# Investigation of Natural Frequencies of Piles Partially Embedded in Elastic Soil Using Transfer Matrix Method

# **B.** Bozyigit<sup>1\*</sup> and İ. Bozyigit<sup>2</sup>

<sup>1\*</sup> Faculty of Engineering, Department of Civil Engineering, Dokuz Eylul University, Izmir, Turkey. <sup>2</sup> Faculty of Engineering, Department of Civil Engineering, Ege University, Izmir, Turkey. (Corresponding Author's E-mail: *baran.bozyigit@deu.edu.tr*)

### ABSTRACT

This study aims to calculate exact natural frequencies of piles partially embedded in elastic soil by using transfer matrix method (TMM). The elastic soil is considered as Winkler foundation model using linear springs. The piles are modelled as Timoshenko beam-columns. The transfer matrices of piles are constructed after obtaining end forces and end displacements of piles using governing equation of motion of Timoshenko beam-columns. Different soil conditions are used to show their effects on natural frequencies of piles partially embedded in Winkler foundation. Moreover, the results are tabulated comparatively with finite element solutions that obtained from structural analysis software SAP2000.

**Keywords:** Natural frequency, partially embedded pile, transfer matrix method, winkler foundation.

# INTRODUCTION

Free vibration analysis of piles embedded in elastic soil plays an important role in civil engineering applications. Therefore, the calculation of exact natural frequencies of partially embedded piles becomes an interesting research area. Free vibration analysis of partially embedded piles is performed in limited number of literature. Catal (2006) investigated free vibrations of semi-rigid connected and partially embedded piles considering the effects of bending moment, axial force and shear force. Yesilce and Catal (2008a) calculated natural frequencies of partially embedded piles using shear theory via TMM. Yesilce and Catal (2008b) performed free vibration analysis of semi-rigid connected piles embedded in soil by using Reddy-Bickford beam theory and Winkler foundation model. Lu and Yuan (2014) investigated free vibrations of a periodic viaduct supported by pile foundations using boundary element method (BEM). Ai et al. (2016) researched vibrations of a partially embedded pile subjected to combined loads in saturated soil.

The TMM provides exact results for vibration analysis of beams and beam assembly structures. The TMM uses end forces and end displacement of members that obtained analytically. In open literature, several studies about application of TMM are found for continous beams (Lin and Chang, 2005; Ceaşu et al., 2010; Attar, 2012; Wu and Chang, 2015; Lee and Lee 2016; Lee and Lee, 2017; Lee and Lee, 2018).

In this study, first five exact natural frequencies of partially embedded piles are obtained by using TMM. The Winkler foundation model is used via linear springs along the embedded

region of pile. The pile segments are considered as Timoshenko beam-columns. Different Winkler spring stiffness values are used to observe the effects on the free vibrations of partially embedded piles. The exact TMM results are presented with finite element results of SAP2000.

### MODEL AND FORMULATION

The mathematical models of partially embedded piles considered in the study are presented in Figure 1 where  $k_s$  is Winkler spring stiffness, d is diameter of pile cross-section, 1,2 and 3 are node numbers,  $L_1$  and  $L_2$  are length of the free region and embedded region, respectively. The boundary conditions of Model-a and Model-b are fixed-free and fixed-simply supported, respectively.



**Figure 1.** Partially embedded pile with free cap (a) and partially embedded pile with simply supported cap (b)

Some assumptions are considered to clarify and simplificate the analysis procedure as follows:

- 1. The material of the pile is isotropic and homogenous.
- 2. The cross-section of the pile is uniform.
- 3. The pile behaves linear and elastic.
- 4. The damping is neglected.
- 5. The linear springs are distributed along the embedded pile length.

The governing equations of motion of vibrating Timoshenko beam-column embedded in elastic soil can be written as:

$$\frac{AG}{\overline{k}} \left( \frac{\partial^2 y(x,t)}{\partial x^2} - \frac{\partial \theta(x,t)}{\partial x} \right) - \overline{m} \frac{\partial^2 y(x,t)}{\partial t^2} - k_s y(x,t) = 0$$

$$EI \frac{\partial^2 \theta(x,t)}{\partial x^2} - \overline{m} \frac{I}{A} \frac{\partial^2 \theta(x,t)}{\partial t^2} + \frac{AG}{\overline{k}} \left( \frac{\partial y(x,t)}{\partial x} - \theta(x,t) \right) = 0$$
(1)

where x is axis of beam-column , t is time, A is cross-sectional area, I is area moment of inertia, G is shear modulus, E is Young's modulus,  $\overline{k}$  is shear coefficient,  $\overline{m}$  is mass per unit length, L is beam-column lenght, y(x,t) and  $\theta(x,t)$  are transverse deflection function and rotation function, respectively.

