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# A review on recent electroanalytical methods for the analysis of antiviral COVID-19 drugs

Deniz Emre<sup>1</sup> , Nuran Özaltın<sup>2</sup> , Selehattin Yılmaz<sup>3\*</sup> 

<sup>1</sup>Çanakkale Onsekiz Mart University, School of Health, Pharmacy Services Program 17100 Çanakkale, Turkey

<sup>2</sup>Hacettepe University, Faculty of Pharmacy, Department of Analytical Chemistry, 06100, Ankara, Turkey

<sup>3</sup>Çanakkale Onsekiz Mart University, Faculty of Science and Arts, Department of Chemistry, 17100 Çanakkale, Turkey

## Abstract

Currently, there are no specific drugs for the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection, designated as coronavirus disease 2019 (COVID-19). Several therapeutic options, including antiviral, antithrombotic, immunosuppressive, and anti-rheumatic drugs, are researched worldwide. Analytical methods are needed in every step of the innovation, research, development, and manufacturing process of pharmaceuticals. Therefore, new analytical methods for pharmaceuticals are developed and validated increasingly over time. In this review, recent reports on electroanalytical techniques for the determination of selected COVID-19 drugs, favipiravir (FAV), remdesivir (REM), lopinavir (LOP) / ritonavir (RIT), hydroxychloroquine (HCQ), chloroquine (CQ), ribavirin (RIB), and sofosbuvir (SOF) were emphasized. Electroanalysis of antiviral active pharmaceutical ingredients carried out at various modified or non-modified electrodes by cyclic voltammetry (CV), linear sweep voltammetry (LSV), differential pulse voltammetry (DPV), square wave voltammetry (SWV), square-wave adsorptive stripping voltammetry (SWAdSV), differential pulse stripping voltammetry (DPSV); adsorptive stripping differential pulse voltammetry (AdSDPV), chronocoulometry (CC) and chronoamperometry (CA) were compiled from the literature. The effects of supporting electrolyte and pH on the current and potential of the analytical signal were evaluated. Scan rate results obtained by the CV method showed whether the redox process of the drug active ingredient diffusion or adsorption controlled at the electrode used in the selected solvent-supporting electrolyte and pH systems. Linearity range and the limit of detection (LOD) of applied electroanalytical methods were compared by combining the results obtained from drug active ingredients given in references.

**Keywords:** Antiviral drugs, pandemic, coronavirus, Covid-19, analysis, determination, electroanalytical methods

## 1. Introduction

There are no specific drugs and tests for the treatment of acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection, designated as the COVID-19 [1]. Repurposing (or repositioning) of existing drugs, such as antivirals for other viruses, anti-rheumatics, corticosteroids, anticoagulants, and other drugs have been used for COVID-19 treatment [2-4].

Although pharmacopeias include many standard methods of analysis, they do not include all pharmaceutical substances. Therefore, developing and validating new analytical methods for pharmaceutical analysis is always needed. This approach makes numerous studies in the literature in a short period, which is hard to follow all of them regularly. That is why reviews are useful; they provide an overview of many

methods in a single article, as well as suggest future research directions [5].

After brief information on COVID-19, existing COVID-19 drug regimens, and mechanisms of drug action; this review is focused on recent electroanalytical methods for the analysis of antiviral COVID-19 drugs.

### 1.1. Coronavirus Infection (COVID-19)

COVID-19 disease, which is caused by a new coronavirus called SARS-CoV-2, is identified by the World Health Organization (WHO) on 31 Dec 2019, following a cluster of case reports on viral pneumonia in Wuhan, China. In a short time, the disease has spread worldwide, and WHO declared the COVID-19 pandemic [6-9].

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**\*Author of correspondence:** seleyilmaz@hotmail.com

**Tel:** +90 286 218 00 18

**Fax** +90 286 218 05 33

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According to WHO COVID-19 Dashboard, as of 6 May 2021, there have been 155,506,494 confirmed cases of COVID-19, including 3,247,228 deaths worldwide reported to WHO, and as of 4 May 2021, a total of 1,170,942,729 vaccine doses have been administered. There are no specific drugs for the treatment of COVID-19 but, several APIs, including REM, LOP + RIT combinations, CQ, and HCQ, were suggested in the WHO's Solidarity Therapeutics Trial [6,7,10-12]. Despite the initial reports of success, a recent interim report released by the WHO's Solidarity Therapeutics Trial concluded that REM, HCQ, LOP + RIT, and interferon regimens have little or no effect on 28-day mortality or the hospitalization course of COVID-19 patients and some APIs are under clinical trials [10-14].

Coronaviruses are single-stranded RNA viruses. Six types of coronaviruses, which cause infection in humans, have been reported since the 1960s. For example, SARS-CoV-2 is a type of coronavirus that causes COVID-19. This respiratory illness is defined as various known symptoms containing cough, fever, difficulty breathing, fatigue, conjunctivitis, sore throat, headache changes in the sense of taste and smell. A few antiviral drugs are approved for different infections, or new alternative APIs are under trials for COVID-19 treatment [6,15-22].

## 1.2. COVID-19 Drugs

SARS-CoV-2 has high contagiousness that causes a considerable risk for the health system. Therefore, many studies are undergoing on a few antiviral drugs, which have been approved for various infections, and tested in different countries [6,9,15-22]. Analytical methods play an important role in these studies, and electroanalytical methods are also of great importance too. A list of some electroactive antiviral compounds used for the treatment of COVID-19 was given in Table 1.

## 1.3. General information on selected electroactive antiviral Covid-19 drugs

General information on COVID-19 drugs selected for this review is summarized below.

### 1.3.1. Favipiravir (FAV)

FAV (6-fluoro-3-hydroxy-2-pyrazincarboxamide) is an inhibitor of RNA replication from an RNA template catalyzed by RNA polymerase enzyme [1]. FAV was approved as an antiviral for influenza viruses (avian flu and others) in Japan in 2014. It has been used to treat COVID-19 disease [1,6,23].

### 1.3.2. Remdesivir (REM)

REM is a new antiviral drug belonging to the class of nucleotide analogues [24,25]. The clinical trials have confirmed the efficiency of REM [26,27]. It manifested

exclusive efficiency and activity against COVID-19 in patients with mild and moderate symptoms. It was the first EU-approved drug against COVID-19 [27-31]. Moreover, as it contains a nitrile group, it may show high toxicity if taken in excess [32-35]. REM has been previously administered to West African Ebola virus patients [27]. The mechanism of action of REM is based on viral RNA-dependent RNA polymerase inhibition. REM is a phosphoramidate prodrug of an analogue of adenine-C-nucleoside structure. REM is metabolized into its active form, which is a competitive inhibitor of RNA synthesis [35].

### 1.3.3. Lopinavir + Ritonavir (LOP + RIT)

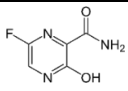
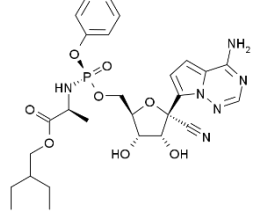
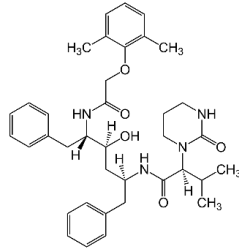
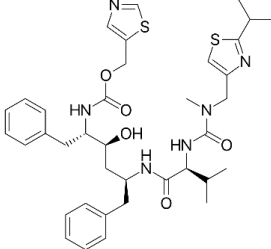
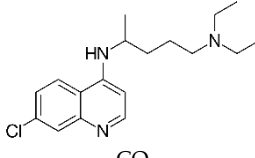
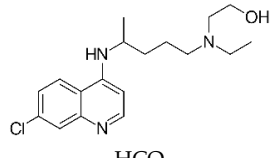
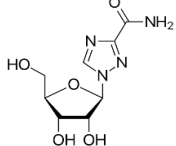
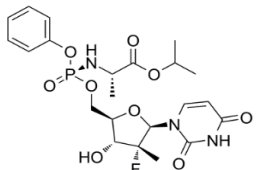
LOP + RIT is an inhibitor of the human immunodeficiency virus (HIV) protease, whose main structure is different from the SARS-CoV-2 counterpart (3CLpro) [26,27,32]. This state would affect the inhibition efficiency of LOP+RIT and raise doubt on the efficacy of LOP + RIT for COVID-19 treatment [26,27,36,37], and WHO has discontinued LOP + RIT use in COVID-19 treatment [2,38].

### 1.3.4. Hydroxychloroquine (HCQ) and Chloroquine (CQ)

HCQ and CQ are used in the treatment of malaria, discoid lupus erythematosus, and rheumatoid arthritis. In addition, they have been used as antivirals in the treatment of COVID-19 disease [7, 39-41]. HCQ and CQ were thought to be inhibiting the pre-entry step of the viral cycle by interfering with viral particles binding to their cellular cell surface receptors. It was also thought that the intracellular site of SARS-CoV-2 budding was determined by the localization of its membrane M proteins that accumulate in the Golgi complex beyond the site of virion budding, suggesting a possible action of HCQ or CQ at this step of the replication cycle of the virus [7]. However, according to a study on mortality outcomes with HCQ in COVID-19, it is found that HCQ is associated with increased mortality in COVID-19 patients. Also, there is no benefit of CQ [13]. Therefore, WHO has discontinued HCQ and CQ use in COVID-19 treatment [2,38]. Nowadays, in some countries such as Turkey, HCQ use in COVID-19 treatment was discontinued [42].

HCQ had been previously used as an antiviral agent in COVID-19 treatment [7], and has been discontinued nowadays [2,13,38]. But, HCQ has been analyzed by electroanalytical methods recently [39-41]. These methods can be used in further studies, such as toxicological studies on patients who had used HCQ in the past. Therefore, electroanalytical studies on HCQ are included in this review.

**Table 1.** Antiviral COVID-19 drugs included in this review

Active pharmaceutical ingredient (API)	Therapeutic group	Mechanism of action	Chemical structure	References
FAV	Antiviral	Viral RNA polymerase inhibitor		[6,23]
REM	Antiviral	Viral RNA polymerase inhibitor		[24,25]
LOP + RIT	Antiretroviral	Protease inhibitor	<div style="display: flex; justify-content: space-around;"> <div style="text-align: center;">  <p>LOP</p> </div> <div style="text-align: center;">  <p>RIT</p> </div> </div>	[26,27]
CQ, HCQ	Antirheumatic and antiviral	Cytokine storm inhibitor, viral-cellular binding inhibitor, and viral replication inhibitor	<div style="display: flex; justify-content: space-around;"> <div style="text-align: center;">  <p>CQ</p> </div> <div style="text-align: center;">  <p>HCQ</p> </div> </div>	[7,38-42]
RIB	Antiviral	Viral RNA polymerase inhibitor		[4]
SOF	Antiviral	Viral RNA polymerase inhibitor		[4]

### 1.3.5. Ribavirin (RIB)

Some nucleotide analogues terminate RNA synthesis catalyzed by polymerases of coronaviruses. Computational chemistry studies, including sequence analysis, modeling, and molecular docking experiments, showed that some nucleotide analogue antiviral drugs can be used to prevent viral replication in infected cells. RIB is one of the RNA-dependent RNA polymerase enzyme inhibitors, which is used for the treatment of hepatitis C virus infections. RIB can also be used against other RNA viruses, such as zika virus and coronaviruses [4].

### 1.3.6. Sofosbuvir (SOF)

SOF is another nucleotide derivative that is used against Hepatitis C Virus and also SARS-CoV-2. SOF competes with physiological nucleotide for RNA-dependent RNA polymerase enzyme active site, competitively inhibits

viral RNA polymerase, and by the way, it prevents viral replication of SARS-CoV-2 in infected cells [4].

## 2. Electrochemical behavior and determination of antiviral COVID-19 drugs

This current review reported the most recent and relevant literature dealing with the electroanalytical determination of COVID-19 antiviral drugs in pharmaceutical preparations and biological samples (such as urine and plasma). Thus, selective and sensitive electroanalytical methods are needed for these complicated samples [1].

Recent developments in technology make electroanalytical determinations more selective, sensitive, rapid, and easy than other methods and applicable for most pharmaceutical and chemical analysis fields. As a result, electrochemical methods

nowadays enable trace analysis for pharmaceuticals with a sufficient degree of precision, accuracy, selectivity, sensitivity, and reproducibility.

Electroanalytical determination of drugs can be used for understanding their pharmacokinetic properties (adsorption, distribution, metabolism, and elimination). In addition, the determination of APIs in biologic samples is useful for new drug development, bio-equivalence, toxicology, and drug-monitoring studies [43,44].

In this review, various electro-analytical methods for the determination of COVID-19 drugs in different samples available literature are summarized as below:

### 2.1. FAV

Investigation of the literature revealed that three studies had been carried out for the quantification of FAV by High-Performance Liquid Chromatography (HPLC) with ultraviolet (UV) detector [6,45], spectrofluorimetric [6,46], and electroanalytical methods [6]. Electroanalytical techniques are versatile analytical techniques that can easily solve many problems of pharmaceutical interest. Varieties of techniques are available to researchers to study the electrochemistry of electroactive species in solution. Particularly voltammetry is a practical electroanalytical technique that offers high sensitivity, selectivity, precision, and accuracy, a wide linear range, and low-cost instrumentation and time.

The electrochemical behavior and determination of FAV were carried out first time in pharmaceutical and biological samples at Boron Doped Diamond (BDD) electrode by CV and SWAdSV [6]. Some of the analysis parameters, such as concentration range and LOD values, were given in Table 2.

### 2.2. REM

Electroanalytical methods studied on similar molecular structures with the analyte can be useful when the analyte does not have a validated electroanalytical method. A theoretically evaluated electrochemical determination of REM by correspondent mathematical model analysis, made by linear stability theory and bifurcation analysis, has been studied on an anodic process using a Squaraine Dye-Ag<sub>2</sub>O<sub>2</sub> composite. In this theoretical study, it was reported that the composite has electroanalytical efficiency as an electrode modifier [25]. The calculated LOD value was given in Table 2.

### 2.3. LOP + RIT

Simultaneous determination of RIT and some other antivirals in human plasma, using HPLC with combined ultraviolet absorbance and electrochemical (potentiometric) detector was studied [47].

Electrochemical Impedance Spectroscopy (EIS) was studied with nanomaterial-modified biosensors, which can be used in biosensing probes to determine the interaction between SARS-CoV-2 spike protein and drugs. SARS-CoV-2 enters human cells by binding its spike protein to the cell expressing angiotensin-converting enzyme 2 (ACE2). Some ACE2 inhibitors, as ramiprilat and perindoprilat, are found to be effective for inhibition of spike protein-ACE2 binding. EIS is concluded to be useful for developing biosensors for screening of modulators for S-protein-ACE2 binding [11]. A similar screening assay based on EIS was developed for the determination of protease inhibitors at picomolar levels, including LOP + RIT. The method was based on the immobilization of the thiol terminated ferrocene (Fc)-pepstatin conjugate on a single-walled carbon nanotube/gold nanoparticle modified gold electrode. The estimated inhibition constant (K<sub>i</sub>) was reported for LOP + RIT as K<sub>i</sub> = 20 ± 3 pmol/L [48]. A similar assay can be studied for SARS-CoV-2 protease inhibitors. Some of the analysis parameters, such as concentration range and LOD values, were given in Table 2.

### 2.4. HCQ

The sensitive electrochemical sensor was improved by the modification of a glassy carbon electrode (GCE) with a new Schiff base [41].

The electrochemical properties of HCQ in the existence of uric acid (UA) at the surface of the modified electrode were studied by the DPV technique. In addition, the effect of pH, scan rate on the current and potential signal of HCQ was studied. Finally, the nanosensor was economically developed for the analysis of actual samples [41]. So far, some electrochemical techniques applied for the analysis of HCQ and CQ are summarized in Table 2 [40,49-51].

CV and DPV methods containing accumulation and stripping steps were developed for the determination of CQ on modified carbon paste electrodes. Accumulation and trace measurement were carried on dsDNA modified carbon paste surface, which allowed a preconcentration step for CQ. Modification of the carbon paste electrode achieved higher sensitivity compared with the bare surface. The linear range was 1.0 × 10<sup>-7</sup> - 1.0 × 10<sup>-5</sup> mol/L and LOD was 3.0 × 10<sup>-8</sup> mol/L at the dsDNA-modified electrode. The method was applied on serum, without sample pretreatment [52,53].

CV and DPV methods were developed for the determination of CQ using GCE electrode modified with reduced graphene oxide on tungsten disulfide WS<sub>2</sub> quantum dots. A hydrothermal method was used for the WS<sub>2</sub> quantum dots synthesis.



**Table 2.** Summary of electrochemical studies of antiviral COVID-19 drugs

Drug name	Linear range	Limit of detection (LOD)	Method	Application media	Ref.
FAV	0.01 - 0.10 µg/ml ( $6.4 \times 10^{-8}$ - $6.4 \times 10^{-7}$ M)	0.0028 µg/ml ( $1.8 \times 10^{-8}$ M)	CV and SW-AdSV-BDD Electrode	Pharmaceutical tablets, Human urine	[6]
FAV	0.10 - 20.00 µg/ml ( $6.4 \times 10^{-7}$ - $1.3 \times 10^{-4}$ M)	0.023 µg/ml ( $1.5 \times 10^{-7}$ M)	CV and SW-AdSV-BDD Electrode	Pharmaceutical tablets, Human urine	[6]
REM	(Theoretical study without experimental results)	(Theoretical study without experimental results)	EO-Squaraine Dye -Ag <sub>2</sub> O <sub>2</sub> Composite	(Theoretical study without experimental results)	[25]
RIT	250 - 5000 ng/mL	12 ng/mL	HPLC-EC	Human plasma	[47]
LOP + RIT	20 - 1000 pmol/mL	10 pmol/mL	EIS- SWCNT/AuNP-Modified Gold Electrode	Clinical drug capsules	[48]
HCQ	$2.00 \times 10^{-5}$ - $5.00 \times 10^{-4}$ mg/mL	11.29 mg/mL	DPV-GCE	Malaria drug (Plaquenil)	[40]
CQ	0.068 - 6.88 mg/mL	0.01 mg/mL	DPV-Cu(OH) <sub>2</sub> Nanowire-Modified CPE	Pharmaceutical tablet	[49]
HCQ	0.10 - 1.90 mmol/L	0.06 mmol/L	SWV-Cathodically Pretreated BDD Electrode	Spiked human blood serum	[50]
HCQ	0.01 - 0.25 µmol/L	2.00 nmol/L	SWV- Cathodically Pretreated BDD Electrode	Commercial pharmaceutical samples	[50]
HCQ	0.10 - 10.0 µmol/L	0.03 µmol/L	SWV-dsDNA/CPE	Commercial pharmaceutical samples	[50]
HCQ	0.13 - 13.30 µmol/L	0.02 µmol/L	SWV-CuNW/CPE	Commercial pharmaceutical samples	[50]
HCQ	$1.0 \times 10^{-5}$ - $1.0 \times 10^{-2}$ mol/L $1.0 \times 10^{-6}$ - $1.0 \times 10^{-2}$ mol/L	$7.90 \times 10^{-6}$ mol/L $5.00 \times 10^{-6}$ mol/L	ISE- PME and Modified-CPE	Pharmaceutical preparations and human urine	[51]
CQ	$1.0 \times 10^{-7}$ - $1.0 \times 10^{-5}$ M	$3.0 \times 10^{-8}$ M	CV and DPSV- CPE modified with dsDNA	Human serum	[52,53]
CQ	0.5 - 82 µM	40 - 120 nM	CV and DPV- Modified GCE with rGO/ WS <sub>2</sub> Quantum Dots	Human serum and pharmaceutical formulations	[52,54]
HCQ	$5.7 \times 10^{-8}$ - $1.0 \times 10^{-4}$ M	6.0 nM	AdSDPV, CV, CC and CA-MWCNTs/CPE	Pharmaceutical formulations and biological fluids	[52,55]
RIB	10.0-7.5×10 <sup>2</sup> ng/mL	No data available	CV-APBA/ERGO/GCE	Pharmaceutical injections	[56]
RIB	$1.0 \times 10^{-10}$ - $2.0 \times 10^{-7}$ mol/L	$2.02 \times 10^{-10}$ mol/L	SWASV-HMDE	Pharmaceutical dosage form, urine, and serum	[57]
SOF	1 - 400 nM	0.36 nM	CV and DPV-N,S@GQDs Electrode	Human plasma	[58,59]

