

## On $m$ -quasi class $\mathcal{A}(k^*)$ and absolute- $(k^*, m)$ -paranormal operators

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### Abstract

In this paper, we introduce a new class of operators, called  $m$ -quasi class  $\mathcal{A}(k^*)$  operators, which is a superclass of hyponormal operators and a subclass of absolute- $(k^*, m)$ -paranormal operators. We will show basic structural properties and some spectral properties of this class of operators. We show that if  $T$  is  $m$ -quasi class  $\mathcal{A}(k^*)$ , then  $\sigma_{np}(T) \setminus \{0\} = \sigma_p(T) \setminus \{0\}$ ,  $\sigma_{na}(T) \setminus \{0\} = \sigma_a(T) \setminus \{0\}$  and  $T - \mu$  has finite ascent for all  $\mu \in \mathbb{C}$ . Also, we consider the tensor product of  $m$ -quasi class  $\mathcal{A}(k^*)$  operators.

Dedicated to the memory of Professor Takayuki Furuta with deep gratitude.

**Keywords:**  $m$ -quasi class  $\mathcal{A}(k^*)$ , absolute- $(k^*, m)$ -paranormal.

*Mathematics Subject Classification (2010):* Primary 47B20; Secondary 47A80, 47B37

*Received :* 23.01.2017 *Accepted :* 16.05.2017 *Doi :* 10.15672/HJMS.2018.630

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## 1. Introduction

Let  $H$  be an infinite dimensional complex Hilbert space and  $L(H)$  be the set of all bounded operators on  $H$ . For  $T \in L(H)$ , we denote by  $\ker T$  the null space and by  $T(H)$  the range of  $T$ . The closure of a set  $M$  will be denoted by  $\overline{M}$ . An operator  $T \in L(H)$  is said to be positive  $T \geq 0$  if  $\langle Tx, x \rangle \geq 0$  for all  $x \in H$ . We write  $r(T) = \lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}}$  for the spectral radius. It is well known that  $r(T) \leq \|T\|$ . An operator  $T$  is called a normaloid operator if  $r(T) = \|T\|$ .

An operator  $T$  is said to be paranormal if  $\|T^2x\| \geq \|Tx\|^2$  for every unit vector  $x \in H$  ([6]). Also,  $T$  is said to be a  $*$ -paranormal operator if  $\|T^2x\| \geq \|T^*x\|^2$  for every unit vector  $x \in H$  ([4]).

In [7], Furuta, Ito and Yamazaki introduced a class  $\mathcal{A}(k)$  operator  $T$  with  $k > 0$  defined as

$$\left(T^*|T|^{2k}T\right)^{\frac{1}{k+1}} \geq |T|^2$$

(for  $k = 1$  it defines the class  $\mathcal{A}$  operator). The set of class  $\mathcal{A}(1)$  operators includes log-hyponormal operators by Theorem 2 of [7] and paranormal operators by Theorem 1 of [7]. In [7], an absolute- $k$ -paranormal operator  $T$  with  $k > 0$  was introduced as

$$\left\| |T|^kTx \right\| \geq \|Tx\|^{k+1}$$

for every unit vector  $x \in H$ . Every class  $\mathcal{A}(k)$  operator with  $k > 0$  is an absolute- $k$ -paranormal operator by Theorem 2 of [7].

An operator  $T$  is said to be a class  $\mathcal{A}(k^*)$  operator with  $k > 0$  if

$$\left(T^*|T|^{2k}T\right)^{\frac{1}{k+1}} \geq |T^*|^2.$$

In case where  $k = 1$  it defines class  $\mathcal{A}^*$  operators. Every class  $\mathcal{A}^*$  operator is a  $*$ -paranormal operator by Theorem 1.3 of [5].

In paper [13], an absolute- $k^*$ -paranormal operator  $T$  with  $k > 0$  was introduced as follows:

$$\left\| |T|^kTx \right\| \geq \|T^*x\|^{k+1}$$

for every unit vector  $x \in H$ . Every class  $\mathcal{A}(k^*)$  operator is an absolute- $k^*$ -paranormal operator by Theorem 2.4 of [13].

**1.1. Lemma.** [12, Hölder-McCarthy's inequality] *Let  $T$  be a positive operator. Then the following inequalities hold for all  $x \in H$ :*

- (1)  $\langle T^r x, x \rangle \leq \langle Tx, x \rangle^r \|x\|^{2(1-r)}$  for  $0 < r < 1$ ,
- (2)  $\langle T^r x, x \rangle \geq \langle Tx, x \rangle^r \|x\|^{2(1-r)}$  for  $r \geq 1$ .

**1.2. Lemma.** [9, Hansen's inequality] *If  $A, B \in L(H)$  satisfy  $A \geq 0$  and  $\|B\| \leq 1$ , then*

$$(B^*AB)^\delta \geq B^*A^\delta B \text{ for all } \delta \in (0, 1].$$

## 2. Definition and examples

**2.1. Definition.** Let  $k > 0$  and  $m$  be a non-negative integer. An operator  $T \in L(H)$  is said to be an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator (abbreviate  $\mathcal{Q}(\mathcal{A}(k^*), m)$ ) if

$$T^{*m} \left(T^*|T|^{2k}T\right)^{\frac{1}{k+1}} T^m \geq T^{*m}|T^*|^2T^m.$$

1-quasi class  $\mathcal{A}(k^*)$  operator is called a quasi class  $\mathcal{A}(k)^*$  operator. 1-quasi class  $\mathcal{A}(1^*)$  operator is called a quasi class  $\mathcal{A}^*$  operator. 0-quasi class  $\mathcal{A}(k^*)$  operator is called a class  $\mathcal{A}(k^*)$  operator and 0-quasi class  $\mathcal{A}(1^*)$  operator is called a class  $\mathcal{A}^*$  operator. If  $T$  is

an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator, then  $T$  is an  $(m + 1)$ -quasi class  $\mathcal{A}(k^*)$  operator. The inverse is not true as it can be seen below.

