# GENERALIZED DERIVATIONS AS HOMOMORPHISMS OR AS ANTI-HOMOMORPHISMS IN A PRIME RING 

Asma Ali* and Deepak Kumar*

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#### Abstract

Let $R$ be a prime ring. Suppose that $\theta, \phi$ are endomorphisms of $R$. An additive mapping $F: R \rightarrow R$ is called a generalized $(\theta, \phi)$-derivation if there exists a $(\theta, \phi)$-derivation $d: R \rightarrow R$ such that $F(x y)=F(x) \theta(y)+$ $\phi(x) d(y)$ holds for all $x, y \in R$. Let $J$ be a nonzero Jordan ideal of $R$. In the present paper we begin by proving the following: If $F$ is a generalized $(\theta, \phi)$-derivation on $R$ which acts as a homomorphism or as an anti- homomorphism on $J$, then either $d=0$ or $J \subseteq Z(R)$.


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## 1. Introduction

Throughout $R$ will denote an associative ring with centre $Z(R)$. A ring $R$ is said to be prime (resp. semiprime) if $a R b=\{0\}$ implies that either $a=0$ or $b=0$ (resp. $a R a=\{0\}$ implies that $a=0$ ). For any $x, y \in R$ we shall write $[x, y]=x y-y x$ and $x \circ y=x y+y x$. An additive subgroup $J$ of $R$ is said to be a Jordan ideal of $R$ if $x \circ r \in J$ for all $x \in R$ and $r \in J$. An additive mapping $d: R \rightarrow R$ is called a derivation if $d(x y)=d(x) y+x d(y)$, holds for all $x, y \in R$. Let $\theta, \phi$ be endomorphisms of $R$. An additive mapping $d: R \rightarrow R$ is called a $(\theta, \phi)$-derivation if $d(x y)=d(x) \theta(y)+\phi(x) d(y)$, holds for all $x, y \in R$. An additive mapping $\delta: R \rightarrow R$ is called a left $(\theta, \phi)$-derivation if $\delta(x y)=\theta(x) \delta(y)+\phi(y) \delta(x)$, holds for all $x, y \in R$. An example of a $(\theta, \phi)$-derivation on a ring $R$ when $R$ has a nontrivial central idempotent $e$ is the mapping $d: R \rightarrow R$ such that $d(x)=e x, \theta=I_{R}$ (or $d$ ), and $\phi(x)=(1-e) x$ (formally). Here $d$ is a not a derivation on $R$, for $d(e e)=e e e \neq 2 e e e=(e e) e+e(e e)=d(e) e+e d(e)$. In any ring

[^0]$R$ with endomorphism $\theta$ if we let $d=I_{R}-\theta$, then $d$ is a $\left(\theta, I_{R}\right)$ - derivation, but not a derivation on $R$. An additive mapping $F: R \rightarrow R$ is called a generalized $(\theta, \phi)$-derivation on $R$ if there exists a $(\theta, \phi)$-derivation $d: R \rightarrow R$ such that $F(x y)=F(x) \theta(y)+\phi(x) d(y)$ holds for all $x, y \in R$. Clearly concept of a generalized $(\theta, \phi)$-derivation includes the concepts of $(\theta, \phi)$ - derivations $\left(F=d\right.$ ), of derivations ( $F=d$ and $\theta=\phi=I_{R}$ ) and of generalized derivations $\left(\theta=\phi=I_{R},[6]\right)$. Hence it would be interesting if one could extend the results concerning these notions to generalized $(\theta, \phi)$ - derivations.

Bell and Kappe [4] proved that if $d$ is a derivation of a prime ring $R$ which acts as a homomorphism, or as an anti-homomorphism on a nonzero ideal $I$ of $R$, then $d=0$ on $R$. Recently Asma et al [1] obtained the result in the setting of Lie ideals of a prime ring.

Further, Yenigul and Argac [7] proved the above result for $\alpha$-derivations in prime rings. Ashraf et al. [2] obtained the result for $(\sigma, \tau)$-derivations in prime rings.

The purpose of this paper is to extend the mentioned results for generalized $(\theta, \phi)$ derivations on a Jordan ideal of a prime ring.

