



## COEFFICIENT BOUNDS FOR A CERTAIN SUBCLASS OF ANALYTIC AND BI-UNIVALENT FUNCTIONS

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**ABSTRACT.** In this paper, we introduce and investigate a new subclass of the analytic and bi-univalent functions in the open unit disk in the complex plane. For the functions belonging to this class, we obtain estimates on the first three coefficients in their Taylor-Maclaurin series expansion. Some interesting corollaries and applications of the results obtained here are also discussed.

### 1. INTRODUCTION AND PRELIMINARIES

Let  $A$  denote the class of all complex-valued analytic functions in the open unit disk  $U = \{z \in \mathbb{C} : |z| < 1\}$  in the complex plane of the form

$$f(z) = z + a_2z^2 + a_3z^3 + \cdots = z + \sum_{n=2}^{\infty} a_n z^n, \quad z \in U. \quad (1.1)$$

Furthermore, by  $S$  we shall denote the class of all functions in  $A$  which are univalent in  $U$ . Some of the important and well-investigated subclasses of  $S$  include the class  $S^*(\alpha)$  of starlike functions of order  $\alpha$  and the class  $C(\alpha)$  of convex functions of order  $\alpha$  ( $\alpha \in [0, 1)$ ).

By definition

$$S^*(\alpha) = \left\{ f \in S : \operatorname{Re} \left( \frac{zf'(z)}{f(z)} \right) > \alpha, z \in U \right\}, \quad \alpha \in [0, 1)$$

and

$$C(\alpha) = \left\{ f \in S : \operatorname{Re} \left( 1 + \frac{zf'(z)}{f(z)} \right) > \alpha, z \in U \right\}, \quad \alpha \in [0, 1).$$

The above mentioned function classes have been recently investigated rather extensively in [10, 20, 26, 29] and the references therein.

It is well-known that every function  $f \in S$  has an inverse  $f^{-1}$ , defined by  $f^{-1}(f(z)) = z$ ,  $z \in U$  and  $f(f^{-1}(w)) = w$ ,  $w \in D = \{w \in \mathbb{C} : |w| < r_0(f)\}$ ,  $r_0(f) \geq 1/4$  where  $f^{-1}(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \cdots$ .

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An analytic function  $f$  is subordinate to an analytic function  $\phi$ , written  $f(z) \prec \phi(z)$ , provided there is an analytic function  $u : U \rightarrow U$  with  $u(0) = 0$  and  $|u(z)| < 1$  satisfying  $f(z) = \phi(u(z))$  (see, for example, [14]).

Ma and Minda [12] unified various subclasses of starlike and convex functions for which either of the quantity  $\frac{zf'(z)}{f(z)}$  or  $1 + \frac{zf''(z)}{f'(z)}$  is subordinate to a more superordinate function. For this purpose, they considered an analytic function  $\phi$  with positive real part in  $U$ , with  $\phi(0) = 1$ ,  $\phi'(0) > 0$  and  $\phi$  maps  $U$  onto a region starlike with respect to 1 and symmetric with respect to the real axis. The class of Ma-Minda starlike and Ma-Minda convex functions consists of functions  $f \in A$  satisfying the subordination  $\frac{zf'(z)}{f(z)} \prec \phi(z)$  and  $1 + \frac{zf''(z)}{f'(z)} \prec \phi(z)$ , respectively. These classes denoted, respectively, by  $S^*(\phi)$  and  $C(\phi)$ .

An analytic function  $f \in S$  is said to be bi-starlike of Ma-Minda type or bi-convex of Ma-Minda type if both  $f$  and  $f^{-1}$  are, respectively, Ma-Minda starlike or Ma-Minda convex functions. These classes are denoted, respectively, by  $S_{\Sigma}^*(\phi)$  and  $C_{\Sigma}(\phi)$ . In the sequel, it is assumed that  $\phi$  is an analytic function with positive real part in  $U$ , satisfying  $\phi(0) = 1$ ,  $\phi'(0) > 0$  and  $\phi(U)$  is starlike with respect to 1 and symmetric with respect to the real axis. Such a function has a series expansion of the following form:

$$\phi(z) = 1 + b_1z + b_2z^2 + b_3z^3 + \dots, \quad b_1 > 0. \quad (1.2)$$

A function  $f \in A$  is said to be bi-univalent in  $U$  if both  $f$  and  $f^{-1}$  are univalent. Let  $\Sigma$  denote the class of bi-univalent functions in  $U$  given by (1.1).

Examples of functions in the class  $\Sigma$  are

$$\frac{z}{1-z}, \quad \ln \frac{1}{1-z}, \quad \ln \sqrt{\frac{1+z}{1-z}}.$$

However, the familiar Koebe function is not a member of  $\Sigma$ . Other common examples of functions in  $A$  such as

$$\frac{2z - z^2}{2} \quad \text{and} \quad \frac{z}{1 - z^2}$$

are also not members of  $\Sigma$ .

