

**SYMMETRIC TENSOR RANK, CACTUS RANK AND RELATED  
COMPLEXITY MEASURES FOR HOMOGENEOUS  
POLYNOMIALS**

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*Dedicated to memory of Professor Franki Dillen*

ABSTRACT. Let  $\nu_d : \mathbb{P}^m \rightarrow \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , be the Veronese embedding. For any  $P \in \mathbb{P}^r$  we define its complexity rank (resp. complexity scheme-rank) as the minimal integer  $d_1 + \dots + d_s$  with  $d_i$  the degrees of hypersurfaces scheme-theoretically cutting a finite set (resp. a zero-dimensional scheme)  $Z \subset \mathbb{P}^m$  with  $P$  in the linear span of  $\nu_d(Z)$ . We study these definitions (and related ones) when either  $P$  has border rank  $\leq 3$  or  $P$  is in the linear span of  $\nu_d(L)$  for some line  $L \subset \mathbb{P}^m$ .

For any scheme  $Z$  of any projective space  $\mathbb{P}^k$ , let  $\langle Z \rangle \subseteq \mathbb{P}^k$  denote its linear span, i.e. the intersection of all hyperplanes of  $\mathbb{P}^k$  containing  $Z$ , with the convention  $\langle Z \rangle = \mathbb{P}^k$  if there is no such a hyperplane. For any positive integers  $m, d$  let  $\nu_d : \mathbb{P}^m \rightarrow \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , denote the order  $d$  Veronese embedding of  $\mathbb{P}^m$ . For each  $P \in \mathbb{P}^r$  the rank  $r_{m,d}(P)$  (resp. the cactus rank or scheme-rank  $z_{m,d}(P)$ ) of  $P$  is the minimal cardinality (resp. minimal degree) of a finite set (resp. a zero-dimensional scheme)  $Z \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(Z) \rangle$  ([12], [11] (where the cactus rank is called the scheme-rank), [5], [4]). The integers  $r_{m,d}(P)$  and  $z_{m,d}(P)$  are a measure of the complexity of  $P$  with respect to homogeneous polynomials. In this note we study other measures of complexity of finite sets and zero-dimensional schemes  $Z \subset \mathbb{P}^m$ . Taking these integers instead of the integer  $\deg(Z)$  we get various notions of *complexity rank*.

For any finite string  $\underline{d} = d_1 \geq \dots \geq d_s$  of positive integers and any positive real number  $\alpha$  set  $\|\underline{d}\| = (\sum_{i=1}^s d_i^\alpha)^{1/\alpha}$ . Set  $\|\underline{d}\| := \|\underline{d}\|_1$ . For each zero-dimensional scheme  $Z \subset \mathbb{P}^m$  let  $cc(Z)$  be the minimal integer  $\|\underline{d}\|$ , where  $d_1 \geq \dots \geq d_s$  are the degrees of some hypersurfaces  $Y_1, \dots, Y_s$  cutting out  $Z$  scheme-theoretically, i.e. such that  $Z = Y_1 \cap \dots \cap Y_s$  (scheme-theoretic intersection). Let  $\widehat{c}r_{m,d}(P)$  (resp.  $\widehat{c}z_{m,d}(P)$ ) be the minimal integer  $cc(Z)$  for some finite set (resp. zero-dimensional scheme)  $Z$  such that  $P \in \langle \nu_d(Z) \rangle$ . We say that  $\widehat{c}r_{m,d}(P)$  (resp.  $\widehat{c}z_{m,d}(P)$ ) is the *complexity rank* (resp. *complexity scheme-rank*) of  $P$ .