DPV: Differential Pulse Voltammetry; SWV: Square Wave Voltammetry; CV: Cyclic Voltammetry; SWAdSV: Square-Wave Adsorptive Stripping Voltammetry; SWASV: Square-Wave Adsorptive Stripping Voltammetry; DPSV: Differential Pulse Stripping Voltammetry; AdSDPV: Adsorptive Stripping Differential Pulse Voltammetry; CC: Chronocoulometry; CA: Chronoamperometry; EO: Electrochemical Oscillations; ISE: Ion Selective Electrode, HPLC-EC: High Performance Liquid Chromatography-Electrochemical Detector; EIS: Electrochemical Impedance Spectroscopy; BDD: Boron-Doped Diamond; CPE : Carbon Paste Electrode; GCE : Glassy Carbon Electrode; PME: Polymeric Membrane Electrode; CuNW/CPE: Copper Nanowires/Carbon Paste Electrode; dsDNA/CPE: Double-Stranded DNA/Carbon Paste Electrode; SWCNT/AuNP-Modified Gold Electrode: Single-Walled Carbon Nanotube/Gold Nanoparticle Modified Gold Electrode; APBA/ERGO/GCE: 3-Aminophenylboronic Acid Electrochemically Reduced Graphene Oxide Modified Glassy Carbon Electrode; HMDE: Hanging Mercury Drop Electrode; N,S@GQDs: N,S co-doped graphene quantum dots electrode; CPE modified with dsDNA: Carbon Paste Electrode Modified with Double Stranded Deoxyribonucleic Acid; Modified-GCE with rGO/WS<sub>2</sub>QDs: Modified Glassy Carbon Electrode with Reduced Graphene Oxide Layers Containing Tungsten Disulphite Quantum Dots; MWCNTs/CPE : Multiwalled Carbon Nanotubes/ Carbon Paste Electrode

The resulting composite material, containing WS<sub>2</sub> quantum dots on reduced graphene oxide sheets, was deposited on a GCE to enhance electroactivity. CQ potential maximum was at 1.2 V (vs. AgCl/Ag) on the modified GCE. The linear range was 0.5 µM - 82 µM for CQ, and LOD was 40 - 120 nM (at S/N = 3). The method was applied to human serum and pharmaceutical formulations [52,54].

The determination of HCQ was carried on AdSDPV with multiwall carbon nanotube-modified carbon paste electrode. CV, CC, and CA were also studied on HCQ.

Linear range was  $5.7 \times 10^{-8}$  M -  $1 \times 10^{-4}$  M, and LOD was 6.0 nM (S/N = 3) [52,55].

## 2.5. RIB

It was reported that RIB was not electrochemically active. Therefore, RIB was determined by an indirect electrochemical method, using boronic acid-functionalized modified GCE. Modification of the GCE was carried out by 3-aminophenylboronic acid-electrochemically reduced graphene oxide. CV reduction peak current of [Fe(CN)<sub>6</sub>]<sup>3-/4-</sup> was measured

before and after the addition of RIB. After the modified GCE was immersed in RIB solution, complexation of RIB with boronic acid groups on the surface of the modified GCE caused steric effects, and CV reduction peak current of  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  was decreased. The optimal reaction time between RIB and modified GCE surface was reported to be 10 min, pH was 7.5 and temperature was 15 °C. The linear range was 10.0 -  $7.5 \times 10^2$  ng/mL. The developed method was applied on the determination of RIB in an injection and results were reported to be comparable with the standard HPLC method described in the Chinese Pharmacopoeia Commission [56].

SWAdSV was applied for the determination of RIB in pharmaceutical formulations and biological samples (urine and serum). The developed method was based on the reduction of RIB at hanging mercury drop electrode in Britton Robinson buffer at pH 10, after accumulation for 30 s at 50 mV potential, the peak was observed at 880 mV. The linear range was  $1.0 \times 10^{-10}$  -  $2.0 \times 10^{-7}$  mol/L and LOD was  $2.02 \times 10^{-10}$  mol/L [57]. Some of the analysis parameters, such as concentration range and LOD values, were given in Table 2.

## 2.6. SOF

A molecularly imprinted polymer was proposed for the electrochemical determination of SOF. The sensor was obtained by polymerization of p-amino thiophenol on N,S co-doped graphene quantum dots in the presence of gold nanoparticles to form a gold-sulfur covalent network. It was reported that the quantum dots improved the electron transfer rate, enhanced surface activity, and amplified the signal. DPV and CV were applied with the developed sensor. SOF linear concentration range was found to be 1 - 400 nM, and the LOD was 0.36 nM. SOF spiked human plasma was studied [58,59]. Some of the analysis parameters, such as concentration range and LOD values, were given in Table 2.

## 3. Conclusions

The main aim of pharmaceuticals is to make humans free from potential illness or prevent them from getting ill. There are no specific drugs against COVID-19. Therefore, drug repurposing is a useful method for COVID-19 treatment. Antiviral drugs, which were used against other viruses with similar mechanisms of viral replication, may also be effective against SARS-CoV-2. Studies in various fields focusing on this approach need electroanalytical methods for antiviral COVID-19 drugs. This review is focused on the electroanalytical methods developed for the analysis of antiviral COVID-19 drugs in pharmaceutical forms and biological samples such as

in human serum and urine. The methods studied with various modified or non-modified electrodes were compiled from the literature. Quantitative analysis of antiviral COVID-19 drug active ingredients was investigated in terms of some validation parameters such as linearity range, LOD, and sensitivity. The review also highlights the advantages of the applied electroanalytical techniques. It is concluded that electroanalytical methods and electrochemical sensors offer some unique advantages over other analytical methods, and more electroanalytical studies on antiviral COVID-19 drugs are recommended for further research. Due to the lack of electroanalytical methods for the analysis of antiviral COVID-19 drugs in the literature, this review will shed light on new research studies by giving brief information about existing studies.

## Disclosure statement

No potential conflict of interest was reported by the authors.

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## The recent studies about the interaction of phthalocyanines with DNA

Esra Bağda<sup>1\*</sup> , Efan Bağda<sup>2</sup> 

<sup>1</sup>Sivas Cumhuriyet University, Faculty of Pharmacy, Department of Basic Pharmaceutical Sciences, Analytical Chemistry Division, 58140, Sivas, Turkey

<sup>2</sup>Sivas Cumhuriyet University, Faculty of Science, Department of Molecular Biology and Genetics, 58140, Sivas, Turkey

### Abstract

Cancer is one of the major diseases affecting all humanity with high mortality rates worldwide. Photodynamic therapy offers one of the most important and promising treatment methods, especially in recent years. Photodynamic therapy takes the steps of administering the photosensitizing compound to the body and stimulating it with a light of an appropriate wavelength after its accumulation in the target tissue. With the formation of complex processes that take place in the target area with the reactive oxygen species formed by the stimulated compounds, death or the inhibition of the proliferation of the cells causes situations such as the destruction of the target tissue.

Phthalocyanines constitute an important group of photo-sensitizers with their strong absorption close to the therapeutic window. With large  $\Pi$  systems, they can bind with many biological macromolecules with high affinity by many mechanisms, including the  $\Pi$  -  $\Pi$  stacking.

This review article describes the last three years of studies in the WOS (Web of Science) database about the interactions of phthalocyanines with DNA. The interactions of phthalocyanines with DNA are important as they can make differences in the proliferation of tumour cells. On the other hand, DNA replication and transcription have increased due to the increased metabolic rate of these cells. The DNA double-strand opened during replication, and gene expression allows the formation of different secondary structures such as hairpin, triple and G-quadruplex. The interaction of G-quadruplex DNA structures with these compounds, which can be formed in the guanine-rich regions of the DNA has been described in studies.

**Keywords:** G-quadruplex, DNA, phthalocyanine, interaction

### 1. Introduction

Phthalocyanines are synthetic dyes and composed of four benzoid nuclei joined by four nitrogen atoms [1, 2]. These compounds have very important advantages such as definite chemical structure, repeatable synthesis, strong absorption in the near-infrared region, tunable photophysical and photochemical properties, and inherent biodegradability [3]. The free and metallated phthalocyanines have characteristic bands on the ultraviolet-visible absorption spectrum: a broad Soret band at 300-425 nm and Q band at 550-750 nm [4].

Apart from usage as photosensitizers for photodynamic therapy, phthalocyanines have been used in the different technological fields such as solar cell materials [5, 6, 7, 8], non-linear optical materials [9, 10, 11].

Genome integrity is essential for proper cell proliferation. Genetic instability can result in the inhibition of proliferation and some diseases [12]. Deoxyribonucleic acid (DNA) is a very important

biopolymer that acts as a target for a large number of drugs, therefore, investigation of interactions of DNA with small molecules which are potential drugs is important for designing new types of pharmaceuticals [13]. The investigation of the interaction of drugs with DNA is a very important task in pharmacology as DNA is often the target for the majority of anticancer and antibiotic drugs [14].

G-quadruplexes DNA (G-Q) are four-stranded secondary DNA structures forming in guanine-rich nucleic acids regions of the genome, which can be found in some important parts of the genome such as promoter regions [15]. G-Q structures stabilized by Hoogsteen hydrogen bonding between a tetrad of guanine bases [16]. The G-quadruplex structure has essential roles in many biological processes such as translation, telomere maintenance, transcription, and replication [17, 18, 19]. According to De Magis et al., G-quadruplexes DNA and R loops are non-canonical DNA structures, and they can

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**\*Author of correspondence:** esraer@cumhuriyet.edu.tr

**Tel:** +90 346 219 10 10

**Fax:** +90 346 219 16 34

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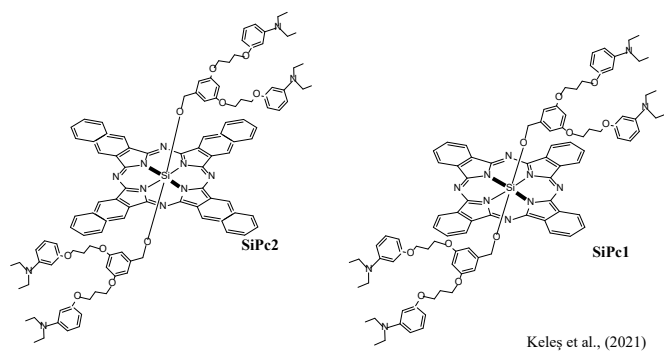
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regulate basic nuclear processes and trigger DNA damage, genome instability, and cell killing [20].

The important roles of DNA and G-quadruplex DNA structures in the cell cycle have made these structures targets for cancer drugs. Molecules that bind effectively to DNA structures can alter the normal process of the cell. This situation is very promising for cancer treatment.

In this review, phthalocyanines, molecules that can bind with B-DNA and G-quadruplex DNA, were investigated.

When searching WOS with “phthalocyanine” and “DNA” keywords (topic), 528 studies were found (Access date to WOS 12.05.2021). According to the WOS categories, out of 528 studies, 128 belongs to the “chemistry multidisciplinary” category, 123 belongs to the “biochemistry, molecular biology” category, 60 belongs to the “biophysics” category, 51 belongs to the “chemistry physical” category, 39 belongs to “chemistry inorganic nuclear” category, 36 belongs to “chemistry analytical” category, 31 belongs to “oncology” category.



**Figure 1.** The structure of silicon phthalocyanines used in the study of Keleş et al. [21]

When the studies are evaluated according to the years they were published, there are most studies in 2020 (42), 37 in 2018, 30 in 2019, 29 in 2017, and 28 in 2016.

According to document types, 475 documents are articles, 30 documents are proceeding papers and 30 reviews.

When the studies grouped into funding agencies, 64 of them funded by the National Natural Science Foundation of China (NSFC), 45 of them funded by the United States Department Of Health Human Services, 44 of them funded by the National Institutes of Health (NIH) USA, 33 of them funded by (NIH) National Cancer Institute (NCI), 24 of them funded by Grants in Aid for Scientific Research Kakenhi, 24 of them funded by Japan Society for the Promotion of Science, 24 of them funded by Ministry of Education Culture Sports Science and Technology Japan (MEXT), 23 of them funded by The Scientific and Technological Research Council of Turkey (TUBITAK), 18 of them funded by European

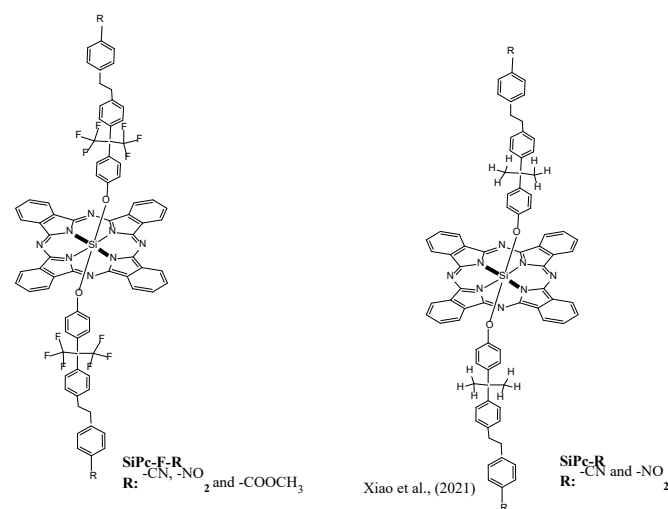
Commission, 14 of them funded by Conselho Nacional De Desenvolvimento Cientifico E Tecnologico (CNPQ).

When the studies are evaluated according to “organization-enhanced”, 30 documents from Karadeniz Technical University, 24 from Case Western Reserve University, 22 from Chinese Academy Of Sciences, 15 from Istanbul Technical University, 15 from Russian Academy of Sciences, 15 from Universidade De Sao Paulo, 12 from Gebze Technical University, 12 from Centre National De La Recherche Scientifique CNRS, 10 from Institute of Chemical Biology Fundamental Medicine Siberian Branch of The Ras, and 10 from University of Sherbrooke.

## 2. Literature Survey

The studies obtained from the research in WOS and belonging to the last three years can be briefly summarized as follows.

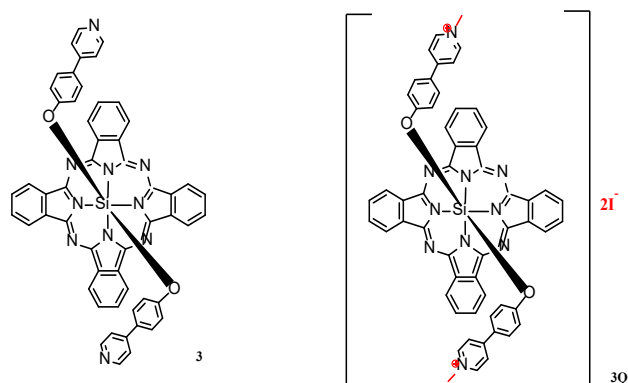
Keleş et al., have synthesized and characterized the water-soluble and non-aggregated silicon (IV) phthalocyanines and naphthalocyanines containing (3,5-bis[3-[3(diethylamino)phenoxy]propoxy]phenyl)-methoxy groups to investigate their anticancer potential (Fig. 1) [21]. They conducted the experiments to investigate the ct-DNA interaction of the compounds and the binding constant ( $K_b$ ) values of **SiPc1a** and **SiNc2a** were found as  $6.85 \pm (0.35) \times 10^6$  and  $1.72 \pm (0.16) \times 10^4 \text{ M}^{-1}$ . It is also reported that the  $\Delta T_m$  values of **SiPc1a** and **SiNc2a** were calculated as 6.45 and 2.50 °C, respectively. They concluded from the all obtained experimental results that **SiPc1a** is a promising candidate as an anticancer agent [21].



**Figure 2.** The structure of silicon phthalocyanines used in the study of Xiao et al. [22]

Xiao et al., have synthesized and characterized two series of fluorinated and non-fluorinated dendritic silicon (IV) phthalocyanines with cyano/nitro/ester terminal functionalities (Fig. 2) [22]. They used an

amphiphilic diblock copolymer polyethylene glycol monomethyl ether–polycaprolactone to encapsulate these silicon phthalocyanines to form fluorinated and non-fluorinated dendritic silicon phthalocyanines nanoparticles to endow water-soluble and biocompatibility of the compounds. The binding constants ( $K_a$ ) between the fluorinated dendritic silicon nanoparticles and DNA were  $3.569 \times 10^3$  for **MPEG@SiPc-F-CN**,  $3.887 \times 10^3$  for **MPEG@SiPc-F-NO<sub>2</sub>**, and  $4.629 \times 10^3$  M<sup>-1</sup> for **MPEG@SiPc-F-COOCH<sub>3</sub>**. To support the binding experiments results in the competition binding sites experiment was also conducted by Xiao et al., and they concluded that the interaction between the DNA with nanoparticles could be explained that the interaction between “positive charge” of fluorinated dendritic silicon phthalocyanines and the negative phosphate backbone of DNA [22].



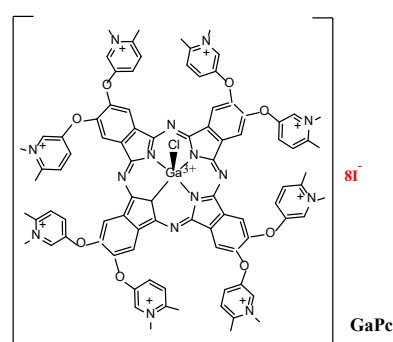
**Figure 3.** The structure of silicon phthalocyanine used in the study of Al-Raqa et al. [23]

Al-Raqa et al., synthesized and characterized axially the novel bis[4-(4-pyridinyl)phenol] substituted silicon(IV) phthalocyanine (**3**) and its quaternized derivative (**3Q**) (Fig. 3) [23]. The binding constants ( $K_b$ ) between the quaternized derivative (**3Q**) and ct-DNA was  $3.09 \times 10^6$  M<sup>-1</sup>, the binding stoichiometry ( $n$ ) was found as 1.57. They stated that the presence of an isosbestic point at 703 nm supports the intercalation mode of interaction between **3Q** and ct-DNA [23].

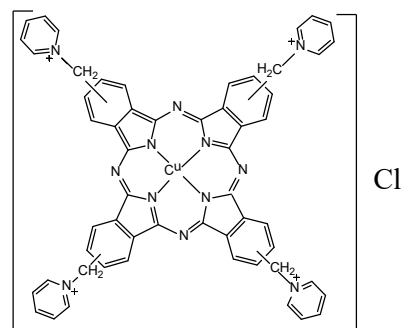
A study conducted by our research group was about the interaction of a water-soluble quaternized non-peripherally gallium(III)phthalocyanine chloride (**GaPc**) bearing 2-mercapto-N-methylpyridinium groups with different G- quadruplex DNA and ct-DNA. We found that the **GaPc** complex has a higher affinity to G-quadruplex DNA structures [24]. The binding constants were found as  $1.9 \times 10^6$  M<sup>-1</sup>,  $1.1 \times 10^6$  M<sup>-1</sup>,  $8.5 \times 10^5$  M<sup>-1</sup> for c-MYC, AS1411, Tel21, and it was found as  $2.9 \times 10^4$  M<sup>-1</sup> for ct-DNA.

