirilmesi

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Öz

Bu çalışma, kayısı çekirdeği kabukları (KÇK) kullanılarak hidrotermal karbonizasyon ve kimyasal aktivasyon yöntemleriyle üretilen hidrokömürlerin ve aktif karbonların ayrıntılı karakterizasyonunu içeren bir süreç geliştirmeyi sunmaktadır. İlk olarak, KÇK öğütülerek işlenmiş, ardından 24, 36 ve 48 saat boyunca 240°C'de hidrotermal karbonizasyona tabi tutularak üç farklı hidrokömür elde edilmiştir. Daha sonra, bu hidrokömürler 3 saat boyunca KOH ile karıştırılmış ve aktif karbonlar elde etmek için 700°C'de 1 saat boyunca karbonizasyon işlemine tabi tutulmuştur. Aktif karbonların yapısal özelliklerini belirlemek için Brunauer-Emmett-Teller (BET) yüzey alanı analizi, Taramalı Elektron Mikroskopu (SEM) ve Enerji Dağılım Spektroskopisi (EDS), X-Işını Kırınımı (XRD) analizi ve Fourier-Transform Kızılötesi spektroskopisi (FTIR) ölçümleri gibi çeşitli karakterizasyon yöntemleri kullanılmıştır. Elde edilen sonuçlar, hidrotermal reaksiyon süresinin karbon içeriğini artırdığını ve gözenekli yapıların oluşumuna yol açtığını göstermektedir. Özellikle, SEM görüntülerinden de anlaşılacağı üzere, kimyasal aktivasyon sürecinin gözenek oluşumunda etkili olduğu görülmüştür. Sonuç olarak, bu çalışma hidrokömürlerin ve KÇK'den elde edilen aktif karbonların karakteristik özelliklerinin ayrıntılı bir tanımını sunmaktadır.

Anahtar kelimeler: Hidrotermal karbonizasyon, Aktif karbon, Kayısı çekirdeği kabuğu

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Development of a Production Process for Biomass-Based Activated Carbon via Hydrothermal Carbonization Method

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Abstract

This study presents a process development including detailed characterization of hydrochars and activated carbons produced by hydrothermal carbonization and chemical activation methods using apricot kernel shells (AKS). Initially, the AKS were processed by grinding, followed by subjecting them to hydrothermal carbonization at 240°C for 24, 36, and 48 hours, resulting in three distinct hydrochars. Subsequently, these hydrochars were mixed with KOH for 3 hours and subjected to a carbonization process at 700°C for 1 hour to obtain activated carbons. Various characterization methods such as Brunauer-Emmett-Teller (BET) surface area analysis, Scanning Electron Microscopy (SEM) and Energy Dispersive Spectroscopy (EDS), X-Ray Diffraction (XRD) analysis, and Fourier-Transform Infrared spectroscopy (FTIR) measurements were employed to determine the properties of the activated carbons. The results obtained indicate that the duration of the hydrothermal reaction increases the carbon content and leads to the formation of porous structures. Particularly, the chemical activation process was found to be effective in pore formation, as evident in SEM images. In conclusion, this study provides a detailed description of the characteristic properties of hydrochars, and activated carbons derived from AKS.

