

A NOVEL APPROACH BASED ON ELEPHANT HERDING OPTIMIZATION FOR CONSTRAINED OPTIMIZATION PROBLEMS

Hüseyin HAKLI

Necmettin Erbakan Üniversitesi, Mühendislik-Mimarlık Fakültesi, Bilgisayar Mühendisliği Bölümü, KONYA
¹hhakli@erbakan.edu.tr

(Geliş/Received: 07.08.2018; Kabul/Accepted in Revised Form: 22.01.2019)

ABSTRACT: Many real-world problems can be formulated as an optimization problem and they have some constraints generally. To overcome these constraints, bio-inspired algorithms are adapted to constrained optimization using constraint handling methods and some modifications. In this study, a new approach is developed to solve constrained optimization problems with elephant herding optimization algorithm which is a newly-emerging optimization technique. Besides the basic EHO, two EHO variants (EHO-NoB and GL-EHO) are adapted to constrained optimization with this approach. The well-known thirteen constrained benchmark functions are used to analysis the performances of algorithms. Experimental results show that the GL-EHO has a better performance than the basic EHO and other algorithms. In addition, the results of GL-EHO are comparable level with the result of another EHO variant in the literature.

Key Words: *Constrained optimization, Deb's rules, Elephant herding optimization*

Kısıtlı Optimizasyon Problemleri için Fil Sürüsü Optimizasyonu Tabanlı Yeni Bir Yaklaşım

ÖZ: Birçok gerçek dünya problemi bir optimizasyon problemi olarak formüle edilebilir ve genel olarak bazı kısıtlamalara sahiptirler. Bu kısıtlamaların üstesinden gelmek için, kısıtlama yöntemleri ve bazı modifikasyonlar kullanarak doğa esinli algoritmalar kısıtlı optimizasyona uyarlanmıştır. Bu çalışmada, yeni ortaya çıkan bir optimizasyon tekniği olan fil sürüsü optimizasyonu algoritması ile kısıtlı optimizasyon problemlerini çözmek için yeni bir yaklaşım geliştirilmiştir. Temel EHO'nun yanı sıra, iki EHO varyantı (EHO-NoB ve GL-EHO) bu yaklaşımla kısıtlı optimizasyona uyarlanmıştır. İyi bilinen on üç kısıtlı test fonksiyonu, algoritmaların performanslarını analiz etmek için kullanılmıştır. Deneysel sonuçlar, GL-EHO'nun temel EHO ve diğer algoritmalarından daha iyi bir performansa sahip olduğunu göstermektedir. Ayrıca, GL-EHO sonuçları literatürdeki başka bir EHO varyantının sonucuyla karşılaştırılabilir düzeydedir.

Anahtar Kelimeler: *Deb kuralları, Fil sürüsü optimizasyonu, Kısıtlı optimizasyon*

INTRODUCTION

Due the fact that many real-world problems can be formulated as an optimization problem, the popularity of optimization have increased day by day (Ivana Strumberger et al., 2018). Different types of optimization such as combinatorial (Hakli and Uguz, 2017) and continuous (Farnad et al., 2018; Kiran, 2015), single (Asafuddoula et al., 2014) and multi-objective (Jiao et al., 2017; Luo et al., 2018), unconstrained (Sharma et al., 2017) and constrained are applied in accordance with characteristic of problems.

To solve optimization problems within the reasonable time, many bio-inspired algorithms have been proposed in last two decade (Hakli, 2018). These algorithms are directly implemented for unconstrained

optimization problems using objective function. When applying to constrained optimization, constraint handling methods are required for these algorithms to control and consider the violations. In the literature, various constraint handling methods are used to overcome the constraints, and many bio-inspired algorithms are adapted to solve constrained optimization problem. Babalik et al. proposed a modification of tree-seed algorithm for constrained optimization, and they used Deb's rules as constraint handling method (Babalik et al., 2018). To balance constraints and objective function, teaching-learning based optimization was improved for the constrained optimization (B.-C. Wang et al., 2018). Xu et al. proposed a new constrained optimization algorithm combined an adaptive trial vector generation strategy based differential evolution (DE) algorithm to solve constrained problems (Xu et al., 2018). In addition, a cluster-replacement-based feasibility rule was developed to alleviate the greediness of the feasibility rule. To effectively handle constraints, genetic algorithm was hybridized with the rough set theory and the penalty function was used as constraint handling (Lin, 2013). Moreover, many bio-inspired algorithms were applied to constrained optimization problem such as bacterial-inspired algorithm (Niu et al., 2015), elephant herding optimization (Ivana Strumberger et al., 2018), particle swarm optimization (Garg, 2016), grey wolf optimization algorithm (Kohli and Arora, 2017) etc. For the detailed explanation on constraints and other constraint handling methods, please see (Mezura-Montes and Coello, 2011).

Elephant herding optimization (EHO) algorithm, one of the newly-proposed method, simulates the social behavior of the elephants (G. G. Wang et al., 2015). Although the literature about the EHO algorithm is not so wide due to fact that it is a newly proposed algorithm, it was used to solve various problems such as multi-level image thresholding (Tuba et al., 2017), unmanned aerial vehicle path planning (Alihodzic et al., 2017), static drone placement (I. Strumberger et al., 2017), load frequency control (Sambariya and Fagna, 2017). In this study, a new approach which based EHO algorithm is proposed for constrained optimization. In addition, the new approach is implemented to not only basic EHO algorithm but also two EHO variants. One of these variants contains a simple modification on the basic EHO, other one was proposed in my previous study (Hakli, 2018). Three experiments are performed using thirteen constrained benchmark problems in this study. Firstly, the performance of three variants of EHO is evaluated to determine the best one. Secondly, the best of EHO variants is compared with the other algorithms. Third experiment contains a comparison between the best EHO variant in this study and another variant based on EHO in the literature.