## 2. Main Results

2.1. Theorem. Let $R$ be a 2-torsion free prime ring and $J$ be a nonzero Jordan ideal and a subring of $R$. Suppose $\theta$ is an automorphism of $R$ and $F: R \rightarrow R$ is a generalized $(\theta, \theta)$-derivation with associated $(\theta, \theta)$-derivation $d$.
(i) If $F$ acts as a homomorphism on $J$, then either $d=0$ on $R$ or $J \subseteq Z(R)$.
(ii) If $F$ acts as an anti-homomorphism on $J$, then either $d=0$ on $R$ or $J \subseteq Z(R)$.

Proof. We begin with the following lemmas which are essential for developing the proof of our theorem. The proofs of Lemma 2.2-2.4 follow immediately from Herstein's Theorem on Jordan ideals of prime rings [5, Theorem 1.1], and that of lemma 2.5 from [3, Lemma 2].
2.2. Lemma. Let $R$ be a prime ring and $J$ be a nonzero Jordan ideal of $R$. If $a \in R$ and $a J=(0)$ or $J a=(0)$, then $a=0$.
2.3. Lemma. Let $R$ be a 2-torsion free prime ring and $J$ be a nonzero Jordan ideal of $R$. If $a J b=(0)$, then either $a=0$ or $b=0$.
2.4. Lemma. Let $R$ be a 2-torsion free prime ring and $J$ be a nonzero Jordan ideal of $R$. If $J$ is a commutative Jordan ideal, then $J \subseteq Z(R)$.
2.5. Lemma. Let $R$ be a 2-torsion free prime ring and $J$ be a nonzero Jordan ideal of $R$. Suppose $\theta, \phi$ are automorphisms of $R$. If $R$ admits $a(\theta, \phi)$-derivation $d$ such that $d(J)=(0)$, then either $d=0$ or $J \subseteq Z(R)$.

Going to the proof of Theorem 2.1, suppose that $J \nsubseteq Z(R)$.
(i) If $F$ acts as a homomorphism on $J$, then we have

$$
\begin{equation*}
F(u v)=F(u) \theta(v)+\theta(u) d(v)=F(u) F(v), \text { for all } u, v \in J . \tag{2.1}
\end{equation*}
$$

Replacing $v$ by $v w$ in (2.1), we get

$$
F(u) \theta(v) \theta(w)+\theta(u)(d(v) \theta(w)+\theta(v) d(w))=F(u)(F(v) \theta(w)+\theta(v) d(w))
$$

for all $u, v, w \in J$. Using (2.1), the above relation yields that $(F(u)-\theta(u)) \theta(v) d(w)=0$, for all $u, v, w \in J$. That is, $\theta^{-1}(F(u)-\theta(u)) v \theta^{-1}(d(w))=0$, for all $u, v, w \in J$ and hence $\theta^{-1}(F(u)-\theta(u)) J \theta^{-1}(d(w))=(0)$, for all $u, w \in J$. Now Lemma 2.3 implies that either $F(u)-\theta(u)=0$ or $d(w)=0$. If $F(u)-\theta(u)=0$, for all $u \in J$, then the relation (2.1) implies that $\theta(u) d(v)=0$, for all $u, v \in J$. Now replace $u$ by $u w$, to get $\theta(u) \theta(w) d(v)=0$, for all $u, v, w \in J$. This implies that $u w \theta^{-1}(d(v))=0$ and hence $u J \theta^{-1}(d(v))=(0)$, for
all $u, v \in J$. Again by Lemma 2.3, we have either $u=0$ or $d(v)=0$. Since $J$ is a nonzero Jordan ideal, we find that $d(v)=0$, for all $v \in J$. Hence Lemma 2.5 completes the proof.
(ii) If $F$ acts as an anti-homomorphism on $J$, then we have

$$
\begin{equation*}
F(u v)=F(u) \theta(v)+\theta(u) d(v)=F(v) F(u), \text { for all } u, v \in J . \tag{2.2}
\end{equation*}
$$

Replacing $u$ by $u v$ in (2.2), we get

$$
\begin{equation*}
\theta(u) \theta(v) d(v)=F(v) \theta(u) d(v), \text { for all } u, v \in J \tag{2.3}
\end{equation*}
$$