Uchiyama et al., studied the interaction between an all parallel-stranded tetrameric G-quadruplex formed from a heptanucleotide d(TTAGGGT) ([d(TTAGGGT)]<sub>4</sub>) and Ga(III) phthalocyanine (Pc) derivative bearing eight

N-methylpyridinium groups at peripheral  $\beta$ -positions (2,3,6,7,10,11,14,15-octakis-[N-methyl-(4-methylpyridinium-3-yloxy) phthalocyaninato] chloro gallium(III) iodide (**GaPc**)) (Fig. 4) [25]. They stated that **GaPc** exhibits stepwise binding to the G-quadruplex DNA to form a 2:1 **GaPc**-DNA complex. The binding constants for the first and second steps were found as  $(21 \pm 2) \times 10^6$  and  $(0.09 \pm 0.06) \times 10^6$  M<sup>-1</sup>. They found that **GaPc** exhibits high binding affinity to the A3G4 step of [d(TTAGGGT)]<sub>4</sub> through the electrostatic interactions of its positively-charged side chains with the negatively-charged phosphate groups, in addition to the  $\pi$ - $\pi$  stacking interaction. They concluded that the polarity of the binding site alters the **GaPc**-binding affinity to the DNA [25].



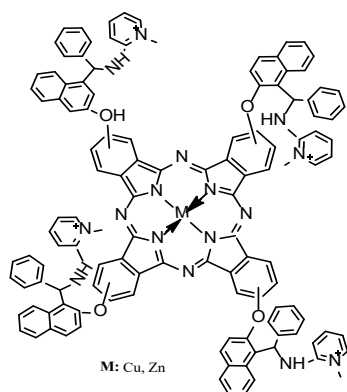
**Figure 4.** The structure of Ga(III) phthalocyanine used in the study of Uchiyama et al. [25]



**Figure 5.** The structure of Cu(II) phthalocyanine used in the study of Macii et al. [26]

Macii et al., investigated the binding mechanism of a well know dye, copper phthalocyanine (Alcian Blue-tetrakis(methylpyridinium) chloride, **ABTP**) (Fig. 5), to natural ct-DNA, G-quadruplexes (G<sub>4</sub>), and synthetic RNA polynucleotides in the form of double polyriboadenylic·polyribouridylic acid (poly(A)·poly(U)) or triple strands polyriboadenylic·2polyribouridylic acid (poly(A)·2poly(U)).  $K_{app}$  is the binding constant and in the presence of NaCl 0.1 M, NaCa 2.5 mM, pH 7.0 and 25 °C they calculated the values as  $K_{app}(\text{ABTP}/\text{ct-DNA}) = (5.0 \pm 1.1) \times 10^3$  M<sup>-1</sup>,  $K_{app}(\text{ABTP}/\text{polyA} \cdot \text{polyU}) = (1.2 \pm 0.6) \times 10^4$  M<sup>-1</sup> and  $K_{app}(\text{ABTP}/\text{polyA} \cdot 2\text{polyU}) = (6.2 \pm 3.2) \times 10^3$  M<sup>-1</sup> [26]. They also conducted the titrations experiments in the range of 15-46 °C and calculated the

thermodynamic parameters. They stated that magnitude for enthalpy changes lies at the boundaries between groove binding (low negative or positive  $\Delta H$ ) and intercalation (highly negative  $\Delta H$ ). They also conducted melting studies and concluded that the significant helix stabilization observed upon **ABTP** binding (at  $C_{ABTP}/C_{poly} = 1.25$ ,  $\Delta T_m > 15$  °C for both **ABTP**/polyA-polyU and **ABTP**/polyA-2polyU). They also conducted similar experiments with G-quadruplexes and the apparent binding constants were calculated as  $K_{app}(\text{ABTP}/\text{Tel23}) = (3.7 \pm 1.2) \times 10^5 \text{ M}^{-1}$ ,  $K_{app}(\text{ABTP}/\text{c-myc}) = (3.6 \pm 1.1) \times 10^5 \text{ M}^{-1}$  and  $K_{app}(\text{ABTP}/\text{CTA22}) = (6.1 \pm 2.1) \times 10^5 \text{ M}^{-1}$  (KCl 0.1 M, LiCac 2.5 mM, pH 7.0, 25.0 °C). They concluded from the thermodynamic studies that the low values of  $\Delta H_{app}$  agree with externally binding. Interestingly, the CD experiments strongly indicate dye intercalation in the case of **ABTP** with ct-DNA [26].



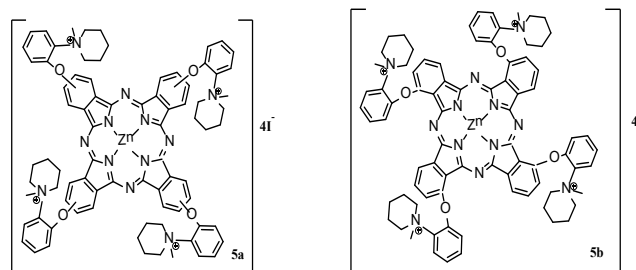
**Figure 6.** The structure of phthalocyanines used in the study of Amitha and Vasudevan [27]

Amitha and Vasudevan synthesized Betti base substituted zinc and copper phthalocyanines (**ZnBBPc** and **CuBBPc**) and their quaternized derivatives **qZnBBPc** and **qCuBBPc** were and characterized (Fig. 6) [27]. The DNA binding activities of **qZnBBPc** and **qCuBBPc** against ct-DNA were investigated and intercalative mode of interaction with  $K_b$  of the order of  $10^5 \text{ M}^{-1}$  was found. They finally assumed that the preferred mode of interaction was intercalative and the activity followed the order  $q\text{CuBBPc} > q\text{ZnBBPc}$  [27].

Çoban et al., investigated some biological applications such as ct-DNA binding and supercoiled plasmid pBR322 DNA cleavage of zinc (II) phthalocyanine bearing ferrocene groups (**Pc-Zn**) [28]. They calculated the binding constant ( $K_b$ ) value of the Pc-Zn as  $1.80 \pm (0.37) \times 10^4 \text{ M}^{-1}$  and hypochromicity % as  $29.71 \pm 3.45$ . They concluded the binding mechanism as groove binding because the binding constant of **Pc-Zn** found lower than  $10^5 - 10^9 \text{ M}^{-1}$  which are the  $K_b$  values of intercalation [28].

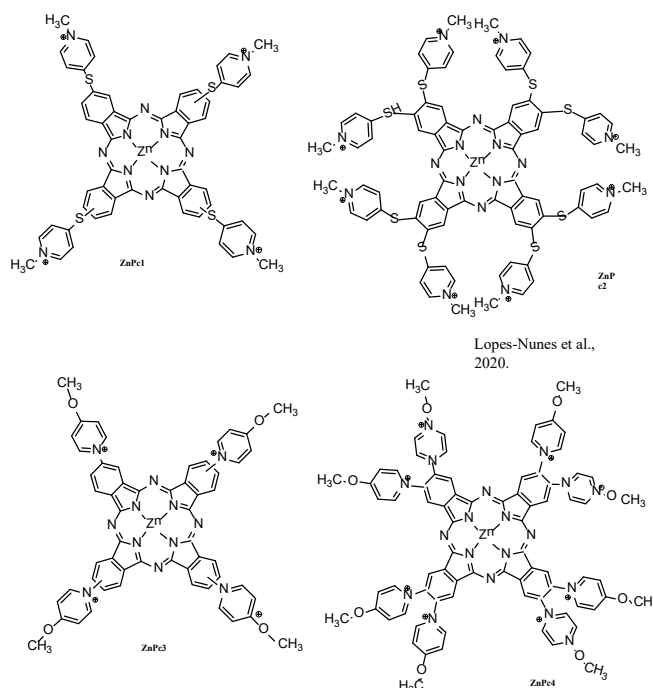
Barut et al., synthesized the peripherally and non-peripherally tetra substituted water-soluble zinc(II)

phthalocyanines (**5a** and **5b**), and their ct-DNA binding behavior was investigated as well as other biological applications (Fig. 7) [29]. The binding constants were found to be  $2.92 \pm (0.20) \times 10^5$  and  $1.30 \pm (0.16) \times 10^6 \text{ M}^{-1}$  for **5a** and **5b**. They concluded that compound **5b** interacted with DNA 10 times stronger than **5a**.



**Figure 7.** The structure of Zn(II) phthalocyanines used in the study of Barut et al. [29]

Khezami et al., synthesized two new tetra- or octa-substituted zinc(II) phthalocyanines (**1** and **2**) bearing 3-(morpholinomethyl)phenyl groups [30]. They also converted **1** and **2** to their water-soluble derivatives (**1Q** and **2Q**) by quaternization. They investigated the interaction of **1Q** and **2Q** with ct-DNA as well as BSA. They found the  $K_b$  values as  $6.3 \times 10^4$  and  $1.5 \times 10^5 \text{ M}^{-1}$ .



**Figure 8.** The structure of Zn(II) phthalocyanines used in the study of Lopes-Nunes et al. [31]

Lopes-Nunes et al., studied the interactions of four Zn(II) phthalocyanines (thiopyridinium **ZnPcs 1** and **2**, and methoxypyridinium **ZnPcs 3** and **4**) (Fig. 8) with the G-quadruplex forming AS1411 aptamer and its derived sequences AT11, -L0 and -B0 [31]. They calculated the dissociation constants and concluded that **ZnPc 4** has the highest affinity for all G4s aptamers; this is due to a compromise between the eight positive charges and



their hindered location in the methoxypyridinium moieties.

Ballı et al., reported the ct-DNA binding properties of tetra phenoxy-3-methoxybenzoic acid substituted Co(II) (4) and Cu(II) (5) metal phthalocyanines (Fig. 9) [32]. They found the binding constants as  $1.85 \times 10^6$  and  $2.22 \times 10^6$ . They assumed that both two phthalocyanine compounds bound to the ct-DNA using the intercalation binding mechanism. Ballı et al, found the  $T_m$  value of bare calf thymus DNA as 74.63 °C and 79.48 °C and 80.75 °C. They suggested that the melting temperature also demonstrated intercalation binding mechanism for the compound 4 and 5 [32].

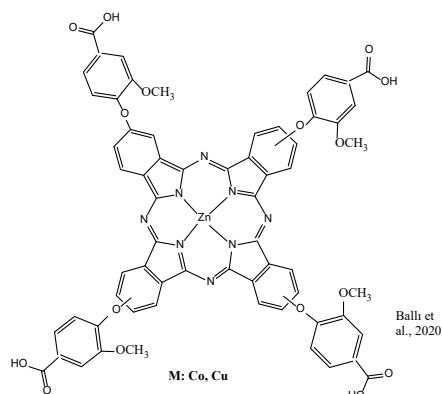


Figure 9. The structure of phthalocyanines used in the study of Ballı et al. [32]

Uchiyama et al., investigated the G-quadruplex DNA binding behavior of 2,9(10),16(17),23(24)-tetrakis(carboxyl)phthalocyanine copper(II) complex (CuTCPc) (Fig. 10) [33]. The compound, CuTCPc, is an anionic phthalocyanine and the researchers found that binds this anionic phthalocyanine compound selectively to the 3'-terminal G-quartet, i.e., G6 G-quartet, of the all parallel-stranded tetrameric G-quadruplex of d(TTAGGG). The addition of an extra T at the 3'-terminal of the constituent sequence inhibited the specific binding of CuTCPc to G6 G-quartet. They concluded that the inhibition of specific binding is most likely due to the electrostatic repulsion between the carboxylate groups of CuTCPc and the negatively-charged phosphate of the phosphodiester bond at the G6T7 step of the G-quadruplex DNA [33].

Yalazan et al., synthesized tosylated 4-morpholinoaniline units fused peripherally tetra-substituted free-base (5), copper(II) (6), zinc(II) (7), cobalt(II) (8), and magnesium(II) (9) (Fig. 11) phthalocyanine compounds [34]. The DNA interaction of 7 was investigated and the intrinsic binding constant was determined as  $2.45 \pm (0.20) \times 10^4 \text{ M}^{-1}$ . 25.98% of hypochromic effect was observed for compound 7 without any shift upon the addition of ct-DNA. The authors concluded from the results that compound (7) bound to ct-DNA via non-covalent interaction [34].

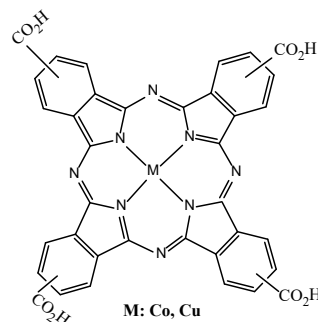


Figure 10. The structure of phthalocyanines used in the study of Uchiyama et al. [33]

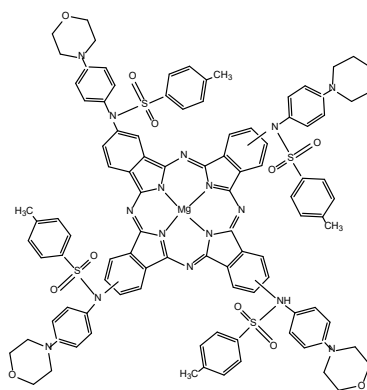


Figure 11. The structure of Mg(II) phthalocyanine used in the study of Yalazan et al. [34]

Yan et al., investigated the photodynamic therapy with a zinc phthalocyanine (ZnPc) photosensitizer coupled with 2,4,6-tris (N, N-dimethylaminomethyl) phenoxy (TAP), termed as ZnPc(TAP)<sub>4</sub> (Fig. 12) [35]. They investigated the ct-DNA binding and they found the  $K_b$ , intrinsic binding constant as  $3.2 \times 10^6$ . They commented that the intrinsic binding constant for ZnPc(TAP)<sub>4</sub> is higher than that of ethidium bromide ( $K_b = 1.23 \times 10^5$ ) showing that ZnPc(TAP)<sub>4</sub> has a strong affinity to ct-DNA.

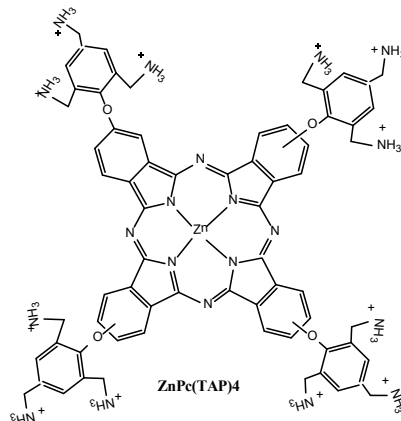


Figure 12. The structure of Zn(II) phthalocyanine used in the study of Yan et al. [35]

Wang et al., studied the specific binding of the anionic phthalocyanine 3,4',4'',4'''-tetrasulfonic acid

(APC) (Fig. 13) to the human hybrid (3 + 1) G4s [36]. They investigated the binding at the atomic level using molecular docking and molecular dynamics simulations. They suggested that APC preferred the end-stacking binding with the telomere hybrid type II G4 and the groove binding with the hybrid type I G4. They also commented that the electrostatic interaction and the polar solvation effect made unfavorable and favorable contributions respectively to the binding of APC and hybrid G4s [36].

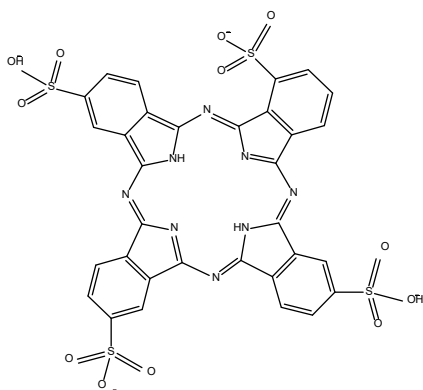


Figure 13. The structure of phthalocyanine used in the study of Wang et al. [36]

A tetra substituted neutral zinc phthalocyanine (**ZnPc-4**) (Fig. 14) bearing 4-phenylazophenoxy group at the periphery had been prepared by [37]. The interaction between ct-DNA and **ZnPc-4** was investigated. They concluded from the electronic spectrum results as well as the planar shape of the compound that intercalation mode of binding with ct-DNA. They calculated the binding constant as  $1.58 \times 10^5 \text{ M}^{-1}$ .

Baran et al., synthesized three phthalocyanine derivatives (Zn (**3a**), Co (**3b**), and metal-free (**3c**)) were synthesized (Fig. 15) [38]. The ct-DNA binding studies conducted with **3a**. the binding constant of **3a** calculated as  $5.2 (\pm 0.52) 10^3 \text{ M}^{-1}$ . They concluded from the spectral data that the existence of non-intercalative interactions between the synthesized **Pcs** and ct-DNA.

Kasyanenko et al., investigated the ct-DNA interaction of cobalt phthalocyanine disulfonate (**CoPc**) (Fig. 16) [39]. The authors claimed that two types of **CoPc** binding to DNA were observed. For the first binding, fast **CoPc** interactions with DNA via external binding to DNA phosphates backbone were accompanied by the formation of stack-type phthalocyanine structures on the periphery of the DNA helix (binding constant was found as  $(4.8 \pm 0.4) \times 10^4 \text{ M}^{-1}$ ). The authors stated that the second binding manifests itself in a certain period of time. It can be associated with the reorganization of ligands and second binding does not affect the first complexes, that is **CoPc** binding with DNA phosphates [39].

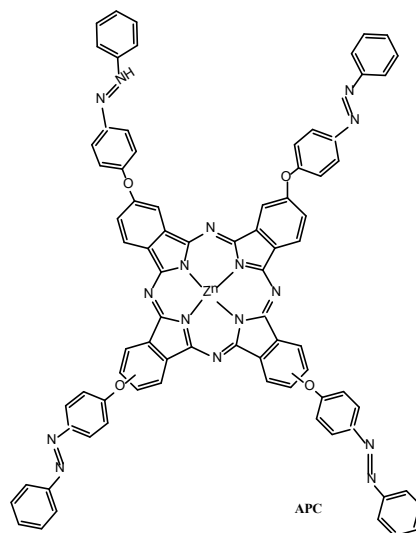


Figure 14. The structure of Zn(II) phthalocyanine used in the study of Amita and Vasudevan [37]

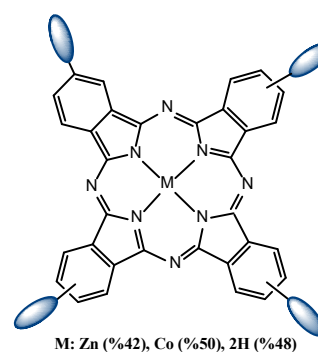


Figure 15. The structure of phthalocyanines used in the study of Baran et al. [38]

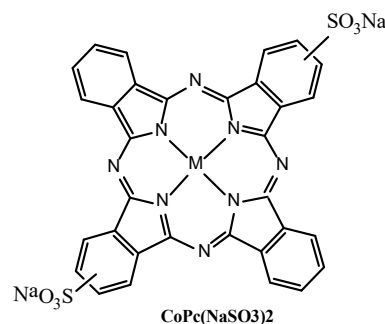
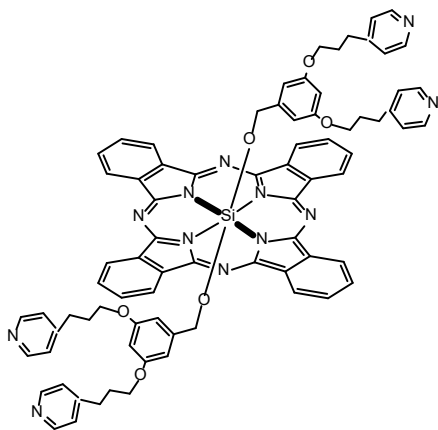


Figure 16. The structure of phthalocyanine used in the study of Kasyanenko et al. [39]

McRae et al., have studied the interaction of two cationic zinc phthalocyanines (**Pc1** and **Pc2**) with several DNA secondary structures (ss-DNA, ds-DNA, and G4-DNA structures) [40]. They suggested that according to the spectrophotometric titration experiment there are strong interactions between both phthalocyanine compounds and ss-DNA, ds-DNA, and G4-DNA and consistent with non-specific interactions with the phosphodiester backbone.