Eq.(2) is obtained by assuming the motion is harmonic and applying separation of variables method:

$$\frac{AG}{\overline{k}L^{2}}\frac{d^{2}y(z)}{dz^{2}} - \frac{AG}{\overline{k}L}\frac{d\theta(z)}{dz} + \overline{m}\omega^{2}y(z) - k_{s}y(z) = 0$$

$$\frac{EI}{L^{2}}\frac{d^{2}\theta(z)}{dz^{2}} + \frac{AG}{\overline{k}L}\frac{dy(z)}{dz} + \left(\frac{\overline{m}I\omega^{2}}{A} - \frac{AG}{\overline{k}}\right)\theta(z) = 0$$
(2)

where z=x/L and  $\omega$  is angular natural frequency.

The solution is assumed as:

$$y(z) = \left\{\overline{C}\right\} e^{isz}$$
  

$$\theta(z) = \left\{\overline{D}\right\} e^{isz}$$
(3)

By substituting Eq.(3) into Eq.(2), y(z) and  $\theta(z)$  are written as Eq.(4) and Eq.(5), respectively.

$$y(z) = (\overline{C}_1 e^{is_1 z} + \overline{C}_2 e^{is_2 z} + \overline{C}_3 e^{is_3 z} + \overline{C}_4 e^{is_4 z})$$
(4)

$$\theta(z) = (K_1 \overline{C}_1 e^{is_1 z} + K_2 \overline{C}_2 e^{is_2 z} + K_3 \overline{C}_3 e^{is_3 z} + K_4 \overline{C}_4 e^{is_4 z})$$
(5)

where  $K_p = \left(-\left(\frac{AG}{\overline{k}L^2}\right) + \left(\overline{m}\omega^2\right) - k_s\right) / \left(\left(\frac{AG}{\overline{k}L^2}\right)is_j\right); p=1,2,3,4; j=1,2,3,4.$ 

The bending moment function and shear force function are given in Eq.(6) and Eq.(7), respectively.

$$M(z) = \frac{EI}{L} \frac{d\theta(z)}{dz}$$
(6)

$$Q(z) = \frac{AG}{\overline{kL}} \frac{dy(z)}{dz} - \frac{AG}{\overline{k}} \theta(z)$$
(7)

The displacement functions written in Eqs. (4)-(5) and force functions written in Eqs.(6)-(7) are used to obtain transfer matrix formulations of embedded Timoshenko element pile. For the free part of the pile that is denominated as  $1^{st}$  Region,  $k_s$  is equated to zero.

## **TRANSFER MATRIX METHOD (TMM)**

For the 1<sup>st</sup> Region:

The state vector of node 1 can be can be written as Eq.(8):

$$\{\mathbf{Z}_{1}\} = [\mathbf{H}_{1}]\{\overline{\mathbf{B}}\}$$
(8)

where

 $\{Z_1\} = \{y_{(z=1)} \quad \theta_{(z=1)} \quad Q_{(z=1)} \quad M_{(z=1)}\}^T$  and  $[H_1]$  is 4x4 coefficient matrix that constructed by using end displacements and end forces of Timoshenko beam-column.

The state vector of node 2 can be can be written as Eqs.(9):

$$\{\mathbf{Z}_{21}\} = [\mathbf{H}_2]\{\overline{\mathbf{B}}\}$$
<sup>(9)</sup>

where

 $\{Z_{21}\} = \{y_{(z=0)} \quad \theta_{(z=0)} \quad Q_{(z=0)} \quad M_{(z=0)}\}^T$  and  $[H_2]$  is 4x4 coefficient matrix of Timoshenko beam-column.

By using the relation between Eqs.(8)-(9), the following equation can be obtained.

$$\{Z_{21}\} = [T_1]\{Z_1\}$$

$$[T_1] = [H_2][H_1]^{-1}$$

$$(10)$$

where  $[T_1]$  is transfer matrix of 1<sup>st</sup> Region.