Earlier, Brannan and Taha [3] introduced certain subclasses of bi-univalent function class  $\Sigma$ , namely bi-starlike function of order  $\alpha$  denoted  $S_{\Sigma}^*(\alpha)$  and bi-convex function of order  $\alpha$  denoted  $C_{\Sigma}(\alpha)$  corresponding to the function classes  $S^*(\alpha)$  and  $C(\alpha)$ , respectively. Thus, following Brannan and Taha [3], a function  $f \in \Sigma$  is in the classes  $S_{\Sigma}^*(\alpha)$  and  $C_{\Sigma}(\alpha)$ , respectively, if each of the following conditions is satisfied:

$$\operatorname{Re} \left( \frac{zf'(z)}{f(z)} \right) > \alpha, z \in U, \quad \operatorname{Re} \left( \frac{zg'(w)}{g(w)} \right) > \alpha, w \in D$$

and

$$\operatorname{Re} \left( 1 + \frac{zf'(z)}{f(z)} \right) > \alpha, z \in U, \operatorname{Re} \left( 1 + \frac{zg'(w)}{g(w)} \right) > \alpha, w \in D.$$

For each of the function classes  $S_{\Sigma}^*(\alpha)$  and  $C_{\Sigma}(\alpha)$ , they found non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$ .

Lewin [11] investigated bi-univalent function class  $\Sigma$  and showed that  $|a_2| < 1.51$ . Subsequently, Brannan and Clunie [2] conjectured that  $|a_2| < \sqrt{2}$ .

For a brief history and interesting examples of functions which are in the class  $\Sigma$ , together with various other properties of this bi-univalent function class, one can refer the work of Srivastava et al. [22] and references therein. In [22], Srivastava et al. reviewed the study of coefficient problems for bi-univalent functions. Also, various subclasses of bi-univalent function class were introduced and non-sharp estimates on the first two coefficients in the Taylor-Maclaurin series expansion (1.1) were found in several recent investigations (see, for example, [1, 4, 5, 6, 7, 8, 9, 13, 15, 19, 21, 23, 24, 25, 27, 28]). Recently, Orhan et al. [17] reviewed the study of coefficient problems for the subclass  $\text{NP}_{\Sigma}^{\mu, \lambda}(\beta, h)$  of bi-univalent functions.

However, the problem to find the coefficient bounds on  $|a_n|$ ,  $n = 3, 4, \dots$  for functions  $f \in \Sigma$  is presumably still an open problem (see, for example [2, 11, 16]).

Inspired by the aforementioned works, we define a subclass of  $\Sigma$  as follows.

**Definition 1.1.** A function  $f \in \Sigma$  given by (1.1) is said to be in the class  $M_{\Sigma}(\phi, \beta)$ ,  $\beta \geq 0$ , where  $\phi$  is an analytic function given by (1.2), if the following conditions are satisfied:

$$\begin{aligned} \left( \frac{zf'(z)}{f(z)} \right)^{\beta} \left( 1 + \frac{zf''(z)}{f'(z)} \right)^{1-\beta} &< \phi(z), z \in U, \\ \left( \frac{zg'(w)}{g(w)} \right)^{\beta} \left( 1 + \frac{zg''(w)}{g'(w)} \right)^{1-\beta} &< \phi(w), w \in D, \end{aligned}$$

where  $g = f^{-1}$ .

*Remark 1.2.* Taking  $\beta = 1$ , we have  $M_{\Sigma}(\phi, 1) = S_{\Sigma}^*(\phi)$ ; that is,

$$\frac{zf'(z)}{f(z)} < \phi(z), z \in U \quad \text{and} \quad \frac{zg'(w)}{g(w)} < \phi(w), w \in D$$

if and only if  $f \in S_{\Sigma}^*(\phi)$ , where  $g = f^{-1}$ .

*Remark 1.3.* Taking  $\beta = 0$ , we have  $M_{\Sigma}(\phi, 0) = C_{\Sigma}(\phi)$ ; that is,

$1 + \frac{zf'(z)}{f(z)} < \phi(z), z \in U$  and  $1 + \frac{zg'(w)}{g(w)} < \phi(w), w \in D$  if and only if  $f \in C_{\Sigma}(\phi)$ , where  $g = f^{-1}$ .

*Remark 1.4.* These classes  $S_{\Sigma}^*(\phi)$  and  $C_{\Sigma}(\phi)$  were investigated by Ma and Minda [12].

The object of this paper is to introduce a new subclass  $M_{\Sigma}(\phi, \beta)$  of the function class  $\Sigma$  that is wider (respect to  $\beta$ ) to the subclasses examined so far and to find

estimates on the first three Taylor-Maclaurin coefficients  $|a_2|$ ,  $|a_3|$  and  $|a_4|$  for the functions in this class.

To prove our main results, we have to recall the following well-known Lemma [18].

**Lemma 1.5.** *Let  $P$  be the class of all analytic functions  $p(z)$  of the form*

$$p(z) = 1 + p_1z + p_2z^2 + \dots = 1 + \sum_{n=1}^{\infty} p_nz^n,$$

satisfying  $Re(p(z)) > 0, z \in U$  and  $p(0) = 1$ . Then,

$$2p_2 = p_1^2 + (4 - p_1^2)x,$$

$$4p_3 = p_1^3 + 2(4 - p_1^2)p_1x - (4 - p_1^2)p_1x^2 + 2(4 - p_1^2)(1 - |x|^2)z,$$

for some  $x, z$  with  $|x| \leq 1, |z| \leq 1$  and  $p_1 \in [0, 2]$ .

