Design and Implementation of a Cost Effective Quartz Crystal Microbalance System for Monitoring Small Changes on any Surface

S. TURKDOGAN

Abstract— Quartz crystal microbalance systems are widely used in different fields from biology to electronic. Those devices are very sensitive to mass changes on the piezoelectric crystal surface in nano gram scale and therefore can be used to detect even a single virus on surface of any substance. Although they are very practical and versatile, they are sold with very high prices and thus hindering the usage for someone with tight research budget. In this work, we try to find a cost effective solution and bring this device into the price range on two to three orders of magnitude lower than the commercial counterparts. For that purpose, we develop a cost effective quartz crystal microbalance system using a cost effective microcontroller and a typical oscillator circuit and the final product can be used in different fields to detect the mass change on any surface caused by different reactions or effects.

Index Terms— Piezoelectric, QCM (Quartz Crystal Microbalance), Sensors, Transducers, Thickness/Gas Monitor.

I. INTRODUCTION

DIEZOELECTRIC EFFECT produced by piezoelectric **I** crystals sandwiched between two metal electrodes is an essential phenomena used in electronic applications. When we compress a piezoelectric material such as Quartz it produces an electric charge. However, it works in other way as well and when the electric current is flown it changes the shape slightly and creates a mechanical vibration [1]. In electronics, we utilize the first effect, but latter effect can also be utilized in some applications. Besides producing clock pulses for electronic circuits, piezoelectric crystal can also be used as a mass sensor that is known as Quartz Crystal Microbalance (QCM) [2-7]. They are of great interest for a wide variety of measurements in many fields as they are able to work in different operating conditions in gas or liquid mediums [1-9]. In addition, they offer high sensitivity, high stability, low power consumption and easy operation at room temperature.

SUNAY TURKDOGAN, is with Department of Electrical and Electronics Engineering University of Yalova, Yalova, Turkey, (e-mail: <u>sunayturkdogan@hotmail.com</u>).

Whttps://orcid.org/0000-0002-9690-325X

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QCM sensors are well-suited for the sensor arrays used in environmental, biomedical and chemical applications. For example, in a recent study [10] QCM was utilized to detect the water vapor adsorption level (humid) by nanomaterials in different morphologies. As the morphology changes from thin film to nanowire the surface area significantly increase and therefore more water molecules in gas form can adsorb on their surface which eventually casue to shift resonance frequency of QCM crystal more due to the mass uptake. Other studies also utilize QCM to detect any other gas molecules. In addition to those, QCM can be also used for the following applications. We can measure the real time addition of molecular layers, swelling and desorption rates, rigidity, thickness and water contents, binding events, induced changes in viscoelasticity and thickness, and cellular attachments in parallel with microscopy on any surface.

Our main purpose of this study is to bring such a versatile QCM device into the life with substantially low cost and comparable device performance and make everyone utilize it. This work would be a good reference for someone in a tight budget because the developed system will be on par with the commercial counterparts except with a massive lower cost.

II. WORKING PRINCIPLE OF QCM

QCM is a widely used acoustic wave sensor to figure out the mass change on any surface of a substance as a function of the change on the quartz crystal's resonance frequency. We can calculate the mass change on thin and uniform films using Sauerbrey equation [10]:

$$\Delta m = -\frac{A\sqrt{\mu\rho}}{2f_o^2} \Delta f \tag{1}$$

where A is the active electrode layer area on the crystal, μ the shear modulus of quartz, ρ density of the crystal, fo resonance frequency of the crystal, and Δf frequency shift from its fundamental resonance frequency.

Our QCM device utilizes an AT-cut quartz crystal with resonance frequency of 5MHz. AT-cut crystals widely used by the industry are made from Y bar at 35° rotation (lower right of Fig. 1) and those provide a stable performance over a wide temperature range (-55°C - 125°C). In our device, the Shear Modulus (μ) and density (ρ) of the crystal are 2.947x1011 g/cm.s² and 2.684 g/cm³, respectively. According to Sauerbrey equation (1), the change of ±1Hz of the resonance frequency corresponds to 5.02ng of materials desorbed/adsorbed on the 0.282735 cm² active crystal surface. It is important to note that

a QCM sensor with low resonance frequency should be heavy in order to eliminate the vibrational effects caused by the environment. However, we will utilize a crystal oscillating in a frequency greater than 5MHz and will automatically prevent the occurrence of the aforementioned problem because in nature there is no such vibrations. This will make our QCM system very lightweight.