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We also introduce the following two measures of the complexity of the string of integers  $\underline{d}$ . Set  $\|\underline{d}\|_- := \prod_{i=1}^s d_i$  and  $\|\underline{d}\|_+ := \prod_{i=1}^s (d_i + 1)$ . The integer  $\|\underline{d}\|_-$  is quite natural (when  $s = m$  it would give the degree of a zero-dimensional complete intersection  $Z \subset \mathbb{P}^m$  cut out by hypersurfaces of degree  $d_1, \dots, d_m$ ). The integer  $\|\underline{d}\|_+$  weights more the low degree hypersurfaces. For each zero-dimensional scheme  $Z \subset \mathbb{P}^m$  let  $\check{c}c(Z)$ , resp.  $\check{c}\check{c}(Z)$  be the minimal integer  $\|\underline{d}\|_-$  (resp.  $\|\underline{d}\|_+$ ) where  $d_1 \geq \dots \geq d_s$  are the degrees of some hypersurfaces  $Y_1, \dots, Y_s$  cutting out  $Z$  scheme-theoretically. Let  $\check{c}z_{m,d}(P)$  (resp.  $\check{c}r_{m,d}(P)$ ) be the minimal integer  $\check{c}c(Z)$  for a zero-dimensional scheme (resp. a finite set)  $Z \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(Z) \rangle$ . Define  $\check{c}\check{z}_{m,d}(P)$  and  $\check{c}\check{r}_{m,d}(P)$  in the same way using  $\|\cdot\|_+$  instead of  $\|\cdot\|_-$ .

We work over an algebraically closed field  $\mathbb{K}$  with characteristic zero. For the positive characteristic case, see Remark 2.3.

### 1. LINEAR SPANS OF RATIONAL NORMAL CURVES

In this section we first give two preliminary lemmas (Lemma 1.1 and 1.2). Then we show that schemes evincing either  $\widehat{c}z_{m,d}(P)$  or  $\check{c}z_{m,d}(P)$  or  $\check{c}\check{z}_{m,d}(P)$  for some  $P \in \mathbb{P}^r$ ,  $r = \binom{m+d}{m} - 1$ , are complete intersection (see Proposition 1.1). Then we consider the case in which, in suitable coordinates, the homogeneous polynomial associated to  $P$  is a bivariate polynomial, i.e. the case in which there is a line  $L \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(L) \rangle$ . The curve  $\nu_d(L)$  is a degree  $d$  rational normal curve in its linear span  $\langle \nu_d(L) \rangle \subset \mathbb{P}^r$  and  $\dim(\langle \nu_d(L) \rangle) = d$ .

See [12] or [3] for the notion of border rank  $b_{m,d}(P)$  of any  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , with respect to the Veronese variety  $\nu_d(\mathbb{P}^m)$ .

**Lemma 1.1.** *Let  $X$  be an integral projective variety. Fix  $L \in \text{Pic}(X)$  and linear subspaces  $V \subset W \subsetneq H^0(X, L)$ . Call  $B_1, \dots, B_x$  the irreducible components of the set-theoretic base locus of  $V$ . Assume that none of them is an irreducible component of the set-theoretic base locus of  $W$ . Fix a general  $f \in W$ . Then the hypersurface  $\{f = 0\}$  contains no  $B_i$  and hence the base locus of  $W$  is either empty or with dimension  $\leq \max\{\dim(B_i)\} - 1$ .*

*Proof.* Use that  $W$  is an irreducible variety (and hence that a finite intersection of non-empty open subsets of  $W$  is non-empty) and that  $x$  is a finite integer.  $\square$

**Lemma 1.2.** *Let  $Z \subset \mathbb{P}^m$ ,  $Z \neq \emptyset$ , be a zero-dimensional scheme. Let  $a_1 \geq \dots \geq a_s > 0$  be the degrees of a set of polynomials  $g_1, \dots, g_s$  defining scheme-theoretically  $Z$ . Set  $b_i := a_i$  for  $1 \leq i \leq m - 1$  and  $b_m := a_s$ . Fix a general  $f_i \in H^0(\mathcal{I}_Z(b_i))$ . Then the scheme  $\{f_1 = \dots = f_m = 0\}$  has dimension zero.*