**2.2. Example.** Consider a unilateral weighted shift operator as an infinite dimensional Hilbert space operator. Recall that given a bounded sequence of positive numbers  $\alpha := \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \dots\}$  (called weights), a unilateral weighted shift  $W_\alpha$  associated with weight  $\alpha$  is defined by  $W_\alpha e_n = \alpha_n e_{n+1}$  for all  $n \geq 1$ , where  $\{e_n\}_{n=1}^\infty$  is the canonical orthonormal basis on  $l_2(\mathbb{N})$ , i.e.,

$$W_\alpha = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ \alpha_1 & 0 & 0 & 0 & 0 & \dots \\ 0 & \alpha_2 & 0 & 0 & 0 & \dots \\ 0 & 0 & \alpha_3 & 0 & 0 & \dots \\ 0 & 0 & 0 & \alpha_4 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

Then  $W_\alpha$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator if and only if

$$\alpha_{m+l+1}^2 \alpha_{m+l+2}^{2k} \geq \alpha_{m+l}^{2(k+1)} \text{ for all } l \in \mathbb{N} \cup \{0\}.$$

If  $\alpha_{m+1} \leq \alpha_{m+2} \leq \alpha_{m+3} \leq \alpha_{m+4} \leq \dots$  and  $\alpha_m > \alpha_{m+1}$ , then  $W_\alpha$  is an  $(m + 1)$ -quasi class  $\mathcal{A}(k^*)$  but it is not an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator. For example, if  $1 = \alpha_1 = \alpha_2 = \dots = \alpha_m$  and  $2 = \alpha_{m+1} = \alpha_{m+2} = \dots$ , then  $W_\alpha$  is an  $(m + 1)$ -quasi class  $\mathcal{A}(k^*)$  but  $W_\alpha$  is not an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator.

It is well known that every  $*$ -paranormal operator is normaloid by Theorem 1.1 of [4]. But an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator with  $m \geq 2$  need not be a normaloid operator: if  $\alpha_1 > \alpha_2 = \alpha_3 = \dots$ , then

$$\|W_\alpha\| = \alpha_1 \text{ and } r(W_\alpha) = \lim_{n \rightarrow \infty} \|W_\alpha^n\|^{\frac{1}{n}} = \alpha_2.$$

Now, we show that  $m$ -quasi class  $\mathcal{A}((k + 1)^*)$  and  $(m + 1)$ -quasi class  $\mathcal{A}(k^*)$  operator are independent.

**2.3. Example.** An example of a 1-quasi class  $\mathcal{A}(2^*)$  operator which is not a 2-quasi class  $\mathcal{A}(1^*)$  operator.

Let  $W_\alpha$  be a unilateral weighted shift operator with weighted sequence  $\{\alpha_n : n \in \mathbb{N}\}$ , given by the relation:

$$\alpha_n = \begin{cases} 1 & \text{if } n = 1 \\ \sqrt{2} & \text{if } n = 2 \\ 2 & \text{if } n = 3 \\ \sqrt[4]{3} & \text{if } n = 4 \\ 3 & \text{if } n \geq 5. \end{cases}$$

Simple calculations show that  $W_\alpha$  is a 1-quasi class  $\mathcal{A}(2^*)$  operator, but  $W_\alpha$  is not a 2-quasi class  $\mathcal{A}(1^*)$  operator.

**2.4. Example.** An example of a 2-quasi class  $\mathcal{A}(1^*)$  operator which is not a 1-quasi class  $\mathcal{A}(2^*)$  operator.

Let  $W_\alpha$  be a unilateral weighted shift operator with weighted sequence  $\{\alpha_n : n \in \mathbb{N}\}$ , given by the relation:

$$\alpha_n = \begin{cases} \sqrt[3]{2} & \text{if } n = 1 \\ \frac{1}{\sqrt{2}} & \text{if } n = 2 \\ \sqrt{2} & \text{if } n = 3 \\ 2 & \text{if } n = 4 \\ 4 & \text{if } n \geq 5. \end{cases}$$

Simple calculations show that  $W_\alpha$  is a 2-quasi class  $\mathcal{A}(1^*)$  operator, but  $W_\alpha$  is not a 1-quasi class  $\mathcal{A}(2^*)$  operator.

Given a bounded sequence of complex numbers  $\alpha := \{\alpha_n : n \in \mathbb{Z}\}$  (called weights), let  $T_\alpha$  be a bilateral weighted shift defined by  $T_\alpha e_n = \alpha_n e_{n+1}$  for all  $n \in \mathbb{Z}$  on  $H = l_2(\mathbb{Z})$  with the canonical orthonormal basis  $\{e_n : n \in \mathbb{Z}\}$ . Based on the definition of the  $m$ -quasi class  $\mathcal{A}(k^*)$  operators the following facts are valid:

**2.5. Lemma.** *Let  $T_\alpha$  be a bilateral weighted shift operator defined as above with weights  $\{\alpha_n : n \in \mathbb{Z}\}$ . Then  $T_\alpha$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator if and only if*

$$|\alpha_{n+m}|^2 \cdot |\alpha_{n+m+1}|^{2k} \geq |\alpha_{n+m-1}|^{2(k+1)},$$

for all  $n \in \mathbb{Z}$  and  $m \in \mathbb{N} \cup \{0\}$ .

A subspace  $M$  of  $H$  is said to be a nontrivial invariant subspace of  $T$  if  $\{0\} \neq M \neq H$  and  $T(M) \subseteq M$ .

**2.6. Theorem.** *Let  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$  and  $T$  does not have a dense range. Then*

$$T = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \quad \text{on } H = \overline{T^m(H)} \oplus \ker(T^{*m}),$$

where  $A = T|_{\overline{T^m(H)}}$  is a class  $\mathcal{A}(k^*)$  operator on  $\overline{T^m(H)}$ ,  $C^m = 0$  and  $\sigma(T) = \sigma(A) \cup \{0\}$ .