The remainder of this paper is divided as follows. The following part contains the basic explanation of constrained optimization. The next part describes the adaptation of EHO variants to constrained optimization using Deb's rule. Then, experimental results are reported and evaluated. Finally the paper is concluded in the last part.

CONSTRAINED OPTIMIZATION

There are some constraints in many of optimization problems and constrained optimization can be defined as follows (Babalik et al., 2018):

$$\begin{aligned}
 & \textit{optimize} \quad f(x) \\
 & \textit{subject to} \\
 & g_i(x) \leq 0 \quad i = 1, 2, 3, \dots, q \\
 & h_j(x) = 0 \quad j = 1, 2, 3, \dots, p
 \end{aligned} \tag{1}$$

where $f(x)$ is objective function of problem, $g(x)$ represents inequality constraint and $h(x)$ is equality constraint. q and p are respectively number of inequality constrains and equality constraints. Equality constraints shrink feasible search space, so this is getting difficult to find the optimal solutions for

optimization techniques. To overcome this issue, equality constraints can be converted to inequality constraints (Ivana Strumberger et al., 2018):

$$|h_j(x)| \leq \varepsilon \quad j = 1, 2, 3, \dots, p \quad (2)$$

ε represents small violation tolerance. In the constrained optimization, validate of the found solution depends on the violation of constraints (Babalik et al., 2018). Therefore, violation of constraints is important as much as fitness value obtained from objective function.

ADAPTATION OF EHO VARIANTS FOR CONSTRAINED OPTIMIZATION

In the basic EHO algorithm, an elephant in the population represents a candidate solution and the whole population is divided the clans. The best elephant in the clan is determining as a matriarch. The basic EHO contains two main process : clan updating operator and separating operator. The new positions of elephants are updated by Eq. (3) except the matriarch in the clan. Due to no position update using Eq.(3) for the matriarch, Eq.(4) is used the updating new position of matriarch.

$$X_{new,ci}^j = X_{ci}^j + \alpha \times (X_{best,ci} - X_{ci}^j) \times r \quad (3)$$

$$X_{new,ci}^j = \beta \times X_{center,ci} \quad (4)$$

where $X_{new,ci}^j$ is the new position of X_{ci}^j . X_{ci}^j represents the position of elephant j in clan ci , $X_{best,ci}$ is the position of the matriarch in clan ci for Eq.(3). α is a scale factor and r is a random number in the range [0,1]. In the Eq. (4), $X_{center,ci}$ is mean position of clan ci and β is a factor in the range [0,1]. When the male elephants reach the puberty, they will leave their clans and their position is randomly determined in the search space with Eq.(5).

$$X_{worst,ci} = X_{min} + (X_{max} - X_{min} + 1) \times rand \quad (5)$$

where $X_{worst,ci}$ represents the worst elephant in the clan ci . X_{min} and X_{max} are minimum and maximum bound in the search space.

The pseudo code of the basic EHO to solve the constrained optimization problem is given in Figure 1. By protecting the general structure of basic EHO, the adaptation of EHO algorithm is implemented with Deb's rule to constrained optimization. Due to ease of implementation and an effective mechanism for the constrained optimization, the Deb's rules are used as a constraint handling method in this study. In the Deb's rule, there are rules on the selection between two solutions (Babalik et al., 2018; Deb, 2000):

1. When preferring between feasible and infeasible solution, feasible solution is directly selected.
2. If two solutions are feasible, better solution based in accordance with fitness value is selected.
3. If two solutions are infeasible, the solution with less violation is selected.

When the whole population is sorting, not only fitness values but also violations are considered. To apply the Deb's rule, the population is sorting in accordance with violation values of elephants firstly, and then fitness values. Thus, if the violation values of two elephants are equal or zero, they are ranked according to their fitness value. If not equal, the elephant which has a higher violation value is worse than the elephant which has a lower violation value on the ranking.

```

Initialize the parameters and generate the initial population randomly;
Calculate the fitness value and violation for initial population;
Sort the initial population in accordance with Deb's rule;
while (FEs < max_FEs)
    Keep the fittest elephants (elites)
    for all clans ci
        for all elephant j in the clan ci
            Generate new elephant using Eq.(3)
            if (elephant j is equal to matriarch)
                Generate new elephant using Eq.(4)
            end
        end
    end
    for all clans ci
        Replace the worst elephant in clan ci using Eq.(5)
    end
    Calculate the fitness value and violation for new population;
    Replace the worst with the previous generation's elites;
    Sort the new population in accordance with Deb's rule;
end

```

Figure 1. The pseudo code of the basic EHO to solve constrained optimization problem

Due to the taking directly mean position of clan using a random number for updating the new position, Eq. (4) may causes the poor fit solution and inconsistency (Hakli, 2018; Parashar et al., 2017). As a cumulative effect, it undermines the process of finding the global best solution (Meena et al., 2018). Considering the discussion on the disadvantage of the Eq.(4), it is ignored in the new variant and this variant is named EHO-NoB. In my previous study (Hakli, 2018), a new EHO variant was proposed by balancing global and local search (GL-EHO). In the GL-EHO, the new search mechanism which is inspired by particle swarm optimization (PSO) (Kennedy and Eberhart, 1995) and artificial bee colony (ABC) (Karaboga, 2005) is used instead of the Eq.(3) in the basic EHO. These two EHO variants are adapted to constrained optimization as shown in Figure 1 except for the indicated changes.