Keleş et al., have synthesized axially [3,5-bis(3-pyridin-4-yl)propoxy)phenyl]methoxy groups substituted silicon (IV) phthalocyanine **2**,

naphthalocyanine **3** and their water-soluble derivatives **2a**, **3a** (Fig. 17) [41]. They found that the **3a** and **3b** interacted to ct-DNA via intercalation with a binding constant of  $3.94 \pm (0.15) \times 10^6$  and  $1.08 \pm (0.10) \times 10^5 \text{ M}^{-1}$ . They concluded from electronic absorption spectral changes (hypochromism, redshift and isosbestic points) are indicators of a non-covalent binding mode via intercalation due to strong interactions between chromophore and DNA base pairs [41].



**Figure 17.** The structure of Si phthalocyanine used in the study of Keleş et al. [41]

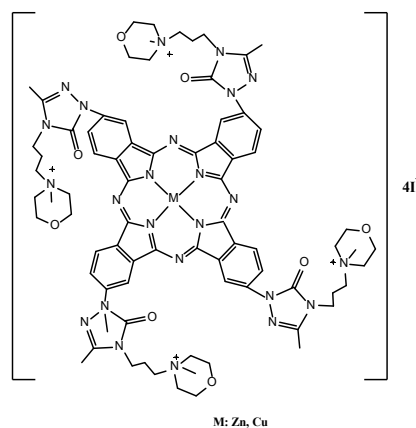
Uslan et al., have synthesized new Zinc(II) (5), indium(III) (6), and lutetium(III) (7) phthalocyanines (Pcs) (Fig. 18) peripherally substituted with poly(ethylene glycol) (PEG) monomethyl ether 2000 (PEGME-2000) blocks [42]. The binding constant was calculated from the titration data for 5, 6 and 7 as  $9 \times 10^5$ ,  $11 \times 10^5$ , and  $10 \times 10^5$ , respectively. The authors also calculated the thermodynamic parameters and concluded from the results that the binding mode of three phthalocyanines with ct-DNA could be attributed as non-specific, hydrophobic, entropy-controlled, and spontaneous [42].



**Figure 18.** The structure of phthalocyanines used in the study of Uslan et al. [42]

Demirbaş et al., have synthesized triazol compound 3-methyl-4-(3-morpholinopropyl)-1H-1,2,4-triazol-5(4H)-one (**3**), phthalonitrile compound 4-(3-methyl-4-(3-morpholinopropyl)-5-oxo-4,5-dihydro-1H-1,2,4-triazol-1-yl)phthalonitrile (**5**), peripherally tetra

substituted zinc(II) (**6**) and copper(II) (**7**) phthalocyanines and their water-soluble quaternized derivatives (**6a**) and (**7a**) (Fig. 19) [43]. The binding constant for ct-DNA interaction was calculated as  $6.53 \pm (0.04) \times 10^4 \text{ M}^{-1}$  and  $1.14 \pm (0.02) \times 10^4 \text{ M}^{-1}$  for **6a** and **6b**. They also found that the  $\Delta T_m$  values as 7.55 °C and 5.75 °C. The authors concluded that the spectral characteristics, that is observed hypochromicities with redshifts suggest that both compounds interact with ct-DNA via intercalation or minor groove binding mode [43].

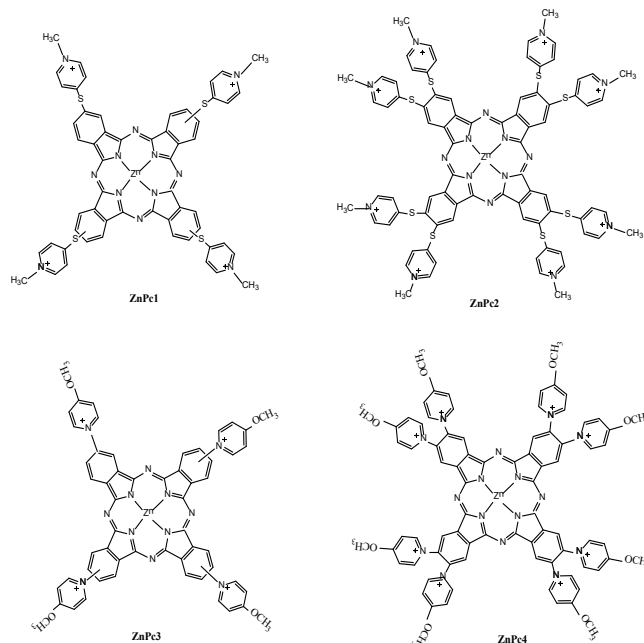


**Figure 19.** The structure of phthalocyanines used in the study of Demirbaş et al. [43]

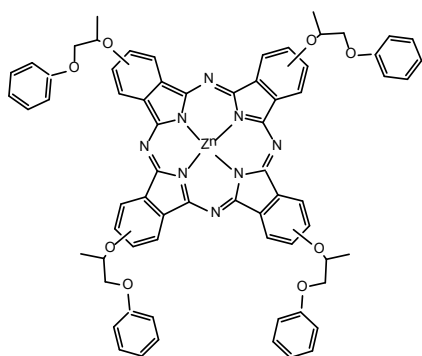
Ramos et al., have studied the interaction of four phthalocyanine compounds, with four positive charges and with eight positive charges (**ZnPc1** and **ZnPc3** contain four positive charges, **ZnPc2** and **ZnPc4** contain eight positive charges) (Fig. 20) with different DNA structures (tetramolecular G-quadruplex, unimolecular G-quadruplex, salmon sperm DNA, and 5GC small double-strand DNA) [44]. They found that **ZnPc1** and **ZnPc4** have high selectivity and affinity for G-quadruplex over duplex structures. Ramos et al. have also concluded some important inferences about the structure-activity relationship: They have explained that the existence of a high number of positive charges results in a better affinity but lacks its selectivity towards DNA and the position of the positive charge is important for the interactions; a balance between the number and position of the positive charges, is a fundamental attribute for selectivity of ligands towards G-quadruplex structures [44].

Demirbaş has synthesized peripherally tetra 4-(1-phenoxypropan-2-yloxy)-substituted novel zinc(II) phthalocyanine (**4**) (Fig. 21) [45]. He has found the ct-DNA binding constant of compound **4** as  $1.73 \pm 0.50 \times 10^4 \text{ M}^{-1}$ . A hypochromic effect (27.97%) after the addition of ct-DNA has been observed. The author also concluded from spectrophotometric titration and ethidium bromide competitive binding experiments that

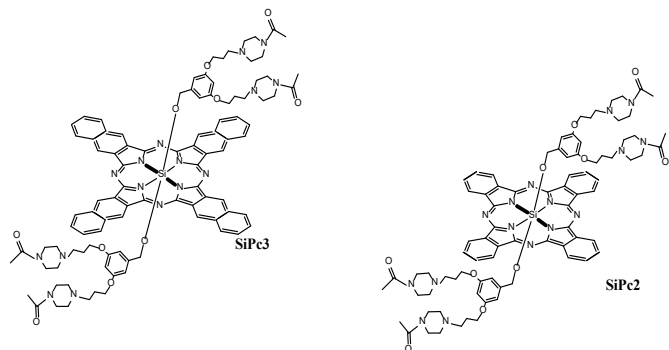
phthalocyanine **4** interacted with ct-DNA strongly via non-intercalation mode [45].



**Figure 20.** The structure of zinc phthalocyanines used in the study of Ramos et al. [44]



**Figure 21.** The structure of zinc phthalocyanines used in the study of Demirbaş [45]



**Figure 22.** The structure of zinc phthalocyanine used in the study of Baş et al. [46]

Baş et al., have synthesized axially 1-acetylpiperazine substituted silicon (IV) phthalocyanine, naphthalocyanine **2**, **3**, and their water-soluble derivatives (**2a** and **2b**) (Fig. 22) [46]. They studied the ct-

DNA interaction of **2a** and **2b**. The binding constants have been calculated as  $1.25 \pm (0.01) \times 10^4$  and  $1.13 \pm (0.03) \times 10^4 \text{ M}^{-1}$ . The 50.03% and 44.98% hypochromisms and redshifts of 1 nm and 5 nm have been observed for the interaction of **2a** and **3a** with DNA. The changes in melting temperatures have been found as 4.25 °C and 3.75 °C for **2a** and **3a** [46].

### 3. Conclusion

Investigating the interactions of molecules targeting DNA and G-quadruplex DNA with these structures is very important for drug development studies. Drugs targeting these structures are very important for the treatment of many diseases, including cancer. The ability of phthalocyanines to be synthesized differently to have different properties, to have high absorption in the near IR region, to form species such as singlet oxygen by activating light made these molecules compatible with photodynamic therapy. Until now, important studies have been carried out on this subject and in the light of the obtained data, new compounds more specific to the target will be synthesized.

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## Three species of *Verbascum* L. from Northwest Anatolia of Turkey as a source of biological activities

Nurcihan Hacıoğlu Dođru<sup>1\*</sup> , Neslihan Demir<sup>1</sup> , Özer Yılmaz<sup>2</sup> 

<sup>1</sup>Çanakkale Onsekiz Mart University, Faculty of Science and Arts, Department of Biology, 17020, Çanakkale, Turkey

<sup>2</sup>Uludağ University, Faculty of Science and Arts, Department of Biology, 16240, Bursa, Turkey

### Abstract

Phytochemical constituents and some biological activities i.e., antimutagenicity, DNA damage protecting, antioxidant, antibacterial, and antibiofilm of ethanolic extracts of three *Verbascum* plants (*Verbascum mucronatum* Lam., *V. bombyciferum* Boiss., *V. vacillans* Murb.) were studied. This paper is the first comprehensive research on *V. mucronatum*, *V. bombyciferum*, *V. vacillans* biological activities. *V. vacillans* ethanol extract has been determined to be the lowest plant for phytochemical contents. In 2,2-diphenyl-1-picrylhydrazyl (DPPH) free radical scavenging activity and the Cu(II) ion reducing antioxidant capacity (CUPRAC) of three plant extracts showed concentration-dependent antioxidant capacity. *V. mucronatum* and *V. bombyciferum* extracts exhibited a strong antimutagenic effect on *Salmonella typhimurium* TA98 and TA100 strains. *Verbascum* extracts showed DNA damage protection potential in tested concentrations. However, the lowest concentration (0.5 µM) of the *V. bombyciferum* species Form III were observed and almost completely disintegrate DNA in this concentration. Three *Verbascum* plants were showed strong antibacterial activities with inhibition zones at 9.0 - 19.0 mm and a significant reduction in biofilm formation. It was observed that these plants are potential sources of various biological activities.

**Keywords:** Antibacterial, antimutagenicity, antioxidant activity, *Verbascum mucronatum*, *V. bombyciferum*, *V. vacillans*

### 1. Introduction

The genus *Verbascum* L. (Scrophulariaceae), knowing as mullein, has approximately 360 species, which are distributed in Europe, Asia, and Northeast Africa [1]. Turkey is one of the richest countries in the world with circa 250 species, and nearly 80% of this species is endemic to Anatolia [2,3,4]. The genus *Verbascum* has been divided in the flora of Turkey into thirteen artificial groups from A to M. The species which have chosen for this study belong to group E (*Verbascum bombyciferum* Boiss.) and group K (*V. mucronatum* Lam., *V. vacillans* Murb.). Except *V. mucronatum*, they have local distributions. *V. mucronatum* has a wide distribution, but to be found rare in their habitats, in west Anatolia. Also, this species is growing only in Crete, outside Turkey. While *V. bombyciferum* is an endemic around to Bursa and Yalova provinces, *V. vacillans* is confined to the south part of Kazdağı Mountain in Balıkesir, and also has been reported from Lesbos [5-6].

*Verbascum* plant species are a group used in traditional and modern medicine for respiratory diseases, dysentery infection antimicrobial, anti-

inflammatory, sedative, diuretic, sudorific, expectorant, and antidiarrheal [7,8,9]. It is very important to investigate the medicinal components and effects of herbs as natural and alternative medicine sources. Some of the biological activities such as antimicrobial, antimalarial, antiviral, antioxidant, anti-inflammatory, antitumoral, cytotoxic, antinociceptive, immunomodulatory, antiulcerogenic, antihepatotoxic, antihyperlipidemic, antinociceptive, antitussive, anthelmintic, and antigermination of genus *Verbascum* have been also previously reviewed [7,10,11,12,13,14,15]. However, in the literature, it has been determined that biological activity studies especially from these three plant species are insufficient. As far as we know, there are not comprehensive investigations on phytochemical content, antioxidant, antimutagenicity, DNA damage protection, antibacterial and antibiofilm activities with the *V. mucronatum*, *V. bombyciferum*, and *V. vacillans* plant species. Therefore, the present study is about the comparison of three *Verbascum* species in terms of these biological activities.

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**\*Author of correspondence:** nhacioglu@comu.edu.tr

**Tel:** +90 (286) 218 00 18

**Fax:** +90 (286) 218 05 33

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## 2. Material and Methods

### 2.1. Plant materials

The specimens belong to *V. mucronatum*, *V. bombyciferum*, and *V. vacillans* were collected from Bursa and Balıkesir provinces (NW Anatolia) in 2017, respectively. The specimens were identified with the aid of flora of Turkey [2,5,16,17] and other relevant publications [18,19,20] by Dr. Özer Yılmaz. Also samples of the three species kept in the herbarium BULU (University of Uludağ, Bursa, Turkey) (Table 1).

### 2.2. Preparation of plant extracts

Dried plant materials (15 g) were ground mechanically in aseptic condition and extracted with 150 mL ethanol (80%) by using Soxhlet (Wisd-WiseTherm) [21], then filtered extracts were evaporated with rotary evaporator equipment.

### 2.3. Qualitative phytochemical screening

The phytochemical screening was performed for the presence of seven phytochemicals; coumarins, cardiac glycosides, phlobatannins, quinones, flavanones, anthocyanins, and proteins using the standard procedures as described by Harborne (1973), Raaman (2006), Evans (2009) [22,23,24].

### 2.4. Evaluation of antioxidant activity

#### 2.4.1. DPPH free-radical scavenging assay

The antioxidant activity of the extracts was measured by using 2,2-diphenyl-1-picrylhydrazyl (DPPH) following the procedure described by Brand-Williams [25]. The absorbance values of the samples were measured at 517 nm. The radical scavenging activity of each sample was calculated using the following formula and the results were expressed as % inhibition.

$$\text{Inhibition (\%)} = \frac{\text{Abs}_{\text{control}} - \text{Abs}_{\text{sample}}}{\text{Abs}_{\text{control}}} \times 100 \quad (1)$$

#### 2.4.2. Cu(II) ion reducing antioxidant capacity (CUPRAC)

The Cu(II) ion reducing the antioxidant capacity of *Verbascum* species was performed using Apak [26] method. The samples were incubated for half an hour at room temperature; absorbance was measured at 450 nm by spectrophotometer.

### 2.5. Antimutagenicity assay

The antimutagenic activity of *Verbascum* extracts has been investigated by Ames/*Salmonella* test system [27]. The experiment was carried out using *Salmonella typhimurium* auxotroph mutant strains TA98 (frame-shift mutation) and TA100 (base-pair substitution) strains. The positive controls, 4-nitro-*o*-

phenylenediamine (NPD, 10 µg/plate) for TA98 strain and the sodium azide (SA, 1 µg/plate) for the TA100 strain were used. In the negative control, the solvents of the extracts were used for both strains. The inhibition rates of extracts were calculated according to the formula given by Hong and Lyu [28].

$$\text{Inhibition Rate (\%)} = \frac{A - B}{A - C} \times 100 \quad (2)$$

A is the number of revertant colonies in the presence of mutagen/plate, B is the number of revertant colonies in the presence of extract/plate, C is the number of spontaneous colony/plate.

As a result of antimutagenicity studies, it was considered non-antimutagenic when the inhibitory effect of the extracts tested was below 25%. The 25 - 40% inhibition rate is moderate and more than 40% is defined as a strong antimutagenic effect [29, 30]

### 2.6. DNA damage protecting activity

DNA damage protecting activity was performed using supercoiled pBR322 DNA plasmid by agarose gel electrophoresis. Plasmid DNA in Tris-HCl buffer was treated with the extracts of different *Verbascum* species at 37 °C for 3 h. To determine the mechanism of damage protecting activity H<sub>2</sub>O<sub>2</sub> was added to the mixture as an oxidant. After incubation samples were electrophoresis for 1 h at 60 V on 1% agarose gel in TAE buffer according to Russo et al. [31] with some modifications. Then, the DNA bands were visualized under UV light and photographed (Quantum ST4 gel imaging system, Vilbar Lourmat).

### 2.7. Screening antibacterial activities

The standard disc diffusion method [32] was used for screening antibacterial activities of three *Verbascum* extracts against some Gram-negative and positive bacteria (Table 4). Reference antibiotic [Penicillin (P10)] was used to compare afterward with plant extracts. Minimal inhibition concentration (MIC) and minimal bactericidal concentration (MBC) were investigated as recommended instruction of the Clinical and Laboratory Standards Institute [33,34].

### 2.8. Biofilm inhibition assay

The microplate biofilm method [35] was used to evaluate the inhibition of biofilm formation by *Verbascum* plant extracts against test bacteria. The measurement of the antibiotic effect of the extracts was made by the percentage reduction formulation.



**Table 1.** Voucher specimens used in this study.

Species	Locality	Collectors
<i>V. mucronatum</i>	Balıkesir: Edremit, Akçay-Küçükkuşu, 39°35'27"N-26°53'37"E, 29 July 2017.	A. Yılmaz and Ö. Yılmaz 1933 (BULU)
<i>V. bombyciferum</i>	Bursa: Nilüfer, Çalı-Atlas, 242 m, 40°09'28"N-28°54'58"E, 26 April 2017.	Ö. Yılmaz 1860 (BULU)
<i>V. vacillans</i>	Balıkesir: Edremit, Zeytinli-Beyoba, 39°38'07"N-26°55'52"E, 30 July 2017.	A. Yılmaz and Ö. Yılmaz 1934 (BULU)

$$\text{Inhibition (\%)} = \frac{A_{\text{control}} - A_{\text{sample}}}{A_{\text{sample}}} \times 100 \quad (3)$$

$A_{\text{control}}$ : Absorbance of the control (containing 100  $\mu\text{L}$  Mueller Hinton Broth instead of plant extract) reaction,  $A_{\text{sample}}$ : Absorbance of the test compounds

### 3. Results and discussions

#### 3.1. Qualitative phytochemical analysis

This study revealed that the ethanol extracts of three *Verbascum* species contained coumarins, cardiac glycosides, phlorotannins, quinones, flavanones, anthocyanins, and proteins (Table 2).