Keywords: Hydrothermal carbonization, Activated carbon, Apricot kernel shell

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1. Introduction

Türkiye is the largest producer of fresh and dried apricots in the world. Consequently, a substantial amount of cheap apricot kernel shells (AKS), obtained as waste in apricot production, is available. Approximately 25,000 tons of AKS are generated annually in Türkiye as industrial waste. Through the utilization of activation techniques, around 4,000 tons of high value activated carbon can be produced from this biomass [1]. In 2021, Malatya accounted for 48.7% of the total apricot production in Türkiye with 389,000 tons of fresh apricots. Following Malatya, Mersin contributed 20.3%, Iğdir .4%, Elazığ 3.9%, and Isparta 2.8% to the overall production [2]. Analysis of the AKS data used in our study indicates an annual yield of approximately 20,000 tons in the Malatya region [3]. Biomass structures can be converted into high-value components containing solid, liquid, and gaseous products using the hydrothermal method. The variation in specific properties depending on the temperature and pressure of water brings significant advantages to the processing of biomass with the hydrothermal method. During hydrothermal conversion, hot water, acting both as a solvent and as a reactive or catalytic agent, plays a crucial role. For instance, many cellulose-based biomasses are insoluble in water, but they can become soluble in supercritical water during the hydrothermal process. Additionally, another advantage of the hydrothermal method is its suitability as a thermochemical conversion method for biomass with high moisture content [4]. There are several studies in the literature regarding biomass waste, particularly AKS. L. Wang et al. synthesized activated carbon from apricot shell extract using chemical methods with the support of H_3PO_4 in a process, investigating the relationship between pore structure and electrochemical performance for supercapacitor applications [5]. In another proposed study by the same research group, activated carbon was produced from apricot shells using a one-step chemical synthesis method, and its supercapacitor performance was evaluated. The positive attributes contributed by the hierarchical pore structure in this study were presented in detail. The morphological structure of the activated carbon synthesized from AKS was demonstrated to provide a broad adsorption area, with micro-pores addressing the flow of electrolyte ions and storage capacity, and meso-pores and macro-pores serving as transport channels and buffer reservoirs for electrolyte ions, respectively [6]. Temirgaliyeva et al. prepared an active carbon and carbon nanotube-based composite material from three different biomass types, including AKS, proposing an electrode structure for use in hybrid supercapacitor [7]. Eom et al. analyzed the use of activated porous carbon obtained from spent black tea residues as long-lasting supercapacitor electrodes, emphasizing the suitability of its recyclable use [8]. Canbaz obtained active carbon electrodes through hydrothermal carbonization from hazelnut shell-derived biomass material and investigated their potential use for sodium-ion batteries [9].

This study pioneers a novel approach to the sustainable valorization of industrial biomass waste, specifically AKS, by utilizing the hydrothermal method to produce high value activated carbon especially for supercapacitor applications. **Our research stands out for its innovative contributions, which include:**

1. Innovative hydrothermal conversion process: We developed and optimized a unique hydrothermal conversion technique specifically designed for AKS. This method not only enhances the efficiency of biomass conversion but also improves the quality and functionality of the resulting activated carbon. Our process is distinguished by its ability to handle the high moisture content of biomass, which typically complicates biomass conversion efforts.

2. Detailed characterization and application of activated carbon: Our research provides an exhaustive characterization of the activated carbon produced, detailing its morphological properties. This contribution is significant as it bridges the gap between theoretical research and practical, commercial applications of activated carbons in supercapacitor technology.

These contributions not only advance the field of biomass waste management but also highlight the potential of agricultural waste to be transformed into economically valuable products, aligning with global sustainability goals and advancing green technology initiatives.

2. Material and Method

The AKS used as raw material in our study were obtained from the Elazığ. The shells were ground to 60 mesh sizes using a laboratory-type blender (Waring blender), and the resulting powdered shells were stored in a glass jar. The Potassium Hydroxide (KOH) with CAS number 1310-58-3 used in the activation process and Hydrochloric Acid (HCl) from the Biorad brand used in the washing process were commercially obtained. The raw material, AKS powder (N-Raw), utilized as biomass for the preparation of hydrochars through hydrothermal carbonization, was mixed with 40 mL of distilled water to achieve a ratio of 4 grams in a stainless-steel reactor (Fytronix FYHT-8000 model) equipped with a Teflon vessel. The hydrothermal apparatus, manufactured by Fytronix Electronics, comprises a temperature and time-controlled control panel and a hydrothermal reactor. The inner part of the stainless-steel reactor is designed to accommodate a 100 ml Teflon vessel. The reactor's lid features two valves and a digital pressure gauge to facilitate gas inlet and outlet. After placing the mixture in the Teflon vessel, hydrochars were produced under hydrothermal carbonization conditions at 240°C for 24, 36, and 48 hours, resulting in hydrochars produced under three different parameters. The obtained hydrochars were filtered using membrane filter paper, washed with distilled water, and dried in an oven at 100°C for 24 hours. One-third of the dried products were separated, stored in a glass sample dish, and labeled as N-H1, N-H2, and N-H3. The remaining products were impregnated with commercially obtained KOH in a 1:3 ratio at a temperature between 60-70°C for 3 hours for chemical activation. The KOH-loaded hydrochars were filtered, dried in an oven at 100°C for 24 hours, transferred to a ceramic crucible, and subjected to carbonization in a tube furnace at 700°C for 1 hour with a nitrogen flow rate of 300 cm³/min. The resulting activated carbons were then washed with HCl acid until reaching a pH of 6-7, followed by washing with distilled water and filtration. The filtered products were dried at 100°C for 24 hours. The obtained activated carbons were named N-A1, N-A2, and N-A3. Table 1 provides information on the samples and production parameters, while Figure 1 illustrates the production stages of the activated carbons.