*Proof.* Since  $\overline{T^m(H)} \subsetneq H$  is an invariant subspace of  $T$ ,  $T$  can be written in

$$T = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \quad \text{on } H = \overline{T^m(H)} \oplus \ker(T^{*m}).$$

Let  $P = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  be the orthogonal projection of  $H$  onto  $\overline{T^m(H)}$ . Then  $\begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} = TP = PTP$ . Since  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$ , we have

$$P \left( (T^*|T|^{2k}T)^{\frac{1}{k+1}} - |T^*|^2 \right) P \geq O.$$

By Hansen's inequality, we have

$$\begin{aligned} \begin{pmatrix} |A^*|^2 & 0 \\ 0 & 0 \end{pmatrix} &\leq \begin{pmatrix} |A^*|^2 + |B^*|^2 & 0 \\ 0 & 0 \end{pmatrix} \\ &= P|T^*|^2 P \leq P \left( T^*|T|^{2k}T \right)^{\frac{1}{k+1}} P \\ &\leq \left( PT^*|T|^{2k}TP \right)^{\frac{1}{k+1}} = \left( PT^*P|T|^{2k}PTP \right)^{\frac{1}{k+1}}. \end{aligned}$$

Also, by Hansen's inequality, we have  $P|T|^{2k}P \leq (P|T|^2P)^k$  and

$$PT^*P|T|^{2k}PTP \leq PT^*(P|T|^2P)^kTP.$$

By Löwner–Heinz's inequality we have

$$\left( PT^*P|T|^{2k}PTP \right)^{\frac{1}{k+1}} \leq \left( PT^*(P|T|^2P)^kTP \right)^{\frac{1}{k+1}}.$$

So, we have

$$\begin{aligned} \begin{pmatrix} |A^*|^2 & 0 \\ 0 & 0 \end{pmatrix} &\leq P|T^*|^2P \\ &\leq (PT^*P|T|^{2k}PTP)^{\frac{1}{k+1}} \leq (PT^*(P|T|^2P)^kTP)^{\frac{1}{k+1}} \\ &= \begin{pmatrix} A^*|A^*|^{2k}A & 0 \\ 0 & 0 \end{pmatrix}^{\frac{1}{k+1}} = \begin{pmatrix} (A^*|A^*|^{2k}A)^{\frac{1}{k+1}} & 0 \\ 0 & 0 \end{pmatrix}. \end{aligned}$$

Hence  $A$  is a class  $\mathcal{A}(k^*)$  operator on  $\overline{T^m(H)}$ .

Let  $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in H = \overline{T^m(H)} \oplus \ker(T^{*m})$ . Then,

$$\langle C^m x_2, x_2 \rangle = \langle T^m(I - P)x, (I - P)x \rangle = \langle (I - P)x, T^{*m}(I - P)x \rangle = 0,$$

thus  $C^m = 0$ .

By Corollary 7 of [8],  $\sigma(A) \cup \sigma(C) = \sigma(T) \cup \vartheta$  where  $\vartheta$  is the union of the holes in  $\sigma(T)$ , which happen to be a subset of  $\sigma(A) \cap \sigma(C)$ . Since  $\sigma(C) = \{0\}$ ,  $\sigma(A) \cap \sigma(C)$  has no interior point. Therefore  $\sigma(T) = \sigma(A) \cup \sigma(C) = \sigma(A) \cup \{0\}$ .  $\square$

**2.7. Theorem.** *Let  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$  and  $M$  be an invariant subspace of  $T$ . Then the restriction  $T|_M$  of  $T$  to  $M$  is also a  $\mathcal{Q}(\mathcal{A}(k^*), m)$  operator.*

*Proof.* We can represent  $T$  as

$$T = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \quad \text{on} \quad H = M \oplus M^\perp$$

where  $A = T|_M$ . Let  $P = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  be the orthogonal projection of  $H$  onto  $M$ . Then we have

$$\begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} = TP = PTP.$$

Since  $T$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator, we have

$$T^{*m} \left( (T^*|T|^{2k}T)^{\frac{1}{k+1}} - |T^*|^2 \right) T^m \geq 0.$$

We remark

$$\begin{aligned} PT^{*m}|T^*|^2T^mP &= PT^{*m}P|T^*|^2PT^mP = PT^{*m}PTT^*PT^mP \\ &= \begin{pmatrix} A^{*m}|A^*|^2A^m + |B^*A^m|^2 & 0 \\ 0 & 0 \end{pmatrix} \geq \begin{pmatrix} A^{*m}|A^*|^2A^m & 0 \\ 0 & 0 \end{pmatrix}. \end{aligned}$$

By Hansen's inequality, we have

$$\begin{aligned}
PT^{*m} \left( T^* |T|^{2k} T \right)^{\frac{1}{k+1}} T^m P &= PT^{*m} P \left( T^* |T|^{2k} T \right)^{\frac{1}{k+1}} PT^m P \\
&\leq PT^{*m} \left( PT^* |T|^{2k} TP \right)^{\frac{1}{k+1}} T^m P \\
&= PT^{*m} \left( PT^* P |T|^{2k} PTP \right)^{\frac{1}{k+1}} T^m P \\
&\leq PT^{*m} \left( PT^* P (PT^* TP)^k PTP \right)^{\frac{1}{k+1}} T^m P \\
&= \begin{pmatrix} A^{*m} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} A^* |A|^{2k} A & 0 \\ 0 & 0 \end{pmatrix}^{\frac{1}{k+1}} \begin{pmatrix} A^m & 0 \\ 0 & 0 \end{pmatrix} \\
&= \begin{pmatrix} A^{*m} (A^* |A|^{2k} A)^{\frac{1}{k+1}} A^m & 0 \\ 0 & 0 \end{pmatrix}
\end{aligned}$$

Hence

$$\begin{aligned}
\begin{pmatrix} A^{*m} (A^* |A|^{2k} A)^{\frac{1}{k+1}} A^m & 0 \\ 0 & 0 \end{pmatrix} &\geq PT^{*m} \left( T^* |T|^{2k} T \right)^{\frac{1}{k+1}} T^m P \\
&\geq PT^{*m} |T^*|^2 T^m P \geq \begin{pmatrix} A^{*m} |A^*|^2 A^m & 0 \\ 0 & 0 \end{pmatrix}.
\end{aligned}$$

Thus  $A$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator on  $M$ .  $\square$

### 3. On absolute- $(k^*, m)$ -paranormal operator

**3.1. Definition.** Let  $k > 0$  and  $m$  be a non-negative integer. An operator  $T \in L(H)$  is said to be an absolute- $(k^*, m)$ -paranormal operator if

$$\| |T^*| T^m x \|^{k+1} \leq \| |T|^k T^{m+1} x \| \| |T^m x \|^k \quad \text{for } x \in H.$$

An absolute- $(k^*, 0)$ -paranormal operator is called an absolute- $k^*$ -paranormal operator. If  $T$  is an absolute- $(k^*, m)$ -paranormal operator, then we have  $T$  is an absolute- $(k^*, m+1)$ -paranormal operator by taking  $x = Tz$  in the definition.