*Proof.* Since  $Z \neq \emptyset$ , we have  $s \geq m$  and hence the integers  $b_1, \dots, b_m$  are well-defined. For each integer  $i \in \{2, \dots, m\}$  set  $U_j := \{g_s = \dots = g_i = 0\}$ . Let  $A(k)$ ,  $1 \leq i \leq m$ , the statement that  $\{f_m = \dots = f_{m-k+1} = 0\}$  has dimension  $m - k$ . The lemma is true if  $A(m)$  is true.  $A(1)$  is true, because  $g_s \neq 0$  and hence  $f_m \neq 0$ . Fix an integer  $k \in \{2, \dots, m\}$  and assume  $A(k - 1)$ . Let  $B_1, \dots, B_x$  the irreducible components of the scheme  $\{f_m = \dots = f_{m-k+2} = 0\}$ . Since  $A(k - 1)$  is assumed to be true, we have  $\dim(B_j) = m - k + 1$  for all  $i$ . By Lemma 1.1 to prove  $A(k)$  it is sufficient to see that the base locus  $B$  of the linear subspace of  $H^0(\mathcal{I}_Z(b_{m-k+1}))$  spanned by  $f_m, \dots, f_{m-k+1}$  has dimension at most  $m - k$ . Assume that this is not true and take an irreducible component  $T$  of  $B$  with dimension  $> m - k$ . We have  $T = B_j$  for some  $j$ . Since  $Z = \{g_1 = \dots = g_s\}$ , we have  $\dim(U_k) \leq m - k$ . Since

$U_k$  is contained in the base locus  $\Delta$  of  $|\mathcal{I}_Z(b_k)|$ ,  $\Delta$  has dimension at most  $k$ . Hence  $f|_T \neq 0$  for a general  $f \in H^0(\mathcal{I}_Z(b_k))$ , a contradiction.  $\square$

**Proposition 1.1.** *Fix positive integers  $m, d$ , any  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , and any zero-dimensional scheme  $Z \subset \mathbb{P}^m$  evincing either  $\widehat{c}z_{m,d}(P)$  or  $\check{c}z_{m,d}(P)$  or  $\check{z}_{m,d}(P)$ . Then  $Z$  is a complete intersection.*

*Proof.* Let  $a_1 \geq \dots \geq a_s$  be the degrees of a minimal set of generators of the homogeneous ideal of  $Z$ . We have  $s \geq m$  and  $s = m$  if and only if  $Z$  is a complete intersection. We have  $cc(Z) = d_1 + \dots + d_s$ . By Lemma 1.2 there is a zero-dimensional scheme  $W$  containing  $Z$  and with  $cc(W) = d_s + \sum_{i=1}^{m-1} d_i$ . Since  $W \supseteq Z$  we have  $P \in \langle \nu_d(W) \rangle$ . Hence  $cc(Z) = \widehat{c}z_{m,d}(P) \leq cc(W)$ , i.e.  $m = s$ .

The same proof works for  $\check{c}z_{m,d}(P)$  and  $\check{z}_{m,d}(P)$ .  $\square$

**Theorem 1.1.** *Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , for some  $m \geq 1$ ,  $d \geq 3$ . Assume the existence of a line  $L$  such that  $P \in \langle \nu_d(L) \rangle$ . Then  $\widehat{c}z_{m,d}(P) = z_{m,d}(P) + m - 1$  and  $\widehat{c}r_{m,d}(P) = r_{m,d}(P) + m - 1$ .*

*Proof.* Set  $b := z_{m,d}(P)$ . By a theorem of Sylvester the integer  $b$  is the border rank of  $P$  with respect to the rational normal curve  $\nu_d(L)$  ([12], citebgi). Let  $Z \subset \mathbb{P}^m$  be any scheme evincing  $z_{m,d}(P)$  and  $A \subset \mathbb{P}^m$  any scheme evincing  $r_{m,d}(P)$ . We have  $Z \subset L$  and  $A \subset L$  ([12], Exercise 3.2.2.2, (for  $A$ ) and [8], Proposition 2.1 and Corollary 2.2),  $Z$  is unique ([11], 1.36 and 1.38, [7], Theorem 1.18, or use [3], Lemma 34) and either  $A = Z$  and  $r_{m,d}(P) = b$  or  $b < (d+2)/2$ ,  $r_{m,d}(P) = d+2-b$  and  $A \cap Z = \emptyset$ . Since  $L$  is the complete intersection of  $m-1$  hyperplane and  $Z$  (resp.  $A$ )  $A$  are the complete intersection of  $L$  and a hypersurface of degree  $\deg(Z)$ , (resp.  $\deg(A)$ ), we have  $cc(Z) \leq b + m - 1$  and  $cc(A) \leq r_{m,d}(P) + m - 1$ . Hence  $\widehat{c}z_{m,d}(P) \leq b + m - 1$  and  $\widehat{c}r_{m,d}(P) \leq r_{m,d}(P) + m - 1$ . Therefore it is sufficient to prove the inequalities the opposite inequalities.