Table 1. Samples and production parameters.

Sample Name	Hydrothermal Reaction Temperature (°C)	Hydrothermal Reaction Pressure (MPa)	Hydrothermal Reaction Time (hr)	Chemical Activation
N-H1	240	5-6	24	No Chemical Activation
N-H2	240	5-6	36	
N-H3	240	5-6	48	
N-A1	240	5-6	24	KOH
N-A2	240	5-6	36	
N-A3	240	5-6	48	

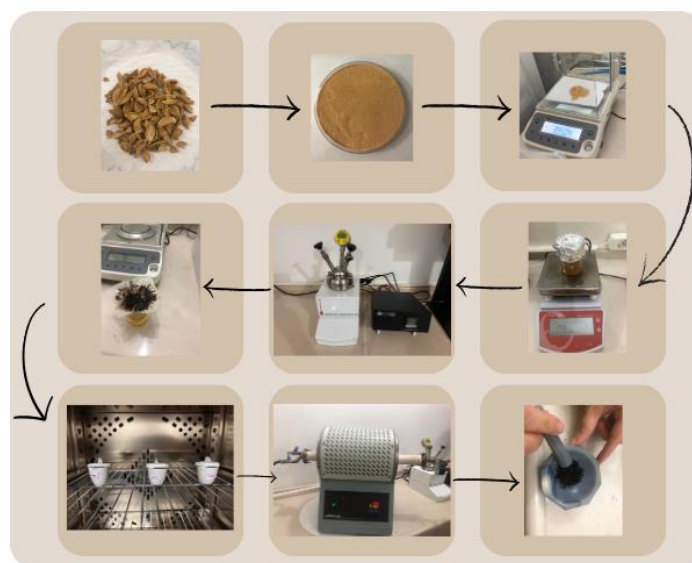


Figure 1. Activated carbon production stages.

To determine the surface area and pore size distribution of hydrochars and activated carbons, nitrogen adsorption was performed at -196°C using the Micromeritics ASAP 2020 instrument in the Department of Chemical Engineering at Firat University. The hydrochars and activated carbons were subjected to vacuum treatment at 150°C for 12 hours, and nitrogen adsorption/desorption isotherms were obtained in the relative pressure (P/P_0) range of 0-1. The surface area (SBET) of the adsorbents was determined using the Brunauer-Emmett-Teller (BET) method based on nitrogen adsorption/desorption isotherm data. For the structural characterization of untreated AKS, hydrochars, and activated carbons, Scanning Electron Microscopy (SEM) and Energy Dispersive Spectroscopy (EDS) analysis was conducted using the JEOL JSM-7001F model SEM instrument available at the KITAM Laboratory of Ondokuz Mayıs University. X-Ray Diffraction (XRD) analyses of the samples were carried out using the SmartLab X-RAY Diffractometer XRD instrument at the KITAM Laboratory of Ondokuz Mayıs University. XRD measurements were performed in the $2\theta = 20^{\circ} - 80^{\circ}$ angle range with values of 45 kV and 40 mA. To identify the functional bonds in the molecular structure of the samples, Fourier-Transform Infrared spectroscopy (FTIR) measurements were conducted using the Thermo SCIENTIFIC Nicolet iS5 Spectrophotometer apparatus in the Central Laboratory of Firat University (spectrum range $4000-400\text{ cm}^{-1}$).

3. Results and Discussion

BET surface area values of hydrochar and activated carbons produced by the hydrothermal carbonization method are given in Table 2. When examining the BET surface area values of hydrochars produced through hydrothermal reaction, it can be stated that the reaction duration has an impact on the surface area. Comparing the raw AKS sample (N-Raw) with the processed samples N-H1 to N-H3, the hydrothermal process increased the bet surface area. As the particle size of the material decreases, an increase in surface area occurs. The presence of a porous structure, as evident in the SEM images in Figure 2, supports this increase. The lower porosity of samples obtained through the hydrothermal carbonization of biomass explains their lower surface areas [10]. The BET surface areas of samples obtained from lignocellulosic biomass generally show similarities with the literature. The application of KOH activation to hydrochars results in an increase in surface areas [11], [12], [13]. The highest surface area value, $976.650\text{ m}^2/\text{g}$, was found in the N-A3 sample subjected to a 48-hour hydrothermal reaction and KOH activation. The activating agent plays a significant role in the development of the porous structure. In another study in the literature, samples obtained through hydrothermal carbonization at 280°C for 1 hour, 3 hours, and 6 hours showed an increase in BET surface area values from $46\text{ m}^2/\text{g}$ to $92\text{ m}^2/\text{g}$ with increasing reaction time. It was indicated that the trend of increased surface areas with extended reaction time is related to the surface roughness resulting from the transformation of cellulose, hemicellulose, and lignin present in lignocellulosic biomass [14], [15].