**Table 2.** Phytochemical analysis of *Verbascum* species

Phytochemical contents	<i>Verbascum</i> plant species		
	V1	V2	V3
Coumarins	-	+	+
Cardiac glycosides	+	-	-
Phlabotannins	+	++	-
Quinones	+	-	-
Flavanones	+	+	++
Anthocyanins	+	-	-
Proteins (Biuret test)	-	+	-

V1: *V. mucronatum*, V2: *V. bombyciferum*, V3: *V. vacillans*; +: low intensity reaction, ++: strong intensity reaction; -: Not Detected

However, cardiac glycosides, quinones, anthocyanins were detected only in *V. mucronatum* (V1); proteins were detected only in *V. bombyciferum* (V2); only flavanones were detected in all three *Verbascum* species. *V. vacillans* ethanol extract also has been determined to be the lowest plant for phytochemical contents.

Phytochemicals are natural bioactive including alkaloids, terpenoids, steroids, polyphenols, and flavonoids have rational uses and are found in varying amounts in different organisms [36]. Plants are an important source of these bioactive compounds and elucidation of these phytochemicals is important in revealing the benefits for human health [37].

The members of the *Verbascum* species are known to be rich in saponins, tannins, terpenoids, phenylethanoid glycosides, flavonoids contained in the *Verbascum* species are responsible for biological activities [38,39]. It can be said that not only a single substance but the different substances they contain affect the activity [39]. The presence of alkaloids, tannins, flavonoids, flavones, anthraquinones, cardiac glycosides *V. thapsus* were determined by phytochemical analysis [40]. In the present study, phytochemical analysis of *Verbascum*

species showed the presence of various bioactive constituents. This variety can be explained by that the secondary metabolite profile in plants can be determined by different factors. The genotypic characteristics and phenology of the species are the basic elements that determine the phytochemical profile [41]. Biotic (pathogens and herbivorous organisms) and abiotic factors (light, temperature, nutrients, water condition, and geographical conditions) can directly affect the secondary metabolite chemical composition of the plant [42,43].

There is no report about the phytochemical contents of three *Verbascum* species except *V. mucronatum* [44]. This is the first study that report phytochemical contents of *V. mucronatum*, *V. bombyciferum*, and *V. vacillans* ethanol extracts. Flavonoids were detected as common the phytochemical content in three plant extracts. It is important that flavonoids constitute the largest plant phenolic group that makes up more than half of the natural phenolic compounds [45].

#### 3.2. Antioxidant activity

##### 3.2.1. DPPH free radical scavenging activity

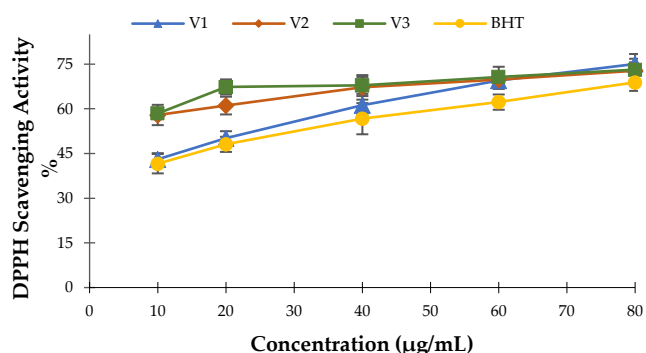
Free radical scavenging activity of the ethanolic extracts of *Verbascum* species was measured by DPPH assay at five varying concentrations (10, 20, 40, 60, and 80  $\mu\text{g/mL}$ ). DPPH free scavenging activity of each plant extracts was increased in a concentration-dependent. The highest concentration of 80  $\mu\text{g/mL}$  extract of *V. mucronatum* shown the best antioxidant activity (75.06%), followed by *V. vacillans* (73.16%), *V. bombyciferum* (72.79%), and synthetic antioxidant butylhydroxytoluene (BHT) (68.89%), respectively (Fig. 1). The  $\text{IC}_{50}$  value, which indicates the amount of sample needed to inhibit 50% of the radical. According to the results, all the extract was found strongly active in the range of 10-50  $\mu\text{g/mL}$  [46]. The  $\text{IC}_{50}$  value of *Verbascum* extracts were; *V. vacillans* (24.84  $\mu\text{g/mL}$ ), *V. bombyciferum* (27.84  $\mu\text{g/mL}$ ), and *V. mucronatum* (35.22  $\mu\text{g/mL}$ ).

##### 3.2.2. Cu(II) ion reducing antioxidant capacity

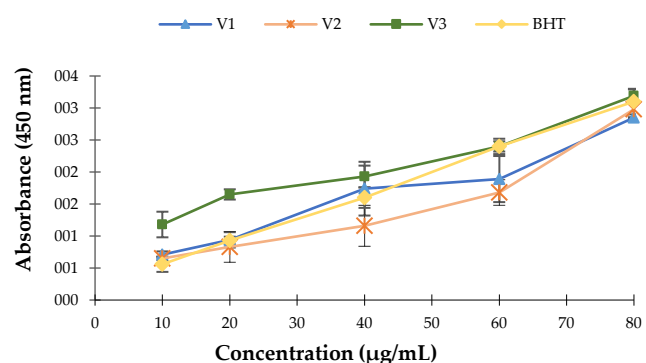
The Cu(II) ion reducing the antioxidant capacity of *Verbascum* plant species, especially *V. vacillans* showed strong antioxidant capacity at the highest concentration of 80  $\mu\text{g/mL}$  as same as a positive control (Fig. 2).

Free radicals are drawn in many disorders like neurodegenerative diseases, cancer, and AIDS. Antioxidants due to their scavenging activity are useful for the management of these diseases and this explains

the curative effects of medicinal plants having antioxidant effect [24].



**Figure 1.** DPPH free scavenging activity of *Verbascum* species. V1: *V. mucronatum*, V2: *V. bombyciferum*, V3: *V. vacillans*. Values are means of three experiments  $\pm$  SD



**Figure 2.** The Cu(II) ion reducing antioxidant capacity of *Verbascum* species. V1: *V. mucronatum*, V2: *V. bombyciferum*, V3: *V. vacillans*. Values are means of three experiments  $\pm$  SD

It has been determined that the biological activities of the extracts can vary depending on the season, the type of the solvent, the dose, and the application time. Studies have emphasized that there is a linear correlation between the total phenolic content of plant extracts and antioxidant efficiency values. Considering the crude extracts of these plants have plenty of constituents it becomes tough to praise the antioxidant property selectively to any group of them without more investigations [47].

DPPH is a stable free radical. It is purple in color and this color can be absorbed in 517 nm. When DPPH free radicals are captured by an antioxidant, their color changes from purple to yellow. This color change is observed because DPPH transforms into 2,2-diphenyl-1-picryl hydrazine by interacting with antioxidant substances [25]. The antioxidant activity of *Verbascum* species was evaluated using different extracts such as the methanol and acetone extracts of *V. pinetorum* besides methanol ( $IC_{50} = 65.4 \pm 0.5 \mu\text{g/mL}$ ) and water ( $IC_{50} = 235.6 \pm 0.5 \mu\text{g/mL}$ ) extracts of *V. mucronatum* showed high free radical scavenging activity by DPPH assay [48,49] and *V. pinetorum* acetone, methanol, and water extracts showed high activity by CUPRAC test

[49]. Georgiev et al. [8] studied *V. xanthophoeniceum* leaves and reported that have strong antioxidant activities and active constituents (forsythoside B, verbascoside and leucosceptoside B) showed  $IC_{50}$  values of 21-44  $\mu\text{g/mL}$  DPPH radical scavenging activities. In this study, the ethanolic extracts of *Verbascum* species were evaluated for the antioxidant activity for DPPH free radical scavenging and Cu(II) ion reducing antioxidant capacity. Our results supported the previous findings that *Verbascum* extracts had concentration-dependent antioxidant capacity.

### 3.3. Antimutagenicity assay

In this study, the antimutagenicity of the *Verbascum* extracts was investigated using *S. typhimurium* TA98 and TA100 mutant strains. Findings obtained as a result of antimutagenicity activity were shown in Table 3.

*V. mucronatum* extract showed a strong antimutagenic effect (inhibition rate  $> 40\%$ ) at all concentrations on *S. typhimurium* TA98 strain. *V. bombyciferum* was found to have a moderate antimutagenic effect (32.83%) at 0.5 ppm concentration and strong antimutagenic activity (45.48% and 48.03%) at concentrations of 1 and 2 ppm, respectively on TA98 strains. *V. vacillans* extract did not prevent frameshift mutation except the higher concentration of 2 ppm (28.37%). The results obtained from the TA100 strain showed strong antimutagenic effect of *V. mucronatum* and *V. bombyciferum* extracts of all concentrations while the *V. vacillans* extract did not prevent base-pair mutation at any concentrations.

It is known that many plants or plant products consumed contain a variety of the antimutagenic agents and are also capable of inactivating environmental mutagens or carcinogens. Therefore, it is important to determine antimutagenic activities of extracts obtained from plants. In this study, the potential *in vitro* effects of *Verbascum* extracts on genetic material was investigated by the Ames test. As a result of the antimutagenicity study, *Verbascum* species generally found to have moderate or strong antimutagenic effects at different concentrations. Probably chemical contents of the *Verbascum* species play an active role in the antimutagenic activity. There is no report about *Verbascum* plant species antimutagenic effects. Makhafola et al. [50] have examined 31 plant extracts for antimutagenic activity, and it was found that most plants have potential antimutagenic activity and also a close relationship between antioxidant activities. Mutagenic and antimutagenic activities of medically used *Salacia crassifolia* root shell fractions (hexane, ethyl acetate, and hydroalcoholic) were investigated using *S. typhimurium* TA98 and TA100 strains. There was no mutagenic effect

and high antimutagenic activity was present in hexane of strain TA100 [51].

**Table 3.** The antimutagenic effects of different concentration of *Verbascum* extract on *S. typhimurium* TA98 and TA100 strains

Extracts	Conc. (ppm)	Number of his <sup>+</sup> revertant colony/Plate			
		TA98		TA100	
		Mean ± SD	Inh. %	Mean ± SD	Inh. %
Positive control	NPD SA	894± 17.1		1033± 21.1	
V1	0.5	545±9.8	40.6	461±6.9	61.6
	1	520±6.3	43.5	453±9.2	62.4
	2	514±7.6	44.2	422±11.0	65.8
V2	0.5	480±8.3	32.8	568±8.5	50.1
	1	502±10.2	45.5	556±6.5	51.4
	2	611±9.6	48.0	515±10.3	55.8
V3	0.5	776±13.6	13.7	857±4.9	18.9
	1	701±11.2	22.4	804±7.5	24.7
	2	650±14.1	28.4	812±8.3	23.8
Negative control		43±2.9		112±4.3	
Spontaneous control		34±3.9		104±6.1	

V1: *V. mucronatum*, V2: *V. bombyciferum*, V3: *V. vacillans*, NPD: 4-nitro-o-phenylene-diamine, SA: sodium azide, Conc.: Concentration, Inh.: Inhibition, Values were expressed as mean ± standard deviation of three experiments.

*Sutherlandia frutescens* (L.) is an endemic species used in the treatment of cancer and diabetes in Southern Africa. Mutagenic and antimutagenic activities were tested with Ames test using ethyl acetate and 50% methanol extracts. No mutagenic activity was observed, ethyl acetate extract showed an antimutagenic effect in all concentrations and bacterial strains (TA97a, TA98, TA100, and TA102) [52]. The antimutagenicity findings obtained in our study support previous studies.

### 3.4. DNA damage protection potential

In the presence of an oxidizing agent (H<sub>2</sub>O<sub>2</sub>), *Verbascum* plant ethanol extracts showed DNA damage protection potential in tested concentrations. However, the lowest concentration (0.5 μM) of the *V. bombyciferum* species Form III were observed and almost completely disintegrate DNA in this concentration (Fig. 3). Form I indicated supercoiled, Form II relaxed circular, and Form III linear form of plasmid DNA. These forms act at

different speeds in agarose gel electrophoresis. Because the load density is high and the volume is low, Form I moves fastest in the gel, and Form II is the slower moving band in gel.

The DNA damage protection potential results showed that the treatment of pBR322 plasmid DNA with H<sub>2</sub>O<sub>2</sub> did not result in changes in plasmid conformation. Only, the lowest concentration (0.5 μM) of the *V. bombyciferum* species changed the plasmid DNA conformation. However, Bođa et al. [49] found that methanol extracts of *V. pinetorum* did not show a significant protection activity of DNA.



**Figure 3.** DNA damage protection potential of *Verbascum* species. 1. Plasmid DNA, 2. DNA+ H<sub>2</sub>O<sub>2</sub>, 3. DNA+ 0,5 μM V1+ H<sub>2</sub>O<sub>2</sub>, 4. DNA+ 1 μM V1+ H<sub>2</sub>O<sub>2</sub>, 5. DNA+ 2μM V1+ H<sub>2</sub>O<sub>2</sub>, 6. DNA+ 0,5 μM V2+ H<sub>2</sub>O<sub>2</sub>, 7. DNA+ 1 μM V2+ H<sub>2</sub>O<sub>2</sub>, 8. DNA+ 2 μM V2+ H<sub>2</sub>O<sub>2</sub>, 9. DNA+ 0,5 μM V3+ H<sub>2</sub>O<sub>2</sub>, 10. DNA+ 1 μM V3+ H<sub>2</sub>O<sub>2</sub>, 11. DNA+ 2 μM V3+ H<sub>2</sub>O<sub>2</sub>

### 3.5. Antibacterial activity

Results of antibacterial activity of three *Verbascum* plant extracts against the test bacteria were qualitatively and quantitatively assessed by the presence and diameters of the inhibition zones, MIC, and MBC (Table 4). The ethanolic extracts obtained from the three *Verbascum* plants were strong antibacterial activities against the test bacteria with inhibition zones at 9.0-19.0 mm. *V. vacillans* extract was more effective than comparative antibiotic P10 against *P. aeruginosa* ATCC 27853, *B. subtilis* ATCC 6633 and *S. haemolyticus* ATCC 43252. But *V. mucronatum* and *V. bombyciferum* are obtained in similar strong antibacterial activity only against *P. aeruginosa* ATCC 27853. The extracts have shown the weaker activity against *E. coli* NRRL B-3704, *E. aerogenes* ATCC 13048, *P. vulgaris* ATCC 13315, *A.*

*baumanii* ATCC 19606, *S. aureus* ATCC 6538P as compared to control antibiotic P10.

**Table 4.** Disc Diffusion, MIC, MBC, and MBC/MIC ratios of the extracts of strains

Test bacteria	Plant extracts													
	*Disc Diffusion <sup>a</sup>				MIC (μg/mL)			MBC			MBC/MIC			
	V1	V2	V3	Control P10	V1	V2	V3	Control ST10	V1	V2	V3	V1	V2	V3
<i>E. coli</i> NRRL B-3704	10.3±0.1	9.6±0.1	10.0±0.1	16.0	10±0	5±0	10±0	4.0	10±0	20±0	10±0	1	4	1
<i>E. aerogenes</i> ATCC 13048	11.3±0.1	10.0±0.1	10.0±0.1	14.0	10±0	5±0	1.25±0.01	2.0	10±0	10±0	1.25±0.01	1	2	1
<i>P. aeruginosa</i> ATCC 27853	11.3±0.5	12.3±0.2	10.3±0.2	8.0	20±0	5±0	10±0	1.0	20±0	20±0	10±0	1	4	1
<i>P. vulgaris</i> ATCC 13315	12.6±0.2	9.3±0.1	9.0±0.5	13.0	10±0	5±0	10±0.1	4.0	10±0	10±0	20±0	1	2	2
<i>A. baumannii</i> ATCC 19606	10.4±0.2	10.0±0.2	11.0±0.2	12.0	2.5±0	10±0	1.25±0.01	2.0	5±0	20±0	1.25±0.01	2	2	1
<i>B. subtilis</i> ATCC 6633	9.6±0.2	10.0±0.2	15.0±0.2	14.0	5±0	5±0	2.5±0.0	4.0	5±0	5±0	5±0	1	1	2
<i>S. aureus</i> ATCC 6538P	14.0±0.4	10.3±0.1	9.3±0.3	15.0	10±0	10±0	10±0	4.0	10±0	10±0	10±0	1	1	1
<i>S. haemolyticus</i> ATCC 43252	13.0±0.2	12.3±0.1	19.0±0.6	14.0	20±0	10±0	20±0	5.0	20±0	20±0	20±0	1	2	1

V1: *V. mucronatum*; V2: *V. bombyciferum*; V3: *V. vacillans*; \*Inhibition zone (mm); a includes diameter of disk (6 mm); P10 = Penicillin (10 ug/disc); ST10: *Streptomycin* (10 ug/disc)

*V. vacillans* extract demonstrated good inhibitory activity against *E. aerogenes* ATCC 13048, *A. baumannii* ATCC 19606, and *B. subtilis* ATCC 6633, with MICs values of 1.25 mg/mL, 1.25 mg/mL, 2.5 mg/mL as compared to control antibiotic ST10, respectively. However, the three *Verbascum* plant extracts have a weak antibacterial effect against the other test bacteria with MICs and MBCs ranged from 20 (20) to 5 (5) mg/mL. These values are far below the standard antibiotic-Streptomycin (ST10).

The results of the present investigation show that three different *Verbascum* ethanol extracts have antibacterial and antibiofilm potential against test bacteria. In Table 3, MBC/MIC ratio was calculated to establish bacteriostatic or bactericidal effects of the plant extracts. According to Ocampo et al. [53] and Azman et al. [54] bacteriostatic can be defined as the agent that inhibit the growth of bacteria without killing effects, while bactericidal means agents that kill bacteria. An extract is considered bactericidal when the ratio of MBC/MIC is  $\leq 4$  and bacteriostatic when this ratio is  $> 4$  [55]. It appears that all plant extracts have bactericidal activity on the test bacteria. Our antibacterial activity findings confirmed the observations of some other investigations about various *Verbascum* species antimicrobial activity [12,14,15, 38,56].

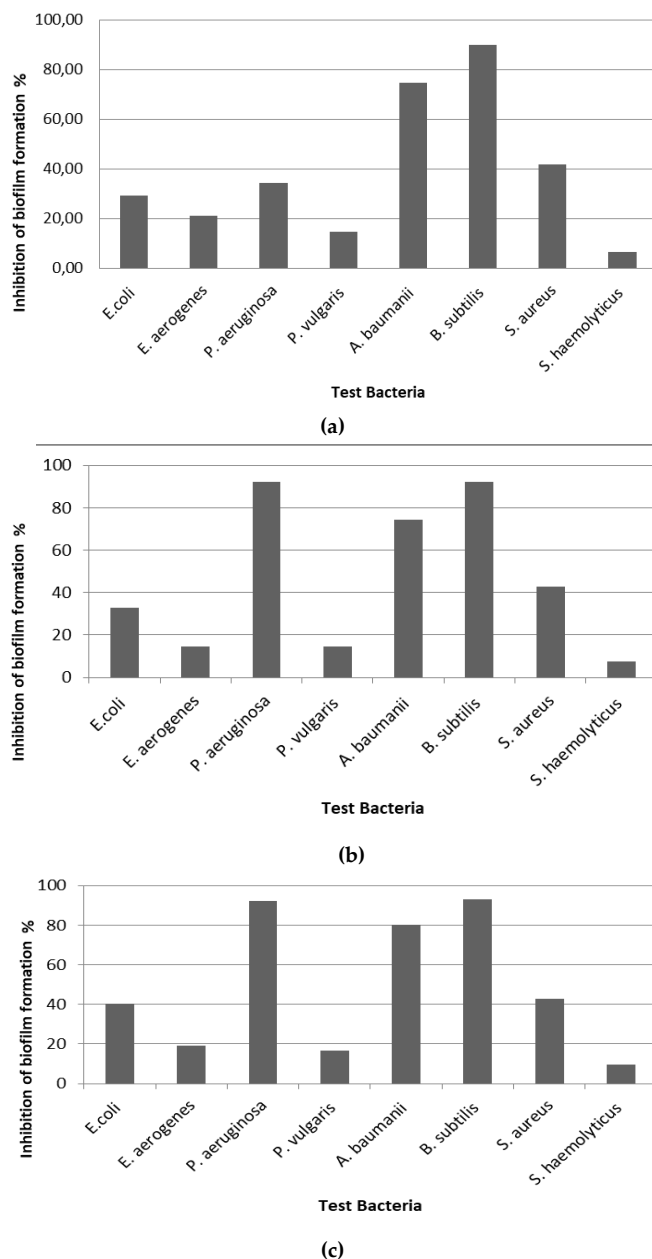
When examining previous studies about *V. mucronatum*, *V. bombyciferum*, *V. vacillans*, we found that Dülger et al. [56], Dülger and Hacıođlu [57] and Kahraman et al. [12] revealed antibacterial activity against Gram (+) bacteria, respectively. Our findings are distinct from previous studies because of strong antibacterial activity against *P. aeruginosa* ATCC 27853 of three plant extracts. Ethanol was chosen as the solvent since it was reported that ethanol was the best solvent in previous studies [58].