Substituting $w u$ in place of $u$, we have $\theta(w) \theta(u) \theta(v) d(v)=F(v) \theta(w) \theta(u) d(v)$, for all $u, v \in J$. Multiplying (2.3) on the left by $\theta(w)$, we get $[F(v), \theta(w)] \theta(u) d(v)=0$, for all $u, v, w \in J$. This implies that $\theta^{-1}([F(v), \theta(w)]) u \theta^{-1}(d(v))=0$, for all $u, v, w \in$ $J$. Thus, using Lemma 2.3, either $d(v)=0$ or $[F(v), \theta(w)]=0$ for all $v, w \in J$. If $[F(v), \theta(w)]=0$ for all $w, v \in J$, then replacing $v$ by $v w$ in the above relation, we get $\theta(v)[d(w), \theta(w)]+[\theta(v), \theta(w)] d(w)=0$, for all $v, w \in J$. Now replace $v$ by $u v$ to get $[\theta(u), \theta(w)] \theta(v) d(w)=0$, for all $v, u, w \in J$. This gives that $[u, w] v \theta^{-1}(d(w))=0$, for all $v, u, w \in J$. Again by Lemma 2.3, for each $w \in J$, either $[u, w]=0$ or $d(w)=0$. Hence by using Braur's trick, we find that either $[u, w]=0$, for all $u, w \in U$ or $d(w)=0$, for all $w \in J$. If $[u, w]=0$, for all $u, w \in J$, then by Lemma 2.4, $J$ is central, a contradiction. On the other hand, if $d(w)=0$, for all $w \in J$, then by Lemma 2.5 we get the required result.
2.6. Theorem. Let $R$ be a semiprime ring and $\theta$ an automorphism on $R$. Suppose $F: R \rightarrow R$ is a generalized $(\theta, \theta)$-derivation with associated $(\theta, \theta)$-derivation d. If $F$ acts as a homomorphism on $R$, then $d=0$.

Proof. If $F$ acts as a homomorphism on $R$, then we have $F(x y)=F(x) F(y)$. This implies that

$$
\begin{equation*}
F(x) \theta(y)+\theta(x) d(y)=F(x) F(y), \text { for all } x, y \in R . \tag{2.4}
\end{equation*}
$$

Replacing $y$ by $y z$, we get

$$
\begin{equation*}
F(x) \theta(y) \theta(z)+\theta(x) d(y) \theta(z)+\theta(x) \theta(y) d(z)=F(x) F(y) \theta(z)+F(x) \theta(y) d(z) \tag{2.5}
\end{equation*}
$$ for all $x, y \in R$.

Multiplying (2.4) on the right by $\theta(z)$, we obtain

$$
\begin{equation*}
F(x) \theta(y) \theta(z)+\theta(x) d(y) \theta(z)=F(x) F(y) \theta(z), \text { for all } x, y \in R . \tag{2.6}
\end{equation*}
$$

Now Comparing (2.5) and (2.6), we have

$$
\begin{equation*}
\theta(x) \theta(y) d(z)=F(x) \theta(y) d(z), \text { for all } x, y, z \in R \tag{2.7}
\end{equation*}
$$

Substituting $x z$ for $x$ in (2.7), we obtain

$$
\begin{equation*}
\theta(x) \theta(z) \theta(y) d(z)=F(x) \theta(z) \theta(y) d(z)+\theta(x) d(z) \theta(y) d(z), \text { for all } x, y, z \in R \tag{2.8}
\end{equation*}
$$

Replacing $y$ by $z y$ in (2.7), we have

$$
\begin{equation*}
\theta(x) \theta(z) \theta(y) d(z)=F(x) \theta(z) \theta(y) d(z), \text { for all } x, y \in R \tag{2.9}
\end{equation*}
$$

Comparing (2.8) and (2.9), we find that $\theta(x) d(z) \theta(y) d(z)=0$, for all $x, y, z \in R$. Substituting $y x$ for $y$ we obtain $\theta(x) d(z) \theta(y) \theta(x) d(z)=0$, for all $x, y, z \in R$, that is $\theta(x) d(z) R \theta(x) d(z)=(0)$, for all $x, z \in R$. The fact that $R$ is semiprime yields that $\theta(x) d(z)=0$, for all $x, z \in R$. Thus, we have $d(z) \theta(x) d(z)=0$, for all $x, z \in R$, that is $d(z) R d(z)=(0), x, z \in R$. Again, since $R$ is semiprime we obtain the required result.
2.7. Theorem. Let $R$ be a 2-torsion free prime ring and $J$ be a nonzero Jordan ideal and a subring of $R$. Suppose that $\theta, \phi$ are automorphisms of $R$, and that $d: R \rightarrow R$ is a left $(\theta, \phi)$-derivation of $R$.
(i) If $d$ acts as a homomorphism on $J$, then $d=0$ on $R$.
(ii) If $d$ acts as an anti-homomorphism on $J$, then $d=0$ on $R$.