Table 2. BET surface area results of the samples

Sample Name	BET surface area (m^2/g)
N-Raw	4.2756
N-H1	5.8682
N-H2	20.7856
N-H3	80.6333
N-A1	637.970
N-A2	842.310
N-A3	976.650

SEM analysis was performed to evaluate the surface structures of AKS powder (N-Raw) without hydrothermal carbonization, hydrochar obtained by hydrothermal carbonization, and activated carbon samples with the activation process. SEM images provide important information about the physical morphology of the surface. The changes occurring on the surface and the micro and mesopores formed before and after the hydrothermal carbonization process applied to the raw apricot seed shell and after the carbonization process can be seen in the SEM images.

The SEM images of raw apricot kernel shell (N-Raw), samples subjected to hydrothermal reactions at 240°C for 24 hours, 36 hours, and 48 hours (N-H1, N-H2, and N-H3), and activated carbons named N-A1, N-A2, and N-A3 after carbonization are presented in Figure 2.

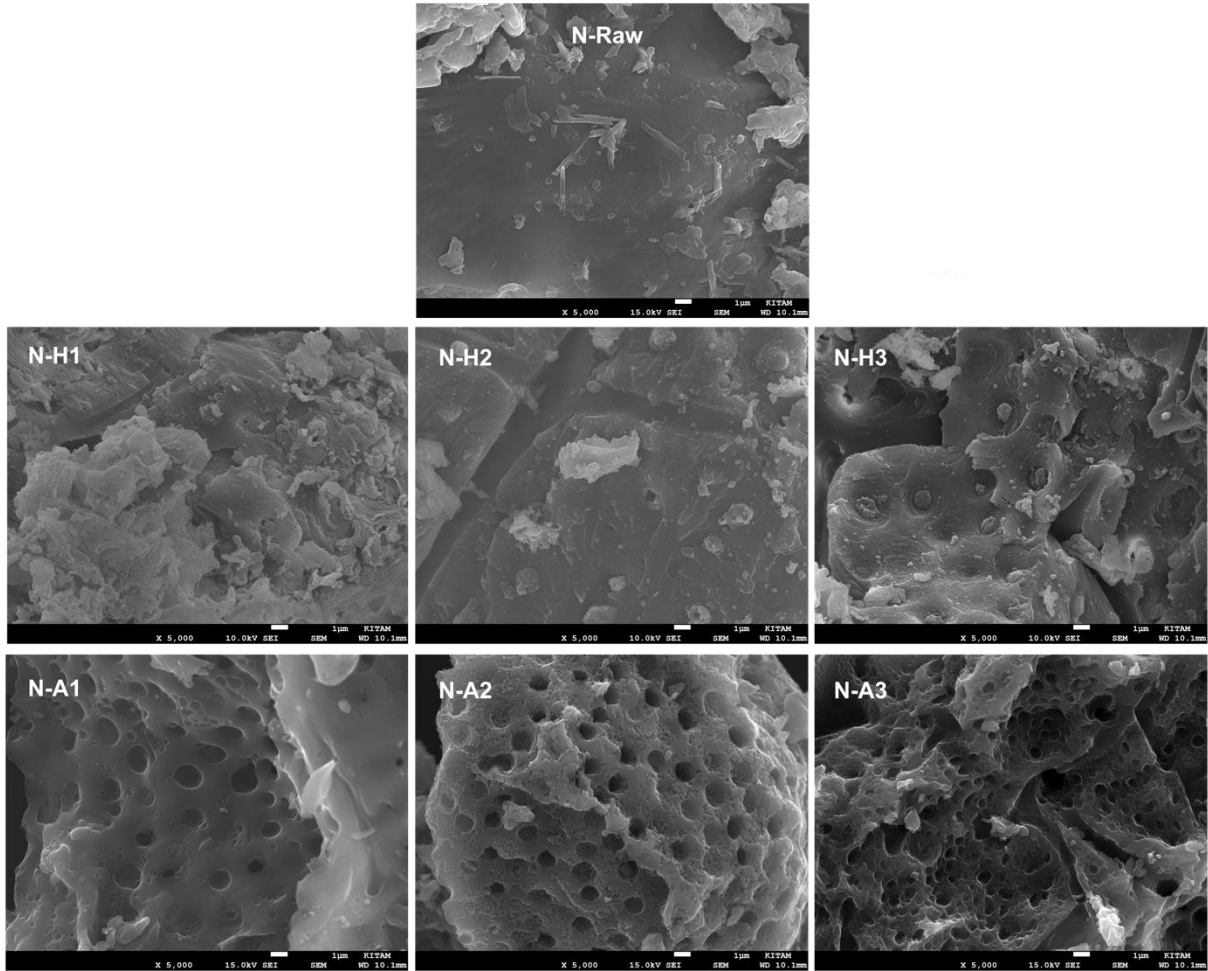


Figure 2. SEM images (5000x) of N-Raw, N-H1, N-H2, N-H3, N-A1, N-A2 and N-A3 samples

Upon examination of the SEM images of the N-Raw sample and the samples obtained after hydrothermal reaction, it is observed that N-H1 and N-H2 samples do not exhibit a porous structure, and the surface is smooth. However, a porous structure is observed in the N-H3 sample. When SEM images of activated carbons subjected to carbonization (N-A1, N-A2, and N-A3) are examined, porous structures are observed. In all samples, the pore structures are irregular and form a block-like void structure. The surfaces are uneven with protrusions and recesses. SEM images indicate that the chemical activation process applied after the hydrothermal reaction is effective in