Let  $W \subset \mathbb{P}^m$  be any zero-dimensional scheme evincing  $\widehat{c}z_{m,d}(P)$ . By Proposition 1.1  $W$  is a complete intersection, say of forms of degree  $d_1 \geq \dots \geq d_m$ . To prove that  $\widehat{c}z_{m,d}(P) = b + m - 1$  it is sufficient to prove that  $d_1 + \dots + d_m \geq b + m - 1$ . Assume  $d_1 + \dots + d_m \leq b + m - 2$ .

First assume  $W \supseteq Z$ . Since  $W$  is zero-dimensional, the scheme  $W \cap L$  is a zero-dimensional scheme containing  $Z$ . Hence  $e := \deg(W \cap L) \geq b$ . Since at least one of the forms,  $F_i$ , does not vanish identically on all  $L$ , we have  $d_1 \geq b$ . Hence  $d_1 + \dots + d_m \geq b + m - 1$ .

Now assume  $W \not\supseteq Z$ . In this case the proof of [2], Lemma 1, gives  $h^1(\mathcal{I}_{Z \cup W}(d)) > 0$ . Since  $W$  is a complete intersection, we have  $h^1(\mathcal{I}_W(d_1 + \dots + d_m - m - 1)) = 1$ . Since  $\deg(Z) = b$ , we have  $h^1(\mathcal{I}_{Z \cup W}(d_1 + \dots + d_m - m - 1)) \leq 1 + b$ . For any zero-dimensional scheme  $B \subset \mathbb{P}^m$  the map  $\mathbb{N} \rightarrow \mathbb{N}$  defined by  $t \mapsto h^1(\mathcal{I}_B(t))$  is strictly decreasing, until it is zero (e.g., because its different function is the Hilbert function of a graded Artinian ring). Hence  $h^1(\mathcal{I}_{Z \cup W}(d_1 + \dots + d_m - m - 1 + b)) = 0$ . Therefore  $d \leq -1 + d_1 + \dots + d_m - m - 1 + b$ , i.e.  $d_1 + \dots + d_m \geq d - b + m - 2$ . Since  $2b \leq d + 2$ , we get  $cc(W) \geq b + m$  in this case.

Now assume  $r_{m,d}(P) \neq b_{r,m}(P)$  and hence  $r_{m,d}(P) = d + 2 - b$ . Take any  $S \subset \mathbb{P}^m$  evincing  $\widehat{c}r_{m,d}(P)$  and let  $a_1 \geq \dots \geq a_s$  be the sequence of degrees of forms with  $S$  as their scheme-locus and with  $a_1 + \dots + a_s$  minimal. Assume  $a_1 + \dots + a_s = \widehat{c}r_{m,d}(P) \leq d + m - b$ . Let  $N \supseteq S$  be the zero-locus of general  $g_i \in |\mathcal{I}_Z(b_i)|$  with  $b_m = d_s$  and  $b_i = a_i$  for all  $i \in \{1, \dots, m-1\}$ . Lemma 1.2 gives