## 2. COEFFICIENT BOUNDS FOR THE FUNCTION CLASS $M_{\Sigma}(\phi, \beta)$

In this section, we will try to find the estimates on the coefficients  $|a_2|, |a_3|$  and  $|a_4|$  for the functions in the class  $M_{\Sigma}(\phi, \beta)$ .

**Theorem 2.1.** *Let the function  $f(z)$  given by (1.1) be in the class  $M_{\Sigma}(\phi, \beta)$ ,  $\beta \in [0, 1]$ , where  $\phi$  is an analytic function given by (1.2). Then,*

$$|a_2| \leq \frac{b_1}{2 - \beta}, |a_3| \leq \begin{cases} \frac{b_1^2}{(2 - \beta)^2}, & \text{if } b_1 \leq \frac{(2 - \beta)^2}{2(3 - 2\beta)}, \\ \frac{b_1}{2(3 - 2\beta)}, & \text{if } b_1 > \frac{(2 - \beta)^2}{2(3 - 2\beta)} \end{cases}$$

and

$$|a_4| \leq \min \left\{ \frac{|b_1^3\varphi(\beta) - 6(2 - \beta)^3\Lambda| + 6(2 - \beta)^3|2b_2 - b_1|}{18(2 - \beta)^3(4 - 3\beta)}, \frac{b_1}{3(4 - 3\beta)} \right\},$$

where  $\varphi(\beta) = \beta^3 - 3\beta^2 - 46\beta + 60 > 0$  and  $\Lambda = \Lambda(b_1, b_2, b_3) = b_1 - 2b_2 + b_3$ .

*Proof.* Let  $f \in M_{\Sigma}(\phi, \beta)$ ,  $\beta \in [0, 1]$ , where  $\phi$  is an analytic function given by (1.2) and  $g = f^{-1}$ . Then, there are analytic functions  $u : U \rightarrow U, v : D \rightarrow D$  with  $u(0) = 0 = v(0), |u(z)| < 1, |v(w)| < 1$  and satisfying

$$\begin{aligned} \left(\frac{zf'(z)}{f(z)}\right)^{\beta} \left(1 + \frac{zf''(z)}{f'(z)}\right)^{1-\beta} &= \phi(u(z)) \\ \text{and } \left(\frac{wg'(w)}{g(w)}\right)^{\beta} \left(1 + \frac{wg''(w)}{g'(w)}\right)^{1-\beta} &= \phi(v(w)). \end{aligned} \tag{2.1}$$

Let us define the functions  $p(z)$  and  $q(w)$  by

$$p(z) = \frac{1+u(z)}{1-u(z)} = 1 + \sum_{n=1}^{\infty} p_nz^n, z \in U \text{ and } q(w) = \frac{1+v(w)}{1-v(w)} = 1 + \sum_{n=1}^{\infty} q_nw^n, w \in D.$$

It follows that

$$u(z) = \frac{p(z) - 1}{p(z) + 1} = \frac{1}{2} \left\{ p_1 z + \left[ p_2 - \frac{p_1^2}{2} \right] z^2 + \left[ p_3 - p_1 p_2 + \frac{p_1^3}{4} \right] z^3 + \dots \right\} \quad (2.2)$$

and

$$v(w) = \frac{q(w) - 1}{q(w) + 1} = \frac{1}{2} \left\{ q_1 w + \left[ q_2 - \frac{q_1^2}{2} \right] w^2 + \left[ q_3 - q_1 q_2 + \frac{q_1^3}{4} \right] w^3 + \dots \right\}. \quad (2.3)$$

Using (2.2) and (2.3) in (1.2), we can easily write

$$\begin{aligned} \phi(u(z)) &= 1 + \frac{b_1 p_1}{2} z + \left[ \frac{b_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4} b_2 p_1^2 \right] z^2 \\ &+ \left[ \frac{b_1}{2} \left( p_3 - p_1 p_2 + \frac{p_1^3}{4} \right) + \frac{b_2 p_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{b_3 p_1^3}{8} \right] z^3 + \dots \end{aligned} \quad (2.4)$$

and

$$\begin{aligned} \phi(v(w)) &= 1 + \frac{b_1 q_1}{2} w + \left[ \frac{b_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{1}{4} b_2 q_1^2 \right] w^2 \\ &+ \left[ \frac{b_1}{2} \left( q_3 - q_1 q_2 + \frac{q_1^3}{4} \right) + \frac{b_2 q_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{b_3 q_1^3}{8} \right] w^3 + \dots \end{aligned} \quad (2.5)$$

Also, using (2.4) and (2.5) in (2.1) and equating the coefficients, we get

$$(2 - \beta) a_2 = \frac{b_1 p_1}{2}, \quad (2.6)$$

$$2(3 - 2\beta) a_3 + \frac{1}{2} (\beta^2 + 5\beta - 8) a_2^2 = \frac{b_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4} b_2 p_1^2, \quad (2.7)$$

$$\begin{aligned} 3(4 - 3\beta) a_4 + (4\beta^2 + 11\beta - 18) a_2 a_3 - \frac{1}{6} (\beta^3 + 21\beta^2 + 20\beta - 48) a_2^3 \\ = \frac{b_1}{2} \left( p_3 - p_1 p_2 + \frac{p_1^3}{4} \right) + \frac{b_2 p_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{b_3 p_1^3}{8} \end{aligned} \quad (2.8)$$