pore formation. KOH, as an activation agent, facilitated the faster degradation of the raw material structure during the carbonization process. During carbonization, the product's structure was significantly degraded through thermal decomposition, and many functional groups were removed from the structure.

As volatile substances were largely removed, a porous structure was obtained. Consistent with the literature findings, SEM images suggest that the activation method applied with KOH is effective in pore formation [16]. In another study in the literature, AKS, after chemical activation, exhibited pores mostly in the interparticle areas, and the surface was disrupted with strong $ZnCl_2$ activation, resulting in the formation of pores and cell configuration [17]. From the SEM images, it is evident that the hydrothermal carbonization method significantly affected the surface of the N-Raw sample. The obtained activated carbons clearly show pores formed on the surface in SEM images. The breaking of bonds in the raw material's structure and the separation of volatile compounds from the structure due to the influence of temperature and pressure result in the formation of pores, cracks, and cavities on the surface. The decomposition of hemicellulose, which is more easily broken down compared to cellulose, and the formation of a rough and even porous surface may

lead to increased surface roughness depending on the reaction temperature in reactions taking place in aqueous environments [18].

Conducting the EDS analyses, EDS maps of the N-H3 sample subjected to 240 °C for 48 hours of hydrothermal reaction to observe the effect of reaction time on the structure and the N-A3 sample subjected to activation with KOH are presented in Figures 3 and 4, respectively.