EXPERIMENTAL RESULTS

The performance of algorithms is evaluated and investigated on the well-known 13 constrained optimization benchmark problems (Koziel and Michalewicz, 1999; Mezura-Montes and Coello, 2011; Runarsson and Yao, 2000). These problems are detailed in Appendix-A, and also you can find the details of these functions as a supplementary data in the Babalik et al.'s study (Babalik et al., 2018). G02, G03, G08 and G12 are maximization, and the other eights are minimization problems. In the experiments are performed in this study, the population size is 50, the number of elephant in each clan is set 10 and α is 0.5 for all EHO variants. For the basic EHO, β is determined as 0.1. The acceleration coefficients c_1 and c_2 are equal to each other and they are set 1.5 for the GL-EHO. To provide fair comparison, the maximum number of function evaluations is set 2.4E+5 as in other studies (Babalik et al., 2018; Ivana Strumberger et al., 2018), and the algorithms are run 30 times for each function.

The Experiments of EHO Variants

The experimental results of EHO variants for constrained optimization problem are given in Table 1. Table 1 contains the mean and standard deviation results of 30 runs for three EHO variants. In addition,

the optimum results of the problems are seen in Table 1. The best mean results obtained by algorithms for the benchmark problems are written as boldface.

Table 1. Experimental results of EHO variants for constrained optimization problems

Problem	Min./Max.	Optimal		EHO	EHO-NoB	GL-EHO
G01	Min.	-15,000	Mean	-1,088	-14,500	-15,000
			Std.Dev.	2,285	0,974	0,000
G02	Max.	0,803619	Mean	0,2522	0,4490	0,6405
			Std.Dev.	0,018	0,020	0,069
G03	Max.	1,000	Mean	0,6560	0,4864	0,9026
			Std.Dev.	0,088	0,250	0,360
G04	Min.	-30665,539	Mean	30333,809	-30304,074	-30665,540
			Std.Dev.	56,196	105,429	0,000
G05	Min.	5126,498	Mean	5373,189	5182,527	5502,522
			Std.Dev.	174,591	69,539	406,900
G06	Min.	-6961,814	Mean	-6943,713	-6227,937	-6961,814
			Std.Dev.	9,322	288,753	0,000
G07	Min.	24,306	Mean	446,6258	83,0228	36,9279
			Std.Dev.	204,988	112,134	34,476
G08	Max.	0,095825	Mean	0,095376	0,095825	0,095825
			Std.Dev.	0,000	0,000	0,000
G09	Min.	680,63	Mean	927,874	709,6519	681,7680
			Std.Dev.	60,689	24,345	0,854
G10	Min.	7049,25	Mean	10236,025	8162,372	8374,642
			Std.Dev.	677,597	1240,831	1481,337
G11	Min.	0,75	Mean	0,7400	0,7399	0,7399
			Std.Dev.	0,000	0,000	0,000
G12	Max.	1,000	Mean	1,000	1,000	1,000
			Std.Dev.	0,000	0,000	0,000
G13	Min.	0,05395	Mean	1,3335	1,0946	0,4043
			Std.Dev.	1,056	0,858	0,213

When examining the Table 1, GL-EHO has better or same performance than the other variants on the eight problems. The basic EHO obtains the better solution than the other algorithm for only G11 function and it generally falls behind the EHO-NoB in terms of solution quality. This situation can be verified the discussion on the Eq.(4) of the basic EHO. The GL-EHO reaches optimal solution for G01, G04, G06, G08 and G12 problems and it outperforms the basic EHO and EHO-NoB in accordance with the experimental results are given in Table 1.