Fig.1. Schematic view of the quartz crystal from side and cross sectional views. Lower right is the demonstration of AT-cut crystal orientation.

III. RESULTS AND DISCUSSION

A. Design and simulation of a QCM

The idea behind a QCM circuitry is very simple and as follows. The circuit basically consists of a crystal oscillator and the signal produced by this oscillator is detected by a microcontroller and measures the oscillation frequency of the corresponding signal. As previously mentioned this signal is proportional to the mass change over the active electrodes of the piezoelectric crystal. When the crystal adsorbs some specious, negative frequency shift occurs in the oscillation frequency while this turns to be opposite in case of the mass desorption. Various crystal oscillator circuits can be designed, but for the sake of easiness, we can exploit a cost effective crystal oscillator in a single package with potentially different fundamental oscillation frequencies. It is important to note that 1 Hz frequency shift corresponds to a lower mass change as the fundamental oscillation frequency (f_o) gets higher because in Eq.(1), mass change is inversely proportional to the square of fo. It means that as fo increases we get more sensitive measurements. In this research, we utilized TCO-711A oscillator, which can be used between 0.25MHz and 100MHz [12]. This oscillator has hermetically sealed package to prevent any effect caused by the environment; however, we cut the package in order to reach two pins of the crystal connections (see Figure 3b) and those connections could be extended for our convenience in the measurement procedure. Figure 1 shows the cut package and crystal can be clearly seen. This crystal can be replaced by any other crystal operating in the aforementioned frequency range and this determines the oscillation frequency of the system. By changing the crystal pointed in Fig.1 we can use the oscillator

in the indicated range. This circuit uses the second harmonic of the crystals meaning that whatever rated crystal we use we can get half of the rated oscillation frequency. For example, if we replace the crystal with 10MHz crystal we can get 5MHz signal out of TCO-711A. Since we are able to replace the quartz crystal, it gives a chance to do disposable tests. It is recommended that only crystals rather than TCO-711A oscillator (top left component seen in Figure 3b) can be bought and by cutting the package quartz crystals can be taken and face to the environment with a much lower price.

By replacing the original crystal in TCO-711A with HEC 10MHz crystal we test our oscillator and the signal produced by the modified oscillator was analyzed using two channel oscilloscope. Figure 3a shows the signal oscillating around 5Mhz. We will design a circuit to detect this signal and project the result in any serial monitor.



Fig.2. (a) Circuit diagram of the designed QCM system. (b) Simulation results obtained from the virtual terminal. (c) Oscilloscope view of the signal used in simulation to test our QCM device.

Before implementing the designed circuit, a simulation using Proteus 8.0 was done for the system seen in Fig. 2a. In this simulation, a square wave signal with 50% duty cycle was used as the signal produced by crystal oscillator and a model of DHT22 was used to replicate the humidity/temperature sensor. Arduino Nano microcontroller working 16MHz clock speed was utilized to evaluate the data gathered by sensors and oscillator and project the results on a virtual terminal used as a serial monitor. It is important to note that test signal was 1kHz as seen in Fig.2c. The reason for that the signal is disturbed as we increase the frequency to MHz ranges; however, the real device is tested under 5MHz as we will see later. The program we compiled to make this circuit operational was written in Arduino IDE and seen in Appendix section. In the simulation, we get the temperature, humidity and frequency values and monitored those in virtual terminal as seen in Fig. 2b.

B. Prototype circuit and experimental results

After a successful operation of our device in Proteus we implemented our circuit on a breadboard as seen in Fig. 3b. Before testing, the capability of frequency range that our device can run, 50% duty cycle signals with a wide range of frequency from 1kHz to 10MHz was tested. Since our microcontroller work with 16MHz clock speed and we are limited with the frequency up to 8MHz (half of clock speed) by using "FreqCount" command which can be found in [13]. By employing more advanced microcontrollers such as Teensy 3.0, Teensy 3.5 etc. working with high clock speed we can measure the oscillation frequency greater than 8MHz. After testing the capability of frequency read, we build up our system on the breadboard and read the detected values on the PC screen. Although our aim is to measure the oscillating frequency of the crystal, we embedded a temperature and humidity sensor because correlation of humid, temperature and frequency shift is required to make a meaningful comment in many applications.