For the 2<sup>nd</sup> Region:

The state vector of node 3 is given in Eq.(11):

$$\{\mathbf{Z}_3\} = [\mathbf{H}_3]\{\overline{\mathbf{C}}\} \tag{11}$$

where

 $\{Z_3\} = \{y_{(z=0)} \quad \theta_{(z=0)} \quad Q_{(z=0)} \quad M_{(z=0)}\}^T \text{ and } [H_4] \text{ is } 4x4 \text{ coefficient matrix that constructed by using end displacements and end forces of embedded Timoshenko beam-column.}$ 

The state vector of node 2 can be can be written as Eqs.(12):

$$\left\{ \mathbf{Z}_{22} \right\} = \left[ \mathbf{H}_4 \right] \left\{ \overline{\mathbf{C}} \right\} \tag{12}$$

where

 $\{Z_{22}\} = \{y_{(z=1)} \quad \theta_{(z=1)} \quad Q_{(z=1)} \quad M_{(z=1)}\}^{T}$  and  $[H_{4}]$  is 4x4 coefficient matrix of embedded Timoshenko beam-column.

By using the relation between Eqs.(11)-(12), the fequation below is obtained:

$$\{Z_3\} = [T_2] \{Z_{22}\}$$

$$[T_2] = [H_4] [H_3]^{-1}$$
(13)

where  $[T_2]$  is transfer matrix of 1<sup>st</sup> Region.

The global transfer matrix of the system is constructed as follows:

$$\{Z_1\} = [T_G]\{Z_3\}$$

$$[T_G] = [T_1][T_2]$$
(14)

where  $[T_G]$  is global transfer matrix of the partially embedded Timoshenko element pile.

The natural frequencies can be calculated by equating the determinant of the global transfer matrix to zero. It should be noted that the global transfer matrix of the system is reduced due to boundary conditions of Model-a and Model-b.

# NUMERICAL ANALYSIS AND DISCUSSION

The numerical analysis is performed according to following material and geometrical properties:  $L_1 = 4 \text{ m}$ ,  $L_2 = 10 \text{ m}$ , d = 0.8 m, unit weight of pile = 24.5 kN/m<sup>3</sup>,  $E = 2.94 \times 10^7 \text{ kN/m^2}$ ,  $G = 1.23 \times 10^7 \text{ kN/m^2}$ ,  $\overline{k} = 1.18$ .

The natural frequencies that obtained via TMM and finite element method (FEM) from SAP2000 commercial software are presented in Table 1 and Table 2 for Model-a and Modelb, respectively. It should be noted that the partially embedded pile is meshed using 0.1 m long segments in SAP2000.

		ks (kN/m)				
Method	Natural Frequency (Hz)	5000	10000	15000	20000	25000
TMM	1st	4.6876	5.7228	6.3591	6.8216	7.1876
FEM(SAP2000)		4.6913	5.7292	6.3677	6.8319	7.1994
TMM	2nd	14.9895	17.5638	19.8533	21.9133	23.7899
FEM(SAP2000)		15.0352	17.6128	19.9065	21.9712	23.8530
TMM	3rd	34.4394	35.4633	36.4642	37.4438	38.4040
FEM(SAP2000)		34.7205	35.7488	36.7538	37.7373	38.7010
TMM	4th	64.4551	64.9734	65.4910	66.0079	66.5242
FEM(SAP2000)		65.3907	65.9121	66.4325	66.9522	67.4711
TMM	5th	103.1076	103.4536	103.7996	104.1456	104.4915
FEM(SAP2000)		105.3402	105.6890	106.0377	106.3863	106.7349

 Table 1. First five natural frequencies of Model-a

**Table 2**. First five natural frequencies of Model-b

		ks (kN/m)					
Method	Natural Frequency (Hz)	5000	10000	15000	20000	25000	
TMM	1st	12.3231	15.1213	17.3972	19.3316	21.0158	

### Eurasian Journal of Civil Engineering and Architecture 2 (1)

FEM(SAP2000)		12.3379	15.1392	17.4186	19.3568	21.0454
TMM	Ind	28.3796	29.5583	30.7180	31.8639	32.9998
FEM(SAP2000)	Znd	28.5193	29.7002	30.8617	32.0090	33.1457
TMM	2nd	56.1067	56.7276	57.3513	57.9745	58.5981
FEM(SAP2000)	510	56.6801	57.3052	57.9309	58.5571	59.1834
TMM	1+h	92.7637	93.1687	93.5612	93.9588	94.3555
FEM(SAP2000)	4(N	94.2953	94.6981	95.1001	95.5014	95.9017
TMM	5th	136.8811	137.1361	137.3907	137.6649	137.8986
FEM(SAP2000)	Jui	140.0654	140.3239	140.5819	140.8396	141.0968