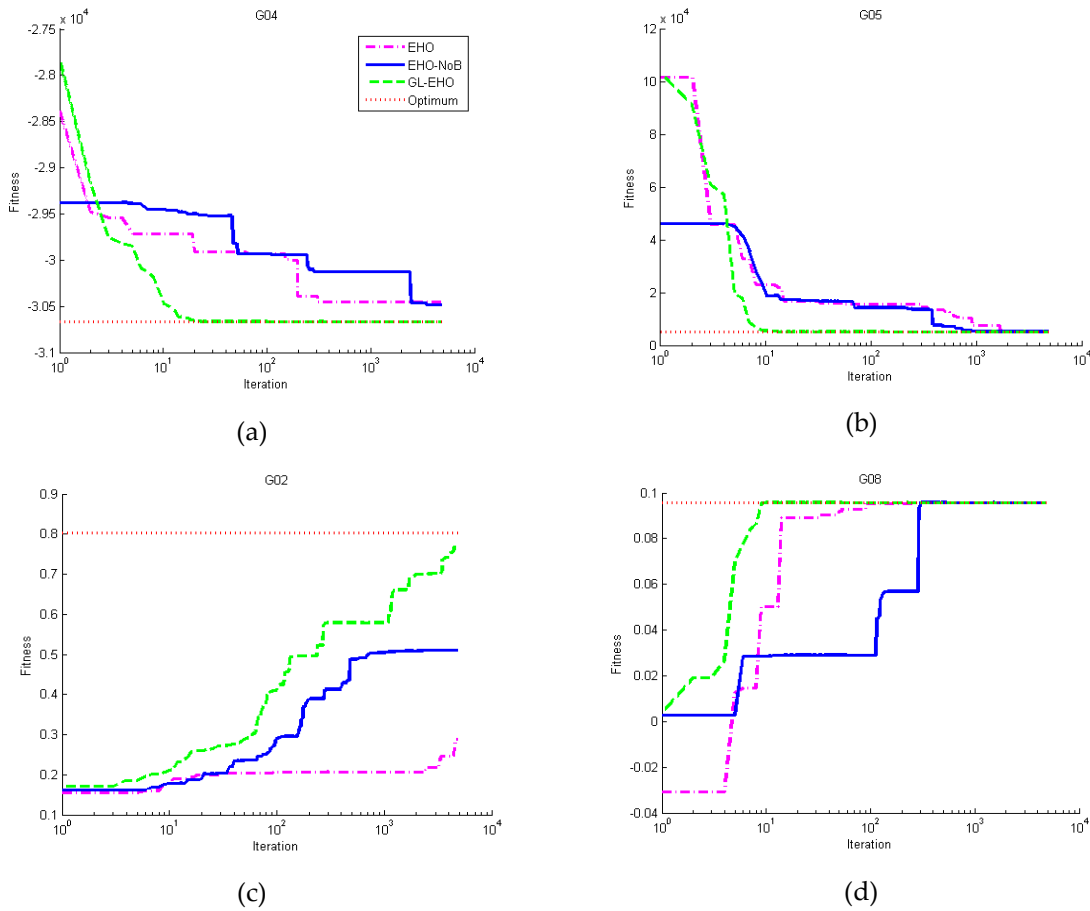


Figure 2. The convergence graphs of EHO variants

To analysis the convergence performances of EHO variants, convergence graphs of the methods are given in the Figure 2. In the Figure 2, there are four convergence graphs for the G04 (a) ,G05 (b), G02 (c) and G08 (d) problems. GL-EHO has a superior convergence performance and it reaches the optimum before basic EHO and EHO-NoB as seen in Figure 2. Although the basic EHO converges quickly than the EHO-NoB, it undergoes stagnation (especially G02) and EHO-NoB catches or gets ahead the basic EHO towards the end of the iteration.

A comparison of GL-EHO and other algorithms

The GL-EHO is more successful than the basic EHO and EHO-NoB in accordance with the results in the first experiment, so it is selected for comparison with other algorithms to validate its performance. The GL-EHO is compared with ABC, PSO, genetic algorithm (GA) and differential evolution algorithm (DE) and the experimental results of these algorithms given in Table 2 are directly taken from (Babalik et al., 2018).

Table 2. A comparison of GL-EHO and other algorithms

Problem	Optimal		ABC	PSO	GA	DE	GL-EHO
G01	-15,0000	Mean	-15,0205	-10,5551	-14,2360	-14,2406	-15,0000
		Difference	0,0205	4,4449	0,7640	0,7594	0,0000
G02	0,8036	Mean	0,4795	0,4043	0,7886	0,6660	0,6405
		Difference	0,3241	0,3993	0,0150	0,1376	0,1631
G03	1,0000	Mean	3,0191	1,1675	0,9760	1,1694	0,9026
		Difference	2,0191	0,1675	-0,0240	0,1694	-0,0974
G04	-30665,5390	Mean	-30610,974	-30661,740	-30590,455	-30665,540	-30665,540
		Difference	54,565	3,799	75,084	0,001	0,001
G05	5126,4970	Mean	5115,056	5298,284	N/A	5329,197	5502,522
		Difference	11,441	171,787	N/A	202,700	376,025
G06	-6961,8140	Mean	-7579,630	-6961,819	-6872,204	-6765,482	-6961,814
		Difference	617,816	0,005	89,610	196,332	0,002
G07	24,3060	Mean	29,0956	28,7418	34,9800	24,3160	36,9279
		Difference	4,7896	4,4358	10,6740	0,0100	12,6219
G08	0,0958	Mean	6,5347	0,0847	0,0958	0,0958	0,0958
		Difference	6,4389	0,0111	0,0000	0,0000	0,0000
G09	680,6300	Mean	683,8941	680,7815	692,0640	680,6308	681,7680
		Difference	3,2641	0,1515	11,4340	0,0008	1,1380
G10	7049,2500	Mean	7259,028	8128,793	10003,225	7162,592	8374,642
		Difference	209,778	1079,543	2953,975	113,342	1325,392
G11	0,7500	Mean	0,7171	0,7626	0,7500	0,9545	0,7399
		Difference	0,0329	0,0126	0,0000	0,2045	0,0101
G12	1,0000	Mean	1,0001	1,0000	1,0000	1,0000	1,0000
		Difference	0,0001	0,0000	0,0000	0,0000	0,0000
G13	0,0955	Mean	0,0955	1,4228	N/A	0,9492	0,4043
		Difference	0,0000	1,3273	N/A	0,8537	0,3088
Friedman Rank (Dif.)			3,46	3,12	3,35	2,58	2,50
Corrected Rank			5	3	4	2	1

The absolute difference values calculated by subtracting the mean values of the algorithms from the optimal values of the problems are presented in Table 2 so that the algorithms' results can be evaluated clearly. Friedman rank test are implemented using absolute difference values of algorithms. With respect to the Friedman test, GL-EHO is first rank between the methods. In addition, the DE is second rank and ABC is located in the last rank.

A comparison of GL-EHO and other EHO variant on the constrained optimization

Strumberger et.al proposed a hybridized EHO (HEHO) for constrained optimization and they used a different approach for adaptation to constrained optimization (Ivana Strumberger et al., 2018). The limit parameter in the ABC algorithm was added to HEHO and when the generating new solution, the

new solution was compared with the previous one in the HEHO algorithm. If the new solution is better than the current one in accordance with Deb's rule, the previous solution was replaced with the new solution. Thus, the HEHO prevents the losing the better solutions. To investigate the performance of two different approaches based on EHO for constrained optimization, GL-EHO is compared with the HEHO in Table 3.