$\dim(N) = 0$ . Since  $N \supseteq S$ , we have  $P \in \langle \nu_d(N) \rangle$ . First assume  $Z \not\subseteq N$ . Since  $P \in \langle \nu_d(Z) \rangle \cap \langle \nu_d(N) \rangle$ , the proof of [2], Lemma 1, gives  $h^1(\mathcal{I}_{N \cup Z}(d)) > 0$ . Since  $N$  is a complete intersection, we have  $h^1(\mathcal{I}_N(b_1 + \cdots + b_m - m - 1)) = 1$ . Since  $\deg(Z) = b$ , we get  $h^1(\mathcal{I}_{Z \cup N}(b_1 + \cdots + b_m - m - 1)) \leq 1 + b$ . As above we get  $h^1(\mathcal{I}_{Z \cup N}(b_1 + \cdots + b_m - m - 1 + b + 1)) = 0$ . Hence  $d \leq b_1 + \cdots + b_m - m - 1 + b$ . Since  $b_1 + \cdots + b_m \leq a_1 + \cdots + a_s \leq d + m - b$ , we get a contradiction.

Now assume  $Z \subseteq N$ . Since  $S$  is reduced,  $Z$  is not reduced and  $S$  is scheme-theoretically cut-out by forms of degree  $a_1$ , there is  $F \in |\mathcal{I}_S(a_1)|$  such that  $F|_Z \neq 0$ . Hence for a general  $G \in |\mathcal{I}_S(a_1)|$  we have  $G|_Z \neq 0$ . The proof that  $A(m-1)$  implies  $A(m)$  in the proof of Lemma 1.2 gives  $N \not\subseteq Z$ , a contradiction.  $\square$

**Corollary 1.1.** *Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , with border rank 2. Then  $ccr_{m,d}(P) = r_{m,d}(P) + m - 1$  and  $ccz_{m,d}(P) = m + 1$ .*

*Proof.* E.g., by the proof of [3], Theorem 32, or by [6], §2.1,  $P \in \langle L \rangle$  for some line  $L \subset \mathbb{P}^m$ . Apply Theorem .  $\square$

2. BORDER RANK 3

**Lemma 2.1.** *Fix a line  $L \subset \mathbb{P}^m$ , a zero-dimensional scheme  $W \subset L$  with  $e := \deg(W) \geq 2$  and  $O \in \mathbb{P}^m \setminus L$ . Then the homogeneous ideal  $I_A$  of  $A := W \cup \{O\}$  is generated by the equation of a degree  $e$  cone  $F$  with  $F \cap L = W$  and  $(m - 2)$ -dimensional vertex containing  $O$  and by two degree reducible quadrics formed by the union of two hyperplanes, one containing  $L$  and the other one containing  $O$ , but not  $L$  and (if  $m > 2$ ) the  $m - 2$  linear equations of the plane  $\langle L \cup \{O\} \rangle$ .*

*Proof.* It is sufficient to do the case  $m = 2$ . Let  $G \subset \mathbb{P}^2$  be any hypersurface containing  $A$ , but not  $L$ . Then  $G \cap L \supseteq W$  and hence  $\deg(G) \geq e$  with equality if and only if  $G \cap L = W$ . We get that the degree two part of  $I_A$  is generated by the equations of two pairs of line through  $O$  and (if  $e = 2$ ) the equation of  $F$ . We also get that no generators of  $I_A$  occurs in degree  $< e$ . It is easy to check that  $h^1(\mathcal{I}_A(e - 1)) = 0$  (even if  $e = 2$ ). Hence the Castelnuovo-Mumford lemma gives that  $I_A$  is generated in degree  $\leq e$ . Since any two degree  $e$  elements of  $I_A$  not containing  $L$  induce the same degree  $e$  divisor on  $L$ , we get that  $I_A$  is minimally generated by the two reducible conics through  $O$  containing  $L$  and by the equation of  $F$ .  $\square$

**Theorem 2.1.** *Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ ,  $m \geq 2$ , with border rank 3. Assume  $d \geq 7$ .*