and

$$-(2 - \beta) a_2 = \frac{b_1 q_1}{2}, \quad (2.9)$$

$$-2(3 - 2\beta) a_3 + \frac{1}{2} (\beta^2 - 11\beta + 16) a_2^2 = \frac{b_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{1}{4} b_2 q_1^2, \quad (2.10)$$

$$\begin{aligned} -3(4 - 3\beta) a_4 + (4\beta^2 - 34\beta + 42) a_2 a_3 + \frac{1}{6} (\beta^3 - 27\beta^2 + 158\beta - 192) a_2^3 \\ = \frac{b_1}{2} \left( q_3 - q_1 q_2 + \frac{q_1^3}{4} \right) + \frac{b_2 q_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{b_3 q_1^3}{8} \end{aligned} \quad (2.11)$$

From (2.6) and (2.9), we have

$$a_2 = \frac{b_1 p_1}{2(2 - \beta)} = \frac{-b_1 q_1}{2(2 - \beta)}, \quad (2.12)$$

which is equivalent to

$$p_1 = -q_1. \quad (2.13)$$

By subtracting from (2.7) to (2.10) and considering (2.12) and (2.13), we can easily obtain

$$a_3 = \frac{b_1^2 p_1^2}{4(2-\beta)^2} + \frac{b_1(p_2 - q_2)}{8(3-2\beta)}. \quad (2.14)$$

On the other hand, subtracting (2.11) from (2.8) and considering (2.12) and (2.14), we get

$$a_4 = \frac{b_1^3 p_1^3 \varphi(\beta)}{144(2-\beta)^3(4-3\beta)} + \frac{5b_1^2 p_1(p_2 - q_2)}{32(2-\beta)(3-2\beta)} + \frac{b_1(p_3 - q_3)}{12(4-3\beta)} \\ + \frac{(b_2 - b_1)p_1(p_2 + q_2)}{12(4-3\beta)} - \frac{p_1^3 \Lambda}{24(4-3\beta)}, \quad (2.15)$$

where  $\varphi(\beta) = \beta^3 - 3\beta^2 - 46\beta + 60 > 0$  and  $\Lambda = \Lambda(b_1, b_2, b_3) = b_1 - 2b_2 + b_3$ .

Since  $p_1 = -q_1$ , according to Lemma 1.5 we write

$$p_2 - q_2 = \frac{4 - p_1^2}{2}(x - y), \quad p_2 + q_2 = p_1^2 + \frac{4 - p_1^2}{2}(x + y) \quad (2.16)$$

and

$$p_3 - q_3 = \frac{p_1^3}{2} + \frac{p_1(4 - p_1^2)}{2}(x + y) - \frac{p_1(4 - p_1^2)}{4}(x^2 + y^2) \\ + \frac{4 - p_1^2}{2} \left[ (1 - |x|^2)z - (1 - |y|^2)w \right]. \quad (2.17)$$

for some  $x, y, z, w$  with  $|x| \leq 1, |y| \leq 1, |z| \leq 1, |w| \leq 1$ . In this case, since  $p_1 \in [0, 2]$ , we may assume without any restriction that  $t \in [0, 2]$ , where  $t = |p_1|$ . Hence, we find from (2.12) that

$$|a_2| \leq \frac{b_1}{2 - \beta}.$$

Substituting the first expression (2.16) in (2.14), we obtain

$$a_3 = \frac{b_1^2 p_1^2}{4(2-\beta)^2} + \frac{b_1(4 - p_1^2)}{16(3-2\beta)}(x - y).$$

Applying triangle inequality on the last equation and taking  $\xi = |x|, \eta = |y|$ , we have

$$|a_3| \leq c_1(t) + c_2(t)(\xi + \eta), \quad (2.18)$$

where

$$c_1(t) = \frac{b_1^2 t^2}{4(2-\beta)^2} \geq 0, \quad c_2(t) = \frac{b_1(4 - t^2)}{16(3-2\beta)} \geq 0, \quad t \in [0, 2].$$

Let us define the function  $F : \mathbb{R}^3 \rightarrow \mathbb{R}$  as follows:

$$F(\xi, \eta, t) = c_1(t) + c_2(t)(\xi + \eta), \quad (\xi, \eta) \in \Omega, \quad t \in [0, 2], \quad (2.19)$$

where  $\Omega = \{(\xi, \eta) : \xi, \eta \in [0, 1]\}$ .

From (2.18) and (2.19), we can write

$$|a_3| \leq \min \{ \max \{ F(\xi, \eta, t) : (\xi, \eta) \in \Omega \} : t \in [0, 2] \}. \tag{2.20}$$

We can easily show that

$$\max \{ F(\xi, \eta, t) : (\xi, \eta) \in \Omega \} = F(1, 1, t) = c_1(t) + 2c_2(t), \quad t \in [0, 2]. \tag{2.21}$$

Now, let us define the function  $H : \mathbb{R} \rightarrow \mathbb{R}$  as follows:

$$H(t) = c_1(t) + 2c_2(t), \quad t \in [0, 2].$$

Substituting the value of  $c_1(t)$  and  $c_2(t)$  in the above function, we have

$$H(t) = \frac{b_1}{2(3-2\beta)} + \frac{\Delta(\beta, b_1)}{8(3-2\beta)(2-\beta)^2} t^2, \tag{2.22}$$

where  $\Delta(\beta, b_1) = 2(3-2\beta)b_1^2 - (2-\beta)^2b_1$ .