**3.2. Lemma.** For positive real numbers  $a > 0$  and  $b > 0$ ,

$$\lambda a + \mu b \geq a^\lambda b^\mu$$

holds for  $\lambda > 0$  and  $\mu > 0$  such that  $\lambda + \mu = 1$ .

**3.3. Theorem.** Let  $k > 0$  and  $m$  be a non-negative integer. Then an operator  $T \in L(H)$  is an absolute- $(k^*, m)$ -paranormal operator if and only if

$$T^{*(m+1)} |T|^{2k} T^{m+1} - (k+1) \lambda^k T^{*m} |T^*|^2 T^m + k \lambda^{k+1} T^{*m} T^m \geq 0 \quad \text{for all } \lambda > 0.$$

*Proof.* Suppose  $T$  is an absolute- $(k^*, m)$ -paranormal operator. Then

$$(3.1) \quad \| |T^*| T^m x \| \leq \| |T|^k T^{m+1} x \|^{\frac{1}{k+1}} \| |T^m x \|^{\frac{k}{k+1}}.$$

Using Lemma 3.2, we have

$$\begin{aligned}
\langle T^{*m} |T^*|^2 T^m x, x \rangle &\leq \left\langle T^{*(m+1)} |T|^{2k} T^{m+1} x, x \right\rangle^{\frac{1}{k+1}} \langle T^{*m} T^m x, x \rangle^{\frac{k}{k+1}} \\
&= \left\{ \frac{1}{\lambda^k} \left\langle T^{*(m+1)} |T|^{2k} T^{m+1} x, x \right\rangle \right\}^{\frac{1}{k+1}} \{ \lambda \langle T^{*m} T^m x, x \rangle \}^{\frac{k}{k+1}} \\
&\leq \frac{1}{k+1} \frac{1}{\lambda^k} \left\langle T^{*(m+1)} |T|^{2k} T^{m+1} x, x \right\rangle + \frac{k}{k+1} \lambda \langle T^{*m} T^m x, x \rangle
\end{aligned}$$

for all  $x \in H$  and  $\lambda > 0$ . Hence

$$(3.2) \quad T^{*(m+1)}|T|^{2k}T^{m+1} - (k+1)\lambda^k T^{*m}|T^*|^2 T^m + k\lambda^{k+1} T^{*m} T^m \geq 0.$$

Conversely, we assume (3.2). If  $T^m x = 0$ , then (3.1) is trivial. Hence we may assume  $T^m x \neq 0$ . If  $\langle T^{*(m+1)}|T|^{2k}T^{m+1}x, x \rangle > 0$ , put

$$\lambda = \left( \frac{\langle T^{*(m+1)}|T|^{2k}T^{m+1}x, x \rangle}{\langle T^m x, T^m x \rangle} \right)^{\frac{1}{k+1}} > 0$$

in (3.3), i.e.,

$$(3.3) \quad \langle T^{*(m+1)}|T|^{2k}T^{m+1}x, x \rangle - (k+1)\lambda^k \langle T^{*m}|T^*|^2 T^m x, x \rangle + k\lambda^{k+1} \langle T^{*m} T^m x, x \rangle \geq 0.$$

Then we have (3.1). If  $\langle T^{*(m+1)}|T|^{2k}T^{m+1}x, x \rangle = 0$ , we have

$$0 - (k+1)\langle T^{*m}|T^*|^2 T^m x, x \rangle + k\lambda \langle T^{*m} T^m x, x \rangle \geq 0 \quad \text{for all } \lambda > 0$$

by (3.3). By letting  $\lambda \rightarrow +0$ , we have  $\langle T^{*m}|T^*|^2 T^m x, x \rangle = 0$  and we gain (3.1). □

**3.4. Theorem.** *If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$ , then  $T$  is an absolute- $(k^*, m)$ -paranormal operator. The converse is not true.*

*Proof.* Suppose  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$ . From Hölder-McCarthy's inequality, we have

$$\begin{aligned} \| |T^*| T^m x \|^2 &= \langle T^{*m} |T^*|^2 T^m x, x \rangle \\ &\leq \left\langle T^{*m} \left( T^* |T|^{2k} T \right)^{\frac{1}{k+1}} T^m x, x \right\rangle \\ &\leq \left\langle T^{*m} (T^* |T|^{2k} T) T^m x, x \right\rangle^{\frac{1}{k+1}} \| T^m x \|^{\frac{2k}{k+1}} \\ &= \| |T|^k T^{m+1} x \|^{\frac{2}{k+1}} \| T^m x \|^{\frac{2k}{k+1}}. \end{aligned}$$

Hence  $T$  is an absolute- $(k^*, m)$ -paranormal operator. To prove that the converse is not true we will consider a following example. □

**3.5. Lemma.** *Let  $H = \bigoplus_{n=1}^{\infty} H_n$  where  $H_n = \mathbb{C}^2$ . Let  $A_j \in B(H_j)$  and define  $T \in B(H)$  as*

$$T = \begin{pmatrix} 0 & 0 & 0 & \dots \\ A_1 & 0 & 0 & \dots \\ 0 & A_2 & 0 & \dots \\ 0 & 0 & A_3 & \dots \\ \vdots & \vdots & & \ddots \end{pmatrix}.$$

Let  $k > 0$  and  $m$  be a non-negative integer. Then the following assertions hold:

(1)  $T$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator if and only if

$$(3.4) \quad \begin{aligned} &A_j^* A_{j+1}^* \cdots A_{j+m-1}^* \left( A_{j+m}^* |A_{j+m+1}|^{2k} A_{j+m} \right)^{\frac{1}{k+1}} A_{j+m-1} \cdots A_{j+1} A_j \\ &\geq A_j^* A_{j+1}^* \cdots A_{j+m-1}^* |A_m^*|^2 A_{j+m-1} \cdots A_{j+1} A_j \quad \text{for } j = 1, 2, \dots \end{aligned}$$

(2)  $T$  is an absolute- $(k^*, m)$ -paranormal operator if and only if

$$(3.5) \quad A_j^* \cdots A_{j+m-1}^* (A_{j+m}^* |A_{j+m+1}|^{2k} A_{j+m} - (k+1)\lambda^k |A_{j+m-1}^*|^2 + k\lambda^{k+1}) A_{j+m-1} \cdots A_j \geq 0$$

for  $j = 1, 2, \dots$ .