- (a) *If there is a line  $L \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(L) \rangle$ , then  $\widehat{c}cr_{m,d}(P) = r_{m,d}(P) + m - 1$  and  $\widehat{c}cz_{m,d}(P) = m + 1$ .*
- (b) *Assume that there is no line  $L \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(L) \rangle$ .*
  - (b1) *We have  $\widehat{c}cz_{m,d}(P) = m + 4$ .*
  - (b2) *If  $r_{m,d}(P) = 3$ , then  $\widehat{c}cr_{m,d}(P) = m + 4$ .*
  - (b3) *If  $r_{m,d}(P) = d + 1$ , then  $\widehat{c}cr_{m,d}(P) = m + 4$ .*
  - (b4) *In all other cases (i.e. if  $r_{m,d}(P) = 2d - 1$ ), then  $\widehat{c}cr_{m,d}(P) = 2d + m$ .*

*Proof.* By the proof of [3], Theorem 37, we have  $r_{m,d}(P) = 2d - 1$  if and only if  $P$  is neither as in (a) nor as in (b2) nor as in (b3).

Part (a) is true by Corollary 1.1.

In the set-up of part (b) we fix a scheme  $Z$  evincing  $z_{m,d}(P)$  (and hence with degree 3 by [6], Proposition 1.2) and a set  $S \subset \mathbb{P}^m$  evincing  $r_{m,d}(P)$ .  $Z$  spans a

plane, because there is no line  $L \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(L) \rangle$ . In this case  $\langle Z \rangle$  is a plane. We also know that  $Z$  is either a union of 3 non-collinear points, or a connected curvilinear scheme or  $Z = v \sqcup \{O\}$  with  $v$  connected,  $\deg(v) = 2$  and  $O \notin \langle v \rangle$ . In all cases  $Z$  is contained in a smooth conic  $C$ . It is easy to check first that  $Z$  is scheme-theoretically cut out in  $C$  by two conics and then that the homogeneous ideal of  $Z$  is generated by 3 quadratic equations and (if  $m > 2$ ) the  $m - 2$  linear equations of  $\langle Z \rangle$ . Hence  $ccz_{m,d}(P) \leq 6 + m - 2 = m + 4$ . The opposite inequality is obvious, because  $Z$  is neither a complete intersection nor contained in a line. We get parts (b1) and (b2). Now assume  $r_{m,d}(P) = d + 1$ . This is the case if and only if  $Z = v \sqcup \{O\}$  with  $v$  connected,  $\deg(v) = 2$  and  $O \notin \langle v \rangle$  ([3], proof of Theorem 32). Fix any  $S$  evincing  $r_{m,d}(P)$ . By [1], Theorem 4, we have  $S = S' \sqcup \{O\}$  with  $O \notin \langle v \rangle$ . The case  $e = d$  of Lemma 2.1 gives  $ccr_{m,d}(P) = m + 4$ .

Now assume  $r_{m,d}(P) = 2d - 1$ , i.e. assume that  $Z$  is connected and not contained in a line. In this case  $Z$  contained in a smooth conic. Fix any  $S \subset \mathbb{P}^m$  evincing  $r_{m,d}(P)$ . By [1], Theorem 4, we have  $S \cap Z = \emptyset$  and  $S \cup Z$  is contained in a reduced conic  $T$ . The homogeneous ideal of  $S$  has exactly  $m - 2$  linearly independent linear forms. First assume that  $T$  is smooth. In this case  $S$  is the scheme-theoretic intersection of two degree  $2d$  divisors of  $T$ , because  $T \cong \mathbb{P}^1$ . Since  $T$  is arithmetically Cohen-Macaulay, each of these divisors is the intersection of  $T$  with a degree  $d$  hypersurface. We get that  $S$  is scheme-theoretically cut out by  $m - 2$  linear forms, a quadratic form and two degree  $d$  forms. Hence  $\widehat{cr}_{m,d}(P) \leq 2d + m$ . Bezout theorem gives that every  $Y \in |\mathcal{I}_S(d - 1)|$  contains  $T$ . Hence we get that in any set of forms defining scheme-theoretically  $S$ , at least two of these forms have degree at least  $d$ . Since  $S$  is not a complete intersection, to define scheme-theoretically  $S$  we need at least  $m + 1$  forms. Hence  $\widehat{cr}_{m,d}(P) \geq 2d + m$ . Now assume that  $T$  is not smooth. Since  $S$  is reduced,  $T$  is reduced and, calling  $L, R$  the components of  $T$  with  $\sharp(L \cap S) \geq \sharp(R \cap S)$ , and  $O = L \cap R$  the singular point of  $T$ , we have  $O \notin S$ ,  $\{O\} = Z_{red}$ ,  $\sharp(L \cap S) = d$  and  $\sharp(S \cap L) = d - 1$  ([1], part (f) of §4). Since  $O \notin S$ , there are two plane degree  $d$  curves  $T_1, T_2$  in  $\langle T \rangle$  such that  $T \cap T_1 \cap T_2 = S$  (as schemes); we may take as each  $T_i$  a union of  $d$  lines each of them spanned by a point of  $S \cap L$  and a point of  $S \cap R$ .  $\square$