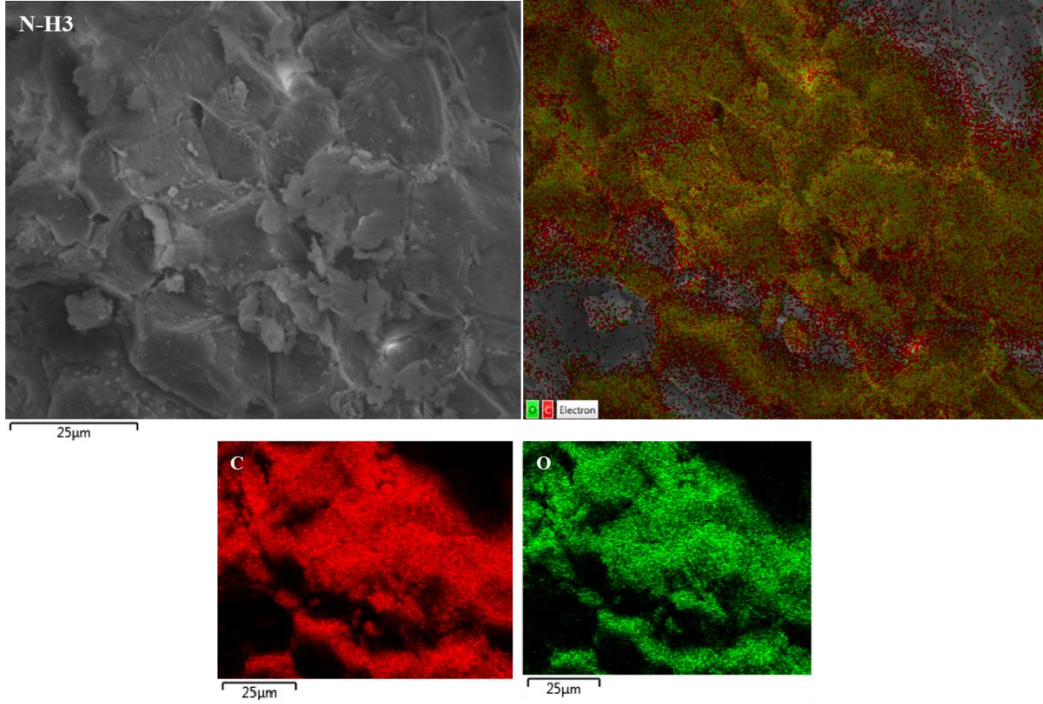


Figure 3. Elemental map of the N-H3 sample

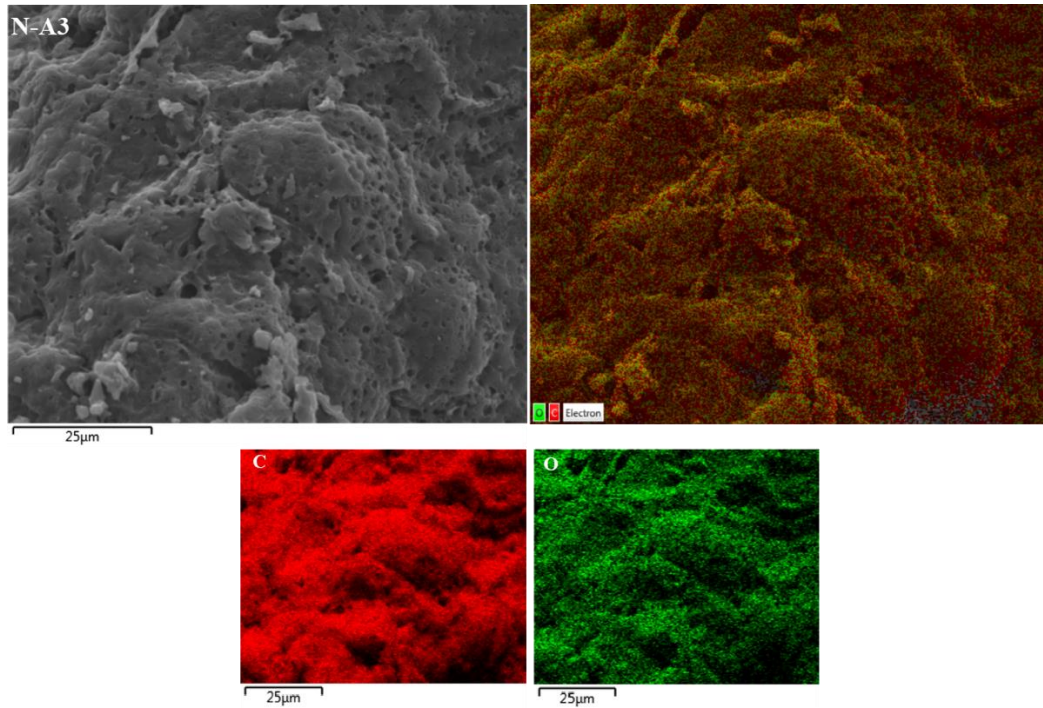


Figure 4. Elemental map of the N-A3 sample

Elemental analysis results for all samples are provided in Table 3. It is observed that as the hydrothermal reaction time increases, the carbon content increases and the oxygen content decreases in all samples obtained as a result of the hydrothermal reaction and carbonization process. During hydrothermal carbonization, organic materials transform into carbon, a process known as carbonization. This carbonization process can lead to an increase in carbon ratios as organic components turn into carbon. The increase in carbon content after the activation process is a result of the conversion of organic compounds into carbon. Under high temperature and pressure, hydrothermal conditions cause oxygen to react with water. In this case, oxygen molecules can combine with water to form volatile gases or other forms that can exit the system. This can result in a decrease in oxygen ratios. Additionally, chemical transformations of organic materials during hydrothermal carbonization can break the bonds between oxygen and organic components, leading to a decrease in oxygen ratios in these chemical transformations. Hydrothermal carbonization processes carried out at different durations can yield different results depending on the process duration. Longer processes result in increased carbonization and the removal of oxygen from the system.