Differentiating both sides of (2.22), we get

$$H'(t) = \frac{\Delta(\beta, b_1)}{4(3-2\beta)(2-\beta)^2} t.$$

It is clear that  $H'(t) \leq 0$  if  $0 < b_1 \leq \frac{(2-\beta)^2}{2(3-2\beta)}$ ; that is,  $H(t)$  is a decreasing function. Therefore,

$$\min \{ H(t) : t \in [0, 2] \} = H(2) = \frac{b_1^2}{(2-\beta)^2}. \tag{2.23}$$

Let  $b_1 > \frac{(2-\beta)^2}{2(3-2\beta)}$ , then  $H'(t) > 0$ , so  $H(t)$  is a strictly increasing function.

Therefore,

$$\min \{ H(t) : t \in [0, 2] \} = H(0) = \frac{b_1}{2(3-2\beta)}. \tag{2.24}$$

Consequently, from (2.21)-(2.24) and (2.20), we have

$$|a_3| \leq \begin{cases} \frac{b_1^2}{(2-\beta)^2}, & \text{if } b_1 \leq \frac{(2-\beta)^2}{2(3-2\beta)}, \\ \frac{b_1}{2(3-2\beta)}, & \text{if } b_1 > \frac{(2-\beta)^2}{2(3-2\beta)}. \end{cases} \tag{2.25}$$

Substituting the expressions (2.16) and (2.17) in (2.15), we obtain

$$\begin{aligned} a_4 = & \frac{b_1(4-p_1^2)}{24(4-3\beta)} \left[ (1-|x|^2)z - (1-|y|^2)w \right] - \frac{b_1(4-p_1^2)p_1}{48(4-3\beta)} (x^2 + y^2) \\ & + \frac{b_2(4-p_1^2)p_1}{24(4-3\beta)} (x+y) + \frac{5b_1^2(4-p_1^2)p_1}{64(2-\beta)(3-2\beta)} (x-y) \\ & + \frac{b_1^3\varphi(\beta)-6(2-\beta)^3\Lambda+6(2-\beta)^3(2b_2-b_1)}{144(2-\beta)^3(4-3\beta)} p_1^3. \end{aligned}$$

Applying triangle inequality on the last equation, we have

$$|a_4| \leq d_1(t) (\xi^2 + \eta^2) + d_2(t) (\xi + \eta) + d_3(t), \quad (2.26)$$

where

$$\begin{aligned} d_1(t) &= \frac{b_1 (4-t^2) (t-2)}{48(4-3\beta)} \leq 0, \\ d_2(t) &= \frac{(4-t^2) t [8|b_2| (2-\beta) (3-2\beta) + 15b_1^2 (4-3\beta)]}{192(2-\beta) (3-2\beta) (4-3\beta)} \geq 0, \\ d_3(t) &= \frac{|b_1^3 \varphi(\beta) - 6(2-\beta)^3 \Lambda| + 6(2-\beta)^3 |2b_2 - b_1|}{144(2-\beta)^3 (4-3\beta)} t^3 + \frac{b_1 (4-t^2)}{12(4-3\beta)} \geq 0. \end{aligned}$$

Let us define the function  $G : \mathbb{R}^3 \rightarrow \mathbb{R}$  as follows:

$$G(\xi, \eta, t) = d_1(t) (\xi^2 + \eta^2) + d_2(t) (\xi + \eta) + d_3(t), \quad (\xi, \eta) \in \Omega, \quad t \in [0, 2]. \quad (2.27)$$

From (2.26) and (2.27), we can write

$$|a_4| \leq \min \{ \max \{ G(\xi, \eta, t) : (\xi, \eta) \in \Omega \} : t \in [0, 2] \}. \quad (2.28)$$

Firstly, we need investigate maximum of the function  $G(\xi, \eta, t)$  on the closed square  $\Omega$  for each  $t \in [0, 2]$ . Since the coefficients of the function  $G(\xi, \eta, t)$  is dependent to variable  $t$ , we must investigate this maximum respect to  $t$  taking into account these cases:  $t = 0$ ,  $t \in (0, 2)$  and  $t = 2$ .

For  $t = 0$  we have

$$G_0(\xi, \eta) = G(\xi, \eta, 0) = \frac{-b_1}{6(4-3\beta)} (\xi^2 + \eta^2) + \frac{b_1}{3(4-3\beta)}, \quad (\xi, \eta) \in \Omega.$$

We can easily show that the maximum of the function  $G_0(\xi, \eta)$  occurs at  $(\xi, \eta) = (0, 0)$ , and

$$\max \{ G_0(\xi, \eta) : (\xi, \eta) \in \Omega \} = G_0(0, 0) = \frac{b_1}{3(4-3\beta)}. \quad (2.29)$$

In the case  $t \in (0, 2)$ , by simple differentiation, we get

$$\begin{aligned} G'_\xi(\xi, \eta, t) &= 2d_1(t) \xi + d_2(t), \quad G'_\eta(\xi, \eta, t) = 2d_1(t) \eta + d_2(t), \\ G''_{\xi\xi}(\xi, \eta, t) &= G''_{\eta\eta}(\xi, \eta, t) = 2d_1(t), \quad G''_{\xi\eta}(\xi, \eta, t) = G''_{\eta\xi}(\xi, \eta, t) = 0. \end{aligned}$$

From the first and second equations above, we see that  $(\xi_0, \eta_0)$ , where  $\xi_0 = \eta_0 = \frac{-d_2(t)}{2d_1(t)}$ , is critical and likely an extremal point for of the function  $G(\xi, \eta, t)$ .