### 3.6. Results of biofilm inhibition

Inhibition biofilm activity was performed with MIC concentrations of plant extracts. Treatment with *V. mucronatum*, *V. bombyciferum*, *V. vacillans* extracts have shown significant reduction in biofilm formation in *E. coli* NRRL B-3704, *P. aeruginosa* ATCC 27853, *A. baumannii* ATCC 19606, *B. subtilis* ATCC 6633, *S. aureus* ATCC 6538P (Fig. 4a, 4b, 4c).

Biofilm is assessed as significant virulence factor because of increasing the resistance of bacteria to antibiotics and host defense systems [59]. This necessitated the screening of new and natural antibiotic sources in the fight against biofilm. Studies of antibiofilm activity of *Verbascum* species are very limited. Moghaddam et al. [60] reported that *V. thapsus* extracts have inhibitory effects on biofilm formation of three oral streptococci. The high the biofilm inhibition activity we obtain from three *Verbascum* species is very important in

this respect. Therefore, comprehensive investigations about these three *Verbascum* species antibacterial and antibiofilm activity have become a new strategy for the treatment of these bacterial infections.



**Figure 4.** Inhibition of biofilms formation of three *Verbascum* plant extracts, **a)** *V. mucronatum* biofilm inhibition activity, **b)** *V. bombyciferum* biofilm inhibition activity, **c)** *V. vacillans* biofilm inhibition activity

## 4. Conclusion

Three *Verbascum* plant species demonstrated the presence of some phytochemical-especially flavonoids-as secondary metabolites with potential biological activities. It may be considered that these extracts are a mixture of substances with different characteristics and biological activities. Results reported in this study can be considered as the first comprehensive study on phytochemical contents, antioxidant, antimutagenicity, DNA damage protecting, the antibacterial and antibiofilm activity of ethanolic extracts of *V.*



*mucronatum*, *V. bombyciferum*, *V. vacillans*. Future studies should be done to define the biological active components of three *Verbascum* species, especially with different solvents.

## Disclosure statement

No potential conflict of interest was reported by the author(s).


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## Solvent and molecular structure effects on acidity strength in non-aqueous medium

Şule Bahçeci<sup>1</sup> , Zafer Ocak<sup>2\*</sup> , Nuri Yıldırım<sup>3</sup> , Haydar Yüksek<sup>4</sup> 

<sup>1</sup>Trabzon University, Fatih Education Faculty, Secondary Education Science and Mathematics Education, 61080, Trabzon, Turkey

<sup>2</sup>Kafkas University, Education Faculty, Mathematics and Science Education, 36100 Kars, Turkey

<sup>3</sup>Karadeniz Technical University, Faculty of Science, Department of Chemistry, 61080, Trabzon, Turkey

<sup>4</sup>Kafkas University, Faculty of Science & Letters, Department of Chemistry, 36100 Kars, Turkey

### Abstract

The acidic properties of ten 3-alkyl(aryl)-4-[3-hydroxy-4-methoxy benzylideneamino]-4,5-dihydro-1H-1,2,4-triazol-5-one derivatives were investigated. Amphiprotic solvents used in this study were isopropyl alcohol and *tert*-butanol. Acetone and N,N-dimethylformamide (DMF) were preferred as a dipolar aprotic solvent. Compounds were titrated with tetrabutylammonium hydroxide (TBAH) in isopropyl alcohol and titrimetric analyses were used potentiometric method determining the end-points, half-neutralization method determining acidity. Typical S-shaped titration graphs excepted were determined. The acidity strengths of 4,5-dihydro-1H-1,2,4-triazol-5-one derivatives in amphiprotic and dipolar aprotic solvents were calculated using tables and graphs. The  $pK_a$  values obtained in the solvents were found to be differentiated. The effects of solvent, molecular structure, autoprotolysis constant dielectric constant, and leveling-differentiation effects of the solvents upon acidity strength of the compounds were discussed.

**Keywords:** Triazole, amphiprotic solvent,  $pK_a$ , potentiometry

### 1. Introduction

Potentiometry, one of the electrochemical methods, is widely used due to its wide advantages. Potentiometric techniques have long been used in landmark determination. It is simple and inexpensive in potentiometric methods [1]. Potentiometric titrations are one of the most widely used methods for determining acidity constants due to their simple and low cost. In the course of time, many different methods such as spectrometry, conductimetry, electrophoresis, NMR, polarimetry, calorimetry, theoretical, etc. were developed [2]. However, the potentiometric method does not include the restrictions specified in the determination of the equivalent point, so it is the most widely used method among these methods due to its simplicity, accuracy, and precision [3].

Thousands of potentiometric sensors have been successfully developed and applied in many fields such as medicine, environmental monitoring, agriculture, industry and pharmaceutical sciences [4,5].

Among the electrochemical methods of the best techniques for antioxidant activity (AOA) assessment,

potentiometry is where the potential shift of the platinum electrode, which is readily applicable and the source of information about AOA, is placed in a mediator system. Their advantages such as usability, speed, and easy measurement offer a direct evaluation of the electron-donor-acceptor properties of the system, ie the properties that determine the antioxidant status [6-8].

Using the dissociation constant, some thermodynamic parameters can be measured, such as enthalpy change ( $H^\circ$ ), Gibb's energy change ( $\Delta G^\circ$ ), and entropy change ( $\Delta S^\circ$ ). Enthalpy change of the decomposition process ( $\Delta H^\circ$ ) Van't Hoff relation ( $d \ln K / dT = \Delta H^\circ / RT^2$ ) Gibb's free energy ( $\Delta G^\circ$ ) is calculated using the equation  $\Delta G^\circ = 2.303RTpK$ . Using these calculated values of  $\Delta H^\circ$  and  $\Delta G^\circ$ , the entropy change ( $\Delta S^\circ$ ) is determined by the equation  $\Delta S^\circ = (\Delta H^\circ - \Delta G^\circ) / T$  [9].

In this article, some 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzylideneamino)-4,5-dihydro-1H-1,2,4-triazol-5-one derivatives known to have biological

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\***Author of correspondence:** zafcak@gmail.com

**Tel:** +90 (474) 225 12 62

**Fax** +90 (474) 225 12 64

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activities and acidic properties were used. The dissociation constants of the compounds were determined by the potentiometric titration method. [10-15]. Acidic properties in solvents were investigated with respect to solvent effect, structure effect, dielectric constant, and autoprotolysis constants. The study will contribute to the literature in the field of acid-bases and buffer solutions.

## 2. Materials and Methods

### 2.1. Reagents

Ten 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-ones which acidity strengths have been determined are shown in Fig. 1. Compounds 1 has been synthesized according to the literature [16].

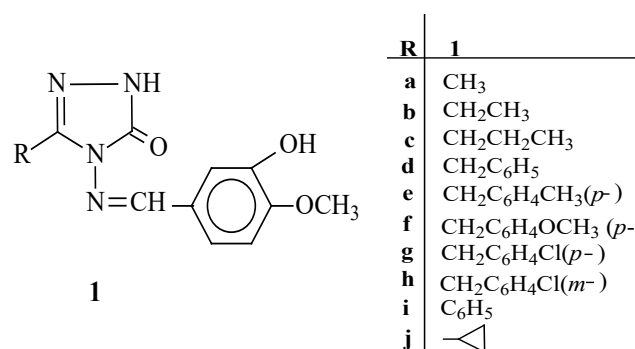


Figure 1. 3-Alkyl(Aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-one compounds

### 2.2. Solvents

Isopropyl alcohol as an amphiprotic solvent for the determination of acidity constants of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-ones, *tert*-butanol, DMF from dipolar aprotic solvents, and acetone were used. The wide potential range of solvents, the solubility of TBAH and 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-ones in the solvents, and the working possibility under room conditions were important factors in the selection of solvents. A solution of 0.05 N of TBAH in isopropyl alcohol was preferred as a titrant. Isopropyl alcohol, acetone DMF, and *tert*-butanol were purchased from Merck.

### 2.3. Titrant

As a titrant, TBAH has been a widely used base against acids. The standard 0.05 N concentration of TBAH in isopropyl alcohol was preferred.

### 2.4. Apparatus

In the study, measurements were made with a Jenway 3040-model ion analyzer. The sensitivity of the pH meter

used in pH readings is  $\pm 0.002$ , while the sensitivity in mV measurement is  $\pm 0.1$ , and the precision is  $\pm 0.2$ . A combined pH electrode was used in the studies. A 50  $\mu$ L micropipette was used for titrant addition.

### 2.5. Solutions

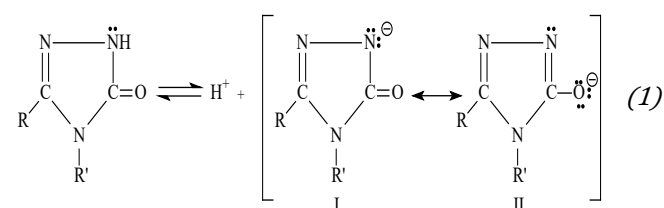
$10^{-3}$  M solutions of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-ones in acetone, *tert*-butanol, isopropyl alcohol, DMF has also been prepared. A 0.05 N solution of TBAH in isopropyl alcohol was prepared as a titrant.

### 2.6. Methods

Two buffer solutions of pH = 7,0 and pH = 10,0 were used for calibration of the pH meter used. Potentiometric titrations were carried out at 25,0 °C. 3-Alkyl(Aryl)-4-(3-hydroxy-4-methoxy benzyliden amino) -4,5-dihydro-1H-1,2,4-triazol-5-ones in amphiprotic and dipolar aprotic solvents  $10^{-3}$  M 17,0 mL of its solution was taken. The acid solution was made homogeneous by mixing with a magnetic stirrer. With a micropipette, 0.05 mL of titrant each time was added to the stirring acid solution.  $10^{-3}$  M of acid derivatives of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzyliden-amino)-4,5-dihydro-1H-1,2,4-triazol-5-one in amphiprotic and dipolar aprotic solvents. Its solution was titrated with 0.05 N TBAH and the results are expressed as a graph of mV-mL TBAH.

### 2.7. Determination of acidity constants with Half-neutralization method

Titration graphs of mL TBAH-pH and mL TBAH-mV were drawn with the help of titration data. To determine the equivalent points, the graphs of TBAH -  $\Delta E / \Delta V$  (first derivative) and mL TBAH -  $\Delta^2 E / \Delta V^2$  (second derivative) were used (Fig. 2). From these values, half-neutralization points were calculated [17-21].



## 3. Result and Discussion

When the N-H hydrogen in the 4,5-dihydro-1H-1,2,4-triazol-5-one ring is protonated, the equilibrium shifts to the right because the remaining electron pair is delocalized to include oxygen and is easy to accommodate; hence the acidity increases (Equation 1). This is evidence that the N-H proton in the 4,5-dihydro-1H-1,2,4-triazol-5-one ring system shows acidic properties.



$10^{-3}$  M of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzylidenamino)-4,5-dihydro-1H-1,2,4-triazol-5-ones amphiprotic (isopropyl alcohol, *tert*-butyl alcohol) and dipolar aprotic, (DMF, acetone) as a titrant in solvent mediums, plotted from the data obtained by titration of 0.05 N TBAH solution in isopropyl alcohol, graphs as mV -mL (TBAH) are given in Fig. 3.

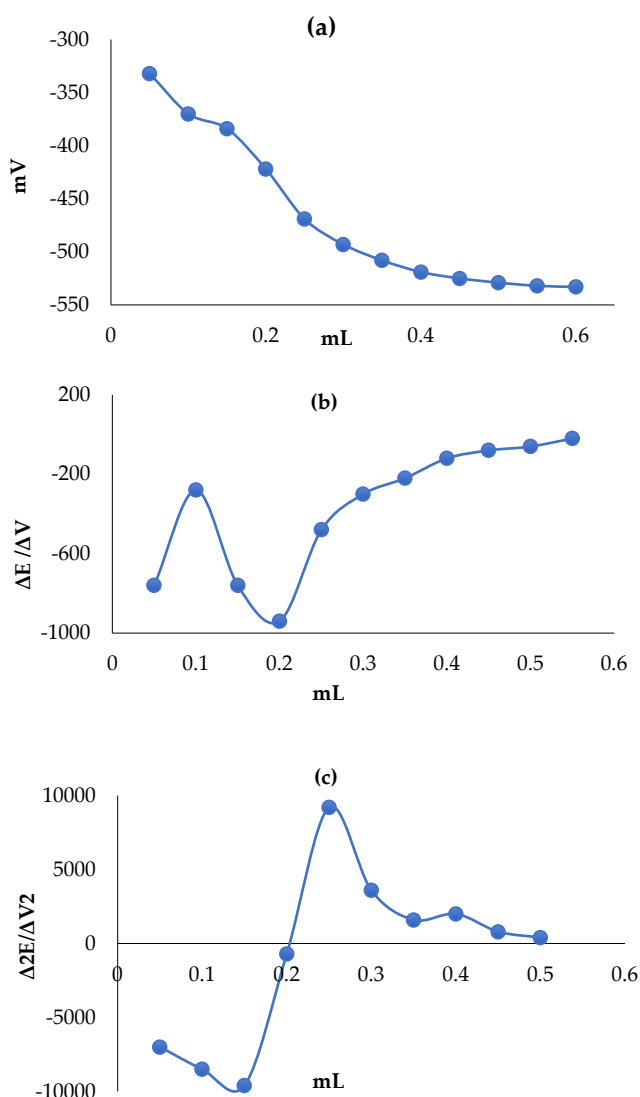


Figure 2. Compound 1b: a. mL-mV curve b.  $\Delta E/\Delta V$  curve c.  $\Delta^2 E/\Delta V^2$  curve

Table 1. Acidity strengths of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzylidenamino)-4,5-dihydro-1H-1,2,4-triazol-5-ones in amphiprotic and dipolar aprotic solvents

Compound	Isopropyl alcohol		<i>tert</i> -butyl alcohol		Acetone		DMF	
	$pK_a$	Hnp	$pK_a$	Hnp	$pK_a$	Hnp	$pK_a$	Hnp
1a	15,09	-388	-	-	12,72	-274	15,20	-337
1b	10,23	-168	-	-568	12,72	-248	15,60	-370
1c	14,73	-346	12,77	-290	-	-	15,24	-341
1d	14,43	-317	-	-	16,49	-381	14,47	-307
1e	14,55	-391	-	-	15,38	-361	17,20	-415
1f	15,58	-351	14,20	-290	12,49	-225	14,12	-297
1g	16,02	-370	13,05	-295	15,40	-364	14,56	-313
1h	11,56	-226	-	-	15,85	-372	15,51	-355
1i	13,63	-271	-	-	16,75	-363	18,24	-460
1j	14,98	-331	14,23	-357	12,90	-249	16,50	-390

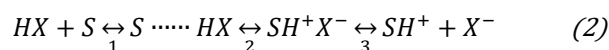
Half-neutralization potentials were calculated from the graphs obtained and plotted in the excel program. Calculated Hnp and  $pK_a$  values are given in Table 1.

3-Alkyl(Aryl)-4-(3-hydroxy-4-methoxybenzylidenamino)-4,5-dihydro-1H-1,2,4-triazol-5-ones determined by potentiometric titration in amphiprotic and dipolar aprotic solvents. Acidity strength (Fig. 4), functional groups, autoprotolysis constant, dielectric constant, and the leveling-differentiation effect was investigated.

Classification of acidity strength in different solvents can be made by the dielectric constant. It was stated that the theoretical increase in  $pK_a$  values in four solvents should be *tert*-butyl alcohol < isopropyl alcohol < acetone < DMF. Experimental results suitable for the theoretical sequence were obtained for compounds 1a, 1b, 1d, 1e, 1h, and 1i in amphiprotic solvents. Since typical S-shaped curves for other compounds could not be obtained, acidity constants could not be determined in the *tert*-butanol solvent.

Theoretically, it has been stated that the  $pK_a$  values of the compounds in the DMF medium from dipolar aprotic solvents are higher than that of acetone. The experimental results showed a result contrary to the theoretical order of compounds 1d, 1g, and 1h. Typical S-shaped curves could not be obtained for compound 1 in acetone medium, so they could not be compared. For other compounds, theoretical order and experimental results were in agreement. This situation, which is similar to other studies, can be explained as follows.

Solvents such as acetone and N, N-dimethylformamide form lyonium ions but not lyate ions. HX is acid (molecular), S is a solvent and DMF (protophilic solvent) formed the following equilibrium.



When protophilic solvents are used for the equilibrium given in Equation 1, the equilibrium number (1) and (2) mostly shifts to the direction in which it is written, while the equilibrium number (3) shifts slightly to the right. In equilibrium number (3), the strongest acid in the free environment is  $SH^+$ . This strain reacts directly with the titrant. However, in the case of protophobic solvent such as acetone, the equilibrium shifts slightly to the right in Equation 2. Equilibrium (3) shifts slightly to the right. In this case,  $SH^+$  in protophobic solvent is a much stronger acid.

Considering the autoprotolysis constant, it was seen that the Hnp values of the compounds and the potential ranges of the solvents in *tert*-butyl alcohol, isopropyl alcohol, DMF, and acetone medium are weakly acidic when compared with the data in Table 2.