Table 3. The weight-based elemental ratios of the samples

Sample	Weight Ratios of Elements (%)					
	C	O	Mg	K	Ca	S
N-Raw	59.83	36.66	0.18	1.55	0.69	1.09
N-H1	68.98	31.02	-	-	-	-
N-H2	69.13	30.87	-	-	-	-
N-H3	69.31	30.69	-	-	-	-
N-A1	83.44	16.01	0.31	-	0.24	-
N-A2	84.02	15.63	0.10	-	-	-
N-A3	88.14	11.86	-	-	-	-

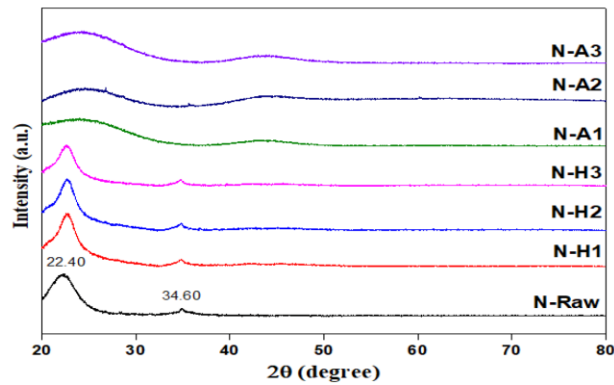


Figure 5. The XRD spectra of the samples

X-ray diffraction analyses were conducted to determine the changes in the crystal structure of AKS, hydrochars, and activated carbons. In Figure 5, X-ray diffraction patterns for these samples are presented. In the raw AKS biomass, two peaks are observed at $2\theta=22.40^\circ$ and $2\theta=34.60^\circ$. The XRD peak at $2\theta=22.40^\circ$ is characteristic of amorphous carbon, while the second, smaller peak around $2\theta=34.60^\circ$ can be attributed to the typical cellulose I structure, specifically to the (004) lattice plane. The presence of free hydroxyl groups, allowing for a regular crystal arrangement in cellulose, leads to intra- and intermolecular hydrogen bonding [19]. The structure of hydrochars obtained through hydrothermal reaction was found to be similar to the AKS sample, with the only difference being a slight broadening of the sharp peak. This broadening observed in the peaks after hydrothermal reaction at different durations indicates the initiation of structural degradation. Despite the carbon-based nature of our raw material, these broadened peaks suggest the onset of amorphous carbon formation. However, it is noted that the conditions of 240°C temperature and reaction durations of 24, 36, and 48 hours are insufficient for the complete elimination of cellulose's crystal structure. In samples activated with KOH at 700°C under an N_2 gas atmosphere, the peaks related to cellulose gradually broaden, indicating the formation of an amorphous structure. It is observed that the hydrothermal carbonization process induces chemical changes in the AKS powder, leading to the formation of an entirely different product.

The comparative FTIR spectra of raw AKS, hydrochars, and activated carbons are shown in Figure 6. The spectrum of the raw apricot kernel shell exhibits a broad band at 3722 cm^{-1} , attributed to the absorption of hydroxyl groups in polysaccharides [20], [21]. This result indicates that polysaccharides, including cellulose, hemicellulose, and lignin, are the main components of the apricot kernel shell. The bands at $3000\text{--}3600\text{ cm}^{-1}$ in raw AKS and hydrochar samples correspond to the O-H stretching vibrations of hydroxyl or carboxyl groups [22].