Fig.3. (a) Real oscillating signal captured by 2 channel oscilloscope showing 5MHz oscillation frequency. (b) Real color image of the system built on a breadboard. Inset: 3D printed casing for the circuit to make our device more compact. (c) Data on the screen was sent by the QCM device and projected on the "CoolTerm" screen via USB connection between PC and circuit.

As seen in Fig.3c, the received data is projected on a free program called "CoolTerm". Our microcontroller sends the

data with 57600 baud rate and the program is set to that value (lower left of Fig.3c) to capture them. The reason we used this program is because the data seen on the screen can simultaneously be saved into the PC and the saved data can be later used for data processing. In other words, we can utilize this program as a cost effective data logger. By 3D printing a case for our system as seen in Fig. 3c, we can make everything compact and implement tests in different environments easily.

As a proof of concept, we test our device in a hermetically sealed humidity test chamber and the results are shown in Fig. 4. The data was obtained in about twelve minutes and with some intervals humidity was altered by flowing a humid air into the chamber. The result shows that the oscillation frequency shifts to lower values as we increase the humidity and the oscillation frequency increases as the humidity gets to the original ambient value. This is as we expected because when the water molecules adsorbed by the electrodes of the crystal, mass increases and this shifts the oscillation to lower frequency values. In addition, the frequency increases as the adsorbed molecules starts to desorb from the surface. It is worth to note that the frequency change is not very high in this case because the electrode surface is very smooth and there is no enough surface area to be adsorbed on. If we coat the electrodes with some nanomaterials having very high surface area, the change in the oscillation frequency becomes more intense. By this way, we can utilize a QCM to detect any phenomenon occurring in gasses or liquid environments.



Fig.4. A graph showing the experimental data gathered via our device in a given time.

IV. CONCLUSION AND FUTURE WORK

In conclusion, we have developed, simulated and implemented a simple but versatile QCM device employing cost effective components and integrated circuits. The results show that it works very satisfactorily. Although our design is very easy to implement it can substitute a very expensive and more complex QCM device and realize the sensitive analysis in different fields with low-cost. This design is believed to be widely speeded all over the world and will be utilized for sensing variety of gases, depositions, desorption and etc. and contribute the development of science. As a future work, we are planning to integrate an OLED/LCD display, real time clock and SD card writer/reader and by this way, we can carry a complete QCM test system in our pocket. This circuit will show the frequency shift, mass change, temperature and humidity information as a function of time on the OLED/LCD screen and can save all these data into a micro SD card to be used in data processing.

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BIOGRAPHY



SUNAY TURKDOGAN received his B.Sc. degree in Electronic Engineering from Uludag University, Turkey in 2007 and he got both his M.S. and Ph.D. degrees in Electrical Engineering from Arizona State University, US in 2012 and 2015, respectively. Currently, he is an Associate Professor in the Department of

Electrical and Electronics Engineering at University of

Yalova, Turkey and his research mainly focuses on nanotechnology, nanophotonics, nanomaterial growth and characterization, optical devices, sensors, solar cells and photovoltaic systems.

APPENDIX

```
//Libraries
#include <DHT.h>
#include <FreqCount.h>
```

//Constants #define DHTPIN 2 // What pin we're connected to #define DHTTYPE DHT22 // DHT 22 type of sensor is used DHT dht(DHTPIN, DHTTYPE); //// Initialize DHT22 sensor //Variables float hum; //Stores humidity value float temp; //Stores temperature value void setup() { Serial.begin(57600); FreqCount.begin(1000); dht.begin(); } void loop() { if (FreqCount.available()) { unsigned long count = FreqCount.read(); //Print frequency value to serial monitor Serial.print("Frequency: "); Serial.print(count); Serial.print(" Hz, Humidity: ");

```
}
//Read data and store it to variables hum and temp
hum = dht.readHumidity();
temp= dht.readTemperature();
//Print temp and humidity values to serial monitor
Serial.print(hum);
Serial.print(" %, Temperature: ");
Serial.print(temp);
Serial.print(temp);
delay(2000); //Delay 2 sec. Sampling rate of DHT22 0.5 Hz
```

}