**3.6. Example.** Examples of  $m$ -quasi class  $\mathcal{A}(k^*)$  operators and an absolute- $(k^*, m)$ -paranormal operators.

Consider  $T = T(m, c)$  with  $0 < c < \sqrt{3}/4 = 0.433\dots$  as in Lemma 3.5 where  $0 < A_1 = A_2 = \dots = A_m = \begin{pmatrix} \frac{3}{4} & c \\ c & \frac{1}{4} \end{pmatrix}$  and  $0 < \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\sqrt{2}} \end{pmatrix} = A_{m+1} = A_{m+2} = \dots$ . Since every  $A_j$  is invertible, (3.4) means

$$\begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{2} \end{pmatrix} \geq \begin{pmatrix} \frac{3}{4} & c \\ c & \frac{1}{4} \end{pmatrix}.$$

Since

$$\begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{2} \end{pmatrix} - \begin{pmatrix} \frac{3}{4} & c \\ c & \frac{1}{4} \end{pmatrix} = \begin{pmatrix} \frac{1}{4} & -c \\ -c & \frac{1}{4} \end{pmatrix}$$

and

$$\begin{vmatrix} \frac{1}{4} & -c \\ -c & \frac{1}{4} \end{vmatrix} = \frac{1}{16} - c^2,$$

we have that  $T(m, c)$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator if  $0 < c \leq 0.25$  and  $T(m, c)$  is not an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator if  $0.25 < c < \sqrt{3}/4$ . Also,  $T(m, c)$  is an  $(m+1)$ -quasi class  $\mathcal{A}(k^*)$  operator for all  $0 < c < \sqrt{3}/4$ . On the otherhand (3.5) means

$$(3.6) \quad \begin{pmatrix} 1 - \frac{3}{4}(k+1)\lambda^k + k\lambda^{k+1} & -(k+1)\lambda^k c \\ -(k+1)\lambda^k c & (\frac{1}{2})^{k+1} - \frac{1}{4}(k+1)\lambda^k + k\lambda^{k+1} \end{pmatrix} \geq 0 \text{ for all } \lambda > 0.$$

Since

$$1 - \frac{3}{4}(k+1)\lambda^k + k\lambda^{k+1} > 0,$$

$$\left(\frac{1}{2}\right)^{k+1} - \frac{1}{4}(k+1)\lambda^k + k\lambda^{k+1} > 0 \text{ for all } \lambda > 0,$$

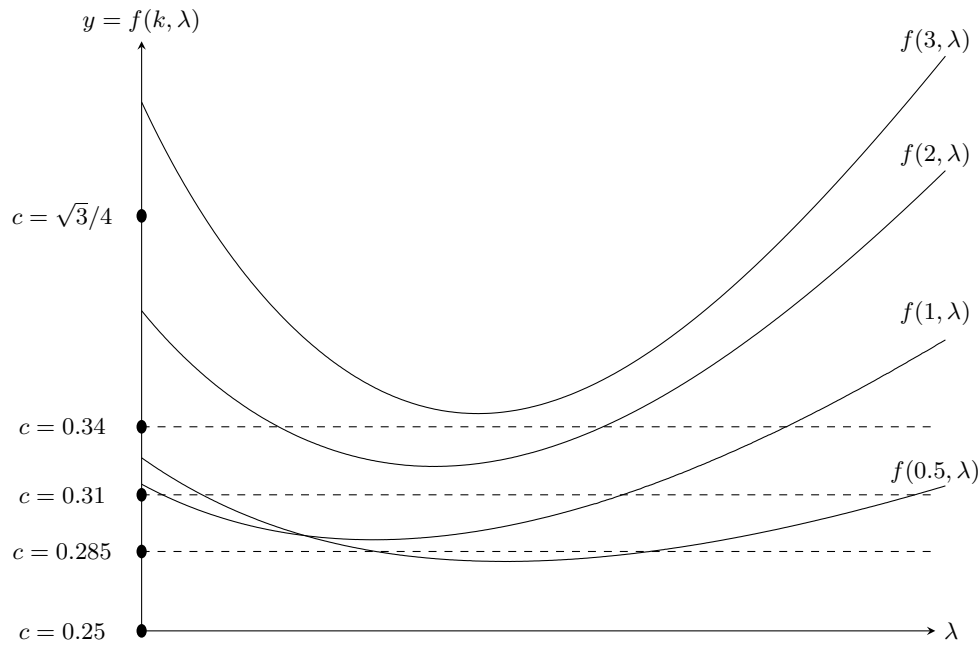
the inequality (3.6) means

$$(3.7) \quad \left| \begin{array}{cc} 1 - \frac{3}{4}(k+1)\lambda^k + k\lambda^{k+1} & -(k+1)\lambda^k c \\ -(k+1)\lambda^k c & (\frac{1}{2})^{k+1} - \frac{1}{4}(k+1)\lambda^k + k\lambda^{k+1} \end{array} \right| \geq 0 \text{ for all } \lambda > 0,$$

or equivalently,

$$(3.8) \quad f(k, \lambda) := \left( \frac{1}{(k+1)\lambda^k} - \frac{3}{4} + \frac{k\lambda}{k+1} \right)^{\frac{1}{2}} \left( \frac{1}{(k+1)2^{k+1}\lambda^k} - \frac{1}{4} + \frac{k\lambda}{k+1} \right)^{\frac{1}{2}} \geq c \text{ for all } \lambda > 0.$$





The above is graph of  $y = f(0.5, \lambda), f(1, \lambda), f(2, \lambda), f(3, \lambda)$ . Hence  $T(m, 0.285)$  is an absolute- $(1^*, m)$ -paranormal operator, but  $T(m, 0.285)$  is not an absolute- $(0.5^*, m)$ -paranormal operator. Also,  $T(m, 0.31)$  is an absolute- $(2^*, m)$ -paranormal operator, but  $T(m, 0.31)$  is not an absolute- $(1^*, m)$ -paranormal operator, and  $T(m, 0.34)$  is an absolute- $(3^*, m)$ -paranormal operator, but  $T(m, 0.34)$  is not an absolute- $(2^*, m)$ -paranormal operator.