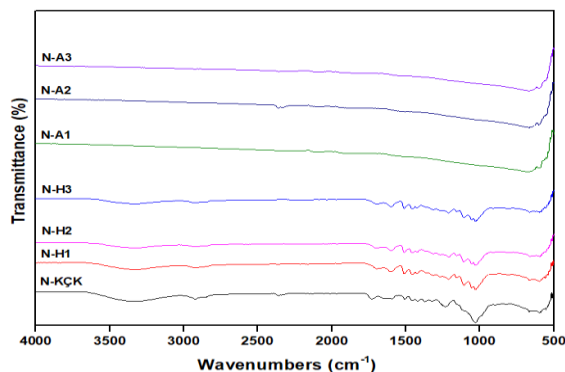


Figure 6. FTIR spectra of the samples

The two bands at 2923 and 2851 cm^{-1} in the raw AKS and hydrochar samples correspond to the asymmetric and symmetric stretching vibrations of methyl and methylene groups in cellulose, hemicellulose, and lignin [23]. The peak at 1734 cm^{-1} is attributed to the $\text{C}=\text{O}$ stretching vibration of ester groups in hemicellulose and lignin [24]. The peak at 1026 cm^{-1} in the spectrum of raw AKS and hydrochar samples is related to the β -glycosidic bond in cellulose and hemicellulose [25, 26]. The strong $\text{C}-\text{O}$ band at around 1100 cm^{-1} indicates the $\text{C}-\text{H}$ aromatic plane bending from the $-\text{OCH}_3$ in the lignin structure, while the bending of the aromatic plane at approximately 833 cm^{-1} is observed. In hydrochar samples, a weak peak at 3455 cm^{-1} indicates $-\text{OH}$ groups, possibly a result of phenolic-hydroxyl cleavage [19, 27]. After activation with KOH , some vibrations disappear, and some peaks shift. In the N-A2 sample, a $\text{C}=\text{C}$ stretching vibration of alkyne groups is observed at 2300 cm^{-1} , which is not present in other activated samples [28]. The peak at 1230 cm^{-1} , indicating the G (guaiacyl) unit in lignin, disappears after activation, suggesting the degradation of the lignin structure. The ester carbonyl groups at 1734 cm^{-1} in the spectrum of the raw sample are completely lost in the activated carbon samples due to decomposition at high operating temperatures [29]. The aromatic skeleton vibrations at 1585 and 1505 cm^{-1} observed in the spectrum of the raw sample, attributed to lignin, are completely absent in the activated carbon samples due to the transformation of phenyl chains [30, 31]. Additionally, the peak around 1026 cm^{-1} is almost absent in activated carbon samples, indicating the decomposition of hemicellulose and cellulose. The absence of a band at 1102 cm^{-1} in activated carbon samples also suggests the loss of $-\text{OCH}_3$ due to deoxygenation reactions [32, 33].

4. Conclusion

This study provides a detailed characterization of hydrochars and activated carbons produced through hydrothermal carbonization and chemical activation methods using AKS. Initially, AKS were ground and subjected to hydrothermal carbonization at 240°C for 24, 36, and 48 hours, resulting in three different hydrochars. Subsequently, these hydrochars were chemically activated with KOH , mixed for 3 hours, and carbonized at 700°C for 1 hour to obtain activated carbons. Various characterization methods, including BET surface area, SEM analysis, XRD analysis, and FTIR measurements, were employed to determine the properties of the activated carbons. The results indicated that the hydrothermal reaction duration increased carbon content and led to the formation of porous structures. Chemical activation with KOH further enhanced the surface area, particularly evident in SEM images. The study highlighted the effectiveness of the activation process in pore formation, as indicated by irregular pore structures observed in all activated carbon samples. SEM analysis was instrumental in evaluating the surface morphologies of raw AKS powder (N-Raw), hydrochars obtained through hydrothermal carbonization (N-H1, N-H2, and N-H3), and activated carbons (N-A1, N-A2, and N-A3). The images revealed a lack of porous structure in N-H1 and N-H2 samples, while a porous structure was observed in N-H3. Activated carbons displayed consistent irregular pore structures

with protrusions and recesses, emphasizing the effectiveness of the KOH activation process in pore formation. Elemental analysis results showed an increase in carbon content and a decrease in oxygen content with extended hydrothermal reaction time, indicating the transformation of organic materials into carbon. X-ray diffraction analyses revealed changes in the crystal structure, with hydrochars exhibiting amorphous carbon formation. Comparative FTIR spectra of raw AKS, hydrochars, and activated carbons demonstrated shifts and disappearances of peaks, reflecting chemical transformations during the hydrothermal carbonization and activation processes. The study concluded that hydrothermal carbonization and chemical activation significantly influenced the physical, surface, and chemical properties of hydrochars and activated carbons obtained from apricot kernel shells, showcasing their potential for diverse applications.

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6. Author Credit Statement

All authors contributed equally to this work in the conception of the idea, the design of the study, and conducting the literature review along with the acquisition of data, performing the analysis, and critically revising the manuscript for important intellectual content. Both authors collaborated closely in sourcing materials and resources needed for the study. Each author contributed significantly to the writing and editing of the manuscript, ensuring that the final version submitted for publication met all necessary criteria for accuracy and integrity.

7. Ethics Committee Approval and Conflict of Interest

“There is no conflict of interest with any person/institution in the prepared article”

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