Table 3. A comparison of GL-EHO and HEHO

Problem	Optimal		HEHO	GL-EHO
G01	-15,000	Mean	-14,958	-15,000
		Std.Dev.	0,012	0,000
G02	0,803619	Mean	0,799125	0,6405
		Std.Dev.	0,026	0,069
G03	1,000	Mean	1,000	0,9026
		Std.Dev.	0,000	0,360
G04	-30665,539	Mean	-30499,033	-30665,540
		Std.Dev.	16,302	0,000
G05	5126,498	Mean	5126,505	5502,522
		Std.Dev.	0,041	406,900
G06	-6961,814	Mean	-6957,361	-6961,814
		Std.Dev.	1,005	0,000
G07	24,306	Mean	24,309	36,9279
		Std.Dev.	0,003	34,476
G08	0,095825	Mean	0,095825	0,095825
		Std.Dev.	0,000	0,000
G09	680,63	Mean	680,653	681,7680
		Std.Dev.	0,011	0,854
G10	7049,25	Mean	7152,895	8374,642
		Std.Dev.	95,239	1481,337
G11	0,75	Mean	0,751	0,7399
		Std.Dev.	0,001	0,000
G12	1,000	Mean	1,000	1,0000
		Std.Dev.	0,000	0,000
G13	0,05395	Mean	0,246	0,4043
		Std.Dev.	0,106	0,213

As seen in the Table 3, while the GL-EHO has a better performance than the HEHO for G01, G04, G06 problems, it has a same performance with HEHO for G08 and G12. HEHO obtains the better result than the GL-EHO for the eight problems. Although the results of GL-EHO are comparable level with the results of HEHO, the HEHO approach is forefront on the constrained optimization by virtue of preventing the worse solutions. On the other hand, while the GL-EHO maintains the basic EHO characteristics except for the change of the search mechanism, the HEHO has more modifications on the basic EHO.

CONCLUSION

When solving the constrained optimization problems with the bio-inspired algorithms, these algorithms have been adapted to constrained optimization with some modifications using the constraint

handling methods. In this study, a new approach based on EHO algorithm was applied using Deb's rules for constrained optimization. In addition, two EHO variants (EHO-NoB and GL-EHO) are adapted to constrained optimization with this new approach. The performances of these algorithms are evaluated on the well-known thirteen constrained optimization problems. The basic EHO has a weak performance due to the losing the diversification of population quickly. The GL-EHO outperforms the basic EHO and EHO-NoB by virtue of effective search mechanism. Moreover, GL-EHO has a better performance when comparing with other mostly known algorithms. The GL-EHO is compared with another EHO variant (HEHO) on the constrained optimization and its results are comparable level with the results of HEHO. Although, GL-EHO obtained the promising results by protecting the characteristic of basic EHO, it can be improved with some modifications or hybridized with other algorithms as a future work.

REFERENCES

- Alihodzic, A., Tuba, E., Capor-Hrosik, R., Dolicanin, E., Tuba, M., 2017, "Unmanned Aerial Vehicle Path Planning Problem by Adjusted Elephant Herding Optimization", *2017 25th Telecommunication Forum (Telfor)*, 804-807.
- Asafuddoula, M., Ray, T., Sarker, R., 2014, "An adaptive hybrid differential evolution algorithm for single objective optimization", *Applied Mathematics and Computation*, 231, 601-618. doi:10.1016/j.amc.2014.01.041
- Babalik, A., Cinar, A. C., Kiran, M. S., 2018, "A modification of tree-seed algorithm using Deb's rules for constrained optimization", *Applied Soft Computing*, 63, 289-305. doi:10.1016/j.asoc.2017.10.013
- Deb, K., 2000, "An efficient constraint handling method for genetic algorithms", *Computer Methods in Applied Mechanics and Engineering*, 186(2-4), 311-338. doi:10.1016/S0045-7825(99)00389-8
- Farnad, B., Jafarian, A., Baleanu, D., 2018, "A new hybrid algorithm for continuous optimization problem", *Applied Mathematical Modelling*, 55, 652-673. doi:10.1016/j.apm.2017.10.001
- Garg, H., 2016, "A hybrid PSO-GA algorithm for constrained optimization problems", *Applied Mathematics and Computation*, 274, 292-305. doi:10.1016/j.amc.2015.11.001
- Hakli, H., "An improved elephant herding optimization by balancing local and global search for continuous optimization", *15th International Conference on Informatics and Information Technologies, CIIT 2018*, Mavrovo, Macedonia. In Press. 2018.
- Hakli, H., Uguz, H., 2017, "A novel approach for automated land partitioning using genetic algorithm", *Expert Systems with Applications*, 82, 10-18. doi:10.1016/j.eswa.2017.03.067
- Jiao, R. W., Zeng, S. Y., Alkasassbeh, J. S., Li, C. H., 2017, "Dynamic multi-objective evolutionary algorithms for single-objective optimization", *Applied Soft Computing*, 61, 793-805. doi:10.1016/j.asoc.2017.08.030
- Karaboga, D., (2005). *An idea based on honey bee swarm for numerical optimization*. Retrieved from Technical Report-TR06, Erciyes University, Engineering Faculty, Comput. Eng. Dep.:
- Kennedy, J., Eberhart, R., "Particle swarm optimization", *Sixth International Symposium on Micro Machine and Human Science*, Nagoya, Japan. 39-43. 1995.
- Kiran, M. S., 2015, "TSA: Tree-seed algorithm for continuous optimization", *Expert Systems with Applications*, 42(19), 6686-6698. doi:10.1016/j.eswa.2015.04.055
- Kohli, M., Arora, S., 2017, "Chaotic grey wolf optimization algorithm for constrained optimization problems", *Journal of Computational Design and Engineering*, In Press. doi:10.1016/j.jcde.2017.02.005
- Koziel, S., Michalewicz, Z., 1999, "Evolutionary Algorithms, Homomorphous Mappings, and Constrained Parameter Optimization", *Evolutionary Computation*, 7(1), 19-44. doi:10.1162/evco.1999.7.1.19
- Lin, C. H., 2013, "A rough penalty genetic algorithm for constrained optimization", *Information Sciences*, 241, 119-137. doi:10.1016/j.ins.2013.04.001