**Remark 2.1.** *Take  $P$  as in Theorem 2.1. Assume  $r_{m,d}(P) > 3$ . By the proof of [3], Theorem 37, there is a line  $L \subset \mathbb{P}^m$  such that  $P \in \langle \nu_d(L) \rangle$  if and if  $r_{m,d}(P) = d - 1$ . In all cases the proof of Theorem 2.1 gives that all sets evincing  $r_{m,d}(P)$  have the same complexity.*

**Remark 2.2.** *Assume  $\text{char}(\mathbb{K}) = 0$ . Fix a finite set  $S \subset \mathbb{P}^k$ ,  $k \geq 1$ , and an integer  $s \geq 1$  such that the sheaf  $\mathcal{I}_S(x)$  is spanned. Then there are  $k$  hypersurfaces  $F_i \in |\mathcal{I}_S(x)|$  such that the scheme  $F_1 = \dots = F_k = 0$  is a reduced union of  $x^k$  points.*

**Lemma 2.2.** *Fix  $S \subset \mathbb{P}^s$  with  $\sharp(S) = s + 1$  and  $\langle S \rangle$ . Fix any integer  $x \geq 2$ . Let  $A \subset \mathbb{P}^s$  be the intersection of  $s$  general elements of  $|\mathcal{I}_S(x)|$ . Then  $A$  is a reduced zero-dimensional scheme with cardinality  $x^s$ .*

*Proof.* Any two such sets are projectively normal. Hence it is sufficient to note that  $h^0(\mathcal{I}_S(x)) = \binom{s+x}{x} - s - 1 \geq s$  and that a general complete intersection of  $s$  hypersurfaces of degree  $x$  is smooth ([10], Theorem II.8.12).  $\square$

**Proposition 2.1.** *Take a finite set  $S \subset \mathbb{P}^m$ ,  $m \geq 1$ , which is linearly independent. Set  $s := \sharp(S)$ . Fix any  $P \in \langle \nu_d(S) \rangle$  such that  $P \notin \langle \nu_d(S') \rangle$  for any  $S' \subsetneq S$ . If  $s \leq 2$ , then  $cc(S) = \widehat{cr}_{m,d}(P) = \widehat{cz}_{m,d}(P) = s + m - 1$ . If  $s \geq 3$ , then  $cc(S) = m + s + 1$  and  $\widehat{cr}_{m,d}(P) = \widehat{cz}_{m,d}(P) = s + m - 1$ .*