Since

$$\Delta(\xi_0, \eta_0) = G''_{\xi\xi}(\xi_0, \eta_0, t) G''_{\eta\eta}(\xi_0, \eta_0, t) - [G''_{\xi\xi}(\xi_0, \eta_0, t)]^2 = 4d_1^2(t) > 0$$

and  $G_{\xi\xi}''(\xi, \eta, t) = G_{\eta\eta}''(\xi, \eta, t) = 2d_1(t) < 0$ ,  $(\xi_0, \eta_0)$  is a likely maximum point for the function  $G(\xi, \eta, t)$ . But, it is clear that  $(\xi_0, \eta_0)$  is not a local maximum point if  $\frac{-d_2(t)}{2d_1(t)} > 0$ ; that is if  $(\xi_0, \eta_0) \notin \Omega$ . We assume that  $(\xi_0, \eta_0) \in \Omega$ . In this case  $(\xi_0, \eta_0)$  is a local maximum point for the function  $G(\xi, \eta, t)$ .

Therefore,

$$\max \{G(\xi, \eta, t) : (\xi, \eta) \in \Omega\} = G(\xi_0, \eta_0, t) = d_3(t) - \frac{-d_2^2(t)}{2d_1(t)}.$$

Let us define the function  $h : \mathbb{R} \rightarrow \mathbb{R}$  by

$$h(t) = d_3(t) - \frac{-d_2^2(t)}{2d_1(t)}, \quad t \in (0, 2).$$

Substituting the value  $d_1(t)$ ,  $d_2(t)$  and  $d_3(t)$  in the above function, we have

$$h(t) = h_1t^3 + h_2t^2 + h_3, \quad t \in (0, 2), \tag{2.30}$$

where

$$\begin{aligned} h_1 &= \frac{|b_1^3\varphi(\beta) - 6(2-\beta)^3\Lambda| + 6(2-\beta)^3|2b_2 - b_1|}{144(2-\beta)^3(4-3\beta)} \\ &\quad + \frac{[8|b_2|(2-\beta)(3-2\beta) + 15b_1^2(4-3\beta)]^2}{1536(2-\beta)^2(3-2\beta)^2(4-3\beta)b_1} > 0, \\ h_2 &= \frac{[8|b_2|(2-\beta)(3-2\beta) + 15b_1^2(4-3\beta)]^2}{768(2-\beta)^2(3-2\beta)^2(4-3\beta)b_1} - \frac{b_1}{12(4-3\beta)}, \\ h_3 &= \frac{b_1}{3(4-3\beta)} > 0. \end{aligned}$$

Also, we consider the function  $\bar{h} : \mathbb{R} \rightarrow \mathbb{R}$  as follows:

$$\bar{h}(t) = h_1t^3 + \bar{h}_2t^2 + h_3, \quad t \in (0, 2), \tag{2.31}$$

where

$$\bar{h}_2 = h_2 + \frac{b_1}{12(4-3\beta)} = \frac{[8|b_2|(2-\beta)(3-2\beta) + 15b_1^2(4-3\beta)]^2}{768(2-\beta)^2(3-2\beta)^2(4-3\beta)b_1} > 0.$$

Since  $h(t) < \bar{h}(t)$  for all  $t \in (0, 2)$ , we can write

$$\min \{h(t) : t \in (0, 2)\} \leq \min \{\bar{h}(t) : t \in (0, 2)\}. \tag{2.32}$$

Now, we will investigate minimum of the function  $\bar{h}(t)$  on the open interval  $(0, 2)$ .

Differentiating both sides of (2.31), we have

$$\bar{h}'(t) = (3h_1t + 2\bar{h}_2)t, \quad t \in (0, 2).$$

Since  $h_1 > 0$ ,  $\bar{h}_2 > 0$ , the function  $\bar{h}(t)$  is a strictly increasing function on  $(0, 2)$ .

Therefore,

$$\min \{\bar{h}(t) : t \in (0, 2)\} = \bar{h}(0+) = \lim_{t \rightarrow 0+} \bar{h}(t) = \frac{b_1}{3(4-3\beta)}. \tag{2.33}$$

Finally, let  $t = 2$ . In this case the function  $G(\xi, \eta, 2)$  is a constant as follows:

$$G_2(\xi, \eta) = G(\xi, \eta, 2) = d_3(2) = \frac{|b_1^3 \varphi(\beta) - 6(2 - \beta)^3 \Lambda| + 6(2 - \beta)^3 |2b_2 - b_1|}{18(2 - \beta)^3(4 - 3\beta)}. \tag{2.34}$$

Thus, from (2.29)-(2.34) and (2.28), we obtain

$$|a_4| \leq \min \left\{ \frac{|b_1^3 \varphi(\beta) - 6(2 - \beta)^3 \Lambda| + 6(2 - \beta)^3 |2b_2 - b_1|}{18(2 - \beta)^3(4 - 3\beta)}, \frac{b_1}{3(4 - 3\beta)} \right\}.$$

With this, the proof of Theorem 2.1 is completed. □

The following theorems are direct results of Theorem 2.1.