- Luo, J. P., Yang, Y., Liu, Q. Q., Li, X., Chen, M. R., Gao, K. Z., 2018, "A new hybrid memetic multi-objective optimization algorithm for multi-objective optimization", *Information Sciences*, 448, 164-186. doi:10.1016/j.ins.2018.03.012
- Meena, N. K., Parashar, S., Swarnkar, A., Gupta, N., Niazi, K. R., 2018, "Improved Elephant Herding Optimization for Multiobjective DER Accommodation in Distribution Systems", *Ieee Transactions on Industrial Informatics*, 14(3), 1029-1039. doi:10.1109/Tii.2017.2748220
- Mezura-Montes, E., Coello, C. A. C., 2011, "Constraint-handling in nature-inspired numerical optimization: Past, present and future", *Swarm and Evolutionary Computation*, 1(4), 173-194. doi:10.1016/j.swevo.2011.10.001
- Niu, B., Wang, J. W., Wang, H., 2015, "Bacterial-inspired algorithms for solving constrained optimization problems", *Neurocomputing*, 148, 54-62. doi:10.1016/j.neucom.2012.07.064
- Parashar, S., Swarnkar, A., Niazi, K. R., Gupta, N., 2017, "A Modified Elephant Herding Optimization For Economic Generation Co-Ordination Of DERs And BESS In Grid Connected Microgrid", *Journal of Engineering-Joe*.
- Runarsson, T. P., Yao, X., 2000, "Stochastic ranking for constrained evolutionary optimization", *Ieee Transactions on Evolutionary Computation*, 4(3), 284-294. doi:Doi 10.1109/4235.873238
- Sambariya, D. K., Fagna, R., 2017, "A novel Elephant Herding Optimization based PID controller design for Load Frequency Control in Power System", *2017 International Conference on Computer, Communications and Electronics (Comptelix)*, 595-600.
- Sharma, A., Kumar, R., Panigrahi, B. K., Das, S., 2017, "Termite spatial correlation based particle swarm optimization for unconstrained optimization", *Swarm and Evolutionary Computation*, 33, 93-107. doi:10.1016/j.swevo.2016.11.001
- Strumberger, I., Bacanin, N., Tomic, S., Beko, M., Tuba, M., 2017, "Static Drone Placement by Elephant Herding Optimization Algorithm", *2017 25th Telecommunication Forum (Telfor)*, 808-811.
- Strumberger, I., Bacanin, N., Tuba, M., "Hybridized Elephant Herding Optimization Algorithm for Constrained Optimization", *Cham*. 158-166. 2018.
- Tuba, E., Alihodzic, A., Tuba, M., 2017, "Multilevel Image Thresholding Using Elephant Herding Optimization Algorithm", *2017 14th International Conference on Engineering of Modern Electric Systems (Emes)*, 240-243.
- Wang, B.-C., Li, H.-X., Feng, Y., 2018, "An improved teaching-learning-based optimization for constrained evolutionary optimization", *Information Sciences*, 456, 131-144.
- Wang, G. G., Deb, S., Coelho, L. D., 2015, "Elephant Herding Optimization", *2015 3rd International Symposium on Computational and Business Intelligence (Ischi 2015)*, 1-5. doi:10.1109/Ischi.2015.8
- Xu, B., Chen, X., Tao, L. L., 2018, "Differential evolution with adaptive trial vector generation strategy and cluster-replacement-based feasibility rule for constrained optimization", *Information Sciences*, 435, 240-262. doi:10.1016/j.ins.2018.01.014

Appendix A. Standard Constrained Optimization Problems

G01 Problem

$$\min f(x) = 5 \sum_{d=1}^4 x_d - 5 \sum_{d=1}^4 x_d^2 - 5 \sum_{d=5}^{13} x_d$$

subject to

$$g_1(x) = 2x_1 + 2x_2 + x_{10} + x_{11} - 10 \leq 0$$

$$g_2(x) = 2x_1 + 2x_3 + x_{10} + x_{12} - 10 \leq 0$$

$$g_3(x) = 2x_2 + 2x_3 + x_{11} + x_{12} - 10 \leq 0$$

$$g_4(x) = -8x_1 + x_{10} \leq 0$$

G01

$$g_5(x) = -8x_2 + x_{11} \leq 0$$

$$g_6(x) = -8x_3 + x_{12} \leq 0$$

$$g_7(x) = -2x_4 - x_5 + x_{10} \leq 0$$

$$g_8(x) = -2x_6 - x_7 + x_{11} \leq 0$$

$$g_9(x) = -2x_8 - x_9 + x_{12} \leq 0$$

$$x_i \geq 0, i = 1, 2, \dots, 13$$

$$x_i \leq 1, i = 1, 2, \dots, 9, 13$$

There are 13 decision variables and 9 constraint functions defined on the decision variables in G01 problem. The global minimum is -15 while decision variables are (1,1,...,1,3,3,3,1). The search space is $0 \leq x_i \leq u_i, i = 1, 2, \dots, n$ and $u = (1, 1, \dots, 1, 100, 100, 100, 1)$.