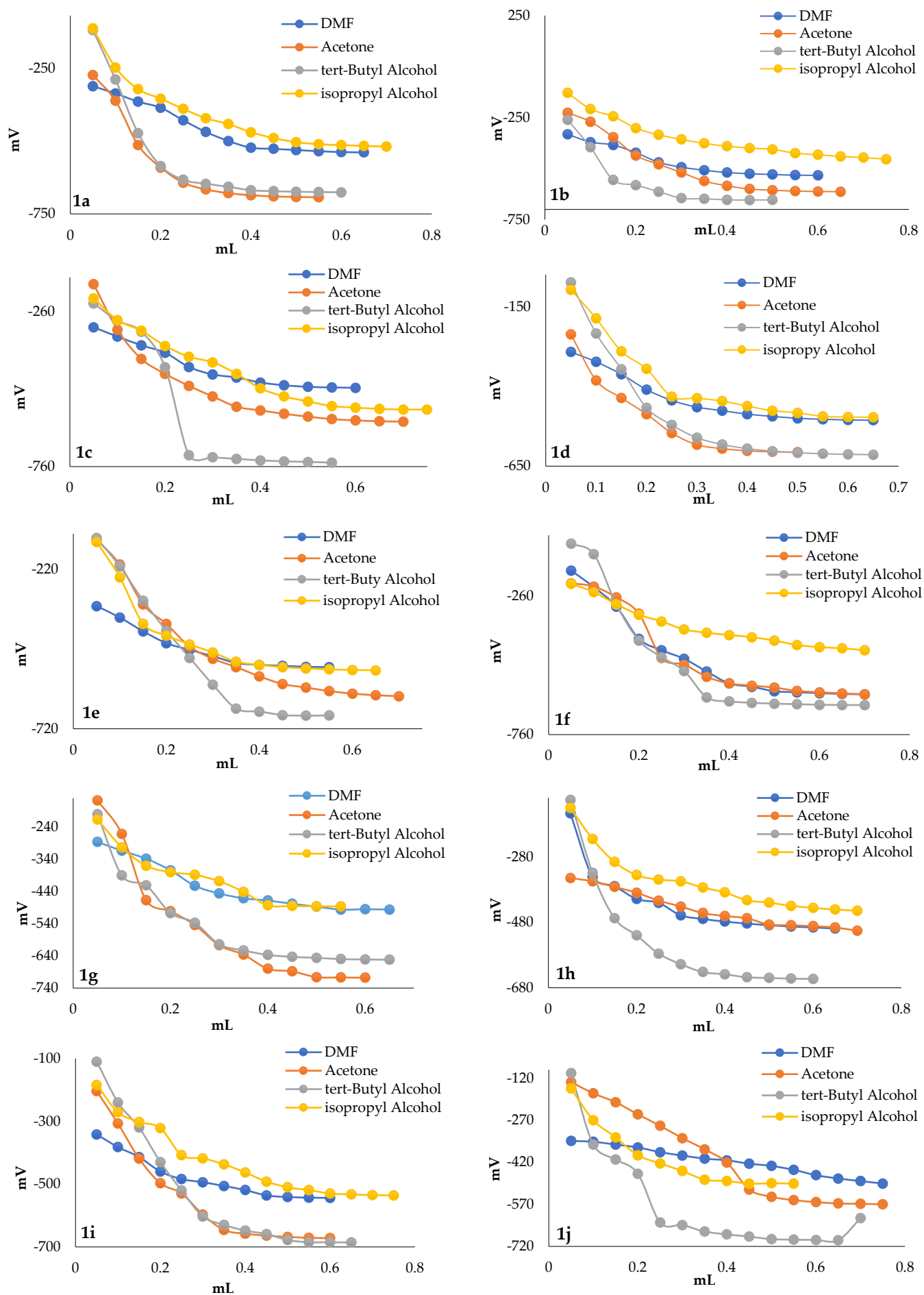


Figure 3. Potentiometric titration graphs of compounds 1a-j with TBAH in isopropyl alcohol

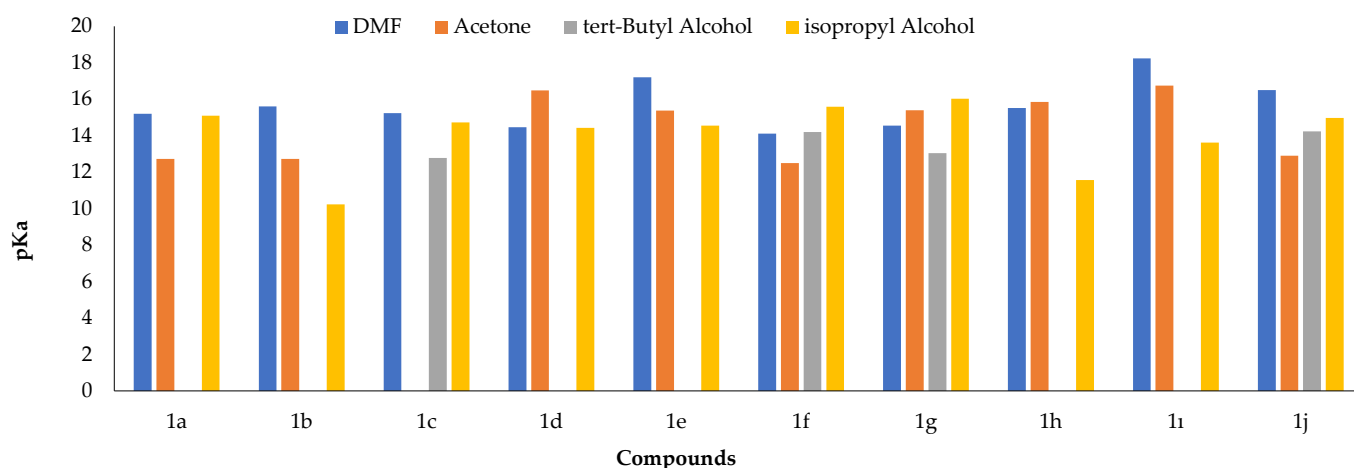


Figure 4. pKa-compounds plot for compound 1

Table 2. Potential ranges of solvents and measured Hnp values of 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzylidenamino)-4,5-dihydro-1H-1,2,4-triazol-5-ones

Solvents	Potential Range		Potential Range		Measured Compounds of the Type 1 Hnp Range
	(mV) <sup>a</sup>	(mV) <sup>a</sup>	(mV) <sup>b</sup>	(mV) <sup>b</sup>	
tert-butyl alcohol	-	-	-	-	1200 -290 -568
Isopropyl alcohol	-750	+400	-720	+407	1000 -168 -391
Acetone	-970	+660	-965	+598	1550 -225 -381
DMF	-1000	+270	-900	+237	1300 -297 -460

## 4. Conclusion

Research results; 3-alkyl(aryl)-4-(3-hydroxy-4-methoxybenzylidenamino)-4,5-dihydro-1H-1,2,4-triazol-5-ones have proven to be weakly acidic in anhydrous media solvents. When the acidity strength is listed according to the dielectric constant and acidity constant, it has been determined that 1d, 1g, and 1h in DMF and acetone environments are not suitable for the theoretical order [24,25], but suitable for other environments. Compounds 1a and 1b were leveled in acetone medium. It has been differentiated in other solvents. However, the molecular effect was examined and it was concluded that there was no significant difference due to the distance of the functional groups to the acidic proton and the solvent effect.

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# New octa-benzothiazole substituted metal-free and metallophthalocyanines: Synthesis, characterization and electrochemical studies

Gülsev Dilber<sup>1</sup> , Asiye Nas<sup>1\*</sup> , Zekeriya Biyiklioglu<sup>2</sup> 

<sup>1</sup>Karadeniz Technical University, Macka Vocational School, 61750, Macka, Trabzon, Turkey

<sup>2</sup>Karadeniz Technical University, Faculty of Science, Department of Chemistry, 61080 Trabzon, Turkey

## Abstract

The synthesis of novel phthalonitrile (3) and synthesis, spectroscopic and electrochemical properties of the following octa-benzothiazole substituted metal-free (4), cobalt(II) (5), and zinc(II) (6) phthalocyanines are reported for the first time in this work. The novel phthalonitrile (3) has been characterized by FT-IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, and mass spectroscopy and the novel phthalocyanines (4-6) have been characterized by FT-IR, electronic spectroscopy, and mass spectroscopy. Voltammetric analysis of benzothiazole group substituted phthalocyanines (MPc) were determined by cyclic voltammetry (CV) and square wave voltammetry (SWV). According to the results, phthalocyanines revealed metal and ligand-based quasi-reversible reduction and oxidation processes. While the CoPc 5 showed one metal-based and one Pc-based reduction reactions, H<sub>2</sub>Pc 4 and ZnPc 6 gave two Pc-based reduction reactions.

**Keywords:** Phthalocyanine, zinc, electrochemistry, squarewave, cyclic voltammetry

## 1. Introduction

Phthalocyanines, planar aromatic macrocycles constituted by four isoindole units linked together through nitrogen atoms, were serendipitously discovered in 1928. These synthetic analogs of the naturally occurring porphyrins have been the subject of extensive research in many different fields such as lithium batteries, optical data storage, solar energy conversion, catalysis, and so on [1-6]. Apart from the use in materials science, phthalocyanines are also highly promising for their applications as magnetic resonance imaging (MRI) and photodynamic therapy (PDT) [7-9].

Many functions of metallophthalocyanine derivatives resulted from electron transfer occurring in these macromolecules. Determination of electrochemical behavior is required for the development of functional materials carrying metallophthalocyanine. MPc having Pc<sup>2-</sup>, phthalocyanine dianion, does not show redox activity at the ring. Two successive one-electron oxidations may happen by the removal of electrons from  $a_{1u}$  forming Pc<sup>-1</sup> and Pc<sup>0</sup> species. Reductions happen by adding electrons to  $e_g$  to form Pc<sup>3-</sup>, Pc<sup>4-</sup>, Pc<sup>5-</sup> and Pc<sup>6-</sup> species. Each oxidation and reduction species has a definite spectrum that can be employed for its characterization. The presence of the electroactive

central metal causes additional redox processes to occur, resulting in unique electronic spectral behavior [10].

Also, phthalocyanines can be used as electrochemical sensors. The use of metallophthalocyanines as electrochemical sensors has become widespread and new sensors are being produced day by day [11,12].

In our previous papers, we reported electrochemical and spectroelectrochemical properties of various tetra substituted metal-free and metallophthalocyanines which have electroactive and non-electroactive centers. [13-16]. As seen in the literature, the electrochemical properties of tetrasubstituted metal-free and metallophthalocyanines have worked in recent years [17-19], but there is not much work on the electrochemical properties of octa-substituted metal-free and metallophthalocyanines [20-22]. So in this study, the electrochemical properties of the octa-substituted metal-free phthalocyanine (4) and zinc(II) phthalocyanine (6) having the non-electroactive center, and cobalt(II) phthalocyanine (5) carrying an electroactive center have been investigated.

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**\*Author of correspondence:** asiye nas@ktu.edu.tr

**Tel:** +90 462 377 76

**Fax:** +90 462 512 35 52

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## 2. Experimental

The used materials and equipment were provided as supplementary information.

### 2.1. Synthesis

#### 2.1.1. Synthesis of 4,5-bis(2-(benzo[d]thiazol-2-yl)phenoxy)phthalonitrile (3)

The mixture of 2-(benzo[d]thiazol-2-yl) phenol (1) (2.00 g, 8.80 mmol) and 4,5-dichlorophthalonitrile (2) (0.86 g, 4.40 mmol) were dissolved in dry DMF (30 ml) under nitrogen atmosphere and this mixture was stirred at 65 °C for 10 min. After stirring, finely ground anhydrous K<sub>2</sub>CO<sub>3</sub> (2.42 g, 17.60 mmol) was added over a period of 2 h and it was stirred under the nitrogen atmosphere at 65 °C for 3 days. The reaction mixture was added crushed ice. The forming white precipitate was filtered off, washed with distilled water, and then dried in vacuo. Yield: 1.33 g (52%), m.p.: 109.2-110.3 °C. C<sub>34</sub>H<sub>18</sub>N<sub>4</sub>O<sub>2</sub>S<sub>2</sub>. FT-IR  $\nu_{\max}/\text{cm}^{-1}$ : 3061 (Ar-H), 2237 (C≡N), 1482, 1381, 1270, 1197, 1010, 969, 757, 727, 694. <sup>1</sup>H NMR (CDCl<sub>3</sub>) ( $\delta$  ppm): 8.57-8.56 (d, H, Ar-H), 8.07-8.05 (d, H, Ar-H), 8.02-8.00 (d, H, Ar-H), 7.93 (s, H, Ar-H), 7.91-7.88 (m, 2H, Ar-H) 7.72-7.70 (d, H, Ar-H), 7.65-7.62 (t, H, Ar-H), 7.53-7.50 (m, 3H, Ar-H), 7.45-7.40 (m, 3H, Ar-H), 7.19-7.17 (d, H, Ar-H), 7.14-7.12 (d, H, Ar-H), 7.09 (s, H, Ar-H), 7.00-6.96 (t, H, Ar-H). <sup>13</sup>C NMR (CDCl<sub>3</sub>) ( $\delta$  ppm): 169.39, 160.68, 157.94, 157.29, 152.61, 151.84, 150.42, 135.62, 135.54, 132.80, 132.66, 131.39, 129.84, 128.45, 127.55, 126.73, 126.61, 125.75, 125.59, 123.49, 122.20, 121.72, 121.56, 121.50, 119.94, 119.57, 117.87, 116.79, 115.62, 114.28, 114.22, 110.36. MS (ES<sup>+</sup>),  $m/z$ : Calc.: 578.68; Found: 578.66 [M]<sup>+</sup>.

#### 2.1.2. Synthesis of metal-free phthalocyanine H<sub>2</sub>Pc (4)

Compound 3 (0.20 g, 0.34 mmol) and three drops of DBU were stirred in dry *n*-pentanol (3 mL) under reflux in a nitrogen atmosphere at 160 °C in a sealed glass tube for 24 h. Then, the mixture was degassed several times before it was left to cool to room temperature. 20 mL of ethanol was added to the mixture to be precipitated the green crude product and filtered off. The obtained green product was filtered off again after the refluxing with 40 mL of ethanol for 4 h. The solid product was successively washed several times with hot ethanol, distilled water, and diethyl ether to removing the organic impurities. Column chromatography using chloroform-methanol (96:4) solvent system as eluent was applied to purification of the product after it dried in vacuo. Yield: 70 mg (35%), m.p.: >300 °C. C<sub>136</sub>H<sub>74</sub>N<sub>16</sub>O<sub>8</sub>S<sub>8</sub>. FT-IR  $\nu_{\max}/\text{cm}^{-1}$ : 3286 (N-H), 3061 (Ar-H), 1497, 1422, 1239, 1100, 1012, 871, 749, 726. UV/vis (chloroform):  $\lambda$ , nm (log  $\epsilon$ ): 712 (5.40), 675 (5.26), 645 (4.79), 611 (4.62), 384 (4.92). MS

(ES<sup>+</sup>),  $m/z$ : Calc.: 2316.72; Found: 1550.480 [M-6C<sub>7</sub>H<sub>4</sub>NS+K]<sup>+</sup>.

#### 2.1.3. General procedures for metallophthalocyanine derivatives (5, 6)

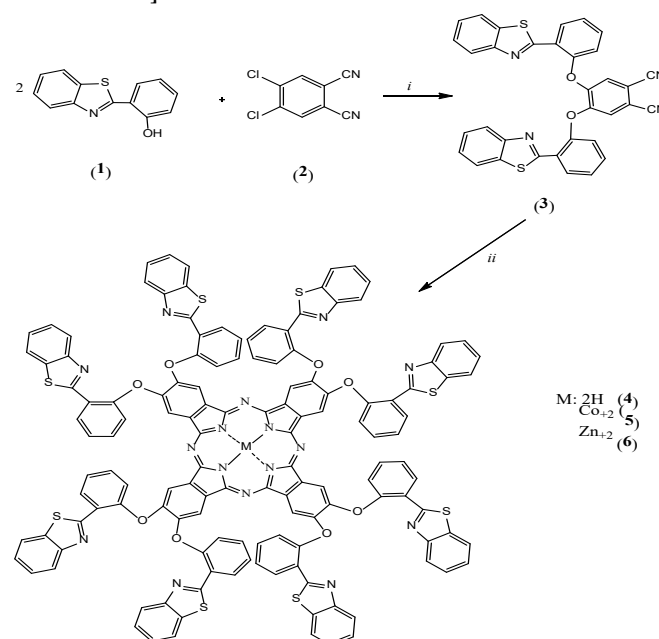
The mixture of compound 3 (0.40 g, 0.68 mmol), anhydrous metal salt [CoCl<sub>2</sub> (88 mg, 0.68 mmol) or Zn(CH<sub>3</sub>COO)<sub>2</sub> (124 mg, 0.68 mmol)] and three drops of 1,8-diazabicyclo[5.4.0]undec-7-ene was stirred at 160 °C with dry *n*-pentanol (3 mL) in a sealed tube for 24 h. Then, the mixture was left to cool to room temperature. The green crude product was precipitated with 10 mL of ethanol and then filtered off. The green-colored product was filtered off again after refluxing with 40 mL of ethanol for 4 h. It was successively washed several times with hot ethanol, distilled water, and diethyl ether to remove the organic impurities. Column chromatography using a chloroform-methanol (96:4) solvent system as eluent was applied to purify the product after it dried in vacuo.

##### 2.1.3.1. Cobalt (II) phthalocyanine (5)

Yield: 27 mg (7%), m.p.: >300 °C. C<sub>136</sub>H<sub>72</sub>N<sub>16</sub>O<sub>8</sub>S<sub>8</sub>Co. FT-IR  $\nu_{\max}/\text{cm}^{-1}$ : 3058 (Ar-H), 1497, 1432, 1399, 1242, 1195, 1098, 1004, 967, 888, 750, 725. UV/vis (chloroform):  $\lambda$ , nm (log  $\epsilon$ ): 682 (5.15), 613 (4.48), 305 (5.15). MS (ES<sup>+</sup>),  $m/z$ : Calc.: 2373.63; Found: 1607.901 [M-6C<sub>7</sub>H<sub>4</sub>NS+K]<sup>+</sup>.

##### 2.1.3.2. Zinc (II) phthalocyanine (6)

Yield: 102 mg (25%), m.p.: >300 °C. C<sub>136</sub>H<sub>72</sub>N<sub>16</sub>O<sub>8</sub>S<sub>8</sub>Zn. FT-IR  $\nu_{\max}/\text{cm}^{-1}$  (KBr pellet): 3061 (Ar-H), 1727, 1428, 1388, 1239, 1195, 1094, 990, 877, 753, 744. UV/vis (chloroform):  $\lambda$ , nm (log  $\epsilon$ ): 691 (5.25), 619 (4.52), 358 (4.86), 317 (5.06). MS (ES<sup>+</sup>),  $m/z$ : Calc.: 2380.09; Found: 1614.725 [M-6C<sub>7</sub>H<sub>4</sub>NS+K]<sup>+</sup>.



**Scheme 1.** Synthesis of 3, 4, 5 and 6. *i*: K<sub>2</sub>CO<sub>3</sub>, DMF, 50 °C, 5 days. *ii*: 1-pentanol, DBU, related metal salts (CoCl<sub>2</sub>, Zn(ac)<sub>2</sub>), 24h, 160 °C

### 3. Results and discussion

#### 3.1. Synthesis and characterization

The octa-benzothiazole substituted phthalonitrile derivative **3** was obtained by the reaction of 2-(benzo[d]thiazol-2-yl)phenol **1** with 4,5-dichlorophthalonitrile **2** in 52% yield (Scheme 1). The nucleophilic substitution reaction was performed in the presence of anhydrous  $K_2CO_3$  in dry DMF at 65 °C [23].

Octa-substituted zinc(II) and cobalt(II) phthalocyanines (**5** and **6**) were synthesized by treatment of phthalonitrile derivatives **3** in the presence of related anhydrous metal salts ( $Zn(CH_3COO)_2$  and  $CoCl_2$  respectively) as a metal source in dried *n*-pentanol at 160 °C [24]. The yields of the reactions for compounds **5** and **6** were determined as 7% and 25%, respectively. Starting phthalonitrile derivatives **3**, metal-free phthalocyanine compound **4** was synthesized under the same conditions without using metal salt in a 52% yield. The general synthetic procedures of the novel phthalocyanine compounds (**4-6**) were shown in Scheme 1. Purification of the crude products was carried out by column chromatography using the chloroform-methanol solvent system as an eluent.

The structures of novel compounds were characterized by FT-IR,  $^1H$  NMR,  $^{13}C$  NMR, UV-vis spectroscopies, and mass spectra.