**Theorem 2.2.** *Let the function  $f(z)$  given by (1.1) be in the class  $S_\Sigma^*(\phi)$ , where  $\phi$  is an analytic function given by (1.2). Then,*

$$|a_2| \leq b_1, |a_3| \leq \begin{cases} b_1^2, & \text{if } b_1 \leq \frac{1}{2}, \\ \frac{b_1}{2}, & \text{if } b_1 > \frac{1}{2} \end{cases}$$

and

$$|a_4| \leq \min \left\{ \frac{|2b_1^3 - \Lambda| + |2b_2 - b_1|}{3}, \frac{b_1}{3} \right\},$$

where  $\Lambda = \Lambda(b_1, b_2, b_3) = b_1 - 2b_2 + b_3$ .

**Theorem 2.3.** *Let the function  $f(z)$  given by (1.1) be in the class  $C_\Sigma(\phi)$ , where  $\phi$  is an analytic function given by (1.2). Then,*

$$|a_2| \leq \frac{b_1}{2}, |a_3| \leq \begin{cases} \frac{b_1^2}{4}, & \text{if } b_1 \leq \frac{2}{3}, \\ \frac{b_1}{6}, & \text{if } b_1 > \frac{2}{3} \end{cases}$$

and

$$|a_4| \leq \min \left\{ \frac{|5b_1^3 - 4\Lambda| + 4|2b_2 - b_1|}{48}, \frac{b_1}{12} \right\},$$

where  $\Lambda = \Lambda(b_1, b_2, b_3) = b_1 - 2b_2 + b_3$ .

### 3. CONCLUDING REMARKS

If the function  $\phi(z)$ , aforementioned in study, is given by

$$\phi(z) = \frac{1 + az}{1 + bz} = 1 + (a - b)z - b(a - b)z^2 + b^2(a - b)z^3 + \dots \quad (-1 \leq b < a \leq 1), \tag{3.1}$$

then  $b_1 = (a - b)$ ,  $b_2 = -b(a - b)$  and  $b_3 = b^2(a - b)$ .

Taking  $a = 1 - 2\alpha$ ,  $b = -1$  in (3.1), we have

$$\phi(z) = \frac{1 + (1 - 2\alpha)z}{1 - z} = 1 + 2(1 - \alpha)z + 2(1 - \alpha)z^2 + 2(1 - \alpha)z^3 + \dots \quad (0 \leq \alpha < 1). \tag{3.2}$$

Hence,  $b_1 = b_2 = b_3 = 2(1 - \alpha)$ .

Choosing  $\phi(z)$  of the form (3.1) and (3.2) in Theorem 2.1, we can readily deduce the following results, respectively.

**Corollary 3.1.** *Let the function  $f(z)$  given by (1.1) be in the class  $M_\Sigma \left( \frac{1+az}{1+bz}, \beta \right)$  ( $-1 \leq b < a \leq 1$ ,  $0 \leq \beta \leq 1$ ). Then,*

$$|a_2| \leq \frac{a-b}{2-\beta}, |a_3| \leq \begin{cases} \frac{(a-b)^2}{(2-\beta)^2}, & \text{if } a-b \leq \frac{(2-\beta)^2}{2(3-2\beta)}, \\ \frac{a-b}{2(3-2\beta)}, & \text{if } a-b > \frac{(2-\beta)^2}{2(3-2\beta)} \end{cases} \quad \text{and } |a_4| \leq \frac{a-b}{3(4-3\beta)}.$$

**Corollary 3.2.** *Let the function  $f(z)$  given by (1.1) be in the class  $M_\Sigma \left( \frac{1+(1-2\alpha)z}{1-z}, \beta \right)$  =  $M_\Sigma(\alpha, \beta)$ ,  $\alpha \in [0, 1]$ ,  $\beta \in [0, 1]$ . Then,*

$$|a_2| \leq \frac{2(1-\alpha)}{2-\beta}, |a_3| \leq \begin{cases} \frac{1-\alpha}{3-2\beta}, & \text{if } 0 \leq \alpha < 1 - \alpha_0, \\ \frac{4(1-\alpha)^2}{(2-\beta)^2}, & \text{if } 1 - \alpha_0 \leq \alpha < 1, \end{cases} \quad \text{and } |a_4| \leq \frac{2(1-\alpha)}{3(4-3\beta)},$$

where  $\alpha_0 = \frac{(2-\beta)^2}{4(3-2\beta)}$ .

Also, taking  $\alpha = 0$  in (3.2), we get

$$\phi(z) = \frac{1+z}{1-z} = 1 + 2z + 2z^2 + 2z^3 + \dots \tag{3.3}$$

Hence,  $b_1 = b_2 = b_3 = 2$ .

Choosing  $\phi(z)$  of the form (3.3) in Theorem 2.1, we arrive at the following corollary.