G02 Problem

$$\max f(x) = \left| \frac{\sum_{i=1}^n \cos^4(x_i) - 2 \prod_{i=1}^n \cos^2(x_i)}{\sqrt{\sum_{i=1}^n i x_i^2}} \right|$$

subject to

$$g_1(x) = 0.75 - \prod_{i=1}^n x_i \leq 0$$

$$g_2(x) = \sum_{i=1}^n x_i - 7.5n \leq 0$$

There are 20 decision variables and 2 constraint functions defined on the decision variables in G02 problem. The global maximum is unknown, the best founded solution is 0.803619 (which, to the best of our knowledge, is better than any reported value), constraint g_1 is close to being active ($g_1 = -10^{-8}$). The search space is $0 \leq x_i \leq 10$.

G03 Problem

$$\max f(x) = (\sqrt{n}^n) \prod_{i=1}^n x_i$$

subject to

$$h_1(x) = \sum_{i=1}^n x_i^2 - 1 = 0$$

There are 10 decision variables and a constraint function defined on the decision variables in G03 problem. The global maximum is 1, while decision variables are $x_i^* = \frac{1}{\sqrt{n}}$ ($i=1, \dots, n$). The search space is $0 \leq x_i \leq 1$.

G04 Problem

$$\min f(x) = 5.3578547x_3^2 + 0.8356891x_1x_5 + 37.293239x_1 - 40792.141$$

subject to

$$g_1(x) = 85.334407 + 0.0056858x_2x_5 + 0.0006262x_1x_4 - 0.0022053x_3x_5 - 92 \leq 0$$

$$g_2(x) = -85.334407 - 0.0056858x_2x_5 - 0.0006262x_1x_4 + 0.0022053x_3x_5 \leq 0$$

$$g_3(x) = 80.51249 + 0.0071317x_2x_5 + 0.0029955x_1x_2 + 0.0021813x_3^2 - 110 \leq 0$$

$$g_4(x) = -80.51249 - 0.0071317x_2x_5 - 0.0029955x_1x_2 - 0.0021813x_3^2 + 90 \leq 0$$

$$g_5(x) = 9.300961 + 0.0047026x_3x_5 - 0.0012547x_1x_3 - 0.0019085x_3x_4 - 25 \leq 0$$

$$g_6(x) = -9.300961 - 0.0047026x_3x_5 - 0.0012547x_1x_3 - 0.0019085x_3x_4 + 20 \leq 0$$

There are 5 decision variables and 6 constraint functions defined on the decision variables in G04 problem. The global minimum is -30665.539 while decision variables are (78, 33, 29.995256025682, 45 and 36.775812905788). The search space is $78 \leq x_1 \leq 102, 33 \leq x_2 \leq 45, 27 \leq x_3 \leq 45, 27 \leq x_4 \leq 45, 27 \leq x_5 \leq 45$.

G05 Problem

$$\min f(x) = 3x_1 + 0.000001x_1^3 + 2x_2 + (0.000002/3)x_3^2$$

subject to

$$g_1(x) = -x_4 + x_3 - 0.55 \leq 0$$

$$g_2(x) = -x_3 + x_4 - 0.55 \leq 0$$

$$h_3(x) = 1000 \sin(-x_3 - 0.25) + 1000 \sin(-x_4 - 0.25) + 894.8 - x_1 = 0$$

$$h_4(x) = 1000 \sin(x_3 - 0.25) + 1000 \sin(x_3 - x_4 - 0.25) + 894.8 - x_2 = 0$$

$$h_5(x) = 1000 \sin(x_4 - 0.25) + 1000 \sin(x_4 - x_3 - 0.25) + 1294.8 = 0$$

There are 4 decision variables and 5 constraint functions defined on the decision variables in G05 problem. The best known solution is 5126.4981 while decision variables are (679.9453, 1026.067, 0.1188764, -0.3962336). The search space is $0 \leq x_1 \leq 1200, 0 \leq x_2 \leq 1200, -0.55 \leq x_3 \leq 0.55, -0.55 \leq x_4 \leq 0.55$.

G06 Problem

$$\min f(x) = (x_1 - 10)^3 + (x_2 - 20)^3$$

subject to

$$g_1(x) = -(x_1 - 5)^2 - (x_2 - 5)^2 + 100 \leq 0$$

$$g_2(x) = -(x_1 - 6)^2 - (x_2 - 5)^2 + 82.81 \leq 0$$

There are 2 decision variables and 2 constraint functions defined on the decision variables in G06 problem. The optimum solution is -6961.81388 while decision variables are (14.095, 0.84296). The search space is $13 \leq x_1 \leq 100$, $0 \leq x_2 \leq 100$.

G07 Problem

$$\min f(x) = x_1^2 + x_2^2 + x_1x_2 - 14x_1 - 16x_2 + (x_3 - 10)^2 + 4(x_4 - 5)^2 + (x_5 - 3)^2 + 2(x_6 - 1)^2 + 5x_7^2 + 7(x_8 - 11)^2 + 2(x_9 - 10)^2 + (x_{10} - 7)^2 + 45$$

subject to

$$g_1(x) = -105 + 4x_1 + 5x_2 - 3x_7 + 9x_8 \leq 0$$

$$g_2(x) = 10x_1 - 8x_2 - 17x_7 + 2x_8 \leq 0$$

$$g_3(x) = -8x_1 + 2x_2 + 5x_9 - 2x_{10} - 12 \leq 0$$

$$g_4(x) = 3(x_1 - 2)^2 + 4(x_2 - 3)^2 + 2x_3^2 - 7x_4 - 120 \leq 0$$

$$g_5(x) = 5x_1^2 + 8x_2 + (x_3 - 6)^2 - 2x_4 - 40 \leq 0$$

$$g_6(x) = x_1^2 + 2(x_2 - 2)^2 - 2x_1x_2 + 14x_5 - 6x_6 \leq 0$$

$$g_7(x) = 0.5(x_1 - 8)^2 + 2(x_2 - 4)^2 + 3x_5^2 - x_6 - 30 \leq 0$$

$$g_8(x) = -3x_1 + 6x_2 + 12(x_9 - 8)^2 - 7x_{10} \leq 0$$

There are 10 decision variables and 8 constraint functions defined on the decision variables in G07 problem. The optimum solution is 24.3062091 while decision variables are (2.363683, 8.773926, 5.095984, 0.9906548, 1.430574, 1.321644, 9.828726, 8.280092, and 8.375927). The search space is $-10 \leq x_i \leq 10$, ($i = 1, \dots, 10$)