### 4. Spectral properties

A complex number  $\lambda$  is said to be in the point spectrum  $\sigma_p(T)$  of  $T$  if there is a nonzero  $x \in H$  such that  $(T - \mu)x = 0$ . If in addition,  $(T - \mu)^*x = 0$ , then  $\mu$  is said to be in the normal point spectrum  $\sigma_{np}(T)$  of  $T$ . Clearly  $\sigma_{np}(T) \subseteq \sigma_p(T)$ . In general  $\sigma_{np}(T) \neq \sigma_p(T)$ . A complex number  $\mu$  is said to be in the approximate point spectrum  $\sigma_a(T)$  of  $T$  if there is a sequence  $\{x_n\}_{n=1}^\infty \subset H$  of unit vectors satisfying  $(T - \mu)x_n \rightarrow 0$  as  $n \rightarrow \infty$ . If in addition  $(T - \mu)^*x_n \rightarrow 0$  as  $n \rightarrow \infty$ , then  $\mu$  is said to be in the normal approximate point spectrum  $\sigma_{na}(T)$  of an operator  $T$ . Clearly  $\sigma_{na}(T) \subseteq \sigma_a(T)$ . In general  $\sigma_{na}(T) \neq \sigma_a(T)$ . Let  $\alpha(T) = \dim \ker(T)$  and  $\beta(T) = \dim \ker(T^*)$ .

**4.1. Theorem.** *Let  $0 < k \leq 1$  and  $m$  be a non-negative integer. If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  and  $(T - \mu)x = 0$  with  $\mu \neq 0$ , then  $(T - \mu)^*x = 0$ .*

*Proof.* We may assume that  $x \neq 0$ . Let  $M$  be a span of  $\{x\}$ . Then  $M$  is an invariant subspace of  $T$ . Let

$$T = \begin{pmatrix} \mu & B \\ 0 & C \end{pmatrix} \quad \text{on } H = M \oplus M^\perp.$$

From the Theorem 2.7 we have

$$\begin{aligned} \begin{pmatrix} |\mu|^{2m}(|\mu|^2 + |B^*|^2) & 0 \\ 0 & 0 \end{pmatrix} &= PT^{*m}|T^*|^2T^mP \\ &\leq PT^{*m} \left( PT^*P|T|^{2k}PTP \right)^{\frac{1}{k+1}} T^mP \\ &\leq PT^{*m} \left( PT^*P(P|T|^2P)^kPTP \right)^{\frac{1}{k+1}} T^mP \\ &= \begin{pmatrix} |\mu|^{2+2m} & 0 \\ 0 & 0 \end{pmatrix}. \end{aligned}$$

Hence  $B = 0$ . Thus

$$(T - \mu)^*x = \begin{pmatrix} 0 & 0 \\ 0 & C - \mu \end{pmatrix}^* \begin{pmatrix} x \\ 0 \end{pmatrix} = 0.$$

□

**4.2. Corollary.** *If  $T$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator with  $0 < k \leq 1$ , then  $\sigma_{np}(T) \setminus \{0\} = \sigma_p(T) \setminus \{0\}$ .*

**4.3. Corollary.** *If  $T$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator with  $0 < k \leq 1$ , then  $\alpha(T - \mu) \leq \beta(T - \mu)$  for all  $\mu \neq 0$ .*

**4.4. Theorem.** *Let  $0 < k \leq 1$  and  $m$  be a non-negative integer. If  $T \in \Omega(\mathcal{A}(k^*), m)$  and  $\gamma, \delta$  are nonzero numbers such that  $\gamma \neq \delta$ , then  $\ker(T - \gamma) \perp \ker(T - \delta)$ .*

*Proof.* Let  $x \in \ker(T - \gamma)$  and  $y \in \ker(T - \delta)$ . Then  $Tx = \gamma x$  and  $Ty = \delta y$ . Therefore

$$\gamma \langle x, y \rangle = \langle Tx, y \rangle = \langle x, T^*y \rangle = \langle x, \bar{\delta}y \rangle = \delta \langle x, y \rangle,$$

then  $\langle x, y \rangle = 0$ . Therefore,  $\ker(T - \gamma) \perp \ker(T - \delta)$ . □

**4.5. Theorem.** *Let  $0 < k \leq 1$  and  $m$  be a non-negative integer. If  $T \in \Omega(\mathcal{A}(k^*), m)$  and  $(T - \mu)x_n \rightarrow 0$  with  $\mu \neq 0$  and  $\|x_n\| = 1$ , then  $(T - \mu)^*x_n \rightarrow 0$ .*

*Proof.* By the assumption  $(T - \mu)x_n \rightarrow 0$ , from

$$T^l = (T - \mu + \mu)^l = \sum_{i=1}^l \binom{l}{i} \mu^{l-i} (T - \mu)^i + \mu^l, \text{ for } l \in \mathbb{N},$$

we have  $(T^l - \mu^l)x_n \rightarrow 0$ . By

$$\|T^l x_n - \mu^l\| \leq \|(T^l - \mu^l)x_n\|,$$

we have

$$(4.1) \quad \|T^l x_n\| \rightarrow |\mu|^l.$$

Moreover

$$(4.2) \quad \|T^* \mu^m x_n\| - \|T^*(T^m - \mu^m)x_n\| \leq \|T^* T^m x_n\|.$$

Since  $T$  is an  $m$ -quasi class  $\mathcal{A}(k^*)$  operator, we get

$$\begin{aligned} \|T^* T^m x\|^2 &= \|T^* |T^m x|^2\| \leq \| |T|^k T^{m+1} x \|^{\frac{2}{k+1}} \|T^m x\|^{\frac{2k}{k+1}} \\ &= \langle |T|^{2k} T^{m+1} x, T^{m+1} x \rangle^{\frac{1}{k+1}} \|T^m x\|^{\frac{2k}{k+1}} \\ &\leq \langle |T|^2 T^{m+1} x, T^{m+1} x \rangle^{\frac{k}{k+1}} \|T^{m+1} x\|^{\frac{2(1-k)}{k+1}} \|T^m x\|^{\frac{2k}{k+1}} \\ &= \|T^{m+2} x\|^{\frac{2k}{k+1}} \|T^{m+1} x\|^{\frac{2(1-k)}{k+1}} \|T^m x\|^{\frac{2k}{k+1}} \end{aligned}$$

by Hölder-McCarthy's inequality. Hence

$$(4.3) \quad \|T^*T^m x\| \leq \|T^{m+2}x\|^{\frac{k}{k+1}} \|T^{m+1}x\|^{\frac{1-k}{k+1}} \|T^m x\|^{\frac{k}{k+1}}.$$