**Corollary 3.3.** *Let the function  $f(z)$  given by (1.1) be in the class  $M_\Sigma \left( \frac{1+z}{1-z}, \beta \right)$ ,  $\beta \in [0, 1]$ . Then,*

$$|a_2| \leq \frac{2}{2-\beta}, |a_3| \leq \frac{1}{3-2\beta} \quad \text{and } |a_4| \leq \frac{2}{3(4-3\beta)}.$$

Choosing  $\phi(z)$  of the form (3.1) and (3.2) in Theorem 2.2, we can readily deduce the following results, respectively.

**Corollary 3.4.** *Let the function  $f(z)$  given by (1.1) be in the class  $S_\Sigma^* \left( \frac{1+az}{1+bz} \right)$  ( $-1 \leq b < a \leq 1$ ). Then,*

$$|a_2| \leq a - b, |a_3| \leq \begin{cases} (a - b)^2, & \text{if } a - b \leq \frac{1}{2}, \\ \frac{a-b}{2}, & \text{if } a - b > \frac{1}{2} \end{cases} \quad \text{and } |a_4| \leq \frac{a-b}{3}.$$

**Corollary 3.5.** *Let the function  $f(z)$  given by (1.1) be in the class  $S_\Sigma^* \left( \frac{1+(1-2\alpha)z}{1-z} \right)$  =  $S_\Sigma^*(\alpha)$ ,  $\alpha \in [0, 1]$ . Then,*

$$|a_2| \leq 2(1 - \alpha), |a_3| \leq \begin{cases} 1 - \alpha, & \text{if } 0 \leq \alpha < \frac{3}{4}, \\ 4(1 - \alpha)^2, & \text{if } \frac{3}{4} \leq \alpha < 1 \end{cases} \quad \text{and } |a_4| \leq \frac{2(1-\alpha)}{3}.$$

*Remark 3.6.* In the special case, we can also obtain Corollary 3.4 from Corollary 3.1 and Corollary 3.5 from Corollary 3.2 for  $\beta = 1$ .

Moreover, taking, for example,  $\alpha = \frac{3}{4}$  in (3.2), we have

$$\phi(z) = \frac{2-z}{2(1-z)} = 1 + \frac{1}{2}z + \frac{1}{2}z^2 + \frac{1}{2}z^3 + \cdots \quad (3.4)$$

Hence,  $b_1 = b_2 = b_3 = \frac{1}{2}$ .

Choosing  $\phi(z)$  of the form (3.4) in Theorem 2.2, we arrive at the following corollary.

**Corollary 3.7.** *Let the function  $f(z)$  given by (1.1) be in the class  $S_{\Sigma}^* \left( \frac{2-z}{2(1-z)} \right)$ .*

*Then,*

$$|a_2| \leq \frac{1}{2}, |a_3| \leq \frac{1}{4} \text{ and } |a_4| \leq \frac{1}{6}.$$

*Remark 3.8.* In the special case, we can also obtain Corollary 3.7 from Corollary 3.5 for  $\alpha = \frac{3}{4}$ .

Choosing  $\phi(z)$  of the form (3.1) and (3.2) in Theorem 2.3, we can readily deduce the following results, respectively.

**Corollary 3.9.** *Let the function  $f(z)$  given by (1.1) be in the class  $C_{\Sigma} \left( \frac{1+az}{1+bz} \right)$*

*( $-1 \leq b < a \leq 1$ ). Then,*

$$|a_2| \leq \frac{a-b}{2}, |a_3| \leq \begin{cases} \frac{(a-b)^2}{4}, & \text{if } a-b \leq \frac{2}{3}, \\ \frac{a-b}{6}, & \text{if } a-b > \frac{2}{3}, \end{cases} \text{ and } |a_4| \leq \frac{a-b}{12}.$$

**Corollary 3.10.** *Let the function  $f(z)$  given by (1.1) be in the class  $C_{\Sigma} \left( \frac{1+(1-2\alpha)z}{1-z} \right)$*

*=  $C_{\Sigma}(\alpha)$ ,  $\alpha \in [0, 1)$ . Then,*

$$|a_2| \leq 1 - \alpha, |a_3| \leq \begin{cases} \frac{1-\alpha}{3}, & \text{if } 0 \leq \alpha < \frac{2}{3}, \\ (1-\alpha)^2, & \text{if } \frac{2}{3} \leq \alpha < 1 \end{cases} \text{ and } |a_4| \leq \frac{1-\alpha}{6}.$$

*Moreover, taking, for example,  $\alpha = \frac{2}{3}$  in (3.2), we get*

$$\phi(z) = \frac{3-z}{3(1-z)} = 1 + \frac{2}{3}z + \frac{2}{3}z^2 + \frac{2}{3}z^3 + \cdots \quad (3.5)$$

Hence,  $b_1 = b_2 = b_3 = \frac{2}{3}$ .

Choosing  $\phi(z)$  of the form (3.5) in Theorem 2.3, we arrive at the following corollary.

**Corollary 3.11.** *Let the function  $f(z)$  given by (1.1) be in the class  $C_{\Sigma} \left( \frac{3-z}{3(1-z)} \right)$ .*

*Then,*

$$|a_2| \leq \frac{1}{3}, |a_3| \leq \frac{1}{9} \text{ and } |a_4| \leq \frac{1}{18}.$$

*Remark 3.12.* In the special case, we can also obtain Corollary 3.11 from Corollary 3.10 for  $\alpha = \frac{2}{3}$ .

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