G08 Problem

$$\min f(x) = \frac{\sin^3(2\pi x_1)\sin(2\pi x_2)}{x_1^3(x_1 + x_2)}$$

subject to

$$g_1(x) = x_1^2 - x_2 + 1 \leq 0$$

$$g_2(x) = 1 - x_1 + (x_2 - 4)^2 \leq 0$$

There are 2 decision variables and 2 constraint functions defined on the decision variables in G08 problem. The optimum solution is 0.095825 while decision variables are (1.2279713, 4.2453733). The search space is $0 \leq x_i \leq 10$, ($i = 1, 2$)

G09 Problem

$$\min f(x) = (x_1 - 10)^2 + 5(x_2 - 12)^2 + x_3^4 + 3(x_4 - 11)^2 + 10x_5^6 + 7x_6^2 + x_7^4 - 4x_6x_7 - 10x_6 - 8x_7$$

subject to

$$g_1(x) = -127 + 2x_1^2 + 3x_2^4 + x_3 + 4x_4^2 + 5x_5 \leq 0$$

$$g_2(x) = -282 + 7x_1 + 3x_2 + 10x_3^2 + x_4 - x_5 \leq 0$$

$$g_3(x) = -196 + 23x_1 + x_2^2 + 6x_6^2 - 8x_7 \leq 0$$

$$g_4(x) = 4x_1^2 + x_2^2 - 3x_1x_2 + 2x_3^2 + 5x_6 - 11x_7 \leq 0$$

There are 7 decision variables and 4 constraint functions defined on the decision variables in G09 problem. The optimum solution is 680.6300573 while decision variables are (2.330499, 1.951372, -0.4775414, 4.365726, -0.6244870, 1.038131, and 1.594227). The search space is $-10 \leq x_i \leq 10$, ($i = 1, \dots, 7$)

G10 Problem

$$\min f(x) = x_1 + x_2 + x_3$$

subject to

$$g_1(x) = -1 + 0.0025(x_4 + x_6) \leq 0$$

$$g_2(x) = -1 + 0.0025(x_5 + x_7 - x_4) \leq 0$$

$$g_3(x) = -1 + 0.01(x_8 - x_5) \leq 0$$

$$g_4(x) = -x_1x_6 + 833.33252x_4 + 100x_1 - 83333.333 \leq 0$$

$$g_5(x) = -x_2x_7 + 1250x_5 + x_2x_4 - 1250x_4 \leq 0$$

$$g_6(x) = -x_3x_8 + 1250000 + x_3x_5 - 2500x_5 \leq 0$$

There are 8 decision variables and 6 constraint functions defined on the decision variables in G10 problem. The optimum solution is 7049.3307 while decision variables are (579.3167, 1359.943, 5110.071, 182.0174, 295.5985, 217.9799, 286.4162, and 395.5979). The search space is $100 \leq x_1 \leq 10000$, $1000 \leq x_i \leq 10000$ ($i = 2,3$), $10 \leq x_i \leq 1000$ ($i = 4, \dots, 8$).

G11 Problem

$$\min f(x) = x_1^2 + (x_2 - 1)^2$$

subject to

$$h(x) = x_2 - x_1^2 = 0$$

There are 2 decision variables and a constraint functions defined on the decision variables in G11 problem. The optimum solution is 0.75 while decision variables are $(\sqrt{\frac{1}{2}}, \frac{1}{2})$. The search space is $-1 \leq x_i \leq 1$ and ($i = 1,2$).

G12 Problem

$$\max f(x) = \frac{(100 - (x_1 - 5)^2 - (x_2 - 5)^2 - (x_3 - 5)^2)}{100}$$

subject to

$$g(x) = (x_1 - p)^2 + (x_2 - q)^2 + (x_3 - r)^2 - 0.0625 \leq 0$$

There are 3 decision variables and a constraint functions defined on the decision variables in G12 problem. The feasible region of the search space consists of 9^3 disjointed spheres. A point (x_1, x_2, x_3) is feasible if and only if there exist p, q, r such that the above inequality holds. The optimum solution is 1 while decision variables are (5,5,5). The search space is $0 \leq x_i \leq 10$ and $(i = 1, 2, 3)$ also $p, q, r = 1, 2, \dots, 9$. The solution lies within the feasible region.

G13 Problem

$$\min f(x) = e^{x_1 x_2 x_3 x_4}$$

subject to

$$h_1(x) = x_1^2 + x_2^2 + x_3^2 + x_4^2 + x_5^2 - 10 = 0$$

$$h_2(x) = x_2 x_3 - 5 x_4 x_5 = 0$$

$$h_3(x) = x_1^3 + x_2^3 + 1 = 0$$

There are 5 decision variables and 3 constraint functions defined on the decision variables in G13 problem. The global minimum is 0.0539498 while decision variables are (-1.717143, 1.595709, 1.827247, -0.7636413, -0.763645). The search space is $-2.3 \leq x_i \leq 2.3$ ($i = 1, 2$), $-3.2 \leq x_i \leq 3.2$ ($i = 3, 4, 5$).