*Proof.* We have  $s \leq m + 1$ . If  $s = 1, 2$ , then  $S$  is a complete intersection. We have  $cc(S) = m + s - 1$  (the case  $s = 1$  is trivial, the case  $s = 2$  by Corollary 1.1). Now assume  $s \geq 3$ . Since  $\mathcal{I}_S(2)$  is spanned and  $\dim(\langle S \rangle) = m + 1 - s$ ,  $S$  is the scheme-theoretic intersection of  $m + 1 - s$  linearly independent linear forms and some degree two linear forms. Since  $S$  is not a complete intersection, Lemma 2.2 gives  $cc(S) = (m + 1 - s) + 2s = m + s + 1$ . Lemma 2.2 gives the existence of a reduced set  $A \supset S$  which is the complete intersection of  $m + 1 - s$  linear forms and  $s - 1$  degree two forms. Take  $B \subset \mathbb{P}^m$  evincing  $\widehat{cz}_{m,d}(P)$ . Since  $P$  depends exactly on  $s$  homogeneous coordinate and  $P \in \langle \nu_d(B) \rangle$ , we have  $\dim(\langle B \rangle) \geq s - 1$ . Hence  $d_i > 1$  for all  $i \leq \dim(\langle B \rangle)$ . Hence  $cc(B) \geq m + s - 1$ .  $\square$

In the same way we get the following result.

**Proposition 2.2.** *Fix  $m \geq 2$  and take any linearly independent zero-dimensional scheme  $Z \subset \mathbb{P}^m$ . Set  $s := \deg(Z)$ . Fix any  $P \in \langle \nu_d(Z) \rangle$  such that  $P \notin \langle \nu_d(Z') \rangle$  for any  $Z' \subsetneq Z$ . If  $s \leq 2$ , then  $cc(Z) = \widehat{cz}_{m,d}(P) = s + m - 1$ . If  $s \geq 3$ , then  $cc(Z) = m + s + 1$  and  $\widehat{cz}_{m,d}(P) = s + m - 1$ .*

**Proposition 2.3.** *Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{m+d}{m} - 1$ , for some  $m \geq 1$ ,  $d \geq 3$ . Assume the existence of a line  $L$  such that  $P \in \langle \nu_d(L) \rangle$ . Then  $\check{cz}_{m,d}(P) = z_{m,d}(P)$ ,  $\check{cr}_{m,d}(P) = r_{m,d}(P)$ ,  $\check{r}_{m,d}(P) = r_{m,d}(P) = 2^{m-1}(z_{m,d}(P)+1)$  and  $\check{r}_{m,d}(P) = 2^{m-1}(r_{m,d}(P)+1)$ .*

*Proof.* Set  $b := z_{m,d}(P)$  and take  $Z$  evincing  $z_{m,d}(P)$ . Recall that  $Z \subset L$  ([8], Proposition 2.1 and Corollary 2.2). Hence  $Z$  is a complete intersection of a degree  $b$  hypersurface and  $m - 1$  hyperplane. Hence  $\|Z\|_- = b$  and  $\|Z\|_+ = 2^{m-1}(b + 1)$ . Since for any zero-dimensional scheme  $W \subset \mathbb{P}^m$  we have  $\deg(W) \leq \|W\|_-$  (with equality if and only if  $W$  is a complete intersection), then we get  $\check{cz}_{m,d}(P) = b$  and that  $Z$  is the only scheme evincing  $\check{cz}_{m,d}(P)$ . If  $r_{m,d}(P) = z_{m,d}(P)$ , i.e. if  $Z$  is reduced, then we also get  $\check{cr}_{m,d}(P) = b$ . Now assume  $r_{m,d}(P) \neq z_{m,d}(P)$ . In this case  $2b \leq d + 1$ ,  $r_{m,d}(P) = d + 2 - b$  and every set,  $A$ , evincing  $r_{m,d}(P)$  is contained in  $A$ . The set  $A$  is the complete intersection of  $m - 1$  hyperplanes and a degree  $d + 2 - b$  hypersurface and hence  $\check{c}c(A) = d + 2 - b$ . Since any  $A$  evincing  $r_{m,d}(P)$  is contained in  $L$  ([12], Exercise 3.2.2.2, or [8], Proposition 2.1 and Corollary 2.2), we get  $\check{cr}_{m,d}(P) = r_{m,d}(P)$ .  $\square$

**Remark 2.3.** *Assume  $p := \text{char}(\mathbb{K}) > 0$ . It is sufficient to assume  $p > d$ , because Sylvester theorem quoted and proved in characteristic zero in [9] and [3] is true in positive characteristic if  $p > d$  ([11], page 22).*

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