For compound **3**, the appearance of vibration bands at 2223  $C\equiv N$ , 1283 (Ar-O-C) in the FT-IR spectrum showed the formation of **3**. In the  $^1H$  NMR spectrum of this phthalonitrile **3**, the proton signal of OH group of 2-(benzo[d]thiazol-2-yl)phenol disappeared as expected. The resonances for the compound **3** in the  $^1H$  NMR were observed between 8.57-6.96 ppm integrating for a total of 18 aromatic protons. In the  $^{13}C$  NMR spectrum of **3**, the characteristic signals of nitrile carbon atoms were observed at  $\delta = 11.79, 115.62$  ppm. The mass spectrum of phthalonitrile **3** showed a molecular ion peak at  $m/z = 578.66 [M]^+$ .

For the new phthalocyanine compounds, cyclotetramerization of phthalonitrile **3** was evidenced by the loss of the  $-C\equiv N$  signal in the FT-IR spectrum of compounds **4**, **5**, and **6** after the formation of the phthalocyanine rings. Mass spectra of phthalocyanine compounds **4**, **5**, and **6** reasonably supported the expected structures when observing molecular ion peaks at 1550.480 as  $[M-6C_7H_4NS+K]^+$  for **4**, 1607.901 as  $[M-6C_7H_4NS+K]^+$  for **5** and 1614.725 as  $[M-6C_7H_4NS+K]^+$  for **6** (Fig. 1, Fig. 2 and Fig. 3), respectively.

#### 3.2. Electronic absorption spectra

The electronic spectra of phthalocyanines exhibit several bands which are due to  $\pi-\pi^*$  and  $n-\pi^*$  transitions. In the near UV region, there is the B band or Soret band at

approximately between 300-400 nm and finally, there is the strongest Q band at approximately between 600-700 nm. While the Q bands of the  $H_2Pc$ s are observed as split two bands, because of  $D_{2h}$  symmetry and the lifting of the degeneracy of the LUMO (eg) level, as  $Q_x$  and  $Q_y$  bands that of  $MPc$ s are observed as a single intense band because of  $D_{4h}$  symmetry [10, 25].

Fig. 4 shows the UV-Vis absorption spectra of complexes **4-6** in chloroform. Table 1 contains a summary of the Q band maxima of the complexes (**4-6**). In UV-vis spectra of metal-free (**4**) phthalocyanine gave a split two Q band absorptions at 712 and 675 nm with shoulders 645 and 611 nm, respectively as expected.

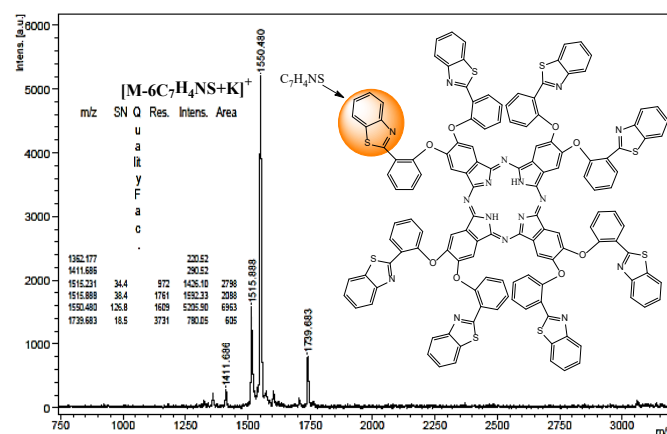


Figure 1. Mass spectrum of phthalocyanine 4

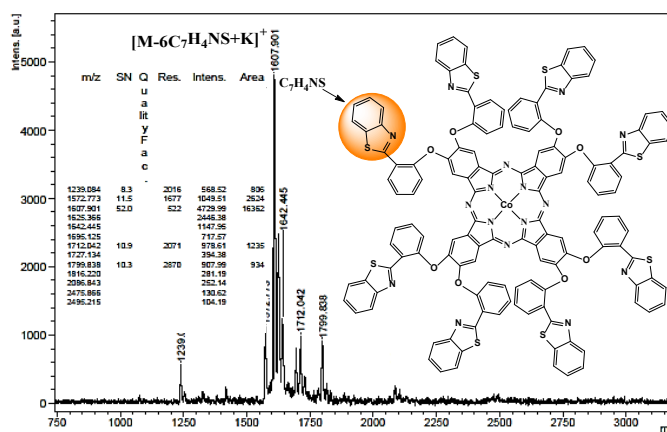


Figure 2. Mass spectrum of phthalocyanine 5

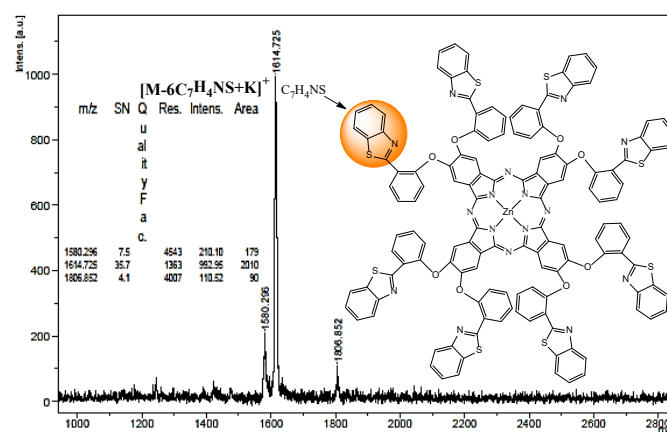


Figure 3. Mass spectrum of phthalocyanine 6

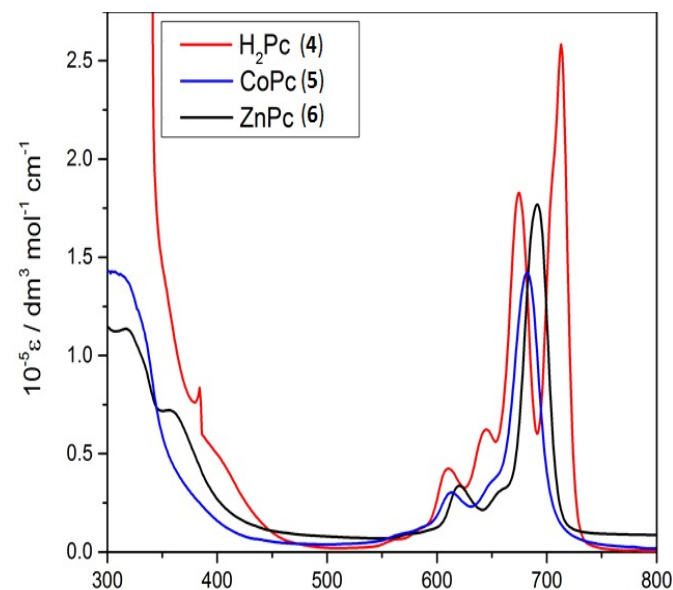
**Table 1.** Absorption spectral data for 4, 5, and 6 in chloroform

	Solvent	Q band, $\lambda_{\max}$ (nm)	$\log \epsilon$	B band, $\lambda_{\max}$ (nm)	$\log \epsilon$
<b>H<sub>2</sub>Pc (4)</b>	Chloroform	712	5.40	384	4.92
		675	5.26		
		645	4.79		
		611	4.62		
<b>CoPc (5)</b>	Chloroform	682	5.15	305	5.15
		613	4.48		
<b>ZnPc (6)</b>	Chloroform	691	5.25	358	4.86
		619	4.52		

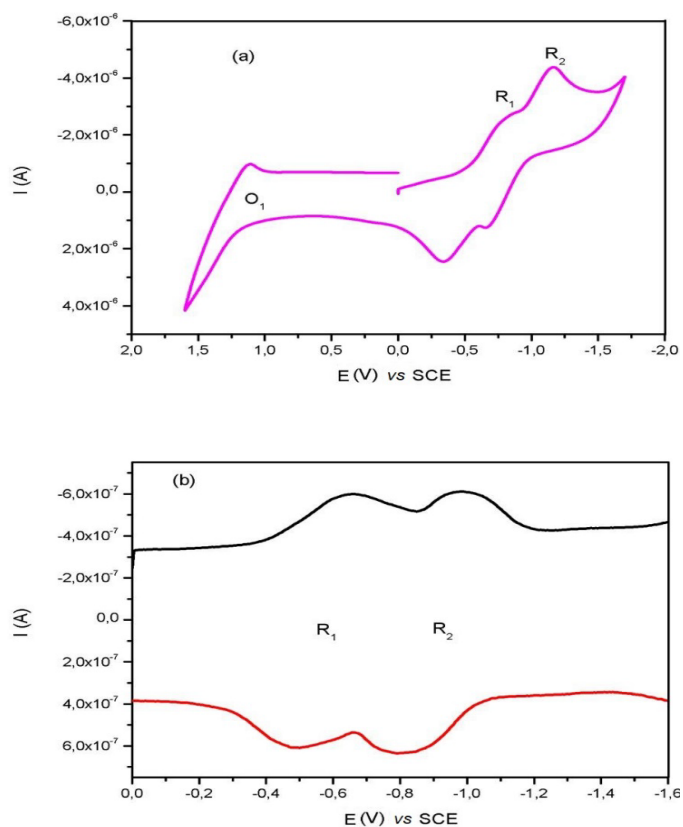
The cobalt(II) (5) and zinc(II) (6) phthalocyanines gave an intense single Q band absorption of the  $\pi$ - $\pi^*$  transitions at 682 and 691 nm with shoulders 613 and 619 nm, respectively. The B bands of complexes were observed at 384 nm for 4, 305 nm for 5, and (358, 317) nm for 6, respectively.

### 3.3. Electrochemical Studies

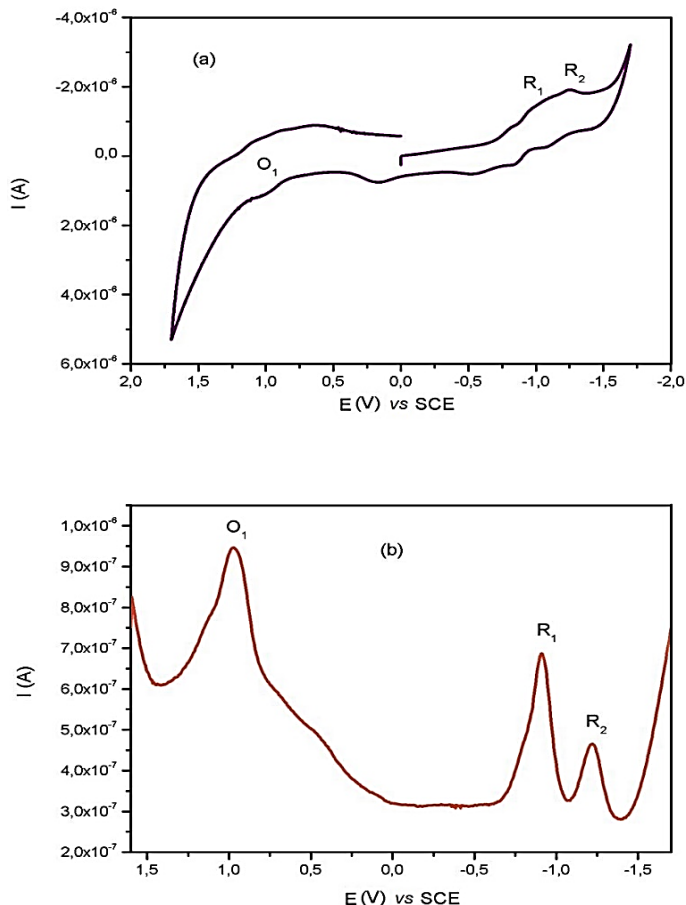
Electrochemical studies of H<sub>2</sub>Pc, ZnPc, CoPc were achieved in DCM using a (DCM)/(TBAP) electrolyte system on a Pt working electrode. The results are shown in Table 2. Fig. 5 shows the CV and the SWV of H<sub>2</sub>Pc in DCM. H<sub>2</sub>Pc gave two ring-based and quasi-reversible reductions (R<sub>1</sub> = -0.55 V, R<sub>2</sub> = -0.91 V) and one oxidation (O<sub>1</sub> = 1.22 V) process. This data of the H<sub>2</sub>Pc is compatible with H<sub>2</sub>Pc behavior in literature [26]. Fig. 6 shows the CV and the SWV of ZnPc. Similarly, ZnPc gave two ring-based and quasi-reversible reductions (R<sub>1</sub> = -0.92 V, R<sub>2</sub> = -1.17 V) and one oxidation (O<sub>1</sub> = 0.93 V) process. But, CoPc showed different electrochemical behavior than H<sub>2</sub>Pc and ZnPc. Fig. 7 shows the CV and the SWV of CoPc. As shown in Fig. 7, CoPc gave one metal-based and one ring based quasi-reversible reductions (R<sub>1</sub> = -0.20 V, R<sub>2</sub> = -1.24 V), two oxidation (O<sub>1</sub> = 0.92 V, O<sub>2</sub> = 1.29 V) processes.



**Figure 4.** Absorption spectra of novel synthesized octa-substituted phthalocyanines (4-6) in chloroform



**Figure 5.** (a) CV of H<sub>2</sub>Pc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP. (b) SWV of H<sub>2</sub>Pc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP



**Figure 6.** (a) CV of ZnPc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP. (b) SWV of ZnPc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP

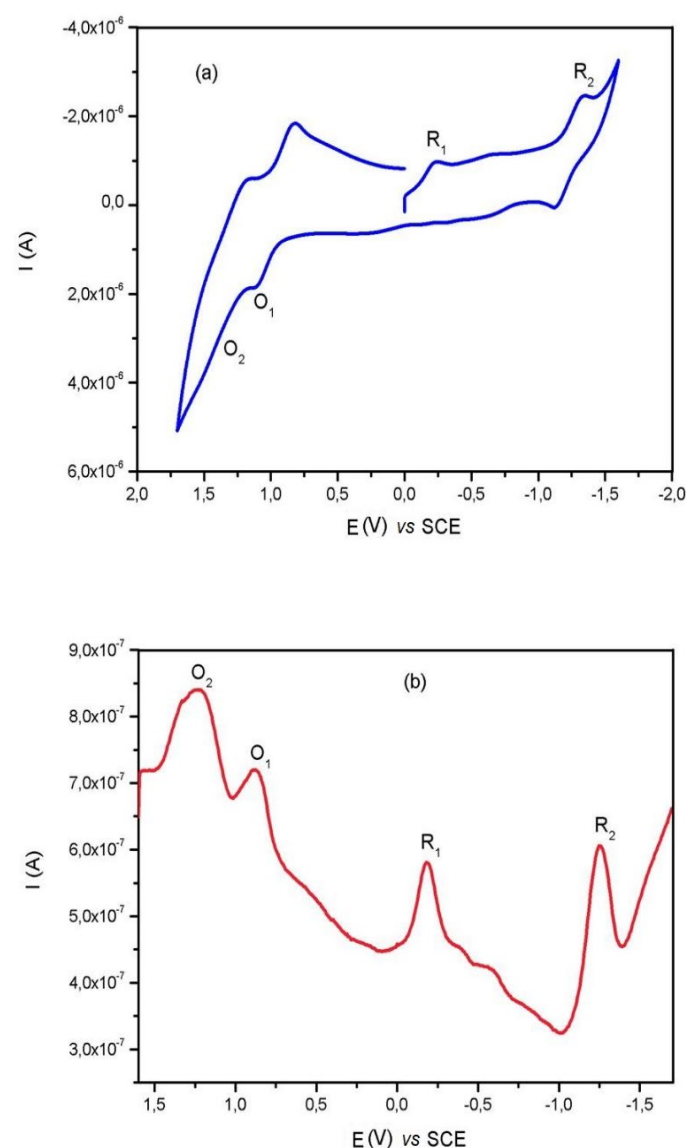


**Table 2.** Voltammetric data of the 4-6. The voltammetric data were given versus SCE

Phthalocyanines	Label	<sup>a</sup> E <sub>1/2</sub>	<sup>b</sup> ΔE <sub>p</sub> (mV)	<sup>c</sup> ΔE <sub>1/2</sub>
H <sub>2</sub> Pc (4)	R <sub>1</sub>	-0.55	161	1.77
	R <sub>2</sub>	-0.91	156	
	O <sub>1</sub>	1.22	170	
ZnPc (5)	R <sub>1</sub>	-0.92	178	1.85
	R <sub>2</sub>	-1.17	166	
	O <sub>1</sub>	0.93	180	
CoPc (6)	R <sub>1</sub>	-0.20	173	1.12
	R <sub>2</sub>	-1.24	151	
	O <sub>1</sub>	0.92	144	
	O <sub>2</sub>	1.29	163	

<sup>a</sup>: E<sub>1/2</sub> values ((E<sub>pa</sub>+E<sub>pc</sub>)/2) were given versus SCE at 0.100 Vs<sup>-1</sup> scan rate.

<sup>b</sup>: ΔE<sub>p</sub>= E<sub>pa</sub>-E<sub>pc</sub>. <sup>c</sup>: ΔE<sub>1/2</sub>= E<sub>1/2</sub> (first oxidation)-E<sub>1/2</sub> (first reduction)



**Figure 7.** (a) CV of CoPc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP. (b) SWV of CoPc recorded at 0.100 Vs<sup>-1</sup> scan rate on a Pt electrode in DCM/TBAP

## 4. Conclusions

Octa-benzothiazole substituted H<sub>2</sub>Pc, CoPc, and ZnPc phthalocyanines were synthesized in moderate yields and fully characterized by general spectroscopic

techniques such as FT-IR, UV-Vis, <sup>1</sup>H-NMR, and MS. The obtained novel compounds exhibited excellent solubility in most organic solvents such as CHCl<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, THF, DMSO, and DMF. CV and SWV were used to determine the electrochemical properties of the H<sub>2</sub>Pc, the ZnPc, and the CoPc. Electrochemical responses of the H<sub>2</sub>Pc, the ZnPc, and the CoPc verified the proposed structure. The H<sub>2</sub>Pc and the ZnPc showed only ring-based redox processes. But CoPc exhibited one metal-based and one ring-based redox reactions. These properties increase their possible usage in different electrochemical applications.

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## Supplementary Information

### 1. Materials

All solvents and reagents were dried and purified according to the known methods [1]. 4,5-dichlorophthalonitrile (2) was prepared according to the reported procedure [2]. Reagent grade chemicals and solvents were used obtained from commercial suppliers.

### 2. Equipment

Infrared and electronic spectra were recorded on a Perkin-Elmer FT-IR spectrometer and Unicam UV2-100 Shimadzu 2101 spectrophotometers using 1 cm path length cuvettes at room temperature, respectively. All of the reactions were carried in a standard Schlenk system under a dry nitrogen atmosphere. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on a Varian Mercury 200 MHz spectrometer by using CDCl<sub>3</sub> as a solvent, and chemical shifts were reported (δ) relative to TMS as an internal standard. Mass spectra were recorded on a Bruker Microflex LT MALDI-TOF MS spectrometer (Gebze Technical University, Turkey). An electrothermal apparatus was used to determine the melting points. Column chromatography processes was carried out with silica gel 60 (particle size: 0.04-0.063 mm).

Gamry Interface 1000 potentiostat/galvanostat with a three-electrode configuration was employed for all electrochemical measurements at 25 °C. The working electrode was a Pt disc having a surface area of 0.071 cm<sup>2</sup>. A Pt wire was employed as the counter electrode and the saturated calomel electrode (SCE) was used as the reference electrode and separated from the bulk of the solution by a double bridge. Electrochemical grade tetrabutylammonium perchlorate (TBAP) in extra pure dichloromethane (DCM) was used as the supporting electrolyte at a concentration of 0.10 mol/dm<sup>3</sup>.

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