Tables 1-2 show that TMM results are in very good aggreement with FEM. According to results, the natural frequencies are increased by augmentation of  $k_s$  for both models. Tables 1-2 also reveal that Model-b provides higher natural frequencies in accordance with Model-a because of simpy supported end. Variation of fundamental frequencies of Model-a and Model-b is plotted in Figure 2 for different  $k_s$  values.



Figure 2. Variation of fundamental frequencies of partially embedded pile models for different  $k_s$  values

According to Figure 3, use of simple support instead of free end significantly effects the dynamic characteristics of partially embedded pile. Increasing  $k_s$  values decreases the effect of boundary conditions for  $2^{nd}$  mode and does not effect the  $3^{rd}$ ,  $4^{th}$  and  $5^{th}$  mode significantly. In discordance with higher modes, increment of  $k_s$  increases the effect of boundary condition on fundamental frequency significantly.



Figure 3. Increment of frequencies of partially embedded piles by using simply supported end instead of free end

# CONCLUSION

This study reveals the effects of Winkler spring stiffness on natural frequencies of partially embedded Timoshenko element piles using TMM and FEM comparatively. Different support conditions are used to reflect the influences on free vibration characteristics of partially embedded piles. The results show that TMM which provides exact results can be used effectively for vibrations of partially embedded Timoshenko beam-columns. The computer programs that prepared for analyses are working fast.

## REFERENCES

- Ai, Z. Y., Liu, C. H., Wang, L. J. & Wang, L. H. 2016. Vertical vibration of a partially embedded pile group in transversely isotropic soils. *Computers and Geotechnics*, 80, 107-114.
- Attar, M. 2012. A transfer matrix method for free vibration analysis and crack identification of stepped beams with multiple edge cracks and different boundary conditions. *International Journal of Mechanical Sciences*, **57**, 19-33.
- Catal, H. H. 2006. Free vibration of semi-rigid connected and partially embedded piles with the effects of the bending moment, axial and shear force. *Engineering and Structures*, 28, 1911-1918.
- Ceașu, V., Crafifalenau, A. & Dragomirescu, C. 2010. Transfer matrix method for forced vibrations of bars. *UPB Scientific Bulletin, Series D: Mechanical Engineering*, **2**, 35-42.
- Hu, A., Fu, P., Xia, C. & Xie, K. 2016. Lateral dynamic response of a partially embedded pile subjected to combined loads in saturated soil. *Marine Georesources&Geotechnology*, 35(6), 788-798.

- Lee, J. W. & Lee, J. Y. 2016. Free vibration analysis of functionally graded Bernoulli-Euler beams using an exact transfer matrix expression. *Computers and Structures*, **164**, 75-82.
- Lee, J. W. & Lee, J. Y. 2017. Free vibration analysis using the transfer-matrix method on a tapered beam. *International Journal of Mechanical Sciences*, **122**, 1-17.
- Lee, J. W. & Lee, J. Y. 2018. An exact transfer matrix expression for bending vibration analysis of a rotating tapered beam. *Applied Mathematical Modelling*, **53**, 167-188.
- Lin, H. & Chang, S. C. 2005. Free vibration analysis of multi-span beams with intermediate flexible constraints. *Journal of Sound and Vibration*, **281**, 155-169.
- Lu, J. & Luan, H. 2014. Free Vibration Analysis of a Periodic Viaduct Supported by Pile Foundations. *Journal of Bridge Engineering*, **19**(11), 04014049.
- Wu, J. & Chang, B. 2015. Free vibration of axial-loaded multi-step Timoshenko beam carrying arbitrary concentrated elements using continuous-mass transfer matrix method. *European Journal of Mechanics / A Solids*, 38, 20-37.
- Yesilce, Y. & Catal, H. H. 2008a. Free vibration of piles embedded in soil having different modulus of subgrade reaction. *Applied Mathematical Modelling*, **32**(5), 889-900.
- Yesilce, Y. & Catal, H. H. 2008b. Free vibration of semi-rigid connected Reddy-Bickford piles embedded in elastic soil. *Sadhana*, **33**(6), 781-801.