Then it follows from (4.1),(4.2) and (4.3) that

$$\limsup_{n \rightarrow \infty} \|T^*x_n\| \leq |\mu|.$$

Since

$$\begin{aligned} \|(T - \mu)^*x_n\|^2 &= \|T^*x_n\|^2 - 2\operatorname{Re}\langle T^*x_n, \bar{\mu}x_n \rangle + |\mu|^2 \|x_n\|^2 \\ &= \|T^*x_n\|^2 - 2\operatorname{Re}\langle x_n, \bar{\mu}Tx_n \rangle + |\mu|^2 \|x_n\|^2, \end{aligned}$$

we have

$$\limsup_{n \rightarrow \infty} \|(T - \mu)^*x_n\|^2 \leq |\mu|^2 - 2|\mu|^2 + |\mu|^2 = 0.$$

This implies  $(T - \mu)^*x_n \rightarrow 0$ . □

**4.6. Corollary.** *If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$ , then  $\sigma_{na}(T) \setminus \{0\} = \sigma_a(T) \setminus \{0\}$ .*

**4.7. Lemma.** [2, Corollary 2] *Let  $T = U|T|$  be the polar decomposition of  $T$ ,  $\mu = |\mu|e^{i\theta} \neq 0$  and  $\{x_n\}$  a sequence of vectors. Then the following assertions are equivalent:*

- (1)  $(T - \mu)x_n \rightarrow 0$  and  $(T^* - \bar{\mu})x_n \rightarrow 0$ , as  $n \rightarrow \infty$ ,
- (2)  $(|T| - |\mu|)x_n \rightarrow 0$  and  $(U - e^{i\theta})x_n \rightarrow 0$ , as  $n \rightarrow \infty$ ,
- (3)  $(|T^*| - |\mu|)x_n \rightarrow 0$  and  $(U^* - e^{-i\theta})x_n \rightarrow 0$ , as  $n \rightarrow \infty$ .

**4.8. Corollary.** *If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$  and  $\mu \in \sigma_a(T) \setminus \{0\}$  then  $|\mu| \in \sigma_a(|T|) \cap \sigma_a(|T^*|)$ .*

*Proof.* If  $\mu \in \sigma_a(T) \setminus \{0\}$ , then by Theorem 4.5, there exists a sequence of unit vectors  $\{x_n\}$  such that  $(T - \mu)x_n \rightarrow 0$  and  $(T - \mu)^*x_n \rightarrow 0$ , as  $n \rightarrow \infty$ . Hence we have  $|\mu| \in \sigma_a(|T|) \cap \sigma_a(|T^*|)$  by Lemma 4.7 □

**4.9. Corollary.** *Let  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$  and  $T = U|T|$  be the polar decomposition of  $T$ . If  $\mu = |\mu|e^{i\theta} \neq 0$  and  $\mu \in \sigma_a(T)$ , then  $e^{i\theta} \in \sigma_{na}(U)$ .*

*Proof.* Let  $\mu \in \sigma_a(T)$ . From Corollary 4.6,  $\mu \in \sigma_{na}(T)$ . Then, there exists a sequence of unit vectors  $\{x_n\}$  such that  $(T - \mu)x_n \rightarrow 0$  and  $(T - \mu)^*x_n \rightarrow 0$ , as  $n \rightarrow \infty$ . From Lemma 4.7 we have  $(U - e^{i\theta})x_n \rightarrow 0$  and  $(U^* - e^{-i\theta})x_n \rightarrow 0$ , as  $n \rightarrow \infty$ . Thus  $e^{i\theta} \in \sigma_{na}(U)$ . □

An operator  $T$  on a complex Banach space  $X$  has the single-valued extension property, abbreviated SVEP, if, for every open set  $U \subset \mathbb{C}$ , the only analytic solution  $f : U \rightarrow X$  of the equation  $(T - \lambda)f(\lambda) = 0$  for all  $\lambda \in U$  is the zero function on  $U$ .

**4.10. Corollary.** *If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$ , then  $\ker(T - \mu) = \ker(T - \mu)^2$  if  $\mu \neq 0$  and  $\ker(T^m) = \ker(T^{m+1})$ .*

*Proof.* Let  $\mu \neq 0$ . Since  $\ker(T - \mu) \subset \ker(T - \mu)^2$  is clear, we prove  $\ker(T - \mu)^2 \subset \ker(T - \mu)$ . Let  $x \in \ker(T - \mu)^2$ . Since  $(T - \mu)(T - \mu)x = (T - \mu)^2x = 0$ , we have  $(T - \mu)^*(T - \mu)x = 0$  by Corollary 4.1. Hence,

$$\|(T - \mu)x\|^2 = \langle (T - \mu)^*(T - \mu)x, x \rangle = 0,$$

so we have  $(T - \mu)x = 0$ . Hence  $x \in \ker(T - \mu)$ .

Let  $x \in \ker(T^{m+1})$ . Then

$$\| |T^*|T^m x \|^2 \leq \| |T^k|T^{m+1}x \|^{\frac{2}{1+k}} \| |T^m x| \|^{\frac{2k}{k+1}} = 0.$$

Hence  $|T^*|T^m x = 0$ . Then

$$\|T^m x\|^2 = \langle T^*T^m x, T^{m-1}x \rangle = \langle U^*|T^*|T^m x, T^{m-1}x \rangle = 0.$$

Thus  $x \in \ker(T^m)$ . □

**4.11. Corollary.** *If  $T \in \mathcal{Q}(\mathcal{A}(k^*), m)$  with  $0 < k \leq 1$ , then  $T$  has SVEP.*

*Proof.* The proof is obvious from Theorem 2.39 of [1]. □

## 5. Tensor product for $\mathcal{Q}(\mathcal{A}(k^*), m)$

Let  $H$  and  $K$  denote Hilbert spaces. For given non zero operators  $T \in L(H)$  and  $S \in L(K)$ ,  $T \otimes S$  denotes the tensor product on the product space  $H \otimes K$ . It is known that the normaloid property is invariant under tensor products by [14], and there exist paranormal operators  $T$  and  $S$  such that  $T \otimes S$  is not paranormal by [3], and  $T \otimes S$  is normal if and only if  $T$  and  $S$  are normal by [15]. These results were extended to the class  $\mathcal{A}$  operators, class  $A(k)$  operators, and  $*$ -class  $\mathcal{A}$  operators by [10] [11] and [5]. In this section, we prove an analogues result for  $\mathcal{Q}(\mathcal{A}(k^*), m)$  operators.