Proof. (i) If $d$ acts as a homomorphism, then we have

$$
\begin{equation*}
d(u v)=d(u) d(v)=\theta(u) d(v)+\phi(v) d(u), \text { for all } u, v \in J \tag{2.10}
\end{equation*}
$$

Substituting $v w$ for $v$ in (2.10), we find that $d(u) d(v) d(w)=\theta(u) d(v) d(w)+\phi(v) \phi(w) d(u)$, for all $u, v, w \in J$. Multiplying (2.10) on the right by $d(w)$, we obtain $d(u) d(v) d(w)=$ $\theta(u) d(v) d(w)+\phi(v) d(u) d(w)$ for all $u, v, w \in J$. Hence we have $\phi(v)\{d(u) d(w)-\phi(w) d(u)\}$ $=0$, for all $u, v, w \in J$. Now using (2.10) we find that $\phi(v) \theta(u) d(w)=0$, for all $u, v, w \in J$, that is, $v \phi^{-1}(\theta(u) d(w))=0$, for all $u, v, w \in J$. An application of Lemma 2.2 yields that $\phi^{-1}(\theta(u) d(w))=0$ i.e., $\theta(u) d(w)=0$, for all $u, w \in J$. Thus, $u \theta^{-1}(d(w))=0$, for all $u, w \in J$. Again Lemma 2.2 yields that
(2.11) $d(w)=0$, for all $w \in J$.

Replacing $w$ by $w r+r w$ in (2.11), we obtain
(2.12) $\theta(w) d(r)+\phi(w) d(r)=0$, for all $w \in J, r \in R$.

Replace $w$ by $u w$ in (2.12), to get $\theta(u) \theta(w) d(r)+\phi(u) \phi(w) d(r)=0$ for all $u, w \in J, r \in R$. Multiplying (2.12) on the left by $\theta(u)$, we obtain $\theta(u) \theta(w) d(r)+\theta(u) \phi(w) d(r)=0$ for all $u, w \in J, r \in R$. Hence we have $\{\theta(u)-\phi(u)\} \phi(w) d(r)=0$, for all $u, w \in J, r \in R$, that is $\phi^{-1}\{\theta(u)-\phi(u)\} J \phi^{-1} d(r)=0$, for all $u, w \in J, r \in R$. Now an application of Lemma 2.3 yields that either $\theta(u)-\phi(u)=0$ or $d(r)=0$, for all $u \in J$ and $r \in R$. If $\theta(u)=\phi(u)$, for all $u \in J$, then the relation (2.12) implies that $2 \theta(u) d(r)=0$, for all $u \in J$ and $r \in R$. Since $R$ is 2-torsion free, $\theta(u) d(r)=0$, i.e., $u \theta^{-1}(d(r))=0$, for all $u \in J$ and $r \in R$. Lemma 2.2 yields that $\theta^{-1}(d(r))=0$ i.e., $d(r)=0$, for all $r \in R$. Hence, in both the cases $d=0$.
(ii) If $d$ acts as an anti-homomorphism on $J$, then
(2.13) $d(u v)=d(v) d(u)=\theta(u) d(v)+\phi(v) d(u)$, for all $u, v \in J$.

Replacing $u$ by $u^{2}$ in (2.13), we have $d(v) d(u) d(u)=\theta(u) \theta(u) d(v)+\phi(v) d(u) d(u)$, for all $u, v \in J$. Multiplying (2.13) by $d(u)$ on the right, we get $d(v) d(u) d(u)=\theta(u) d(v) d(u)+$ $\phi(v) d(u) d(u)$, for all $u, v \in J$. Hence we obtain $\theta(u)\{d(v) d(u)-\theta(u) d(v)\}=0$, for all $u, v \in J$. Using (2.13), we obtain $\theta(u) \phi(v) d(u)=0$, that is, $\phi^{-1}(\theta(u)) J \phi^{-1}(d(u))=(0)$, for all $u \in J$. An application of Lemma 2.3 yields that either $\theta(u)=0$ or $d(u)=0$, that is $u=0$ or $d(u)=0$, for all $u \in J$. But $u=0$ yields that $d(u)=0$, for all $u \in J$. Using similar arguments to those used to get $d=0$ from (2.7), we get the required result.

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[^0]:    *Department of Mathematics, Aligarh Muslim University, Aligarh 202002, India. E-mail: (Asma Ali) asma_ali2@rediffmail.com