Let  $T \in L(H)$  and  $S \in L(K)$  be non zero operators. Then  $(T \otimes S)^*(T \otimes S) = T^*T \otimes S^*S$  holds. By the uniqueness of positive square roots, we have  $|T \otimes S|^r = |T|^r \otimes |S|^r$  for any positive rational number  $r$ . From the density of the rationales in the real, we obtain  $|T \otimes S|^p = |T|^p \otimes |S|^p$  for any positive real number  $p$ .

**5.1. Theorem.** *Let  $0 < k$  and  $m$  be a non-negative integer. If (1)  $T, S \in \mathcal{Q}(\mathcal{A}(k^*), m)$  or (2)  $T^m = 0$  or  $S^m = 0$  holds, then  $T \otimes S \in \mathcal{Q}(\mathcal{A}(k^*), m)$ .*

*Proof.* By simple calculation we have:

$$\begin{aligned} & (T \otimes S)^{*m} \left( \left( (T \otimes S)^* |(T \otimes S)|^{2k} (T \otimes S) \right)^{\frac{1}{k+1}} - |(T \otimes S)^*|^2 \right) (T \otimes S)^m \\ &= T^{*m} \left( \left( T^* |T|^{2k} T \right)^{\frac{1}{k+1}} - |T^*|^2 \right) T^m \otimes S^{*m} \left( S^* |S|^{2k} S \right)^{\frac{1}{k+1}} S^m \\ & \quad + T^{*m} |T^*|^2 T^m \otimes S^{*m} \left( \left( S^* |S|^{2k} S \right)^{\frac{1}{k+1}} - |S^*|^2 \right) S^m. \end{aligned}$$

Hence, if either (1) or (2), then  $T \otimes S \in \mathcal{Q}(\mathcal{A}(k^*), m)$ . □

**5.2. Theorem.** *Let  $m$  be a non-negative integer and  $T \in L(H)$  and  $S \in L(K)$  be non-zero operators. If  $T \otimes S \in \mathcal{Q}(\mathcal{A}(1^*), m)$ , then (1)  $T, S \in \mathcal{Q}(\mathcal{A}(1^*), m)$  or (2)  $T^{m+1} = 0$  or  $S^{m+1} = 0$  holds.*

*Proof.* Suppose  $T \otimes S \in \mathcal{Q}(\mathcal{A}(1^*), m)$ . Then we get

$$\begin{aligned} & \left\langle T^{*m} \left( (T^* |T|^2 T)^{\frac{1}{2}} - |T^*|^2 \right) T^m x, x \right\rangle \left\langle S^{*m} (S^* |S|^2 S)^{\frac{1}{2}} S^m y, y \right\rangle \\ & \quad + \left\langle T^{*m} |T^*|^2 T^m x, x \right\rangle \left\langle S^{*m} \left( (S^* |S|^2 S)^{\frac{1}{2}} - |S^*|^2 \right) S^m y, y \right\rangle \geq 0 \end{aligned}$$

for  $x \in H, y \in K$ .

Assume  $T \notin \mathcal{Q}(\mathcal{A}(1^*), m)$ . Then there exists  $x_0 \in H$  such that:

$$\left\langle T^{*m} \left( (T^* |T|^2 T)^{\frac{1}{2}} - |T^*|^2 \right) T^m x_0, x_0 \right\rangle := \alpha < 0$$

and

$$\left\langle T^{*m} |T^*|^2 T^m x_0, x_0 \right\rangle := \beta > 0.$$

From the above relation, we have

$$(\alpha + \beta) \left\langle S^{*m} (S^* |S|^2 S)^{\frac{1}{2}} S^m y, y \right\rangle \geq \beta \left\langle S^{*m} |S^*|^2 S^m y, y \right\rangle.$$

Thus  $S \in \mathcal{Q}(\mathcal{A}(1^*), m)$  because  $\alpha + \beta < \beta$  and  $0 < \beta$ .

Since

$$\langle S^{*m} |S^*|^2 S^m y, y \rangle = \langle |S^*|^2 S^m y, S^m y \rangle = \langle S^* S^m y, S^* S^m y \rangle = \|S^* S^m y\|^2$$

and using Holder McCarthy's inequality, we get

$$\begin{aligned} \left\langle S^{*m} (S^* |S|^2 S)^{\frac{1}{2}} S^m y, y \right\rangle &= \left\langle (S^* |S|^2 S)^{\frac{1}{2}} S^m y, S^m y \right\rangle \\ &\leq \langle (S^* |S|^2 S) S^m y, S^m y \rangle^{\frac{1}{2}} \|S^m y\| \\ &= \| |S| S^{m+1} y \| \|S^m y\|. \end{aligned}$$

Then

$$(\alpha + \beta) \| |S| S^{m+1} y \| \|S^m y\| \geq \beta \|S^* S^m y\|^2.$$

Since  $S \in \mathcal{Q}(\mathcal{A}(1^*), m)$ ,  $S$  has decomposition of the form

$$S = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \text{ on } H = \overline{S^m(H)} \oplus \ker(S^{*m})$$

where  $A = S|_{\overline{S^m(H)}}$  is a  $\mathcal{A}(1^*)$  operator by Theorem 2.6. Then we have

$$(\alpha + \beta) \|A^2 z\| \|z\| = (\alpha + \beta) \| |A| A z \| \|z\| \geq \beta \|S^* z\|^2 \geq \beta \|A^* z\|^2,$$

for all  $z \in \overline{S^m(H)}$ . Since  $A \in \mathcal{A}(1^*)$ ,  $A$  is normaloid by Theorem 1.1 of [4]. By taking supremum on both sides of the above inequality, we have

$$(\alpha + \beta) \|A\|^2 \geq \beta \|A^*\|^2 = \beta \|A\|^2.$$

This implies  $A = 0$ . Then we have

$$S^{m+1} = \begin{pmatrix} 0 & BC^m \\ 0 & C^{m+1} \end{pmatrix} = 0.$$

A similar argument shows that if  $S \notin \mathcal{Q}(\mathcal{A}(1^*), m)$ , then  $T^{m+1} = 0$ . Hence the proof is completed.  $\square$

**Acknowledgment** Authors would like to thank referees for fruitful comments and suggestion given in this paper.

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